



# TORCWA User Guide

Version 0.1.0

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- Features and Installation
- Simulation
  - Example: Simulation with rectangular meta-atom
    - Normal incidence / Parametric sweep on wavelength / View electromagnetic field
- Optimizations
  - Example: Topology optimization
    - Gradient calculation / Maximize 1st order diffraction
- Advanced options
- Other information

- TORCWA
  - **torch** + **rcwa**
  - PyTorch implementation of rigorous coupled-wave analysis
  - GPU-accelerated simulation
  - Supporting automatic differentiation for optimization
- Units: Lorentz-Heaviside
  - Speed of light: 1
  - Permittivity and permeability of vacuum: both 1
- Notation:  $\exp(-j\omega t)$

- Requirements
  - Python version 3.8 or higher
  - PyTorch version 1.10.1 or higher
  - For GPU operation, GPUs that support CUDA operations
- After installing the above requirement, run the following command at the command prompt.  
\$ pip install torcwa
- If the PyTorch version is lower than the required, it will automatically install PyTorch 1.10.1 or higher, but the CPU-only PyTorch or incompatible version may be installed.
- Therefore, **before installing using the above command, please install PyTorch version that is compatible with GPU.**

# Example list



- [Example 0](#): Fresnel equation
- [Example 1](#): **Simulation with rectangular meta-atom**  
Normal incidence / Parametric sweep on wavelength / View electromagnetic field
- [Example 2](#): Simulation with square meta-atom  
Oblique incidence / View electromagnetic field
- [Example 3](#): Simulation with rectangular meta-atom  
Normal incidence / Parametric sweep on geometric parameters
- [Example 4](#): Gradient calculation of cylindrical meta-atom  
Differentiation of transmittance with respect to radius
- [Example 5](#): Shape optimization  
Maximize anisotropy
- [Example 6](#): **Topology optimization**  
Maximize 1st order diffraction

- 1. Define simulation parameters

```
1 # Import
2 import numpy as np
3 import torch
4 from matplotlib import pyplot as plt
5 import scipy.io
6
7 import torcwa
8 import Materials
9
10 # Hardware
11 # If GPU support TF32 tensor core, the matmul operation is faster than FP32 but with less precision.
12 # If you need accurate operation, you have to disable the flag below.
13 torch.backends.cuda.matmul.allow_tf32 = False
14 sim_dtype = torch.complex64
15 geo_dtype = torch.float32
16 device = torch.device('cuda')
```

- ❖ Only PyTorch is required to run the simulation, but other **additional libraries are required for data plotting and saving**. (Here, matplotlib and SciPy are utilized.)
- ❖ ‘torch.backends.cuda.matmul.allow\_tf32’
  - **RTX 3090 or later models** support TF32 core operation for matrix multiplication. This is faster than the conventional computation with less accuracy. It is **recommended to set to False for accurate operation**.

- 1. Define simulation parameters

```
1  # Import
2  import numpy as np
3  import torch
4  from matplotlib import pyplot as plt
5  import scipy.io
6
7  import torcwa
8  import Materials
9
10 # Hardware
11 # If GPU support TF32 tensor core, the matmul operation is faster than FP32 but with less precision.
12 # If you need accurate operation, you have to disable the flag below.
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14 sim_dtype = torch.complex64
15 geo_dtype = torch.float32
16 device = torch.device('cuda')
```

- ❖ ‘sim\_dtype’

- This is a data type that requires **complex number operation** and is used when declaring simulation.

- ❖ ‘geo\_dtype’

- This is a data type that requires **real number operation** and is used when declaring geometric parameters, wavelength, and incident angles.

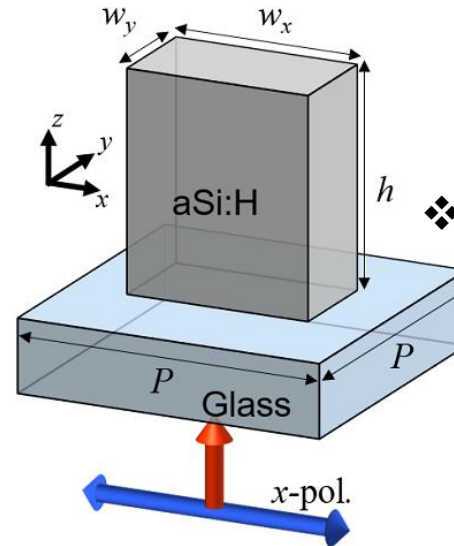
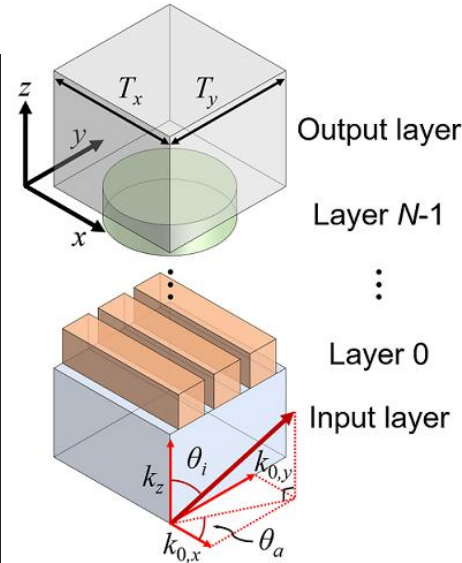
- ❖ ‘device’

- Choose ‘cpu’ (CPU operation) or ‘cuda’ (GPU operation).

## • 1. Define simulation parameters

```

18 # Simulation environment
19 # light
20 inc_ang = 0.*(np.pi/180) # radian
21 azi_ang = 0.*(np.pi/180) # radian
22
23 # material
24 substrate_eps = 1.46**2
25
26 # geometry
27 L = [300., 300.] # nm / nm
28 torcwa.rcwa_geo.Lx = L[0]
29 torcwa.rcwa_geo.Ly = L[1]
30 torcwa.rcwa_geo.nx = 300
31 torcwa.rcwa_geo.ny = 300
32 torcwa.rcwa_geo.grid()
33 torcwa.rcwa_geo.edge_sharpness = 1000.
34 torcwa.rcwa_geo.dtype = geo_dtype
35 torcwa.rcwa_geo.device = device
36 z = torch.linspace(-500,1500,501,device=device)
37
38 x_axis = torcwa.rcwa_geo.x.cpu()
39 y_axis = torcwa.rcwa_geo.y.cpu()
40 z_axis = z.cpu()
41
42 # layers
43 layer0_geometry = torcwa.rcwa_geo.rectangle(Wx=180.,Wy=100.,Cx=L[0]/2.,Cy=L[1]/2.)
44 layer0_thickness = 300.
    
```



### ❖ Variables

- inc\_ang: incident angle ( $\theta_i$  in above image)
- azi\_ang: azimuthal angle of incidence ( $\theta_a$  in above image)
- substrate\_eps: permittivity of substrate
- L: Lattice constant ( $[T_x, T_y]$  in above image)
- layer0\_geometry: rectangle with  $W_x = 180$ ,  $W_y = 100$
- layer0\_thickness: height of structure ( $h$  in above image)



- 1. Define simulation parameters

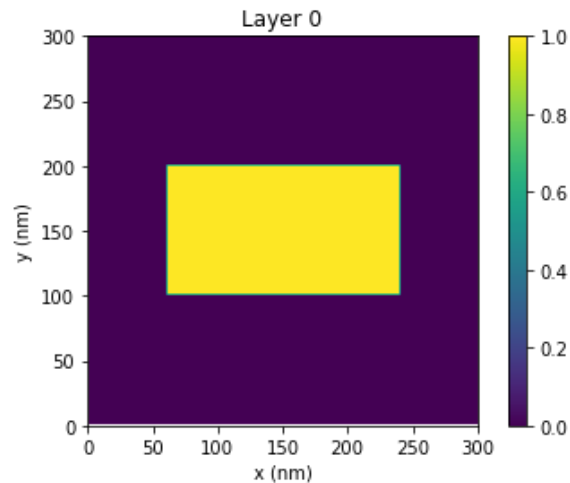
```
18 # Simulation environment
19 # light
20 inc_ang = 0.*(np.pi/180) # radian
21 azi_ang = 0.*(np.pi/180) # radian
22
23 # material
24 substrate_eps = 1.46**2
25
26 # geometry
27 L = [300., 300.] # nm / nm
28 torcwa.rcwa_geo.Lx = L[0]
29 torcwa.rcwa_geo.Ly = L[1]
30 torcwa.rcwa_geo.nx = 300
31 torcwa.rcwa_geo.ny = 300
32 torcwa.rcwa_geo.grid()
33 torcwa.rcwa_geo.edge_sharpness = 1000.
34 torcwa.rcwa_geo.dtype = geo_dtype
35 torcwa.rcwa_geo.device = device
36 z = torch.linspace(-500,1500,501,device=device)
37
38 x_axis = torcwa.rcwa_geo.x.cpu()
39 y_axis = torcwa.rcwa_geo.y.cpu()
40 z_axis = z.cpu()
41
42 # layers
43 layer0_geometry = torcwa.rcwa_geo.rectangle(Wx=180.,Wy=100.,Cx=L[0]/2.,Cy=L[1]/2.)
44 layer0_thickness = 300.
```

❖ ‘torcwa.rcwa\_geo’

- If the lattice constant (L) and sampling number (n) are specified, basic geometry such as rectangle and circle and functions such as union and intersection can be used.
- The generated geometry is expressed as 1 or 0 on the grid.
- The edge sharpness of the geometry also can be specified. The higher this value, the sharper the edge.

- 2. View internal layer geometry

```
1 # View layers
2 plt.imshow(torch.transpose(layer0_geometry, -2, -1).cpu(), origin='lower', extent=[x_axis[0], x_axis[-1], y_axis[0], y_axis[-1]])
3 plt.title('Layer 0')
4 plt.xlim([0, L[0]])
5 plt.xlabel('x (nm)')
6 plt.ylim([0, L[1]])
7 plt.ylabel('y (nm)')
8 plt.colorbar()
```



- ❖ View with matplotlib
- ❖ Other plotting library can be utilized.

- 3. Generate and perform simulation (**Only get S-paramters** without electromagnetic field)

```
1 # Generate and perform simulation
2 order_N = 15
3 order = [order_N, order_N]
4 lamb0 = torch.linspace(400., 700., 61, dtype=geo_dtype, device=device)
5
6 txx = []
7 for lamb0_ind in range(len(lamb0)):
8     lamb0_now = lamb0[lamb0_ind]
9     sim = torcwa.rcwa(freq=1/lamb0_now, order=order, L=L, dtype=sim_dtype, device=device)
10    sim.add_input_layer(eps=substrate_eps)
11    sim.set_incident_angle(inc_ang=inc_ang, azi_ang=azi_ang)
12    silicon_eps = Materials.aSiH.apply(lamb0_now)**2
13    layer0_eps = layer0_geometry*silicon_eps + (1.-layer0_geometry)
14    sim.add_layer(thickness=layer0_thickness, eps=layer0_eps)
15    sim.solve_global_smatrix()
16    txx.append(sim.S_parameters(orders=[0,0], direction='forward', port='transmission', polarization='xx', ref_order=[0,0]))
17 txx = torch.cat(txx)
```

## ❖ Variables

- order: truncated Fourier order [x-direction, y-direction]
- lamb0: wavelength for parametric sweep

- 3. Generate and perform simulation (**Only get S-paramters** without electromagnetic field)

```
1 # Generate and perform simulation
2 order_N = 15
3 order = [order_N, order_N]
4 lamb0 = torch.linspace(400., 700., 61, dtype=geo_dtype, device=device)
5
6 txx = []
7 for lamb0_ind in range(len(lamb0)):
8     lamb0_now = lamb0[lamb0_ind]
9     sim = torcwa.rcwa(freq=1/lamb0_now, order=order, L=L, dtype=sim_dtype, device=device)
10    sim.add_input_layer(eps=substrate_eps)
11    sim.set_incident_angle(inc_ang=inc_ang, azi_ang=azi_ang)
12    silicon_eps = Materials.aSiH.apply(lamb0_now)**2
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14    sim.add_layer(thickness=layer0_thickness, eps=layer0_eps)
15    sim.solve_global_smatrix()
16    txx.append(sim.S_parameters(orders=[0,0], direction='forward', port='transmission', polarization='xx', ref_order=[0,0]))
17 txx = torch.cat(txx)
```

## ❖ Sequence – 1. Declare simulation

- freq: Frequency
- order: Truncated Fourier order
- L: Lattice constant
- dtype: Simulation data type
- device: Simulation device

## ❖ Sequence – 2. Add input and output layer (This step can be skipped if both layers are free space)

- eps, mu

- 3. Generate and perform simulation (**Only get S-paramters** without electromagnetic field)

```
1 # Generate and perform simulation
2 order_N = 15
3 order = [order_N, order_N]
4 lamb0 = torch.linspace(400., 700., 61, dtype=geo_dtype, device=device)
5
6 txx = []
7 for lamb0_ind in range(len(lamb0)):
8     lamb0_now = lamb0[lamb0_ind]
9     sim = torcwa.rcwa(freq=1/lamb0_now, order=order, L=L, dtype=sim_dtype, device=device)
10    sim.add_input_layer(eps=substrate_eps)
11    sim.set_incident_angle(inc_ang=inc_ang, azi_ang=azi_ang)
12    silicon_eps = Materials.aSiH.apply(lamb0_now)**2
13    layer0_eps = layer0_geometry*silicon_eps + (1.-layer0_geometry)
14    sim.add_layer(thickness=layer0_thickness, eps=layer0_eps)
15    sim.solve_global_smatrix()
16    txx.append(sim.S_parameters(orders=[0,0], direction='forward', port='transmission', polarization='xx', ref_order=[0,0]))
17 txx = torch.cat(txx)
```

## ❖ Sequence – 3. Set incident angle

- inc\_ang: Incident angle
- azi\_ang: Azimuthal angle of incidence
- angle\_layer: Reference layer to incident and azimuthal angle (default: 'input')

## ❖ Sequence – 4. Add internal layer(s)

- eps, mu (grid / scalar) (default: both 1)

- 3. Generate and perform simulation (**Only get S-paramters** without electromagnetic field)

```
1 # Generate and perform simulation
2 order_N = 15
3 order = [order_N, order_N]
4 lamb0 = torch.linspace(400., 700., 61, dtype=geo_dtype, device=device)
5
6 txx = []
7 for lamb0_ind in range(len(lamb0)):
8     lamb0_now = lamb0[lamb0_ind]
9     sim = torcwa.rcwa(freq=1/lamb0_now, order=order, L=L, dtype=sim_dtype, device=device)
10    sim.add_input_layer(eps=substrate_eps)
11    sim.set_incident_angle(inc_ang=inc_ang, azi_ang=azi_ang)
12    silicon_eps = Materials.aSiH.apply(lamb0_now)**2
13    layer0_eps = layer0_geometry*silicon_eps + (1.-layer0_geometry)
14    sim.add_layer(thickness=layer0_thickness, eps=layer0_eps)
15    sim.solve_global_smatrix()
16    txx.append(sim.S_parameters(orders=[0,0], direction='forward', port='transmission', polarization='xx', ref_order=[0,0]))
17 txx = torch.cat(txx)
```

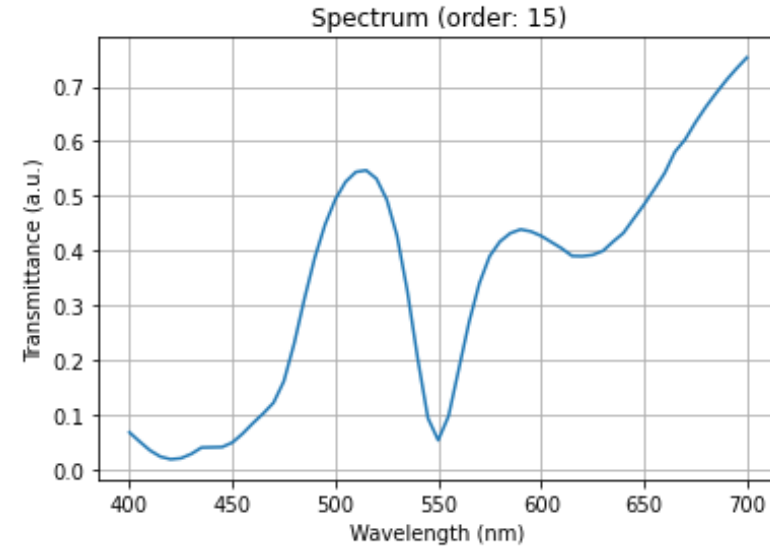
❖ Sequence – 5. Solve global S-matrix

❖ Sequence – 6. Get S-parameter

- orders
- direction (forward/backward)
- port (transmission/reflection)
- polarization (xx/xy/yx/yy)
- ref\_order: Reference order to calculate S-paramters

- 4. View spectrum and export data

```
1 # View spectrum
2 plt.plot(lamb0.cpu(),torch.abs(tx).cpu()**2)
3 plt.title('Spectrum (order: '+str(order_N)+'')
4 plt.xlabel('Wavelength (nm)')
5 plt.ylabel('Transmittance (a.u.)')
6 plt.grid()
```



❖ Saving as .mat file with SciPy.

```
1 # Export spectrum data
2 ex1_data = {'lamb0':lamb0.cpu().numpy(), 'tx':tx.cpu().numpy()}
3 scipy.io.savemat('Example1_spectrum_data_order_'+str(order_N)+'.mat',ex1_data)
```

- 5. Generate and perform simulation (**Get electromagnetic field**)

```
1 # Generate and perform simulation
2 lamb0 = torch.tensor(532., dtype=geo_dtype, device=device) # nm
3
4 order_N = 15
5 order = [order_N, order_N]
6 sim = torcwa.rcwa(freq=1/lamb0, order=order, L=L, dtype=sim_dtype, device=device)
7 sim.add_input_layer(eps=substrate_eps)
8 sim.set_incident_angle(inc_ang=inc_ang, azi_ang=azi_ang)
9 silicon_eps = Materials.aSiH.apply(lamb0)**2
10 layer0_eps = layer0_geometry*silicon_eps + (1.-layer0_geometry)
11 sim.add_layer(thickness=layer0_thickness, eps=layer0_eps)
12 sim.solve_global_smatrix()
13 sim.source_planewave(amplitude=[1., 0.], direction='forward')
```

```
1 # View XZ-plane fields and export
2 [Ex, Ey, Ez], [Hx, Hy, Hz] = sim.field_xz(torcwa.rcwa_geo.x, z, L[1]/2)
3 Enorm = torch.sqrt(torch.abs(Ex)**2 + torch.abs(Ey)**2 + torch.abs(Ez)**2)
4 Hnorm = torch.sqrt(torch.abs(Hx)**2 + torch.abs(Hy)**2 + torch.abs(Hz)**2)
```

- ❖ Sequence – 7. Set light source

- amplitude
- direction (forward/backward)
- source\_planewave
- source\_fourier is also possible.

- ❖ Sequence – 8. Get electromagnetic field

- $x$ ,  $y$ ,  $z$  axis or point

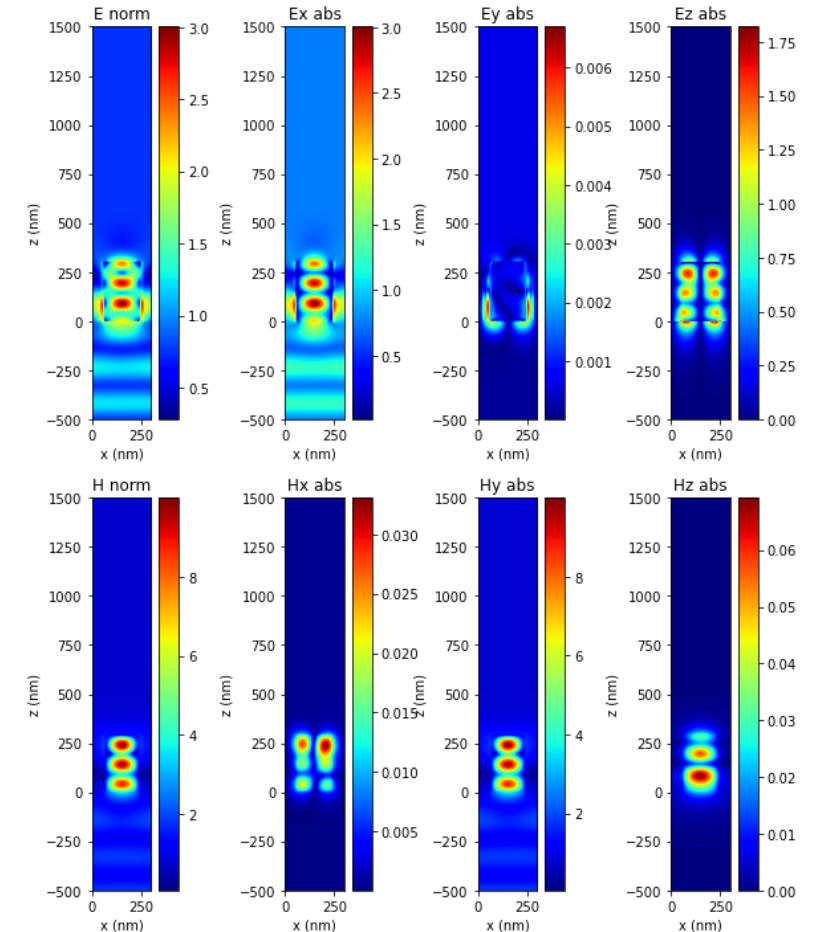


- 6. View electromagnetic field and export data

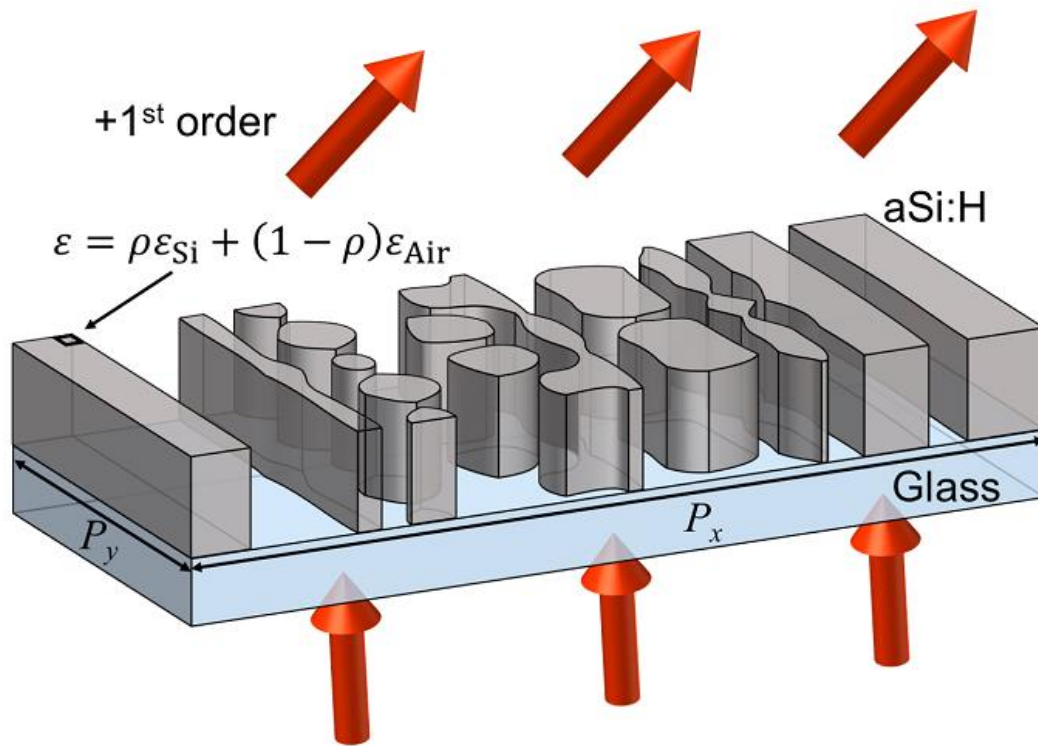
```

1 # View XZ-plane fields and export
2 [Ex, Ey, Ez], [Hx, Hy, Hz] = sim.field_xz(torcwa.rcwa_geo.x,z,L[1]/2)
3 Enorm = torch.sqrt(torch.abs(Ex)**2 + torch.abs(Ey)**2 + torch.abs(Ez)**2)
4 Hnorm = torch.sqrt(torch.abs(Hx)**2 + torch.abs(Hy)**2 + torch.abs(Hz)**2)
5
6 fig, axes = plt.subplots(figsize=(10,12),nrows=2,ncols=4)
7 im0 = axes[0,0].imshow(torch.transpose(Enorm,-2,-1).cpu(),cmap='jet',origin='lower',extent=[x_axis[0],x_axis[-1],z_axis[0],z_axis[-1]])
8 axes[0,0].set(title='E norm',xlim=(0,L[0]),xlabel='x (nm)',ylim=(z_axis[0],z_axis[-1]),ylabel='z (nm)')
9 im1 = axes[0,1].imshow(torch.transpose(torch.abs(Ex),-2,-1).cpu(),cmap='jet',origin='lower',extent=[x_axis[0],x_axis[-1],z_axis[0],z_axis[-1]])
10 axes[0,1].set(title='Ex abs',xlim=(0,L[0]),xlabel='x (nm)',ylim=(z_axis[0],z_axis[-1]),ylabel='z (nm)')
11 im2 = axes[0,2].imshow(torch.transpose(torch.abs(Ey),-2,-1).cpu(),cmap='jet',origin='lower',extent=[x_axis[0],x_axis[-1],z_axis[0],z_axis[-1]])
12 axes[0,2].set(title='Ey abs',xlim=(0,L[0]),xlabel='x (nm)',ylim=(z_axis[0],z_axis[-1]),ylabel='z (nm)')
13 im3 = axes[0,3].imshow(torch.transpose(torch.abs(Ez),-2,-1).cpu(),cmap='jet',origin='lower',extent=[x_axis[0],x_axis[-1],z_axis[0],z_axis[-1]])
14 axes[0,3].set(title='Ez abs',xlim=(0,L[0]),xlabel='x (nm)',ylim=(z_axis[0],z_axis[-1]),ylabel='z (nm)')
15 im4 = axes[1,0].imshow(torch.transpose(Hnorm,-2,-1).cpu(),cmap='jet',origin='lower',extent=[x_axis[0],x_axis[-1],z_axis[0],z_axis[-1]])
16 axes[1,0].set(title='H norm',xlim=(0,L[0]),xlabel='x (nm)',ylim=(z_axis[0],z_axis[-1]),ylabel='z (nm)')
17 im5 = axes[1,1].imshow(torch.transpose(torch.abs(Hx),-2,-1).cpu(),cmap='jet',origin='lower',extent=[x_axis[0],x_axis[-1],z_axis[0],z_axis[-1]])
18 axes[1,1].set(title='Hx abs',xlim=(0,L[0]),xlabel='x (nm)',ylim=(z_axis[0],z_axis[-1]),ylabel='z (nm)')
19 im6 = axes[1,2].imshow(torch.transpose(torch.abs(Hy),-2,-1).cpu(),cmap='jet',origin='lower',extent=[x_axis[0],x_axis[-1],z_axis[0],z_axis[-1]])
20 axes[1,2].set(title='Hy abs',xlim=(0,L[0]),xlabel='x (nm)',ylim=(z_axis[0],z_axis[-1]),ylabel='z (nm)')
21 im7 = axes[1,3].imshow(torch.transpose(torch.abs(Hz),-2,-1).cpu(),cmap='jet',origin='lower',extent=[x_axis[0],x_axis[-1],z_axis[0],z_axis[-1]])
22 axes[1,3].set(title='Hz abs',xlim=(0,L[0]),xlabel='x (nm)',ylim=(z_axis[0],z_axis[-1]),ylabel='z (nm)')
23 fig.colorbar(im0,ax=axes[0,0])
24 fig.colorbar(im1,ax=axes[0,1])
25 fig.colorbar(im2,ax=axes[0,2])
26 fig.colorbar(im3,ax=axes[0,3])
27 fig.colorbar(im4,ax=axes[1,0])
28 fig.colorbar(im5,ax=axes[1,1])
29 fig.colorbar(im6,ax=axes[1,2])
30 fig.colorbar(im7,ax=axes[1,3])
31
32 ex1_XZ_data = {'x_axis':x_axis.numpy(),'y_axis':y_axis.numpy(),'z_axis':z_axis.numpy(),\
33               'Ex':Ex.cpu().numpy(), 'Ey':Ey.cpu().numpy(), 'Ez':Ez.cpu().numpy(), 'Enorm':Enorm.cpu().numpy(),\
34               'Hx':Hx.cpu().numpy(), 'Hy':Hy.cpu().numpy(), 'Hz':Hz.cpu().numpy(), 'Hnorm':Hnorm.cpu().numpy()}
35 scipy.io.savemat('Example1_XZ_data.mat',ex1_XZ_data)

```



- 1. Define simulation parameters



```
16 # Simulation environment
17 # light
18 lamb0 = torch.tensor(532., dtype=geo_dtype, device=device) # nm
19 inc_ang = 0.*(np.pi/180) # radian
20 azi_ang = 0.*(np.pi/180) # radian
21
22 # material
23 substrate_eps = 1.46**2
24 silicon_eps = Materials.aSiH.apply(lamb0)**2
25
26 # geometry
27 L = [700., 300.] # nm / nm
28 torcwa.rcwa_geo.Lx = L[0]
29 torcwa.rcwa_geo.Ly = L[1]
30 torcwa.rcwa_geo.nx = 700
31 torcwa.rcwa_geo.ny = 300
32 torcwa.rcwa_geo.grid()
33 torcwa.rcwa_geo.edge_sharpness = 1000.
34 torcwa.rcwa_geo.dtype = geo_dtype
35 torcwa.rcwa_geo.device = device
36
37 x_axis = torcwa.rcwa_geo.x.cpu()
38 y_axis = torcwa.rcwa_geo.y.cpu()
39
40 # layers
41 layer0_thickness = 300.
```

- 2. Define objective function

```
1 # Objective function
2 def objective_function(rho):
3     order = [15,8]
4
5     sim = torcwa.rcwa(freq=1/lamb0,order=order,L=L,dtype=sim_dtype,device=device)
6     sim.add_input_layer(eps=substrate_eps)
7     sim.set_incident_angle(inc_ang=inc_ang,azi_ang=azi_ang)
8     layer0_eps = rho*silicon_eps + (1.-rho)
9     sim.add_layer(thickness=layer0_thickness,eps=layer0_eps)
10    sim.solve_global_smatrix()
11    t1xx = sim.S_parameters(orders=[1,0],direction='forward',port='transmission',polarization='xx',ref_order=[0,0])
12    t1yy = sim.S_parameters(orders=[1,0],direction='forward',port='transmission',polarization='yy',ref_order=[0,0])
13    t1xy = sim.S_parameters(orders=[1,0],direction='forward',port='transmission',polarization='xy',ref_order=[0,0])
14    t1yx = sim.S_parameters(orders=[1,0],direction='forward',port='transmission',polarization='yx',ref_order=[0,0])
15
16    T1_sum = torch.abs(t1xx)**2 + torch.abs(t1yy)**2 + torch.abs(t1xy)**2 + torch.abs(t1yx)**2
17    return T1_sum
```

❖ Objective function should return single scalar value.

- 3. Define hyperparameters and initialize

```
1 # Perform optimization
2 # optimizer parameters for ADAM optimizer
3 gar_initial = 0.02
4 beta1 = 0.9
5 beta2 = 0.999
6 epsilon = 1.e-8
7 iter_max = 800
8 beta = np.exp(np.arange(start=0,stop=iter_max)*np.log(1000)/iter_max)
9 gar = gar_initial * 0.5*(1+np.cos(np.arange(start=0,stop=iter_max)*np.pi/iter_max))
10
11 # blur kernel
12 blur_radius = 20.
13 dx, dy = L[0]/torcwa.rcwa_geo.nx, L[1]/torcwa.rcwa_geo.ny
14 x_kernel_axis = (torch.arange(torcwa.rcwa_geo.nx,dtype=geo_dtype,device=device)-(torcwa.rcwa_geo.nx-1)/2)*dx
15 y_kernel_axis = (torch.arange(torcwa.rcwa_geo.ny,dtype=geo_dtype,device=device)-(torcwa.rcwa_geo.ny-1)/2)*dy
16 x_kernel_grid, y_kernel_grid = torch.meshgrid(x_kernel_axis,y_kernel_axis,indexing='ij')
17 g = torch.exp(-(x_kernel_grid**2+y_kernel_grid**2)/blur_radius**2)
18 g = g/torch.sum(g)
19 g_fft = torch.fft.fftshift(torch.fft.fft2(torch.fft.ifftshift(g)))
20
21 torch.manual_seed(0)
22 rho = torch.rand((torcwa.rcwa_geo.nx,torcwa.rcwa_geo.ny),dtype=geo_dtype,device=device)
23 rho = (rho + torch.flip1r(rho))/2
24 rho_fft = torch.fft.fftshift(torch.fft.fft2(torch.fft.ifftshift(rho)))
25 rho = torch.real(torch.fft.fftshift(torch.fft.ifft2(torch.fft.ifftshift(rho_fft*g_fft))))
26 momentum = torch.zeros_like(rho)
27 velocity = torch.zeros_like(rho)
28
29 rho_history = []
30 FoM_history = []
```

## ❖ Hyperparameters

- gar\_initial: Initial learning rate
- beta1: Momentum coefficients in ADAM optimizer
- beta2: Velocity coefficients in ADAM optimizer
- epsilon: Parameter for preventing division by zero
- iter\_max: Maximum number of iteration
- beta: Binarize coefficient of pattern at each iteration
- gar: Learning rate at each iteration

- 3. Define hyperparameters and initialize

```
1 # Perform optimization
2 # optimizer parameters for ADAM optimizer
3 gar_initial = 0.02
4 beta1 = 0.9
5 beta2 = 0.999
6 epsilon = 1.e-8
7 iter_max = 800
8 beta = np.exp(np.arange(start=0,stop=iter_max)*np.log(1000)/iter_max)
9 gar = gar_initial * 0.5*(1+np.cos(np.arange(start=0,stop=iter_max)*np.pi/iter_max))
10
11 # blur kernel
12 blur_radius = 20.
13 dx, dy = L[0]/torcwa.rcwa_geo.nx, L[1]/torcwa.rcwa_geo.ny
14 x_kernel_axis = (torch.arange(torcwa.rcwa_geo.nx, dtype=geo_dtype, device=device)-(torcwa.rcwa_geo.nx-1)/2)*dx
15 y_kernel_axis = (torch.arange(torcwa.rcwa_geo.ny, dtype=geo_dtype, device=device)-(torcwa.rcwa_geo.ny-1)/2)*dy
16 x_kernel_grid, y_kernel_grid = torch.meshgrid(x_kernel_axis, y_kernel_axis, indexing='ij')
17 g = torch.exp(-(x_kernel_grid**2+y_kernel_grid**2)/blur_radius**2)
18 g = g/torch.sum(g)
19 g_fft = torch.fft.fftshift(torch.fft.fft2(torch.fft.ifftshift(g)))
20
21 torch.manual_seed(0)
22 rho = torch.rand((torcwa.rcwa_geo.nx, torcwa.rcwa_geo.ny), dtype=geo_dtype, device=device)
23 rho = (rho + torch.flip1r(rho))/2
24 rho_fft = torch.fft.fftshift(torch.fft.fft2(torch.fft.ifftshift(rho)))
25 rho = torch.real(torch.fft.fftshift(torch.fft.ifft2(torch.fft.ifftshift(rho_fft*g_fft))))
26 momentum = torch.zeros_like(rho)
27 velocity = torch.zeros_like(rho)
28
29 rho_history = []
30 FoM_history = []
```

- ❖ 1. Define blurring kernel for fabrication feasibility of pattern
- ❖ 2. Initialize parameters
- ❖ PyTorch built-in optimization tool can be utilized instead.

- 4. Perform optimization

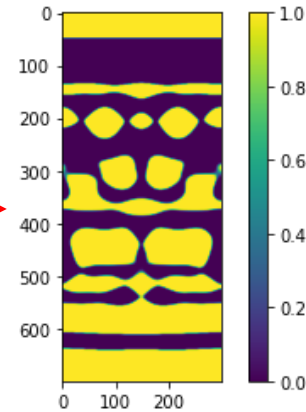
```
32 start_time = time.time()
33 for it in range(0, iter_max):
34     rho.requires_grad_(True)
35     rho_fft = torch.fft.fftshift(torch.fft.fft2(torch.fft.ifftshift(rho)))
36     rho_bar = torch.real(torch.fft.fftshift(torch.fft.ifft2(torch.fft.ifftshift(rho_fft*g_fft))))
37     rho_tilda = 1/2 + torch.tanh(2*beta[it]*rho_bar-beta[it])/(2*np.math.tanh(beta[it]))
38
39     FoM = objective_function(rho_tilda)
40     FoM.backward()
41
42     with torch.no_grad():
43         rho_gradient = rho.grad
44         rho.grad = None
45
46         rho_history.append(rho_tilda.detach().cpu().numpy())
47         FoM = float(FoM.detach().cpu().numpy())
48         FoM_history.append(FoM)
49
50         momentum = (beta1*momentum + (1-beta1)*rho_gradient)
51         velocity = (beta2*velocity + (1-beta2)*(rho_gradient**2))
52         rho += gar[it]*(momentum / (1-beta1**(it+1))) / torch.sqrt((velocity / (1-beta2**(it+1))) + epsilon)
53         rho[rho>1] = 1
54         rho[rho<0] = 0
55         rho = (rho + torch.flip1r(rho))/2
56
57     end_time = time.time()
58     elapsed_time = end_time - start_time
59     print('Iteration:', it, '/ FoM:', int(FoM*10000)/10000, ' Elapsed time:', str(int(elapsed_time))+ ' s')
```

- ❖ 1. Declare 'requires\_grad\_(True)' for parameters to optimize
- ❖ 2. After some manipulation of the parameters, the FoM is derived by substituting it into the objective function.
- ❖ 3. Execute 'FoM.backward()' to calculate gradient
- ❖ 4. Gradient is obtained using 'rho.grad'.
- ❖ 5. Update the parameters according to the optimization algorithm.

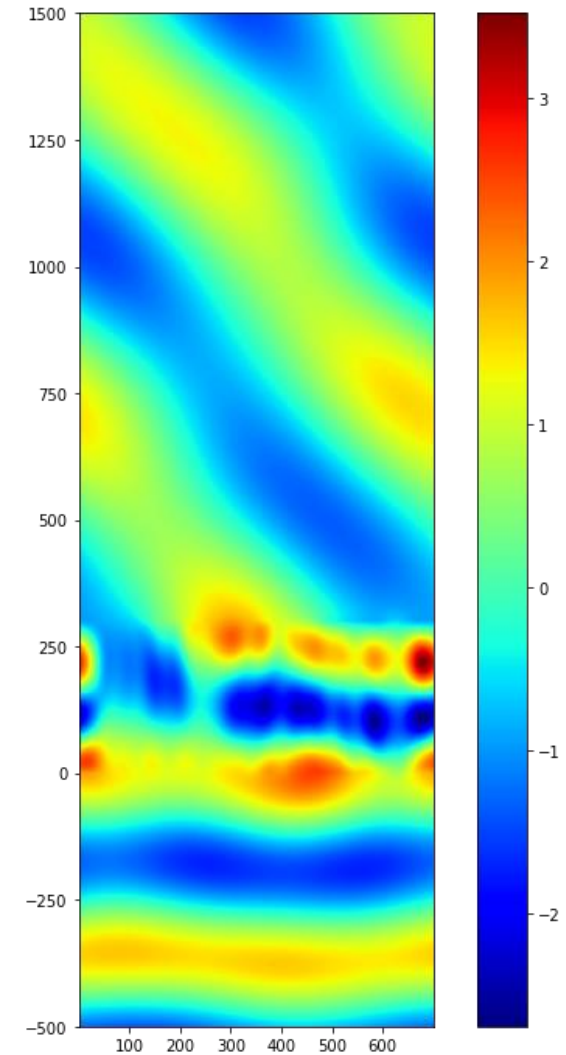


- 5. Get optimization results

```
1 # Export data
2 filename = 'Example6_data.mat'
3 ex6_data = {'rho_history':rho_history,'FoM_history':FoM_history}
4 scipy.io.savemat(filename,ex6_data)
5
6 # Plot
7 plt.imshow(rho_tilda.detach().cpu().numpy())
8 plt.colorbar()
```



```
1 # View XZ-plane fields and export for x-pol input
2 sim.source_planewave(amplitude=[1.,0.],direction='forward')
3 [Ex, Ey, Ez], [Hx, Hy, Hz] = sim.field_xz(torcwa.rcwa_geo.x,z,l[1]/2)
4 Enorm = torch.sqrt(torch.abs(Ex)**2 + torch.abs(Ey)**2 + torch.abs(Ez)**2)
5 Hnorm = torch.sqrt(torch.abs(Hx)**2 + torch.abs(Hy)**2 + torch.abs(Hz)**2)
6
7 plt.figure(figsize=(10,12))
8 plt.imshow(torch.transpose(torch.real(Hy),-2,-1).cpu(),cmap='jet',origin='lower',extent=[x_axis[0],x_axis[-1],z_axis[0],z_axis[-1]])
9 plt.colorbar()
10
11 ex6_Xpol_data = {'x_axis':x_axis.numpy(),'y_axis':y_axis.numpy(),'z_axis':z_axis.numpy(),\
12                 'Ex':Ex.cpu().numpy(),'Ey':Ey.cpu().numpy(),'Ez':Ez.cpu().numpy(),'Enorm':Enorm.cpu().numpy(),\
13                 'Hx':Hx.cpu().numpy(),'Hy':Hy.cpu().numpy(),'Hz':Hz.cpu().numpy(),'Hnorm':Hnorm.cpu().numpy()}
14 scipy.io.savemat('Example6_Xpol_data.mat',ex6_Xpol_data)
```



```
def __init__(self, freq, order, L, *,  
             dtype=torch.complex64,  
             device=torch.device('cuda' if torch.cuda.is_available() else 'cpu'),  
             stable_eig_grad=True,  
             avoid_Pinv_instability=False,  
             max_Pinv_instability=0.005  
             ):
```

## ❖ Stable gradient of eigendecomposition

For degenerated eigenmodes, gradient calculation would be unstable due to division by zero.

- `stable_eig_grad = True`: Slightly sacrifices accuracy, but gains stability for gradient calculations

## ❖ Gradient calculation for layers with high order eigenmodes

For high order eigenmodes, transformation matrices (P and Q) are nearly singular matrix due to floating point error.

- `avoid_Pinv_instability = True`: If P is a nearly singular matrix, calculate the H-field eigenmode with Q.
- `Max_Pinv_instability`: Criteria for determining that P is a nearly singular matrix.

## ❖ More information can be found in the article.





- Citation

- Chanhun Kim, and Byoung-ho Lee, “TORCWA: GPU-accelerated Fourier modal method and gradient-based optimizations for metasurface” (2022).

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