ENDG 511 Lab 3 Assignment: Advanced Model Optimization

This colab notebook provides code and a framework for *Lab 3*. You can work out your solutions here.

Goals

In this lab, you will be introduced to advanced implmentations of the model optimization methods presented in Lab 2, and you will learn how to use them to create more efficient deep learning models. Model optimization is key when deploying deep learning models in resource-constrained IoT hardware and for low-latency sensing applications. You will also have the opportunity to explore other advanced methods. The goals of this lab are:

- Understand the basics of iterative pruning and how to develop an iterative pruning schedule
- Apply iterative pruning and weight clustering to an MNIST model.
- Become familiar with applying any method of TensorFlow Model Optimization Toolkit's (https://www.tensorflow.org/model_optimization/guide/) by reviewing the examples and implementing them yourself.
- Understand and apply quantization aware training to an MNIST model (optional)

Layout

This lab is split into **three** parts.

- Part 1: Apply iterative pruning to an MNIST model and evaluate the pruned model.
- Part 2 (Optional no marks): Apply quantization aware training and evaluate the quantized model.
- Part 3: Apply weight clustering alone and then combine weight clustering with iterative pruning.

How to submit the Assignment

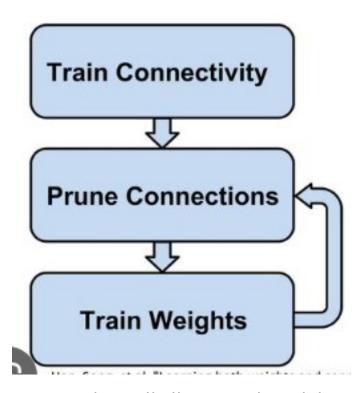
- You are required to submit the completed python notebook and a pdf version of it in a Dropbox folder on D2L.
- This is an individual assignment, and all the assignments must be submitted individually.
- This assignment can be completed directly on Google Colab, but you are free to choose any other computing resource.
- Lab sessions will be held to go over the main concepts and help you with the assignment.

Part 1: Iterative Pruning

This part of the lab demonstrates applying iterative pruning to a neural network to reduce size and inference while maintaining a high accuracy compared to the original neural network. At a high level, the steps required to implement iterative pruning and evaluate a model are as follows:

- Build and train the dense baseline
- Prune the model (but not till the target sparsity)
- Train the pruned model
- Repeat steps 2 and 3 until the target sparsity is reached.
- Evaluate the final model

One advantage of iterative pruning over one-shot pruning (which was demonstrated in Lab 2) is that it allows for more fine-grained control over the compression process. By retraining the network after each pruning step, the model is able to adapt to the pruning and maintain its performance on the target task. The image below can help you visualize iterative pruning:



Import and install all required modules

```
!pip install -q tensorflow-model-optimization
import tempfile
import os
import time
import tensorflow as tf
```

```
import numpy as np
from tensorflow import keras
from tensorflow.keras import layers
import numpy as np

from tensorflow import keras
import tensorflow_model_optimization as tfmot

[notice] A new release of pip is available: 23.2.1 -> 24.0
[notice] To update, run: python.exe -m pip install --upgrade pip
```

Build and Train a neural network for MNIST without pruning

Similar to the examples presented in Lab1 we will build and train a neural network for the MNIST dataset without any model optimization. This will be our base model for the remainder of this lab. We also save the model before training - you can choose to use this untrained model in the exercises.

```
# Load MNIST dataset
mnist = keras.datasets.mnist
(train images, train labels), (test images, test labels) =
mnist.load data()
# Normalize the input image so that each pixel value is between 0 and
1.
train images = train images / 255.0
test images = test images / 255.0
# Define the model architecture.
model = tf.keras.models.Sequential([
  tf.keras.layers.Flatten(input shape=(28, 28)),
 tf.keras.layers.Dense(128, activation='relu'),
 tf.keras.layers.Dropout(0.2),
 tf.keras.layers.Dense(10)
1)
# Save untrained model
model.save('untrained base model.h5')
# Compile the model
model.compile(optimizer='adam',
loss=tf.keras.losses.SparseCategoricalCrossentropy(from logits=True),
              metrics=['accuracy'])
# Train the model
model.fit(
 train images,
  train_labels,
```

```
epochs=5,
validation_split=0.1,
)
```

Let's display the architecture of our model:

```
model.summary()
Model: "sequential"
Layer (type)
                              Output Shape
                                                          Param #
 flatten (Flatten)
                              (None, 784)
                                                          0
                                                          100480
dense (Dense)
                              (None, 128)
dropout (Dropout)
                              (None, 128)
dense 1 (Dense)
                              (None, 10)
                                                          1290
Total params: 101770 (397.54 KB)
Trainable params: 101770 (397.54 KB)
Non-trainable params: 0 (0.00 Byte)
```

Save model

Let us save the trained model so that we can evaluate it at a later stage.

```
# Save your trained model
model.save('trained_base_model.h5')
```

Define Iterative Pruning Function

We define a function that takes an unpruned model along with other parameters, performs iterative pruning and returns the pruned model. These are parameters of iterative pruning along with their explanation.

The **Polynomial Decay** pruning schedule: the degree of sparsity is changed during training and it is not kept constant.

initial_sparsity: The initial sparsity is the sparsity of the model at the beginning of the iterative pruning procedure. If a none zero value is provided, the model is one-shot pruned to the initial sparsity at the beginning.

final_sparsity: This is the final target sparsity of the model.

begin_step: The training step where iterative pruning will start to be applied. At this step the model is pruned to the initial sparsity value.

end_step: The last training step where iterative pruning will be applied. After this step has been completed the model would have reached its final sparsity.

frequency: How often to apply pruning

power: The default is linear. This is the power of the polynomial function and how the sparsity changes from the initial sparsity at the begin step to the final sparsity at the end step

Number of Steps per Epoch = (Total Number of Training Samples) / (Batch Size)

You need to make sure to choose a begin_step and end_step that are not out of the range of the training steps.

```
def iterative pruning(model, initial sparsity, final sparsity,
begin_step, end_step, train_images, train_labels, epochs):
  prune low magnitude = tfmot.sparsity.keras.prune low magnitude
 # Define model for pruning.
  pruning params = {
      'pruning schedule':
tfmot.sparsity.keras.PolynomialDecay(initial sparsity=initial sparsity
        final sparsity=final sparsity, begin step=begin step,
end_step=end_step, frequency=100)
  pruned model = prune low magnitude(model, **pruning params)
  # `prune low magnitude` requires a recompile.
  optimizer = tf.keras.optimizers.Adam(learning rate=1e-5)
  pruned model.compile(optimizer='adam',
loss=tf.keras.losses.SparseCategoricalCrossentropy(from logits=True),
                metrics=['accuracy'])
  callbacks = [
    tfmot.sparsity.keras.UpdatePruningStep(),
  1
  pruned model.fit(train images, train labels, epochs=epochs,
validation split=0.1,
                    callbacks=callbacks)
  # Strip pruning wrappers
  stripped pruned model =
tfmot.sparsity.keras.strip pruning(pruned model)
  return pruned model, stripped pruned model
pruned model, stripped pruned model = iterative pruning(model, 0, 0.5,
0, 3000, train images, train labels, 3)
```

Confirm that pruning was correctly applied

```
def print model weights sparsity(model):
    for layer in model.layers:
        if isinstance(layer, tf.keras.layers.Wrapper):
            weights = layer.trainable weights
        else:
            weights = layer.weights
        for weight in weights:
            if "kernel" not in weight.name or "centroid" in
weight.name:
                continue
            weight size = weight.numpy().size
            zero num = np.count nonzero(weight == 0)
            print(
                f"{weight.name}: {zero num/weight size:.2%} sparsity
                f"({zero num}/{weight size})",
print model weights sparsity(stripped pruned model)
```

Evaluate the model

Finally, we compare the pruned model to the base model. We can see that the accuracy and inference time is comparable, however, the pruned model is much smaller in size.

Note: Pruning is capable of improving inference time significantly, however, additional libraries and modifications are needed to see inference improvements as a result of pruning (Pruning inference improvements is very hardware specific!). If you are curious you can read this paper which explains how sparse models can be used to accelerate inference (https://arxiv.org/pdf/1911.09723.pdf)

```
# Evaluate prediction accuracy
model = tf.keras.models.load model('trained base model.h5')
test loss, test acc = model.evaluate(test images, test labels,
verbose=0)
test loss pruned 50, test acc pruned 50 =
pruned model.evaluate(test images, test labels, verbose=0)
# Evaluate Model Size
def get gzipped model size(file):
 # Returns size of gzipped model, in bytes.
 import os
 import zipfile
 , zipped file = tempfile.mkstemp('.zip')
 with zipfile.ZipFile(zipped file, 'w',
compression=zipfile.ZIP DEFLATED) as f:
   f.write(file)
  return os.path.getsize(zipped file)
# Evaluate Inference Time
startTime = time.time()
prediction = model.predict(test images)
executionTime = (time.time() - startTime)/len(test images)
startTime = time.time()
prediction = pruned model.predict(test images)
executionTimePruned50 = (time.time() - startTime)/len(test images)
base model size = get gzipped model size('untrained base model.h5')
## Print without stripping
pruned_model_size = get_gzipped_model_size('stripped_pruned model.h5')
# Print
print('\nBase Model Accuracy:', test_acc*100, '%')
print("Base Model Size: %.2f bytes" % (base model size))
print("Base Inference Time is", executionTime, "s")
print('\nPruned Model Accuracy:', test acc pruned 50*100, '%')
print("Pruned Model Size: %.2f bytes" % (pruned model size))
print("Pruned Inference Time is", executionTimePruned50, "s")
Base Model Accuracy: 97.97999858856201 %
Base Model Size: 374919.00 bytes
Base Inference Time is 8.92139196395874e-05 s
```

```
Pruned Model Accuracy: 98.12999963760376 %
Pruned Model Size: 234456.00 bytes
Pruned Inference Time is 9.187750816345214e-05 s
```

Excercise: (1 points)

Question 1: Apply the iterative pruning function provided above with a final sparsity of 90%. For the parameters of the iterative pruning function choose suitable values that make sense and give reasons for your choices. Compare the accuracy of the iterative pruned 90% model to the one-shot pruned 90% model from Lab2. Next, apply iterative pruning to a final sparsity of 95% and try to minimize any accuracy loss. *(1.5 points)*

Defining Evaluation Methods

```
# Evaluate Model Size
def get_gzipped model size(file):
 # Returns size of gzipped model, in bytes.
  import os
  import zipfile
  _, zipped_file = tempfile.mkstemp('.zip')
 with zipfile.ZipFile(zipped file, 'w',
compression=zipfile.ZIP DEFLATED) as f:
    f.write(file)
  return os.path.getsize(zipped file)
def evaluate(model, test images, test labels,
model_path='untrained_base_model.h5'):
  # Evaluates the model by providing the model accuracy, size, and
inference time.
  test loss, test acc = model.evaluate(test images, test labels,
verbose=0)
  # Evaluate inference time.
  startTime = time.time()
  prediction = model.predict(test images)
  executionTime = (time.time() - startTime)/len(test images)
  # Retrieve the model size.
  model size = get gzipped model size(model path)
  return test_acc, model_size, executionTime
```

Iterative Pruning with Final Sparsity at 90%

```
base_model = tf.keras.models.load_model('trained_base_model.h5')
pruned_90_model, stripped_pruned_90_model =
iterative_pruning(base_model, 0.20, 0.90, 1500, 6000, train_images,
```

```
train labels, 4)
stripped pruned 90 model.save('stripped pruned 90 model.h5')
Epoch 1/4
0.0678 - accuracy: 0.9785 - val loss: 0.0667 - val accuracy: 0.9792
Epoch 2/4
0.0835 - accuracy: 0.9738 - val loss: 0.0825 - val accuracy: 0.9768
Epoch 3/4
0.1836 - accuracy: 0.9470 - val loss: 0.1262 - val accuracy: 0.9658
Epoch 4/4
0.2078 - accuracy: 0.9398 - val loss: 0.1092 - val accuracy: 0.9703
WARNING: tensorflow: Compiled the loaded model, but the compiled metrics
have yet to be built. 'model.compile metrics' will be empty until you
train or evaluate the model.
c:\Users\johns\Documents\EngineeringRepositories\ENDG511\lab-env\Lib\
site-packages\keras\src\engine\training.py:3103: UserWarning: You are
saving your model as an HDF5 file via `model.save()`. This file format
is considered legacy. We recommend using instead the native Keras
format, e.g. `model.save('my_model.keras')`.
 saving api.save model(
```

Choices of Parameters

- Initial Sparsity: 0.20 is chosen to add a jumpstart to the pruning process as 0.90 is the targeted final sparsity, the earlier values of the weights will not matter as much.
- Final Sparsity: 0.90 is chosen due to the requirements of the question.
- Begins step: 1500 to start pruning just before the end of the first epoch to perform one shot pruning of 20% of the weights with values close to zero.
- End step: 6000 to stop pruning just before the end of the last epoch with 752 more steps left without pruning to maintain minimal agression with pruning at the last steps and allowing the weights to fine tune with the training images.
- Epoch: 4 is chosen because it was observed that validation accuracy starts to decrease and validation loss starts to increase at this point when training the base model without any pruning.

Model Evaluation and Comparison of Pruning Methods

```
Pruned Model Accuracy: 95.95999717712402 %
Pruned Model Size: 77191.00 bytes
Pruned Inference Time is 8.017294406890869e-05 s
```

One Shot 90% Pruning Lab 2

- Pruned Model Accuracy: 95.3499972820282 %
- Pruned Model Size: 80123.00 bytes
- Pruned Inference Time is 9.749836921691894e-05 s

Iterative Pruning with Final Sparsity at 90%

- Pruned Model Accuracy: 95.95999717712402 %
- Pruned Model Size: 77191.00 bytes
- Pruned Inference Time is 8.017294406890869e-05 s

Comparisons

Both models are close in accuracy with iterative pruning having a slightly higher accuracy by \sim 0.61%. Iterative pruning also produces a smaller model than the one shot pruning model by 2932 bytes. Finally iterative pruning produces a slightly faster model by 17.3us.

Iterative Pruning with Final Sparsity at 95%

```
base model = tf.keras.models.load model('trained base model.h5')
pruned 95 model, stripped pruned 95 model =
iterative pruning(base model, 0, 0.95, 0, 4000, train images,
train labels, 7)
stripped pruned 95 model.save('stripped pruned 95 model.h5')
Epoch 1/7
0.0891 - accuracy: 0.9719 - val loss: 0.0907 - val accuracy: 0.9748
Epoch 2/7
0.3284 - accuracy: 0.9031 - val loss: 0.2744 - val accuracy: 0.9347
Epoch 3/7
0.4580 - accuracy: 0.8636 - val loss: 0.2315 - val accuracy: 0.9383
Epoch 4/7
0.4114 - accuracy: 0.8739 - val loss: 0.2174 - val accuracy: 0.9418
Epoch 5/7
0.3890 - accuracy: 0.8792 - val loss: 0.2104 - val accuracy: 0.9430
Epoch 6/7
0.3783 - accuracy: 0.8820 - val loss: 0.2049 - val accuracy: 0.9438
Epoch 7/7
```

0.3700 - accuracy: 0.8845 - val_loss: 0.2010 - val_accuracy: 0.9442 WARNING:tensorflow:Compiled the loaded model, but the compiled metrics have yet to be built. `model.compile_metrics` will be empty until you train or evaluate the model.

- When starting with an initial sparsity at 0.40 and starting at 1000 step, there is a large drop in validation accuracy at the second epoch from 0.98 to 0.94 and an increase in validation loss from 0.08 to 0.25. The metric remains marginally the same throughout the epochs.
- When keeping the same parameters but lowering the initial sparsity to 0.10, there is a large drop in validation accuracy at the second epoch from 0.98 to 0.93 and an increase in validation loss from 0.07 to 0.29.
- When choosing the keep initial sparsity to 0% and the begin step at 0, then the validation accuracy drops at the second epoch from 0.97 to 0.93 and an increase in validation loss from 0.09 to 0.27. The metrics slightly increase by 1% keeping marginally the same at ~94% throughout the epochs.

Part 2: Quantization Aware Training - Optional (Code Provided)

This part of the lab demonstrates applying quantization aware training to a neural network to reduce size and inference while maintaining a high accuracy.

In quantization aware training, the quantization process is integrated into the training loop, so the model is optimized to perform well after quantization. This is different from post-training quantization (Lab 3) where quantization is applied in the end after training. This helps to reduce the gap between floating-point and quantized performance, and enables the deployment of deep learning models on low-power, low-memory devices.

At a high level, the steps required to quantize and evaluate a model are as follows:

- Build and train the dense baseline
- Fine tune the model by applying the quantization aware training API, see the accuracy, and export a quantization aware model.
- Apply quantization during conversion to TFLite
- Evaluate the model

Note: a quantization aware model is not actually quantized. Creating a quantized model is a separate step.

Load base model

First, let us load the base model we have trained earlier.

```
model to quantize =
tf.keras.models.load model('trained base model.h5')
model to quantize.summary()
Model: "sequential"
Layer (type)
                              Output Shape
                                                         Param #
                                                         =======
 flatten (Flatten)
                              (None, 784)
 dense (Dense)
                              (None, 128)
                                                         100480
 dropout (Dropout)
                              (None, 128)
dense 1 (Dense)
                              (None, 10)
                                                         1290
Total params: 101770 (397.54 KB)
Trainable params: 101770 (397.54 KB)
Non-trainable params: 0 (0.00 Byte)
```

Define Quantization Aware Training function

For quantization aware training, we first train a quantization aware model (from the original trained model) using a subset of the training data. The quantization aware model is then converted to a TFLite model and quantized. The quantized weights are usually more accurate as the model was "quantization aware" prior to the quantization operation.

By default, the TensorFlow QAT APIs assume 8-bit quantization.

```
q_aware_model.fit(train_images_subset, train_labels_subset,
               batch size=500, epochs=5, validation split=0.1)
   # Note: a quantization aware model is not actually quantized.
Creating a quantized model is a separate step.
   ## Convert the quantization aware model to TFLite and apply
quantization through the optimization options
   converter =
tf.lite.TFLiteConverter.from keras model(g aware model)
   converter.optimizations = [tf.lite.Optimize.DEFAULT]
   quantized tflite model = converter.convert()
   return quantized tflite model
quantized tflite model =
quantization aware training(model to quantize, train images,
train labels)
Model: "sequential"
Layer (type)
                         Output Shape
                                                Param #
_____
                                               _____
quantize layer (QuantizeLa (None, 28, 28)
                                                3
yer)
quant flatten (QuantizeWra (None, 784)
                                                1
pperV2)
quant dense (QuantizeWrapp (None, 128)
                                                100485
erV2)
quant dropout (QuantizeWra (None, 128)
                                                1
pperV2)
quant dense 1 (QuantizeWra (None, 10)
                                                1295
pperV2)
Total params: 101785 (397.60 KB)
Trainable params: 101770 (397.54 KB)
Non-trainable params: 15 (60.00 Byte)
Epoch 1/5
accuracy: 0.9770 - val loss: 0.0323 - val accuracy: 0.9930
Epoch 2/5
accuracy: 0.9830 - val loss: 0.0286 - val accuracy: 0.9920
Epoch 3/5
```

```
accuracy: 0.9870 - val loss: 0.0270 - val accuracy: 0.9940
Epoch 4/5
accuracy: 0.9878 - val loss: 0.0278 - val accuracy: 0.9950
Epoch 5/5
accuracy: 0.9910 - val loss: 0.0260 - val accuracy: 0.9950
INFO:tensorflow:Assets written to: C:\Users\johns\AppData\Local\Temp\
tmpvo9iphe5\assets
INFO:tensorflow:Assets written to: C:\Users\johns\AppData\Local\Temp\
tmpvo9iphe5\assets
c:\Users\johns\Documents\EngineeringRepositories\ENDG511\lab-env\Lib\
site-packages\tensorflow\lite\python\convert.py:953: UserWarning:
Statistics for quantized inputs were expected, but not specified;
continuing anyway.
 warnings.warn(
```

Save TFLite model and load model into an interpeter

First we save the TFLite model, this will allow us to load it into an interpreter. To perform an inference with a TensorFlow Lite model, you must run it through an interpreter. The TensorFlow Lite interpreter is designed to be lean and fast. You can find more information on the TFLite interpreter here: https://www.tensorflow.org/lite/guide/inference

```
# Save TFLite Model
with open('quantized_tflite_model.tflite', 'wb') as f:
    f.write(quantized_tflite_model)
# Load model into interpeter
interpreter_quant =
tf.lite.Interpreter(model_path=str('quantized_tflite_model.tflite'))
interpreter_quant.allocate_tensors()
```

Evaluate the model

Finally, we evaluate the quantization aware model in terms of accuracy, inference time and model size. There is a very slight difference accuracy compared to Lab2, the reason is the model performs extremely well with post-training quantization that even when doing quantization aware training the benefits are minimal. Furthermore, the model trained is not very complex and therefore the added benefits of QAT are not very evident. For models that see a significant drop in accuracy due to post training quantization, quantization aware training may be capable of producing better accuracy with no impact to model size or inference time.

```
# A helper function to evaluate the TF Lite model using "test"
dataset.

def evaluate_model(interpreter, model_path):
   input_index = interpreter.get_input_details()[0]["index"]
```

```
output index = interpreter.get output_details()[0]["index"]
  # Run predictions on every image in the "test" dataset.
  prediction digits = []
  for test image in test images:
    # Pre-processing: add batch dimension and convert to float32 to
match with
    # the model's input data format.
    test image = np.expand dims(test image, axis=0).astype(np.float32)
    interpreter.set tensor(input index, test image)
    # Run inference.
    startTime = time.time()
    interpreter.invoke()
    executionTime = (time.time() - startTime)/len(test images)
    # Post-processing: remove batch dimension and find the digit with
highest
    # probability.
    output = interpreter.tensor(output index)
    digit = np.argmax(output()[0])
    prediction digits.append(digit)
 # Compare prediction results with ground truth labels to calculate
accuracy.
  accurate count = 0
  for index in range(len(prediction_digits)):
    if prediction digits[index] == test labels[index]:
      accurate count += 1
  accuracy = accurate count * 1.0 / len(prediction digits)
 model size = get gzipped model size(model path)
  # Print
  print('\nModel Accuracy:', accuracy*100, '%')
  print("Model Size: %.2f bytes" % (model size))
  print("Inference Time is", executionTime, "s")
  return accuracy, model_size, executionTime
evaluate model(interpreter quant, 'quantized tflite model.tflite')
Model Accuracy: 97.97 %
Model Size: 83743.00 bytes
Inference Time is 0.0 s
(0.9797, 83743, 0.0)
```

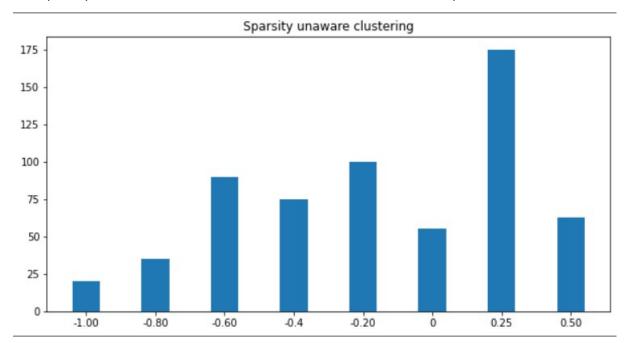
Part 3: Weight Clustering & Iterative Pruning Compression

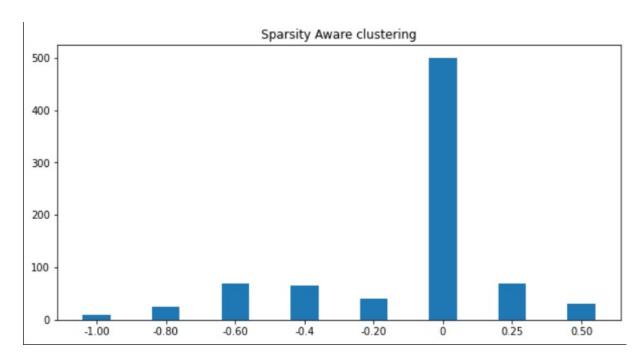
There are other common model compression techniques that are constantly being researched and improved upon. Weight clustering is one of them that was discussed in class. There are many websites and research papers that discuss weight clustering including the Deep Compression paper on D2L. In this part of the lab the exercises will be focused on Weight Clustering. Here are two websites that can help you with the lab exercises (Feel free read further papers!).

https://www.tensorflow.org/model_optimization/guide/clustering/clustering_example https://www.tensorflow.org/model_optimization/guide/combine/sparse_clustering_example

Clustering, or weight sharing, reduces the number of unique weight values in a model, leading to benefits for deployment. It first groups the weights of each layer into N clusters, then shares the cluster's centroid value for all the weights belonging to the cluster.

The two images below highlight how the weights are distributed when 8 clustered are used. The first image is when clustering is done without maintaining sparsity and the second image is when sparsity is maintained (these two methods are discussed in the questions below).





Exercises (5.5 points)

Question 1 (2 points): Using the **first** link above and the content of all previous labs, write a function that applies weight clustering. Your function must take in 3 parameters (model, number of clusters and centroid initialization). Experiment and evaluate your model (size and accuracy) with 2 clusters and 16 clusters, and with KMEANS_PLUS_PLUS centroid initialization and comment on your observations.

```
clustering params = {
      'number of clusters': nc,
      'cluster centroids init': centroid init
    }
    # Cluster a whole model
    clustered model = cluster_weights(model, **clustering_params)
    # Use smaller learning rate for fine-tuning clustered model
    opt = keras.optimizers.Adam(learning rate=1e-5)
    clustered model.compile(
loss=keras.losses.SparseCategoricalCrossentropy(from logits=True),
      optimizer=opt,
      metrics=['accuracy'])
    clustered model.summary()
    # Fine-tune model
    clustered model.fit(
      train_images,
      train labels,
      batch size=32,
      epochs=1,
      validation split=0.1)
    # Stripped clustering is necessary to see the benefits of
clustering.
    stripped clustered model =
tfmot.clustering.keras.strip clustering(clustered model)
    return clustered model, stripped clustered model
```

Clustering Model with 2 Clusters

```
cluster dense (ClusterWeig (None, 128)
                                                 200834
 hts)
 cluster dropout (ClusterWe (None, 128)
                                                 0
 ights)
 cluster dense 1 (ClusterWe (None, 10)
                                                 2572
 ights)
Total params: 203406 (1.16 MB)
Trainable params: 101774 (397.55 KB)
Non-trainable params: 101632 (794.00 KB)
6.4698 - accuracy: 0.4700 - val loss: 2.5068 - val accuracy: 0.6825
WARNING: tensorflow: Compiled the loaded model, but the compiled metrics
have yet to be built. `model.compile_metrics` will be empty until you
train or evaluate the model.
test acc, model size, executionTime = evaluate(clustered 2 model,
test_images, test_labels, 'stripped_clustered_2_model.h5')
print('\nClustered Model Accuracy:', test_acc*100, '%')
print("Clustered Model Size: %.2f bytes" % (model size))
print("Clustered Inference Time is", executionTime, "s")
Clustered Model Accuracy: 66.75000190734863 %
Clustered Model Size: 24481.00 bytes
Clustered Inference Time is 0.00015413177013397217 s
```

Clustering Model with 16 Clusters

```
cluster dense (ClusterWeig (None, 128)
                                                    200848
 hts)
 cluster dropout (ClusterWe (None, 128)
                                                    0
 ights)
 cluster dense 1 (ClusterWe (None, 10)
                                                    2586
 ights)
Total params: 203434 (1.16 MB)
Trainable params: 101802 (397.66 KB)
Non-trainable params: 101632 (794.00 KB)
0.0393 - accuracy: 0.9891 - val loss: 0.0676 - val accuracy: 0.9797
WARNING: tensorflow: Compiled the loaded model, but the compiled metrics
have yet to be built. `model.compile metrics` will be empty until you
train or evaluate the model.
c:\Users\johns\Documents\EngineeringRepositories\ENDG511\lab-env\Lib\
site-packages\keras\src\engine\training.py:3103: UserWarning: You are
saving your model as an HDF5 file via `model.save()`. This file format
is considered legacy. We recommend using instead the native Keras
format, e.g. `model.save('my model.keras')`.
  saving api.save model(
test acc, model size, executionTime = evaluate(clustered 16 model,
test_images, test_labels, 'stripped_clustered_16_model.h5')
print('\nClustered Model Accuracy:', test_acc*100, '%')
print("Clustered Model Size: %.2f bytes" % (model size))
print("Clustered Inference Time is", executionTime, "s")
313/313 [============= ] - 2s 6ms/step
Clustered Model Accuracy: 98.00999760627747 %
Clustered Model Size: 75470.00 bytes
Clustered Inference Time is 0.00021111106872558595 s
```

Observations Cluster 2 and Cluster 16 Models

Model with 2 clusters achieved an accuracy of 66.75%, a size of 24481 bytes, and an inference time of 154.13us. The model with 16 clusters acheived an accuracy of 98.01%, a model size of 75470 bytes, and an inference time of 211.11us.

It was observed that having more clusters allowed the model to have a better generalization in the classes which resulted in a higher accuracy because more clusters meant more variation in the weight values, thus more precision in the classifications. However, a tradeoff is that this will increase the model size as shown which almost tripled the size. This is because more clusters means storing more weight values. However, this did not really change the total number of

parameters, it was only observed to have a slight increase because the actual model layers did not change. Lastly, more clusters means higher inference times because there more cases to check to determine which cluster a value corresponds to, as oppose to having two clusters only had 2 comparisons to match the value to the closest cluster.

Question 2 (1 point): Using the resources present above and the content of all previous labs, apply weight clustering with iterative pruning (50% sparsity). Do this by calling your iterative pruning (Part1) followed by your weight clustering functions (of Part-3 Question 1). Print the final sparsity of your model and commment on it, and the performance and size versus what you observed in Q1.

Perform Pruning with 50% Sparsity

```
base model = tf.keras.models.load model('trained base model.h5')
pruned model, stripped pruned model = iterative pruning(base model, 0,
0.5, 0, 3000, train_images, train_labels, 3)
print("Model sparsity pre-clustered")
print model weights sparsity(stripped pruned model)
stripped pruned model.save("trained stripped pruned base model.h5")
Epoch 1/3
0.0689 - accuracy: 0.9782 - val loss: 0.0639 - val accuracy: 0.9813
Epoch 2/3
0.0613 - accuracy: 0.9801 - val loss: 0.0682 - val accuracy: 0.9810
Epoch 3/3
0.0519 - accuracy: 0.9836 - val loss: 0.0634 - val accuracy: 0.9802
Model sparsity pre-clustered
dense/kernel:0: 50.00% sparsity (50176/100352)
dense 1/kernel:0: 50.00% sparsity (640/1280)
WARNING: tensorflow: Compiled the loaded model, but the compiled metrics
have yet to be built. `model.compile metrics` will be empty until you
train or evaluate the model.
c:\Users\johns\Documents\EngineeringRepositories\ENDG511\lab-env\Lib\
site-packages\keras\src\engine\training.py:3103: UserWarning: You are
saving your model as an HDF5 file via `model.save()`. This file format
is considered legacy. We recommend using instead the native Keras
format, e.g. `model.save('my model.keras')`.
 saving api.save model(
```

Perform 2 Clustering on Pruned Model

```
stripped_pruned_model =
tf.keras.models.load_model('trained_stripped_pruned_base_model.h5')
CentroidInitialization = tfmot.clustering.keras.CentroidInitialization
centroid_init = CentroidInitialization.KMEANS_PLUS_PLUS
pruned_clustered_2_model, pruned_stripped_clustered_2_model =
```

```
apply_weight_clustering(stripped_pruned_model, nc=2,
centroid_init=centroid_init)
pruned_stripped_clustered_2_model.save('pruned_stripped_clustered_2_model.h5')
```

WARNING:tensorflow:No training configuration found in the save file, so the model was *not* compiled. Compile it manually.

Model: "sequential"

Layer (type)	Output Shape	Param #
<pre>cluster_flatten (ClusterWe ights)</pre>	(None, 784)	0
<pre>cluster_dense (ClusterWeig hts)</pre>	(None, 128)	200834
<pre>cluster_dropout (ClusterWe ights)</pre>	(None, 128)	0
<pre>cluster_dense_1 (ClusterWe ights)</pre>	(None, 10)	2572

Total params: 203406 (1.16 MB)

Trainable params: 101774 (397.55 KB)
Non-trainable params: 101632 (794.00 KB)

c:\Users\johns\Documents\EngineeringRepositories\ENDG511\lab-env\Lib\
site-packages\keras\src\engine\training.py:3103: UserWarning: You are
saving your model as an HDF5 file via `model.save()`. This file format
is considered legacy. We recommend using instead the native Keras
format, e.g. `model.save('my_model.keras')`.
 saving api.save model(

test_acc, model_size, executionTime =
evaluate(pruned_clustered_2_model, test_images, test_labels,
'pruned_stripped_clustered_2_model.h5')
print('\nPruned Clustered Model Accuracy:', test_acc*100, '%')
print("Pruned Clustered Model Size: %.2f bytes" % (model_size))
print("Pruned Clustered Inference Time is", executionTime, "s")

Perform 16 Clustering on Pruned Model

stripped_pruned_model =
tf.keras.models.load_model('trained_stripped_pruned_base_model.h5')
CentroidInitialization = tfmot.clustering.keras.CentroidInitialization
centroid_init = CentroidInitialization.KMEANS_PLUS_PLUS
pruned_clustered_16_model, pruned_stripped_clustered_16_model =
apply_weight_clustering(stripped_pruned_model, nc=16,
centroid_init=centroid_init)
pruned_stripped_clustered_16_model.save('pruned_stripped_clustered_16_
model.h5')

WARNING:tensorflow:No training configuration found in the save file, so the model was *not* compiled. Compile it manually.

Model: "sequential"

riode er sequenciae			
Layer (type)	Output Shape	Param #	
<pre>cluster_flatten (ClusterWe ights)</pre>	(None, 784)	0	
<pre>cluster_dense (ClusterWeig hts)</pre>	(None, 128)	200848	
<pre>cluster_dropout (ClusterWe ights)</pre>	(None, 128)	0	
<pre>cluster_dense_1 (ClusterWe ights)</pre>	(None, 10)	2586	
Total params: 203434 (1.16 MB) Trainable params: 101802 (397.66 KB) Non-trainable params: 101632 (794.00 KB)			

```
WARNING: tensorflow: Compiled the loaded model, but the compiled metrics
have yet to be built. `model.compile metrics` will be empty until you
train or evaluate the model.
test acc, model size, executionTime =
evaluate(pruned clustered 16 model, test images, test labels,
'pruned_stripped_clustered_16_model.h5')
print('\nPruned Clustered Model Accuracy:', test acc*100, '%')
print("Pruned Clustered Model Size: %.2f bytes" % (model size))
print("Pruned Clustered Inference Time is", executionTime, "s")
print("Model sparsity post-clustered")
print_model_weights_sparsity(pruned_stripped clustered 16 model)
Pruned Clustered Model Accuracy: 98.14000129699707 %
Pruned Clustered Model Size: 61097.00 bytes
Pruned Clustered Inference Time is 0.0002096734285354614 s
Model sparsity post-clustered
kernel:0: 0.00% sparsity (0/100352)
kernel:0: 0.00% sparsity (0/1280)
```

Observations Pruning with 50% Sparsity and Clustering with 2 and 16 Clusters.

When performing pruning on the base model, it was verified that the sparsity was 50% using the print_model_weights_sparsity function. However after performing sparsity unaware clustering, the model sparsity was lost and was confirmed to be 0% using the same function to verify.

Performance wise, when pruning and clustering methods are applied with 50% sparsity and 2 clusters. The model achieved a 73.95% accuracy, 20002 bytes, and 146.14us inference time. In relation to Q1 when only applying 2 clusters without pruning, the model achieved 66.75% accuracy, 24481 bytes, and 154.13us inference time. In summary applying 50% pruning and 2 clusters, resulted in 7.2% increase in model accuracy, 4479 bytes decrease in model size, and 7.99us decrease in inference time.

Lastly, when applying 50% pruning and 16 clusters, the model achieved 98.14% accuracy, 61097 bytes, and 209.67us inference time. In relation to Q1, when only applying 16 clusters without pruning, the model achieved 98.01% accuracy, 75470 bytes, and 211.11us inference time. In summary, the model accuracies remained marginally the same but a slight 0.13% increase in accuracy is observed when applying both pruning and clustering. There is also significant decrease of 14373 bytes in model size and 1.44us decrease in inference time when applying both pruning and clustering.

Question 3 (2.5 points): Now apply iterative pruning (50% sparsity) followed by **sparsity preserving clustering**

(https://www.tensorflow.org/model_optimization/guide/combine/sparse_clustering_example).

Print the final sparsity of your model and evaluate your final model. Compare the effects of combining the techniques together vs the techniques individually. Comment on how sparsity-preserving clustering differs from regular weight clustering.

```
# Sparsity preserving clustering
tensorflow model optimization.python.core.clustering.keras.experimenta
l import (
    cluster,
)
def sparsity aware clustering(model, nc: int=16, centroid init:
str=None):
    0.00
    This function applies weight clustering to a model.
    Parameters
        model: Keras model
          This is a loaded keras model.
        nc: int
          This is the number of clusters.
        centroid init: str
          This is the centroid initialization type.
    0.00
    if centroid init is None:
      CentroidInitialization =
tfmot.clustering.keras.CentroidInitialization
      centroid init = CentroidInitialization.LINEAR
    cluster weights = cluster.cluster weights
    clustering params = {
      'number of clusters': nc,
      'cluster centroids init': centroid init,
      'preserve sparsity': True
    sparsity clustered model = cluster weights(model,
**clustering params)
    sparsity clustered model.compile(
          optimizer='adam',
loss=keras.losses.SparseCategoricalCrossentropy(from logits=True),
          metrics=['accuracy'])
    sparsity clustered model.fit(train images, train labels,epochs=3,
```

```
validation_split=0.1)

# Stripped clustering is necessary to see the benefits of
clustering.
    stripped_clustered_model =
tfmot.clustering.keras.strip_clustering(sparsity_clustered_model)
    return sparsity_clustered_model, stripped_clustered_model
```

Perform Pruning with 50% Sparsity

```
base model = tf.keras.models.load model('trained base model.h5')
pruned model, stripped pruned model = iterative pruning(base model, 0,
0.5, 0, 3000, train images, train labels, 3)
print("Model sparsity pre-clustered")
print model weights sparsity(stripped pruned model)
stripped pruned model.save("trained stripped pruned base model.h5")
Epoch 1/3
0.0697 - accuracy: 0.9777 - val loss: 0.0656 - val accuracy: 0.9812
Epoch 2/3
0.0610 - accuracy: 0.9809 - val loss: 0.0636 - val accuracy: 0.9828
Epoch 3/3
0.0511 - accuracy: 0.9841 - val loss: 0.0651 - val accuracy: 0.9813
Model sparsity pre-clustered
dense/kernel:0: 50.00% sparsity (50176/100352)
dense 1/kernel:0: 50.00% sparsity (640/1280)
WARNING: tensorflow: Compiled the loaded model, but the compiled metrics
have yet to be built. `model.compile metrics` will be empty until you
train or evaluate the model.
c:\Users\johns\Documents\EngineeringRepositories\ENDG511\lab-env\Lib\
site-packages\keras\src\engine\training.py:3103: UserWarning: You are
saving your model as an HDF5 file via `model.save()`. This file format
is considered legacy. We recommend using instead the native Keras
format, e.g. `model.save('my_model.keras')`.
 saving api.save model(
```

Perform 16 Clustering with Sparsity Awareness on the Pruned Model

Note: clustering of 2 is unable to be performed with sparsity awareness due to a ValueError. Clustering Minimum Error

```
stripped_pruned_model =
tf.keras.models.load_model('trained_stripped_pruned_base_model.h5')
```

```
CentroidInitialization = tfmot.clustering.keras.CentroidInitialization
centroid init = CentroidInitialization.KMEANS PLUS PLUS
pruned clustered 16 model, pruned stripped clustered 16 model =
sparsity aware clustering(stripped pruned model, nc=16,
centroid init=centroid init)
pruned stripped clustered 16 model.save('pruned stripped clustered 16
model.h5')
WARNING: tensorflow: No training configuration found in the save file,
so the model was *not* compiled. Compile it manually.
Epoch 1/3
0.0260 - accuracy: 0.9920 - val loss: 0.0694 - val accuracy: 0.9817
Epoch 2/3
0.0210 - accuracy: 0.9936 - val loss: 0.0715 - val accuracy: 0.9782
Epoch 3/3
0.0205 - accuracy: 0.9938 - val_loss: 0.0716 - val_accuracy: 0.9815
WARNING: tensorflow: Compiled the loaded model, but the compiled metrics
have yet to be built. `model.compile metrics` will be empty until you
train or evaluate the model.
c:\Users\johns\Documents\EngineeringRepositories\ENDG511\lab-env\Lib\
site-packages\keras\src\engine\training.py:3103: UserWarning: You are
saving your model as an HDF5 file via `model.save()`. This file format
is considered legacy. We recommend using instead the native Keras
format, e.g. `model.save('my model.keras')`.
 saving api.save model(
test acc, model size, executionTime =
evaluate(pruned clustered 16 model, test images, test labels,
'pruned stripped clustered 16 model.h5')
print('\nPruned Clustered Model Accuracy:', test acc*100, '%')
print("Pruned Clustered Model Size: %.2f bytes" % (model size))
print("Pruned Clustered Inference Time is", executionTime, "s")
print("Model sparsity post-clustered")
print model weights sparsity(pruned stripped clustered 16 model)
Pruned Clustered Model Accuracy: 97.97000288963318 %
Pruned Clustered Model Size: 45731.00 bytes
Pruned Clustered Inference Time is 0.00025818610191345216 s
Model sparsity post-clustered
kernel:0: 64.39% sparsity (64616/100352)
kernel:0: 53.59% sparsity (686/1280)
```

Observations Sparsity Awareness Clustering with 16 Clusters

When sparsity awareness clustering is applied, the model achieves an accuracy of 97.97%, model size of 45731 bytes, and an inference time of 258.19us. Additionally, using the function print_model_weights_sparsity verifies that the model preserves its sparsity, but does not keep it exactly at 50%, providing 64.39% and 53.59% sparsities instead at the kernels.

For clustering a model without sparsity awareness results in a model with 98.14% accuracy, 61097 bytes, and 209.67us inference time. Yet using the same function to verify the sparsity level shows 0% sparsity indicating sparsity was not preserved.

Lab Summary of Observations

One shot pruning and Iterative pruning did not have considerable differences in the model accuracy, size, and inference time. However it was observed that iterative pruning had slightly better performances in the aspects above, but not a whole lot of margin.

Having more clusters generally improves the model performances in terms of accuracy, but it does increase the model size and the inference time.

Including pruning with low clusters improves accuracy, but it still does not achieve a higher accuracy than the model with many clusters. However, including pruning with many clusters yeilds exceptional results by keeping the accuracy the same, but decreases both the model size and the inference time.

Implementing sparsity awareness clustering after pruning shows a slight decrease in model accuracy in comparison to non-sparsity awareness clustering. However, it shows even more reduction in model size, but a slight increase in the inference time of the model.

Sparsity preserving clustering differs from regular clustering in the sense that it maintains the % of pruning of the model, keeping the values of the weights intact that are close to zero when redistributing the weights during the clustering operations.

Summary Results Shown Below

One Shot 90% Pruning Lab 2

- Pruned Model Accuracy: 95.3499972820282 %
- Pruned Model Size: 80123.00 bytes
- Pruned Inference Time is 9.749836921691894e-05 s

Iterative Pruning with Final Sparsity at 90%

- Pruned Model Accuracy: 95.95999717712402 %
- Pruned Model Size: 77191.00 bytes
- Pruned Inference Time is 8.017294406890869e-05 s

Clustering with 2 Clusters

- Clustered Model Accuracy: 66.75000190734863 %
- Clustered Model Size: 24481.00 bytes
- Clustered Inference Time is 0.00015413177013397217 s

Clustering with 16 Clusters

- Clustered Model Accuracy: 98.00999760627747 %
- Clustered Model Size: 75470.00 bytes
- Clustered Inference Time is 0.00021111106872558595 s

50% Pruning with 2 Clusters

- Pruned Clustered Model Accuracy: 73.94999861717224 %
- Pruned Clustered Model Size: 20002.00 bytes
- Pruned Clustered Inference Time is 0.00014613535404205323 s
- Model sparsity post-clustered
- kernel:0: 0.00% sparsity (0/100352)
- kernel:0: 0.00% sparsity (0/1280)

50% Pruning with 16 Clusters

- Pruned Clustered Model Accuracy: 98.14000129699707 %
- Pruned Clustered Model Size: 61097.00 bytes
- Pruned Clustered Inference Time is 0.0002096734285354614 s
- Model sparsity post-clustered
- kernel:0: 0.00% sparsity (0/100352)
- kernel:0: 0.00% sparsity (0/1280)

Sparsity Awareness Clustering with 50% Pruning

- Pruned Clustered Model Accuracy: 97.97000288963318 %
- Pruned Clustered Model Size: 45731.00 bytes
- Pruned Clustered Inference Time is 0.00025818610191345216 s
- Model sparsity post-clustered
- kernel:0: 64.39% sparsity (64616/100352)
- kernel:0: 53.59% sparsity (686/1280)