

# CSC317/418 COMPUTER GRAPHICS

© Tingfeng Xia

Winter Term, 2021

This work is licensed under a [Creative Commons “Attribution-NonCommercial-ShareAlike 4.0 International”](#) license.



## Information

- Starting winter term 2021, Computer Graphics will be switching from its previous course code CSC418 to CSC317.
- Course webpage: <https://github.com/karansher/computer-graphics-csc317>
- This note contains figures from Prof. Karan Singh’s lecture slides. It also might contain figures by Marschner and Shirley and David Levin.
- Textbook: Shirley, P., & Marschner, S. (2009). *Fundamentals of Computer Graphics (Third Edition)*.

## Contents

<b>1</b>	<b>Raster Image</b>	<b>3</b>
1.1	Raster Devices . . . . .	3
1.1.1	Raster Displays . . . . .	3
1.1.2	Raster Input Devices . . . . .	3
1.1.3	Data Types for Raster Images . . . . .	3
1.1.4	Gamma Correction . . . . .	4
1.1.5	Modelling Transparency . . . . .	4
1.2	Image Composition . . . . .	4
<b>2</b>	<b>Ray Casting</b>	<b>5</b>
2.1	Ray . . . . .	5
2.2	Camera Device . . . . .	6

2.3	Projection - Orthographic and Perspective . . . . .	6
2.3.1	Transforming Between Coordinate Systems . . . . .	7
2.4	Ray Equations . . . . .	8
2.4.1	... in Camera Space . . . . .	8
2.4.2	... in World Space . . . . .	8
2.5	Intersection Tests . . . . .	8
2.5.1	Ray-Plane Intersection . . . . .	9
2.5.2	Ray-Sphere Intersection . . . . .	9
2.5.3	Ray-Triangle Intersection . . . . .	10
<b>3</b>	<b>Ray Tracing</b>	<b>10</b>
3.1	Light and Surfaces . . . . .	10
3.2	Shading . . . . .	10
3.3	Light Falloff . . . . .	10
3.4	Diffuse Reflection . . . . .	11
3.5	Lambertian Shading . . . . .	11
3.6	Shadows . . . . .	11
3.7	Multiple Light Sources . . . . .	12
3.8	Ambient Shading . . . . .	12
3.9	Algorithm Template . . . . .	12
3.10	Mirror Reflection . . . . .	13
3.11	Phong Specular Shading . . . . .	13
3.12	Blinn(-Phong) Specular Shading . . . . .	14
3.13	Local Illumination . . . . .	14
3.14	Ray Tracing Template . . . . .	15
3.15	Refraction - Snell's Law . . . . .	15
3.16	Ray Tracing: Pros and Cons . . . . .	16
3.17	Caustics . . . . .	16
3.18	Radiosity . . . . .	16
3.19	The Rendering Equation . . . . .	16
3.20	Soft Light . . . . .	17
<b>4</b>	<b>Spatial Data Structures - Bounding Volume Hierarchy</b>	<b>17</b>
4.1	(Axis Aligned) Bounding Boxes . . . . .	17
4.1.1	Handling Divide by Zero . . . . .	18
4.1.2	Handling 3D Bounding Boxes . . . . .	19
<b>5</b>	<b>Meshes</b>	<b>19</b>
5.1	Topology . . . . .	19
5.2	Watertight . . . . .	20
5.3	Geometry . . . . .	20

<b>6</b>	<b>Transformations, Shaders, and Rasterization</b>	<b>20</b>
6.1	Transformations . . . . .	20
6.1.1	Linear Transformations . . . . .	20
6.1.2	2D-Scaling . . . . .	20
6.1.3	2D-Rotation . . . . .	21
6.1.4	2D-Shear . . . . .	21
6.1.5	2D Affine Translation . . . . .	21
6.1.6	Homogeneous Coordinates . . . . .	21
6.1.7	Properties of 2D Transformations . . . . .	22
6.1.8	Homography: Mapping of Four Pts . . . . .	22
6.1.9	Affine Properties: Composition . . . . .	22
6.1.10	Composing Transformations . . . . .	22
6.1.11	Affine - Geometric View Point . . . . .	23
6.1.12	Transforming Tangents and Normals . . . . .	23
6.2	Projections . . . . .	23
6.2.1	Camera Models . . . . .	23
6.2.2	Perspective Projection . . . . .	23

## 1 Raster Image

An image is a distribution of light energy on a 2D film. Digital images today are represented as rectangular arrays of pixels.

### 1.1 Raster Devices

#### 1.1.1 Raster Displays

These are displays that are comprised of a certain number of pixel units. Each of these pixel units contains three subpixels - red, green, and blue. When we say a display is  $1920 \times 1080$ , we mean that the display has that many pixels in the two directions, this also means that we have, at subpixel level,  $1920 \times 1080 \times 3$  “elements” on the display.

#### 1.1.2 Raster Input Devices

The raster input filter we will introduce is Bayer Filter. In each pixel of a Bayer Filter, there is only one color - either red, green, or blue. Due to the nature of human eyesight, we are more sensitive to the color green. Hence, the Bayer Filter has twice green elements as compared to red and blue.<sup>1.1</sup>

---

<sup>1.1</sup>Patterns to the Bayer Filter may vary. The one presented in slides is BGGR.

### 1.1.3 Data Types for Raster Images

Storage for  $1024^2$  image (1 megapixel)

- bitmap: 128KB,
- grayscale 8bpp:  $1024^2 \times 8/8/1024^2 = 1\text{MB}$ ,
- grayscale 16bpp:  $1024^2 \times 16/8/1024^2 = 2\text{MB}$ ,
- color 24bpp: 3MB, <sup>1,2</sup>
- floating point high dynamic range color: 12MB

### 1.1.4 Gamma Correction

On a display, the intensity is non-linear with respect to input intensity. The Gamma correction relationship is formularized as

$$(\text{display intensity}) = (\text{max display intensity}) a^\gamma \quad (1.1)$$

where  $a$  is the amplitude from the image in the range in  $[0, 1]$ . The  $\gamma$  is what we can set, usually in display settings. To set the right gamma correction, we need to find image amplitude that is equal to half of the display's brightness, then

$$\frac{1}{2} = a^\gamma \implies \gamma = \frac{\ln .5}{\ln a} \quad (1.2)$$

### 1.1.5 Modelling Transparency

Simple RGB is great, but that only gets us solid colours, meaning that we cannot model transparency information with it. RGBA addresses this by simply appending an alpha channel variable to the end of the original triplet, making it a RGBA quadruple. We will also need this for the Porter/Duff Composition described below.

## 1.2 Image Composition

Compositing is about layering images on top of one another. But there are many ways to do the composition, i.e. which image goes at the top and how you handle places with transparency. The Porter/Duff Composition<sup>1,3</sup> is a unified approach for this problem.

Suppose we have two images source and destination. We we try to combine these two images, each pixel of the result can be divided into four regions: Both, Source, Dest, and

---

<sup>1,2</sup>24bpp means 24 bits per pixel, so 8 bits for each color: 0 - 255 unsigned 8bit int

<sup>1,3</sup><http://ssp.impulsetrain.com/porterduff.html>

Neither. The formula for this operation is a linear combination of contents of the four regions, where the weights are the areas of each region:

$$A_{src}[s] + A_{dest}[d] + A_{both}[b] \quad (1.3)$$

where

- $[s]$  is either 0 or the color of the source pixel,
- $[d]$  is either 0 or the color of the destination pixel,
- $[b]$  is either 0, the color of the source pixel or the color of the destination pixel.

With the alpha channel being interpreted as coverage, the areas are given by these formulas:

$$A_{src} = \alpha_s(1 - \alpha_d) \quad (1.4)$$

$$A_{dst} = \alpha_d(1 - \alpha_s) \quad (1.5)$$

$$A_{both} = \alpha_s\alpha_d \quad (1.6)$$

The alpha channel of the result is computed in a similar way:

$$A_{src}[as] + A_{dest}[ad] + A_{both}[ab] \quad (1.7)$$

where

- $[as]$  and  $[ad]$  are either 0 or 1 depending on whether the source and destination regions are present, and
- $[ab]$  is 0 when both regions are blank (transparent), and 1 otherwise.

The Porter/Duff operations table in Figure 1 shows all 12 possible scenarios.

## 2 Ray Casting

In introductory Ray Casting, we consider scenes with no lighting whatsoever, and we consider rays originating from the eye point (camera), through the virtual image plane, and finally hit the objects in the scene. Our goal could be summarized with the following pseudocode,

```

for each image pixel {
  generate a ray
  for each scene object {
    if ray intersects object
      then do something. e.g. set pixel color
  }
}
```

	[s]	[d]	[b]
Src	$s$	0	$s$
Atop	0	$d$	$s$
Over	$s$	$d$	$s$
In	0	0	$s$
Out	$s$	0	0
Dest	0	$d$	$d$
DestAtop	$s$	0	$d$
DestOver	$s$	$d$	$d$
DestIn	0	0	$d$
DestOut	0	$d$	0
Clear	0	0	0
Xor	$s$	$d$	0

Figure 1: Porter/Duff operators table.

## 2.1 Ray

We introduce the following parametrization of a ray

$$p(t) = \mathbf{e} + t(\mathbf{s} - \mathbf{e}) \quad (2.1)$$

where  $\mathbf{e}$  is the eye point,  $\mathbf{s}$  is a known point along the path and  $p(t)$  is a parametrization of “point along the ray”. Notice that under our parametrization, we have  $p(0) = \mathbf{e}$  and  $p(1) = \mathbf{s}$ .

## 2.2 Camera Device

We consider the simplest ideal pin hole camera, where the pinhole has an infinitesimal size. In real world, this would not be the case. In a real pinhole camera, an aperture is present instead of pinhole. An aperture has a certain (small) size, but it is big enough to cause the image formed become blurry, due to the nature of light. A more complex camera system introduces lens to correct the aperture, i.e. to focus.

The camera basis could be calculated as follows

$$\mathbf{w} = -view, \quad \mathbf{u} = view \times up, \quad \mathbf{v} = \mathbf{w} \times \mathbf{u} \quad (2.2)$$

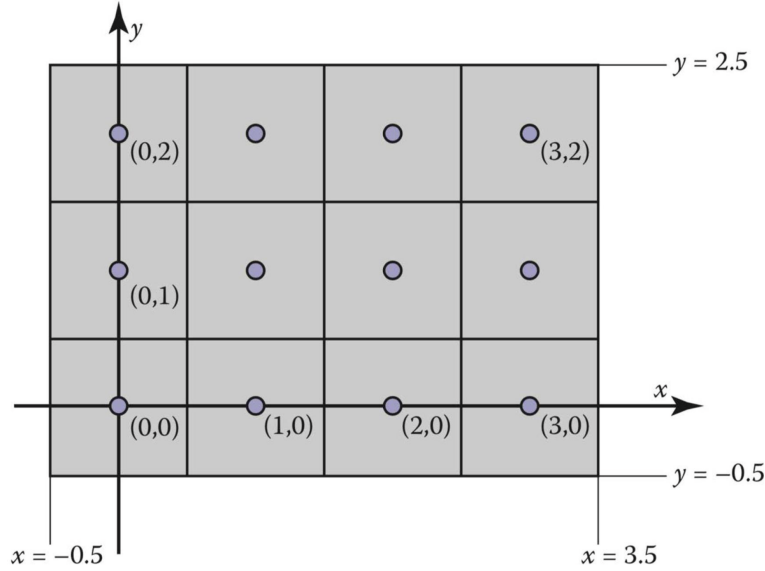


Figure 2: The Standard Pixel Coordinate System.

## 2.3 Projection - Orthographic and Perspective

The most naive ray generation is probably orthographic, where all the rays generated are parallel to each other and they are orthonormal to the image plane. This may sound abstract, but when you draw a cube on paper with parallel edges, you are essentially considering an orthographic projection.

A more natural looking approach is perspective projection, where rays all originate from the eye point. Each ray will pass through one pixel in the virtual image plane, and finally may or may not intersect an object in the scene. Depending on whether the ray hits an object, different operations are done to the manipulate the resulting image.

### 2.3.1 Transforming Between Coordinate Systems

Figure 2 shows the standard pixel coordinate system, where the centre of the bottom left corner pixel is marked as the origin,  $(0,0)$ . We will need to handle this subtlety when casting rays.

Consider  $n_x, n_y$  to be the number of pixels on  $X$  and  $Y$  directions respectively, and  $width, height$  to be the physical width and height of the image (size of image plane). Then,

- Bottom left corner (pixel space) is  $(-1/2, -1/2)$ ,

- Top right corner (pixel space) is  $(n_x - 1/2, n_y - 1/2)$ ,
- Bottom left corner (camera space) is  $(-width/2, -height/2)$ ,
- Top right corner (camera space) is  $(width/2, height/2)$

Do notice in the camera space, if we start at the position at the camera, and move in the direction of *view* ( $-\mathbf{w}$ ) then we shall arrive at the centre of the image plane, rather than any corner. Solving the above, we know that pixel at position  $(i, j)$  in the raster image has the position  $(u, v)$  where

$$u = \frac{width}{n_x} \left( i + \frac{1}{2} \right) - \frac{width}{2} \quad (2.3)$$

and

$$v = \frac{height}{n_y} \left( j + \frac{1}{2} \right) - \frac{height}{2} \quad (2.4)$$

## 2.4 Ray Equations

### 2.4.1 ... in Camera Space

We previously stated that the ray equation should have the form

$$\mathbf{p}(t) = \mathbf{e} + t(\mathbf{s} - \mathbf{e}) \quad (2.5)$$

In camera space, we will be at the starting point of the ray, which means that the eye point is exactly origin. Substituting this information into the equation, we have

$$\mathbf{p}(t) = \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix} + t \left( \begin{bmatrix} u(i) \\ v(j) \\ -d \end{bmatrix} - \begin{bmatrix} 0 \\ 0 \\ 0 \end{bmatrix} \right) = t \begin{bmatrix} u(i) \\ v(j) \\ -d \end{bmatrix} \quad (2.6)$$

where  $d$  is the length between the eye point and the image plane, commonly known as focal length. And  $u, v$  calculated using Equations 2.3 and 2.4.

### 2.4.2 ... in World Space

The world space representation could be attained using a change of coordinates,

$$\mathbf{p}(t) = \mathbf{e} + t(u(i)\mathbf{u} + v(j)\mathbf{v} + -d\mathbf{w}) \quad (2.7)$$

which could be written in matrix form

$$\mathbf{p}(t) = \mathbf{e} + t \begin{bmatrix} \mathbf{u} & \mathbf{v} & \mathbf{w} \end{bmatrix}_{M_{3 \times 3}(\mathbb{R})} \begin{bmatrix} u(i) \\ v(j) \\ -d \end{bmatrix} \quad (2.8)$$

where the 3-by-3 matrix in the middle is called the Camera Transformation Matrix, and rest elements calculated as previous.



## 2.5 Intersection Tests

Now that we have discussed how to generate a ray and how to get the ray in different representations, it is time to move to the **if** statement in our pseudo code, which was to check if an intersection exists between a ray and a scene object.

### 2.5.1 Ray-Plane Intersection

A plane is defined by the equation ( $\mathbf{q}$  such that satisfies the following)

$$\mathbf{n} \cdot (\mathbf{q} - \mathbf{p}_0) = 0 \quad (2.9)$$

where  $\mathbf{n}$  is the surface normal and  $\mathbf{p}_0$  is a point on the surface. To see if a ray intersects with a plane, it suffices to substitute  $\mathbf{p}$  with the parametrized ray equation, and try to solve for  $t$ , i.e. we want to solve for  $t$  in

$$\mathbf{n} \cdot ((\mathbf{e} + t\mathbf{d}) - \mathbf{p}_0) = 0 \quad (2.10)$$

where  $\mathbf{d}$  is the ray direction, equal to  $\mathbf{s} - \mathbf{e}$ . Solving the above yields

$$t = \frac{-\mathbf{n} \cdot (\mathbf{e} - \mathbf{p}_0)}{\mathbf{n} \cdot \mathbf{d}} \quad (2.11)$$

Notice that the intersection exists iff  $t \in \mathbb{R}$ , in particular if  $\mathbf{n}$  and  $\mathbf{d}$  are collinear, then  $t$  would blow up. In practice, it is usually useful to do the checking first, to avoid numeric issues.

### 2.5.2 Ray-Sphere Intersection

We use an implicit equation to define a sphere that is centred at  $\mathbf{c}$  with radius  $r$  ( $\mathbf{q}$  such that satisfies the following)

$$(\mathbf{q} - \mathbf{c}) \cdot (\mathbf{q} - \mathbf{c}) - r^2 = 0 \quad (2.12)$$

Again, we substitute  $\mathbf{q}$  with the ray parametrization,

$$(\mathbf{e} + t\mathbf{d} - \mathbf{c}) \cdot (\mathbf{e} + t\mathbf{d} - \mathbf{c}) - r^2 = 0 \quad (2.13)$$

expanding and rearranging yields

$$(\mathbf{d} \cdot \mathbf{d})t^2 + 2\mathbf{d} \cdot (\mathbf{e} - \mathbf{c})t + (\mathbf{e} - \mathbf{c}) \cdot (\mathbf{e} - \mathbf{c}) - r^2 = 0 \quad (2.14)$$

To solve this, we can resort to the quadratic equation. First we calculate the discriminant,

$$\Delta = (2\mathbf{d} \cdot (\mathbf{e} - \mathbf{c}))^2 - 4(\mathbf{d} \cdot \mathbf{d})((\mathbf{e} - \mathbf{c}) \cdot (\mathbf{e} - \mathbf{c}) - r^2) \quad (2.15)$$

Clearly, if  $\Delta < 0$  then there is no intersection. If  $\Delta = 0$  then the ray is tangential to the sphere, and the ray has two intersection points with the sphere otherwise. The exact solution(s) could be calculated as

$$t = \frac{-2\mathbf{d} \cdot (\mathbf{e} - \mathbf{c}) \pm \sqrt{\Delta}}{2\mathbf{d} \cdot \mathbf{d}} \quad (2.16)$$

### 2.5.3 Ray-Triangle Intersection

In this case, we parametrized a triangle as

$$\mathbf{q} = \mathbf{p}_0 + \alpha \mathbf{t}_1 + \beta \mathbf{t}_2 \quad (2.17)$$

where  $\mathbf{p}_0$  is a vertex of the triangle and  $\mathbf{t}_1, \mathbf{t}_2$  are two edges shooting outwards from  $\mathbf{p}_0$ . In order to make this a triangle, there are several constraints, namely

$$\alpha \geq 0, \quad \beta \geq 0, \quad \alpha + \beta \leq 1. \quad (2.18)$$

## 3 Ray Tracing

### 3.1 Light and Surfaces

There are two types of lights, namely directional and point light sources.

- The directional light has its light direction independent of the object, this typically happens when the light is very far away. (e.g. the sun)
- On the other hand, point light are such that the direction of light depends on position of object relative to light. Think of this as a light bulb in a room.

### 3.2 Shading

The goal of shading is to compute the light reflected toward camera. As an algorithm it expects the following inputs: eye direction, light direction for each of many lights, surface normal, and surface parameters such as color and shininess.

**The Surface Normal** at a hit point can be computed, depending on the type of specification

- Polygon normal: cross product of two non-collinear edges,
- Implicit surface normal  $f(p) = 0$ :  $\text{gradient}(f)(p)$
- Explicit parametric surface  $f(a, b)$ :

$$\frac{\partial f(s, b)}{\partial s} \times \frac{\partial f(a, t)}{\partial t} \quad (3.1)$$

### 3.3 Light Falloff

The light falloff aims to model the concept of diminishing light intensity based on distance. Suppose the light source has intensity of  $I$ , then, at a point that is  $r$  (Euclidean Distance)

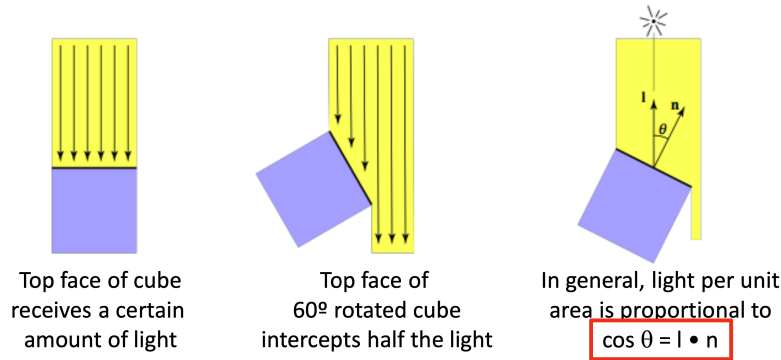


Figure 3: Illustration of Lambert's cosine law.

away from the light source, the light intensity from that particular light source would be

$$\text{Intensity}(I, r) = I/r^2 \quad (3.2)$$

Clearly, when we are at the light source,  $r = 0$  and we attain the max intensity.

### 3.4 Diffuse Reflection

In the case of diffuse reflection, light are scattered uniformly in all directions, i.e. the surface color is the same for all viewing directions. The amount of light captured by a surface obeys Lambert's cosine law. (We call this Lambertian surface.) Figure 3 illustrates the relationship.

### 3.5 Lambertian Shading

The Lambertian Shading is independent of view direction. Let's call  $L_d :=$  diffusely reflected light,  $k_d :=$  diffuse coefficient, and  $I :=$  illumination from source, then

$$L_d = k_d \circ (I/r^2) \max(0, \mathbf{n} \cdot \mathbf{l}) \quad (3.3)$$

$k_d$  could be separate for three color channels.

This produces a matte appearance.

### 3.6 Shadows

Surface is only illuminated if nothing blocks its view of the light. With ray tracing it's easy to check if a point in the scene is in shadow, all you have to do is just shoot a ray from the point<sup>3.1</sup> to the light and intersect it with the scene.

<sup>3.1</sup>This "point" means the actual location in 3d of what a pixel on the image projects to.

### 3.7 Multiple Light Sources

- Important to fill in black shadows,
- and just loop over lights, add contributions
- Ambient shading
  - Black shadows are not really right,
  - easy solution: dim light at the camera, so everything we see must be lit up (might be dim, but not black)
  - alternative: add a constant ambient color to the shading.

### 3.8 Ambient Shading

Ambient shading are those that does not depend on anything, we add a constant color to account for disregarded illumination and fill in black shadows. Let's call  $L_a :=$  reflected ambient light,  $k_a :=$  ambient coefficient, and  $I_a$  the raw light intensity, then

$$L_a = k_a \circ I_a \quad (3.4)$$

This  $k_a$  could again be separate for different color channels

### 3.9 Algorithm Template

Let's present the algorithm template for images with multiple light sources here

```
shade(ray, lights, point, normal) {
    result = ambient;
    for light in lights {
        I = light.pos - position;
        shadowray = (point + eps * normal, I);
        if !scene.intersection(result, shadowray) {
            it = surface.k * light.intensity * max(0, normal.dot(I));
            result += surface.color * it;
        }
    }
    return result;
}
```

Do notice that we have `shadowray = (point + eps * normal, I);`, and the `eps` is there to prevent floating point numerical errors. If we don't do that it is possible a ray would intersect immediately with the surface, and produce unusable images.

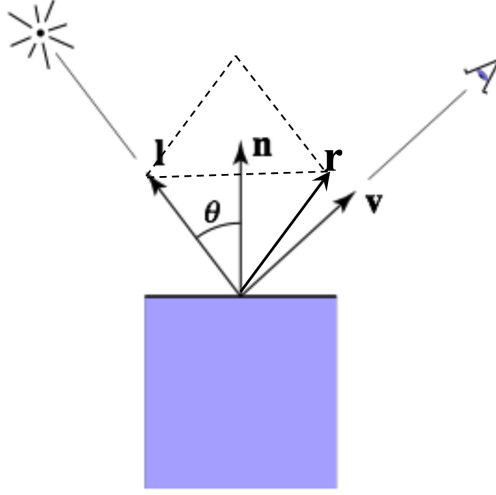


Figure 4: Mirror reflection illustration

### 3.10 Mirror Reflection

Now we've talked about diffuse reflections (matte surfaces), let's see the case of mirror reflection. We discuss the case of imperfect mirror here, where the intensity depends on view direction - reflects incident light from mirror direction. Figure 4 illustrates the scenario. The reflected ray vector is

$$\mathbf{r} = 2(\mathbf{n} \cdot \mathbf{l})\mathbf{n} - \mathbf{l} \quad (3.5)$$

### 3.11 Phong Specular Shading

With a perfect mirror, only when  $\mathbf{r} = \mathbf{v}$  in Figure 4 would the eye see light. In real world, this rarely is the case and we wish to model a common imperfect mirror where there will be some light being reflected to directions around  $\mathbf{r}$ . Phong specular shading aims to model reflections from this imperfect mirror - the intensity would depend on the view direction, and is bright near mirror configuration:

$$k_s \circ I_s(\mathbf{v} \cdot \mathbf{r})^{shiny} \quad (3.6)$$

where  $k_s$  is yet another set of coefficient guarding three channels,  $I_s$  is the three channel intensity (distance decay taken into account already), and *shiny* is a constant hyper-parameter raised to exponent dictating how fast the  $(\mathbf{v} \cdot \mathbf{r})$  diminishes to 0. A large *shiny* exponent will make the surface more glossy, while a smaller one will make the surface more matte-looking.

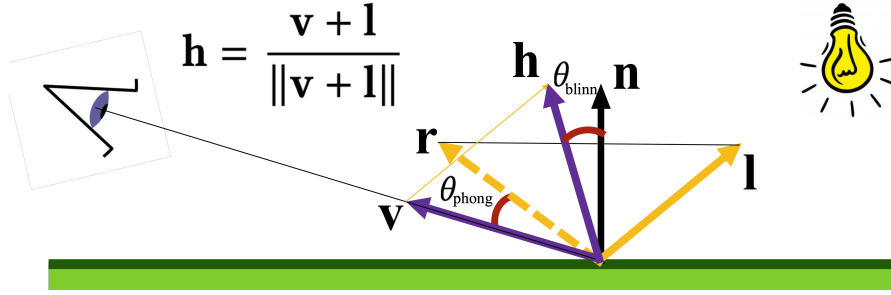


Figure 5: Blinn Specular Shading and Phong Specular Shading.

### 3.12 Blinn(-Phong) Specular Shading

Blinn specular shading is just another formulation.<sup>3.2</sup> Figure 5 illustrate what we want to calculate. Let's call the point of reflection  $\mathbf{o}$ , then in Phong model, we used *anglerov* to model the angle and here in Blinn we will be using  $\angle \mathbf{hon}$  instead. Notice that however, we do need to calculate an extra vector  $\mathbf{h}$  that is the normalized sum of  $\mathbf{v}$  and  $\mathbf{l}$ , i.e.

$$\mathbf{h} = \frac{\mathbf{v} + \mathbf{l}}{\|\mathbf{v} + \mathbf{l}\|} \quad (3.7)$$

The specular reflected intensities can be calculated

$$ciL = k_s \circ I \max\{0, \mathbf{n} \cdot \mathbf{h}\}^p \quad (3.8)$$

where  $k_s$  is our guarding coefficients,  $I$  is the intensity at the point of reflection (distance decay taken into account already),  $p$  is something similar to *shiny* from before. Again, a larger  $p$  means a shinier surface and a smaller one means more matte-looking.

### 3.13 Local Illumination

- Usually include ambient, diffuse, Blinn-Phong in one model

$$\begin{aligned} L &= L_a + L_d + L_s \\ &= k_a I_a + k_d (I/r^2) \max(0, \mathbf{n} \cdot \mathbf{l}) + k_s (I/r^2) \max(0, \mathbf{n} \cdot \mathbf{h})^p \end{aligned} \quad (3.9)$$

<sup>3.2</sup>This very simple and widely used model for specular highlights was proposed by Phong (Phong, 1975) and later updated by Blinn (J. F. Blinn, 1976) to the form most commonly used today.

- The final result is the sum over many lights

$$L = L_a + \sum_{i=1}^N [(L_d)_i + (L_s)_i] \quad (3.10)$$

$$= k_a I_a + \sum_{i=1}^N [k_d (I_i/r_i^2) \max(0, \mathbf{n} \cdot \mathbf{l}_i) + k_s (I_i/r_i^2) \max(0, \mathbf{n} \cdot \mathbf{h}_i)^p] \quad (3.11)$$

### 3.14 Ray Tracing Template

## Ray Tracing

```
for each pixel in the image {
    pixel colour = rayTrace(viewRay, 0)
}
```

```
colour rayTrace(Ray, depth) {
    for each object in the scene {
        if(Intersect ray with object) {
            colour = shading model
            if(depth < maxDepth)
                colour += rayTrace(reflectedRay, depth+1)
        }
    }
    return colour
}
```

### 3.15 Refraction - Snell's Law

Consider a surface with normal  $\mathbf{n}$ , with incoming light vector  $-\mathbf{l}$  (so  $\mathbf{l}$  is pointing into the surface), call  $\theta_l := \angle \mathbf{n} \mathbf{l}$ . Suppose the refracted light vector is named  $\mathbf{t}$  with  $\theta_t := \angle \mathbf{t} \mathbf{o}(-\mathbf{n})$ , then Snell's Law states that

$$c_l \sin \theta_l = c_t \sin \theta_t \quad (3.12)$$

We can derive that our unknown of interest  $\mathbf{t}$  is such that

$$\mathbf{t} = -\frac{c_l}{c_t} \mathbf{l} + \frac{c_l}{c_t} \cos(\theta_l) \mathbf{n} - \cos(\theta_t) \mathbf{n} \quad (3.13)$$

To take refractions into account, we just need to slightly modify the ray tracing template presented previously. Namely, we will need to add

```
...
colour += raytrace(reflectedRay, depth+1)
colour += raytrace(refractedRay, depth+1)
```

### 3.16 Ray Tracing: Pros and Cons

Ray tracing provides a unifying framework for all of the following

- Hidden surface removal,
- shadow computation,
- reflection of light,
- refraction of light,
- globular specular interaction

but at the same time it presents some deficiencies

- intersection computation time can be long, (solution: bounding volumes, next time)
- recursive algorithm can lead to exponential complexity, (solution: stochastic sampling)
- ignores light transport mechanisms involving diffuse surfaces.

### 3.17 Caustics

### 3.18 Radiosity

### 3.19 The Rendering Equation

$$L_o(\mathbf{x}, \mathbf{w}) = L_e(\mathbf{x}, \mathbf{w}) + \int_{\Omega} f_r(\mathbf{x}, \mathbf{w}', \mathbf{w}) L_i(\mathbf{x}, \mathbf{w}') (\mathbf{w}' \cdot \mathbf{n}) d\mathbf{w}' \quad (3.14)$$

where

$$L_o(x, \vec{w}) = \text{outgoing light at } \mathbf{x} \text{ direction } \mathbf{w} \quad (3.15)$$

$$L_e(x, \vec{w}) = \text{emitted light at position } \mathbf{x} \text{ and direction } \mathbf{w} \quad (3.16)$$

$$\int_{\Omega} \dots d\mathbf{w} = \text{reflected light at position } \mathbf{x} \text{ and direction } \mathbf{w} \quad (3.17)$$



where

$$f_r(\mathbf{x}, \mathbf{w}', \mathbf{w}) = \text{BRDF: a function describing how light is reflected at an opaque surface} \quad (3.18)$$

$$L_i(\mathbf{x}, \mathbf{w}') = \text{the incoming light from all directions} \quad (3.19)$$

### 3.20 Soft Light

## 4 Spatial Data Structures - Bounding Volume Hierarchy

In many applications of computer graphics, it is crucial that we can quickly perform queries on a set scene. However, the brute force solution may turn out to be computationally too costly. In a remedy to this problem, we introduce the idea of spatial data structures. These structures partition spaces into smaller ones: (following characterizations are from the text book (Shirley, P., & Marschner, S., 2009).)

- Structures that group objects together into a hierarchy are object partitioning schemes: objects are divided into disjoint groups, but the groups may end up overlapping in space.
- Structures that divide space into disjoint regions are space partitioning schemes: space is divided into separate partitions, but one object may have to intersect more than one partition.
- Space partitioning schemes can be regular, in which space is divided into uniformly shaped pieces, or irregular, in which space is divided adaptively into irregular pieces, with smaller pieces where there are more and smaller objects.

### 4.1 (Axis Aligned) Bounding Boxes

To derive, let's start with the 2D case. Suppose we have a bounding box described by four lines,

$$x = x_{\min}, \quad \text{the lower horizontal line,} \quad (4.1)$$

$$x = x_{\max}, \quad \text{the upper horizontal line,} \quad (4.2)$$

$$y = y_{\min}, \quad \text{the left vertical line,} \quad (4.3)$$

$$y = y_{\max}, \quad \text{the right vertical line.} \quad (4.4)$$

Then, consider the ray  $x = x_e + tx_d, y = y_e + ty_d$ , where we see that only when  $t$  satisfies the four constraints described in Figure 6 will the ray hit the box. Arithmetically, we

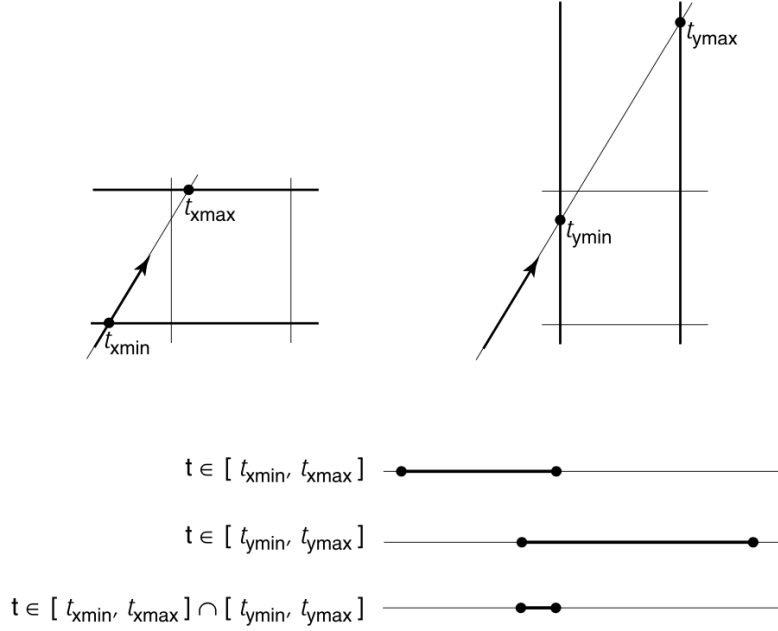


Figure 6: 2D Bounding Box Hit Criterion

calculate<sup>4.1</sup>

$$t_{x \min} = x_d \geq 0 ? (x_{\min} - x_e)/x_d : (x_{\max} - x_e)/x_d \quad (4.5)$$

$$t_{x \max} = x_d \geq 0 ? (x_{\max} - x_e)/x_d : (x_{\min} - x_e)/x_d \quad (4.6)$$

$$t_{y \min} = y_d \geq 0 ? (y_{\min} - y_e)/y_d : (y_{\max} - y_e)/y_d \quad (4.7)$$

$$t_{y \max} = y_d \geq 0 ? (y_{\max} - y_e)/y_d : (y_{\min} - y_e)/y_d \quad (4.8)$$

Then if  $t_{x \min} > t_{y \max} \vee t_{y \min} > t_{x \max}$  then the ray missed the bounding box. Otherwise, there is a hit. <sup>4.2</sup>

#### 4.1.1 Handling Divide by Zero

There is yet another concern that needs to be addressed in our formulation: divide by zero errors when  $x_d = \pm 0$  or  $y_d = \pm 0$ . The detailed derivations can be found on pages 281 - 282 of the text book. We note the results here:

$$a = 1/x_d, b = 1/y_d \quad (4.9)$$

<sup>4.1</sup>Note that this solution does not address the divide by zero error discussed in the following section.

<sup>4.2</sup>This if statement condition could be derived from Figure 6: when the two intervals have no intersection, then we have a miss.

**If  $(a \geq 0)$  then**

$$t_{x \min} = a(x_{\min} - x_e); \quad t_{x \max} = a(x_{\max} - x_e) \quad (4.10)$$

**else**

$$t_{x \min} = a(x_{\max} - x_e); \quad t_{x \max} = a(x_{\min} - x_e) \quad (4.11)$$

**If  $(b \geq 0)$  then**

$$t_{y \min} = b(y_{\min} - y_e); \quad t_{y \max} = b(y_{\max} - y_e) \quad (4.12)$$

**else**

$$t_{y \min} = b(y_{\max} - y_e); \quad t_{y \max} = b(y_{\min} - y_e) \quad (4.13)$$

Then, again, if  $t_{x \min} > t_{y \max} \vee t_{y \min} > t_{x \max}$  then the ray missed the bounding box. Otherwise, there is a hit. <sup>4.3</sup>

### 4.1.2 Handling 3D Bounding Boxes

This is almost exactly the same as what we presented in the previous sub-subsection. We calculate a extra  $c$  for the  $z$  axis:  $c = 1/z_d$

**If  $(c \geq 0)$  then**

$$t_{z \min} = c(z_{\min} - z_e); \quad t_{z \max} = c(z_{\max} - z_e) \quad (4.14)$$

**else**

$$t_{z \min} = c(z_{\max} - z_e); \quad t_{z \max} = c(z_{\min} - z_e) \quad (4.15)$$

However, this time the return conditions requires a bit more calculations

$$lmin = \max \{t_{* \min}\} \quad \text{is the largest of all min} \quad (4.16)$$

and

$$smax = \min \{t_{* \max}\} \quad \text{is the smallest of all max} \quad (4.17)$$

Then, if  $smax < lmin$ , the ray missed the box; otherwise, there is a hit.

## 5 Meshes

**I cannot figure out an effective way to present this chapter.**

### 5.1 Topology

Topology is concerned with the connectivity of a mesh. We will assume that our meshes are 2-manifolds. A 2-manifold is a surface for which the neighbourhood around any point can be flattened onto the plane.

---

<sup>4.3</sup>This if statement condition could be derived from Figure 6: when the two intervals have no intersection, then we have a miss.

## 5.2 Watertight

When a mesh have no holes, we say that it is water tight. On a similar note, meshes are *stricter* then soups. When we have a triangle soup, we impose no limits on the connectivity between the neighbouring triangles - it could be disjoint everywhere.

## 5.3 Geometry

Geometrically, a mesh is a piecewise planar surface. It is

- planar almost everywhere,
- except maybe at the edges where the triangles join.

Very often, it is a piecewise planar approximation of a smooth surface.

# 6 Transformations, Shaders, and Rasterization

## 6.1 Transformations

A transformation in graphics is also known as a deformation. It is defined as

A function  $f$ , mapping points / vectors to points / vectors.

Simple transformations are usually invertible. Its applications include placing objects in a scene, composing an object from parts, and animating objects.

### 6.1.1 Linear Transformations

The simplest kind of transformation is probably the well known linear transformation. A transformation map  $T : \mathbb{R}^n \rightarrow \mathbb{R}^m$  is such that

$$\mathbb{R}^n \ni \mathbf{x} \xrightarrow{T} \mathbf{y} \in \mathbb{R}^m \tag{6.1}$$

This is usually written in matrix form, where a matrix  $A \in M_{n \times m}(\mathbb{R})$  is our operator for the transformation  $T$ .

### 6.1.2 2D-Scaling

Let  $\mathbf{x} = [x, y]^\top$  be the input, then

$$A = \begin{bmatrix} s_x & 0 \\ 0 & s_y \end{bmatrix} \tag{6.2}$$

is called the scaling matrix, where  $s_x, s_y$  specifies the scale in each of the two basis directions respectively. When the scaling factors are the same, we call this scaling uniform.

### 6.1.3 2D-Rotation

The rotation matrix is

$$\text{rotate}(\phi) = \begin{bmatrix} \cos \phi & -\sin \phi \\ \sin \phi & \cos \phi \end{bmatrix} \quad (6.3)$$

### 6.1.4 2D-Shear

The shear transformation translate a box into a parallelogram of the same height. In some sense, it produces the same box punched from one direction at the top. It is defined as

$$A = \begin{bmatrix} 1 & s \\ 0 & 1 \end{bmatrix} \quad (6.4)$$

### 6.1.5 2D Affine Translation

Linear transformations that we mentioned above does not handle translation, which arguably would be quite common in computer graphics, especially when you want to move scene objects around. Notice that in a if we want transform something in  $\mathbb{R}^2$ , then we have been using 2 by 2 matrices up until now. But such sized matrices does not have enough room for us to put in some extra constant translation terms! We address this via going into a subspace in 3D.

Let  $\mathbf{x} = [x, y]^\top \in \mathbb{R}^2$  be the point that we wish to transform, then

$$\begin{bmatrix} a_{11} & a_{12} & t_x \\ a_{21} & a_{22} & t_y \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} x \\ y \\ 1 \end{bmatrix} = \begin{bmatrix} a_{11}x + a_{12}y + t_x \\ a_{21}x + a_{22}y + t_y \\ 1 \end{bmatrix} \quad (6.5)$$

to obtain the result, we simply neglect the ‘1’ to get back to 2D.

### 6.1.6 Homogeneous Coordinates

It turns out that the the trick we used in Section 6.1.5 is quite useful, especially when it comes to handling some complex transformations. This is called the Homogeneous Coordinates system. For a Cartesian point (2D)  $[x, y]^\top$ , it’s respective Homogeneous Coordinate is  $[x, y, 1]^\top$ . On the other hand, the Homogeneous coordinate  $[x, y, w]^\top$  is  $[x/w, y/w]^\top$  in 2D cartesian.

Homogeneous points are equal if they represent the same Cartesian Point. For example

$$\begin{bmatrix} 4 & -6 & 2 \end{bmatrix}^\top \equiv \begin{bmatrix} -6 & 9 & -3 \end{bmatrix}^\top \quad (6.6)$$

The point  $[x, y, w]^\top$  with  $w = 0$  represents points at infinity, though with direction  $[x, y]^\top$  and thus provide a natural representation for vectors, distinct from points in Homogeneous

coordinates. To be extra concrete,  $[x, y]^\top$  could be represented as  $[x, y, 0]^\top$  to mean a **vector**, not a point. (i.e., direction only).

### 6.1.7 Properties of 2D Transformations

The below items are such that the transformation mentioned earlier are a strict super set of transformations mentioned later. For example, the set of affine transformations is a strict subset of homography.

- These 3-by-3 transformations matrices, when invertible, are called homographies. Homography transformations **map lines to lines**. That is, for points that lie on a line, if we transform all these points, the result also lie on a line.
- Affine transformations preserve parallelism in lines, it is more restricted than homographies. Examples: shear, scale.
- Conformal transformations, compared to affine transformations, further preserve the angle between lines. Examples: uniform scale.
- Rigid transformations, compared to conformal transformations, additionally preserve the lengths of the line segments. Examples: rotate, translate.

### 6.1.8 Homography: Mapping of Four Pts

A 3-by-3 homography transformation matrix has only a degree of freedom of 8. This is because the bottom right corner of this matrix must be the fixed value 1. Hence, we only need four points to solve for a homography matrix exactly. Each points will constitute two equations, resulting in a system of 8 equations.

### 6.1.9 Affine Properties: Composition

Affine transforms are closed under composition. i.e. applying transformations  $(A_1, \mathbf{t}_1)$  and  $(A_2, \mathbf{t}_2)$  in sequence results in an overall affine transformation

$$\mathbf{p}' = A_2(A_1\mathbf{p} + \mathbf{t}_1) + \mathbf{t}_2 = (A_2A_1)\mathbf{p} + (A_2\mathbf{t}_1 + \mathbf{t}_2) \quad (6.7)$$

### 6.1.10 Composing Transformations

Any sequence of linear transformations can be concatenated into a single 3-by-3 matrix. In general, however, mappings do not commute. Although in some special cases they do.

### 6.1.11 Affine - Geometric View Point

From linear algebra, we know that a linear map is essentially a change of basis map. In this sense, affine transformations can be viewed a two step process: a change of basis, and a translation of origin.

### 6.1.12 Transforming Tangents and Normals

Tangents can be written as the difference of points on an object. Say  $\mathbf{t} = \mathbf{p} - \mathbf{q}$ . The transformed tangent is

$$\mathbf{t}' = M\mathbf{p} - M\mathbf{q} = M(\mathbf{p} - \mathbf{q}) = M\mathbf{t} \quad (6.8)$$

So the tangent of the transformed object is just the tangent itself transformed.

On the other hand, surface normal  $\mathbf{n}' \neq M\mathbf{n}$ . Consider the case where we scale the object in  $x$ -direction. Say  $\mathbf{n}' = H\mathbf{n}$ , let's try solving for  $H$ . We know that

$$\mathbf{t}'^\top \mathbf{n}' = 0 \implies (M\mathbf{t})^\top (H\mathbf{n}) = 0 \implies \mathbf{t}^\top (M^\top H)\mathbf{n} = 0 \quad (6.9)$$

remember that  $\mathbf{t}^\top \mathbf{n} = 0$ , so  $H = (M^\top)^{-1} \neq M$ .

## 6.2 Projections

### 6.2.1 Camera Models

There are two major types of camera models, namely parallel projections and perspective projections. In parallel projection model, the light beams travel in the same direction, but with different origins. However, in perspective projection, the light beams travels through a same eye point origin, and they each go towards a different direction.

### 6.2.2 Perspective Projection