Chapter 6. Stability

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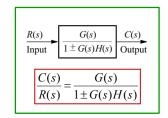
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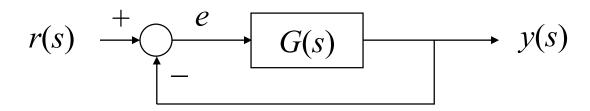
Chapter 6. Stability

Objectives

- How to determine the stability of a system represented as a transfer function
- How to determine the stability of a system represented in state space
- How to determine system parameters to yield stability

Unity Negative Feedback

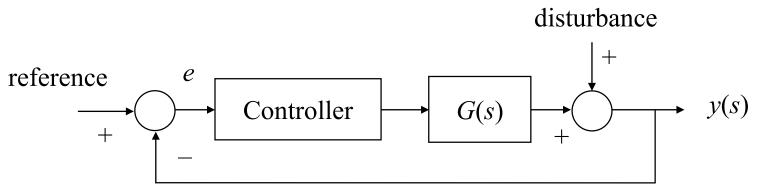




• The overall transfer function of the above system is:

$$T(s) = \frac{G(s)}{1 + G(s)}$$

• System Model:

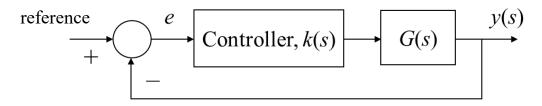


Root Locus

- The Root Locus is the graph of the closed loop poles of the system as the gain k varies from 0 to ∞ .
- For certain values of k, the system goes from being stable to being unstable.

Control Strategies

• Classical Control:



• Design:

$$-k(s) = k \text{ (gain)} \implies \text{Choose } k$$

$$-k(s) = k \frac{s+z}{s+p}$$
 \Rightarrow Choose k, z and p

Classical control

- Objectives: Choose k(s) to satisfy:
 - Stability
 - Performance
 - Robustness

• Other kinds of control

- Nonlinear control
- Online control
 - Adaptive control: Identifies the plant and adapts accordingly.
- Digital sampled data control

6.1 Introduction

- 3 requirements for control systems:
 - transient response (Chap. 4, 8)
 - stability (Chap. 6)
 - steady-state errors (Chap. 7)
- Total response of a system: $C(t) = C_{forced}(t) + C_{natural}(t)$

$$y = \frac{1}{s+1} \cdot \frac{1}{s} = \frac{1}{s} - \frac{1}{s+1} \Rightarrow y(t) = u(t) - e^{-t}u(t)$$

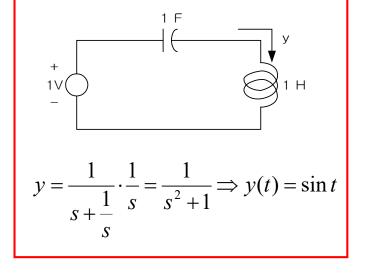
- stable system: $C_{natural}(t) \Rightarrow 0$ as $t \rightarrow \infty$
- unstable system: $C_{natural}(t) \Rightarrow \infty$ as $t \to \infty$
- marginally stable system: $C_{natural}(t)$ remains constant or oscillates

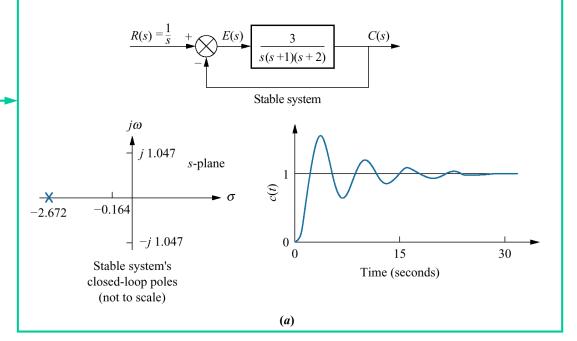
Example:

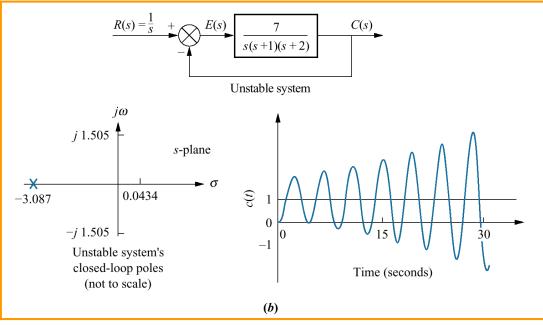
• Stable system:

• Unstable system:

• Marginally stable system:

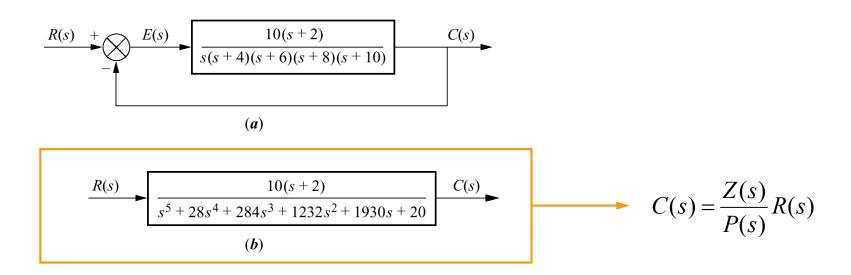






Common cause of problems in finding closed-loop poles:

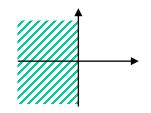
- (a) original system;
- (b) equivalent system



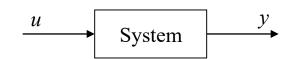
• Stability of a system: If closed-loop transfer function has only left-half-plane poles, then

$$P(s) = \prod_{i=1}^{n} (s + a_i)$$

 a_i : real and positive, or complex with a positive real part



Stability



- A. Mode
- B. Stability
- C. Stability test: Routh criterion

$$\ddot{y} + 4\dot{y} + 3y = u$$

$$\left\{ s^2 Y(s) + 4sY(s) + 3Y(s) \right\} - \left\{ sy(0) + 4y(0) + \dot{y}(0) \right\} = U(s)$$

$$\left(s^2 + 4s + 3 \right) Y(s) - \left\{ \left(s + 4 \right) y(0) + \dot{y}(0) \right\} = U(s)$$

$$\ddot{y} = -4\dot{y} - 3y + u$$

$$s[sY(s) - y(0)] - \dot{y}(0) + 4[sY(s) - y(0)] + 3Y(s) = U(s)$$

$$Y(s) = \frac{1}{s^2 + 4s + 3}U(s) + \frac{(s+4)y(0) + \dot{y}(0)}{s^2 + 4s + 3}$$
Zero state response

Zero input response

Let
$$Q(s) = s^2 + 4s + 3 = (s+1)(s+3) = (s-p_1)(s-p_2)$$

where $p_1 = -1$, $p_2 = -3$

 $p_{1,2}$ are called <u>poles</u> of G(s), or poles of the system.

• In the zero state response, i.e.,
$$y(0) = \dot{y}(0) = 0$$

$$y(0) = \dot{y}(0) = 0$$

$$Y(s) = \frac{U(s)}{s^2 + 4s + 3} - \frac{(s+4)y(0) + \dot{y}(0)}{s^2 + 4s + 3}$$
$$Y(s) = \frac{U(s)}{s^2 + 4s + 3}$$

$$Y(s) = \frac{1}{(s+1)(s+3)}U(s) = \frac{1}{(s-p_1)(s-p_2)}U(s)$$

- \Rightarrow v(t) has terms, e^{-t} and e^{-3t} for any input!
- $\Rightarrow e^{-t}$ and e^{-3t} are called modes of the system.
- In the zero input response, i.e., u(t)=0 \Rightarrow y(t) has the modes, e^{-t} and e^{-3t} .
- General case: for any input u,

$$Y(s) = G(s)U(s) = \frac{P(s)}{Q(s)}U(s) = \frac{P(s)U(s)}{Q_1(s)(s-p)}$$

• In the partial fraction expansion,

$$Y(s) \to \frac{1}{s-p}$$
, $y(t) \to e^{pt}$: a mode of the system for any input.

Example:
$$\dot{y} = -y + u$$
, $(y(0) = 0)$

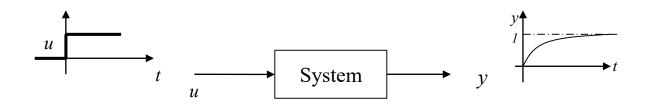
$$u \longrightarrow S \longrightarrow y$$

$$SY(s) = -Y(s) + U(s), Y(s) = \frac{1}{s+1}U(s)$$

$$p = -1$$
, a mode $\rightarrow e^{-t}$ in $y(t)$

If
$$u(t) = \text{step} \rightarrow U(s) = \frac{1}{s} \rightarrow Y(S) = \frac{1}{s(s+1)} = \frac{1}{s} - \frac{1}{s+1}$$

 $y(t) = 1 - e^{-t}$



1: steady state (coming from input)

 $-e^{-t}$: transient (coming from system)

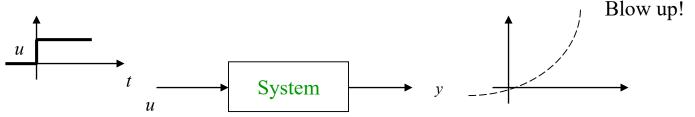
Example:
$$\dot{y} = y + u$$
, $(y(0) = 0)$

$$SY(s) = Y(s) + U(s) \rightarrow Y(s) = \frac{1}{s-1}U(s)$$

$$p=1 \rightarrow e^t$$
 in $y(t)$

If
$$u(t) = \text{step}$$
, then $Y(s) = \frac{1}{(s-1)s} = \frac{1}{s-1} - \frac{1}{s}$

$$\rightarrow y(t) = e^t - 1$$



 \Rightarrow e^t is a <u>system's mode</u>: it will be the output for any input! [Not a desirable phenomenon. Instability]

Definition: A system is bounded-input bounded-output stable (BIBO stable). If whenever the input is bounded, the output is bounded.

Complex pole: $\sigma + j\omega$

$$G(s) = \frac{P(s)}{Q(s)}$$
, $Q(\sigma + j\omega) = 0 \rightarrow \text{From algebra}$, $Q(\sigma - j\omega) = 0$

$$Y(s) = G(s)U(s)$$

 \rightarrow In PFE, Y(s) will have the terms

$$\frac{1}{s - (\sigma + j\omega)}$$
 and $\frac{1}{s + (\sigma - j\omega)}$

- $\rightarrow y(t): e^{(\sigma+j\omega)t}$ and $e^{(\sigma-j\omega)t}$
- $\rightarrow e^{\sigma t}(\cos \omega t + j\sin \omega t)$ and $e^{\sigma t}(\cos \omega t j\sin \omega t)$
- $\rightarrow e^{\sigma t} \sin(\omega t + \phi)$ or $e^{\sigma t} (A \cos \omega t + B \sin \omega t)$

Example:
$$G(s) = \frac{1}{s^2 + 2s + 5}$$

$$Y(s) = G(s)U(s)$$

Let
$$u(t) = \text{step} \rightarrow U(s) = \frac{1}{s}$$

Poles:
$$s^2 + 2s + 5 = 0 \rightarrow s = -1 \pm 2j$$

$$Y(s) = G(s)U(s) = \frac{1}{\{s - (-1+2j)\}\{s - (-1-2j)\}s}$$

After calculation (PFE),

$$y(t) = \frac{1}{5} - e^{-t} \left(\frac{1}{5} \cos 2t + \frac{1}{10} \sin 2t \right)$$

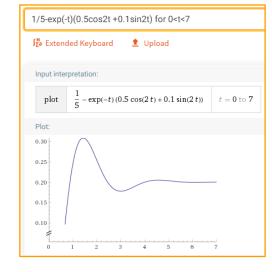
$$y(t) = \frac{1}{5} - e^{-t} C_1 \sin(2t + \phi),$$

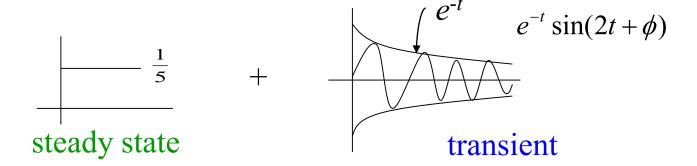
$$\phi = \arctan(2),$$

$$C_1 = \frac{1}{5 \sin \phi}$$

https://www.wolframalpha.com 0.2- exp(-t)(0.5cos2t +0.1sin2t) for 0<t<7

$$y(t) = \frac{1}{5} - \frac{e^{-t}C_1 \sin(2t + \phi)}{\text{from poles, } -1 \pm 2j}$$
from input

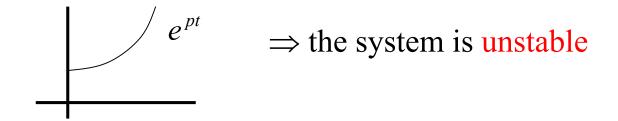




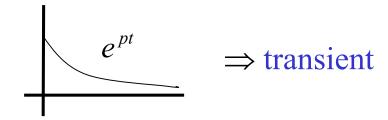
$$y(t) =$$
 steady state + transient

Summary:
$$Y(s) = G(s)U(s)$$
 \xrightarrow{u} S

- ① If p is a pole of G, then the output will always have e^{pt} .
 - i) p is real and positive

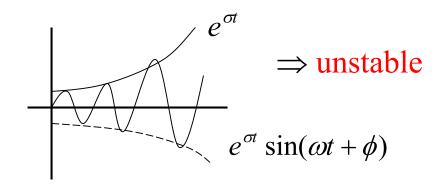


ii) p is real and negative

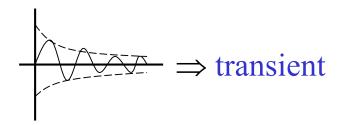


2
$$p = \sigma + j\omega \rightarrow \overline{p} = \sigma - j\omega \Rightarrow e^{\sigma t} \sin(\phi + \omega t)$$

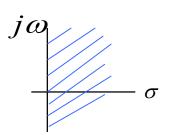
i) If $\sigma > 0$



ii) If $\sigma < 0$



- Thus, if G(s) has pole in the open RHP (right half-plane)
 - \Rightarrow the system is *unstable*.

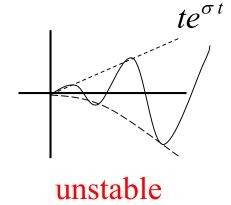


3 Double pole:

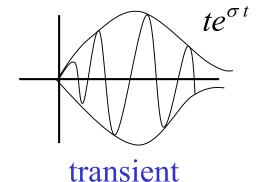
$$\sigma + j\omega, \sigma + j\omega \rightarrow \sigma - j\omega, \ \sigma - j\omega$$

By PFE, y(t) will have $te^{\sigma t} \sin(\omega t + \phi)$

i) If
$$\sigma > 0$$



ii) If
$$\sigma < 0$$



Any pole of G(s) in the open RHP makes the system *unstable*. Any pole of G(s) in the open LHP causes a *transient*.

4 Poles on the *jw*-axis?

Example:
$$G(s) = \frac{1}{s^2 + 4} = \frac{1}{(s+2j)(s-2j)}$$

i) Let u(t)=step

$$Y(s) = \frac{1}{(s+2j)(s-2j)s} = \frac{1}{4} \frac{1}{s} - \frac{1}{8} \frac{1}{(s+2j)} - \frac{1}{8} \frac{1}{(s-2j)}$$

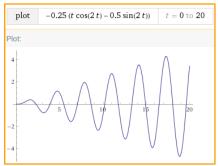
$$y(t) = \frac{1}{4} - \frac{1}{8} \left(e^{-2jt} + e^{+2jt}\right) = \frac{1}{4} \left(1 - \cos 2t\right)$$

$$e^{-2jt} = \cos 2t - j \sin 2t$$

$$e^{+2jt} = \cos 2t + j \sin 2t$$

$$(e^{-2jt} + e^{+2jt}) = 2\cos 2t$$

- y has the term 1/4 from the input, and the term $(\cos 2t)/4$ from the system. \rightarrow Oscillation!
- u(t)=step function $\rightarrow y$ is bounded.
- Is the system stable? \rightarrow No!



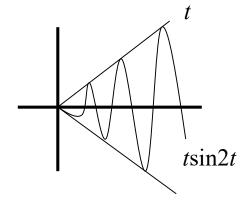
ii) Let $u(t) = \sin 2t$

$$U(s) = \frac{2}{s^2 + 4}, \quad Y(s) = \frac{2}{(s^2 + 4)} \frac{1}{(s^2 + 4)} = \frac{2}{(s - 2j)^2 (s + 2j)^2}$$

$$\rightarrow y(t) = -\frac{1}{4} (\underline{t \cos 2t} - \frac{1}{2} \sin 2t)$$

 \uparrow from double pole at $\pm 2j$

 \rightarrow unstable



• Pole at $\pm jw \rightarrow \sin(wt+\phi)$ Double pole at $\pm jw \rightarrow t\sin(wt+\phi)$ \Rightarrow The system is unstable.

Fact: The system is stable if and only if all the poles of G(s) are in the LHP!

Theorem: If
$$L\{f(t)\} = F(s)$$
, then

$$L\{t^n f(t)\} = (-1)^n \frac{d^n}{ds^n} F(s) = (-1)^n F^{(n)}(s)$$

Example:
$$L\{e^{2t}\} = \frac{1}{s-2}$$
. Find $L\{te^{2t}\}, L\{t^2e^{2t}\}$

$$L\{te^{2t}\} = (-1)\frac{d}{ds}\left(\frac{1}{s-2}\right) = (-1)\frac{-1}{(s-2)^2} = \frac{1}{(s-2)^2}$$

$$L\{t^{2}e^{2t}\} = (-1)^{2} \frac{d^{2}}{ds^{2}} \left(\frac{1}{s-2}\right) = \frac{d}{ds} \left(\frac{-1}{(s-2)^{2}}\right) = \frac{2(s-2)}{(s-2)^{4}} = \frac{2}{(s-2)^{3}}$$

$$\frac{d}{dt}\left(\frac{g}{f}\right) = \frac{g' \cdot f - g \cdot f'}{f^2}$$

$$\boxed{L\{\sin \omega t\} = \frac{\omega}{s^2 + \omega^2}} \rightarrow L\{t\sin \omega t\} = (-1)\frac{d}{ds}\left(\frac{\omega}{s^2 + \omega^2}\right) = \frac{2s\omega}{(s^2 + \omega^2)^2}$$

$$L\{\cos \omega t\} = \frac{s}{s^2 + \omega^2} \rightarrow L\{t\cos \omega t\} = (-1)\frac{d}{ds}\left(\frac{s}{s^2 + \omega^2}\right) = -\frac{(s^2 + \omega^2) - s(2s)}{(s^2 + \omega^2)^2} = \underbrace{\frac{s^2 - \omega^2}{(s^2 + \omega^2)^2}}_{}$$

$$Y(s) = \frac{2}{s^2 + 4} \frac{1}{s^2 + 4} = \left(-\frac{1}{4}\right) \left(\frac{s^2 - 4}{(s^2 + 4)^2} - \frac{1}{2} \frac{2}{s^2 + 4}\right)$$

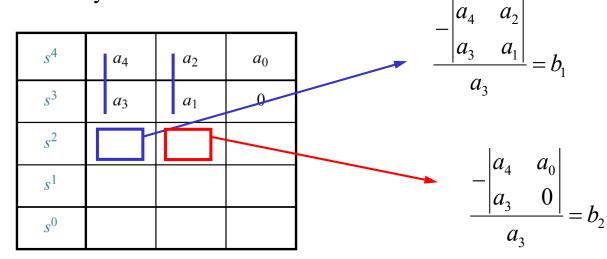
$$\rightarrow y(t) = -\frac{1}{4}(t\cos 2t - \frac{1}{2}\sin 2t)$$

$$Y(s) = \left(-\frac{1}{4}\right) \left(\frac{s^2 - 4 - (s^2 + 4)}{(s^2 + 4)^2}\right)$$
$$= \left(-\frac{1}{4}\right) \left(\frac{-8}{(s^2 + 4)^2}\right)$$
$$= \frac{2}{(s^2 + 4)^2}$$

6.2 Routh-Hurwitz Criterion (Routh, 1905)

- In the closed-loop system,
 - How many poles are in the left half-plane, in the right half-plane, and in the *jw*-axis.
 - How many, not where!
 - Routh Stability Test
- Generating a Basic Routh Table

- $\begin{array}{c|c}
 R(s) & N(s) & C(s) \\
 \hline
 a_4s^4 + a_3s^3 + a_2s^2 + a_1s + a_0
 \end{array}$
- A closed-loop transfer function:
- Initial layout for Routh table



Completed Routh table

s^4	a_4	a_2	a_0		
s^3	a_3	a_1	0		
s^2	$\frac{-\begin{vmatrix} a_4 & a_2 \\ a_3 & a_1 \end{vmatrix}}{a_3} = b_1$	$\frac{-\begin{vmatrix} a_4 & a_0 \\ a_3 & 0 \end{vmatrix}}{a_3} = b_2$	$\frac{-\begin{vmatrix} a_4 & 0 \\ a_3 & 0 \end{vmatrix}}{a_3} = 0$		
s^1	$\frac{-\begin{vmatrix} a_3 & a_1 \\ b_1 & b_2 \end{vmatrix}}{b_1} = c_1$	$\frac{-\begin{vmatrix} a_3 & 0 \\ b_1 & 0 \end{vmatrix}}{b_1} = 0$	$\frac{-\begin{vmatrix} a_3 & 0 \\ b_1 & 0 \end{vmatrix}}{b_1} = 0$		
s^0	$\frac{-\begin{vmatrix} b_1 & b_2 \\ c_1 & 0 \end{vmatrix}}{c_1} = d_1$	$\frac{-\begin{vmatrix}b_1 & 0\\c_1 & 0\end{vmatrix}}{c_1} = 0$	$\frac{-\begin{vmatrix}b_1 & 0\\c_1 & 0\end{vmatrix}}{c_1} = 0$		

Routh Stability Test (Routh-Hurwitz Criterion)

Given $Q(s) = s^n + a_{n-1}s^{n-1} + a_{n-2}s^{n-2} + \cdots + a_1 s + a_0$ The Routh test tells how many zeros of Q are in the RHP.

$$\begin{array}{c}
R(s) \\
\hline
 & \left(\frac{N(s)}{a_4 s^4 + a_3 s^3 + a_2 s^2 + a_1 s + a_0}\right)
\end{array}$$

Routh Table:

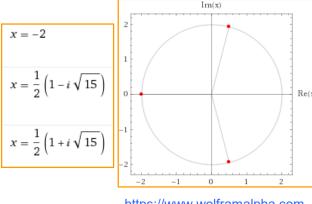
Routh Test:

The number of *RHP* zeros of Q(s)

= the # of sign changes in the first column of the Routh table

Example:
$$Q(s)=s^3+s^2+2s+8$$

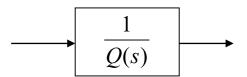
$$\begin{array}{c|cccc}
s^3 & 1 \\
s^2 & 1 \\
s^1 & -6 \\
s^0 & 8
\end{array}$$

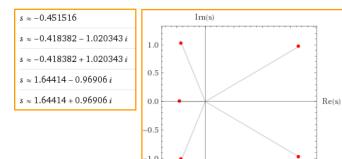


https://www.wolframalpha.com solve s^3+s^2+2s+8=0

 $2 RHP | zeros \rightarrow$

The system is unstable since two poles exist in the RHP

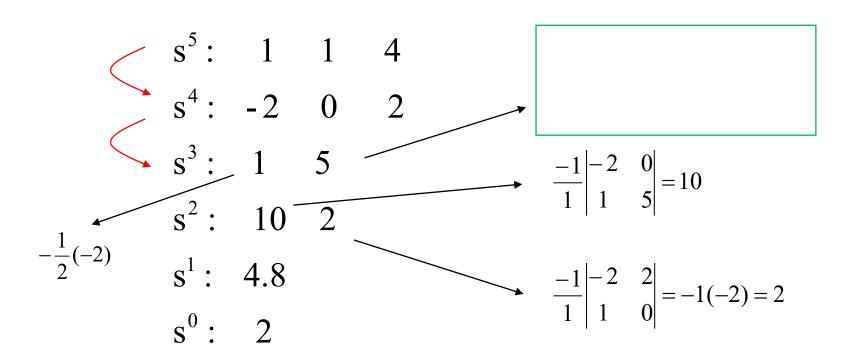




-0.5

1.0

Example:
$$Q(s) = s^5 - 2s^4 + s^3 + 4s + 2$$



$$\Rightarrow$$
 2 *RHP* zeros

$$R(s) \xrightarrow{+} \underbrace{\qquad \qquad} \underbrace{\qquad$$

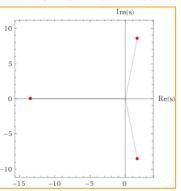
$$\frac{K}{1+KG} \qquad R(s) \longrightarrow \left(\frac{1000}{s^3 + 10s^2 + 31s + 1030}\right) \longrightarrow C(s)$$

$$s^3: 1$$
 31
 $s^3: 10$ 1 1030 103

$$s^3: \frac{-1}{-72} \begin{vmatrix} 1 & 103 \\ -72 & 0 \end{vmatrix} = 103, \quad \frac{-1}{-72} \begin{vmatrix} 1 & 0 \\ -72 & 0 \end{vmatrix} = 0$$

 $2 RHP \text{ poles} \Rightarrow \text{unstable}$

https://www.wolframalpha.com solve $s^3 + 10s^2 + 31s + 1030 = 0$



1.5 1.0 0.5 0.0 -0.5 -1.0 -1.5 -1.0 -0.5 0.0 0.5

Example: Closed-loop transfer function

$$T(s) = \frac{10}{s^5 + 2s^4 + 3s^3 + 6s^2 + 5s + 3} \rightarrow (1)$$

$$s^{5} \quad 1 \quad 3 \quad 5$$

$$s^{4} \quad 2 \quad 6 \quad 3$$

$$s^{5} \quad 1 \quad + \quad +$$

$$s^{3} \quad \cancel{0} \varepsilon \quad \frac{7}{2} \quad 0$$

$$s^{3} \quad \varepsilon \quad + \quad +$$

$$s^{2} \quad \frac{1}{\varepsilon} (6\varepsilon - 7) \quad \frac{1}{\varepsilon} (3\varepsilon - 0) = 3 \quad 0$$

$$s^{2} \quad \frac{1}{\varepsilon} (6\varepsilon - 7) \quad - \quad +$$

$$s^{1} \quad \frac{42\varepsilon - 49 - 6\varepsilon^{2}}{12\varepsilon - 14} \quad 0 \quad 0$$

$$s^{1} \quad \frac{42\varepsilon - 49 - 6\varepsilon^{2}}{12\varepsilon - 14} \quad + \quad +$$

$$s^{0} \quad 3 \quad + \quad +$$

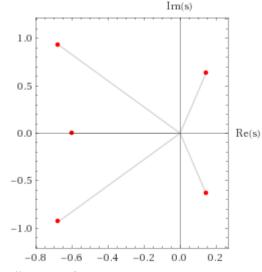
 $2 RHP \text{ poles} \Rightarrow \text{unstable}$

Example: Stability via reverse coefficient.

$$T(s) = \frac{10}{s^5 + 2s^4 + 3s^3 + 6s^2 + 5s + 3}$$

From (1)
$$\longrightarrow D(s) = 3s^5 + 5s^4 + 6s^3 + 3s^2 + 2s + 1$$

$$s^{5}$$
 3 6 2
 s^{4} 5 3 1
 s^{3} 4.2 1.4
 s^{2} 1.33 1
 s^{1} -1.75
 s^{0} 1

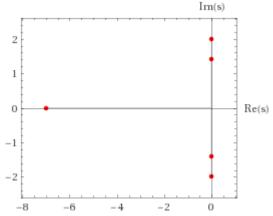


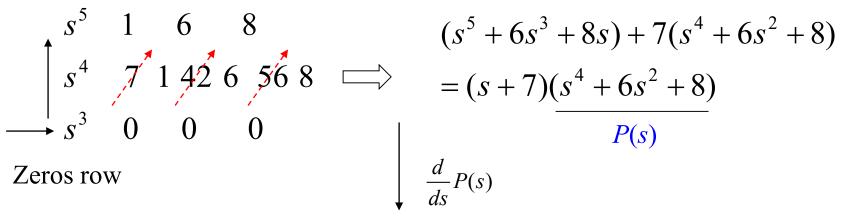
https://www.wolframalpha.com solve $3s^5 + 5s^4 + 6s^3 + 3s^2 + 2s + 1 = 0$

 $2 RHP \text{ poles} \Rightarrow \text{unstable}$

Example: Closed-loop transfer function

$$T(s) = \frac{10}{s^5 + 7s^4 + 6s^3 + 42s^2 + 8s + 56}$$





$$(s^{5} + 6s^{3} + 8s) + 7(s^{4} + 6s^{2} + 8)$$

$$= (s + 7)(s^{4} + 6s^{2} + 8)$$

$$P(s)$$

$$P(s)$$

$$\frac{d}{ds}(s^4 + 6s^2 + 8) = 4s^3 + 12s + 0$$

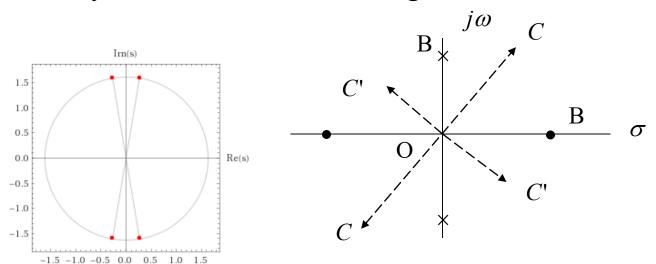
$$= 4(s^3 + 3s)$$

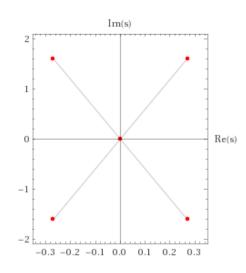
⇒ An entire row of zeros.

• even polynomial
$$s^4 + 5s^2 + 7 = 0 \rightarrow s = \begin{bmatrix} -0.27 \pm j1.604 \\ +0.27 \pm j1.604 \end{bmatrix}$$

• odd polynomial
$$s^5 + 5s^3 + 7s = 0$$
 \rightarrow $s =$ 0
— constant term is always missing $-0.27 \pm j1.604$
 $+0.27 \pm j1.604$

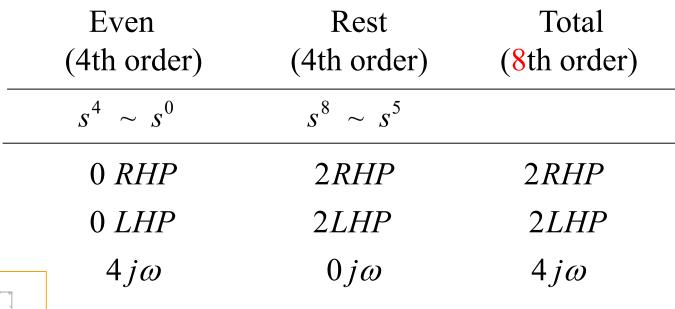
Symmetrical about the origin

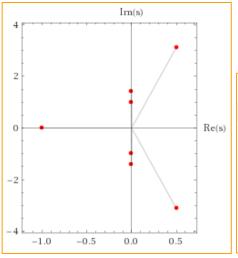




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Summary of pole locations for Example



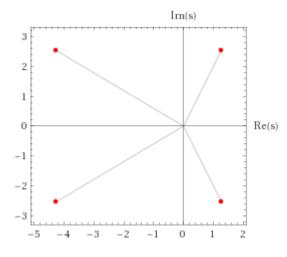


solve $s^8 + s^7 + 12 s^6 + 22 s^5 + 39 s^4 + 59 s^3 + 48 s^2 + 38 s + 20 = 0$
Results:
s = -1
$S = \pm i$
$s=\pm \left(i\sqrt{2}\right)$
$s = \frac{1}{2} \left(1 - i \sqrt{39} \right)$
$s = \frac{1}{2} \left(1 + i \sqrt{39} \right)$

>> roots([1	1	12	22	39	59	48	38	20])
0.5000 + 0.5000 - 0.0000 + 0.00001.0000 + -0.0000 + -0.0000 -	3 1 1 0 0	.122	25i 42i 42i 90i 90i					

Example: Closed transfer function T(s)

$$T(s) = \frac{1}{s^4 + 6s^3 + 11s^2 + 6s + 200}$$



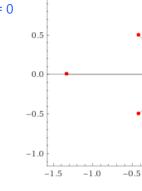
https://www.wolframalpha.com solve $s^4 + 6s^3 + 11s^2 + 6s + 200 = 0$

2 LHP poles

 $2 \text{ RHP poles} \rightarrow \text{unstable}$

Reverse order

Example:
$$T(s) = \frac{1}{2s^5 + 3s^4 + 2s^3 + 3s^2 + 2s + 1}$$



0.0

0.5

1.0

$$\begin{vmatrix} s^5 \\ s^4 \end{vmatrix} = 2$$
 2 2 2

$$s^5 + 2s^4 + 3s^3 + 2s^2 + 3s + 2$$

$$+ s^3 \varnothing \varepsilon \frac{4}{3}$$

$$-s^2 \frac{3\varepsilon - 4}{\varepsilon}$$
 1

$$s^3 \mid 2 \qquad 2$$

$$+\frac{s^1}{s^0}\begin{vmatrix} \varepsilon \\ (12\varepsilon-16-3\varepsilon^2)/(9\varepsilon-12) \end{vmatrix}$$

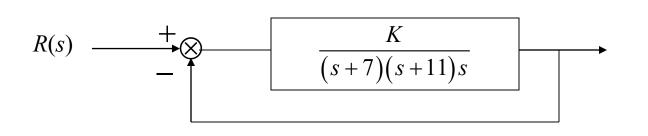
2 *RHP* poles

 $\left(\begin{array}{c|c} \mathbf{S} & \overline{\mathbf{\varepsilon}} \\ \mathbf{s}^0 & \mathbf{S} \end{array}\right)$

3 LHP poles

→ unstable

Stability Design via Routh-Hurwitz



$$\frac{G}{1+G} = \frac{\frac{K}{(s+7)(s+11)s}}{1+\frac{K}{(s+7)(s+11)s}}$$

$$= \frac{K}{(s+7)(s+11)s+K}$$

$$= \frac{K}{s^3+18s^2+77s+K}$$

$$\Rightarrow$$
 Closed loop T.F.: $T(s) = \frac{K}{s^3 + 18s^2 + 77s + K}$

$$s^3$$
 1 77

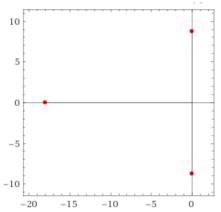
$$s^2$$
 18 K

$$\begin{vmatrix}
1 & 77 \\
18 & K
\end{vmatrix}$$

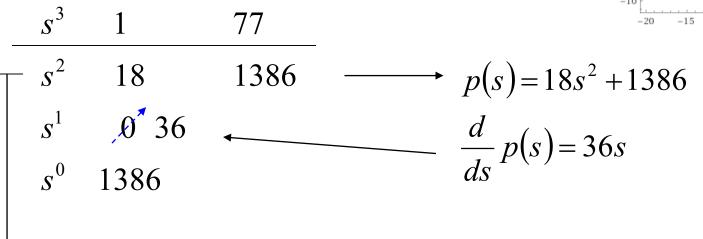
$$-18$$

$$= \frac{K - 1386}{-18}$$

$$S^{0}$$



• If *K*=1386



2 poles on the jw-axis \rightarrow marginally stable

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