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**GPU-Accelerated Lip-Tracking Library**

BE ACCEPTED IN PARTIAL FULFILLMENT OF THE REQUIREMENTS FOR THE  
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# GPU-Accelerated Lip-Tracking Library

by

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Submitted in partial fulfillment of the requirements  
for the degree of  
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# GPU-Accelerated Lip-Tracking Library

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## ABSTRACT

A major part of having correct pronunciation when learning a new language is moving your lips in the correct way. One solution to this is software which tracks a student's lip movements and provide feedback. This paper describes how we have created a C++ library to accurately track lips in provided images. Further, this library attempt to use a CUDA-enabled GPU implementation to improve the algorithm's performance. It will fall back on a CPU implementation if such a GPU is not found. As a result, the lip tracking library runs on Windows, Linux, and OS X, as well as Android devices.

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# Chapter 1

## Introduction

### 1.1 Background

A major part of learning a new language is to be able to pronounce words correctly. To be able to pronounce words correctly, a student's mouth needs to make proper movements. This is difficult to teach in a classroom setting, especially when a student needs to repeat the same phrase many times. The student can become frustrated with the teacher and not want to continue, especially if he or she feels as though he or she is being viewed as incompetent by his or her peers. However, when using a computer, the student can feel more comfortable trying the same phrase over and over without embarrassment.

Currently, there are desktop and mobile applications to help with a student's pronunciation by listening to him or her speak and providing feedback. If the student does not make the correct mouth shape, however, he or she is far less likely to pronounce the word correctly. Applications that only analyze audio can, at best, only guess what the student might be doing incorrectly with his or her mouth so these applications may not provide the help the student really needs.

### 1.2 Solution

Our solution is to create a mobile application to help a student pronounce words correctly by not only listening to him or her speak and analyzing the audio, but also by watching his or her mouth and providing feedback for both the audio and video information. We will create an algorithm to accurately track lip movement. A generic tracking algorithm will not work for lip motion tracking since mouths change shape too dramatically when they move. For this reason the lip tracking algorithm that we intend to implement will be specialized to mouth contours. The data provided from this software will then be combined with audio input to analyze how the student may improve his or her pronunciation. For example, the application can tell the student if he or she held his or

her mouth open too long or didn't open his or her mouth enough for a particular word. In the end, this tool would make it easier and faster for students learning a new language to master correct pronunciation.



# Chapter 2

## Requirements

We have several requirements that need to be met in order to implement our desired system. These requirements can be divided into two categories. Functional requirements are those which our system must do. Generally, they can be evaluated only as either true or false, depending on whether or not the system actually does or does not do what it should. Nonfunctional requirements are those which describe the manner in which the functional requirements should be achieved. They are evaluated based on a degree of satisfaction. Additionally, our system also has a couple design constraints, which are limits on the design and implementation of the system. The following requirements are to be met for successful implementation of our lip-tracking library.

### 2.1 Functional

The system will:

- Receive camera images from the user.
- Return a point array of lip edges.

### 2.2 Nonfunctional

The system will be:

- Efficient - it will run quickly enough for use in a real time system.
- User Friendly - it will have an easy to use interface.
- Reliable/accurate - it will produce correct data.
- Robust - it won't crash. (i.e. Its crash frequency will be within acceptable norms.)
- Reusable - it will be easy to use in other systems.

## 2.3 Design Constraints

The system must:

- Be able to run on Android devices and desktop computers.
- Use CUDA.

## Chapter 3

# Conceptual Model

The interface to our lip-tracking library will consist of six functions. Three will be used to obtain a lip contour model as an array of points, which is passed into the function. They will also return a status code indicating success or failure. The array that is passed in must have already been allocated by the user, and the `getNumberOfContourPoints` function returns the size that the array should be. The other two functions will allow the user to get and set a threshold value used in the first three functions. This threshold value will determine the required confidence in the lip-tracking algorithm. The lower the threshold value, the less accurate the algorithm will be. If the value is too high, however, the algorithm may fail to obtain a lip contour at the desired confidence level, in which case the functions will return error codes.

### 3.1 API Functions

- `int getLipContourPGM(unsigned char image[], unsigned int height, unsigned int width, unsigned int contour[]);`
- `int getLipContourPPM(unsigned char image[], unsigned int height, unsigned int width, unsigned int contour[]);`
- `int getLipContourBMP(unsigned char image[], unsigned int height, unsigned int width, unsigned int contour[]);`
- `int getNumberOfContourPoints();`
- `int setAcceptanceThreshold(unsigned int threshold);`
- `unsigned int getAcceptanceThreshold();`

## Chapter 4

# Use Cases

Based on our conceptual model, our system has a number of actions that users might perform. Any action that a user might take can be considered a use case, which is defined as the steps needed to achieve a goal on the application. Presented below are the use cases for our library, which effectively correspond to the different functions in the library. Under each use case, the actor, goal, precondition, postcondition, sequence of steps, and exceptions are listed. All the use cases of our application are shown below in Figure 4.1. Following the diagram are detailed descriptions of each use case.

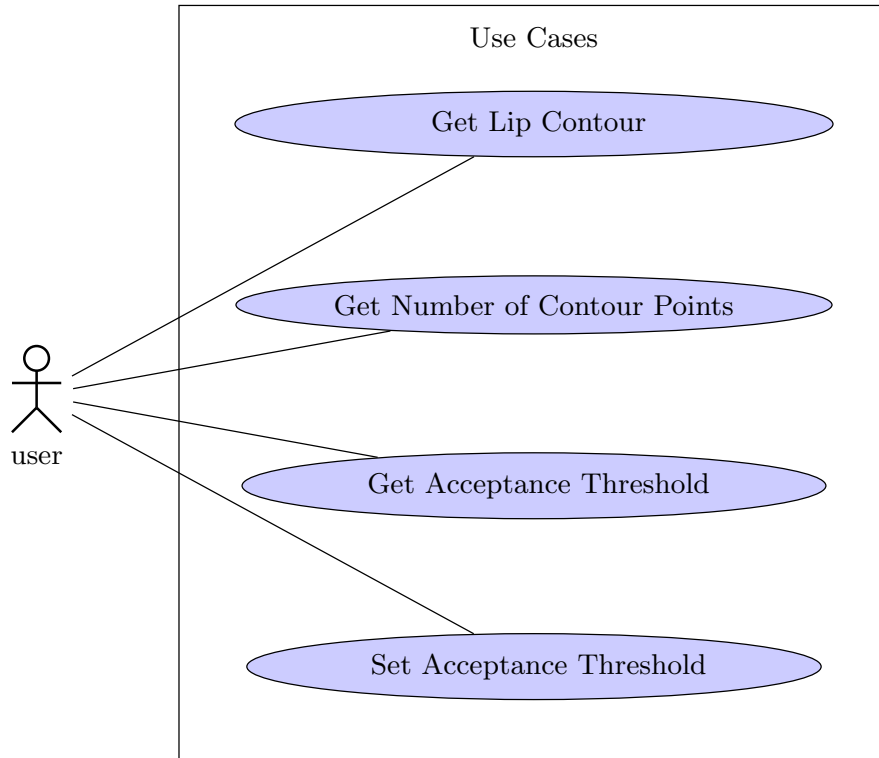


Figure 4.1: Use case diagram

## 4.1 Use Case 1: Get Lip Contour

**Actor:** User.

**Goal:** Obtain lip contour data from a face image.

**Preconditions:** User has imported our library, has an image to process, and has allocated an array of the proper size.

**Postconditions:** User has a lip contour for the provided image.

**Sequence of Steps:** User calls one of the `getLipContour` functions on the facial image.

**Exceptions:** The image provided is not of a face: the function will return an error code.

## 4.2 Use Case 2: Get Number of Contour Points

**Actor:** User.

**Goal:** Obtain the number of contour points provided by the lip-tracking process.

**Preconditions:** User has imported our library.

**Postconditions:** User has the number of contour points.

**Sequence of Steps:** User calls the `getNumberOfContourPoints` function.

**Exceptions:** None.

## 4.3 Use Case 3: Get Acceptance Threshold

**Actor:** User.

**Goal:** Obtain a value for the acceptance threshold for the lip tracking process.

**Preconditions:** User has imported our library.

**Postconditions:** User has acceptance threshold value.

**Sequence of Steps:** User calls the `getAcceptanceThreshold` function.

**Exceptions:** None.

## 4.4 Use Case 4: Set Acceptance Threshold

**Actor:** User.

**Goal:** Change the value for the acceptance threshold for the lip tracking process.

**Preconditions:** User has imported our library.

**Postconditions:** Acceptance threshold value is changed to provided value.

**Sequence of Steps:** User calls the `setAcceptanceThreshold` function.

**Exceptions:** User provides an invalid value to the function: acceptance threshold value will remain unchanged. Function will return 1 to indicate failure.

# Chapter 5

## Technologies Used

Below we list all of the technologies that we will be using in our system and the basic reasons why we chose to use each of them.

### 5.1 C++

We will be using C++ because it is a relatively efficient programming language. Additionally, it will allow us to create one library that can be cross-compiled and reused on any platform. Further, both of us have a significant amount of experience with the language.

### 5.2 CUDA

CUDA (Compute Unified Device Architecture) is a parallel computing platform and programming model developed by Nvidia for their GPUs. We will be using CUDA because Android devices are now starting to get dedicated GPU chips that are optimized for CUDA.

### 5.3 Nvidia Tegra K1

We will be using the Nvidia Tegra K1 GPU because it is the currently the most common mobile GPU being marketed, with phones like the Google Nexus 9 utilizing it.

### 5.4 OpenCV

We will be using the OpenCV library to do image processing such as noise filtering and edge detection. OpenCV also detects if there is a CUDA-capable GPU on the system and will use it if it finds one. Additionally, it is compatible with Windows, Linux, OS X, Android, and iOS, so it will work for all of our implementations.

## 5.5 CMake

CMake is a cross-platform make utility which detects what compilers are installed on the system and selects an appropriate to use for the project. This will make it easy for us to test changes on different platforms by streamlining the compilation process.



## Chapter 6

# Design Rationale

In this chapter we list and explain the reasoning for the design choices we have made for the lip-tracking library.

### 6.1 Technologies

A description of the technologies we will be using can be found in Chapter 5. We chose these technologies because they are very common and well supported, in addition to being available on every platform we are working with (Windows, Linux, OS X, Android).

### 6.2 Application Programming Interface

We wanted to make our API as simple as possible for the user, while still being flexible. As a result, we allow images to be inputted in many common and popular formats. The full list of compatible image formats is as follows:

**Bitmaps** \*.bmp, \*.dib

**JPEG files** \*.jpeg, \*.jpg, \*.jpe

**JPEG 2000 files** \*.jp2

**Portable Network Graphics** \*.png

**Portable image format** \*.pbm, \*.pgm, \*.ppm

**Sun rasters** \*.sr, \*.ras

**TIFF files** \*.tiff, \*.tif

The user can also change the acceptance threshold for lip detection. If there are too many false positives, then the threshold can be lowered to compensate, and vice versa.

## 6.3 Algorithm

There are many different algorithms that have been used in the past for feature tracking. These can be divided into three categories, geometric-based approaches, appearance-based approaches, and model-based approaches. Ahmad Hassanat has provided a literature review comparing these approaches in his paper *Visual Speech Recognition* [3].

### 6.3.1 Geometric-Based Approaches

These approaches involve edge detection and then trying to match ratios of the edge's height, width, area and perimeter with some predefined values.

### 6.3.2 Appearance-Based Approaches

These approaches involve color segmentation of the image and then trying to match a segment with a predefined set of colors. This is the most common approach currently taken [5][7], but does not always have good results. Increasing the contrast beforehand can somewhat improve the results.

### 6.3.3 Model-Based Approaches

These approaches involve creating a statistical model of a feature shape by inputting a set of hand-landmarked images into a neural network. This model can then be used to very accurately find a similar shape in an image. There are three common model-based approaches, the Active Contour Model (ACM), Active Shape Model (ASM), and Active Appearance Model (AAM). These three models are closely related in that they all use the same fundamental algorithm. However, ASM is an improvement over ACM and AAM is an improvement over ASM. These improvements bring more accuracy to the algorithm, however, they also increase complexity and runtime.

Additionally, both ASM [4] and AAM [6] have been shown to be parallelizable with CUDA.

### 6.3.4 Our Approach

We have chosen to take a hybrid approach that combines all three previous approaches. The geometric-based and appearance-based approaches alone do not produce results that are accurate enough for us. They run very quickly, however, and can produce a better input image for a model-based approach. The model-based approach we are using is the Active Shape Model. The model is a good balance between the Active Contour Model, which is too simple and not accurate enough, and the Active Appearance Model, which is complicated and relatively slow. We will also convert

all of the input images to grayscale as this will speed up many of the processing operations. An example of all the operations we will perform can be found in Figure 6.1.

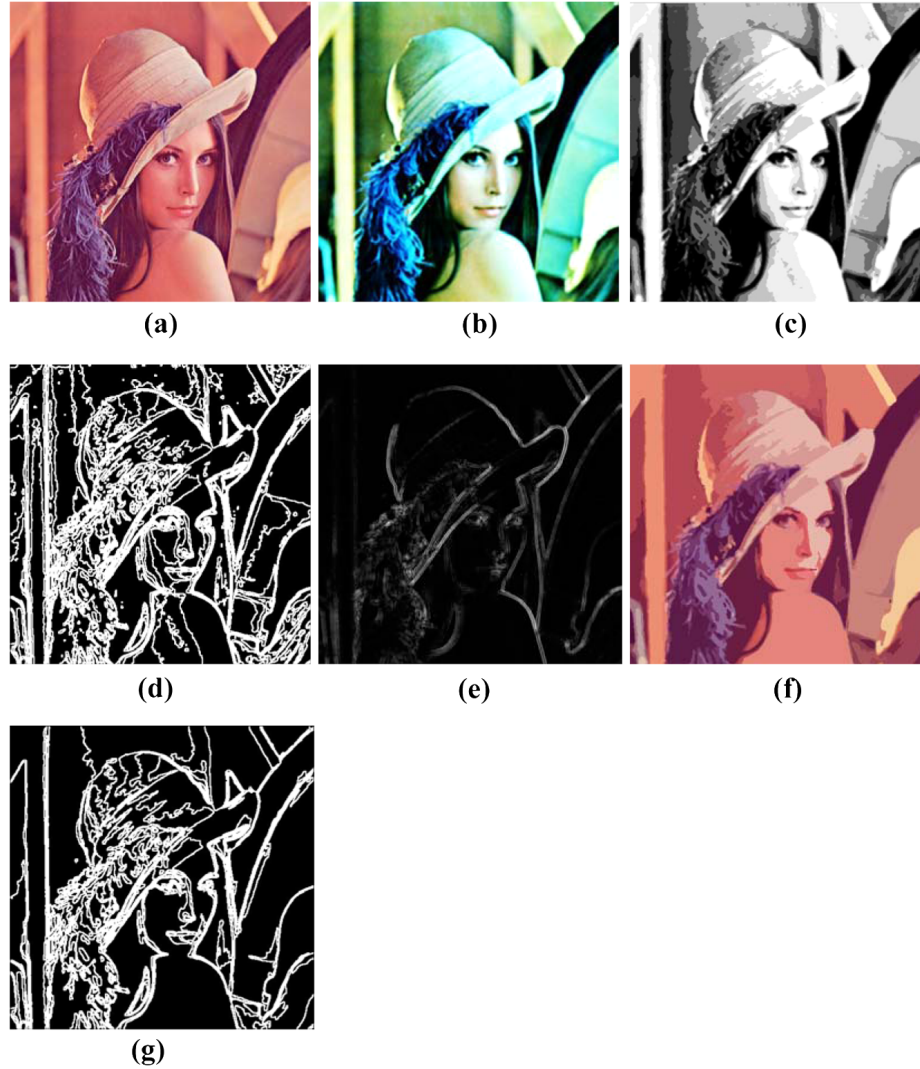


Figure 6.1: (a) Source image  
 (b) Increased contrast  
 (c) Grayscale  
 (d) Heavy edge detection  
 (e) Light edge detection  
 (f) Color segmentation  
 (g) Medium edge detection  
 Figure taken from Zhang[8]

## Chapter 7

# Architectural Diagram

We will be using a data-flow architecture for our system, also known as a pipe-and-filter architecture. The system will have an array of pixel values for an image which gets modified by each filter it passes through. The entire data flow for our system can be seen in Figure 7.1.

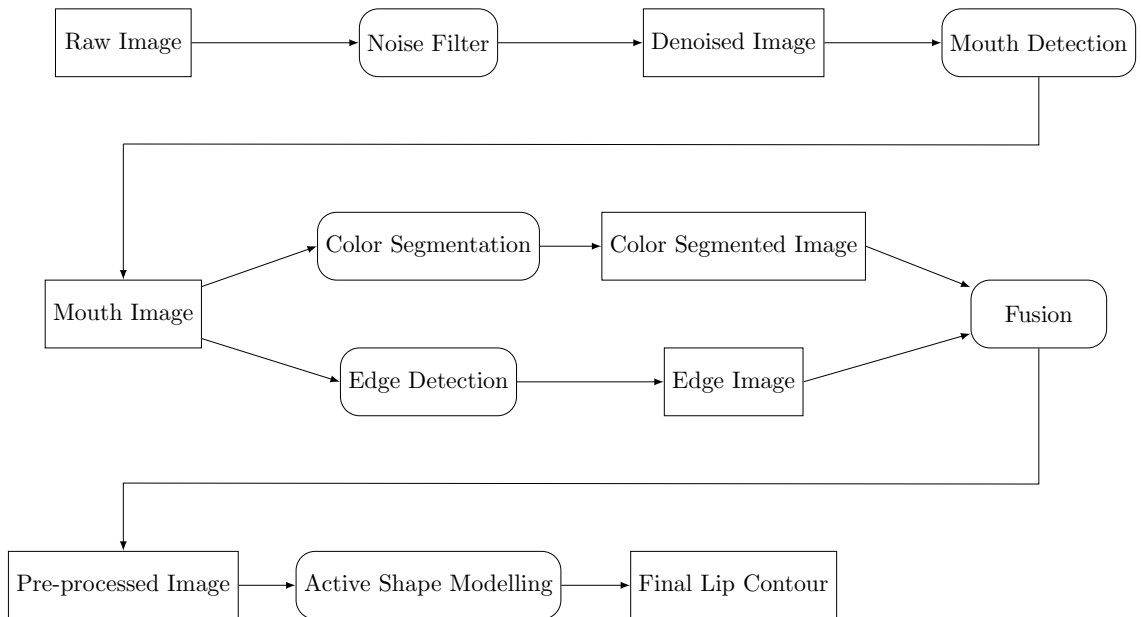


Figure 7.1: System architecture

## Chapter 8

# Test Plan

It is necessary for us to test our system in order to ensure that our lip-tracking library is fully functional and meets all of the requirements we have identified for it. There are a number of different ways that we plan to test our system, the main points of which are listed below.

### 8.1 Alpha Testing

We have a library of videos and images of people's lips. We will visually analyze the output of our program for these inputs to see how accurately it tracks the lips.

We will also perform automated unit testing, including the following sets of test.

- Checking a variety of images that either do or do not have lips in them to see whether the library properly identifies the lips or lack thereof in the image.
- Iterating through a range of acceptance threshold values on various images of lips to see when detection starts and stops.

### 8.2 Beta Testing

We will get a group of people with a diverse set of facial features to test our library with a webcam and visually analyze the results. The variables we will try to test include mustaches, beards, teeth presence, lip color, and skin color.

## Chapter 9

# Project Risks

Table 9.1 below shows the potential risks we have identified for our project. Listed with each risk are the consequences should the risk occur, the probability of the risk occurring, the severity of the risk, the impact of the risk (calculated by multiplying probability and severity), and the mitigation strategies we employed to avoid the risk from occurring.

Risk	Consequences	Probability	Severity	Impact	Mitigation
Poor time management	Not having a finished product.	0.4	7	2.8	Follow gantt chart schedule. Prioritize features of system.
GPU transition problems	Delays. Potentially only CPU implementation finished.	0.3	8	2.4	Consider GPU implementation while working on CPU version.
Team member becomes sick or otherwise disabled	Loss of time.	0.4	4	1.6	Stay informed on each other's progress.Soft deadlines.
Major issues with chosen technologies	Loss of time and progress.	0.2	7	1.4	Early testing of technologies. Consider alternative technologies in advance.
Failure to gather sufficient beta testers	Incomplete testing.	0.5	2	1.0	Scout beta testers in advance. Have thorough alpha testing plan.

Table 9.1: Risk analysis table

## Chapter 10

# Development Timeline

The figures below show timelines of the work we have done as well as when we will be working on and finishing each major task of this project that we have yet to complete, including the writing of the library, finishing the documentation, and preparation for the final presentations in the spring. By following this plan, we have and will continue to keep ourselves on schedule for our upcoming deadline.



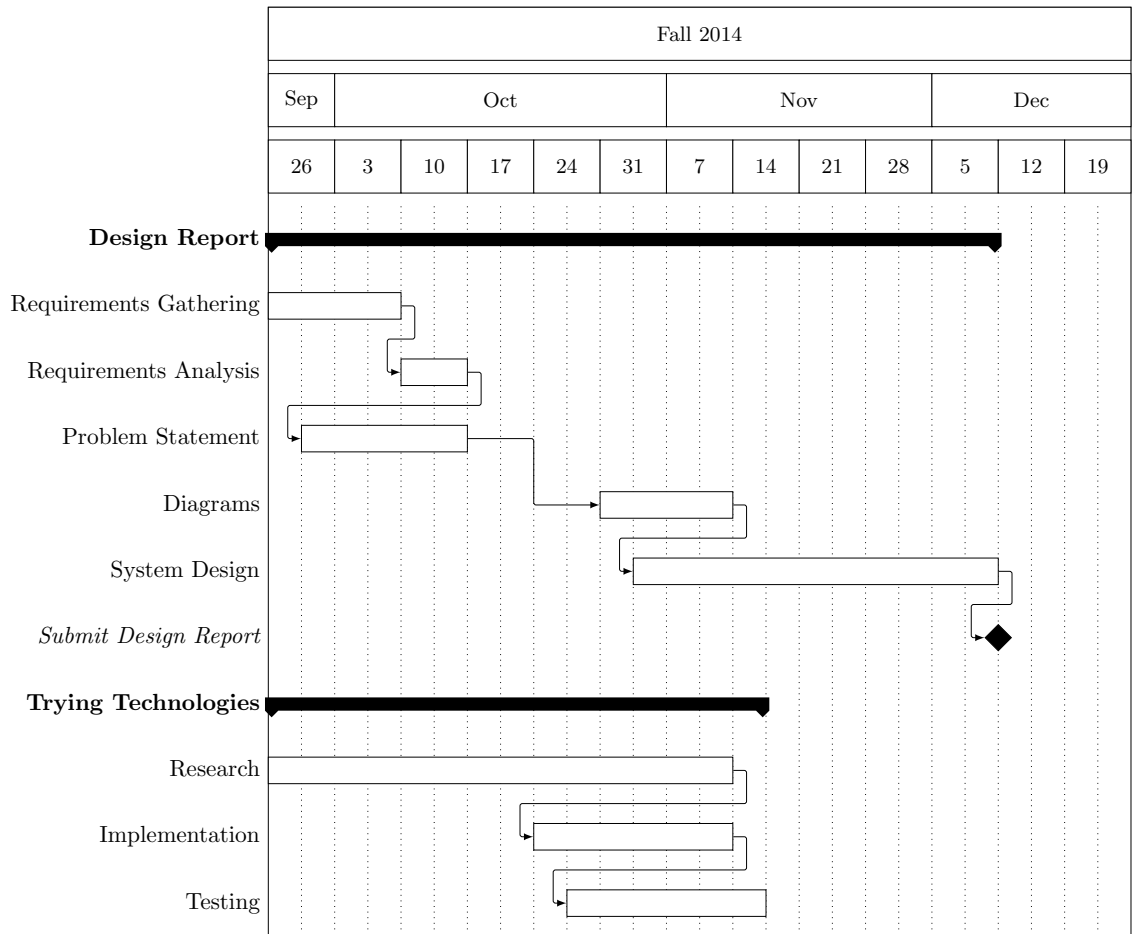


Figure 10.1: Fall quarter gantt chart

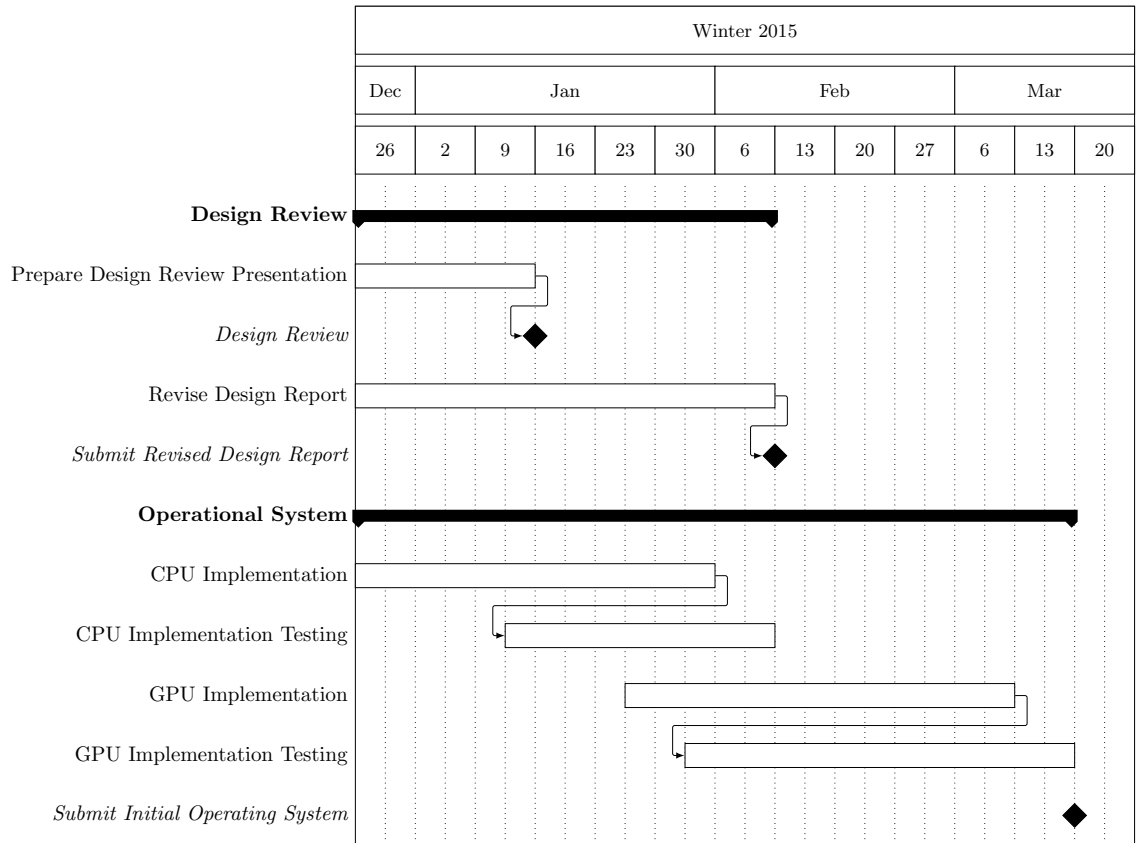


Figure 10.2: Winter quarter gantt chart

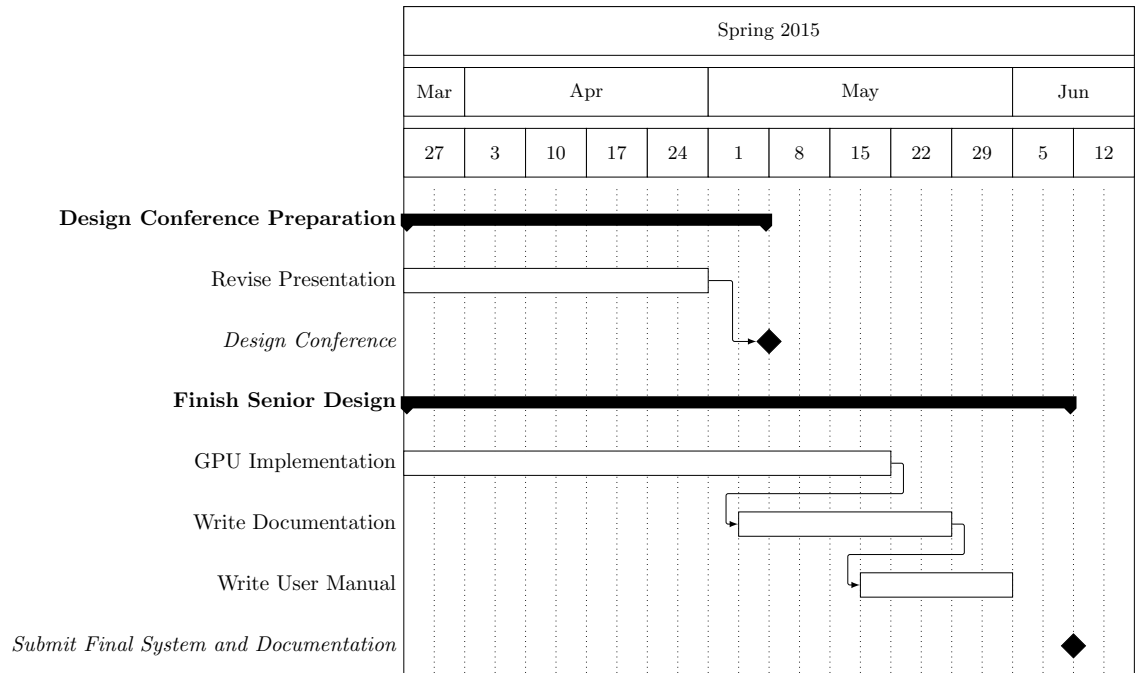


Figure 10.3: Spring quarter gantt chart

# Chapter 11

## Results

### 11.1 Pre processing Input Images

Our initial plan was to use a geometric based and appearance based approach to pre process the input images before doing the shape modeling step. The geometric based approach involves doing edge detection on the image, which can highlight the contours of the lips better for easier detection. The appearance based approach involves doing color segmentation on the image which can separate the lips from the rest of the face due to their different color.

After trying these approaches, we found that they are not viable methods of enhancement. The edge detection alone did not slow down the program noticeably (it only added 10 milliseconds of processing time per frame) but it made detection much worse. The shape modeling algorithm could not find landmarks on the input image after an edge detection filter was applied to it. The color segmentation filter did not worsen the shape modeling algorithm like edge detection did, but it also did not noticeably improve shape modeling either. Also, as a downside, doing color segmentation alone takes a noticeable amount of time (40 milliseconds per frame), so it slowed down the program considerably. And finally, combining the edge detection with color segmentation simply resulted in having both of their negative effects. As a result, we have decided that have no pre-processing step is the best choice for this application.

### 11.2 GPU-Complications

We encountered a number of complications when attempting to convert our CPU code into a GPU implementation. The first difficulty is that transferring image data between the CPU and GPU is a fairly expensive procedure. When we first got the code running on the GPU it was running at less than one frame per second because it took so long to transfer images. We were able to move some more of the code onto the GPU so that there would be fewer image transfers over all, which

brought the speed back up to a measurable rate of around 4 to 6 frames per second, but this still is not anywhere near being an improvement on the CPU speeds.

Another complication we encountered is that the CPU and GPU implementations of some functions in OpenCV sometimes actually differ in what they are capable of doing. The `matchTemplate` function which is used in the lip-tracking code is one such function that does not have the same optimizations on the GPU as it does on the CPU. The version of OpenCV with which we were working also did not support certain functions that we needed for an ideal implementation and we were forced to write our own. One example of this is the `gemm` function used for performing matrix multiplication on the GPU.

## Chapter 12

# Audience Analysis

Our audience will consist of three groups. The first is technical and very knowledgeable of our research area. The second are those who are there to judge us on our writing and oral presentations. The final group will be comprised of those with little to no technical knowledge, including the people who might use the final application that our library runs in as well as any family and friends that might attend to see us present.

### 12.1 Judges

The judges of our presentation are the most important members of our audience, as they are, after all, the ones who inevitably decide how well we do. They would probably have a basic understanding of general engineering concepts, but most likely not any knowledge specific to our project's field. As a result, we would have to explain some concepts to them in a way that they would understand. A combination of concise text and graphics would be useful in this case.

### 12.2 Technical

The main member of this group that we are expecting in our audience is our project advisor. She will have the strongest technical understanding of the material that we are presenting. Others with her experience and understanding of the subject matter may be in the audience as well, such as our advisor's colleagues or other professors. Members of this audience group would likely understand the subject matter of our presentation as well as we do if not better.

### 12.3 Users and Family/Friends

The people using the language learning application that our library powers want it to always work reliably. If it doesn't they would be upset and complain. As for family and friends, they want to

support us and try to learn about what we made. Both parties have very little to no knowledge of how our system would work, so explanations to them need to be very simple and straightforward, otherwise, we risk boring or confusing them. Pictures, rather than text are more beneficial here.

## **12.4 Conclusion**

Much of our presentation will be targeted toward the judges, since they have the most impact on our success. We plan to start out general by giving a simple, interface and functionality-based overview of the whole system. This will allow the people who don't want a lot of complicated information to at least understand what our project is about. Once the general information has been covered, we would then go into more information for the judges and other, more technical audience. Given that the judges will be the primary focus of our presentation, we will not spend too much time on the most heavily technical sections so that we do not lose their attention in extensive computation and field specific jargon.

## Chapter 13

# Ethical Analysis

### 13.1 Ethical Justification for the Product

The world is a big place with many people living in it. Every day, however, advances in technology are increasing the connectivity between people and cultures around the world. This technology provides a means by which anyone around the world could conceivably connect with anyone else. One of the inherent barriers to this process, however, is the fact that not all people speak the same language. In fact, there are thousands of different languages spoken around the world.

The main ethical justification for our product is based on its use with the language learning software for which we are creating it. Ideally, the way our product is used with the language learning application will help people to learn to improve their ability to speak additional languages. This increase in linguistic ability is important because being able to speak multiple languages increases a person's capacity for connecting with others in the world. More people becoming connected in this way fosters a greater sense of global community, which in turn can lead to greater global moral awareness. Our hope is that the software we are making can help to bring humankind one step closer to a more socially connected world.

Its use in this language learning software is by no means the only application for our lip-tracking software. There are many other ways that lip-tracking software that is both accurate and fast could be useful. For example, one might use it to design lip reading software that tries to interpret what someone is saying just from seeing his or her mouth move. With such software it would be easier to do automated captioning of video so that blind people might be able to understand what is going on. With translation software this could be expanded to work for people who do not speak the language of the video as well.

## 13.2 Team and Organizational Ethics

First and foremost, we place a high priority on being ethical to each other by each performing a fair share of the work. It is unfair for one person to have to do a majority of the project by himself. We intend to clearly plan out which of us will take the lead on which portions of the project so that this will not happen. Should one of us become ill or otherwise indisposed, the other will obviously step in to pick up the slack, but the sick member will do his best to make up for the lost time once he is feeling better.

In addition to our ethical obligations to each other, the two of us also have an ethical obligation to our advisor and the rest of the team working on the full product. We intend to make sure to keep our advisor well-informed of our progress throughout the year. This way she can better aid us if we should run into trouble along the way. We also will not lie about our progress if we fall behind, as this will only hurt us, as well as everyone else involved in the project.

Another ethical issue is plagiarism. It is easy to copy code off the internet and pass it off as one's own without citing the author. We will not do this as it is not only ethically wrong but incredibly easy to avoid. We will simply cite the author of any useful library or snippet of code that we should find and utilize for our lip-tracking code library.

We will also avoid wasting Santa Clara University's resources. Any funding that we should obtain from the school will be appropriately budgeted to make sure none goes to waste and that all of it is beneficial to our project. On top of that, we have minimized the requirements of our project to the point where we may end up not needing any funding at all.

Lastly, all of our actions will comply with the IEEE/ACM code of ethics [2]. This means that we will do work in the best interests of our advisor, as long as it is also in the public's best interest. Our code will be written in the highest quality possible and will not be copied unjustly from others. And finally, by making our code open-source, we will allow others to use and learn from our work for their own projects.

## 13.3 Product and Society

Overall, our project should prove helpful to society by serving to increase people's linguistic diversity. We can, unfortunately, see a nefarious use for our lip-tracking library, which is its use in surveillance applications. It would technically be possible for our project to be applied in lip-reading software that could be used on people through CCTV cameras and figure out what they are saying. The Criminal Law Handbook states that we should not expect privacy in a public place [1]. Most people,



however, expect that their conversations will be private when others are not around them. Despite this, we do not believe that the ethical fault lies within our product, but rather in the way that people might choose to use it. After all, GPU-accelerated lip-tracking algorithms don't spy on people; people spy on people.

Since our product handles images of people's faces, a concern some people may have is that it might collect and send the photos to a malicious third-party. To combat this, our project's code will also be completely free and open-source. This means that people using our library can feel safe that our code won't do anything undesirable. For example, our code will not collect images or other data of the user and it will not send any data to a remote server. Additionally, open-sourcing the code allows others to benefit from our code by learning from it and using it for their own projects.

During beta-testing of our project, we may require having some people test out the software to see how it performs for various faces. We will only store photos of users if they explicitly give us permission to do so and if the photos will help us improve our algorithm. These photos will also not be given out online as a part of the open-source code. We will provide tools that can train the lip-tracker from a collection of photos that the developer will already have procured, but we will not provide any photos ourselves since this may infringe on the privacy of those in the photos.

## 13.4 Conclusion

We believe that our project adheres to all ethical guidelines by which we, as engineers and human beings, base our decisions. The sources of these guidelines include the IEEE/ACM code of ethics, the Markkula Center's Framework for Thinking Ethically, and Santa Clara's Engineering Handbook. Our project has the potential to benefit people in many different ways, the amount of which is only limited by human kind's technological ingenuity.

## Chapter 14

# Aesthetics Analysis

### 14.1 Audience and Interface

Our portion of the project does not have a standard windowed user interface as it is simply a library of code to be used in other applications, such as the language-learning mobile application. For this reason, our aesthetics analysis will focus on how our code is written and presented in documentation. Further, the only probable audience that needs to be considered as far as the aesthetics is concerned is that group of people that would be looking at the code. For this reason, the aesthetics can be targeted entirely toward those with enough technical knowledge to at least partially understand programming and software.

### 14.2 Documentation

The first is elements of our documentation. It must be easy to read and understand by a variety of audiences. We also create several diagrams within the documentation. These diagrams should be aesthetically pleasing to view as well as easy to understand. For example, lines within flow diagrams should not cross unnecessarily and objects should be arranged in a logical fashion.

Commenting is also a major concern whenever one writes any amount of code. Code becomes exponentially more difficult to understand the more that is written. Comments serve as documentation within the code itself. Proper commenting can help to reduce the amount of time the reader must spend trying to figure out what parts of a body of code do. We will be sure to write descriptive comments, specifying what various portions of our code that might be difficult to ascertain normally do. We won't, however, comment on every little part of our code. Excessive commenting can often lead to just as much confusion as no commenting at all.

## 14.3 Code Simplicity and Clarity

The second place where aesthetics come into play in our project is in our code. When writing code there are several aesthetic properties to keep in mind. The code should not be overly complicated, which means that it should be as simple and straightforward as possible while still being correct and accomplishing the desired goal. Code should also be split up into useful modules. If code is all written in one place rather than being divided up, it creates monolithic blocks of code that are close to impossible to understand. These considerations lead to elegant and understandable code. Additionally, each module should have logically related functions, providing a high level of cohesion in the overall system. Further, unrelated sections of the code should not reference each other, as it makes it harder for a user to understand what is going on by unnecessarily increasing the coupling of the system.

## 14.4 Code Syntax

Our code also needs to be written in a consistent and visually appealing way. There are many considerations to make when writing code so that it is visually appealing and easy to read. We will use consistent and proper tabbing. Tabbing assists the reader in following which code falls into what subsection and how it relates to other lines of code. Another consideration is the spacing between variables, operators, and other portions of the code. For example, `x = x + 5` is more visually appealing and easier to read than `x=x+5`. Lastly, adding lines of white space between sections of the code helps the reader to identify where one section of the code stops and another begins.

## 14.5 System Interface

As our final consideration, will make our library's interface simple and easy to use. The interface of a library of code are those functions which someone intending to use the library would call in order to utilize our code. The goal of our code is to take an image of a face and output a set of points outlining the person's lips. Rather than make the person using our library have to learn how our code works to use it, we will have a function with an input of an image and an output of a list of points. If they want to delve deeper and be able to change some of our settings, we will provide access to those as well, but the core functionality will be very easy to use.

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