

Geography 4203 / 5203

# **GIS Modeling**

Class 10: Dasymetric Mapping

# Some Updates

- Grading:
- Lab1 Hydro: model-paper-total
- Readings summaries[pencil lines] I-III
- Reading summaries - some comments
- ...

# Last Lecture

- We are done with **Geostatistics**... (almost)
- Not yet with spatial estimation and prediction issues but Kriging lies behind you, **congrats!**
- You had a quite deep insight into the mechanics how **kriging** works, what the basic assumptions are and why the **variogram** is so important
- You perfectly can describe **spatial autocorrelation** and its use for spatial **prediction** in kriging algorithms
- But you have also seen why statistics is important

# Today's Outline

- Today we will talk about **Areal Interpolation** and **Dasymetric Mapping** - related to the lab exercises you are currently doing
- We will look at some methods of dasymetric mapping to better understand the use of limiting and related variables
- You will see what stands **behind** these terms
- You will once again see why **map algebra** and its fundamental **concepts** are so important

# Learning Objectives

- You will understand what **areal interpolation** is
- You will also understand how areal interpolation and **dasymetric mapping** are related to each other
- You will see how dasymetric mapping can **improve estimations** of variables of interest by addressing the **underlying statistical surface**
- You will learn how to implement the different ideas of dasymetric mapping into **map algebra** commands and **syntax (rather during your current lab)**
- You will get (hopefully) some ideas how you can use these techniques for your *own* **project** and **research** ideas

# Background I

- Traditional choropleth map designs give the impression of **homogeneous distributions** of the variable of interest (e.g. population density, cropland density) throughout the **areal unit**
- In fact, these density measures often **vary** within the “enumeration unit”
- Thus the **boundaries** often have nothing to do with changes in the **underlying statistical surface** but pretend **abrupt shifts**

# Background II

- Need to create **surface-based representations** from these areal units: **areal interpolation** (AI)
- To address **heterogeneity** within units
- To **refine** the **estimates** by using additional **ancillary** data and **relationship** between these data and our orig. estimations
- **Dasymetric mapping** as a kind of AI is a relatively unknown method
- Few publications on dasymetric mapping (some you can find at the end)

# The Beginning

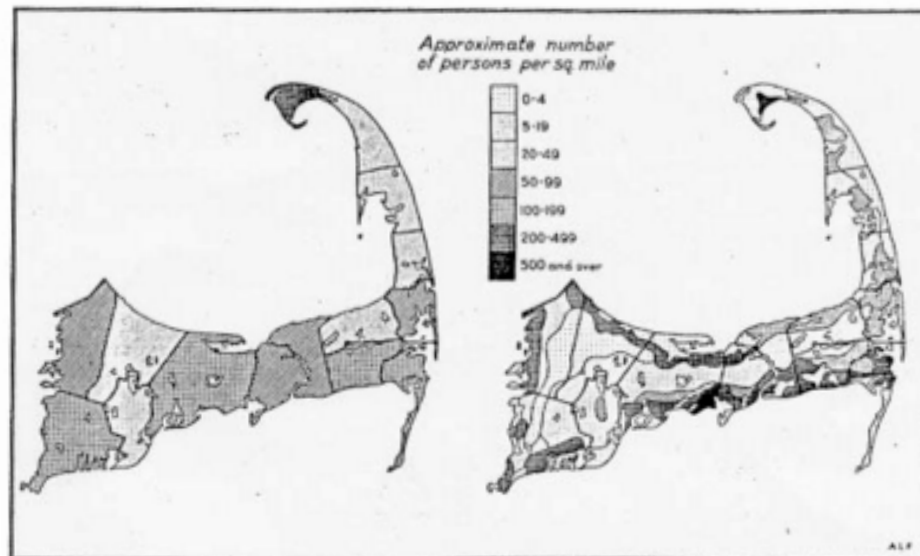
- John K. **Wright** (1936) produced a **population distribution map** of Cape Cod using the census data
- Population counts assigned to towns in a standard **choropleth** map
- Wright saw that the choropleth map **masked** important **details**
- Population density seemed to depend more on amount of **marsh & wasteland** than on the density in the inhabitable area





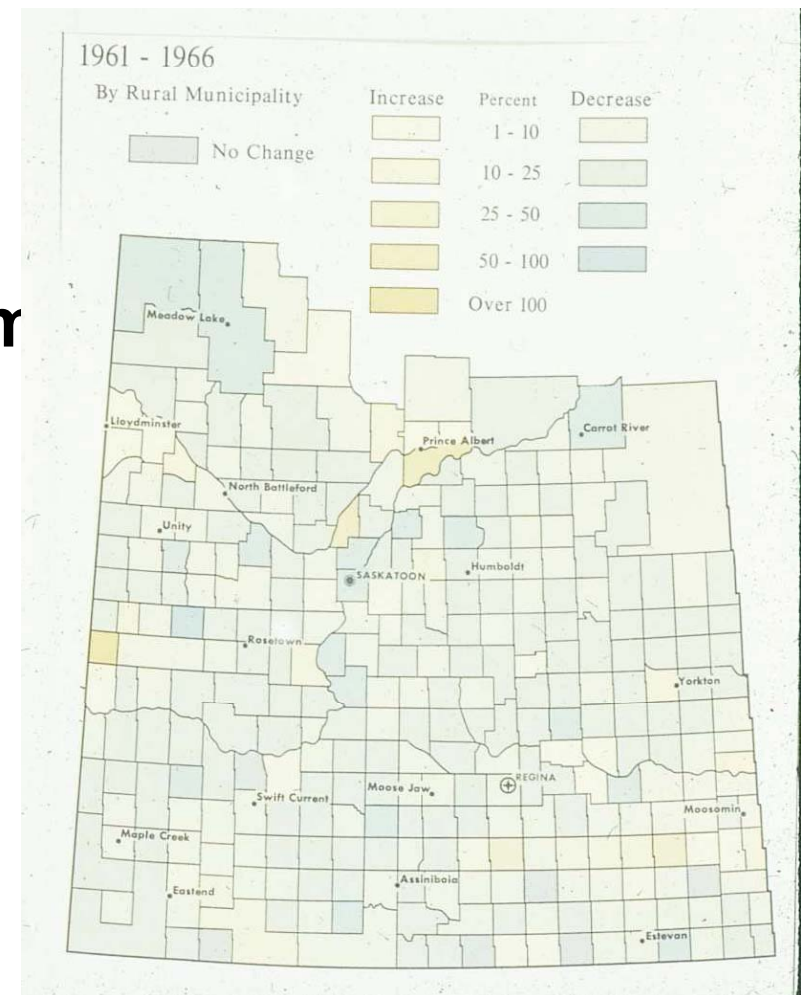
# Wright's Improved Map Versions

- First he cut out “**uninhabited**” areas and **recalculated** the density for the town based on the **reduced area**
- Then divided each town into regions of **land use** and **settlement**
- Assigned densities to all but one land use classes, the density of the last class could be calculated from the **residual population** of that town
- Puzzle of densities from many **assumptions** and **relationships** within the region



# Aggregated Data in Maps (Volumetric Surfaces)

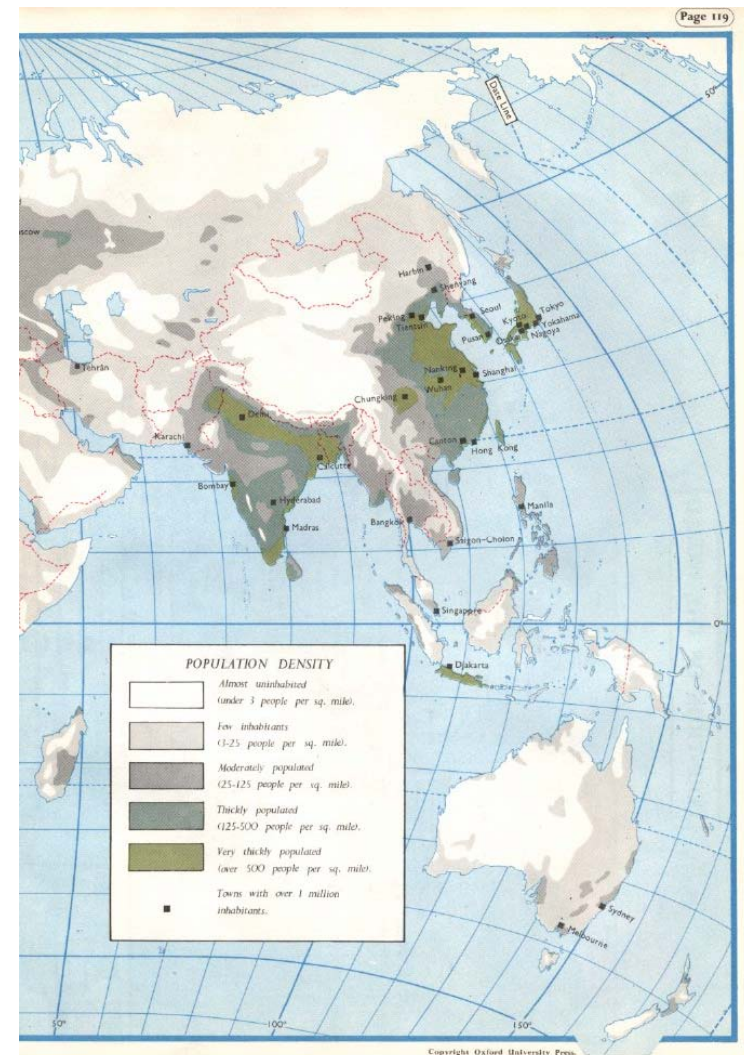
- **Choropleth Maps:**
  - Individual spatial units (polygons) are filled with **uniform** colors or patterns
  - **Enumeration unit** is the same as the **mapping unit** (administrative, municipal boundaries,...)



from [http://www.gis.unbc.ca/courses/geog205/lectures/theme\\_map\\_la/index.php](http://www.gis.unbc.ca/courses/geog205/lectures/theme_map_la/index.php)

# Aggregated Data in Maps (Volumetric Surfaces)

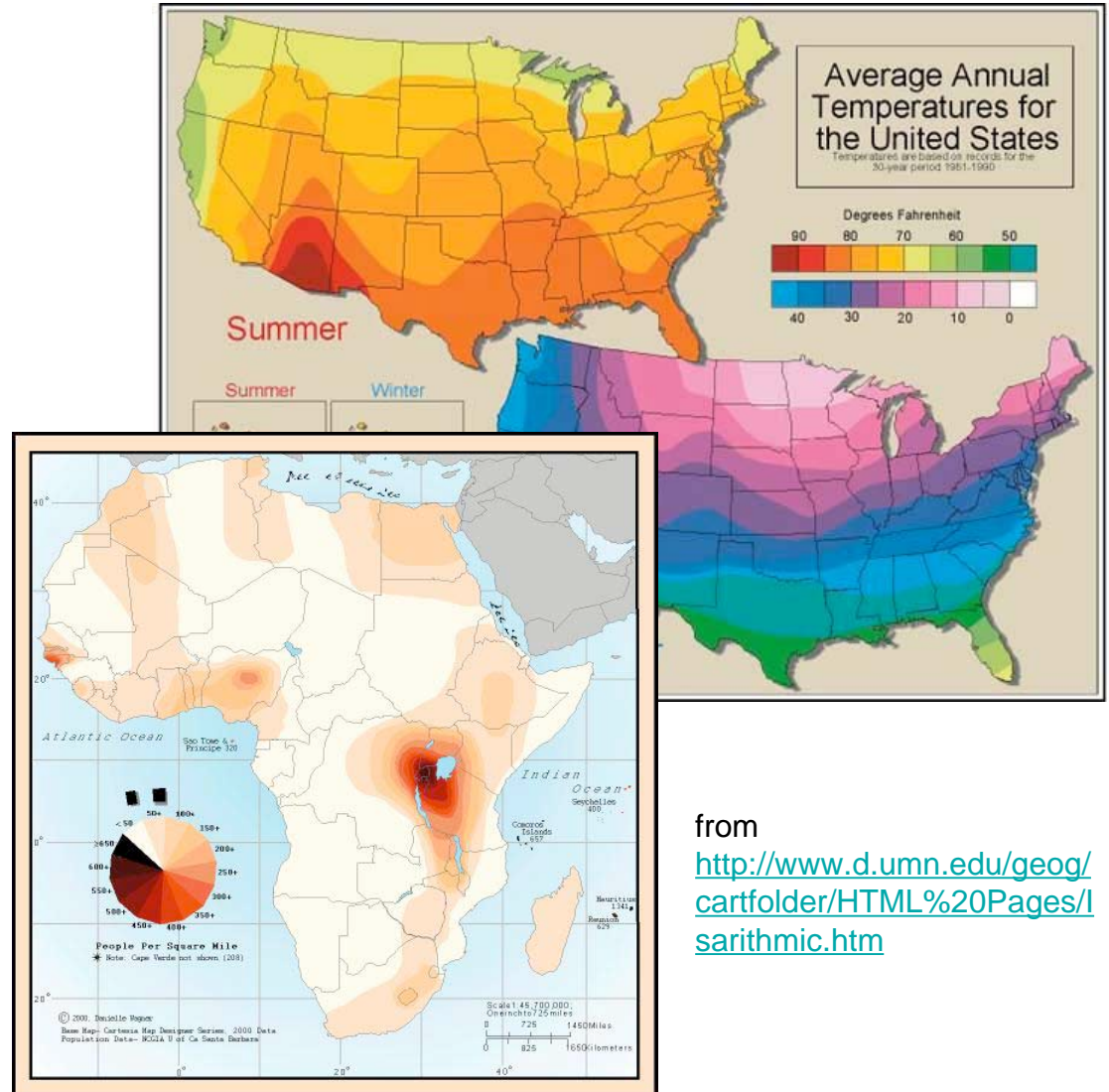
- **Dasymetric Maps:**
  - Individual spatial units (polygons or grid cells) are filled with **uniform** colors or patterns
  - Mapping unit is based on **sharp changes** in the **statistical surface** of data
  - Spatially **disaggregate** aggregated data



from [http://www.gis.unbc.ca/courses/geog205/lectures/theme\\_map\\_la/index.php](http://www.gis.unbc.ca/courses/geog205/lectures/theme_map_la/index.php)

# Aggregated Data in Maps (Volumetric Surfaces)

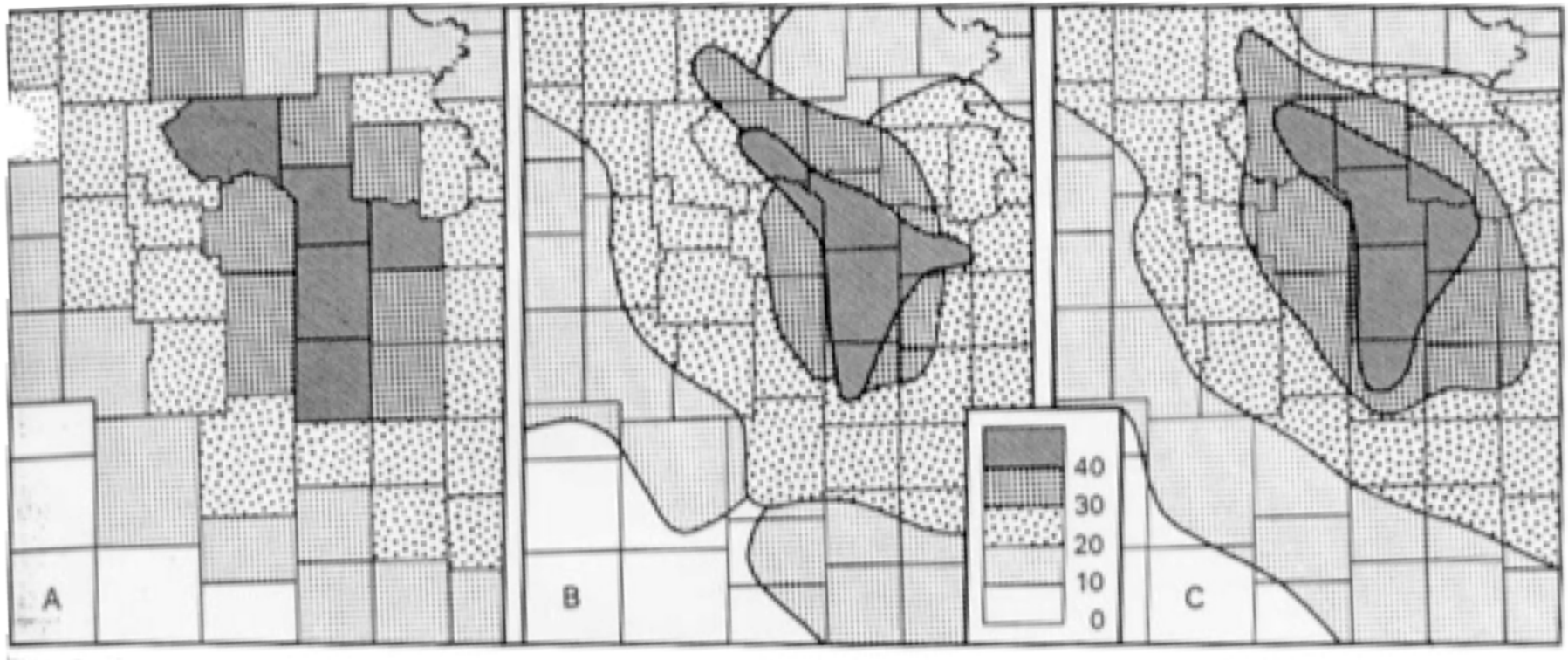
- **Isopleth/isarithmic Maps:**
  - **No** pre-defined mapping unit
  - Data associated with **point locations**
  - Can be represented by **lines of equal attribute value** (we have a name for that!!!)





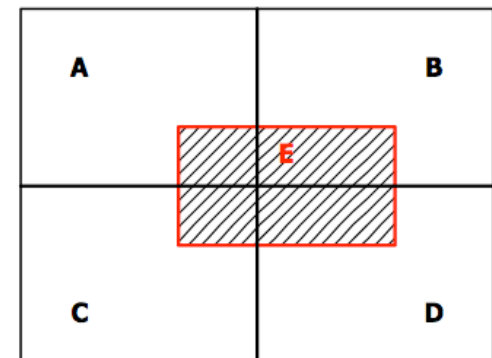
# ... a way of Cartographic Thinking & Modeling?

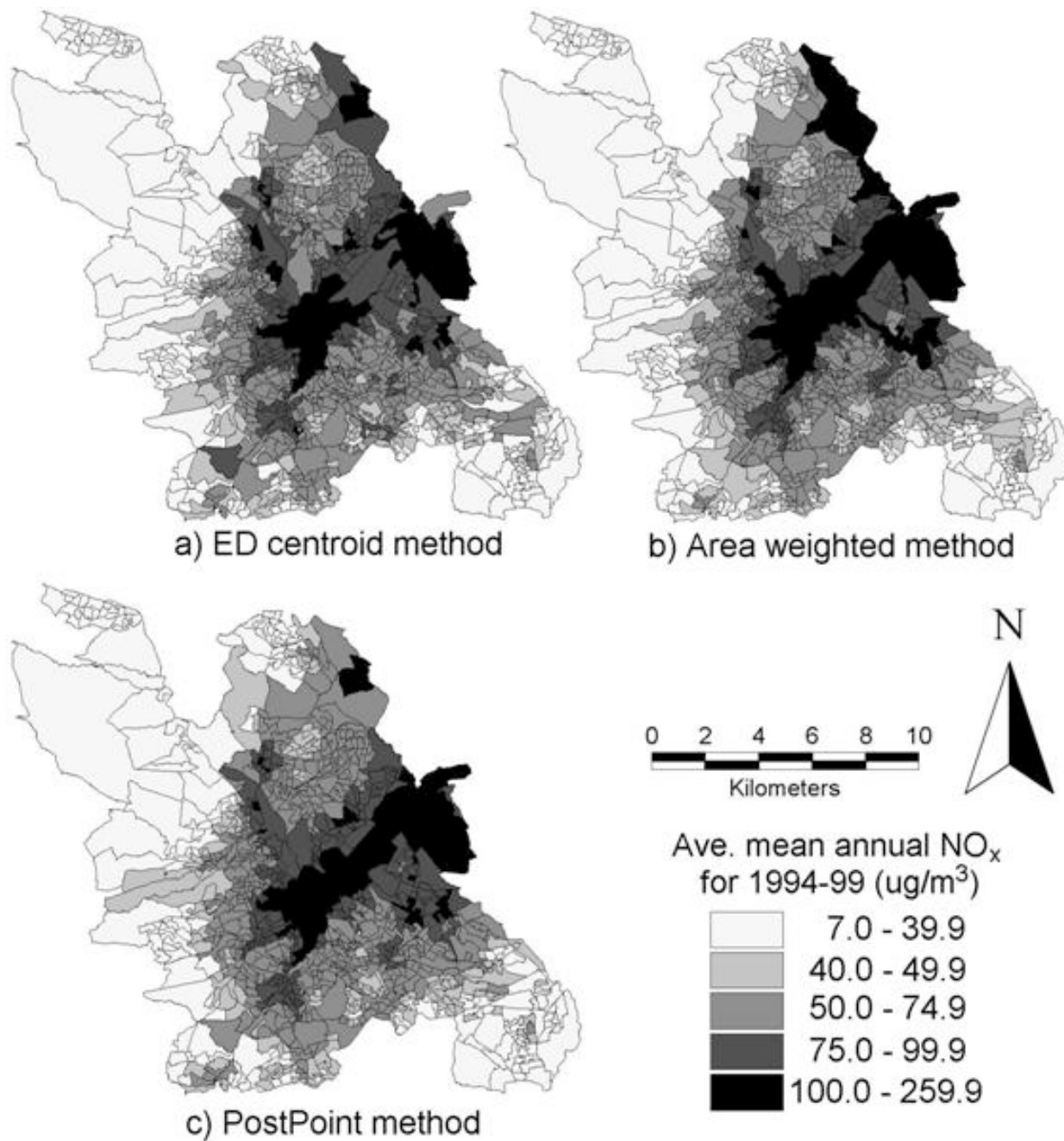
- ... it's even more...



# Areal Interpolation

- **Transformation** of an attribute attached to one kind of choropleth map to **another** set of **choropleth zones**
- Or -- **Estimating** the value of a mapping unit based on the values of **associated enumeration units**
- **Volume preserving** methods (areal weighting (pycnophylactic), overlay (binary method))
- **Non-volume preserving** methods (e.g. interpolating population counts from census tracts to school districts) -- critiques volume loss

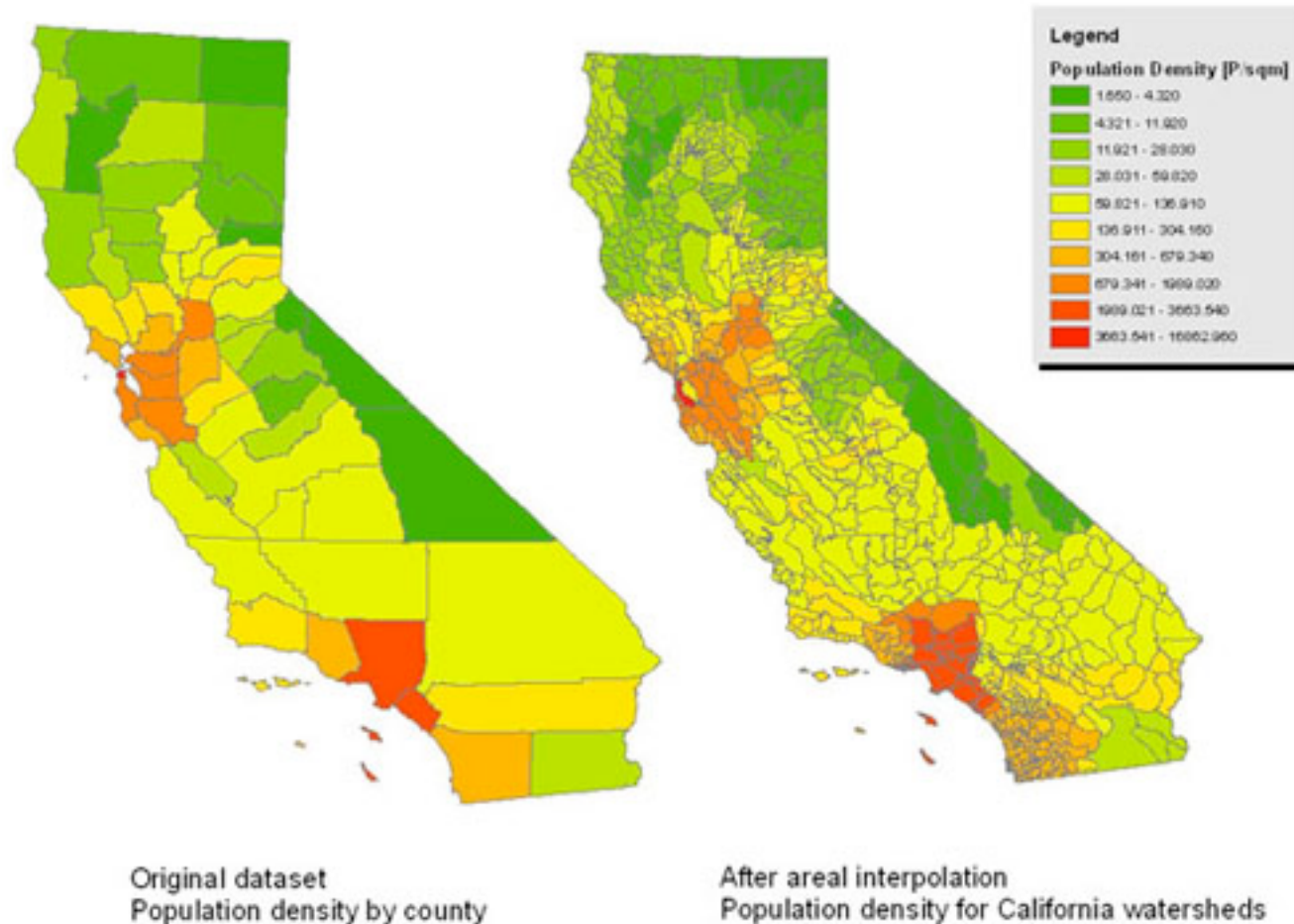




from <http://www.geog.cam.ac.uk/research/projects/geographicaledemiology/>

# Areal Interpolation

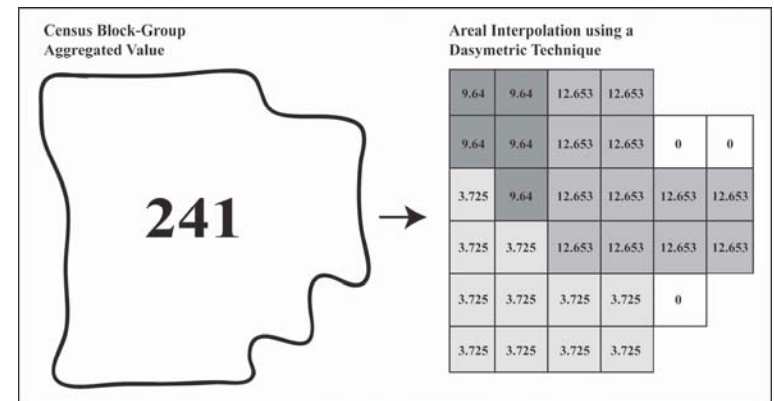
Areal Interpolation Example: Population Density in California





# Dasymetric Maps & Mapping

- To display statistical surface data by **partitioning** space into **(homogeneous) zones** where the zone boundaries reflect the underlying **statistical surface variation** (sharp changes)
- The process of **dasymetric mapping** is the **transformation** of data from a set of arbitrary **source zones** to a dasymetric map via the overlay of the source zones with an **ancillary dataset**
- Dasymetric mapping is considered a particular type of **areal interpolation** (where source zone data are **excluded from** or **related to** certain classes in a categorical ancillary dataset)



from  
<http://geography.wr.usgs.gov/science/dasymetric/methods.htm>

# Ancillary Information

- **Limiting Variable:**

**restrict** possible occurrences in the original unit (its percentage **limits** the **percentage** of the variable of interest within the same unit)

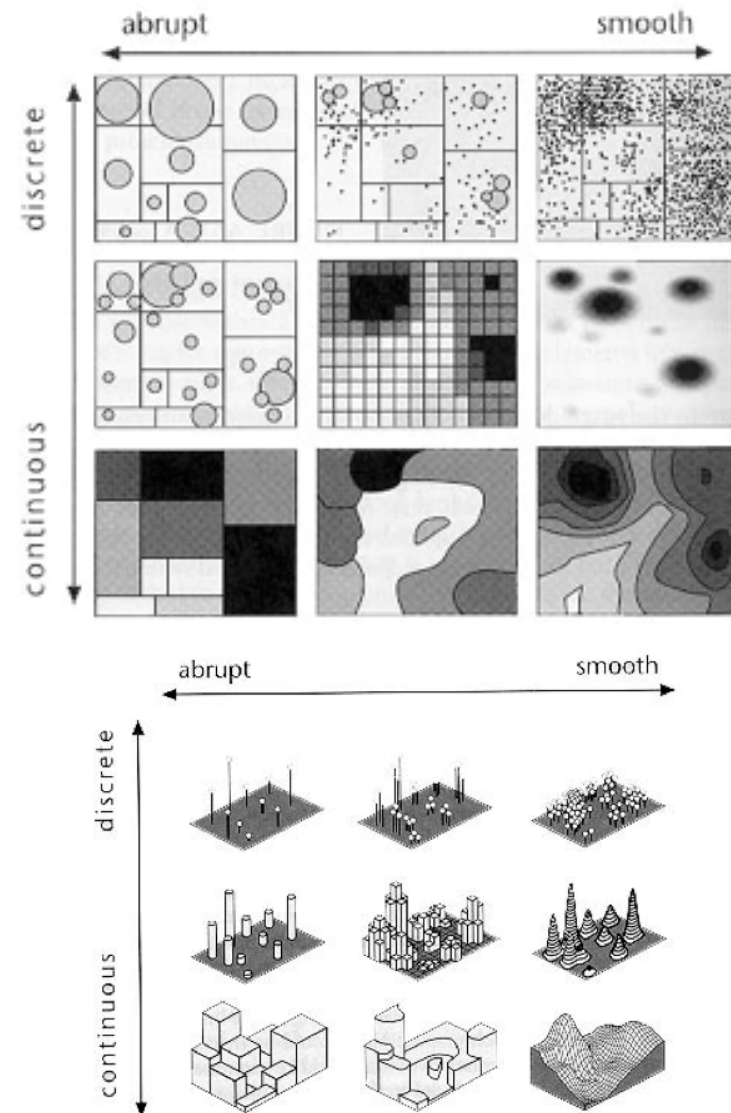
- **Related Variable:**

**associated** with the variable of interest in complex ways

you can define a **set of rules** which variable will **influence** our variable in what way...  
(economy, terrain)

# In Context

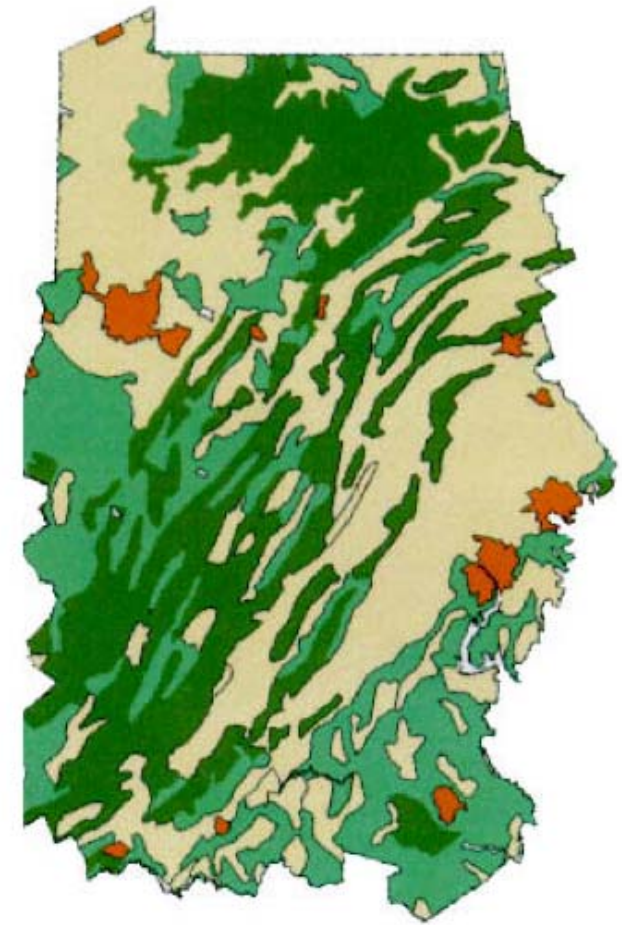
- McEachren (1994) placed dasymetric maps in the continuum **between isopleth** and **choropleth** maps (betw. **smooth** and **stepped** statistical surfaces)



# Case Study Eicher & Brewer (2001)

- **Refining** population data and housing price data given at the county level (enumeration unit) by **overlay** with **land use** classes (to create **dasymetric zones** within the counties)
- Using **land use** from USGS as ancillary data
- Testing different **methods** of **dasymetric mapping** and **evaluate** their **accuracy** against census block groups (RMSE)

# Enumeration and Mapping Units

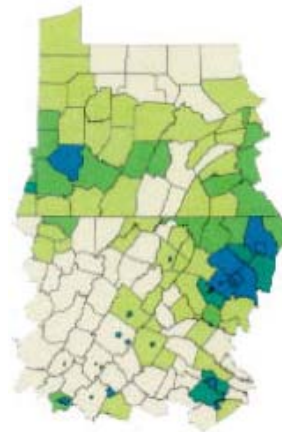


Landuse

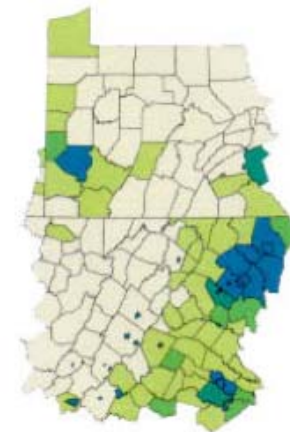
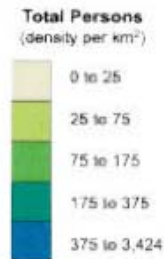
- Agricultural
- Woodland
- Forested
- Urban

**Figure 1.** Dasymetric map zones that result from overlay of county and landuse boundaries in the study area. State boundaries and example cities are included for reference.

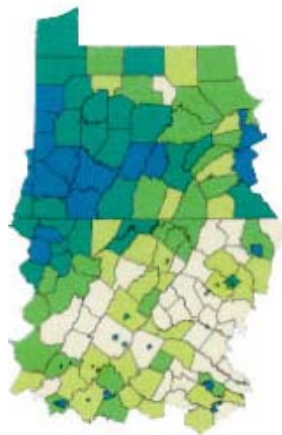
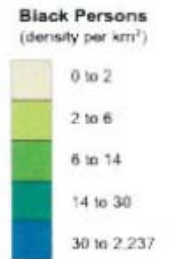
# Tested Variables



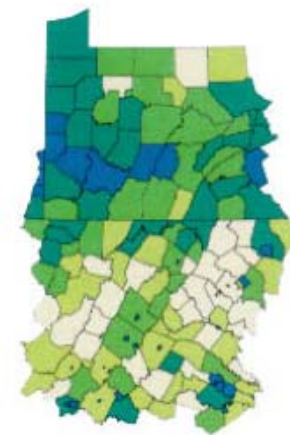
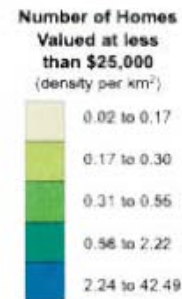
a. PERSONS



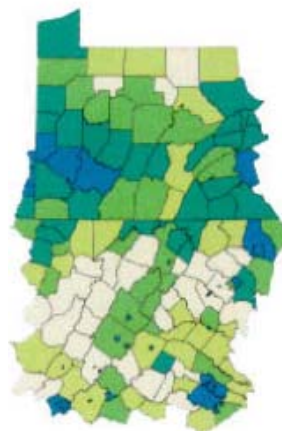
b. BLACK



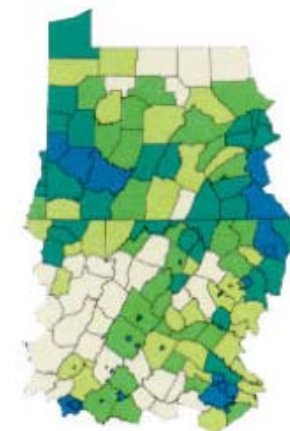
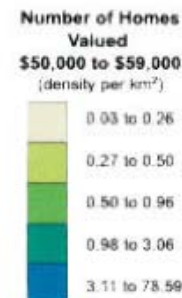
c. HV\_LT25K



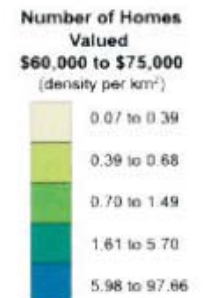
d. HV\_40-49K



e. HV\_50-59K



f. HV\_60-75K





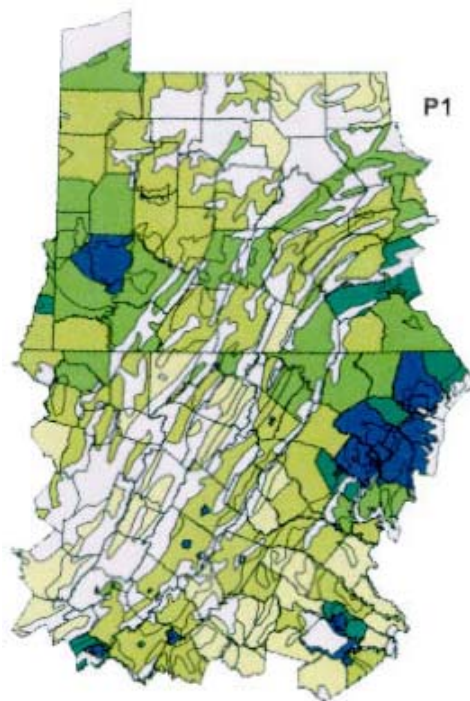
# Dasymetric Mapping Methods

- **Binary Method (P,G)**  
**inhabitable/ uninhabitable** regions (“binary”) and  
conclusions for **corrected densities**
- **Three-Class Method**  
(subjective) fractions defined to create a **weighting  
scheme** for assigning population data to **three** different  
**land use classes**  
(70-20-10 % for urban-agr./woodl.-forestl. [+ water = 0])  
Problems: **Subjectivity** and imprecision since **area** of  
land use class **not considered** (small urbans still get  
70%)
- Implementation as **vector** and **grid** methods

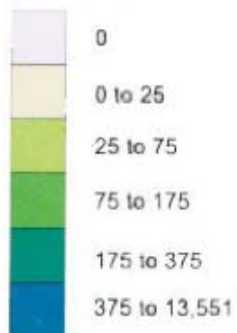
# Dasymetric Mapping Methods

- **Limiting Variable Method (P)**  
**areal weighting** to assign data to inhabitable polygons in each county  
**three inhabitable classes** with **equal pop densities**  
(water = 0)  
**max density** thresholds for individual land use classes  
**adjustments** to the data within each county (if polygon exceeds threshold - **max threshold** assigned, remaining data **distributed evenly** over the other zones)  
search for **max densities** for forest and agr difficult...

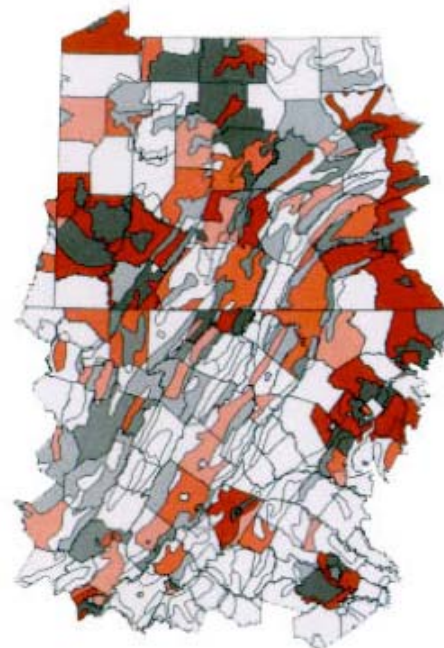
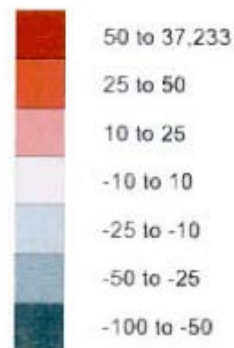




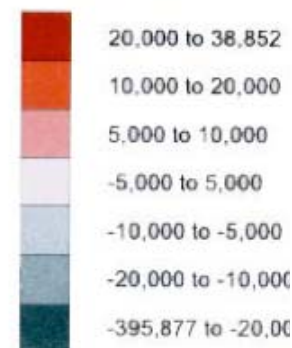
**a. Population Density**  
(persons per km<sup>2</sup>)



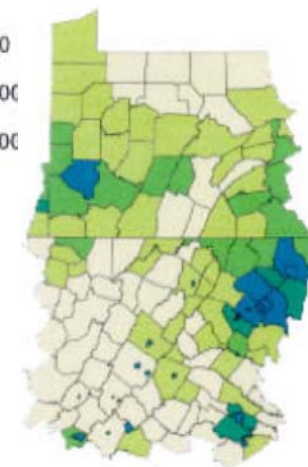
**b. Percent Error**



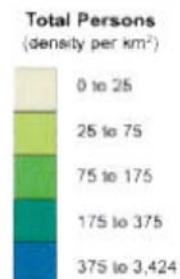
**c. Count Error**

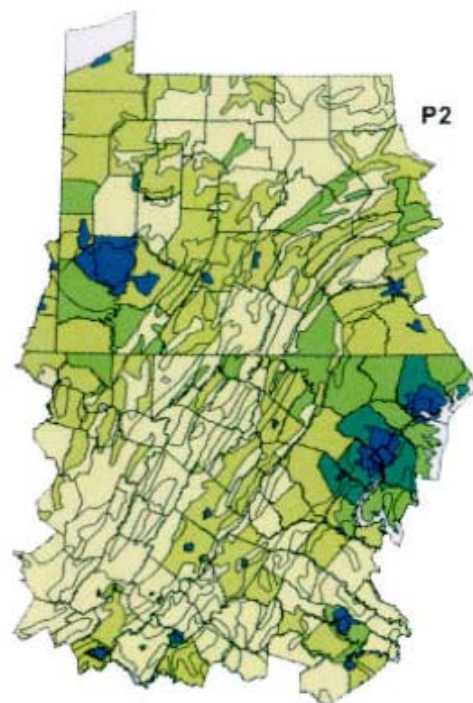


**Figure 4.** Maps for binary method (P1): a. dasymetric population density map; b. percent error; and c. count error in number of persons.

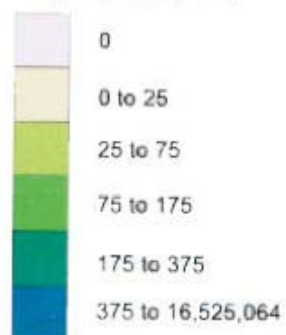


**a. PERSONS**





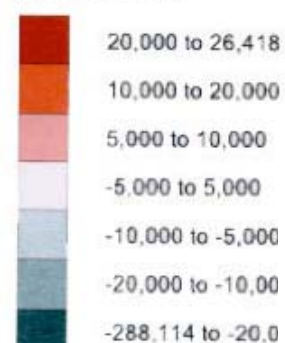
**a. Population Density**  
(persons per km<sup>2</sup>)



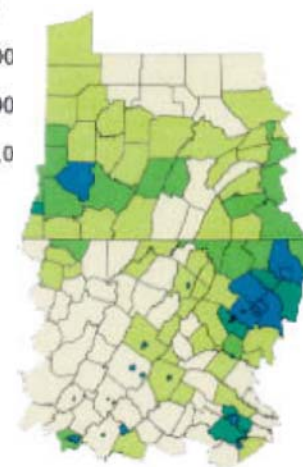
**b. Percent Error**



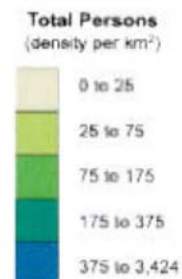
**c. Count Error**



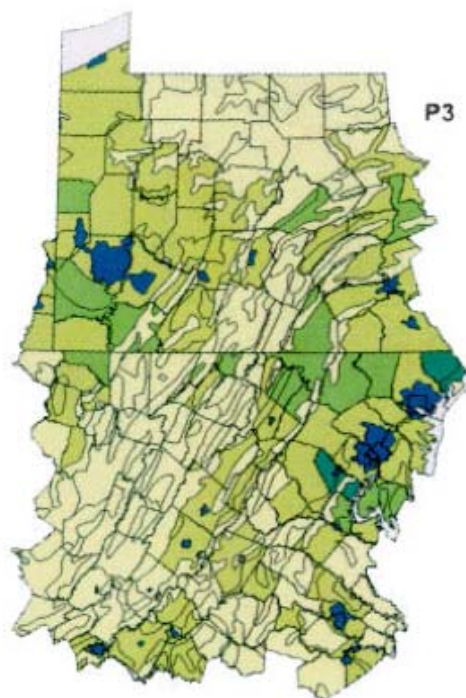
**Figure 5.** Maps for three-class method (P2): a. dasymetric population density map; b. percent error; and c. count error in number of persons.



**a. PERSONS**

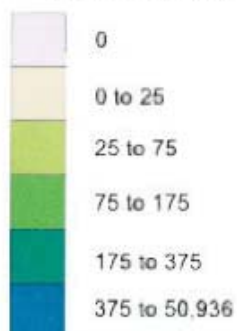




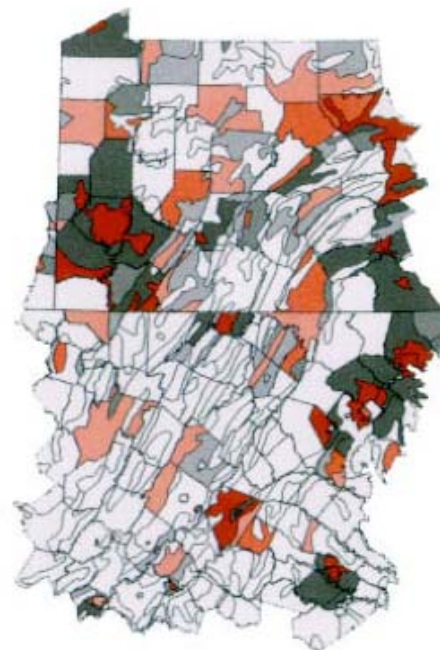
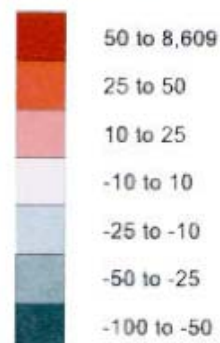


P3

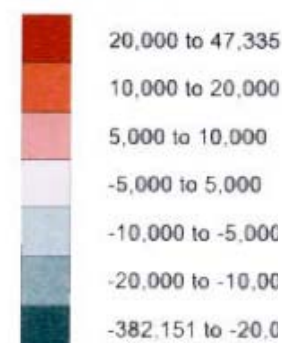
**a. Population Density**  
(persons per km<sup>2</sup>)



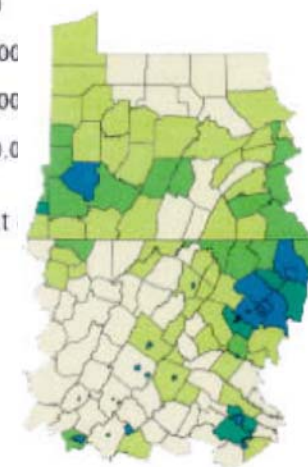
**b. Percent Error**



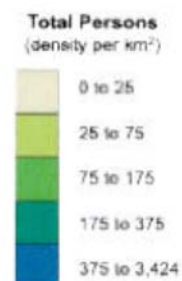
**c. Count Error**



**Figure 6.** Maps for traditional limiting variable method (P3): a. dasymetric population density map; b. percent count error in number of persons.

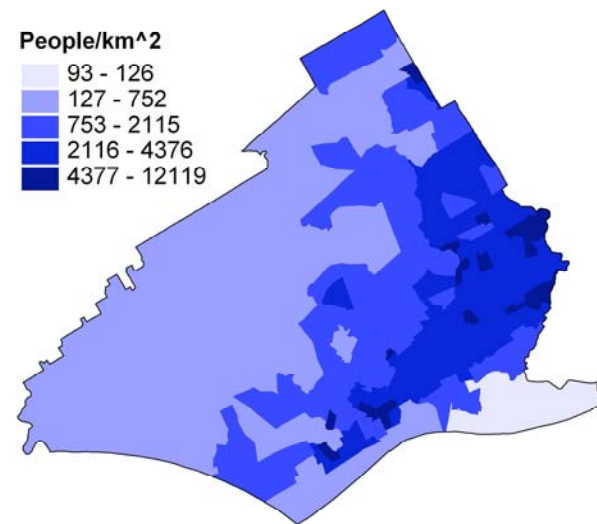
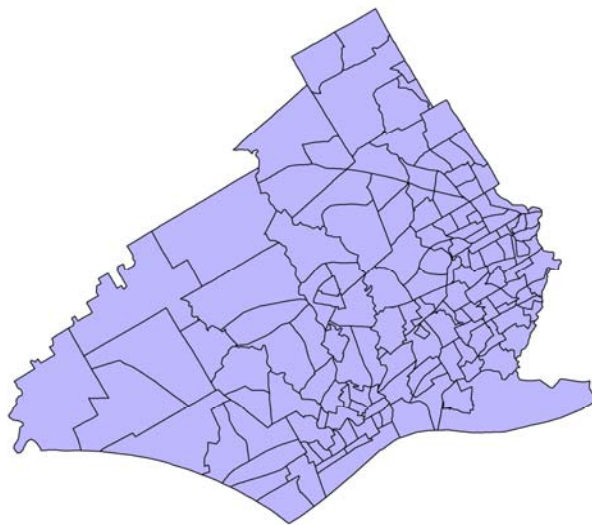


**a. PERSONS**



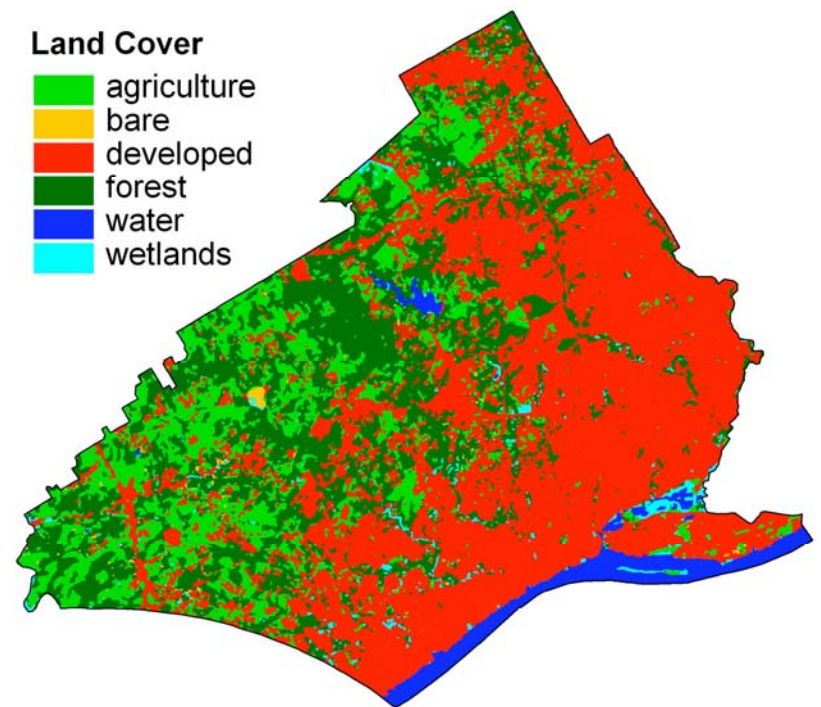
# An Example - Population Data

- From Mennis (2003)
- Population data for Delaware County, PA, are dasymetrically mapped using RS land cover data
- Census tracts data (2000): 148 tracts with different population densities given



# Ancillary Data

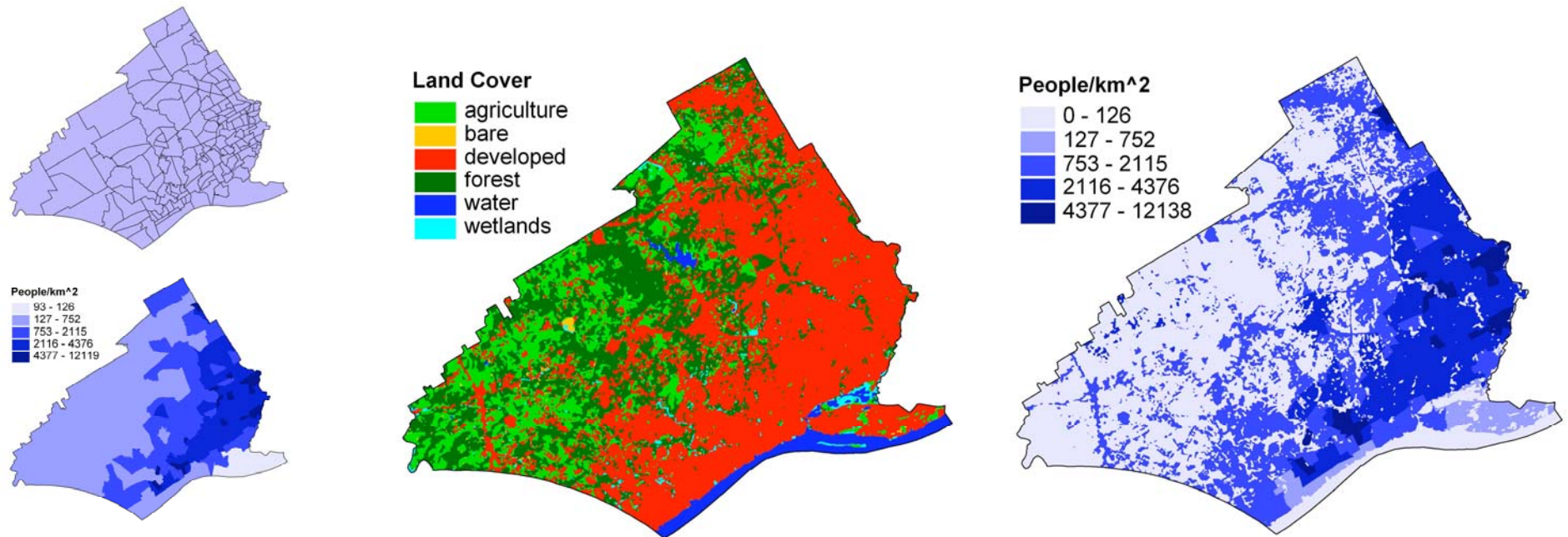
- Land cover data (NLCD, 2001) converted to a smoothed vector data layer (polygons)
- Max population densities for each of the land cover classes defined...
- Three-class method of Eicher & Brewer 2001, but improved due to weaknesses
- (1) empirical sampling to determine appropriate %-assignment values of population for each land use class (subjectivity reduced)
- (2) area-based weighting to address area fractions of ancillary data (land useclasses) within each unit



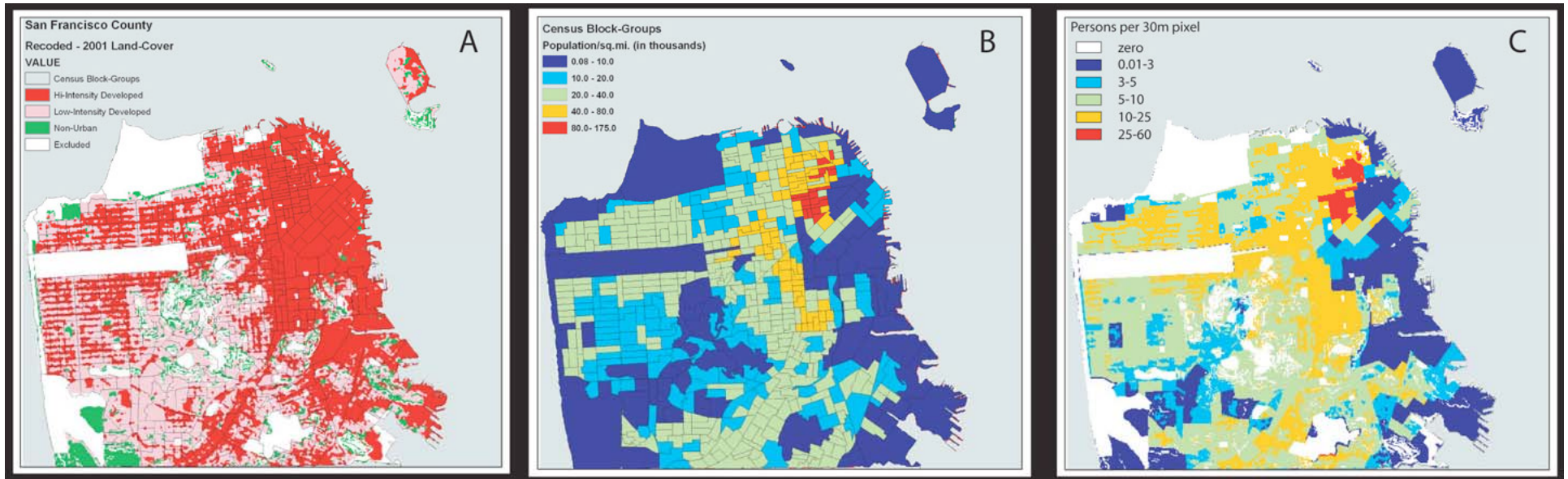


# The Dasymetric Map

- New vector data layer (polygons) showing population densities in relationship to varying proportions in developed regions and undeveloped regions (forest, agricultural) by implying sets of rules



# Another Example



**(A)** Land-Cover re-code from a collection of input sources (land-cover, slope, open space); **(B)** Block-group population density; **(C)** Dasymetric map output after interpolation

# Summary I

- **Dasymetric mapping** as one interesting alternative to improve estimations of our mapped variable (often population or density measures)
- **Refining** the **original** spatial unit (**enumeration** unit) using **ancillary data** (**mapping unit**) and the **relationships** between these variables
- Using **categorical** data as **ancillary data** to improve **ratio-scaled** distributions of a variable
- **Limiting** and **related** variables
- Different **methods**...



# Summary II

- Disaggregating of aggregated information by using additional information we have based on ancillary data
- If more detail is available about our area/variable of interest - how can we use this information to improve the spatial distribution of the variable of interest

# References

- Eicher, C.L. and Brewer, C.A., 2001. Dasymetric mapping and areal interpolation: implementation and evaluation. *Cartography and Geographic Information Science*, 28(2): 125-138.
- Holloway, S. R., Schumacher, J., and Redmond, R. L. 1997. *Dasymetric Mapping Using Arc/Info. Cartographic Design Using ArcView and ARC/INFO*. High Mountain Press, NM.
- Mennis, J. and Hultgren, T., 2006. Intelligent dasymetric mapping and its application to areal interpolation. *Cartography and Geographic Information Science*, 33(3): 179-194.
- Mennis, J., 2003. Generating surface models of population using dasymetric mapping. *The Professional Geographer*, 55(1): 31-42.
- Wright, J. K. 1936. A method of mapping densities of population. *The Geographical Review* 26: 103-110.