

# Analysis of SMP Kernel Scheduling

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## Abstract

As algorithms become larger and more resource intensive, computer hardware must become increasingly efficient to effectively run such programs. In particular the capability for multiprocessing allows systems to distribute work across multiple CPUs. Modern operating systems and their respective schedulers have the opportunity to make policy decisions, such as load balancing and locality of access to shared memory, when distributing programs that can influence the performance of both the kernel and user programs.

## 1 Introduction

Hardware has evolved to become increasingly more complex and efficient, through areas such as processor frequency and memory latency. Amdahl's law gives diminishing returns on the impact of parallelizing computation. However, with respect to symmetric multiprocessing (SMP) in the kernel, Gustafson's law proves as now as a **scheduler?** can run "in the same time with a larger workload" [3]. Thus as new system architectures take advantage of these processors. They also require new approaches for system software and applications that run on top of this hardware [1].

Within an operating system, the task scheduler is responsible for running user tasks and determining an execution order. Yet with multiprocessing the scheduler offers an opportunity to distribute work across multiple cores. In a preemptive system, the scheduler may migrate tasks

between different cores which generally will incur a local penalty in cache performance yet may improve global performance. Thus for this report several variations on the classical task scheduler will be compared to their impact on system performance and task scheduling.

A run-queue will consist of a FIFO list of tasks and will be protected by a run-queue specific lock. The performance of several tasks will be measured using a single global run-queue, a per-core run-queue without pull migration, and a per-core run-queue with pull migration. Pull migration refers to periodically re-balancing the load on two run-queues. In addition, some tasks will be constrained to a smaller set of CPUs and thus may be fixed to a single process or not undergo push migration. This paper will demonstrate the performance implications that various scheduling algorithms have on both local and global task execution.

## 2 Environment?

The OS will be compiled for the ARMv8 (aarch64) instruction set and will be executed on the Raspberry Pi 3 B. The device includes a 1.2 GHz 64-bit quad-core ARM Cortex-A53 processor and consists of a 16KB L1 data cache, a 16KB L1 instruction cache, and a 128KB L2 cache. Intra-core cache coherency is enabled and uses the MOESI protocol [2]. The memory address space is configured using a linear two level translation table with accessible memory configured as normal outer and inner write-back, write-allocate and device register memory con-

figured as device nGnRnE. Performance will be measured using the ARM Performance Monitor Unit (PMU) and the core timer. The timer executes at a frequency of 19.2 MHz [6] and each core maintains its own core timer which will preempt the current task each MS. Both the kernel and pseudo-user processes will execute in EL1; however, the kernel will use SPx and the user processes will use SP0.

### 3 Definitions?

A ready queue can be defined as a generic data structure with two primary operations: ready and next. For its internal manipulation a ready queue is protected by a spinlock. Internally there are several queues corresponding to different priority levels allowing for processes with different priorities to be pushed onto the queues with no contention. However, for discussion we will assume that all processes run at the same priority.

A naive implementation for a SMP scheduler involves a global ready queue which all cores pull processes from. This naive implementation acts identical that in a single core system. On SMP systems this data structure is beneficial since it guarantees equal distribution of workload across all cores in addition to implementation simplicity. Additionally, this workload distribution is not affected by a rapid stream of exiting processes. (TODO possibly example) However, the approach suffers from kernel lock contention since all cores must synchronize access to the queue.

Under the current scheduler, a process, if eligible, is migrated during preemption. In general the scheduler will opt to keep a process on the same CPU unless another core is sufficiently empty or its affinity set dictates otherwise. In essence this rebalancing means that as processes are rescheduled, the cores will tend towards a balanced configuration. However, it is also possible that a core will have a stream of processes terminate leading to a load imbalance between ready queues (fig. 3).

Pull migration is an effort to improve the rebalancing latency for queues which exhibit the

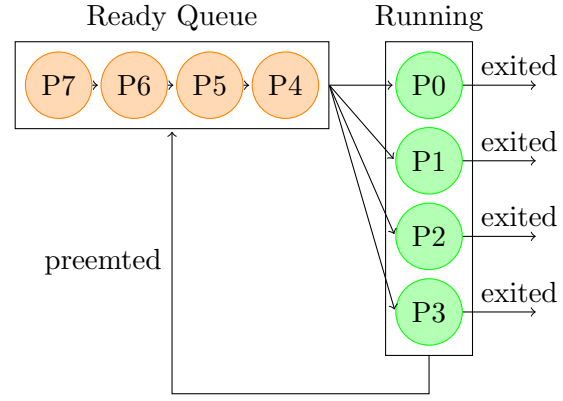


Figure 1: Global Ready Queue

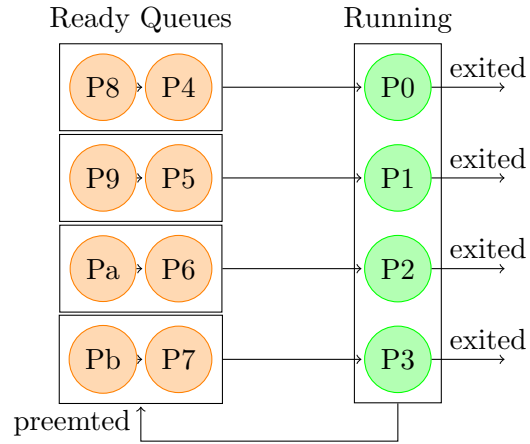


Figure 2: Per Core Ready Queue

behaviour in fig. 3. Periodically, an idle core will search for an overloaded core and pull eligible processes from the tail of its queue. This task requires locking the busy queue for a longer period of time; while, the idle queue tends to have its lock acquired more frequently. This task leads to high lock contention for both queues which can negatively impact the time spent in the kernel. Specifically, while iterating, the busy core will be unable to retrieve the next runnable process. Thus it is important to pick a large enough interval to do the rebalancing that it does not cause much strain on performance. However, in most cases, if a core is not sufficiently loaded the pull migration task will instead exit prematurely instead of locking both cores.

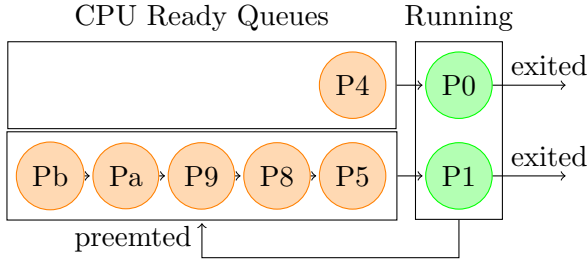


Figure 3: Unbalanced Scheduling

## 4 Performance

Different user programs were tested against the variations of the OS scheduler described previously. In general the tests consist of performing a scalar multiply for a specified runtime. These tests aim to simulate process cache access and utilization and provide repeatable results for user and kernel event counters. For example, some examples heavily utilize memory while others operate on smaller subsets of data. Additionally other processes are designed to run for longer or shorter periods of time to represent variability in task runtime.

While the actual measured runtime is presented in jiffies, these measurements should rarely be compared as they are rough measurements based on the CPU timer. Additionally, tasks which may have a variable amount of IO with the FTDI output device are likely to see unrepresentative results compared to the actual execution of tasks. Finally it is also important to note that all data fits into the L2 cache and thus pipeline stalls involved when fetching from main memory are limited the initial cold start. **TODO: talk about the L2 cache in conclusion as it amplifies performance penalty**

Type	Total	User	Kernel
Instrs	467617788	466380022	1237766
Cycles	693267321	691411106	1856215
Access	264291472	263665636	625836
Refill	1539	1340	199
Runtime	45374884	-	-

Table 1: single core - matrix 1x utilization

This initial example aims to show the stark dif-

Type	Total	User	Kernel
Instrs	1858506765	1853566311	4940454
Cycles	2768987855	2760678046	8309809
Access	1050488228	1047990323	2497905
Refill	1389770	1293188	96582
Runtime	178608294	-	-

Table 2: single core - matrix 4x utilization

ference between process cache utilization. Each example operates on a matrix fully utilizes the L1 cache and one which overloads the cache. It is important to note that while the cycle count is nearly 4x larger as expected, the refill rate for the larger matrix is larger by a factor of nearly 900. In these examples the most noticeable difference is the instructions per cycle in the kernel being roughly 11% slower, due to the frequent thrashing of kernel memory.

TODO: note about how to improve global runtime in userspace programs

Type	Total	User	Kernel
Instrs	354249559	353629318	620241
Cycles	527538552	526403550	1135002
Access	200305644	199963039	342605
Refill	125708	116722	8986
Runtime	41175374	-	-

Table 3: multi core - global queue

Type	Total	User	Kernel
Instrs	375176881	374755150	421731
Cycles	556693572	556049701	643871
Access	212114934	211903384	211550
Refill	15332	14735	597
Runtime	40603738	-	-

Table 4: multi core - cpu queue (affinity)

Extending the trivial example to multicore serves as a demonstration of easily parallelizable code and the benefit of process affinity. When processor affinity is specified the number of kernel cycles is 76% less than without which results in a 20% improvement in instructions per cycle. Again the improvement in kernel runtime is due to reduced thrashing of the kernel cores as ev-

ident in the lower APR. However, there is also a 5% loss in number of cycles, **TODO**. Additionally it is important to note that the number of kernel instructions executed for the per core runqueue is 47% less, owing to less scheduler lock contention between cores during a context switch.

Type	Total	User	Kernel
Instrs	1543135939	1396850683	146285256
Cycles	3146576481	2076522276	1070054205
Access	845516444	789349384	56167060
Refill	7653514	112360	7541154
Runtime	152657484	-	-

Table 5: death - no pull sm

Type	Total	User	Kernel
Instrs	1468299596	1398454567	69845029
Cycles	2552848279	2079318879	473529400
Access	816022596	790256656	25765940
Refill	3126952	140213	2986739
Runtime	156804356	-	-

Table 6: death - pulled sm

**TODO** Need to discuss this case..., may involve a bit of non-determinism...

Notes: lower cache locality leads to fewer lost cycles, additionally migration without pull migration tends to lead to more kernel contention. Likely because full cores try to migrate to the local core...

Type	Total	User	Kernel
Instrs	1613944771	1404552990	209391781
Cycles	3491698429	2086636583	1405061846
Access	873214573	793699999	79514574
Refill	9792188	28820	9763368
Runtime	152321428	-	-

Table 7: death - no-pull

**TODO** Again same as above... specific pattern likely samples of the same matrix get flushed to the idle cores. May want to repeat these tests with affinity for the idle procs.

This case is important at showing the benefits of pull migration in relation to long term scheduling. Specifically, since processes which

Type	Total	User	Kernel
Instrs	1520575475	1445743126	74832349
Cycles	2574353547	2147872630	426480917
Access	843643737	816978128	26665609
Refill	2283806	34930	2248876
Runtime	161631896	-	-

Table 8: death - pulled

Type	Total	User	Kernel
Instrs	2945961318	2829092653	116868665
Cycles	4881259967	4206238780	675021187
Access	1642115209	1598721424	43393785
Refill	4570467	298213	4272254
Runtime	175864992	-	-

Table 9: runtime - no pull

Type	Total	User	Kernel
Instrs	2727027846	2625810066	101217780
Cycles	4421745433	3903078399	518667034
Access	1520521397	1483845439	36675958
Refill	3226996	222090	3004906
Runtime	171909144	-	-

Table 10: runtime - pulled

execute for longer periods of time will undergo more context switches which incurs performance and leads to more kernel thrashing. This example allows work to be migrated sooner and hence the total runtime decreases as well as the number of context switches. In addition, since processes are moved from busy to idle cores, the user process cache utilization is more likely to be equally distributed across the processors **TODO: this sentence a little misworded. TODO: mention size of data**

Type	Total	User	Kernel
Instrs	836416320	753346040	83070280
Cycles	1724034904	1123196400	600838504
Access	456170314	426134109	30036205
Refill	4027452	231590	3795862
Runtime	165276806	-	-

Table 11: pool - unpooled sm

**TODO: remeasure runtimes? repeat tests with different MD case? TODO: mention that these were unexpected, was**

Type	Total	User	Kernel
Instrs	811243405	741653152	69590253
Cycles	1789217832	1113471653	675746179
Access	444450115	419398612	25051503
Refill	4540858	379326	4161532
Runtime	66534692	-	-

Table 12: pool - pooled sm

Type	Total	User	Kernel
Instrs	819345284	755845609	63499675
Cycles	1672284752	1125235476	547049276
Access	450878090	427546852	23331238
Refill	3740754	148469	3592285
Runtime	167143162	-	-

Table 13: pool - unpooled

Type	Total	User	Kernel
Instrs	840132648	753613514	86519134
Cycles	1849770029	1127098953	722671076
Access	457128942	426166739	30962203
Refill	4435166	143616	4291550
Runtime	66158114	-	-

Table 14: pool - pooled

**expecting much better performance with the pooled - md** This example has ipc larger in both cases. However, one noticeable factor is that for larger sample size there is less l1 thrashing relavent in the APR (contributing factor for larger runtimes). Instead for the smaller case the caches are able to be fully utilized.

## 5 Conclusion

Conclusions here [4] [5].

## References

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