

# Supercomputing Centers and Electricity Service Providers: A Geographically Distributed Perspective on Demand Management in Europe and the United States

Tapasya Patki<sup>1</sup>, Natalie Bates<sup>2</sup>, Girish Ghatikar<sup>3</sup>, Anders Clausen<sup>4</sup>, Sonja Klingert<sup>5</sup>, Ghaleb Abdulla<sup>1</sup>, and Mehdi Sheikhalishahi<sup>6</sup>

<sup>1</sup> Lawrence Livermore National Laboratory

<sup>2</sup> Energy Efficient High Performance Computing Working Group

<sup>3</sup> GreenLots and Lawrence Berkeley National Laboratory

<sup>4</sup> University of Southern Denmark

<sup>5</sup> The University of Mannheim

<sup>6</sup> Create-Net

Contact Email: patki1@llnl.gov

**Abstract.** Supercomputing Centers (SCs) have high and variable power demands, which increase the challenges of the Electricity Service Providers (ESPs) with regards to efficient electricity distribution and reliable grid operation. High penetration of renewable energy generation further exacerbates this problem. In order to develop a symbiotic relationship between the SCs and their ESPs and to support effective power management at all levels, it is critical to understand and analyze how the existing relationships were formed and how these are expected to evolve.

In this paper, we first present results from a detailed, quantitative survey-based analysis and compare the perspectives of the European grid and SCs to the ones of the United States (US). We then show that contrary to the expectation, SCs in the US are more open toward cooperating and developing demand-management strategies with their ESPs. In order to validate this result and to enable a thorough comparative study, we also conduct a qualitative analysis by interviewing three large-scale, geographically-distributed sites: Oak Ridge National Laboratory (ORNL), Lawrence Livermore National Laboratory (LLNL), and the Leibniz Supercomputing Center (LRZ). We conclude that perspectives on demand management are dependent on the electricity market and pricing in the geographical region and on the degree of control that a particular SC has in terms of power-purchase negotiation.

## 1 Introduction

Current Supercomputing Centers (SCs) for High-Performance Computing (HPC) with peta-scale capabilities have high power demands, with peak requirements of over 30 MW and fluctuations of a few megawatts over short-time scales [4]. This trend is expected to continue in the future as we push the limits of supercomputing further. As a result, Electricity Service Providers (ESPs) for such SCs need to support efficient electricity generation, transmission and distribution along with reliable grid operation. ESPs today already face reliability concerns for accommodating megawatt-level fluctuations from SCs and often require HPC client sites to forecast their electricity use. The acceptance and proliferation of renewable sources of energy further adds to the variability in electricity generation, making grid reliability even more challenging. A tighter integration and open communication between ESPs and their client SCs is thus critical as we proceed toward the next generation of supercomputing.

At present, most ESP-SC relationships are linear and unidirectional. Power is typically generated, distributed and delivered to customer sites without direct or active involvement, and most electricity pricing contracts are negotiated without any communication requirements. Going forward, however, it is expected that a multi-directional relationship will evolve between the ESPs and SCs. Communication and control will flow from end-customers to one or more of the electricity generation and distribution entities, and contract terms will enforce stringent usage requirements. The cloud and data center providers, such as Google, have already started to anticipate this multi-directional relationship and are taking advantage of this changing landscape. For example, Google’s response suggests vertical integration, especially with Google’s Energy Subsidiary which gives Google the right to sell energy within the United States [11]. Another example is the SmartGrid initiative [19] by the U.S. Department of Energy, which is making electricity delivery faster and more efficient by involving customers, adjusting to dynamic demands, and by providing automated solutions and quick responses to remote facilities. *Demand management* (DM) is a set of explicit actions taken by large-scale data centers, cloud providers, SCs and other entities in order to establish such multi-directional relationships with their ESPs. One key element is the *temporal* component that indicates the timescale requirements for the DM actions. The benefits of DM depend on the timescales of negotiation and implementation of this relationship with the ESPs. The Energy-Efficient High-Performance Computing Working Group (EE HPC WG) seeks to analyze the impact of DM implementations for SCs with HPC workloads and for their ESPs.

In our previous work, we focused on understanding how ESPs and SCs can work together to improve DM through grid-integrated services by surveying large-scale SCs in the United States [4]. We developed a questionnaire and surveyed 11 sites. We noted that none of the SCs are working directly with their ESPs to leverage the benefits of DM. Our main conclusion from this work was that SCs in the United States

were interested in a tighter integration with their ESPs, but a business case for the same had not been well-demonstrated. In this work, we expand our analysis to include European SCs. We accomplish this by extending the aforementioned questionnaire and quantitatively surveying nine European SCs.

The main motivation for our geographical study lies in the way electricity is priced. In Europe, electricity is more expensive and is subject to more variability because of the larger mix of renewable sources. Additionally, the SCs in both geographical regions have different maximum power demands. For example, in the United States, four of the SCs we surveyed had HPC workloads of 10 MW or more. The remaining SCs in the United States as well as all the SCs in Europe had workloads of 5 MW or less. The size of demand and its variability have different and co-related impacts on the operation of the SCs and grid. Furthermore, the European grid is more integrated and differs in terms of its market interconnections than the United States, which impacts the benefits of DM for SCs [10].

The key objectives for this study thus included:

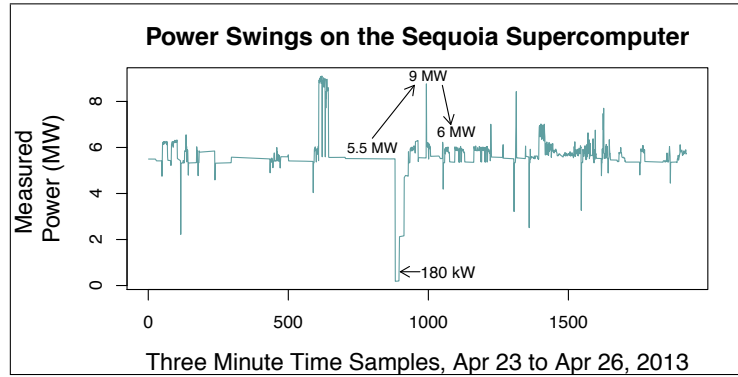
- Understanding the similarities and differences in the ESP-SC relationships based on geographical locations in Europe and the United States,
- Understanding how these relationships impact the motivation for DM and how the SCs under consideration can leverage the DM benefits, and,
- Determining any necessary regulatory and technology interventions for grid-integrated DM

Our initial expectation was that the European SCs will be more tightly integrated with their ESPs because of the higher prices and more extensive use of renewables. Contrary to our expectations, however, we found that the United States shows more interest in responding to requests from their ESPs than Europe. The four SCs that needed 10MW or more had active communication channels with their ESPs about responding to grid requests. None of the SCs in Europe had similar relationships with their ESPs. In this paper, we present these results and analyze the differences across the two geographies that may have led to this result. We first present results from our quantitative survey from 9 European SC sites and 11 United States SC sites, and then conduct a detailed qualitative analysis for three major SCs: Oak Ridge National Laboratory (ORNL), Lawrence Livermore National Laboratory (LLNL), and Leibniz Supercomputing Center (LRZ). The main goal for the qualitative analysis is to delve deeper into the electricity pricing structures as well as the available incentives for a tighter integration, and to understand what motivates the existing relationship between SCs and their ESPs to leverage the benefits from DM.

Section 2 motivates the need for an open multi-directional relationship between SCs and their ESPs and Section 3 presents an overview of DM actions. Section 4 presents the quantitative results from the questionnaire. In Section 5, we review our site-specific interviews and present a qualitative analysis of the DM options available to these sites. Section 6 presents related work, and Section 7 summarizes our results and discusses future research directions.

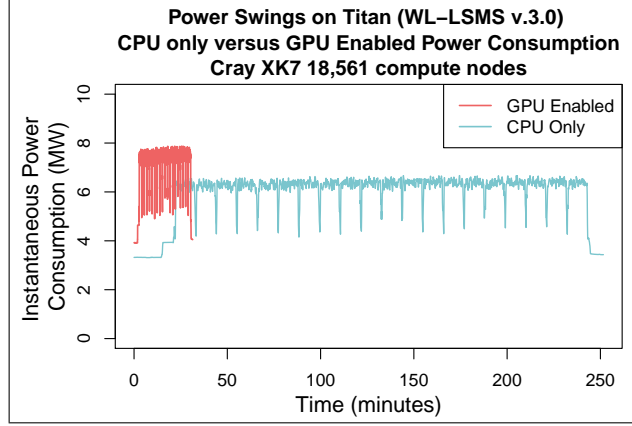
## 2 Motivation for Demand Management

We measured the power consumption of Sequoia, which is the world's third fastest supercomputer (17.1 petaflops) hosted at LLNL. Sequoia is a BlueGene/Q system with 98,304 16-core PowerPC A2 compute nodes and has a power rating of 7.9 MW. The data from Sequoia was collected at three-minute intervals over three days and the results can be seen from Figure 1. Information about the workload being executed was not made available. The y-axis is the power consumed, and the x-axis represents the time samples. As can be noted from this figure, fluctuations of a few megawatts are fairly common. Some of these fluctuations may be related to maintenance cycles and could be scheduled or forecasted. However, there are other times where the fluctuations are not scheduled in advance and may occur as a result of the workload that is executing on the supercomputer.



**Fig. 1.** Sequoia Supercomputer Power Swings

We observe similar trends with data from Titan, which is the world's second-fastest supercomputer hosted at ORNL. Titan is a 17.6 petaflop system with a power rating of 8.2 MW. It comprises of 18,688 16-core AMD Opteron 6274 compute nodes, and each compute node has a NVIDIA Tesla K20X GPU. Figure 2 shows data gathered from identical WL-LSMS executions on the Titan supercomputer. WL-LSMS is a benchmark that performs thermodynamic calculations [15]. The graph in Figure 2 has instantaneous power in MW on the y-axis and the benchmark execution time on the x-axis. The data is reported for a CPU-only run as well as a GPU-enabled run. The power samples for the CPU-only run were collected every 8 minutes, where as the samples for the GPU-enabled run were reported every second. The red line represents the GPU-enabled run and the blue line represents the CPU-only run. As can be noted from this data, substantial power swings are observed on Titan, both in the case of CPU-only as well as GPU-enabled runs.



**Fig. 2.** Titan Supercomputer Power Swings

The energy efficiency improves by about seven times when the GPU is enabled as the application runs significantly faster. Note that the improvement in energy efficiency is application-dependent, and that the power swings observed here are a result of the ensemble runs of WL-LSMS. In this example, they occur when a new set of calculations is being initiated and there is a pause between the compute-intensive work phases. This trend is observed for both the GPU-enabled and the CPU-only runs. Peak power increased by about one megawatt with the GPU-enabled run. The net effect is that less energy is used to get the same amount of work done in the GPU-enabled case, but with slightly higher power draw and potentially higher power variability.

Both these datasets clearly indicate that power fluctuations occur in real production systems, and this can affect the reliability of the ESP grid. It is thus imperative to understand how such variable power demands can be managed better. In this context, demand management (DM) is one approach to mitigate the consequences of these power fluctuations that promotes a tighter relationship between ESPs and SCs.

### 3 Demand Management

Demand Management covers strategies, programs and methods that SCs and ESPs can employ to ensure grid reliability. We define *strategies* as power management techniques used by SCs to manage power and provide load flexibility. Strategies may or may not improve energy efficiency. For example, *Load Migration* is a strategy that SCs may use in response to an ESP's request, and while it helps manage power effectively, it does not impact the energy efficiency of the site. On the other hand, *fine-grained power management* techniques, such as using node-level power capping, or better job scheduling algorithms are likely to improve energy efficiency

but may not be as useful in response to an ESP request. Almost all sites employ some power management strategies, especially the ones involving lighting, temperature, cooling, fine-grain power management and job scheduling.

*Programs* are incentives offered by ESPs to their customers and to SCs in order to motivate them to help balance the electrical grid and perform power management. Common examples include peak shedding, peak shifting and dynamic pricing. Peak shedding describes the action where SCs (or consumers) reduce their electricity consumption in response to a request from the ESP. The reduction in electricity consumption does not lead to an increase in consumption at a later point in time. Peak shifting, on the other hand, moves load from one time slot to another, in response to a request from the ESP. Lastly, dynamic pricing is a mechanism used by the ESP to incentivize an increase or decrease in consumption by varying the price of electricity over time.

*Methods* are used by the ESPs to balance the electrical grid in the transmission and distribution phases. Examples of methods include regulation, frequency response, grid scale storage.

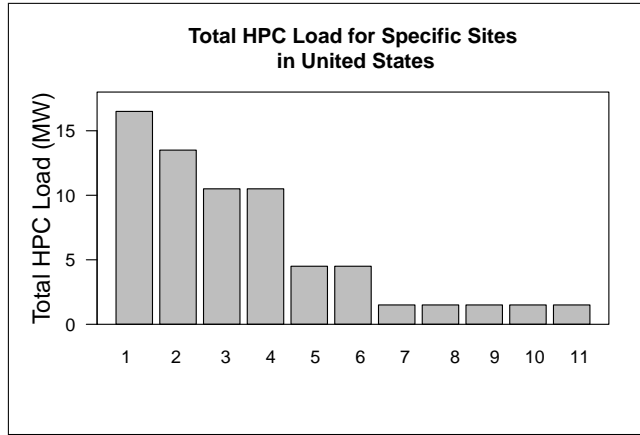
Another important aspect of DM is the wider acceptance of renewable sources of energy. In the current electricity mix, the benefits of *demand forecasting* (that is, predicting the amount of power required by an SC for a certain period of time) by the SCs and their communication with their ESPs for better capacity planning and electricity purchase negotiations have been shown. Such benefits can be exercised with active DM actions within a forecasted power band as described above. With increasing variable renewable generation in the electricity mix, more granular demand forecasting by the SCs can help ESPs to identify and plan for grid impacts during over- and under-generation conditions.

## 4 Quantitative Study: Europe and United States

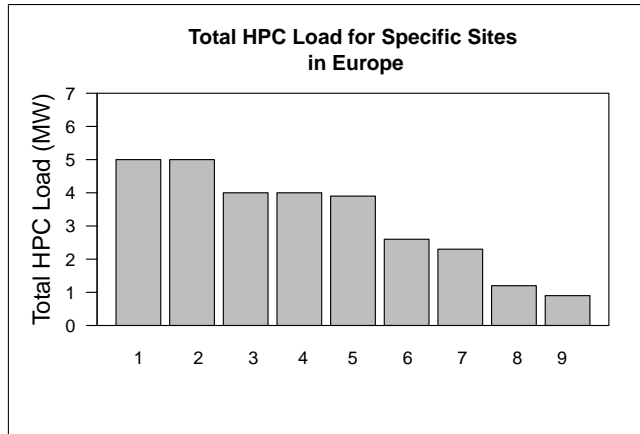
In this section, we discuss the results from our quantitative survey. We extended our questionnaire from our previous work [4] and contacted sixteen SCs in the European region. Appendix A provides an overview of the questionnaire. The detailed definitions for each of the demand management approaches and strategies can be found in our previous work [4]. Nine out of the sixteen European SCs that we contacted responded to the questionnaire. All except one of these sites were in Top 50 supercomputers in the world [1].

Figures 3 and 4 depict the total load in megawatts for each of the respondents in the United States and in Europe. Most supercomputing sites have a total load of under 5 MW (sixteen out of twenty). Four of the surveyed supercomputing sites had a total load of over 10 MW.

Both United States and Europe had power swings and fluctuations of a few megawatts. In our questionnaire, we asked respondents to report the maximum variability that they have experienced in their SCs. The results of these for United States as well as Europe are shown in Figures 5 and 6 respectively. In the United States, three of the eleven sites surveyed had



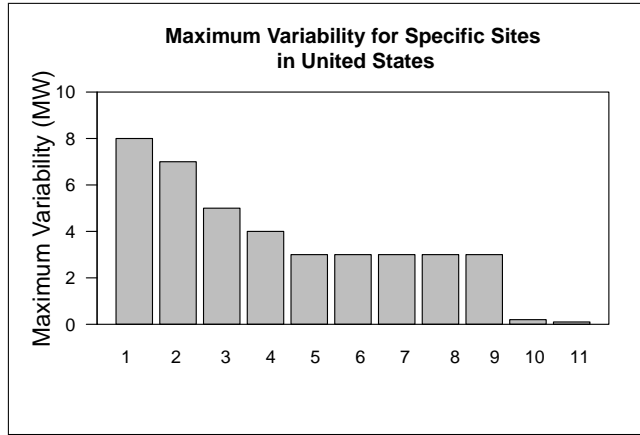
**Fig. 3.** Total Load at at SCs in United States



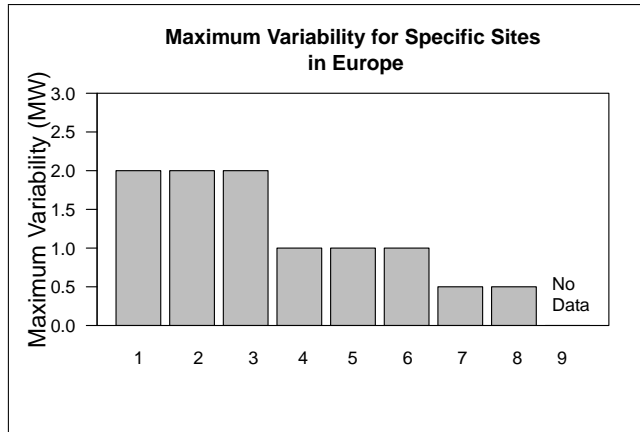
**Fig. 4.** Total Load at at SCs in Europe

maximum variability of over 5 MW. For our United States respondents, the minimal option for reporting this was “Less than 3 MW”, because of which we could not capture less intense power swings. In the European survey, we allowed the respondents to provide a more accurate value, and as shown in Figure 6, we observed power swings in the range of half a megawatt to about 2 MW. Almost all of the respondents reported that this variability is due to maintenance cycles, and that it can be scheduled *day-ahead* if necessary.

In terms of demand management strategies, the survey indicated that there is moderate interest in grid integration strategies such as coarse-



**Fig. 5.** Maximum Variability at at SCs in United States



**Fig. 6.** Maximum Variability at at SCs in Europe

and fine- grained power management or temperature control in the United States, and low interest for the same in Europe. From the point of view of SCs, strategies such as cutting jobs or load migration have little or no interest.

From our questionnaire, we also concluded that neither European nor the United States sites are engaged with peak shedding, peak shifting or dynamic pricing programs at present. More sites in the United States have communicated with their ESPs regarding these programs. While both European and United States SCs are interested in dynamic pricing, there is mixed interest in peak shedding and peak shifting. The European



sites are more interested in peak shedding than peak shifting, but the United States sites are more interested in peak shifting. Both European and US sites are interested in discussing renewables with their ESPs, but there is little interest in communicating with regards to the other possible methods.

<i>Ques:</i> Please evaluate as high, medium or low the following motivations for your site's interest in pursuing a stronger relationship with your electricity service provider				
	Low	Medium	High	Rating Count
Economically justified	14.3% (1)	28.6% (2)	57.1% (4)	7
Good citizen	14.3% (1)	71.4% (5)	14.3% (1)	7
Adverse consequences	66.7% (4)	16.7% (1)	16.7% (1)	6
Government regulation	71.4% (5)	28.6% (2)	0.0% (0)	7

**Table 1.** Motivation for communicating with ESP (European Respondents)

We also asked our European respondents to indicate what might motivate them to communicate with their ESPs. The results are shown in Table 1. As can be noted from this table, the main motivators are the financial incentives and the desire to be “good citizens.” Thus, SC motivations are driven by market-based mechanisms that justify economics and social-responsibility, even under the absence of regulatory support.

<b>Program</b>	<b>Europe</b>	<b>United States</b>
Peak Shedding	1	6
Peak Shifting	0	4
Dynamic Pricing	0	5

**Table 2.** Communications with ESPs regarding available programs

We noted that none of the European SCs communicated about grid integration potential, demand management and available flexibility with their associated ESPs. Additionally, there was little interest in a tighter integration with the ESPs. In general, the SCs in the United States seem to have a closer relationship with their ESPs than the ones in Europe. This can also be verified from Table 2, which shows that only 1 of the 9 respondents in Europe have had a discussion with their ESP.

#### 4.1 Comments from Survey Respondents

From the comments section in our questionnaire, we noted that all SCs are already using *demand forecasting* to communicate their upcoming

demands and maintenance cycle schedules with their ESPs. For example, one comment was “We project hourly average power at least a day in advance, within  $\pm 1$  MW”. Another interesting comment was “We’ve to ensure that our power load neither over- nor under-shoots the contracted power band. In any cases of foreseen power abnormalities we’ve to inform our grid provider at least two days ahead of schedule.”

One of the SCs mentioned that they could not provide the forecast that was being asked by their ESP. More specifically, their comment indicated that their ESP asked for “multi-year forecast of energy requirements, additional detailed forecasting and ultimately real time data, and power projections, hour by hour, for at least a day in advance.”

When it came to ESP programs, the United States SCs showed more interest. “Our site generates 30-35 MW of power yet still imports 5-10 MW. As a large generation source the utility providers see the campus as a highly attractive partner for offloading grid stress. automatic load shedding is being explored/deployed today,” one of the SCs noted. Another comment was “[We are] working on load sharing of data with utility to provide better scheduling tools and address potential grid changes.” One of the SCs mentioned that they demonstrated that peak shedding and shifting was possible, but not deployed due to its impact on HPC productivity.

The European SCs, on the other hand, did not have much knowledge about ESP programs. Some of the responses were “There are not so many related options and features offered by providers. We are open to further and pro-active efforts as long as providers have other kinds of programs to propose” and “With many of your questions I am wondering about the kind of contracts other centers might have and about the quality of some electricity providers.”

The comments also indicated that the SCs in United States are investigating the impact of power fluctuations on the electrical grid. “[We are] working directly with provider to ensure that the effects of large load swings are understood. Have funded a simulation that accounts for all loads.” and “Our provider has no problem with our load swings. They indicate no concern with our next system either, but we are still looking into possible options in case there actually is a problem.” were some of the interesting responses.

## 5 Qualitative Analysis: Site-Specific Interviews

The results presented in the previous section were based on data gathered through a questionnaire created for HPC centers based on experience from a United States context. The preliminary results of the comparison across the geographical regions gave the impression that European SCs had very limited communication with their ESPs with respect to grid integration. However, it was apparent that some SCs in Europe engage in collaboration with their ESPs in order to ensure minimal fluctuations as well as for forecasting of deviations from normal power consumption patterns. In order to shed light on the details of the relationships between SCs and ESPs that were not captured in the questionnaire, we designed

a qualitative interview and surveyed ORNL, LLNL and LRZ. The thesis was that a qualitative analysis will yield more complete information and will enable us to present more thorough comparative study on the status of grid integration of SCs in Europe and the US. For each site, we asked the questions listed below. We present the information from each SC in the subsections that follow.

- What is your responsibility for negotiating the contract between your HPC facility and your ESP?
- Could you elaborate on the details of the pricing structure on your electricity? Note that for this question, we did not request specific information on the actual price the SC pays for electricity. We were mostly interested in the type of pricing program they were enrolled in.
- Do you have any obligations towards your ESP, and if so, what is your incentive towards committing to these obligations? These obligations are characterized by being static and pre-smart grid, in the sense that no real-time communication is needed between ESP and SC. Examples include limits for allowed variability in power consumption and/or fixed power consumption limits. Examples for potential incentives include reduction in electricity price, enabling of direct payments and legislation benefits.
- Do you offer any kind of services for your ESP, and if so, what is your incentive for offering these services? These services are characterized by two way communication between the site and the ESP, where a consumer reacts to information sent by the ESP. Examples include load capping, powering up backup generations, etc.
- How do you envision your future relationship with your electricity provider? (Possible answers were: tighter, for example, by selling local generation capacity; or looser, for example, by being self-sufficient with regards to electricity needs.

## 5.1 Oak Ridge National Laboratory

For ORNL, DOE negotiates the contract with the ESP. ORNL gets its power from Tennessee Valley Authority (TVA), which generates, transmits and distributes the power. The DOE and TVA negotiate the power capacity that is being provisioned each year. Typically, a range for operation is chosen, for the current year, this range is 35 MW to 75 MW. In terms of electricity pricing, ORNL incurs two kinds of charges: a demand charge, which is fixed for a month, and an energy charge based on actual power consumption. The demand charge is determined by analyzing 30 minute blocks and by determining the peak or maximum value for the month. The demand charge can be off-peak or on-peak based on the time of the day. It also has a time-of-use per day component. ORNL's provider, TVA, is not affected by power swings of a few megawatts (5 to 8 MW) and is very reliable. The goal for ORNL is to keep its HPC systems fully utilized in terms of power.

ORNL does not have any obligations and provide any services to its ESP. The only requirement is to operate in the range that was negotiated

(35 MW to 75 MW). They have a model that explains their power usage that they provide to the TVA annually, but there is no two-way communication or forecasting. In general, the capital expenditure for the SC at ORNL dominates the operational costs. As the HPC system cost depreciates with time (for example, Titan's depreciation is about 20K dollars per hour), there is little financial incentive to be flexible and to save on electricity costs. The goal is thus to keep their site fully utilized in terms of power.

## **5.2 Lawrence Livermore National Laboratory**

In the case of LLNL, DOE negotiates the contract with the ESP with the help of a consulting company called Exeter. A bulk purchase of power is made for about 100 MW of power capacity from the California-Oregon Transmission Project (or COTP) and is shared between LLNL and two other DOE sites. Pacific Gas and Electric (PG&E) and Western Area Power Administration (WAPA) are used for transmission and distribution. In terms of electricity pricing, LLNL does not pay a demand charge, but only pays a flat energy charge of about 4.5 cents per kWh, which is on the lower side when compared to the industry. Forecasting is done on a regular basis in order to be a good citizen. For the scope of this questionnaire related to the HPC facility, there is not much financial incentive to save energy costs. Additionally, there are no obligations from the ESP and no services are provided. The goal is to keep the site fully utilized in terms of power and to minimize leftover power in order to be energy efficient.

## **5.3 Leibniz Supercomputing Center**

The power contract between LRZ and *Stadtwerke München*, a Munich Power Company is the result of pan-European procurement. LRZ purchases a basic power band for one or multiple years at the European power stock exchange. Hence, the power price is determined by the European stock market. Additionally, there are charges for the power grid, renewable energy, concession levy as well as taxes which are significant. The charges for power generation and distribution constitute only 25% of the power price in Germany. As a result, the energy costs are very expensive for LRZ.

LRZ operates in a 4 to 6 MW power band. Typically, they pay about 17.8 Euro-cents per kWh. Having consistent power consumption is usually considered better, as huge power swings result in much higher electricity costs. It is thus imperative to be able to forecast any power swings and to inform the ESP about the same. Better prediction models for power usage will definitely benefit LRZ in terms of electricity costs, as one of their goals is to save on energy costs. This is primarily because their energy costs dominate their operational costs. Typically, LRZ lets the ESP know about 2 days in advance for any scheduled downtimes. At present, there are no major obligations toward or services provided to the ESP, mostly because of the QOS guarantees that have to be adhered to for their users.

## 5.4 Analysis

The key goals for our qualitative analysis were to understand the power purchase relationships, energy use, and the level of demand management flexibility available to reduce electricity use and/or energy costs for the three SCs under consideration. Our interviews thus focused on the annual electricity purchase negotiations and pricing structure, and on characterizing SC's electricity use relative to larger campus. We also tried to identify the level of motivation for demand management for lowering peak power and energy use and for any services being offered. We observed that while some trends were common across all three sites, there were some differences. We summarize these similarities and differences below.

**Similarities:** An important common trend was that the power purchase negotiations were typically done by a third party (for example, DOE, Exeter or Stadtwerke München) and on an annual basis. Power capacity was negotiated by specifying an upper limit on the amount of power procured for all three sites. Additionally, in the case of ORNL and LRZ, a lower bound on the power capacity was also clearly specified. Negotiations for all three sites were done at the level of entire site or a set of collaborative sites, and not merely for the supercomputing facility that was located within the site.

**Differences:** We observed that the pricing structure was different in all three cases. In case of LLNL, there was a flat rate, which makes LLNL less sensitive to electricity cost variation. For ORNL, there was a variable rate, which makes it somewhat sensitive to electricity costs. LRZ, however, is very sensitive to the pricing structure because of the expensive energy costs as well as the impact of power swings on electricity costs. In terms of power fluctuations, LLNL used demand forecasting to be a good citizen. For both LLNL and ORNL, reliability was not a major concern and power variations were acceptable by the ESP. For LRZ, the electricity cost increases if there were more power swings, making them highly responsive to such variability and enabling the need for better forecasting. The electricity generation mix in the United States was mostly thermal, where as in Europe it was largely renewable sources of energy.

Overall, we believe that several factors drive the motivation for demand management. The key ones are the control that a site has when it comes to power purchase negotiations, their price sensitivity to power fluctuations, and financial as well as good-citizen-based intentions for communicating their demand with their ESP. One of the factors that was unclear in this analysis was the contribution of the electricity cost as a part of the site's annual budget or operation costs, which we plan to explore as part of our future work.

## 6 Related Work

The focus of our study is the relationship between ESPs and SCs. SCs are fundamentally different than data centers as they have stricter QoS and performance guarantee requirements and need to maintain high levels of utilization. At present, little research exists in the domain for demand management for SCs. Data centers are known to be capable of providing flexibility in their power consumption, and thus are great candidates to participate into energy market demand response (DR) programs. Wierman et. al. [27] survey the opportunities and challenges for data center DR participation. Aikema et. al. [2] overview multiple types of ancillary service markets, and study the capacity and potential benefit by introducing a simple data center participation model. Siano et al. [20] present a survey of DR for smart grids. Ghatikar et. al. [13] exploit various load management techniques, such as load shedding and shifting for data center DR. Goiri et. al. [14] propose GreenSlot, a workload scheduler to maximize the green energy consumption (that is, solar energy) while meeting the job deadline. Geographic load migration is another broadly studied data center management technique to help balance the grid, and reduce the energy cost exploiting the electricity price differences [24, 25, 9, 17, 16].

The participation of data centers in traditional DR programs, such as real-time dynamic energy pricing [26, 12, 18] and peak shaving [22, 23, 3], has been widely studied. Recently, there are a growing number of interests on the data center participation in emerging DR programs that are more profitable. Chen et. al. [6] develop real-time dynamic control policies by leveraging both server level power management techniques and server state switches for data centers to provide regulation service reserves (RSRs). They also implement a prototype of the control policies on real-life server clusters with virtualized CPU resource limits [8]. Brocanelli et al. [5] propose the joint management of data center and employee Plug-in Hybrid Electric Vehicles (PHEVs) to increase the regulation profit. A systematic comparison shows that RSR is a more profitable program for data centers to participate than traditional programs such as peak shaving [7]. Clausen et al. [10] found that smaller data centers aggregated through a Virtual Power Plant are a potential resource in demand management, but no electricity markets that aimed to facilitate this type of resource existed in Denmark. However, Energinet.dk and other Nordic transmission system operators do recognize demand response and demand-side market participation as a resource in grid management, and have set forth initiatives to reducing market barriers towards this type of capacity.

DC4Cities [21] is a visionary project funded by the European Union to develop new scenarios within a smart city context, considering renewable energy availability, and a data center's energy needs. Through the development of energy management authorities (EMA) within smart cities, EMA admins can define energy goals for data centers. Workload managers at the level of each data center will then plan scheduling for applications according to energy goals and renewable energy availability, making data centers more energy adaptive.

## 7 Summary and Next Steps

In this paper, we conducted a quantitative and qualitative analysis on demand management perspectives in Europe and the United States from the point of view of supercomputing centers with HPC facilities. We surveyed 9 SCs in Europe and 11 SCs in the United States, most of which were part of the Top500 list. Our key findings were that contrary to our expectation, the SCs in Europe were not communicating actively with their ESPs with regards to demand management approaches. Our qualitative interviews with ORNL, LLNL and LRZ helped us understand the motivation and reasons behind this result. We observe that perspectives on demand management are dependent on the electricity market and pricing in the geographical region and on the degree of control that a particular SC has in terms of power-purchase negotiation.

In summary, we believe that the European ESP programs for DM need to be studied in greater detail and the awareness of the benefits for these programs needs to be raised among the SCs. As part of our future work, we want to explore the European ESP programs further, the lack of such closer relationships, and also conduct a similar study in Japan, which has different institutional and electricity supply challenges. We also want to conduct more qualitative analysis through in-person site interviews to understand the electricity markets and the available incentive better.

## 8 Additional Authors

Torsten Wilde, Leibniz Supercomputing Center

James H. Rogers, Oak Ridge National Laboratory

Ayse Coskun, Boston University

Hao Chen, Boston University

Peter M. Schwartz, Lawrence Berkeley National Laboratory

Gert Svensson, KTH Royal Institute of Technology

Bo Norregaard Jorgensen, University of Southern Denmark

## 9 Acknowledgments

The authors would like to thank Herbert Huber from the Leibniz Supercomputing Center (LRZ) and Anna Maria Bailey from Lawrence Livermore National Laboratory for the insights provided. This research used resources of the Oak Ridge Leadership Computing Facility at the Oak Ridge National Laboratory, which is supported by the Office of Science of the U.S. Department of Energy under Contract No. DE-AC05-00OR22725. This work was partially performed under the auspices of the U.S. Department of Energy by Lawrence Livermore National Laboratory under Contract DE-AC52-07NA27344.

## References

1. Top500 Supercomputer Sites. November 2014. <http://www.top500.org/lists/2014/11>.

2. D. Aikema, R. Simmonds, and H. Zareipour. Data centres in the ancillary services market. In *IGCC*, pages 1–10, 2012.
3. B. Aksanli, E. Pettis, and T. Rosing. Architecting efficient peak power shaving using batteries in data centers. In *Modeling, Analysis and Simulation of Computer and Telecommunication Systems (MASCOTS), IEEE 21st International Symposium on*, pages 242–253, 2013.
4. N. Bates, G. Ghatikar, G. Abdulla, G. Koenig, S. Bhalachandra, M. Sheikhalishahi, T. Patki, B. Rountree, and S. Poole. Electrical Grid and Supercomputing Centers: An Investigative Analysis of Emerging Opportunities and Challenges. *Informatik-Spektrum*, 38(2):111–127, 2015.
5. M. Brocanelli, S. Li, X. Wang, and W. Zhang. Joint management of data centers and electric vehicles for maximized regulation profits. In *IGCC*, pages 1–10, 2013.
6. H. Chen, M. C. Caramanis, and A. K. Coskun. The data center as a grid load stabilizer. In *Design Automation Conference (ASP-DAC), IEEE 19th Asia and South Pacific*, pages 105–112, 2014.
7. H. Chen, M. C. Caramanis, and A. K. Coskun. Reducing the data center electricity costs through participation in smart grid programs. In *IEEE International Green Computing Conference (IGCC)*, pages 1–10, 2014.
8. H. Chen, C. Hankendi, M. C. Caramanis, and A. K. Coskun. Dynamic server power capping for enabling data center participation in power markets. In *Intl. Conf. on Computer-Aided Design (ICCAD)*, 2013.
9. D. Chiu, C. Stewart, and B. McManus. Electric grid balancing through low cost workload migration. *SIGMETRICS Performance Evaluation Review*, 40(3):48–52, 2012.
10. A. Clausen, G. Ghatikar, and B.N. Jorgensen. Load management of data centers as regulation capacity in denmark. In *Green Computing Conference (IGCC), 2014 International*, pages 1–10. IEEE, 2014.
11. Google Energy. Ferc order granting market-based rate authorization. *Federal Energy Regulatory Commission*, 2010.
12. M. Ghamkhari and H. Mohsenian-Rad. Data centers to offer ancillary services. In *Smart Grid Communications, 3rd International Conference on*, pages 436–441. IEEE, 2012.
13. G. Ghatikar, V. Ganti, N. Matson, and M. A. Piette. Demand response opportunities and enabling technologies for data centers: Findings from field studies. *LBNL-5763E. pdf*, 2012.
14. Í. Goiri, M. E Haque, K. Le, R. Beauchea, T. D Nguyen, J. Guitart, J. Torres, and R. Bianchini. Matching renewable energy supply and demand in green datacenters. *Ad Hoc Networks*, 25:520–534, 2015.
15. Oak Ridge National Laboratory. WL-LSMS Benchmark. [https://www.olcf.ornl.gov/wp-content/training/electronic-structure-2012/Eisenbach\\_OakRidge\\_February.pdf](https://www.olcf.ornl.gov/wp-content/training/electronic-structure-2012/Eisenbach_OakRidge_February.pdf), 2013.
16. M. Lin, Z. Liu, A. Wierman, and L. L. Andrew. Online algorithms for geographical load balancing. In *IGCC*, pages 1–10. IEEE, 2012.



17. Z. Liu, M. Lin, A. Wierman, S. H. Low, and L. L. Andrew. Greening geographical load balancing. In *Proceedings of the ACM SIGMETRICS*, pages 233–244. ACM, 2011.
18. Z. Liu, I. Liu, S. Low, and A. Wierman. Pricing data center demand response. In *ACM international conference on measurement and modeling of computer systems*, pages 111–123, 2014.
19. US Department of Energy. Smart Grid, 2015. [https://www.smartgrid.gov/the\\_smart\\_grid/smart\\_grid](https://www.smartgrid.gov/the_smart_grid/smart_grid).
20. P. Siano. Demand response and smart grids? a survey. *Renewable and Sustainable Energy Reviews*, 30:461–478, 2014.
21. European Union. Dc4cities. <http://www.dc4cities.eu/en/>, 2015.
22. R. Urgaonkar, B. Urgaonkar, M. J. Neely, and A. Sivasubramaniam. Optimal power cost management using stored energy in data centers. In *Proceedings of the ACM SIGMETRICS joint international conference on Measurement and modeling of computer systems*, pages 221–232, 2011.
23. D. Wang, C. Ren, A. Sivasubramaniam, B. Urgaonkar, and H. Fathy. Energy storage in datacenters: what, where, and how much? *ACM SIGMETRICS Performance Evaluation Review*, 40(1):187–198, 2012.
24. H. Wang, J. Huang, X. Lin, and H. Mohsenian-Rad. Exploring smart grid and data center interactions for electric power load balancing. *ACM SIGMETRICS Performance Evaluation Review*, 41(3):89–94, 2014.
25. R. Wang, N. Kandasamy, C. Nwankpa, and D. R. Kaeli. Data centers as controllable load resources in the electricity market. In *Intl. Conf. on Distributed Computing Systems*, 2013.
26. Y. Wang, X. Lin, and M. Pedram. A sequential game perspective and optimization of the smart grid with distributed data centers. In *Innovative Smart Grid Technologies (ISGT)*, pages 1–6. IEEE, 2013.
27. A. Wierman, Z. Liu, I. Liu, and H. Mohsenian-Rad. Opportunities and challenges for data center demand response. In *IGCC*, pages 1–10, 2014.

## A Appendix

The details of our questionnaire are presented below.

1. What is your total facility energy? This should be the same as the total facility energy number that is used for calculating PUE.
2. What is your total HPC load?
3. What is your facility PUE?
4. What is your facility’s theoretical peak energy, as the infrastructure is currently fit up?
5. What is the maximum intra-hour variation in total facility energy that is likely to re-occur?
6. Do you employ coarse-grained power management strategies?
7. Do you employ fine-grained power management strategies?
8. Do you employ load migration as a strategy?

9. Do you employ job scheduling as a strategy?
10. Do you employ back-up scheduling as a strategy?
11. Do you employ shutdown as a strategy?
12. Do you employ lighting control as a strategy?
13. Do you employ increasing air temperature as a strategy?
14. Do you employ liquid temperature adjustment as a strategy?
15. Do you cut jobs as a strategy?
16. Are there any other strategies that you employ to manage and control your total facility energy in response to a request from your energy utility/provider?
17. Please evaluate each of the above strategies from questions 7 to 16 as high, medium or low, based on the MW impact of each of these strategies as a response to a grid request.
18. Have you had conversations with your electricity service provider about peak shedding?
19. Have you had conversations with your electricity service provider about peak shifting?
20. Have you had conversations with your electricity service provider about dynamic pricing?
21. Have you had conversations with your electricity service provider about grid scale storage?
22. Have you had conversations with your electricity service provider about power variability related to renewables and methods used for responding to such variability?
23. Have you had conversations with your electricity service provider about frequency response?
24. Have you had conversations with your electricity service provider about regulation?
25. Have you had conversations with your electricity service provider about congestion?
26. Is there information you would like from your provider that you are not getting? If yes, please describe what you would like to know.
27. Is your provider asking for information from you that you are not able to provide? If yes, please describe what they are asking for.
28. Do you experience any power quality issues at your HPC facility? If yes, please describe.
29. Do you know of any consequences between your site and your provider from either scheduled or unscheduled intra-hour power variations?
30. Please evaluate as high, medium or low the following motivations for your site's interest in pursuing a stronger relationship with your electricity service provider.
31. Please help us understand the economic aspects of power saving strategies. This is an open ended question and we encourage any feedback. For instance, what might it take to induce your site to participate in programs offered by your electricity service provider? What are the tradeoffs between savings and loss of scientific productivity and equipment depreciation.