

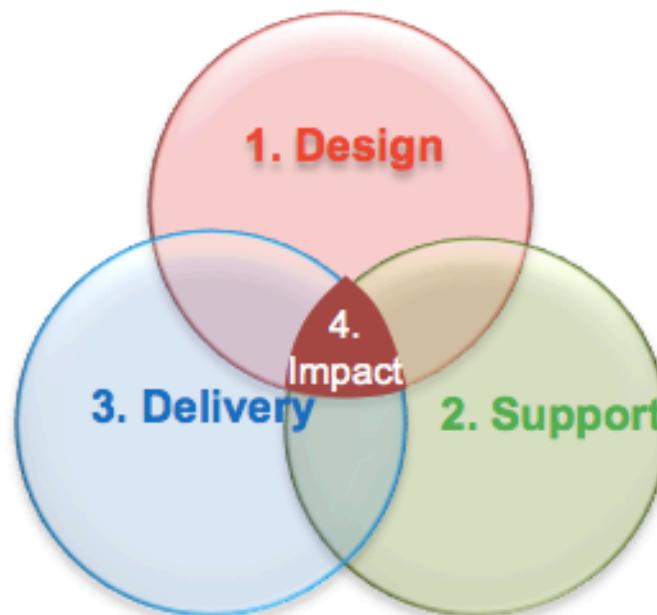
SESSION 5: PROCESS AND PLANNING

Attribute	Course level assessment	Program level assessment			
		Direct methods (SI=standardized instrument, VALUE=program wide rubric)	Survey items relevant to specific attributes	General student survey of learning environment	Faculty survey
Knowledge base	Shown in curriculum map in Section 2.	SI	NSSE integrative subscale	Targeted survey and focus group for graduating students	Faculty survey of behaviours and perceptions about learning, graduate attribute development
Problem analysis		SI, VALUE			
Investigation			NSSE deep learning sub scale		
Design		SI			
Engineering tools					
Communications		SI, VALUE	NSSE questions on communication		
Professionalism					
Individual and teamwork		SI	NSSE questions on teaming		
Impact of engineering					
Ethics and equity					
Economics					
Lifelong learning		SI	NSSE reflective learning sub scale		

UWS Academic Quality & Standards Framework for Learning and Teaching

3. Delivery standards

- Staff accessibility, responsiveness and skills
- Consistency and quality of delivery of support systems
- Consistency of delivery of design features



2. Support standards

- Orientation
- Library
- Learning Guide Standards
- vUWS & ICT standards
- Staff selection & training
- Peer support
- First year adviser
- Learning support standards

1. Course design standards

- Relevance
- Active Learning including eLearning
- Theory-practice links
- Expectations clear
- Direction & unit links clear
- Capabilities that count are the focus
- Learning pathways are flexible
- Assessment is clear, relevant, reliably marked with helpful feedback
- Staff are capable, responsive & effective teachers
- Support is aligned
- Access is convenient

4. Impact – Academic Learning Standards

- Validation
- Retention
- Assessment Quality
- Progression
- Employability
- Further study

Guide to evaluating a continuous program improvement process

CEAB requires programs to report on a continuous program improvement process, which includes the following descriptions:

1. *Indicators describing specific abilities expected of students*
2. *Curriculum map describing where attributes are developed and assessed in the program*
3. *How indicators are assessed (reports, exams, oral presentations, demonstrations, etc.)*
4. *Student assessment, evaluation of data collected and analysis of student performance relative to program expectations*
5. *Actions taken or planned to improve program as a result of the data gathered*
6. *Future plans for improving the process*

The rubric below lists some specific characteristics of a program's improvement process to be evaluated. These characteristics are divided into five themes reflecting elements in a continuous program improvement process. Within each theme are specific characteristics to consider; most of these are linked to one of the numbered CEAB requirements above by square brackets (e.g. [1] refers to the requirement for "Indicators describing specific abilities expected of students" above). Note that characteristics described in the "Exemplary" column are not required for accreditation, but rather describe an outstanding process.

Theme	Characteristic	Description		
Program Context		Exemplary (exceeds requirements)	Acceptable	Developing
	Program Objectives	The program has identified key objectives for itself, and has identified questions it hopes to investigate as a result of the process.	<i>This is not required.</i>	<i>This is not required.</i>
Planning for Data Collection				
Data Collection Plan	Characteristic	Exemplary (exceed requirements)	Acceptable	Developing
	[2] Curriculum map quality	Comprehensive description and evaluation of how attribute is currently assessed and developed in the program	Tabular description of where indicators and attributes are developed and assessed within a program	Initial curriculum map where indicators and attributes are developed with certain departments within a program.
	Stakeholder involvement	Comprehensive group of stakeholders are involved in process (faculty, staff, students, alumni, advisory board, etc.)	Stakeholders are consulted about process.	Stakeholder involvement is planned but not implemented.
	Indicators & Data Collection Procedure			
	Characteristic	Exemplary (exceed requirements)	Acceptable	Developing
	[1] Indicator standards	Indicators describe high but achievable expectations of students	Indicators describe acceptable expectations of students	Indicators describe arbitrary standards or unattainable or simplistic expectations.
	[1] Indicator breadth	Indicators collectively encompass a comprehensive range of expectations to demonstrate attributes.	Indicators encompass a sufficient range of expectations to demonstrate attributes	Indicators encompass a limited range of expectations to demonstrate attributes
	[1] Indicator measurability / utility	Indicators are measurable, and observable, link to corresponding attributes and program objectives, and address research questions identified	Indicators are measureable and observable with an adequate link to corresponding attributes or program objectives	Indicators may not be measurable or observable; or minimal link to corresponding attributes or program objectives
	[3] Assessment measure validity	Multiple measures are used to assess some indicators to evaluate validity (triangulation).	Direct measures are used when possible supplemented by indirect measures.	Many indicators are assessed using measures with questionable validity, or primarily indirect measures are used.
	[3] Assessment measure utility	Assessment measures are clearly useful for program improvement, and include standardized assessment measures to allow benchmarking against other programs	Assessment measures are clearly useful for program improvement.	Assessment measures are vaguely described, and are insufficient to support conclusions about student performance.

Banta's characteristics of effective outcomes assessment

- A. Planning
- B. Implementation
- C. Improving and sustaining

Banta's characteristics of effective outcomes assessment

A. Planning

1. Involve stakeholders from the outset
2. Begin when need is recognized, and allow sufficient time for development
3. Written plan with clear purposes related to goals that people value. Assessment is a vehicle for improvement.
4. Bases assessment on clear program outcomes

B. Implementation

C. Improving and sustaining

Banta's characteristics of effective outcomes assessment

A. Planning

B. Implementation

5. Knowledgeable, effective leadership
6. Recognizes that assessment is essential to learning, and everyone's responsibility
7. Include faculty and staff development
8. Devolves responsibility for assessment to unit level.
9. Uses multiple measures, maximizing reliability and validity
10. Assesses both processes and outcomes.
11. Undertaken in an environment that is receptive, supporting, and enabling on a continuing basis.
12. Continuous communication with constituents about activities and findings.

C. Improving and sustaining

Banta's characteristics of effective outcomes assessment

A. Planning

B. Implementation

C. Improving and sustaining

13. Produces credible evidence of learning and organizational effectiveness.
14. Ensures assessment data is used continuously to improve programs and services.
15. Provides a vehicle or demonstrating accountability to stakeholders.
16. Encompasses expectation that outcomes assessment will be ongoing, not episodic.
17. Incorporates ongoing evaluation and improvement of assessment process.

Aspect of System to be Changed	Individuals	Environments and Structures
	Prescribed	Emergent
		Intended Outcome
Individuals	<p>I. Disseminating: CURRICULUM & PEDAGOGY</p> <p>Change Agent Role: Tell/Teach individuals about new teaching conceptions and/or practices and encourage their use.</p> <p><i>Diffusion</i> <i>Implementation</i></p>	<p>II. Developing: REFLECTIVE TEACHERS</p> <p>Change Agent Role: Encourage/Support individuals to develop new teaching conceptions and/or practices.</p> <p><i>Scholarly Teaching</i> <i>Faculty Learning Communities</i></p>
Environments and Structures	<p>III. Enacting: POLICY</p> <p>Change Agent Role: Enact new environmental features that Require/Encourage new teaching conceptions and/or practices.</p> <p><i>Quality Assurance</i> <i>Organizational Development</i></p>	<p>IV. Developing: SHARED VISION</p> <p>Change Agent Role: Empower/Support stakeholders to collectively develop new environmental features that encourage new teaching conceptions and/or practices.</p> <p><i>Learning Organizations</i> <i>Complexity Leadership</i></p>

1. Borrego M, Henderson C. Increasing the Use of Evidence-Based Teaching in STEM Higher Education: A Comparison of Eight Change Strategies. *J Eng Educ.* 2014 Apr 1;103(2):220–52.

Change strategies

“The literature helps us understand that quality assurance in higher education should not be considered as a cutting-edge change strategy; rather, the approach is suited to bringing a large number of programs up to a minimum standard.”

1. Borrego M, Henderson C. Increasing the Use of Evidence-Based Teaching in STEM Higher Education: A Comparison of Eight Change Strategies. *J Eng Educ.* 2014 Apr 1;103(2):220–52.

Change strategies

“A good starting point, particularly for those without social science backgrounds, is to focus on one strategy that fits their situation best (in terms of resources, goals, locus of change, and implicit assumptions about change already being followed).”

“Over time and across initiatives, it is wise to employ a range of perspectives. Focusing too narrowly on one perspective increases the chances of overlooking influential factors and processes.”

1. Borrego M, Henderson C. Increasing the Use of Evidence-Based Teaching in STEM Higher Education: A Comparison of Eight Change Strategies. *J Eng Educ.* 2014 Apr 1;103(2):220–52.

Other questions

- Communication plan – ensuring data goes back to instructors to improve the process
- Software tools?
- Responsibility for prompting, collecting, analyzing, and reporting?

Assessment for Course and Program Improvement



Brian Frank, Queen's University
EGAD Project

Example: First year design course

APSC 100

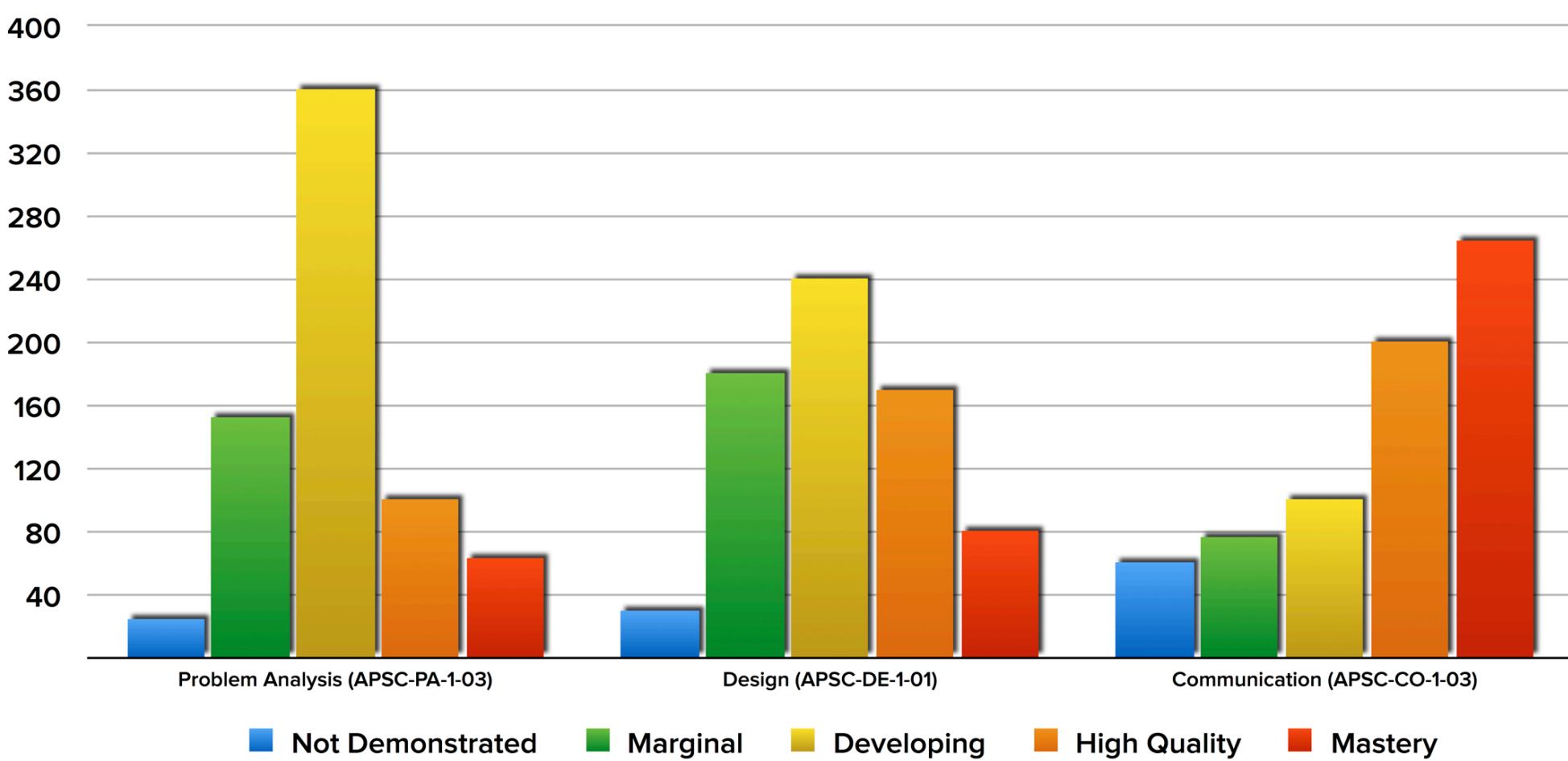
Course Outcomes

1. Apply a general process for solving complex problems. (**APSC-DE-1-01**)
2. Select and apply appropriate quantitative model and analysis to solve problems.
3. Effectively communicate following a prescribed format, using standard grammar and mechanics. (**APSC-CO-1-03**)
4. Apply concepts including occupational health and safety principles, economics, law, and equity to engineering problems. (**APSC-IM-1-03**)
5. Apply critical and creative thinking principles to solve contextualized problems. (**APSC-PA-1-03**)
6. Apply a numerical modelling tool to create a model used to solve complex problems

	Teaching	Activity	Assessment
Week 1	Motivation: course overview and structure	Critical Thinking Pre-test	Word/Excel assignment (CLO 3)
Week 2	Models: Mini MEA1 Goal: what is a model (drawing, text, equations describing behaviour), and using MATLAB script as part of a model	Intro to MATLAB: Starting MATLAB, variables, operations, plotting, scripts, and publishing a MATLAB script.	Mini MEA1 to be done by end of lecture (CLO 2,5,6)
Week 3	Argumentation: analyze past assignments for effective argumentation Goal: Create argument related to MEA1. Process for creating reports	Conditional statements	
Week 4	Complex problem solving: Complex problem solving process. Goal: Identify stakeholders and asking relevant questions for MEA1	Curve fitting and interpolation	MEA 1 Draft Submission (CLO 1,2,3,5,6)

First year design course project rubric

	Not Demonstrated	Marginal	Developing	Expectation	Outstanding
	0-3	4	5	6	7-8
Problem Definition	Problem not defined, little useful information, or information directly copied.	Some important information or biases not identified, or trivial/incorrect information included.	Problem definition is clear but missing some elements.	Clearly defines scope of problem, stakeholders, and required goals. Summarizes and assesses credibility of information used.	Meets expectations and: Includes information from authoritative sources to inform process, model, and conclusions.
Proposed Process (APSC-DE-1-01)	No or inadequate process described	Process identified misses critical factors; some assumptions left unidentified or unjustified.	Process is clear but missing some elements	Creates justified process for solving problem, including tests/investigation, supported by information.	Meets expectations and: Comprehensive process described with multiple possible approaches described and compared.
Model	No analysis, or model/analysis selected is inappropriate, or can't draw conclusions	Model used has significant errors or uses inappropriate assumptions.	Model has minor errors or unsupported approximations or assumptions	Creates and applies quantitative model using supported analysis, approximations and assumptions.	Meets expectations and: Sophisticated model used incorporating several effects; uncertainty in model's input variables shown by range of output values
Conclusions	No evaluation of solution.	Superficial evaluation of solution and superficial recommendations to prevent future failures	Most of the elements under "expectation" met, but not all	Evaluates validity of results and model for, drawing well-supported conclusions about causes of failure and supported recommendations for to prevent future failures.	Meets expectations and: Quantifies possible error/uncertainty in model conclusions and provides multiple thoughtful recommendations prevent future failures.
Argumentation (APSC-PA-1-03)	Unsupported or trivial arguments	Arguments weak overall	Arguments include some but not all critical elements	Makes claims supported by data and backing, with appropriate qualifiers	Meets expectations and: Claims supported by authoritative backing and comprehensive description of context in which they apply.
Communication (APSC-CO-1-03)	Report difficult to understand	Understandable but not formatted following guidelines; many grammatical errors	Clearly formatted following guidelines but obviously needs proofreading	Concise and clearly formatted following guidelines with few grammatical errors	Meets expectations and: Varied transitions, attractively formatted, no grammatical errors

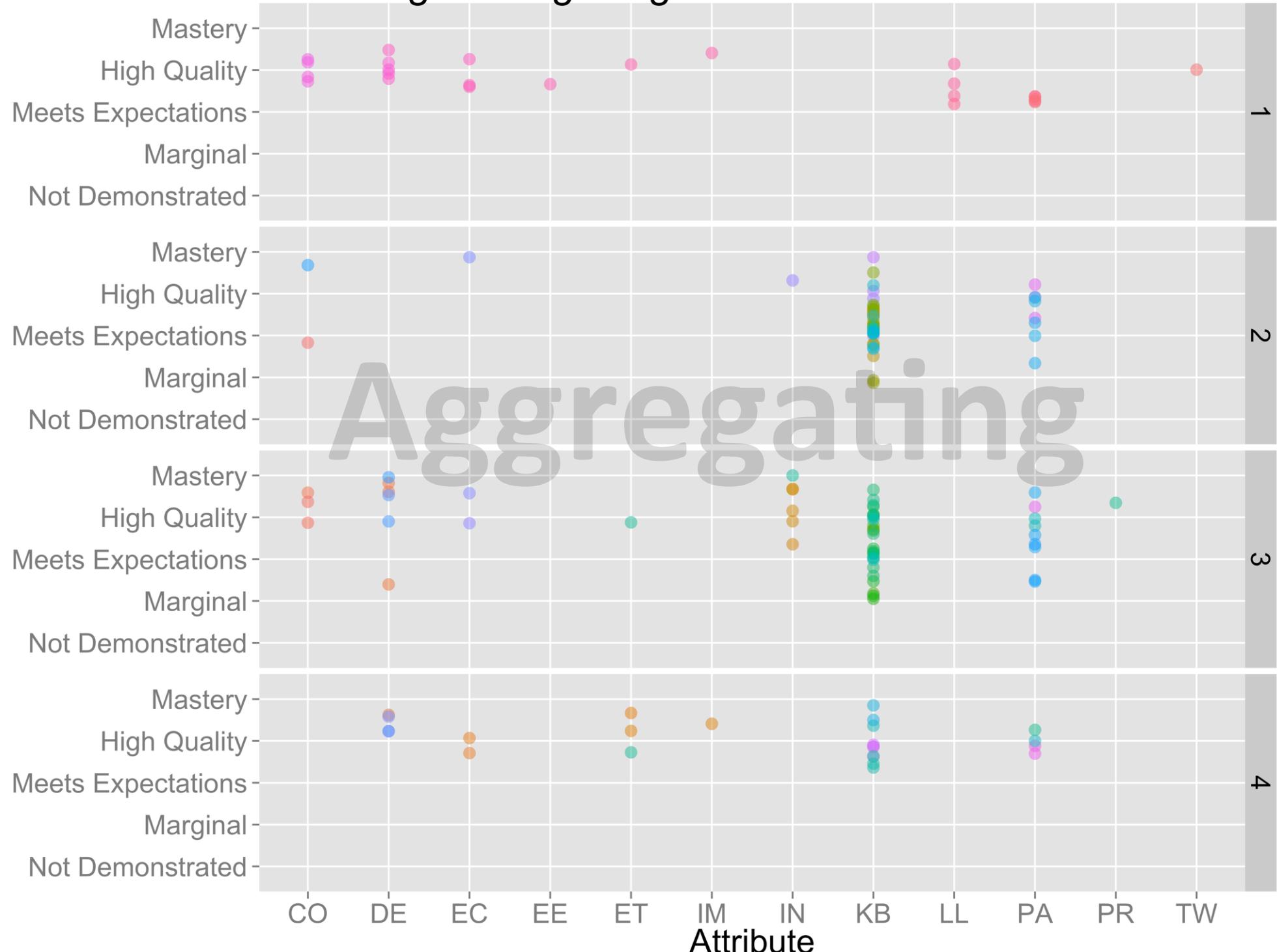


	Not Demonstrated (0-3)	Marginal (4)	Developing (5)	High Quality (6)	Mastery (7-8)
Problem Analysis (APSC-PA-1-03)	Unsupported or trivial arguments	Arguments weak overall	Arguments include some but not all critical elements	Makes claims supported by data and backing, with appropriate qualifiers	Meets expectations and: Claims supported...
Design (APSC-DE-1-01)	No or inadequate process described	Process identified, misses critical factors.	Process is clear but missing some elements	Creates justified process for solving problem..	Meets expectations and: Comprehensive process...
Communication (APSC-CO-1-03)	Report difficult to understand	Understandable but not formatted...	Clearly formatted following guidelines ...	Concise and clearly formatted....	Meets expectations and: Varied transitions...

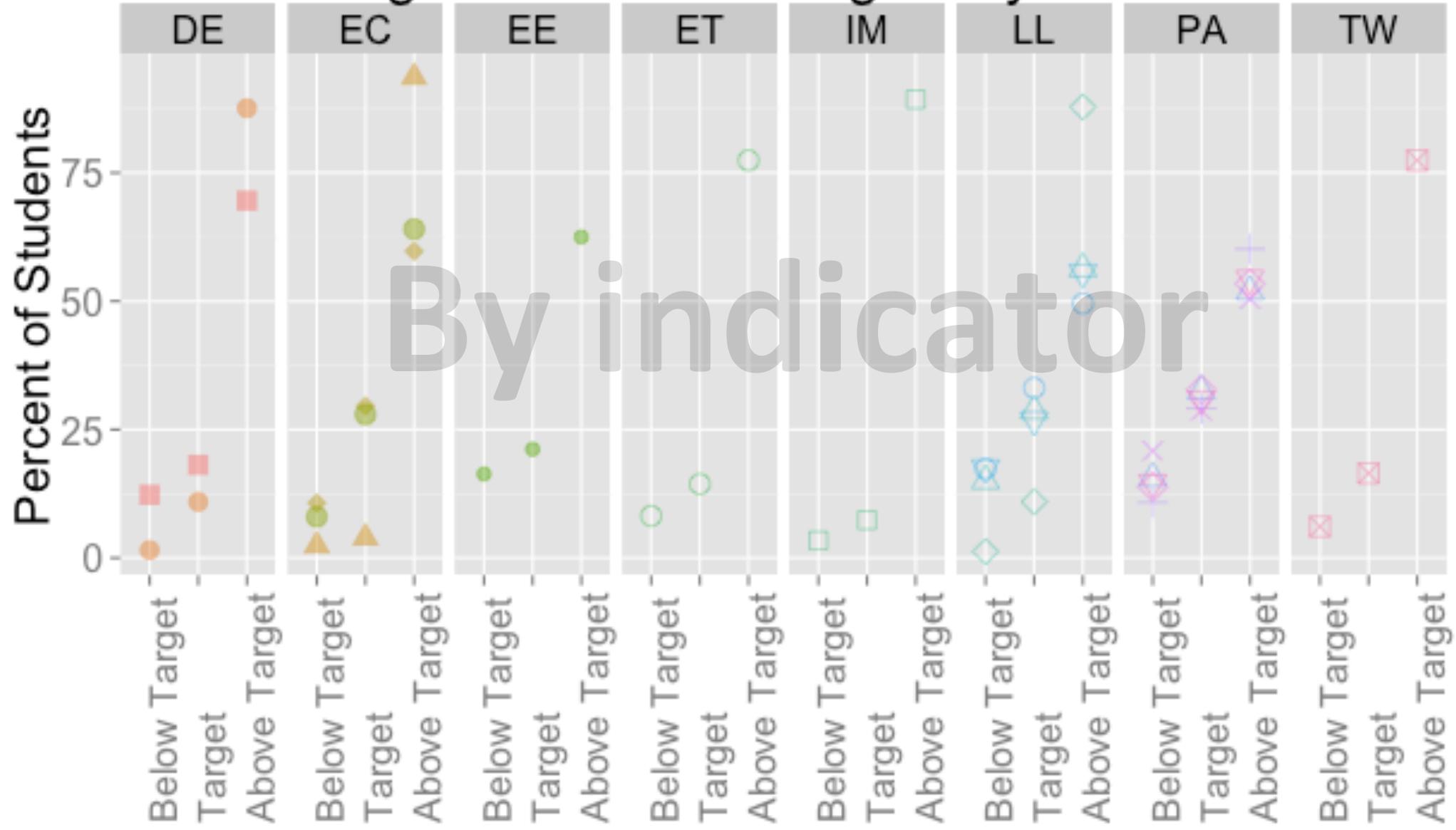
What to look for in assessment tools

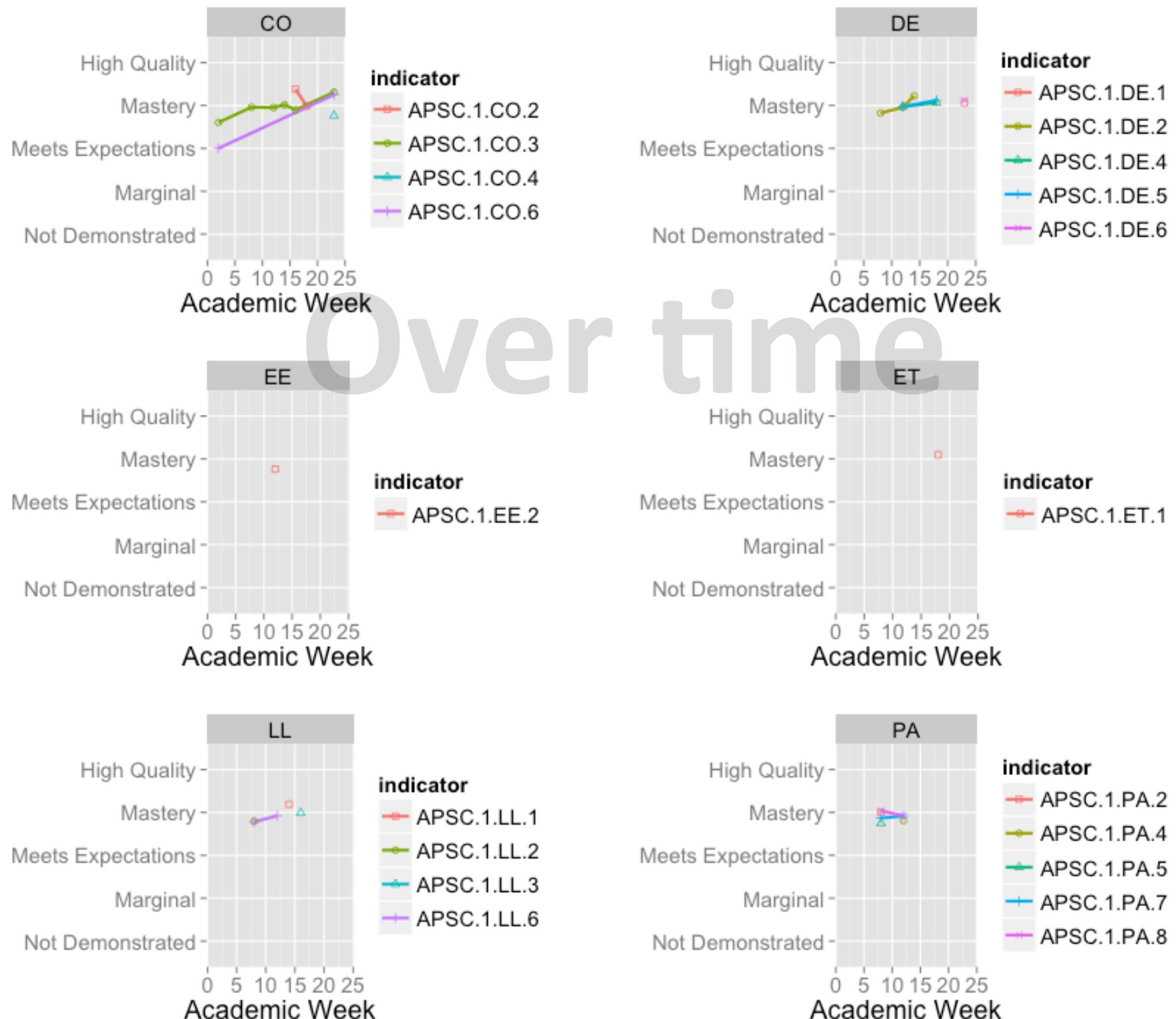
1. **Workload:** Results in a feasible workload for students and graders
2. **Generalizability:** Results are representative of entire program/class
3. **Content:** The assessment tool is clearly aligned with the outcome
4. **Reliability:** Results will be consistent between graders, or if tested again
5. **Actionable:** Provides useful information related to educational experience that can be used for course and/or program improvement

Engineering Program Attribute Performance

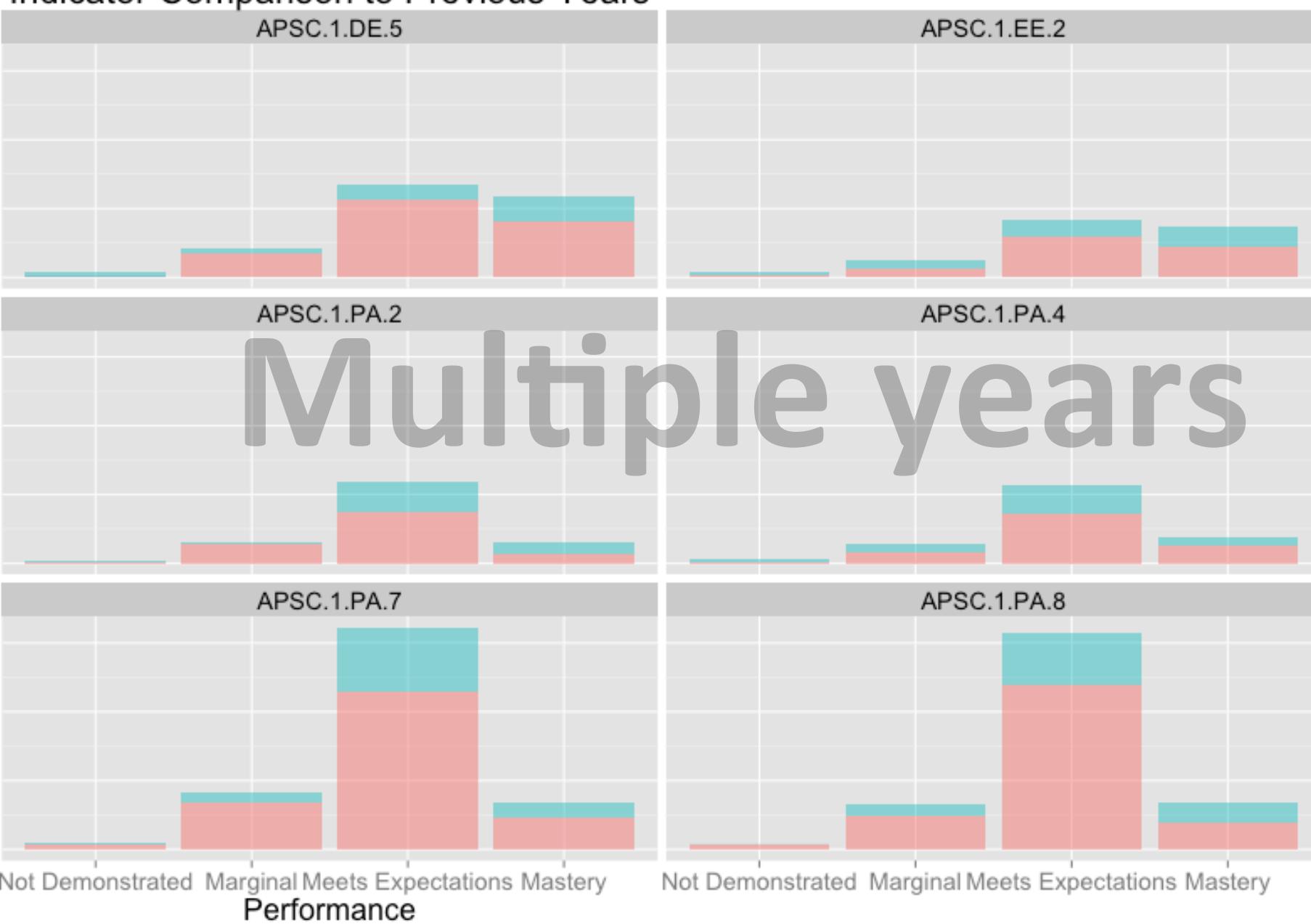


Program Attribute Targets by Indicator

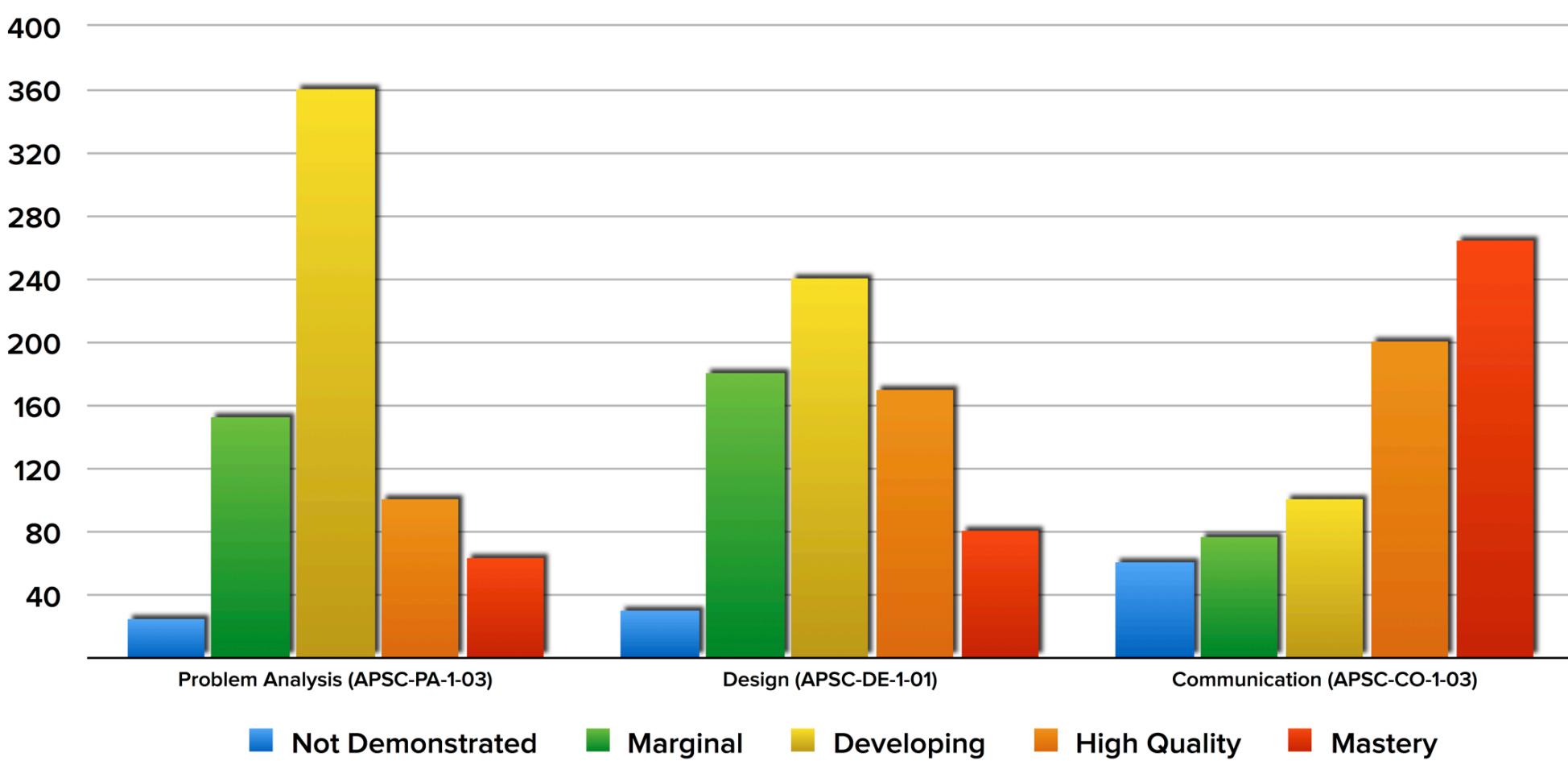




Indicator Comparison to Previous Years

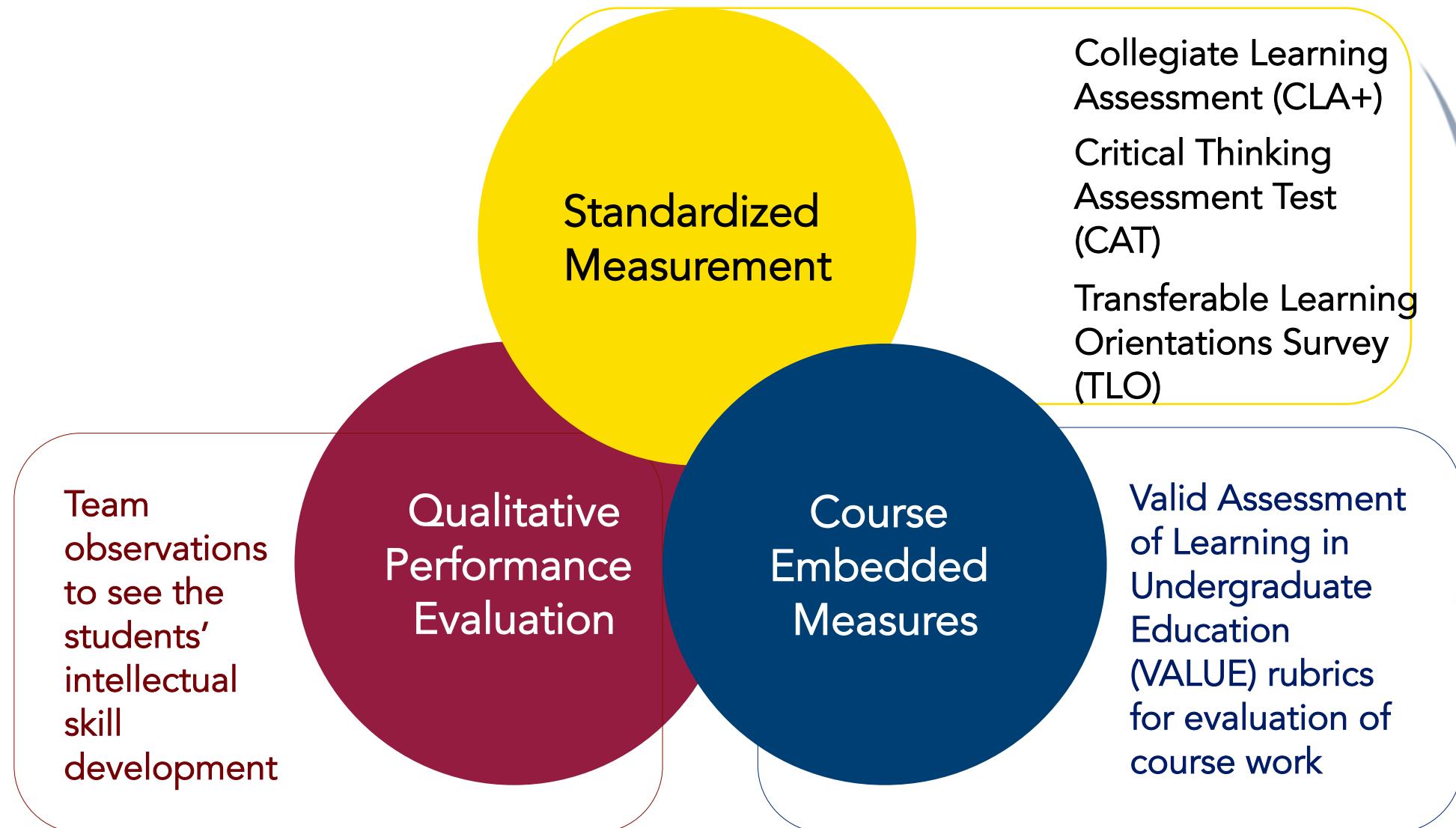


academic_year
2012-2013
2013-2014



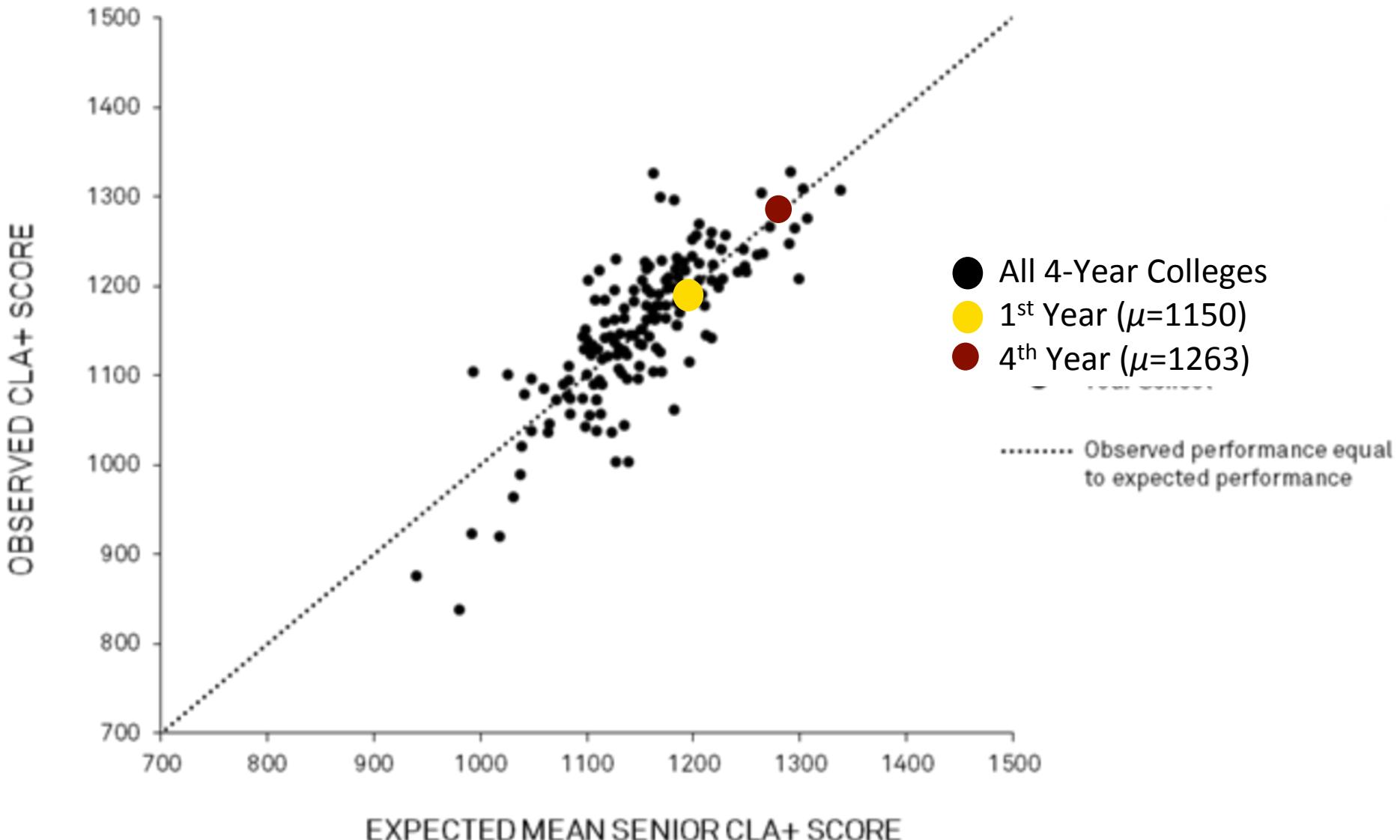
	Not Demonstrated (0-3)	Marginal (4)	Developing (5)	High Quality (6)	Mastery (7-8)
Problem Analysis (APSC-PA-1-03)	Unsupported or trivial arguments	Arguments weak overall	Arguments include some but not all critical elements	Makes claims supported by data and backing, with appropriate qualifiers	Meets expectations and: Claims supported...
Design (APSC-DE-1-01)	No or inadequate process described	Process identified, misses critical factors.	Process is clear but missing some elements	Creates justified process for solving problem..	Meets expectations and: Comprehensive process...
Communication (APSC-CO-1-03)	Report difficult to understand	Understandable but not formatted...	Clearly formatted following guidelines ...	Concise and clearly formatted....	Meets expectations and: Varied transitions...

Triangulation: Can we trust the data?

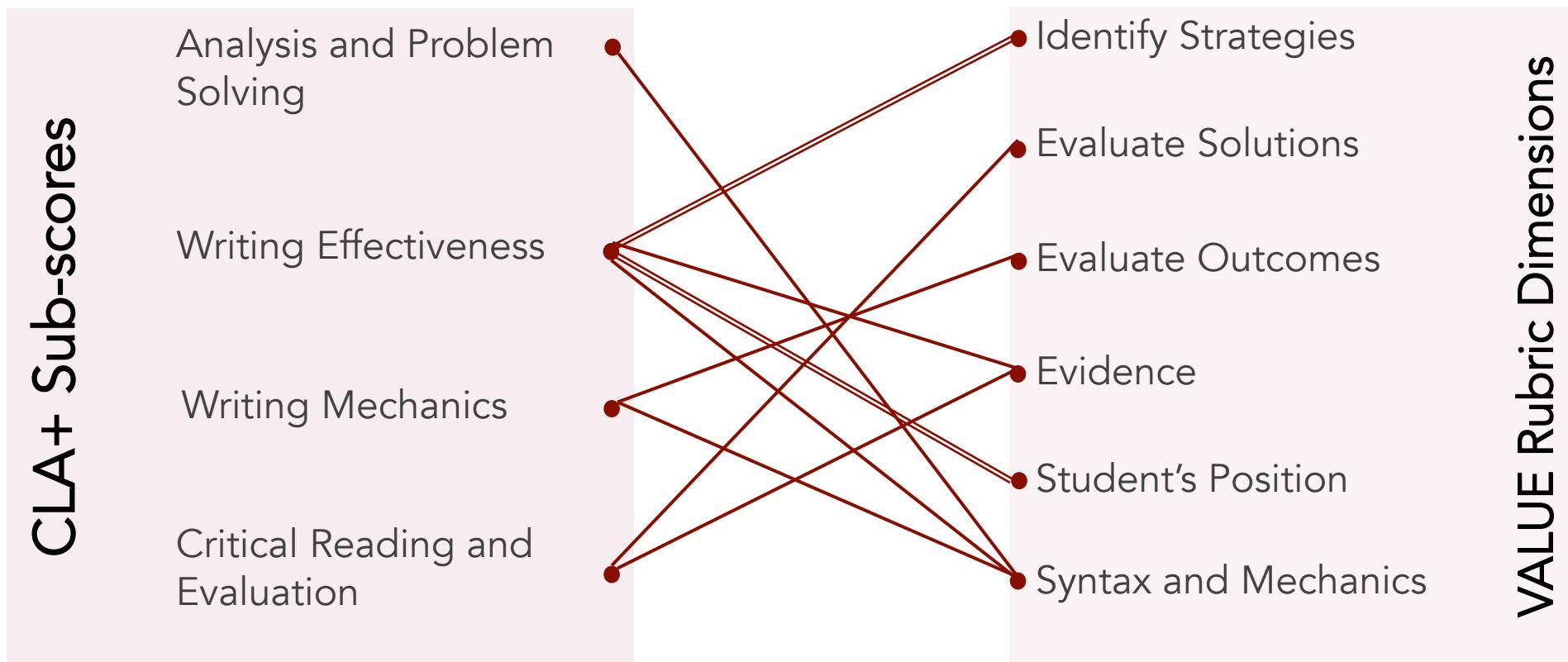


Standardized instrument of Critical thinking & written communication

Expected vs. Observed CLA+ Scores



Triangulation: Standard instrument and program-wide rubric



Key: Two courses $p < .05$

One course $p < .01$

Note: Correlations for one course at the $p < .05$ level
not displayed

Code for analyzing data



All the plots using our data were generated using relatively few lines of code using R Project, an open source statistical computing package.

Code will be available on EGAD webpage

USING DATA FOR PROGRAM IMPROVEMENT

Program improvement process

