## MATH411-22S2 LECTURE NOTES

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## 1. Introduction

Galois theory is named after Evariste Galois (1811-1832). The roots of a polynomial  $f(x) \in k[x]$  over a field k have symmetries which form a group. Galois theory studies such groups and how they can be used to describe the structure of the splitting field of f(x) over k. The goal of this course is to develop this theory (and the necessary group theory) and use this to prove the following two theorems:

**Theorem 1.1** (Rufini 1799, Abel 1824). A general polynomial of degree at least 5 has no solution in radicals

**Theorem 1.2** (Fundamental Theorem of Algebra). Every nonconstant polynomial over the complex numbers has a root.

Along the way we will need to learn a fair amount of group theory.

**Theorem 1.3** (Quadratic formula). The roots of  $f(x) = x^2 + ax + b \in \mathbb{Q}[x]$  are given by  $x = \frac{a \pm \sqrt{a^2 - 4b}}{2}$ 

Corollary 1.4. All quadratic extensions of  $\mathbb{Q}$  are of the form  $\mathbb{Q}(\sqrt{d})$  for some  $d \in \mathbb{Q}$ .

**Theorem 1.5** (Cubic Formula, 1500's). Let  $f(x) = x^3 + ax^2 + bx + c \in \mathbb{Q}[x]$  and set  $\Lambda_1 =$ 

Corollary 1.6. Any cubic polynomial  $f(x) \in \mathbb{Q}[x]$  splits in a field K of the form

$$\mathbb{Q} \subset \mathbb{Q}(\sqrt{d}) = K_1 \subset K_1(\sqrt[3]{e}) = K$$

where  $d \in \mathbb{Q}$  and  $e \in K_1$ 

**Definition 1.7.** We say  $K/\mathbb{Q}$  is a radical extension if K is obtained by a sequence of extensions of the form

$$\mathbb{Q} = K_1 \subset K_1(\sqrt[n_1]{a_1}) = K_2 \subset K_2(\sqrt[n_2]{a_2}) = K_3 \cdots K_m(\sqrt[n_m]{a_m}) = K$$

for some  $a_i \in K_i$  and  $n_i \in \mathbb{Z}_{\geq 2}$ .

The quadratic and cubic formulas above show that every degree 2 or 3 polynomial splits over a radical extension. This means you can give a formula for the roots of the polynomial which only require *n*-th roots and the usual arithmetic operations. There is also a quartic formula (Ferrari 1540) which shows that the same is true for degree 4 polynomials. The Abel-Rufini theorem mentioned above states that a general quintic polynomial does not split over any radical extension. Galois theory gives a characterization of the polynomials that split in a radical extension by looking at the group of symmetries of the roots (a concept that we will cover in more depth later).

Here is a sketch of the idea: The roots of a polynomial have symmetries. These symmetries form a group, called the Galois group of the polynomial. For example the roots  $x = \frac{a \pm \sqrt{a^2 - 4b}}{2}$  of  $f(x) = x^2 + ax + b$  have a symmetry encoded in the  $\pm$ . The corresponding group is  $\mu_2 = \{\pm 1\}$  under multiplication. More generally, the *n*-th roots of an element of a field have symmetries described by a group  $\mu_n$ . Consequently polynomials that split in radical extensions have Galois groups that are of a rather particular type (called solvable groups). Galois proved that there are groups which are not solvable (for example the alternating group  $A_5$ ) and moreover that there are quintic polynomials which have such nonsolvable groups as their Galois groups. Such polynomials cannot possibly split in any radical extension.

#### 2. Groups

**Definition 2.1.** A group is a set G together with a binary operation

$$G \times G \to G$$
,  $(a, h) \mapsto a \cdot h$ 

satisfying the following axioms:

- (1) (Identity) There is an element  $1 \in G$  such that  $1 \cdot g = g \cdot 1 = g$  for all  $g \in G$ ,
- (2) (Inverses) For all  $q \in G$  there is some  $h \in G$  such that qh = hq = 1, and
- (3) (Associativity) For all  $f, g, h \in G$ ,  $f \cdot (g \cdot h) = (f \cdot g) \cdot h$ .

**Definition 2.2.** A homomorphism of groups is a function  $\phi : G \to H$  such that  $\phi(g_1 \cdot g_2) = \phi(g_1) \cdot \phi(g_2)$ . If  $\phi$  is a bijection it is called an isomorphism.

Note that in this definition the  $\cdot$  is being used to denote two different group operations. Here are some examples of groups:

- (1) The integers  $\mathbb{Z}$  under addition forms a group.
- (2) The integers modulo n,  $\mathbb{Z}/n\mathbb{Z}$  under addition form a group
- (3) The set  $F^{\times}$  of nonzero elements in a field F under multiplication form a group.
- (4) The set of  $n \times n$  invertible matrices  $GL_n(F)$  forms a group under matrix multiplication. In the case n = 1 this recovers  $F^{\times}$  from the previous example.
- (5) Given a set X, the symmetric group  $\operatorname{Sym}(X)$  is the set of all bijections of X with itself with the group operation being composition of maps. One typically uses  $S_n$  to denote the group  $\operatorname{Sym}(\{1,\ldots,n\})$ .

(6) For any  $n \ge 3$ , the dihedral group  $D_n$  is the group of symmetries of a regular n-gon. This can be described in terms of "generators and relations" as the group  $D_n$  =  $\langle \rho, \sigma : \rho^n = \sigma^2 = 1, \rho\sigma = \sigma\rho^{-1} \rangle$ . As a set  $D_n = \{1, \rho, \dots, \rho^{n-1}, \sigma, \rho\sigma, \dots, \rho^{n-1}\sigma\}$ since every "word" in the "letters"  $\rho$  and  $\sigma$  can be reduced to one of these 2n words using the relations given. For example, in  $D_4$  we have

$$\sigma \rho \sigma \rho^{3} \sigma^{-32} \rho = \sigma \rho \sigma \rho^{3} \rho \qquad \text{(since } \sigma^{-32} = (\sigma^{2})^{-16} = 1^{-16} = 1)$$

$$= \sigma \rho \sigma \qquad \text{(since } \rho^{3} \rho = \rho^{4} = 1)$$

$$= \sigma^{2} \rho^{-1} \qquad \text{(since } \rho \sigma = \sigma \rho^{-1})$$

$$= \rho^{3} \qquad \text{(since } \sigma^{2} = 1 \text{ and } \rho^{-1} = \rho^{3})$$

Here are some examples of group homomorphisms:

- (1) Multiplication by n defines a homomorphism  $\mathbb{Z} \to \mathbb{Z}$ .
- (2) Addition by n does not define a homomorphism  $\mathbb{Z} \to \mathbb{Z}$ .
- (3) If X and Y are sets of the same cardinality, then there is a bijection  $X \to Y$ . This induces an isomorphism  $Sym(X) \simeq Sym(Y)$ .
- (4) The formula det(AB) = det(A) det(B) familiar from linear algebra shows that the determinant gives a homomorphism det:  $GL_n(F) \to F^{\times}$ .
- (5) Labeling the vertices of an n-gon from 1 to n, any element of  $D_n$  gives a permutation of  $\{1,\ldots,n\}$ . This defines a homomorphism  $D_n\to S_n$  which is injective.

**Definition 2.3.** A subgroup of a group G is a subset  $H \subset G$  such that the group operation of G restricts to give a group operation  $H \times H \to H$ . We write H < G to denote that H is a subgroup of G. Given a subset X < G we use  $\langle X \rangle$  to denote the smallest subgroup of G containing all elements of X.

- (1) The integers  $n\mathbb{Z} \subset \mathbb{Z}$  that are divisible by n form a subgroup of  $\mathbb{Z}$ .
- (2) The upper-triangular invertible matrices form a subgroup of  $GL_n(F)$ .
- (3) Given a homomorphism  $\phi: G \to H$ , one obtains the following subgroups:
  - (a) The image of  $\phi$  is  $\operatorname{im}(\phi) = \{h \in H : \exists g \in G \text{ such that } \phi(g) = h\}$ , and
  - (b) The kernel or  $\phi$  is  $\ker(\phi) = \{g \in G : \phi(g) = 1\}.$

**Theorem 2.4** (Cayley's Theorem). For any group G there is an injective homomorphism  $\phi: G \to \operatorname{Sym}(G)$ . Consequently, every group G may be viewed as a subgroup of a symmetric group.

*Proof.* Consider the map  $\Phi: G \to \operatorname{Sym}(G)$  sending  $g \in G$  to the permutation  $\phi_q$  of G given by  $\phi_a(h) = gh$ . Now check that  $\Phi$  is an injective group homomorphism.

**Remark 2.5.** Given a finite group G this shows  $G < S_n$  for some n, but the n delivered by the proof is not minimal. For example,  $D_n < S_n$  by the examples above, but the proof gives an embedding of  $D_n$  in  $S_{2n}$ . A more extreme example is  $S_n$ . It is a subgroup of itself, but the proof makes it a subgroup of  $S_{n!}$  which has n!! elements.

3. Cosets, normal subgroups and quotient groups

**Definition 3.1.** Given a subgroup H < G, a (left) coset of H in G is a subset of G of the form

$$gH = \{gh : h \in H\}.$$

The set of cosets of H in G is denoted G/H. The index of H in G is the cardinality of G/H and is denoted [G:H].

**Example 3.2.** The subgroup  $\langle \rho \rangle < D_n$  of rotations has 2 cosets. They are  $\langle \rho \rangle = \{1, \rho, \dots, \rho^{n-1}\}$  and  $\sigma \langle \rho \rangle = \{\sigma, \rho\sigma, \dots, \rho^{n-1}\sigma\}$ . Note that the coset leaders are not unique. For example,  $\sigma \langle \rho \rangle = \rho \sigma \langle \rho \rangle$ . The subgroup  $K = \langle \sigma \rangle < D_n$  has n cosets,  $\langle \sigma \rangle$ ,  $\rho \langle \sigma \rangle$ , ...,  $\rho^{n-1} \langle \sigma \rangle$ , each with 2 elements.

Lemma 3.3. Two cosets are either equal or disjoint.

**Theorem 3.4** (Lagrange's Theorem). Let H be a subgroup of G. Then  $|G| = |H| \times |G/H|$  or, equivalently in index notation,

$$[G:1] = [G:H][H:1].$$

*Proof.* From the lemma it follows that the cosets of H give a partition of G. For any  $g \in G$ , the map  $H \to gH$  sending h to gh is a bijection (if gh = gh' canceling g gives h = h'). Hence we see that G is a disjoint union of its cosets each of which has cardinality |H|.

**Corollary 3.5.** The order of an element  $g \in G$  divides the order of |G|.

*Proof.* Apply the theorem with 
$$H = \langle g \rangle$$
.

We now address the question of whether the set G/H of coests of H itself forms a group. The naive way of making this set into a group is to define a binary operation by the rule

$$(3.1) g_1 H \cdot g_2 H = (g_1 g_2) H.$$

It is straightforward to check that this operation is associative, there is an identity (the coset H) and inverses  $((gH)^{-1}) = g^{-1}H$ ). There is a problem though. This operation is not well defined! It depends on the choice of coset leader.

For example, consider  $H = \langle \sigma \rangle < D_3$ . The coset  $C = \{\rho, \rho\sigma\}$  is represented by both  $\rho$  and  $\rho\sigma$  (i.e.,  $\rho H = \rho\sigma H$ ). We would like to be able to compute  $C \cdot C$  using whatever representatives we like. However, the computations

$$\rho H \cdot \rho H = \rho^2 H$$
 and  $\rho \sigma H \cdot \rho H = \rho \sigma \rho H = \sigma \rho^{-1} \rho H = \sigma H = H$ 

show that we get different results depending on the choice. It turns out that the operation is only well defined when the subgroup in question is normal.

**Definition 3.6.** We say that H < G is a normal subgroup and write  $H \lhd G$  if gH = Hg for all  $g \in G$ . Equivalently, H is normal if  $gHg^{-1} := \{ghg^{-1} : h \in H\} = H$  for all  $g \in G$ .

Example 3.7. Any subgroup of an abelian group (commutative group) is normal.

**Example 3.8.** The subgroup  $\langle \sigma \rangle < D_n$  is not normal because  $\rho \langle \sigma \rangle = \{\rho, \rho \sigma\} \neq \{\rho, \sigma \rho = \rho^{n-1}\sigma\} = \langle \sigma \rangle \rho$ . The subgroup  $\langle \rho \rangle$  is normal in  $\langle D_n \rangle$ . This can be checked directly or by noting more generally that any subgroup of index 2 is normal. Indeed if H has index 2 there are exactly two disjoint cosets. So for any  $g \in G$  we have the fact that  $g \in gH$  and  $g \in Hg$  implies that the two must coincide.

**Lemma 3.9.** If  $H \triangleleft G$  is a normal subgroup then the operation in (3.1) is well defined and hence endows G/H with the structure of a group.

*Proof.* Let  $g_1, g_2 \in G$  be such that  $g_1H = g_2H$  and let gH be any other coset. It suffices to show that  $g_1gH = g_2gH$ . Using that H is normal and associativity we have

$$g_1gH = g_1(gH) = g_1(Hg) = (g_1H)g = (g_2H)g = g_2(Hg) = g_2(gH) = g_2gH$$
.

**Lemma 3.10.** Suppose  $\phi: G \to H$  is a homomorphism. Then  $\ker(\phi)$  is a normal subgroup of G.

*Proof.* Let  $g \in G$ . Check that  $g \ker(\phi)$  and  $\ker(\phi)g$  are both equal to the set of elements  $\{x \in G : \phi(x) = \phi(g).$ 

## 4. The homomorphism and isomorphism theorems

**Theorem 4.1** (Homomorphism Theorem). Let  $\phi: G \to H$  be a homomorphism of groups. Then  $\phi$  factors as

$$G \xrightarrow{\phi} H$$

$$\downarrow \qquad \qquad \uparrow$$

$$G/\ker(\phi) \xrightarrow{\sim} \operatorname{image}(\phi),$$

where the surjective map is  $g \mapsto g \ker(\phi)$  and the isomorphism is  $g \ker(\phi) \mapsto \phi(g)$ .

Proof. The vertical map is obviously surjective and is a group homomorphism by the definition of the group law in  $G/\ker(\phi)$ . The inclusion is likewise obviously an injective homomorphism. We consider  $\tilde{\phi}: G/\ker(\phi) \to \operatorname{im}(\phi)$  given by  $\tilde{\phi}(g\ker(\phi)) = \phi(g)$ . This is well defined because if  $g\ker(\phi) = h\ker(\phi)$ , then g = hk for some  $k \in \ker(\phi)$  in which case  $\phi(g) = \phi(hk) = \phi(h)\phi(k) = \phi(h)$ . It is injective since if  $\phi(g\ker(\phi)) = 1$ , then  $\phi(g) = 1$  in which case  $g \in \ker(\phi)$  so  $g\ker(\phi) = \ker(\phi)$  which is the identity in  $G/\ker(\phi)$ . It is obviously surjective.

**Example 4.2.**  $\phi: \mathbb{Z} \to S_n$  sending a to  $(12 \dots n)^a$ .

**Example 4.3.**  $\mathrm{GL}_n(\mathbb{F}_q)/\mathrm{SL}_n(\mathbb{F}_q) \simeq \mathbb{F}_q^{\times}$  via the determinant map.

**Theorem 4.4** (Isomorphism Theorem). Let  $N \triangleleft G$  and H < G be subgroups of G. Then HN < G,  $H \cap N \triangleleft H$  and the map

$$H/(H \cap N) \ni h(H \cap N) \mapsto hN \in HN/N$$

is an isomorphism.

*Proof.* We begin by showing that HN is a subgroup. Say  $h_1, h_2 \in H$  and  $n_1, n_2 \in N$  we need to check that  $h_1n_1h_2n_2 \in HN$ . Using that N is normal  $Nh_2 = h_2N$ , so there is some  $n'_1 \in N$  such that  $n_1h_2 = h_2n'_1$ . Then  $h_1n_1h_2n_2 = h_1h_2n'_1n_2 \in HN$  as required. The same idea is used to show HN is closed under inverses and hence is a subgroup.

Now we show that  $H \cap N$  is normal in H. Let  $h \in H$ . Then hN = Nh, so  $hN \cap H = Nh \cap H$ . Now if  $hn \in (hN \cap H)$ , then  $hn \in H$  so  $n \in h^{-1}H = H$  showing that  $hN \cap H = h(N \cap H)$ . Similarly  $Nh \cap H = (N \cap H)h$ .

Now consider the map  $\phi$  defined in the statement. It is well-defined since if  $h_1(H \cap N) = h_2(H \cap N)$ , then there is  $n \in H \cap N$  such that  $h_1 = h_2 n$  in which case  $h_1 N = h_2 n N = h_2 N$ .

It is injective since if hN = N, then  $h \in N$  in which case  $h(H \cap N) = H \cap N$ . It is surjective since hN is the image of  $h(H \cap N)$ . Finally we check that it is a homomorphism:

$$\phi(h_1(H \cap N)h_2(H \cap N)) = \phi(h_1h_2(H \cap N)) = h_1h_2N = h_1Nh_2N = \phi(h_1(H \cap N))\phi(h_2(H \cap N)).$$

**Example 4.5.**  $D_4 = \langle \rho, \sigma | \rho^4 = \sigma^2 = 1, \rho \sigma = \sigma \rho^3 \rangle$  contains the subgroups  $H = \langle \sigma \rangle$  and  $N = \langle \rho \rangle$ . We have  $HN = \{\sigma^a \rho^b\} = D_4$  while  $H \cap N = \{1\}$ . We have an isomorphism  $H/H \cap N = H = \{1, \sigma\} \simeq \{\langle \rho \rangle, \sigma \langle \rho \rangle\} = D_4/\langle \rho \rangle = HN/N$ .

## 5. The correspondence theorem

**Theorem 5.1** (Correpsondence Theorem). Let  $N \triangleleft G$  be a normal subgroup. The map

$$\Phi: \{H : N < H < G\} \to \{\tilde{H} : \tilde{H} < G/N\} \quad H \mapsto H/N$$

is a bijection which

- (1) preserves inclusions (i.e.  $H < H' \Leftrightarrow \Phi(H) < \Phi(H')$ ,
- (2) preserves index (i.e.,  $[G:H] = [G/N:\Phi(H)]$ ), and
- (3) preserves normality (i.e., H is normal in G if and only if  $\Phi(H)$  is normal in G/N.

All of this can be phrased by saying that the subgroup lattice of G/N is identical to the part of the subgroup lattice of G above N.

**Example 5.2.** Consider the subgroups of  $\mathbb{Z}$  which contain  $n\mathbb{Z}$  and compare with the subgroups of  $\mathbb{Z}/n\mathbb{Z}$  for n=2,4 and 6.

**Example 5.3.** Work out the details for  $N = \langle \rho^2 \rangle \triangleleft D_4$ .

#### 6. Examples

**Example 6.1.**  $L \subset \mathbb{R}^3$  a line through the origin is a subgroup. What are its cosets?

**Example 6.2.** Consider a linear map  $L : \mathbb{R}^3 \to \mathbb{R}^3$ . What does the homomorphism theorem say? How is this like the rank-nullity theorem?

**Example 6.3.** Consider a pair of planes in  $\mathbb{R}^3$ . What does the isomorphism theorem say?

**Example 6.4.** Consider the subgroup  $N = \{1, (12)(34), (13)(24), (14), (23)\} < S_4$ . Show that N is normal and identify the quotient group  $S_4/N$ .

#### 7. Direct products of groups

Given groups G, H one can form their direct product

$$G \times H = \{(g, h) : g \in G, h \in H\}$$

This forms a group under the operation  $(g,h) \cdot (g',h') = (gg',hh')$ .

Note that  $G \times H$  contains the two subgroups

$$\tilde{G} = \{(g, 1_H) : g \in G\}$$

$$\tilde{H} = \{(1_H, h) : h \in H\},\$$

which are isomorphic to G and H respectively. Moreover, one easily checks that

$$(1) \ \tilde{G} \cap \tilde{H} = \{1\}$$

- (2)  $\tilde{G}\tilde{H} = G \times H$
- (3)  $\tilde{G}$  and  $\tilde{H}$  are normal subgroups of  $G \times H$ .

The following theorem gives a converse to these observations.

**Theorem 7.1.** Let H, K < G. Then  $G \simeq H \times K$  if and only if

- (1)  $H, K \triangleleft G$ ,
- (2) HK = G, and
- (3)  $H \cap K = \{1\}.$

*Proof.* Suppose H, K satisfy the conditions give and define  $\phi: H \times K \to G$  by  $(h, k) \mapsto hk$ . This map is surjective since HK = G. It is injective since  $H \cap K = \{1\}$ . Indeed, if  $\phi(h,k) = 1$ , then hk = 1 which implies  $h = k^{-1}$  showing that h lies in both H and K. To see that  $\phi$  is a homomorphism consider that

$$\phi((h_1, k_1)(h_2, k_2)) = \phi(h_1 h_2, k_1 k_2) = h_1 h_2 k_1 k_2, \text{ while}$$
  
$$\phi(h_1, k_1)\phi(h_2, k_2) = h_1 k_1 h_2 k_2.$$

It therefore suffices to prove the following lemma:

**Lemma 7.2.** If  $H, K \triangleleft G$  are normal subgroups with trivial intersection then their elements commute.

*Proof.* Let  $g = hkh^{-1}k^{-1}$  To show hk = kh is the same as showing g = 1. Consider that  $g = h(kh^{-1}k^{-1}) \in h(kHk^{-1}) = hH = H$  and  $g = (hkh^{-1})k^{-1} \in (hKh^{-1})k^{-1} = Kk^{-1} = K$ . Hence  $g \in H \cap K = \{1\}$ . □

Example 7.3.  $\mathbb{Z}/6 \simeq 2\mathbb{Z}/6\mathbb{Z} \times 3\mathbb{Z}/6\mathbb{Z}$ 

**Example 7.4.**  $S_3 = D_3$  is not the direct product of its subgroups  $\langle \rho \rangle \simeq \mathbb{Z}/3$  and  $\langle \sigma \rangle \simeq \mathbb{Z}/2\mathbb{Z}$ .

**Example 7.5.** If G is abelian and  $\phi: G \to \mathbb{Z}$  is a surjective homomorphism, then  $G \simeq \mathbb{Z} \times \ker(\phi)$ .

# 8. Finitely generated abelian groups

**Definition 8.1.** Elements  $x_1, \ldots, x_n \in M$  form a basis for the abelian group M if

- (1)  $M = \langle x_1, \dots, x_n \rangle$  and
- (2) Whenever  $a_1x_1 + \cdots + a_nx_n = 0$  with  $a_i \in \mathbb{Z}$  we have  $a_ix_i = 0$  for all i.

**Lemma 8.2.** If  $x_1, \ldots, x_n$  is a basis for M, then  $M \simeq \langle x_1 \rangle \times \cdots \times \langle x_n \rangle$ .

*Proof.* Note that  $x_2, \ldots, x_n$  must form a basis for  $\langle x_2, \ldots, x_n \rangle$ . So by induction it suffices to prove that  $M \simeq \langle x_1 \rangle \times \langle x_2, \ldots, x_n \rangle$ . For this it suffices to note that  $H = \langle x_1 \rangle$  and  $K = \langle x_2, \ldots, x_n \rangle$  are normal subgroups which generate M and have trivial intersection and then use Theorem 7.1.

**Theorem 8.3.** Every finitely generated abelian group M has a basis.

The proof of the theorem requires the following lemma.

**Lemma 8.4.** Let  $x_1, \ldots, x_n$  be a generating set for M and  $c_1, \ldots, c_n \ge 0$  integers with  $gcd(c_i) = 1$ . Then there is a generating set  $y_1, \ldots, y_n$  for M with  $y_1 = c_1x_1 + \cdots + c_nx_n$ .

*Proof.* By induction on  $s = \sum c_i$ . For s = 1 the statement is clear. If s < 1, then there must be at least two  $c_i$  which are nonzero. We can assume  $c_1 \ge c_2 > 0$ . Now consider the generating set  $x_1, x_1 + x_2, x_3, \ldots, x_n$  and the relatively prime coefficients  $d_1 = c_1 - c_2, d_2 = c_2, \ldots, d_k = c_k$ . The sum of these is less than s, so the induction hypothesis gives a generating set  $y_1, \ldots, y_k$  with  $y_1 = d_1x_1 + d_2x_2 + \cdots + d_kx_k = c_1x_1 + c_2x_2 + \cdots + c_kx_k$  as desired.  $\square$ 

Proof of the Theorem. We do this by induction on the number k of generators required. Among all generating sets of size k choose one  $x_1, \ldots, x_k$  with the order of  $x_1$  minimal. If they do not form a basis, then there is a relation  $a_1x_1 + \cdots + a_kx_k$  with  $a_ix_i$  not all 0. Changing the signs of the  $x_i$  if necessary we may assume  $a_i \geq 0$  and  $a_1 < \operatorname{ord}(x_1)$ . Let  $d = \gcd(a_i)$  and set  $c_i = a_i/d$ . Applying the lemma we find a generating set  $y_1, \ldots, y_k$  with  $y_1 = c_1x_1 + \cdots + c_kx_k$ . Then  $dy_1 = 0$  gives a contradiction to the fact that  $x_1$  had minimal order.

**Lemma 8.5** (Chinese Remainder Theorem). If  $m = p_1^{n_1} \cdots p_k^{n_k}$  is the prime factorization of m, then  $\mathbb{Z}/m\mathbb{Z} \simeq \mathbb{Z}/p_1^{n_1}\mathbb{Z} \times \cdots \times \mathbb{Z}/p_k^{n_k}\mathbb{Z}$ .

*Proof.* By induction it suffices to prove that  $\mathbb{Z}/a \times \mathbb{Z}/b \simeq \mathbb{Z}/ab$  when a, b are relatively prime. For this consider the subgroups  $a\mathbb{Z}/ab$  and  $b\mathbb{Z}/ab$  of  $\mathbb{Z}/ab$ . Since a and b are relatively prime they generate (e.g., use the Euclidean algorithm to find  $r, s \in \mathbb{Z}$  such that ra + sb = 1). These groups also have trivial intersection since the orders of the elements in each are relatively prime. Hence Theorem 7.1 gives the result.

Remark 8.6. This actually gives an isomorphism of rings.

**Corollary 8.7.** Let M be a finite abelian group. Then there are integers  $n_1, \ldots, n_k$  such that  $M \simeq \mathbb{Z}/n_1\mathbb{Z} \times \cdots \times \mathbb{Z}/n_k\mathbb{Z}$ . Moreover, the  $n_i$  are uniquely specified by the condition that  $2 \leq n_1 \mid n_2 \mid \cdots \mid n_k$ .

**Corollary 8.8.** A finite abelian group is cyclic if and only if for every  $n \ge 0$  it has at most n elements of order dividing n.

*Proof.* Write M as  $H \times Z/n\mathbb{Z}$  where H is a product of cyclic groups of order dividing n. If M has at most n elements of order dividing n, then H must be trivial. Conversely it is clear that a cyclic group satisfies the condition.

**Example 8.9.** If F is a field, then any finite subgroup  $G < F^{\times}$  is cyclic. This is because the elements of order dividing n in G are roots of the polynomial  $x^n - 1$ , which has at most n roots by unique factorization in F[x].

## 9. Jordan-Hölder Theorem

**Definition 9.1.** Let G be a group. A subnormal series for G is a sequence of normal subgroups

$$G = G_0 \rhd G_1 \rhd G_2 \rhd \cdots \rhd G_n$$

with  $G_i \neq G_{i+1}$ . It is a compostion series if it admits no proper refinement.

**Example 9.2.** There are two compostion series for  $\mathbb{Z}/6\mathbb{Z}$ . Namely,

$$\mathbb{Z}/6\mathbb{Z} \rhd 2\mathbb{Z}/6\mathbb{Z} \rhd 1$$
, and  $\mathbb{Z}/6\mathbb{Z} \rhd 3\mathbb{Z}/6\mathbb{Z} \rhd 1$ .

**Example 9.3.** There is just one composition series for  $D_3$ :

$$D_3 \rhd \langle \rho \rangle \rhd 1$$
.

# Example 9.4.

$$D_4 \rhd \langle \rho \rangle \rhd 1$$

is a subnormal series, but not a composition series because it can be refined to

$$D_4 \lhd \langle \rho \rangle \rhd \langle \rho^2 \rangle \rhd 1$$

Note that it is not required that  $\langle \rho^2 \rangle$  be a normal subgroup of  $D_4$ , only that it is a normal subgroup of the term preceding it in the series.

**Lemma 9.5.** Every finite group has at least one composition series.

Proof. The finite group G has finitely many normal subgroups. Choose one that is maximal with respect to inclusion and set this as  $G_1$ . Now repeat with  $G_1$  in place of G. This process must terminate since each group  $G_i$  has order smaller than the previous. Moreover this subnormal series admits no proper refinement since, by the correspondence theorem, any group  $G_i > H > G_{i+1}$  corresponds to a normal subgroup of  $G_i$  containing  $G_{i+1}$ . By maximality of  $G_{i+1}$  we have that  $H = G_i$  or  $H = G_{i+1}$ .

As seen above, a group may have more than one composition series. The Jordan-Hölder theorem says that the composition factors  $G_i/G_{i+1}$  do not depend on the choice of composition series, hence are an invariant of the group.

**Theorem 9.6** (Jordan-Hölder Theorem). Let G be a finite group and suppose

$$G = G_0 \rhd G_1 \rhd G_2 \rhd \cdots \rhd G_n \text{ and }$$
  
 $G = H_0 \rhd H_1 \rhd H_2 \rhd \cdots \rhd H_m$ 

are composition series for G. Then m=n and there is a permutation  $\sigma \in S_n$  such that  $G_{i-1}/G_i \simeq H_{\sigma(i)-1}/H_{\sigma(i)}$  for all  $i=1,\ldots,n$ .

*Proof.* By induction on the order of G, the case |G| = 1 being trivial. Given the two composition series set  $K_2 = H_1 \cap G_1$  and take a composition series  $K_2 \triangleright K_1 \triangleright K_2 \triangleright \cdots \triangleright K_\ell$  for  $K_2$ . Since  $K_2$  is a maximal normal subgroup of  $H_1$  and of  $G_1$ , we now have four composition series for G:

$$G \rhd H_1 \rhd H_2 \rhd \cdots \rhd H_m$$

$$G \rhd H_1 \rhd K_2 \rhd \cdots \rhd K_{\ell}$$

$$G \rhd G_1 \rhd K_2 \rhd \cdots \rhd K_{\ell}$$

$$G \rhd G_1 \rhd G_2 \rhd \cdots \rhd G_n.$$

We can apply the induction hypothesis to  $H_1$  to see that  $m = \ell$  and that the first two series have the same quotients. We can do the same with  $G_1$  to see that  $\ell = n$  and the last two series have the same quotients. Now the middle two series are the same after the  $K_2$  term, and the first two quotients of each can be related using the isomorphism theorem. Namely we have

$$G/H_1 = H_1G_1/H_1 \simeq G_1/K_2$$
 and  $G/G_1 = G_1H_1/G_1 \simeq H_1/K_2$ .

Taken together this shows that the quotients of all four series are the same (up to permutation).  $\Box$ 

**Example 9.7.** For a finite abelian group the Jordan-Hölder factors are all of the form  $\mathbb{Z}/p\mathbb{Z}$  (with multiplicity equal to the largest n such that  $p^n$  divides the order of the group).

**Example 9.8.** The quotients in the two composition series for  $\mathbb{Z}/6\mathbb{Z}$  are cyclic groups of order 2 and 3. There are several composition series for  $D_4$ , but all have length 3 and all quotients are cyclic groups of order 2. We will see later in the course that in a group of order  $p^n$  with p prime, all of the quotients in a composition series are isomorphic to  $\mathbb{Z}/p\mathbb{Z}$ .

We will see later that there are groups whose Jordan Hölder factors are not all abelian (and of prime order).

## 10. Group actions and the orbit-stabilizer theorem

**Definition 10.1.** Let G be a group and X a set. A (left) group action of G on X is a map of sets

$$G \times X \to X$$
,  $(g, x) \mapsto g \star x$ 

satisfying:

- (1) For all  $x \in X$ ,  $1 \star x = x$ , and
- (2) For all  $x \in X$  and  $g, h \in G$ ,  $g \star (h \star x) = gh \star x$ .

We say that G acts on X and write  $G \subset X$ .

# **Definition 10.2.** Suppose $G \subset X$ .

The orbit of  $x \in X$  is the set  $Orb(x) = \{y \in X : \exists g \in G \text{ such that } g \star x = y\}$ . The stabilizer of  $x \in X$  is the subgroup  $Stab(x) = \{g \in G : g \star x = x\}$ . When Orb(x) = X we say that the action is transitive.

Here are several examples:

- (1) The group law  $G \times G \to G$  is a group action of G on itself. This action is transitive and all stablizers are trivial (because of inverses).
- (2)  $S_n$  acts on  $\{1, 2, ..., n\}$  in the obvious way. For any i,  $Stab(i) \simeq S_{n-1}$  is the subgroup which permutes only the other n-1 symbols and  $Orb(i) = \{1, ..., n\}$ , so the action is transitive.
- (3) By definition  $D_n$  acts on the regular n-gon. This gives actions  $D_n \subset \{1, \ldots, n\}$  (act on vertices) and  $D_n \subset \mathbb{R}^2$  (center the n-gon at the origin and act on the plane by the corresponding rotations and reflections). The action  $D_n \subset \{1, \ldots, n\}$  is the same as the restriction of the action  $S_n \subset \{1, \ldots, n\}$  to the subgroup  $D_n < S_n$ . We leave it as an exercise to describe the orbits and stabilizers for these actions.
- (4)  $GL_n(F)$  acts on  $F^n$  by  $A \star \mathbf{v} = A\mathbf{v}$ . This action is transitive and the stabilizer of  $\mathbf{v}$  is the subgroup of matrices which have  $\mathbf{v}$  as an eigenvector with eigenvalue 1. There is also an action of  $GL_n(F)$  on the set  $\mathbb{P}^{n-1}(F)$  of lines through the origin in  $F^n$ .
- (5)  $\mu_2 = \{\pm 1\}$  acts on  $\mathbb{Q}(\sqrt{2})$  by the rule  $(-1)\star(a+b\sqrt{2}) = a-b\sqrt{2}$ . Then  $\mathrm{Orb}(z) = \{z, \overline{z}\}$  and  $\mathrm{Stab}(z) = \begin{cases} \mu_2 & \text{if } z \in \mathbb{Q} \\ \{1\} & \text{otherwise} \end{cases}$

Now suppose H < G is a subgroup.

(6)  $G \subset G/H$  by the rule  $g \star (g_0 H) = gg_0 H$ . This action is transitive and the stabilzer of  $g_0 H$  is the conjugate subgroup  $g_0 H g_0^{-1}$ .

- (7) G acts on itself by conjugation:  $g \star h = ghg^{-1}$ . The orbit of  $g \in G$  is called a conjugacy class. The stabilizer  $\operatorname{Stab}(g) = \{h \in G : hg = gh\}$  is the subgroup of elements that commute with G; it is called the **centralizer** of g in G.
- (8) Let  $\operatorname{Sub}(G)$  be the set of subgroups of G. Then  $G \subset \operatorname{Sub}(G)$  by the rule  $g \star H = gHg^{-1}$ .

**Fact**: The orbits of a group action  $G \subset X$  give a partition of X.

**Proposition 10.3.** Suppose  $G \subset X$  transitively. Then for any  $x \in X$  the map

$$\phi: G/\operatorname{Stab}(x) \to X$$
,  $(g\operatorname{Stab}(x)) \mapsto g \star x$ 

is an isomorphism of G-sets, i.e., is a bijection such that  $\phi(g * y) = g \star \phi(y)$  where g \* y denotes the action on G on G/Stab(x) as in (6).

*Proof.* One easily checks that this map is well defined, injective, surjective and respects the G-actions.

Corollary 10.4 (Orbit-Stabilizer Theorem). Suppose  $G \subset X$  and  $x \in X$ . Then  $|\operatorname{Orb}(x)| = [G : \operatorname{Stab}(x)]$ .

*Proof.* The action of G on X restricts to a transitive action of G on Orb(x). The proposition gives an isomorphism of Orb(x) with the coset space  $G/\operatorname{Stab}(x)$ .

**Example**: Look at conjugacy classes in  $D_3$ .

# 11. The class equation and consequences for p-groups

Let G be a finite group and consider the action of G on itself by conjugation. The orbits are called conjugacy classes. The stabilizer of  $g \in G$  under this action is the subgroup  $\operatorname{Stab}(g) = C_G(g) = \{h \in G : gh = hg\}$  is called the **centralizer** of g in G. It is the set of elements of G that commute with G. The intersection of all of theses centralizers (as one ranges over all  $g \in G$ ) is called the **center** of G and is denoted G. It is the set of elements of G that commute with all other elements.

**Theorem 11.1** (Class equation). Suppose G is a finite group. Then

- (1)  $|G| = \sum [G: C_G(g)]$ , the sum ranging over a set of representatives g for the conjugacy classes, and
- (2)  $|G| = |Z(G)| + \sum [G : C_G(g)]$ , the sum ranging over a set of representatives for the conjugacy classes of size at least 2.

*Proof.* The orbits of  $G \subset G$  give a partition  $G = \coprod \operatorname{Orb}(g)$ , where the union ranges over a set of representatives for the orbits (which are conjugacy classes). By the orbit-stabilizer theorem,  $|\operatorname{Orb}(g)| = [G : \operatorname{Stab}(g)] = [G : C_G(g)]$ . This proves the first statement. For the second, use that Z(G) is the set of elements with a conjugacy class of size 1 to decompose the sum.

This theorem has several interesting corollaries for the structure of finite groups, and in particular for so-called p-groups. Here p is a prime number and G is a p-group if |G| is a power of p.

Corollary 11.2 (Cauchy's Theorem). If  $p \mid |G|$ , then there is an element of order p in G.

*Proof.* By induction on |G|. Case 1: If  $p \nmid [G : C_G(x)]$  for some  $x \notin Z(G)$ , then  $p \mid C_G(x)$  so we can apply the hypothesis to  $C_G(x)$ . Case 2: If  $p \mid [G : C_G(x)]$  for all  $x \notin Z(G)$ , then by equation 2 we have  $|Z(G)| \equiv 0 \mod p$ , so there is an element of order p in Z(G) < G.  $\square$ 

Corollary 11.3. G is a p-group if and only if every element has order a power of p.

*Proof.* Lagrange's theorem implies one direction and Cauchy's theorem implies the other.  $\Box$ 

Corollary 11.4. Every p-group has nontrivial center (i.e.,  $Z(G) \neq \{1\}$ ).

*Proof.* For any  $x \in G$ ,  $C_G(x)$  is a subgroup of G, hence is also a p-group. Consider the class equation modulo p:

$$0 = |G| = |Z(G)| + \sum [G : C_G(x)] = |Z(G)|.$$

In particular  $|Z(G)| \neq 1$ .

Corollary 11.5. Every group of order  $p^2$  is abelian.

Proof. By the previous corollary, the center is a nontrivial normal subgroup of G. If  $Z(G) \neq G$ , then  $G/Z(G) \simeq \mathbb{Z}/p\mathbb{Z}$ . Choose  $a \in G$  such that its image generates G/Z(G). Given  $g_1, g_2 \in G$  we can write them as  $g_1 = a^{m_1}z_1$  and  $g_2 = a^{m_2}z_2$  with  $z_i \in Z(G)$ . Now an easy computation shows that  $g_1g_2 = g_2g_1$ . Hence G is abelian.

Corollary 11.6. Every group of order  $p^n$  has normal subgroups of order  $p^m$  for  $1 \le m \le n$ .

*Proof.* By induction on n. Since p||Z(G)| (as seen above) Cauchy's theorem shows that there is an element a of order p in Z(G). Then  $\langle a \rangle \lhd G$  is a normal subgroup. We can apply the induction hypothesis to  $G/\langle a \rangle$  to get normal subgroups of this quotient of index  $p, p^2, \ldots, p^{n-1}$ . By the correspondence theorem these correspond to normal subgroups of G containing  $\langle a \rangle$  of the same indices.

Corollary 11.7. The Jordan-Hölder factors of any p-group are all isomorphic to  $\mathbb{Z}/p\mathbb{Z}$ .

*Proof.* The previous corollary shows there is a subnormal series all of whose quotients are isomorphic to  $\mathbb{Z}/p\mathbb{Z}$ . By the correspondence theorem this is a composition series  $(\mathbb{Z}/p\mathbb{Z}$  has no normal subgroups).

#### 12. Sylow theorems

The so-called Sylow theorems were established by Ludwig Sylow in the 1870's. They are structural theorems concerning the p-subgroups of a finite group. Sylow's motivation came from Galois theory.

**Definition 12.1.** Let G be a finite group and p a prime divisor of |G|. A subgroup H < G is called a Sylow-p-subgroup if it is a p-group and  $p \nmid (G : H)$ .

This means that the order of H is the largest power of p dividing the order of G.

**Theorem 12.2.** Let G be a group of order  $p^rm$  with p prime and m relatively prime to p. Then

- (1) There is a Sylow-p-subgroup of G;
- (2) Any two Sylow-p-subgroups of G are conjugate;

(3) The number  $s_p$  of Sylow-p-subgroups satisfies

$$s_p \equiv 1 \mod p \quad and \quad s_p \mid m;$$

(4) Every p-subgroup of G is contained in a Sylow-p-subgroup.

# Example 12.3.

 $D_{25}$  has order  $2 \times 5^2$ . The subgroup  $H = \langle \rho \rangle$  of rotations has order  $5^2$ , so it is a Sylow-5 subgroup. This is the only Sylow-5-subgroup. The subgroup  $\langle \rho^5 \rangle$  has order 5, so it is not a Sylow-p-subgroup (for any p). Any reflection generates a Sylow-2-subgroup, and conversely any subgroup of order 2 is generated by a reflection. Note that all of the Sylow-2-subgroups are conjugate. Moreover, the number of these is  $s_2 = 25$ , which is congruent to 1 mod 2 and divides 25.

For another example consider upper-triangular matrices in  $GL_n(\mathbb{F}_p)$ .

Proof of Sylow (1). Let  $X = \{A \subset G : |A| = p^r\}$  be the set of subsets of G of size  $p^r$  and define an action of G on X by  $g \star A = \{ga : a \in A\}$ . Given A, consider  $H := \operatorname{Stab}(A) = \{g \in G : gA = A\} < G$ . First we claim that  $|H| \leq |A| = p^r$ . This is because for any  $a \in A$  there is an injective map of sets  $H \to A$  given by  $h \mapsto ha$ . Now the orbit-stabiliser theorem gives  $p^r m = (G:1) = (G:H)(H:1) = |Orb(A)|(H:1)$  so it suffices to find some A such that |Orb(A)| is not divisible by p. Since the orbits in X partition X we have

$$\sum |\operatorname{Orb}(A_i)| = |X| = \binom{p^r m}{p^r} \not\equiv 0 \mod p,$$

so there must be an orbit of size not divisible by p.

**Lemma 12.4.** Let P be a Sylow-p-subgroup and H < G a p-group. If  $H < N_G(P) = \{g \in G : gPg^{-1}\}$ , then H < P.

*Proof.*  $H < N_G(P)$  and  $P \lhd N_G(P)$ , so we may apply the isomorphism theorem to get that  $(HP:P) = (H:H \cap P) = \text{a power of } p, \text{ since } H \text{ is a } p\text{-group. On the other hand } (HP:P) \text{ divides } (G:P) \text{ which is prime to } p, \text{ so } (HP:P) = 1.$ 

Proof of the rest of Sylow theorem. Let X be the set of Sylow-p-subgroups of G and consider the action of G on X by conjugation. Let  $O \subset X$  be an orbit. We will show that O = X,  $|O| \equiv 1 \mod p$  and  $|O| \mid m$ .

For any Sylow-p-subgroup P < G we may further decompose  $O = \bigcup O_i$  as a union of orbits of O under the action of P. Note that all  $O_i$  have order  $|O_i| = [P : \operatorname{Stab}(O_i)]$ , which is a power of p. We claim that there is at most 1 orbit of size 1, which must be  $O_i = \{P\}$  and this only occurs when  $P \in O$ . To see this note that  $O_i = \{Q\}$  if and only if  $P \subset N_G(Q)$ , which implies P = Q by the lemma. Taking  $P \in O$  we find that  $|O| \equiv 1 \mod p$ . If there were some  $P \in X \setminus O$ , then we would have no orbits of size 1 and thus conclude  $|O| \equiv 0 \mod p$ . This contradiction shows that O = X.

Now 
$$|O| = (G:N_G(P)) = \frac{(G:1)}{(N_G(P):P)(P:1)} = \frac{m}{(N_G(P):P)} \mid m$$
.

Finally, we show that every p-subgroup of G is contained in a Sylow-p-subgroup. For this suppose H < G is a p-subgroup and consider the action of H on X by conjugation. Since the orbits have size a power of p and  $|X| \equiv 1 \mod p$  we must have at least one orbit of size 1. This means that  $H < N_G(P)$  for some P and hence that H < P by the lemma.  $\square$ 

**Definition 13.1.** Let  $\sigma \in S_n$  be a permutation. We say that  $\sigma$  is even or odd correspondingly as the set

$$\{(i,j) : i < j \text{ and } \sigma(i) > \sigma(j)\}$$

has an even or odd number of elements. The signature of a permutation is  $\pm 1$  correspondingly as it is even or odd.

**Example 13.2.** (132) is an even permutation in  $S_3$  since the set in the definition consists of the pairs  $\{(2,1),(3,1)\}.$ 

**Lemma 13.3.** The signature defines a surjective homomorphism  $sgn: S_n \to \{\pm 1\}$ .

*Proof.* Surjectivity is clear since the identity is even and (12) is odd. Define an action of  $S_n$  on the polynomial ring  $\mathbb{Z}[x_1,\ldots,x_n]$  by  $\sigma\star x_i=x_{\sigma(i)}$  and extending linearly. Consider the polynomial  $P(x_1,\ldots,x_n)=\prod_{1\leqslant i< j\leqslant n}(x_j-x_i)$ . Then  $\sigma(P)=\operatorname{sgn}(\sigma)P$ . Since this is a group action we have

$$\operatorname{sgn}(\sigma\tau)P = (\sigma\tau) \star P = \sigma \star \operatorname{sgn}(\tau)P = \operatorname{sgn}(\sigma)\operatorname{sgn}(\tau)P$$

so that  $sgn(\sigma \tau) = sgn(\sigma) sgn(\tau)$ .

**Definition 13.4.** The Alternating group  $A_n$  is the kernel of sgn :  $S_n \to \{\pm 1\}$ .

Note that  $|A_n| = |S_n|/2 = n!/2$  and  $A_n \triangleleft S_n$  is a normal subgroup.

**Lemma 13.5.** Every  $\sigma \in S_n$  can be written as a product of disjoint cycles.

*Proof.* The supports of each cycle will be an orbit of  $\{1,\ldots,b\}$  under the action of  $\sigma$ .  $\square$  This gives a partition of  $\{1,\ldots,n\}$ .

**Lemma 13.6.** Two permutations are conjugate if and only if they give the same partition of  $\{1, \ldots, n\}$ .

*Proof.* Exercise. Use that for  $\sigma = (i_1 i_2 \dots i_n)$  we have  $g \sigma g^{-1} = (g(i_1)g(i_2) \dots g(i_n))$ 

**Example 13.7.** The permutation  $\sigma \in S_5$  given by  $i \mapsto 4i \mod 5$  has orbits  $Orb(1) = \{1,4\}, Orb(2) = \{2,3\}$  and  $Orb(5) = \{5\}$ . We can write this as (14)(23)(5). This is conjugate to the permutation  $\tau(23)(45)$ . Specifically,  $g\sigma g^{-1} = \tau$  for g = (15).

Corollary 13.8. Every  $\sigma \in S_n$  can be written as a product of transpositions.

*Proof.* It suffices to treat the case when  $\sigma = (i_1 i_2 \cdots , i_r)$  is a cycle in which case we see that

$$(i_1 i_2 \cdots , i_r) = (i_1 i_r) \cdots (i_{r-2} i_r) (i_{r-1} i_r).$$

Corollary 13.9. Every  $\sigma \in A_n$  can be written as a product of 3-cycles.

*Proof.* Write  $\sigma$  as a product of transpositions. Since the signature of a transposition is -1 there are an even number of transpositions in the product. It therefore suffices to show that any product of two transpositions can be written as a product of 3-cycles. For this consider:

$$(ij)(k \ell) = \begin{cases} (ikj) & j = k, \\ (ij\ell)(ik\ell) & i, j, k, \ell \text{ distinct}, \\ 1 & (ij) = (k \ell). \end{cases}$$

The following gives our first example of a nonabelian simple group.

**Theorem 13.10** (Galois). For  $n \ge 5$ ,  $A_n$  has no proper normal subgroups.

A group is called solvable if all of its Jordan-Hölder factors are abelian. The terminology comes from Galois theory and the relationship to solvability of a polynomial by radicals. We will discuss this connection later in the course.

Corollary 13.11. For  $n \ge 5$ , the Jordan-Hölder factors of  $S_n$  are  $\mathbb{Z}/2$  and  $A_n$ . In particular,  $A_n$  and  $S_n$  are not solvable.

One proves the theorem in two steps, which are given in the following lemmas.

**Lemma 13.12.** If  $n \ge 5$ ,  $N < A_n$  and N contains a 3-cycle, then  $N = A_n$ .

**Lemma 13.13.** If  $N \triangleleft A_n$  and  $N \neq \{1\}$ , then N contains a 3-cycle

Proof of Lemma 13.12. Let  $\gamma \in N$  be a 3-cycle and let  $\sigma \in S_n$  be any 3-cycle. It suffices to show  $\sigma \in A_n$ . By Lemma 13.6 there is a  $g \in S_n$  such that  $g \gamma g^{-1} = \sigma$ . If  $g \in A_n$ , then  $\sigma \in A_n$ . If  $g \notin A_n$ , then choose a transposition (ij) disjoint from  $\sigma$  (which is possible since  $n \ge 5$ ). Then  $tg \in A_n$  and  $tg\gamma(tg)^{-1} = t\sigma t = \sigma \in N$ .

Before giving the proof of Lemma 13.13 let us introduce the notion of fixed subsets. Given  $g \in G \subset X$  a group acting on a set X, let  $X^g = \{x \in X : g \star x = x\}$  be the subset of elements fixed by g. For example, if  $\sigma = (i_1 i_2 \cdots i_r)$  is an r-cycle in  $S_n$ , then  $\{1,\ldots,n\}^{\sigma}=\{i:i\neq i_j \text{ for any } j=1,\ldots,r\}$ . Note that for an r-cycle  $\sigma$  we have  $|\{1,\ldots,n\}^{\sigma}|=n-r$ , except for a cycle of length 1. Note also that any permutation fixing n-2 elements is a transposition and any permutation fixing exactly n-3 elements must be a 3-cycle.

*Proof of Lemma 13.13.* Let  $\sigma \in N$ ,  $\sigma \neq 1$ . We show that if  $\{1,\ldots,n\}^{\sigma}$  has size less than n-3, then we can construct  $1 \neq \sigma' \in N$  which fixes more elements of  $\{1,\ldots,n\}$ . Repeating if necessary we eventually construct an element of N which fixes exactly 3 elements and is therefore a 3-cycle.

Write  $\sigma$  as a product of disjoint cycles. We consider two cases. In the first the product contains a cycle  $(i_1 i_2 i \cdots i_r)$  of length at least 3. In this case we can find  $i_4, i_5$  distinct from  $i_1, i_2, i_3$  that are not fixed by  $\sigma$ . Let  $\gamma = (i_5 i_4 i_3)$  and  $\sigma_1 = \gamma \sigma \gamma^{-1} = (i_1 i_2 i_4 \dots) \dots \in N$ (since  $N \triangleleft A_n$ ). Then  $\sigma' = \sigma_1 \sigma^{-1} \in N$ . Now  $\sigma' \neq 1$  since  $\sigma_1 \neq \sigma$ . Everything fixed by  $\sigma$  is fixed by  $\sigma'$  since if  $\sigma(i) = i$ , then  $i \neq i_3, i_4, i_5$  and so i is fixed by  $\sigma$  and  $\gamma$ . Also  $\sigma'(i_1) = \sigma_1 \sigma^{-1}(i_1) = \sigma_1(i_2) = i_1$ , so  $\sigma'$  fixes more than  $\sigma$  does.

In the second case  $\sigma$  is a product of disjoint transpositions. Say  $\sigma = (i_1 i_2)(i_3 i_4) \cdots$ Choose any  $i_5$  distinct from  $i_1, \ldots, i_4$  and let  $\tau = (i_5 i_4 i_3) \in A_n$ . Now set  $\sigma' = \tau \sigma \tau^{-1} \sigma^{-1}$ . Now check that

- if  $i \notin \{i_1, \ldots, i_5\}$  and  $\sigma(i) = i$ , then  $\sigma'(i) = i$ .
- $\sigma'(i_4) = i_5$ , so  $\sigma' \neq 1$   $\sigma'(i_1) = i_1$

Hence  $\sigma'$  fixes more elements than  $\sigma$ , but not all.