

Figure 1: Symmetries of  $C_{3v}$  (triangle symmetries): three mirror planes  $\sigma$ , and rotation  $C_3$  by  $120^\circ$ .

## 18.369 Problem Set 5 Solutions

### Problem 3: A triangular metal box (5+5+5+5+5pts)

Consider the two-dimensional solutions in a *triangular* perfect-metal box with side  $L$ .

- (a) The different symmetry operations in the space group of a triangle are shown in Figure 1 : three mirror planes  $\sigma$ , and counter-clockwise rotation  $C_3$  by  $120^\circ$  (and also  $C_3^{-1}$ , clockwise rotation), and of course the identity  $E$ . There are three conjugacy classes:  $\{E\}$ ,  $\{\sigma_1, \sigma_2, \sigma_3\}$ , and  $\{C_3, C_3^{-1}\}$ . This is because  $\sigma_3 = C_3^{-1} \sigma_1 C_3$ ,  $\sigma_2 = C_3 \sigma_1 C_3^{-1}$ , and  $C_3^{-1} = \sigma_1 C_3 \sigma_1$ . The multiplication table of the group is:

$\circ$	$E$	$C_3$	$C_3^{-1}$	$\sigma_1$	$\sigma_2$	$\sigma_3$
$E$	$E$	$C_3$	$C_3^{-1}$	$\sigma_1$	$\sigma_2$	$\sigma_3$
$C_3$	$C_3$	$C_3^{-1}$	$E$	$\sigma_3$	$\sigma_1$	$\sigma_2$
$C_3^{-1}$	$C_3^{-1}$	$E$	$C_3$	$\sigma_2$	$\sigma_3$	$\sigma_1$
$\sigma_1$	$\sigma_1$	$\sigma_2$	$\sigma_3$	$E$	$C_3$	$C_3^{-1}$
$\sigma_2$	$\sigma_2$	$\sigma_3$	$\sigma_1$	$C_3^{-1}$	$E$	$C_3$
$\sigma_3$	$\sigma_3$	$\sigma_1$	$\sigma_2$	$C_3$	$C_3^{-1}$	$E$

- (b) The character table of  $C_{3v}$  must have only three representations since there are three classes, and the sum of the squares of the dimensions must equal 6 (the number of elements in the group). From this, the only possibility is for the representations to have dimensions 1, 1, and 2 (this gives the first column of the table). The first row must be the trivial representation, and by applying the orthogonality relations we get the other two rows:

	$E$	$2C_3$	$3\sigma$
$\Gamma_1$	1	1	1
$\Gamma_2$	1	1	-1
$\Gamma_3$	2	-1	0

where  $\Gamma_{1...3}$  are traditional names for these three representations.

- (c) For  $\Gamma_1$  and  $\Gamma_2$ , the representations are one-dimensional and are therefor simply numbers equal to the characters in the character table ( $\pm 1$ , from above). For  $\Gamma_3$ , we must first construct partner functions. Let's guess  $f(\mathbf{x}) = x$ . If we then operate the different group elements on this, recalling the coordinates rotated counter-clockwise by an angle  $\theta$  are multiplied by the  $2 \times 2$  matrix  $\begin{pmatrix} \cos \theta & -\sin \theta \\ \sin \theta & \cos \theta \end{pmatrix}$ , we

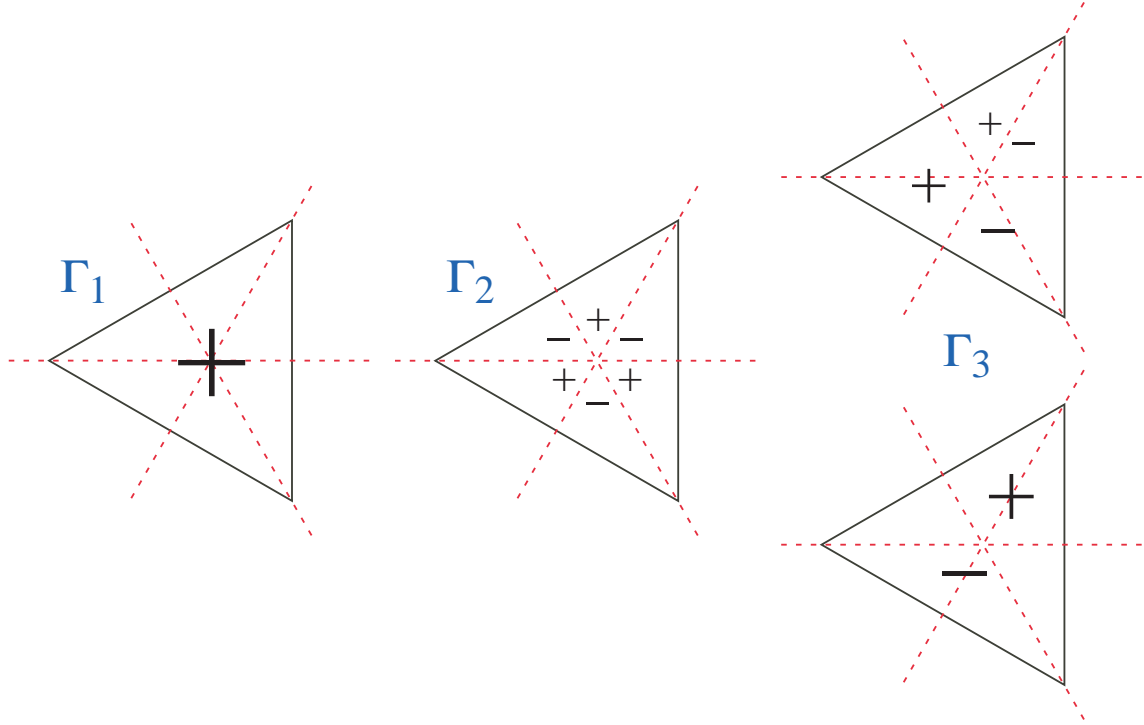


Figure 2: Sketch of possible  $E_z$  field patterns (for the lowest- $\omega$  modes of each symmetry) in the triangular cavity corresponding to the three representations. Note that a  $\Gamma_3$  mode must be doubly degenerate with two field patterns roughly as shown.

get:

$E$	$C_3$	$C_3^{-1}$	$\sigma_1$	$\sigma_2$	$\sigma_3$
$x$	$(-x + y\sqrt{3})/2$	$(-x - y\sqrt{3})/2$	$(-x - y\sqrt{3})/2$	$(-x + y\sqrt{3})/2$	$x$

and therefore if we operate the  $\Gamma_3$  projection operator  $\hat{P}^{(3)} = \frac{2}{6}(2\hat{O}_E - \hat{O}_{C_3} - \hat{O}_{C_3^{-1}})$  on  $f(\mathbf{x}) = x$  we get simply  $x$ —thus, the function  $x$  must itself be a partner function for  $\Gamma_3$  and no other representation. Moreover, the operation of the space group on  $x$  is clearly spanned by the orthogonal functions  $x$  and  $y$ , and so we must have a unitary representation given simply by the  $2 \times 2$  rotation matrices that transform the functions  $\{x, y\}$ :

$E$	$C_3$	$C_3^{-1}$	$\sigma_1$	$\sigma_2$	$\sigma_3$
$\begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$	$\frac{1}{2} \begin{pmatrix} -1 & \sqrt{3} \\ -\sqrt{3} & -1 \end{pmatrix}$	$\frac{1}{2} \begin{pmatrix} -1 & -\sqrt{3} \\ \sqrt{3} & -1 \end{pmatrix}$	$\frac{1}{2} \begin{pmatrix} -1 & \sqrt{3} \\ \sqrt{3} & 1 \end{pmatrix}$	$\frac{1}{2} \begin{pmatrix} -1 & -\sqrt{3} \\ -\sqrt{3} & 1 \end{pmatrix}$	$\begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$

where to get  $\sigma_1$  and  $\sigma_2$  we used  $\sigma_1 = \sigma_3 C_3$  and  $\sigma_2 = \sigma_3 C_3^{-1}$  from the multiplication table above. The unitarity of these matrices follows immediately from the fact that they come from the  $2 \times 2$  rotation matrices, and can be easily verified in any case. Their traces clearly match those in the character table.

Note that the  $2 \times 2$  (in 2d) or  $3 \times 3$  (in 3d) rotation matrices always form a representation, but in some groups these matrices are reducible, and in other groups there are multiple 2d irreps and the rotation matrices only give you one of these.

- (d)  $E_z$  field patterns that transform as these representations are very crudely sketched in Figure 2, where  $+$  and  $-$  denote maxima and minima of the field. Note that because  $\mathbf{E}$  is a vector, the component  $E_z$  transforms as an ordinary scalar in the  $xy$  plane, and “even” and “odd” fields are what we expect; note also that the boundary conditions require  $E_z$  to go to zero at the edges of the triangle, so all extrema must lie in the interior. The  $\Gamma_3$  mode must be doubly degenerate, of course, and can be chosen so

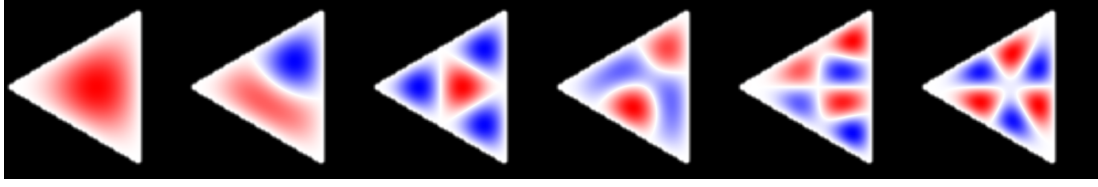


Figure 3:  $E_z$  field plots of first few lowest- $\omega$  modes in a triangular air cavity surrounded by metal (black) with side  $L$ , from a numerical calculation. The corresponding frequencies  $\omega L/2\pi c$  (and representations) are, from left to right: 1.16 ( $\Gamma_1$ ), 1.78 ( $\Gamma_3$ ), 2.32 ( $\Gamma_1$ ), 2.60 ( $\Gamma_3$ ), 2.93 ( $\Gamma_3$ ), and 3.08 ( $\Gamma_2$ ). Note that the second, fourth, and fifth modes (from left) are doubly degenerate (their degenerate partner is not shown, but can be found by subtracting  $120^\circ$  and  $-120^\circ$  rotations of the field). (Slight asymmetries in the  $\Gamma_2$  state are due to the finite computational grid resolution.)

that one mode is even with respect to a *single* one of the mirror planes and the other mode is odd with respect to that mirror plane, as shown. (For example, in our  $\{x, y\}$  representation matrices above, this even/odd plane was  $\sigma_3$ , although of course the modes could be rotated to be even/odd around any of the three  $\sigma$ 's.) From the representation matrices, we can see that one degenerate partner may be found by operating  $(\hat{O}_{C_3} - \hat{O}_{C_3^{-1}})/\sqrt{3}$  on the other—i.e., by the difference of its  $120^\circ$  and  $-120^\circ$  rotations (this will give an *orthogonal* mode because it will have opposite even-odd symmetry under one of the mirror planes). The lowest-order  $E_z$  mode should be  $\Gamma_1$  (fewest nodes  $\rightarrow$  smallest  $\nabla^2$  term in eigenproblem). A less crude sketch would be to show contours of the field as in class, but in lieu of that I opted to show you an exact numerical calculation of the first few eigenmodes of this cavity, in Figure. 3, which illustrates all three representations (note that the lowest  $\omega$  mode of each representation looks much like our “guess”). The lowest frequency mode of  $E_z$  transforms like  $\Gamma_1$  because that has the fewest oscillations (only a single extremum).

The  $H_z$  field sketches, in Figure 4, are somewhat different, for two reasons. First,  $\mathbf{H}$  is a pseudovector, so  $H_z$  transforms as a pseudo-scalar and our normal conceptions of “even” and “odd” are reversed. Second, because of the boundary conditions the extrema of  $H_z$  tend to occur on the boundaries of the triangle, at least for the low- $\omega$  modes. So, for example, now the  $\Gamma_1$  mode looks *odd* with respect to all of the mirror planes, and will only appear for higher- $\omega$  modes. The  $\Gamma_2$  mode is the one that looks most symmetric, but because the extrema lie on the boundaries there will tend to be a minima in the center of the triangle for the lowest- $\omega$  mode. The lowest-order  $H_z$  mode should be  $\Gamma_3$ , since it has the fewest nodal planes. The corresponding numerical calculations are shown in Figure 5. Here, the least-oscillatory (lowest- $\omega$ ) mode corresponds to  $\Gamma_3$ , with only two extrema. Note that the numerical  $\Gamma_1$  mode is higher-order (each of our sketched extrema is split into two) than our cartoon (I couldn't find any lower-order  $\Gamma_1$  modes, and I'm not quite sure why...).

- (e) We want an operation on one partner function that gives us the other (with some sign/coefficient), i.e. which corresponds to a matrix of the form  $\begin{pmatrix} 0 & \pm 1 \\ \pm 1 & 0 \end{pmatrix}$ . By inspection of the  $\Gamma_3$  representation matrices from (c), there are several ways to get a matrix of this form. For example,  $(E + 2C_3)/\sqrt{3}$  or (more symmetrically)  $(C_3 - C_3^{-1})/\sqrt{3}$ , or  $(\sigma_1 - \sigma_2)/\sqrt{3} = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ . That means, if we have a solution  $\psi$  corresponding to one of the degenerate partner functions of  $\Gamma_3$ , then, for example,  $(\hat{\sigma}_1 - \hat{\sigma}_2)\psi/\sqrt{3}$  gives us the other orthogonal partner function.

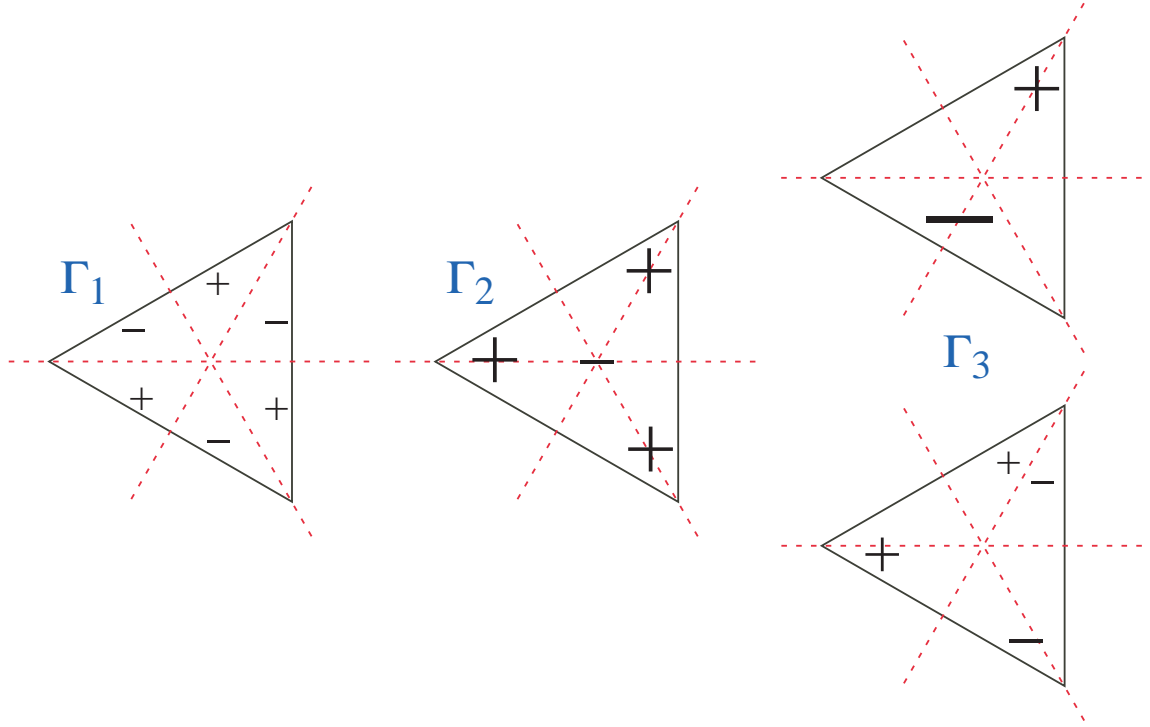


Figure 4: Sketch of possible  $H_z$  field patterns (for low- $\omega$  modes) in the triangular cavity corresponding to the three representations. Note that a  $\Gamma_3$  mode must be doubly degenerate with two field patterns roughly as shown.

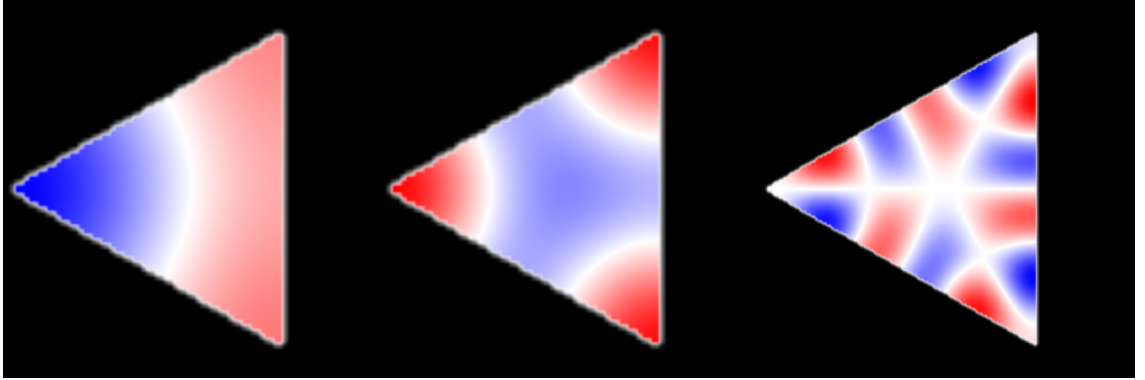


Figure 5:  $H_z$  field plots of the lowest- $\omega$  mode for each irreducible representation of  $C_{3v}$  in a triangular air cavity surrounded by metal (black) with side  $L$ , from a numerical calculation. The corresponding frequencies  $\omega L/2\pi c$  (and representations) are, from left to right: 0.66 ( $\Gamma_3$ ), 1.14 ( $\Gamma_2$ ), and 3.01 ( $\Gamma_1$ ). Note that the leftmost mode is doubly degenerate (its degenerate partner is not shown, but can be found by subtracting  $120^\circ$  and  $-120^\circ$  rotations of the field). (Slight asymmetries in the  $\Gamma_1$  state are due to the finite computational grid resolution.) There are 8 modes (not shown) with frequencies between 0.66 and 1.74.