DAY3

Aligning PE reads to a reference genome and BAM refinement

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before starting

are you in your scratch directory?

```
pwd
cd $CINECA SCRATCH
```

copy folder day3 from teaching directory to yours

```
cp -r /pico/scratch/userexternal/cbatini0/day3/ .
cd day3/
```

The folder VariantCalling contains:

- reads in fastq format (in two folders: lane1 and lane2)
- reference genome in fasta format (Saccharomyces_cerevisiae.EF4.68.dna.toplevel.fa)
- coordinates of the yeast mtDNA (mito.intervals)
- the pdf of these slides (day3_mapping_BAM_refinement_nov2015.pdf)
- the handbook for today (day3_mapping_BAM_refinement_handbook_nov2015.pdf)

raw reads (.fastq)

1. alignment to a reference genome

close reference? distant reference? time limited?

bwa

stampy

aligned reads (.sam/.bam)

2. bam refinement

local base duplicate realignment recalibration removal

GATK GATK picard

3. bam QC

visualization

duplicate metrics (picard) flagstat (samtools) coverage distribution (GATK)

IGV

final alignment (.sam/.bam)

raw reads (.fastq)

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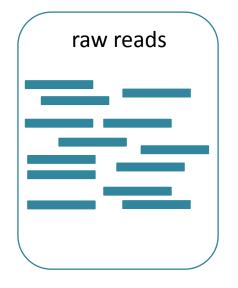
IGV

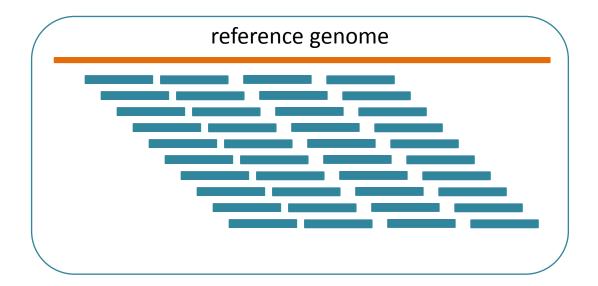
coverage distribution (GATK)

final alignment (.sam/.bam)

alignment to a reference genome

alignment – process of determining the most likely location within the genome for the observed DNA read





alignment to a reference genome

short reads: ranging from the initial 36bp of Illumina to the current 1kb of 454/Roche the shorter the read, the harder is to find its location in the genome

big amount of data: computationally challenging for memory and speed

trade-off: speed vs sensitivity – the higher the accuracy, the longer the alignment run

two classes of methods:

Burrows-Wheeler

- Fast
- less robust at high divergence with reference genome
- e.g. bwa

Hashing

- slow (needs more memory)
- robust at high divergence with reference genome
- e.g. stampy

Further reading: "A survey of sequence alignment algorithms for next-generation sequencing" Li H. and Homer N. 2010. Briefing In Bioinformatics

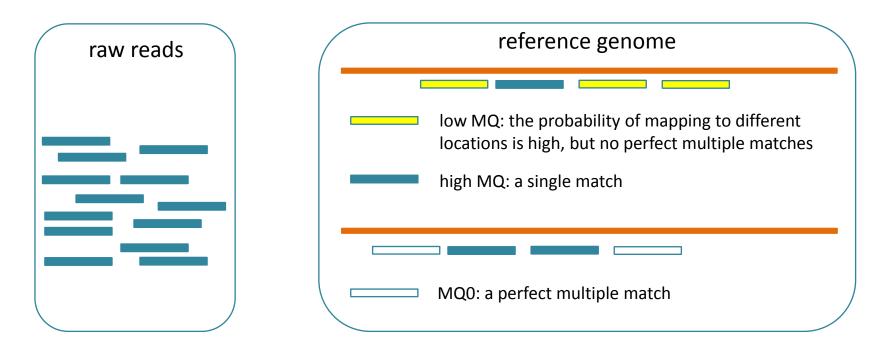
alignment to a reference genome – mapping qualities (MQ)

What if there are several possible places to align your sequencing read? This may be due to:

- Repeated elements in the genome
- Low complexity sequences
- Reference errors and gaps

MQ is a phred-score of the quality of the alignment

With paired-end reads: mapping quality is determined on the pair, thus even if one read can be mapped in several places, the mapping of its pair can help to locate it properly.



SAM/BAM format

SAM – sequence alignment map

BAM – binary alignment map

Standard formats for alignment

BAM is the binary version of SAM – reduced size, easier to store and to access but the full information is not readable by human eye

software websites

software	website	
bwa	http://bio-bwa.sourceforge.net/	
picard	http://picard.sourceforge.net/	
samtools	http://samtools.sourceforge.net/	
GATK	http://www.broadinstitute.org/gatk/	
tablet	http://bioinf.scri.ac.uk/tablet/	
vcftools	http://vcftools.sourceforge.net/	

alignment to a reference genome – details

Characteristics of our experiment:

- Yeast genome: 12.5 Mbp; 16 chromosomes
- Whole genome sequencing
- Paired-end reads, 108bp, one library, 2 lanes

You should be in the right directory, otherwise move there (cd /pico/scratch/userexternal/username/day3)

alignment to a reference genome - indexing

create the index of the reference genome (for bwa, samtools and picard)

```
bwa index This is a FM-index – specific to the algorithm behind this aligner
```

```
module load bwa
bwa index -a is
Saccharomyces_cerevisiae.EF4.68.dna.toplevel.fa
```

index .fai

The index file stores records of sequence identifier, length, the offset of the first sequence character in the file, the number of characters per line, and the number of bytes per line.

```
module load autoload samtools samtools faidx Saccharomyces_cerevisiae.EF4.68.dna.toplevel.fa
```

alignment to a reference genome - dictionary

create the dictionary of the reference genome (for samtools, gatk and picard)

```
dictionary .dict List of contigs included in the fasta file of the reference genome
```

```
module load autoload picard
java -jar
/cineca/prod/applications/picard/1.119/binary/bin/CreateSequence
Dictionary.jar R=Saccharomyces_cerevisiae.EF4.68.dna.toplevel.fa
O=Saccharomyces cerevisiae.EF4.68.dna.toplevel.dict
```

keep index and dictionary files in the same directory of the reference file

alignment to a reference genome – mapping with bwa mem

From bwa website:

"BWA is a software package for mapping low-divergent sequences against a large reference genome, such as the human genome. It consists of three algorithms: BWA-backtrack, BWA-SW and BWA-MEM. The first algorithm is designed for Illumina sequence reads up to 100bp, while the rest two for longer sequences ranged from 70bp to 1Mbp. BWA-MEM and BWA-SW share similar features such as long-read support and split alignment, but BWA-MEM, which is the latest, is generally recommended for high-quality queries as it is faster and more accurate. BWA-MEM also has better performance than BWA-backtrack for 70-100bp Illumina reads."

paired-end alignment (per lane)

It uses the reference genome and the reads to create a SAM file

bwa mem -M Saccharomyces_cerevisiae.EF4.68.dna.toplevel.fa lane1/s-7-1.fastq lane1/s-7-2.fastq > lane1.sam

The option –M marks shorter split hits as secondary, and not supplementary (for Picard/GATK compatibility). It changes the flag, so that "old" tools can manage the bam file. A split read is a read which split maps to two different far apart (same or diff chromosome), a chimeric read. This can happen for a read pair too.

alignment to a reference genome – from sam to bam with samtools

sam-to-bam samtools view -S -b lane1.sam -o lane1.bam sort the bam (this adds the bam extension automatically!) It sorts alignments by leftmost coordinates samtools sort lane1.bam lane1_sorted

index the bam

samtools index lane1_sorted.bam

Can you guess the extension of this file? Check it in your folder... (use unix ls and options)

Can you now repeat this process (paired-end alignment with bwa plus conversion to bam and sorting and indexing) on lane 2?

SAM/BAM format

SAM – sequence alignment map

BAM – binary alignment map

They consist of two parts:

Header – contains information about the sample

Alignment – contains location and qualities for all the reads

Header contains:

@HD - header line; format version

@SQ - Reference sequence dictionary; one per chromosome

@RG - Read group

@PG – Program

@CO – comment

SAM/BAM format

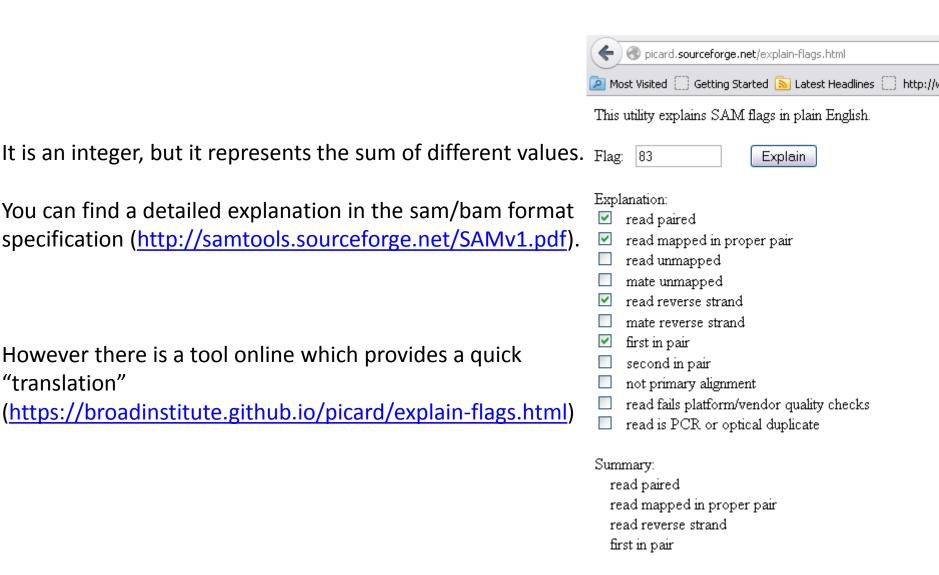
Alignment contains one line per read, and each line contains 12 columns:

No.	Name	Description
1	QNAME	Query NAME of the read or the read pair
2	FLAG	Bitwise FLAG (pairing, strand, mate strand, etc.)
3	RNAME	Reference sequence NAME
4	POS	1-Based leftmost POSition of clipped alignment
5	MAPQ	MAPping Quality (Phred-scaled)
6	CIGAR	Extended CIGAR string (operations: MIDNSHP)
7	MRNM	Mate Reference NaMe ('=' if same as RNAME)
8	MPOS	1-Based leftmost Mate POSition
9	ISIZE	Inferred Insert SIZE
10	SEQ	Query SEQuence on the same strand as the reference
11	QUAL	Query QUALity (ASCII-33=Phred base quality)

bitwise FLAG

"translation"

However there is a tool online which provides a quick



CIGAR string

It is a compact representation of sequence alignment. It includes:

- M match or mismatch
- I insertion
- D deletion

SAM extends these to include a few others (check http://samtools.sourceforge.net/SAMv1.pdf)

read: ACGCA-TGCAGT ref: ACTCAGTG —GT cigar 5M1D2M2I2M

So, what is the cigar line of...?

read: ACGTCATG ——CAGT ref: ACG—CATGCGGCAGT

cigar

CIGAR string

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- M match or mismatch
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- D deletion

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So, what is the cigar line of...?

read: ACGTCATG ——CAGT ref: ACG—CATGCGGCAGT cigar 3M1I4M3D4M

SAM/BAM format

@HD	VN:1.0 SO:coordinate		on this sam?
@SQ		Bcdeb4a9304cb5d48026a85128	• Is the read mapped in a
@SQ	·	Lda00400dec1098a9255ac712e	pair?
@SQ	· · · · · · · · · · · · · · · · · · ·	88fa8d52a5b781bd2a2c08d3c3	•
@SQ		.06897542ad87d2765d28a19a1	• Does the read contain ar
@SQ		3db9ffd264d728f32784845cd7	compared to the reference
@SQ		a248d92a729ee764823acbbc6b	genome?
@SQ	· · · · · · · · · · · · · · · · · · ·	2953d6aaad97dbe4777c29375e	• Is the pair on the same
@SQ		9929e410c6651697bded59aec	<u>.</u>
@SQ	• • •	L7f15e0a400f01055d9f393768	chromosome? What is the
@SQ		988c28e000e84c26d552359af1ea2e1d	size of the pair?
@SQ		98c59049a2df285c76ffb1c6db8f8b96	
@sq		51851ac0e1a115847ad36449b0015864	
@SQ		283f8d7892baa81b510a015719ca7b0b	
@SQ	SN:chr14 LN:107349540 UR:file:/home/chiara M5:	98f3cae32b2a2e9524bc19813927542e	
@SQ	SN:chr15 LN:102531392 UR:file:/home/chiara M5:	e5645a794a8238215b2cd77acb95a078	
@SQ	SN:chr16 LN:90354753 UR:file:/home/chiara M5:	fc9b1a7b42b97a864f56b348b06095e6	
@SQ	SN:chr17 LN:81195210 UR:file:/home/chiara M5:	351f64d4f4f9ddd45b35336ad97aa6de	
@SQ	SN:chr18 LN:78077248 UR:file:/home/chiara M5:	b15d4b2d29dde9d3e4f93d1d0f2cbc9c	
@SQ	SN:chr19 LN:59128983 UR:file:/home/chiara M5:	1aacd71f30db8e561810913e0b72636d	
@SQ	SN:chr20 LN:63025520 UR:file:/home/chiara M5:	0dec9660ec1efaaf33281c0d5ea2560f	
@SQ	SN:chr21 LN:48129895 UR:file:/home/chiara M5:	2979a6085bfe28e3ad6f552f361ed74d	
@SQ	SN:chr22 LN:51304566 UR:file:/home/chiara M5:	a718acaa6135fdca8357d5bfe94211dd	
@SQ	SN:chrXLN:155270560 UR:file:/home/chiara M5:7e0e2e5	580297b7764e31dbc80c2540dd	
@SQ	SN:chrYLN:59373566 UR:file:/home/chiara M5:1e86413	ld73e6f00a10590f976be01623	
@SQ	SN:chrM LN:16571 UR:file:/home/chiara M5:	d2ed829b8a1628d16cbeee88e88e39eb	
@RG	ID:1 PL:illumina PU:1 LB:1 SM:003_stampy_au	tomasked	
@PG	ID:GATK IndelRealigner VN:1.2-62-g41ddc7b		
@PG	ID:stampy VN:1.0.13_(r1160)		
@PG	ID:GATK TableRecalibration VN:1.2-62-g41ddc7b		
@CO	TM:Sat, 31 Dec 2011 10:59:43 GMT		
HWI-S	T427:142:D08WKACXX:6:1202:4868:142425 163 chr1		5 173
l	AACCCTAACCCTAACCCTAACCCTAACCCTAACCCTAACC	CCTAACCCTAACCCTAACCCTAACCC	CTAACCCTAACCCTAACCC

- Which programs have been run on this sam?
- Is the read mapped in a proper pair?
- Does the read contain any indel compared to the reference genome?
- Is the pair on the same chromosome? What is the insert size of the pair?

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BAM visualization – check the header of your BAM

use samtools to check the header of the BAM

samtools view -H lane1_sorted.bam

How many chromosomes are present in your header? Which version of the SAM is it?

use unix command more on your SAM file and check what is after the header...

raw reads (.fastq)

1. alignment to a reference genome

close reference? distant reference? time limited?

bwa stampy

aligned reads (.sam/.bam)

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local base duplicate realignment recalibration removal

GATK GATK picard

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IGV

final alignment (.sam/.bam)

BAM refinement

Input: BAM

Three main steps:

- 1. Local realignment
- 2. Base quality recalibration
- 3. Duplicate removal

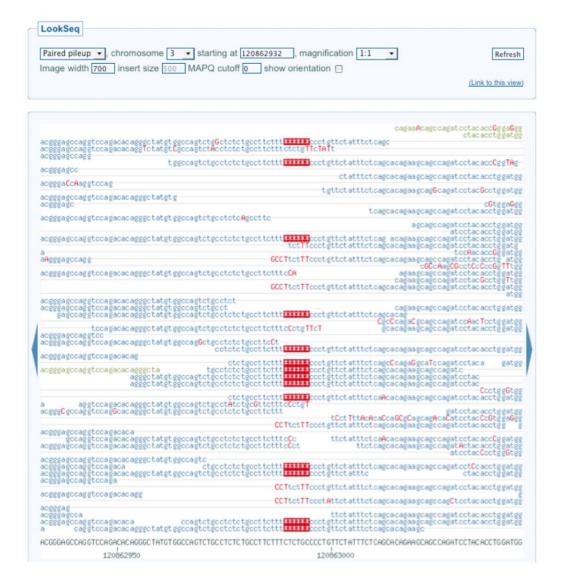
Output: BAM

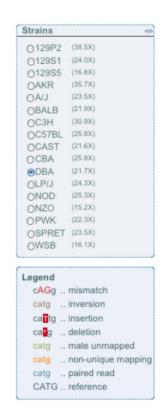
Good practice:

Run step 1 and 2 at lane level, while step 3 must be run at library level

BAM refinement – local realignment

Short indels in the sample relative to the reference sequence can pose difficulties for alignment programs. Indels occurring towards the ends of the reads are often not aligned correctly, introducing an excess of SNPs





BAM refinement – GATK local realignment

It uses the full alignment context to determine whether the indel exists.

Two-step process:

- 1. RealignerTargetCreator: it determines the small suspicious intervals which are likely in need of realignment
- 2. IndelRealigner: it runs the realignment on those intervals

notes:

- having a list of known indels helps
- it doesn't work on 454 reads or from similar technologies (as from GATK webpage)
- however I have used on IonTorrent data and it worked fine...

BAM refinement – before starting

merge BAMs per library

```
java -jar
/cineca/prod/applications/picard/1.119/binary/bin/MergeSamFiles
.jar INPUT=lane1_sorted.bam INPUT=lane2_sorted.bam
OUTPUT=library.bam
```

GATK wants read groups to be present, and it complains if they are not there. Do we have RG? Check the header...

BAM refinement – before starting

In this case we need to add a read group for the library with picard (please keep in mind that there is a way to do this during the alignment with bwa!)

java -jar
/cineca/prod/applications/picard/1.119/binary/bin/AddOrReplace
ReadGroups.jar INPUT=library.bam OUTPUT=library_RG.bam RGID=1
RGLB=library RGPL=Illumina RGPU=lane1_2 RGSM=yeast

Option	Description
INPUT=File	Input file (bam or sam). Required.
OUTPUT=File	Output file (bam or sam). Required.
RGID=String	Read Group ID Default value: 1. This option can be set to 'null' to clear the default value.
RGLB=String	Read Group Library Required.
RGPL=String	Read Group platform (e.g. illumina, solid) Required.
RGPU=String	Read Group platform unit (eg. run barcode) Required.
RGSM=String	Read Group sample name Required.

sort and index the library BAM file with samtools

BAM refinement – local realignment with GATK

module load autoload gatk/3.3.0

1. RealignerTargetCreator:

```
java -jar /cineca/prod/applications/gatk/3.3.0/jre--
1.7.0_72/GenomeAnalysisTK.jar -I library_RG_sorted.bam
-R Saccharomyces_cerevisiae.EF4.68.dna.toplevel.fa
-T RealignerTargetCreator
-o library_targets.intervals
```

2. IndelRealigner:

```
java -jar /cineca/prod/applications/gatk/3.3.0/jre--
1.7.0_72/GenomeAnalysisTK.jar -I library_RG_sorted.bam
-R Saccharomyces_cerevisiae.EF4.68.dna.toplevel.fa
-T IndelRealigner
-targetIntervals library_targets.intervals
-o library_RG_sorted_lr.bam
```

BAM refinement – base quality recalibration

Each base call has an associated base call quality (phred-scale). Rule of thumb: anything less than Q20 is not useful data.

The quality of a call depends on multiple factors (e.g. position in the read, sequence context). In addition, the alignment can provide useful information. Mismatches to the reference are considered errors (unless they are described polymoprhisms).

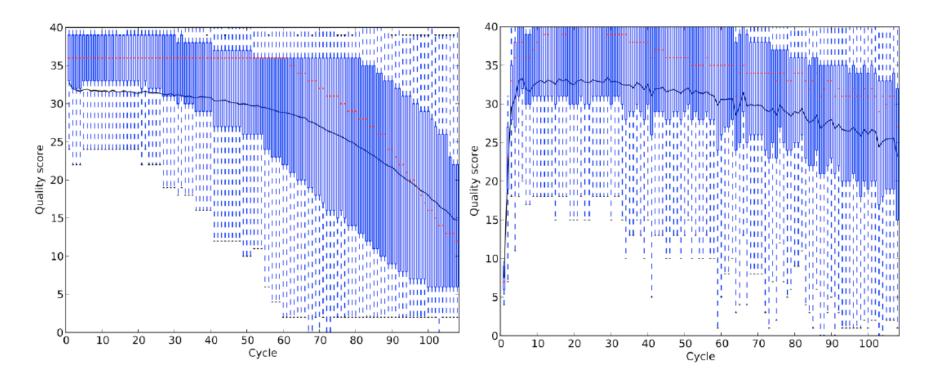
It supports several platforms: Illumina, SOLiD, 454, Complete Genomics, Pacific Biosciences (stated on the website) and IonTorrent (stated in the GATK forum).

It combines all the available information to re-evaluate the probability of a wrong call at each position in each read.

It requires a catalogue of variable sites!

We will not run it but you can find how to do it at http://www.broadinstitute.org/gatk/gatkdocs/org broadinstitute sting gatk walkers bgsr BaseRecalibrator.html

BAM refinement – base quality recalibration



BAM refinement – duplicate removal

PCR is used during library preparation. This can results in duplicate DNA fragments in the final library prep. PCR-free protocols exist but require a large amount of DNA.

```
G C GGGC GG ACAGGAGC CGA G GC C C C ACAAGAC GG GAGGGAAAGG G AACC G G CA
                CTGGGCTGGTACAGGAGCTCGATGTGCTTCTCTACAAGACTGGTGAGGGAAAGGTGTAACCTGTTTGTCA
                              CGATG IGCT ICTC TACAAGAC IGG GAGGGAAAGG IGTAACC IGT I IG ICAGCCACAACAT
            GGGTTTCTGGGCTGGTACAGGAGCTCGATGTGCTTCTCTCTACAAGACTGGTGAGG
GAGAAAAG GAGGCA GGG | C GGGC GG ACAGGAGC CGA G GC | C C ACAAGAC GG GAGG
             NA12005 - chr20:8660-8790
```

It can result in false SNPs calls. Duplicates may fake a high coverage thus giving high support to some variants.

BAM refinement – duplicate removal

Number of duplicates varies according to the complexity of the library: whole genome experiments show lower percentages of duplicates (<5%) than custom enrichment ones (<30%).

It must be done after alignment and at the library level.

It identifies read-pairs where the outer ends map to the same position on the genome and removes all but one copy.

What would you expect in an amplicon-seq experiment?

BAM refinement – duplicate removal

duplicate removal

```
java -jar
/cineca/prod/applications/picard/1.119/binary/bin/Mark
Duplicates.jar INPUT=library_RG_sorted_lr.bam
OUTPUT=library_final.bam METRICS_FILE=dupl_metrics.txt
```

sort and index the final BAM with samtools

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final alignment (.sam/.bam)



How many duplicates do I have? Is that reasonable for my experiment?

How many of my reads mapped back to the reference? How many of these are paired in mapping? How many pairs are mapped to different chromosomes?

How much average coverage do I have? Is the coverage evenly distributed along my region?

BAM QC – picard duplicate metrics

5.596169

6.433539

7.245822

8.03377

8.79811

6.0 7.0

8.0

9.0

10.0

```
java -Xmx2q -jar /cm/shared/apps/picard/1.93/MarkDuplicates.jar
INPUT=library RG sorted lr.bam OUTPUT=library final.bam
METRICS FILE=dupl metrics.txt
gedit dupl metrics.txt &
## net.sf.picard.metrics.StringHeader
# net.sf.picard.sam.MarkDuplicates INPUT=[library RG sorted Ir.bam] OUTPUT=library final.bam METRICS FILE=duple metrics.txt
PROGRAM RECORD ID=MarkDuplicates PROGRAM GROUP NAME=MarkDuplicates REMOVE DUPLICATES=false ASSUME SORTED=false
MAX_SEQUENCES_FOR_DISK_READ_ENDS_MAP=50000 MAX_FILE_HANDLES_FOR_READ_ENDS_MAP=8000 SORTING_COLLECTION_SIZE_RATIO=0.25
READ NAME REGEX=[a-zA-Z0-9]+:[0-9]+):([0-9]+):([0-9]+):([0-9]+).* OPTICAL DUPLICATE PIXEL DISTANCE=100 VERBOSITY=INFO QUIET=false
VALIDATION STRINGENCY=STRICT COMPRESSION LEVEL=5 MAX RECORDS IN RAM=500000 CREATE INDEX=false CREATE MD5 FILE=false
## net.sf.picard.metrics.StringHeader
# Started on: Tue Jan 06 11:00:13 GMT 2015
                  net.sf.picard.sam.DuplicationMetrics
## METRICS CLASS
LIBRARY
            UNPAIRED READS EXAMINED READ PAIRS EXAMINED
                                                              UNMAPPED READS
                                                                                 UNPAIRED READ DUPLICATES
      READ_PAIR_DUPLICATES
                               READ PAIR OPTICAL DUPLICATES
                                                              PERCENT DUPLICATIONESTIMATED LIBRARY SIZE
library 17741 233562 150769 3152 4668 1187 0.025756
                                                        7678471
## HISTOGRAM java.lang.Double
      VALUE
BIN
1.0
      1.005031
2.0
      1.979951
                                      It estimates the return on investment (ROI) for sequencing a
      2.925663
3.0
                                      library to a higher coverage than the observed coverage.
4.0
      3.843042
5.0
      4.732936
                                      As one increases the amount of sequencing for a library, the
```

more of the reads are duplicates.

ROI for additional sequencing diminishes because more and

BAM QC – samtools flagstat

```
samtools flagstat library final sorted.bam > library flagstat.txt
  640134 + 0 in total (QC-passed reads + QC-failed reads)
  4500 + 0 secondary
  0 + 0 supplementary
  12488 + 0 duplicates
  489365 + 0 mapped (76.45%:-nan%)
  635634 + 0 paired in sequencing
  317817 + 0 read1
  317817 + 0 \text{ read2}
  452190 + 0 properly paired (71.14%:-nan%)
  467124 + 0 with itself and mate mapped
  17741 + 0 singletons (2.79%:-nan%)
  7016 + 0 with mate mapped to a different chr
  3557 + 0 with mate mapped to a different chr (mapQ>=5)
  QC: platform/vendor quality check
  Duplicates: marked as duplicates by picard
  Paired in sequencing: but not necessarily in mapping
  Properly paired: it is compatible with the expected insert size
  With itself and mate mapped: both are mapped
  Singletons: only one is mapped
  With mate mapped to a different chromosome: .....
```

Run flagstat on the BAM file before BAM refinement, can you see any difference?

BAM QC - coverage

coverage per position GATK

```
java -jar /cineca/prod/applications/gatk/3.3.0/jre--
1.7.0_72/GenomeAnalysisTK.jar -T DepthOfCoverage
-R Saccharomyces_cerevisiae.EF4.68.dna.toplevel.fa
-I library_final_sorted.bam
-o mito_coverage
-L mito.intervals
```

average coverage

```
gedit mito_coverage.sample_summary &
```

```
sample_id total mean granular_third_quartile granular_median granular_first_quartile %_bases_above_15 yeast 3094652 36.08 51 39 27 82.7 Total 3094652 36.08 N/A N/A N/A
```

BAM QC - coverage

Plot coverage in R

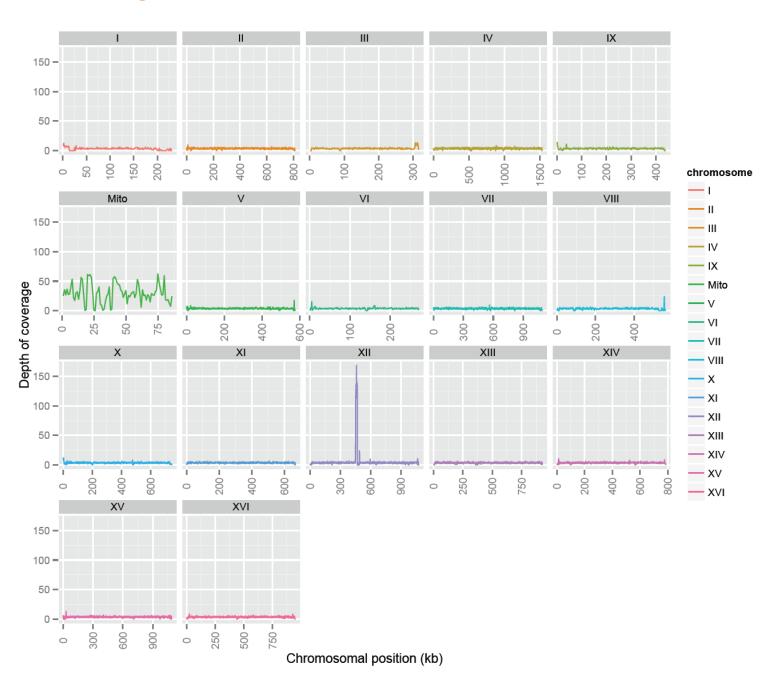
```
more mito_coverage (have a look at the file before you start R)

module load r
R

data <-
read.table("/pico/scratch/userexternal/cbatini0/day3/mito_coverage",
sep="\t", header=T)
names(data)
old_col<-data$Locus
new_col<-gsub("Mito:","",as.character(old_col))
data["pos"]<-new_col</pre>
```

plot(data\$pos,data\$Depth for yeast,type="1")

BAM QC - coverage



BAM visualization – IGV

extract mtDNA from final BAM

samtools view -b -o mito.bam library_final_sorted.bam Mito

Launch IGV with Java WebStart Go to the Download page of IGV, register and launch the 750MB version.

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