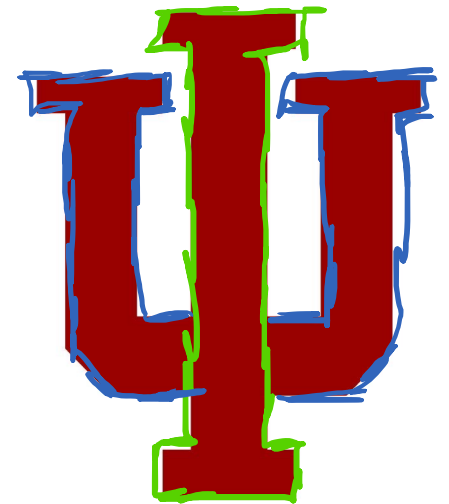


# Introduction to Hardware Security

Trey Austin Yi fan Clare  
Chris Max Jack Will Michael  
Andrew

Engr 399/599: Hardware Security  
Andrew Lukefahr  
*Indiana University*



Adapted from: Mark Tehranipoor of University of Florida

Course Website

engr599.github.io

Room  
2-3-5  
Pass code:

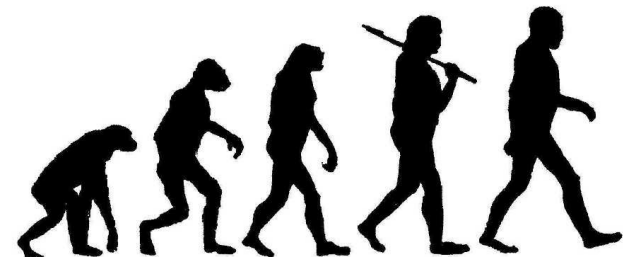
Write that down!

# Why Hardware Security?

- *Cybersecurity experts have traditionally assumed that the hardware underlying information systems is secure and trusted.*
- ***Such assumptions are not true.***

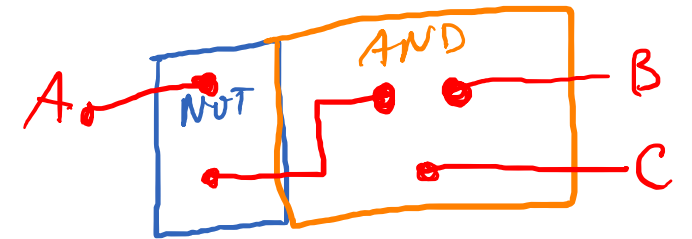
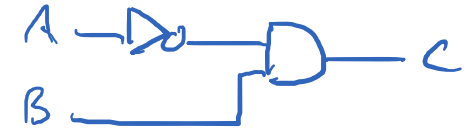
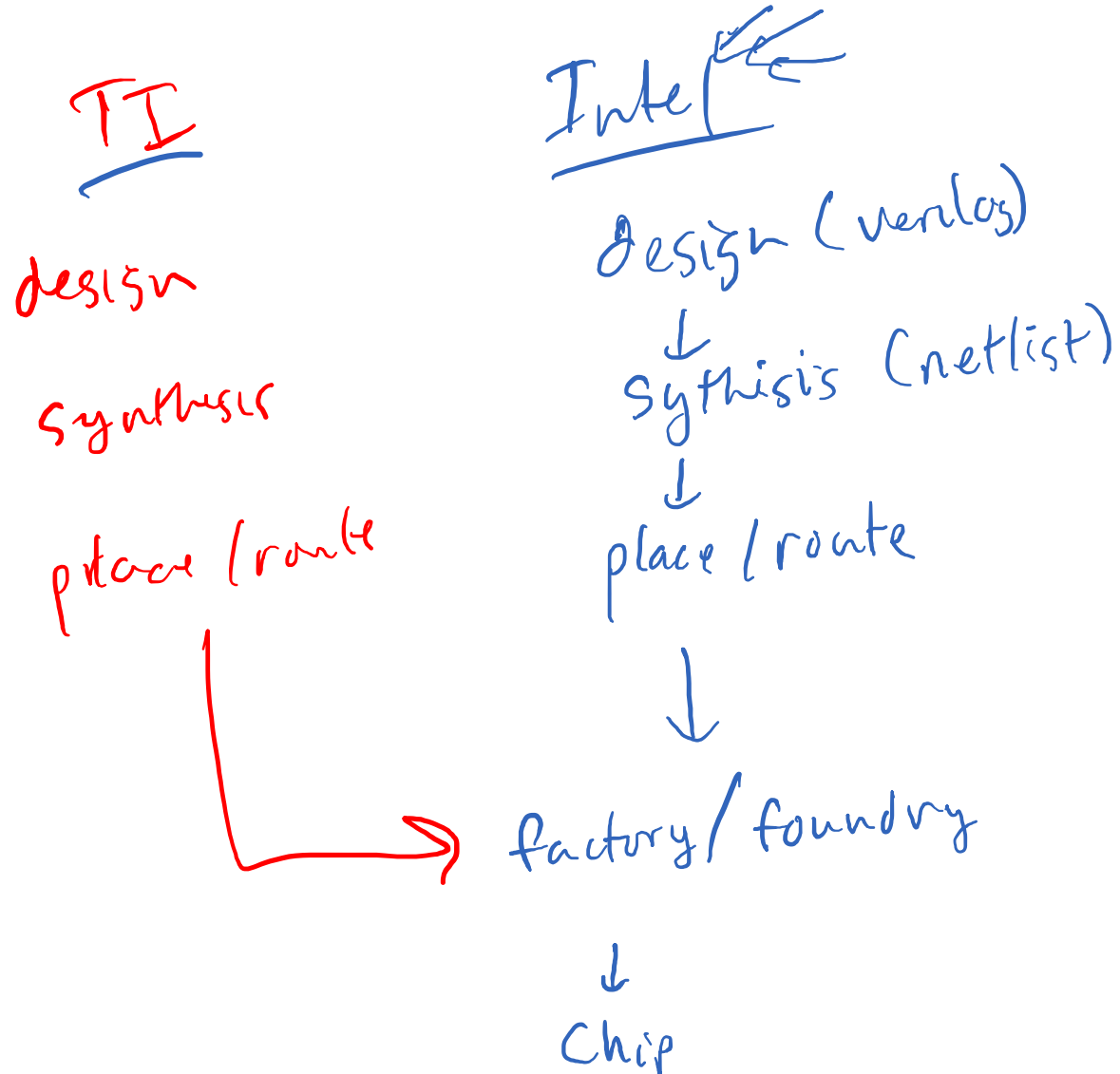
# Evolution of Hardware Security and Trust

- ▶ **Prior to 1996:** Coating, encapsulation, labeling, taping, ... still many companies don't spend much for securing their hardware
- ▶ **1996:** Extracting secret keys using power analysis – started the side-channel signal analysis era
- ▶ **1998:** Hardware unique ID
- ▶ **2002:** Physically Unclonable Functions (PUFs), True Random Number Generation (TRNG), Hardware tagging
- ▶ **2004-2007:** DARPA TRUST, Hardware trust
- ▶ **2008:** DARPA IRIS Program – Reverse engineering, tampering, and reliability
- ▶ **2008:** Counterfeit ICs
- ▶ **2012:** Senate Armed Services – National Defense Authorization Act (NDAA) 2012
- ▶ **2014:** DARPA SHIELD – Supply chain security
- ▶ **2015:** DARPA LADS
- ▶ More...



assign c = ~a & b;

# Old Hardware Business Model



Cutting-edge foundries

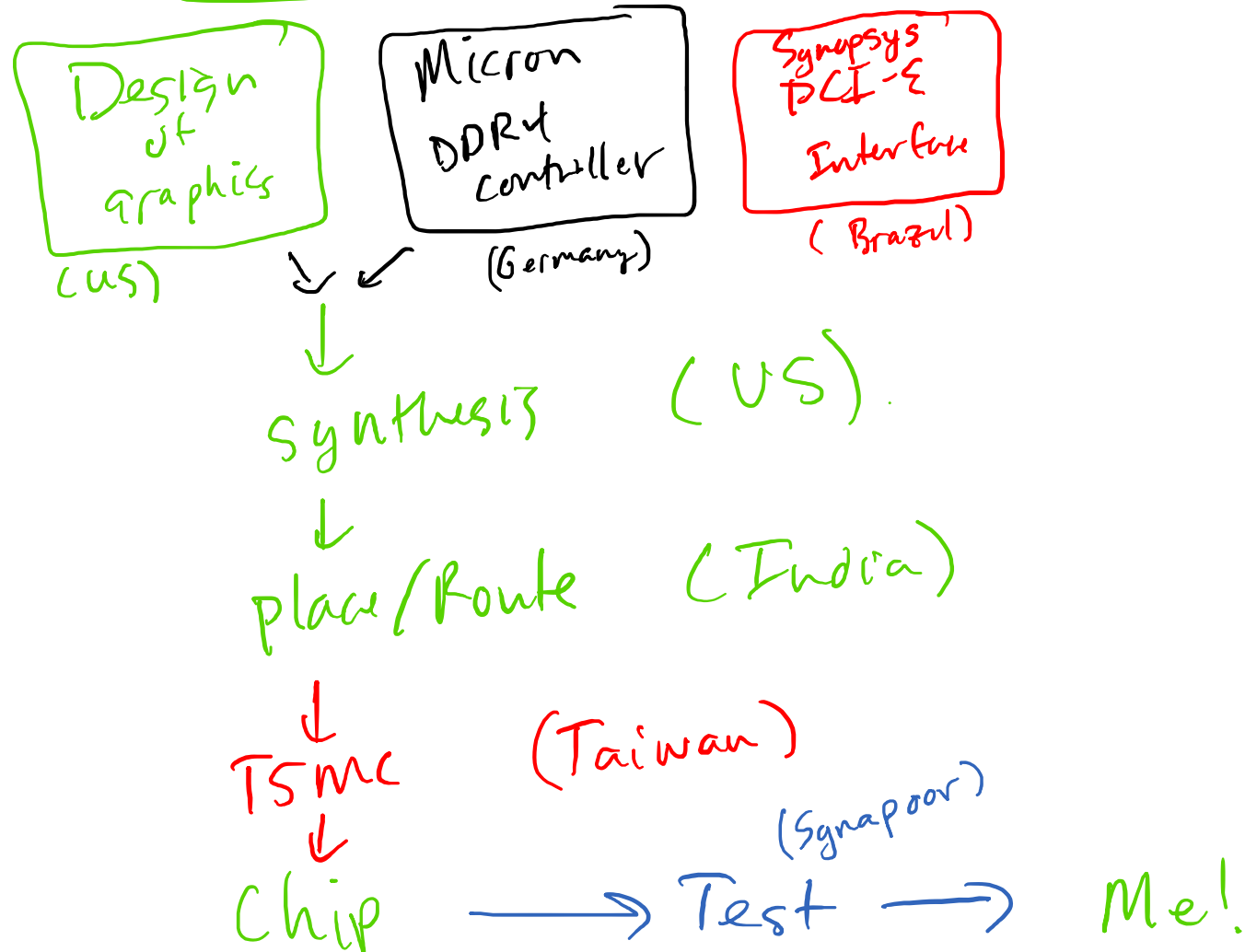
TSMC

Samsung

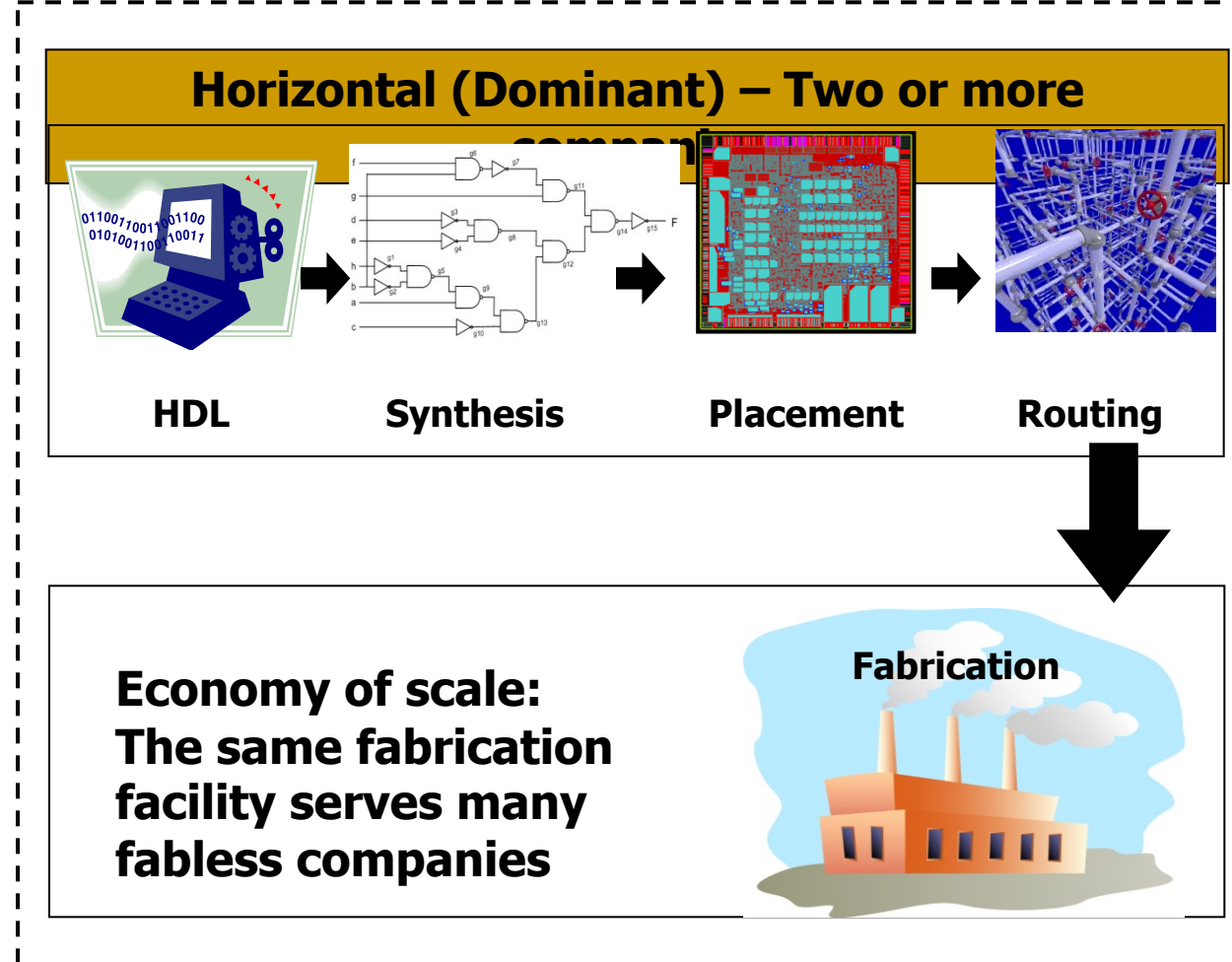
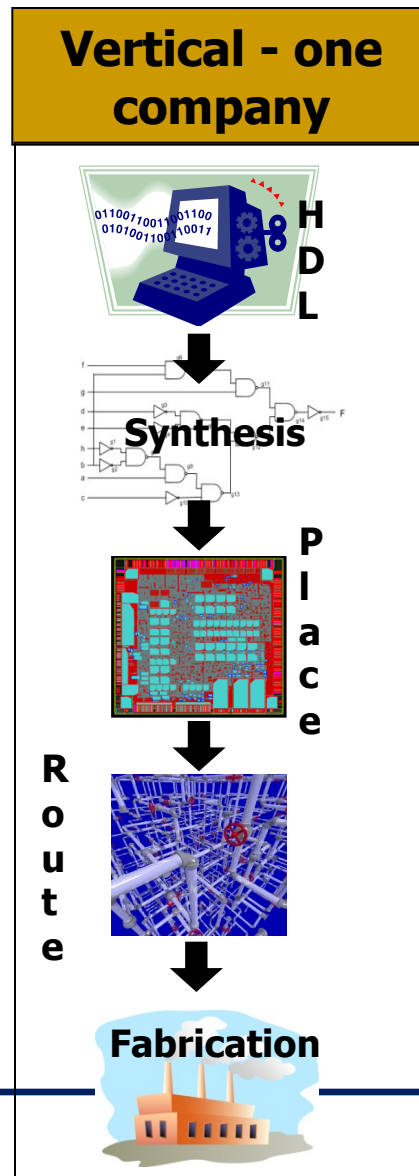
Intel

# New Hardware Business Model

Nvidia - fabless - semiconductor

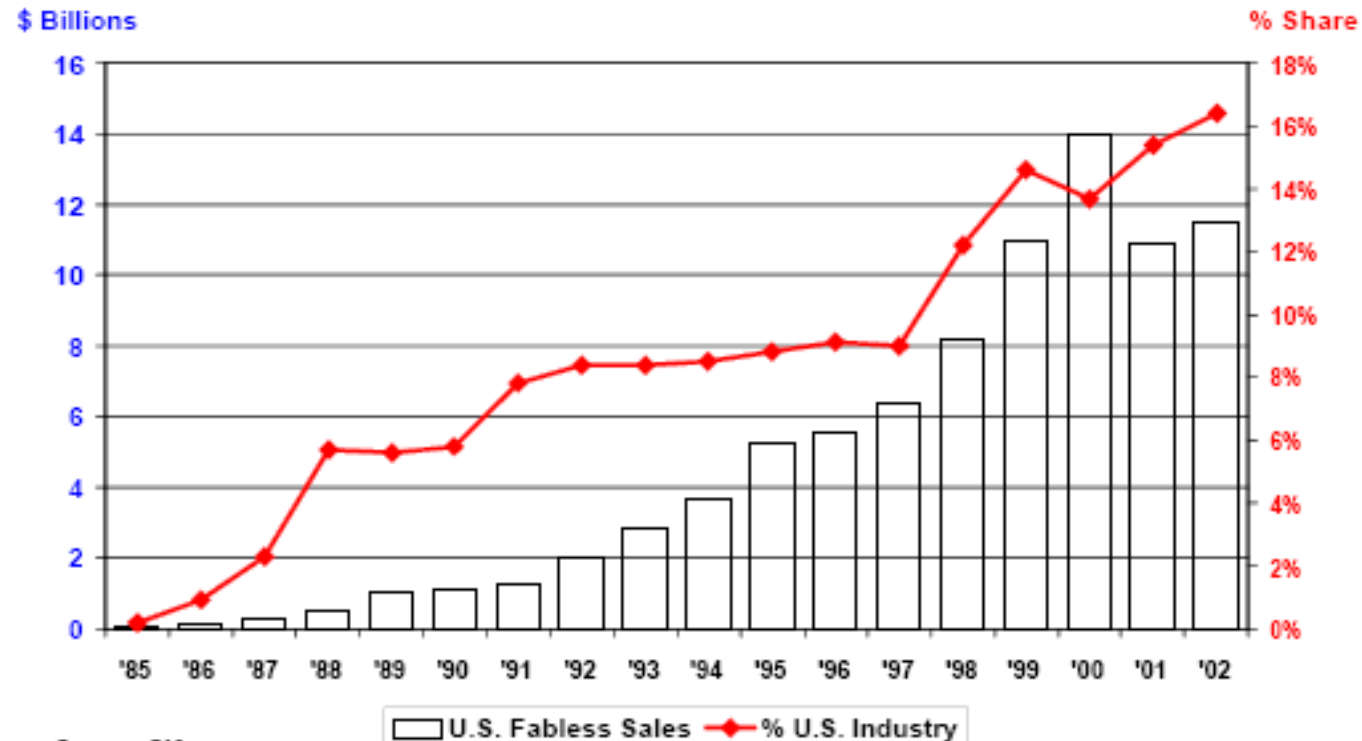


# Shift in the Industry's Business Model



# Microelectronic Industry Business Model

The fabless/foundry business model has grown to 16% of the U.S. chip industry. The trend is strongest in the leading process technology portion of the industry

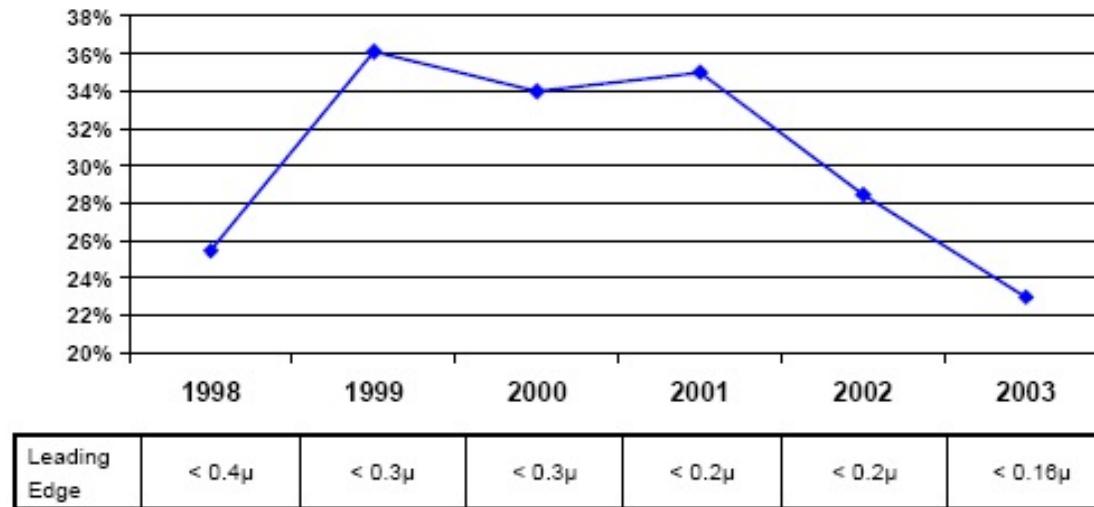


Source: SIA



# Leading-Edge Technology

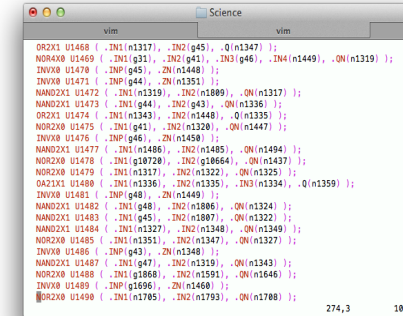
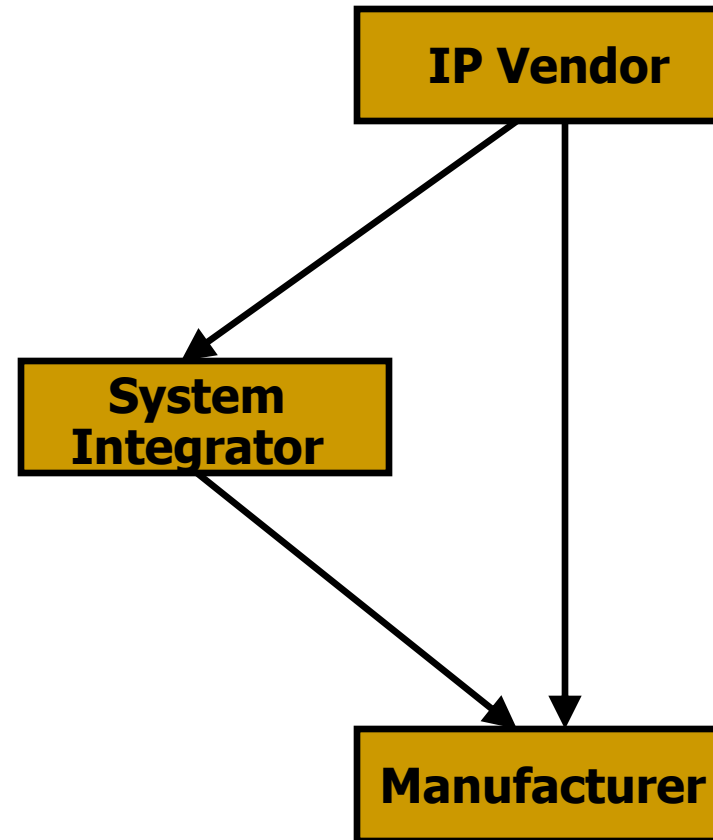
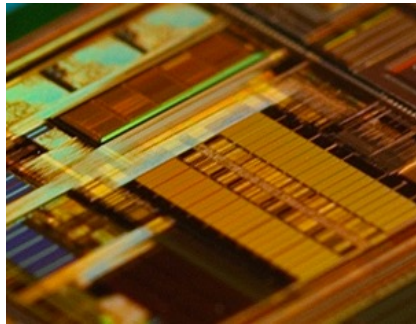
U.S. industry's share of capital expenditures falling and in leading edge semiconductor manufacturing capacity.



Source: SICAS/SIA

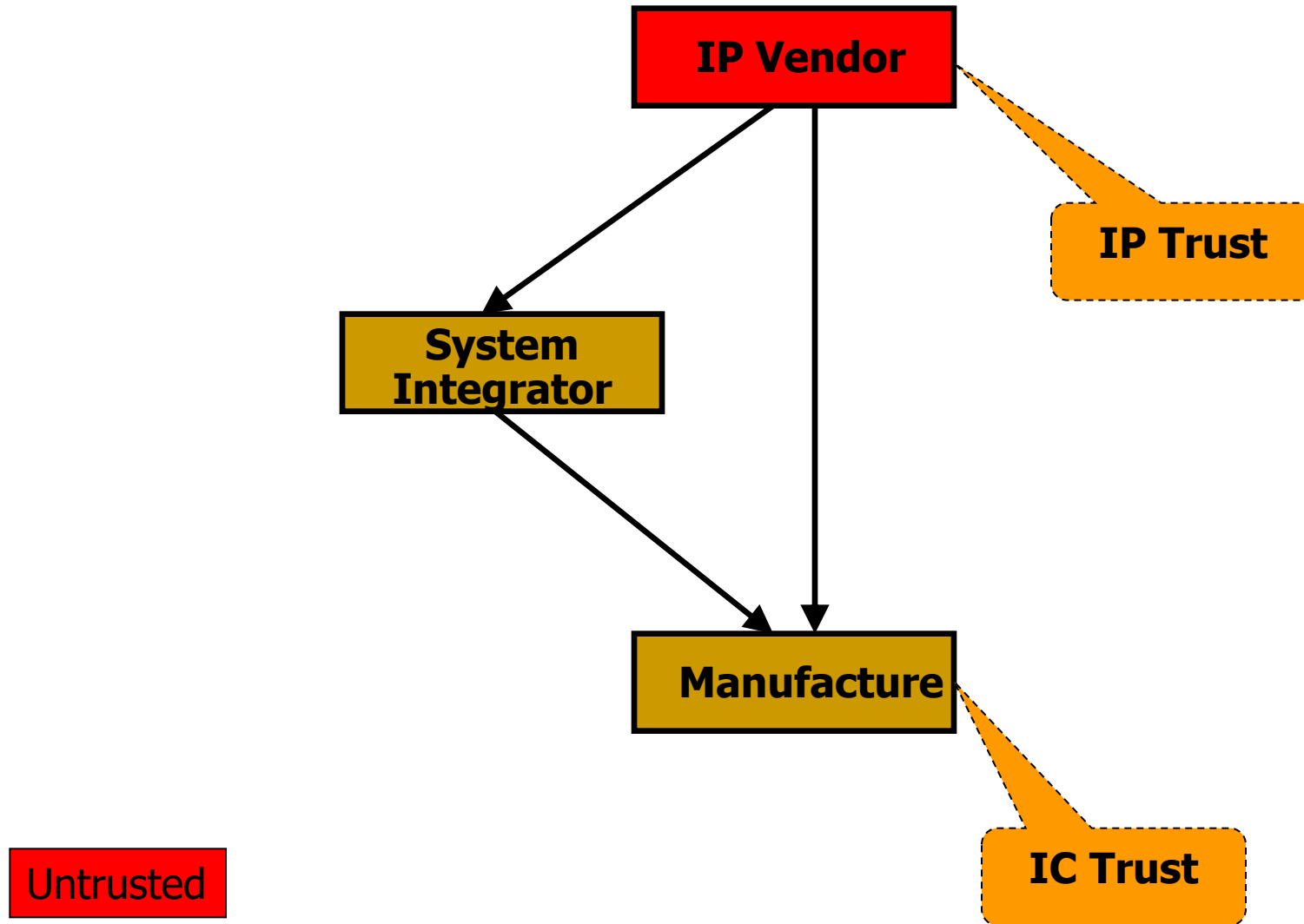
- The cost of building a full-scale, 300 mm wafer 65nm process chip fabrication plant is about \$3bn

# HW Threats

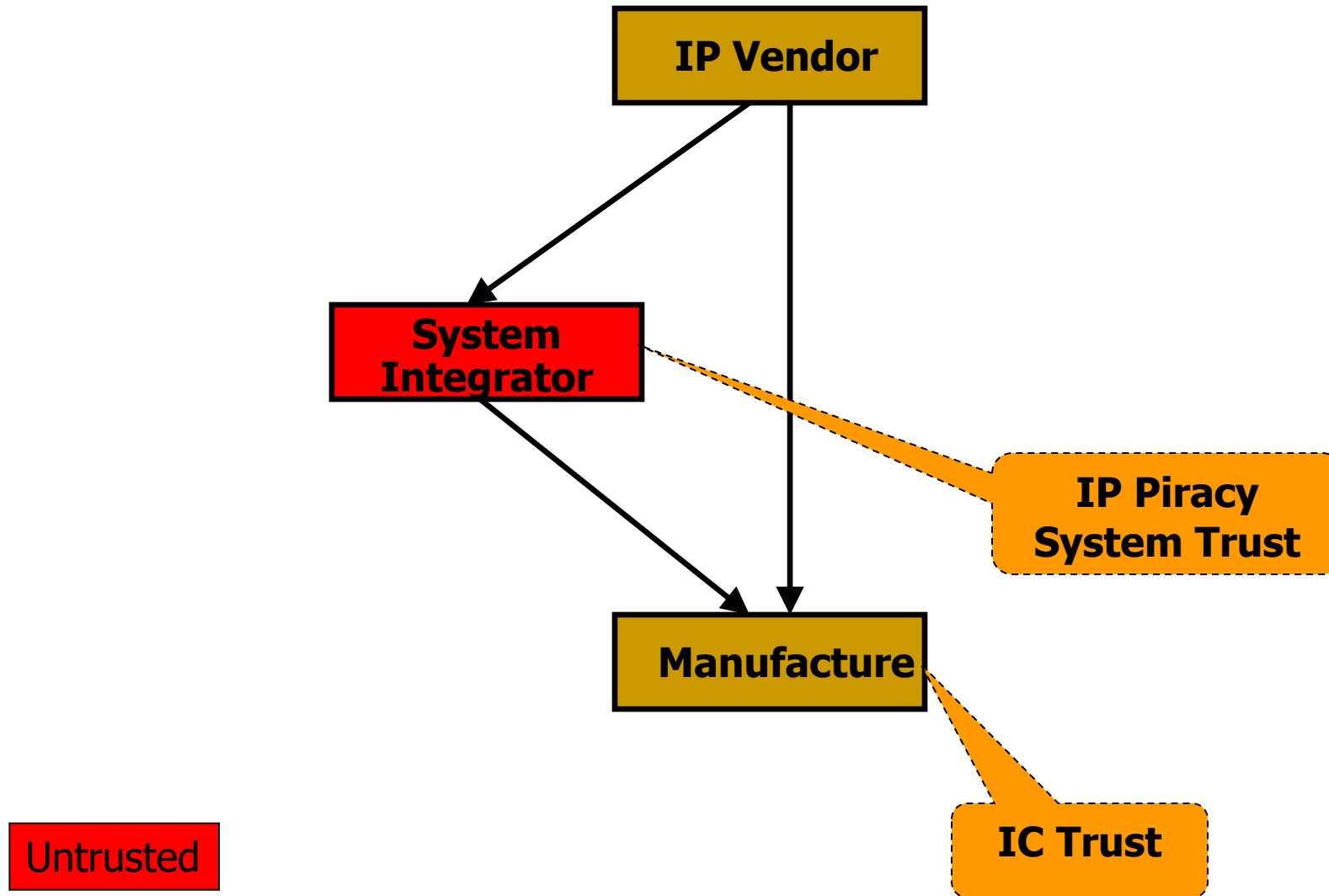


**Any of these steps can be untrusted**

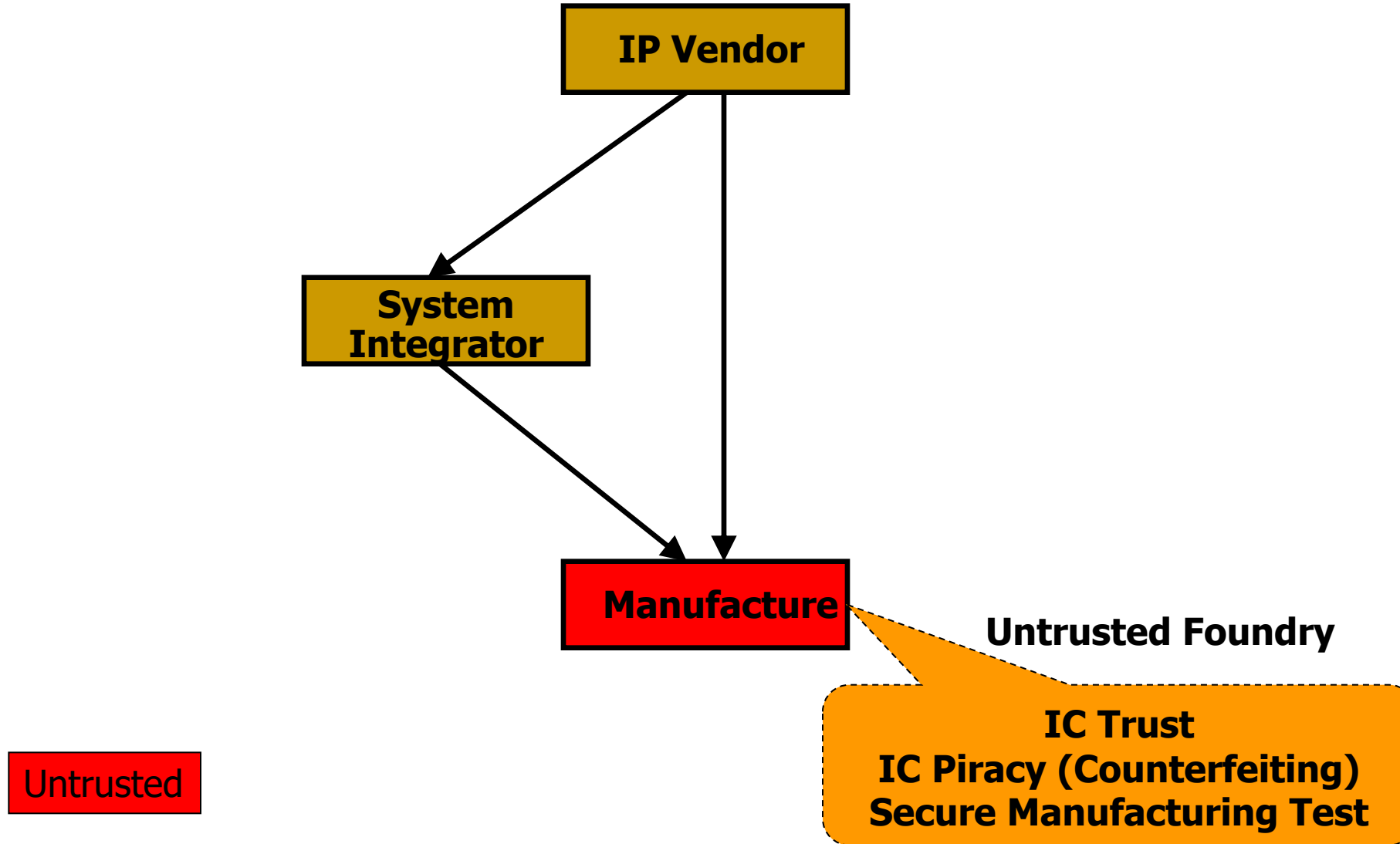
# HW Threats



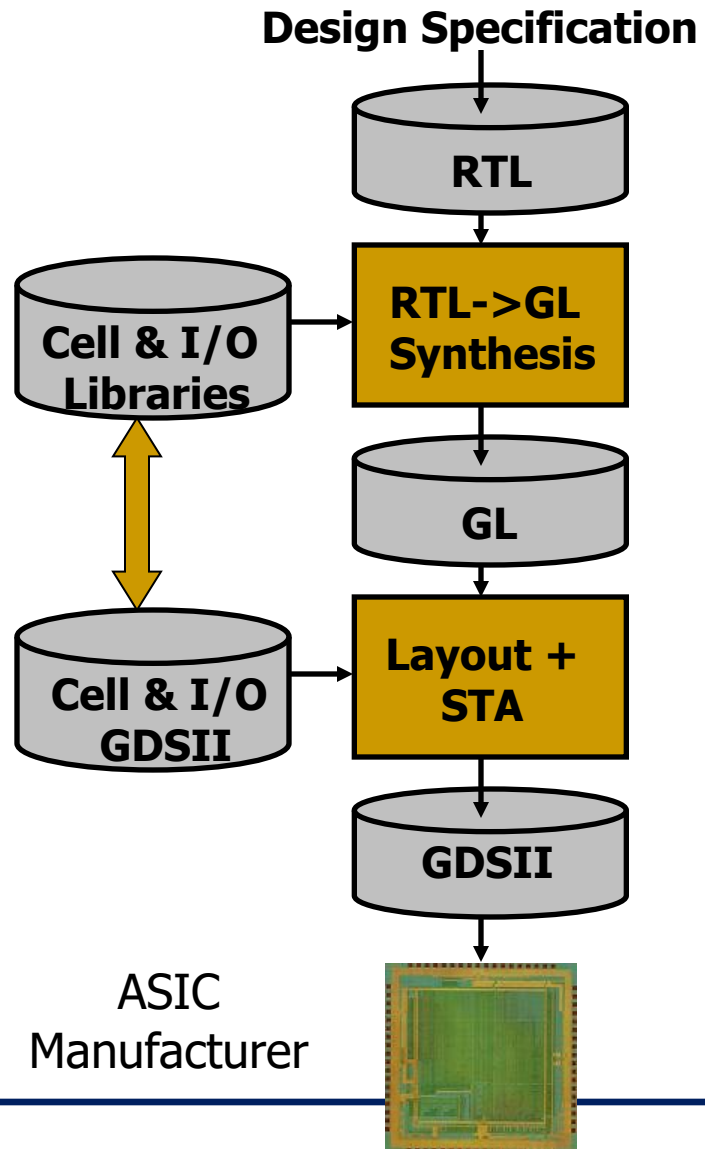
# HW Threats



# HW Threats

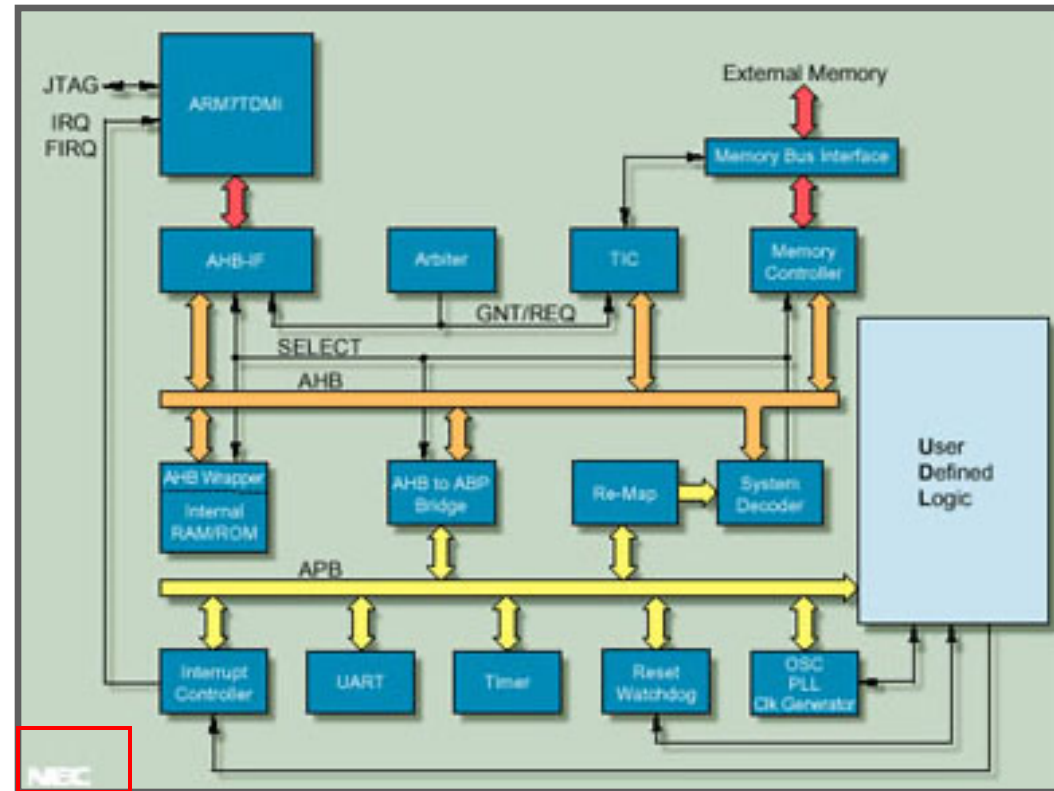


# Design Process – Old Way

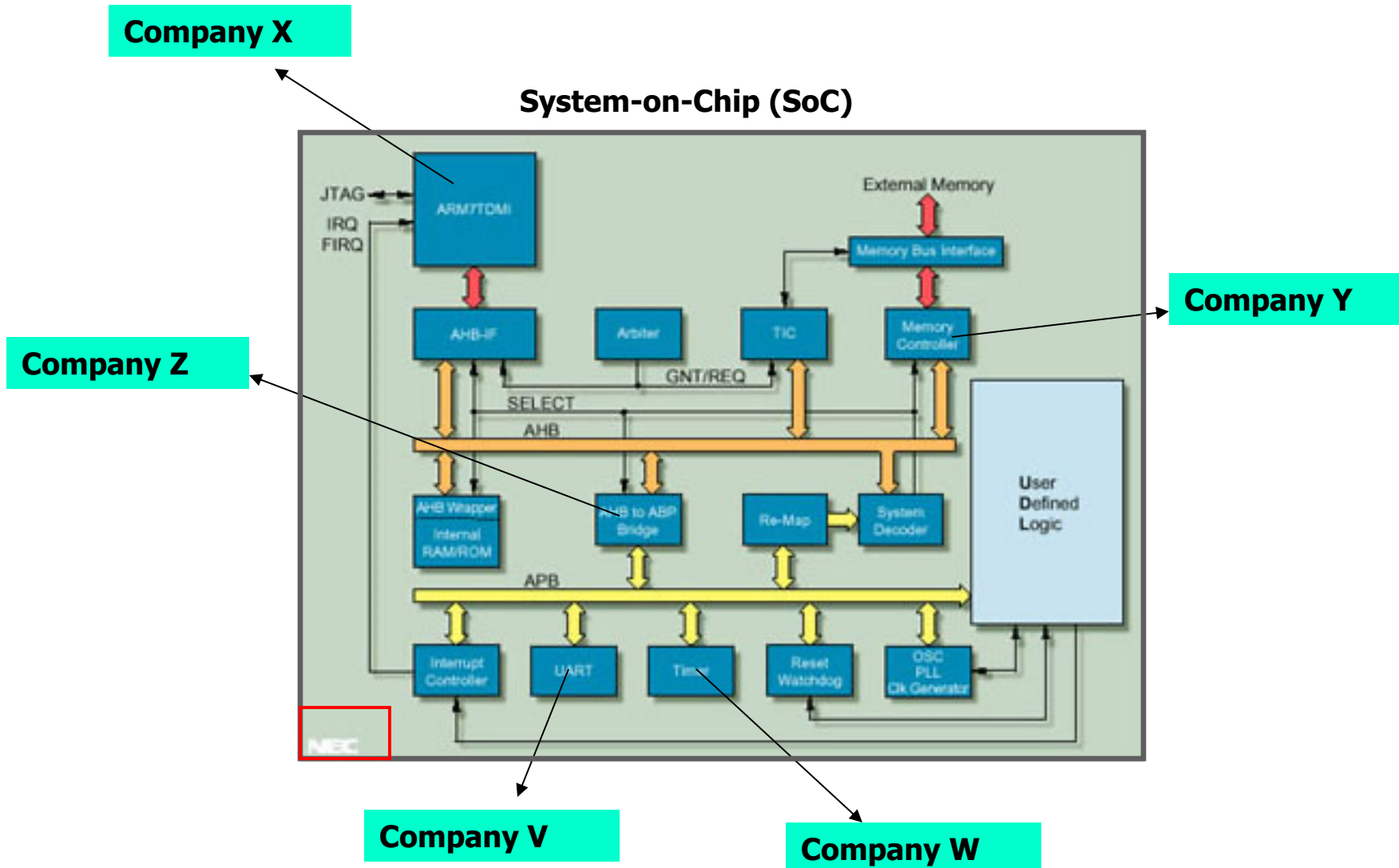


# Issues with Third-Party IP Design

System-on-Chip (SoC)

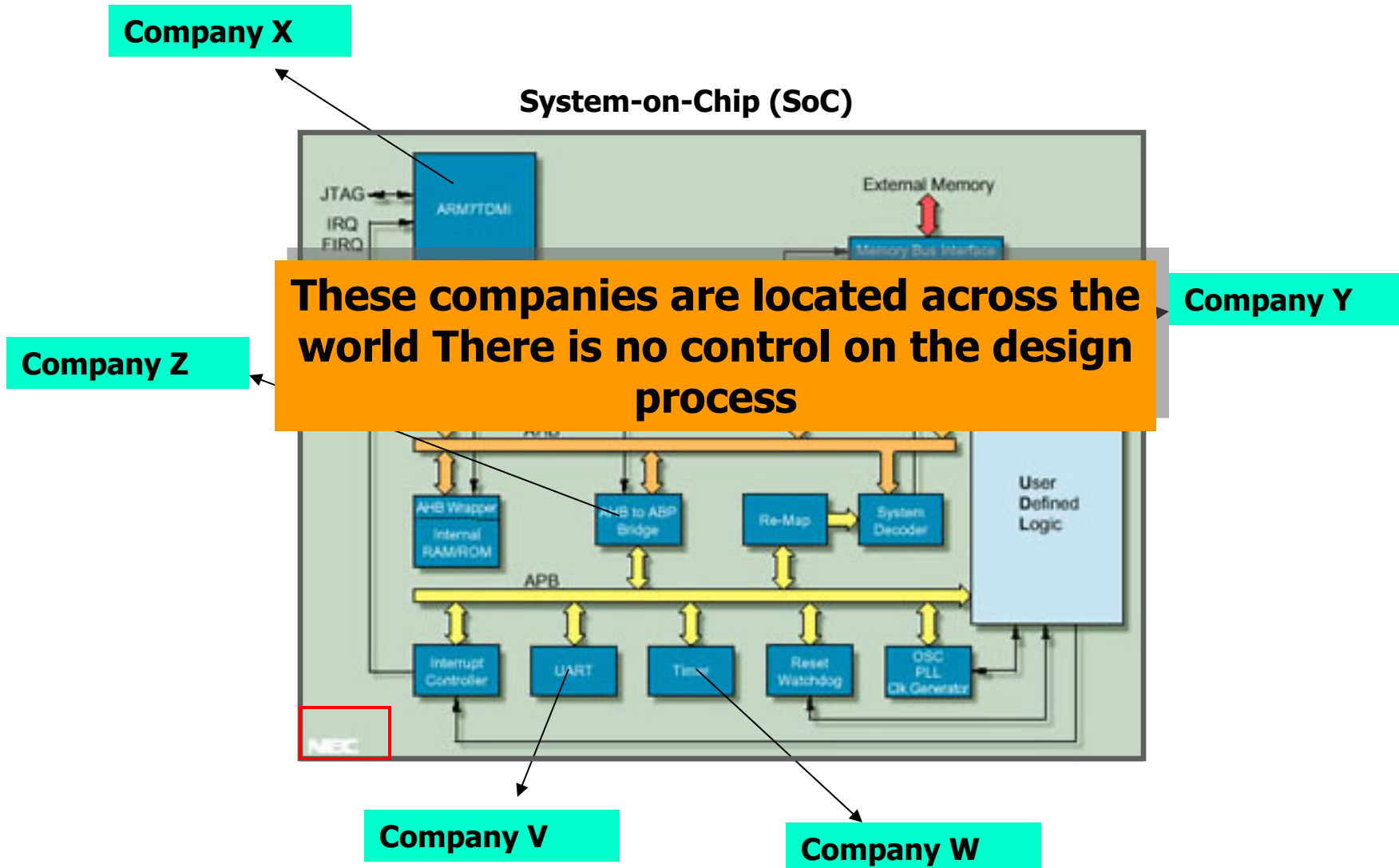


# Issues with Third-Party IP Design

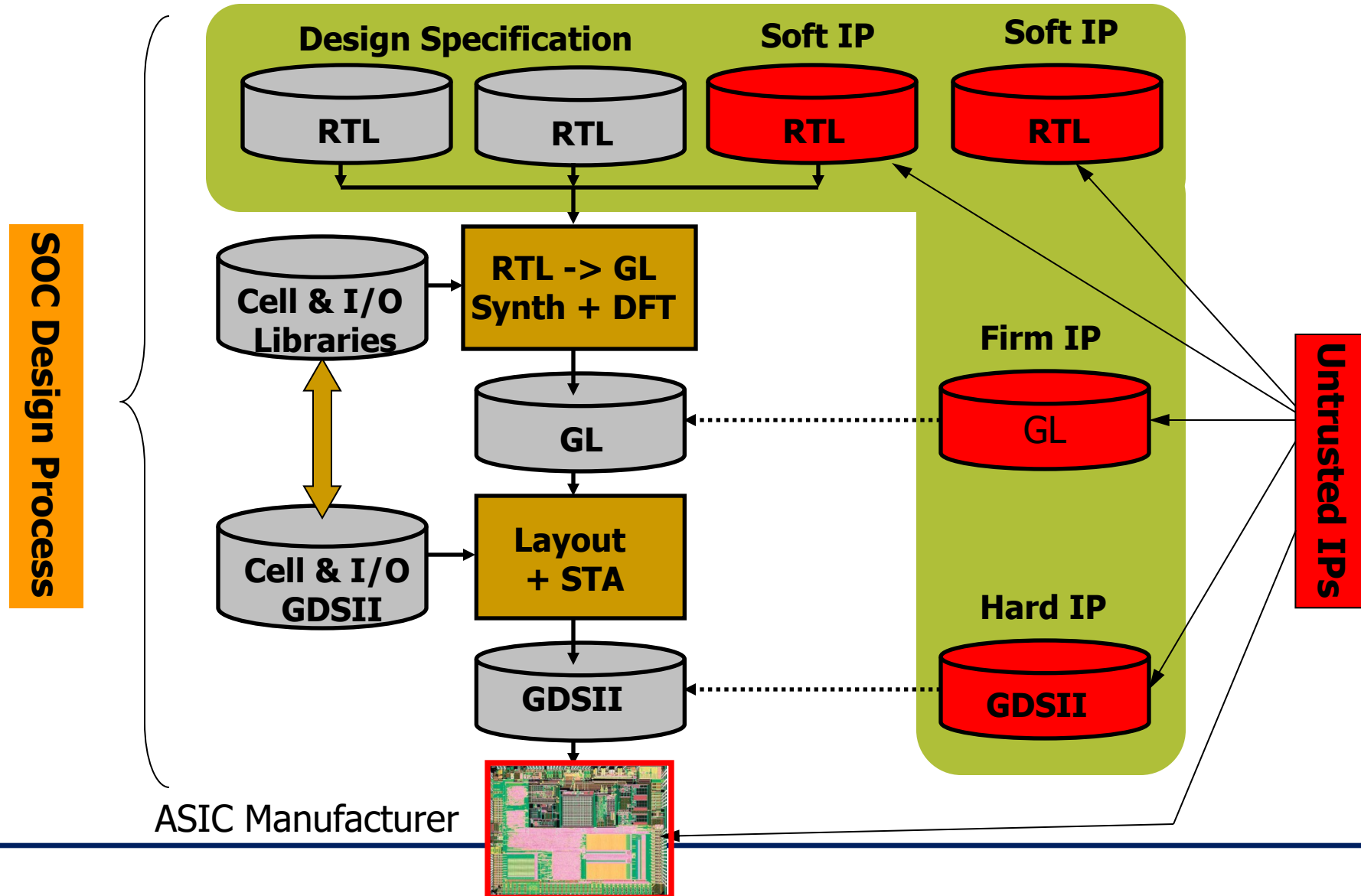




# Issues with Third-Party IP Design



# Design Process – New Way



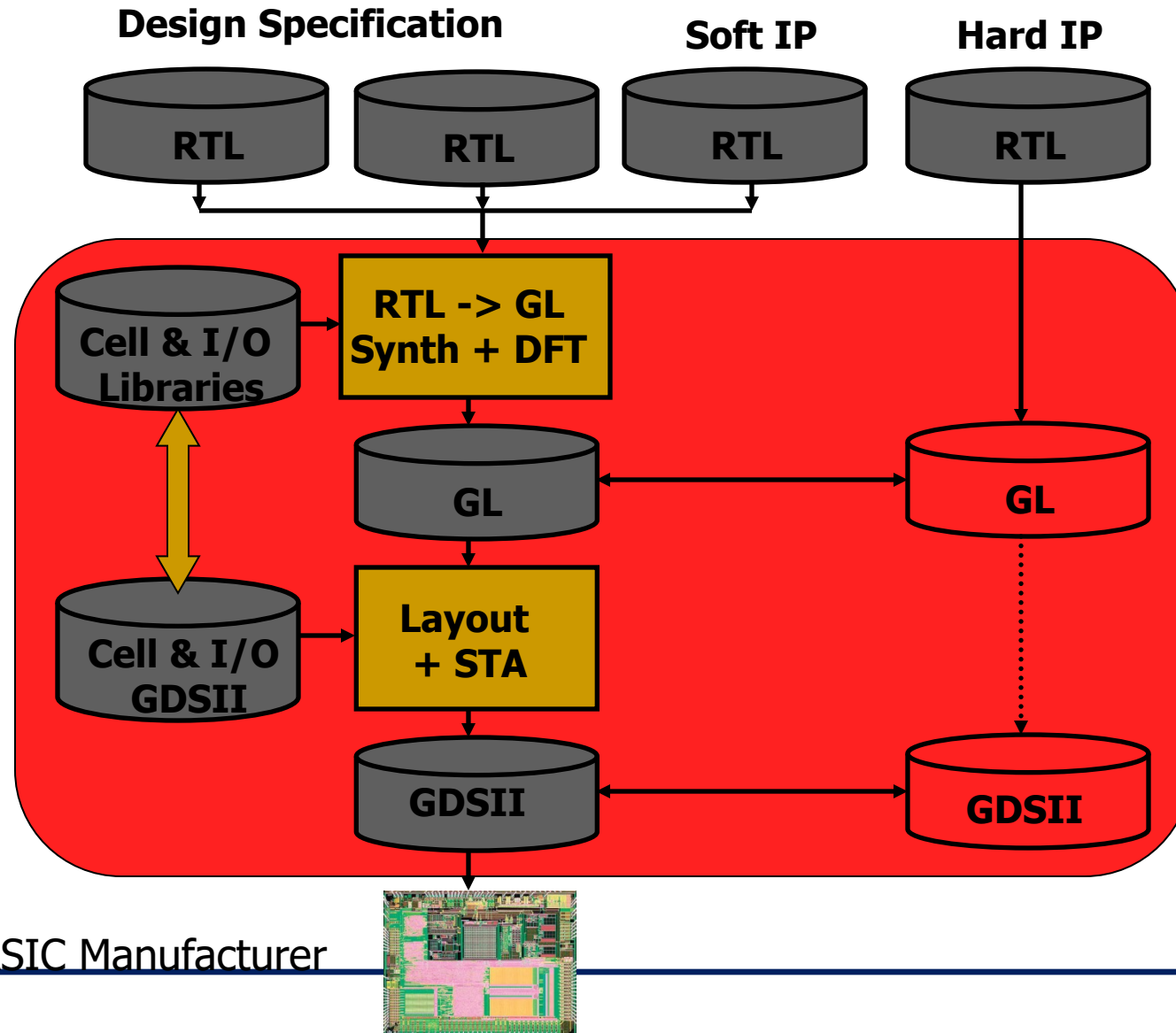
# Who Develops the IPs? Who Designs the ICs? Who Fabricates Them?



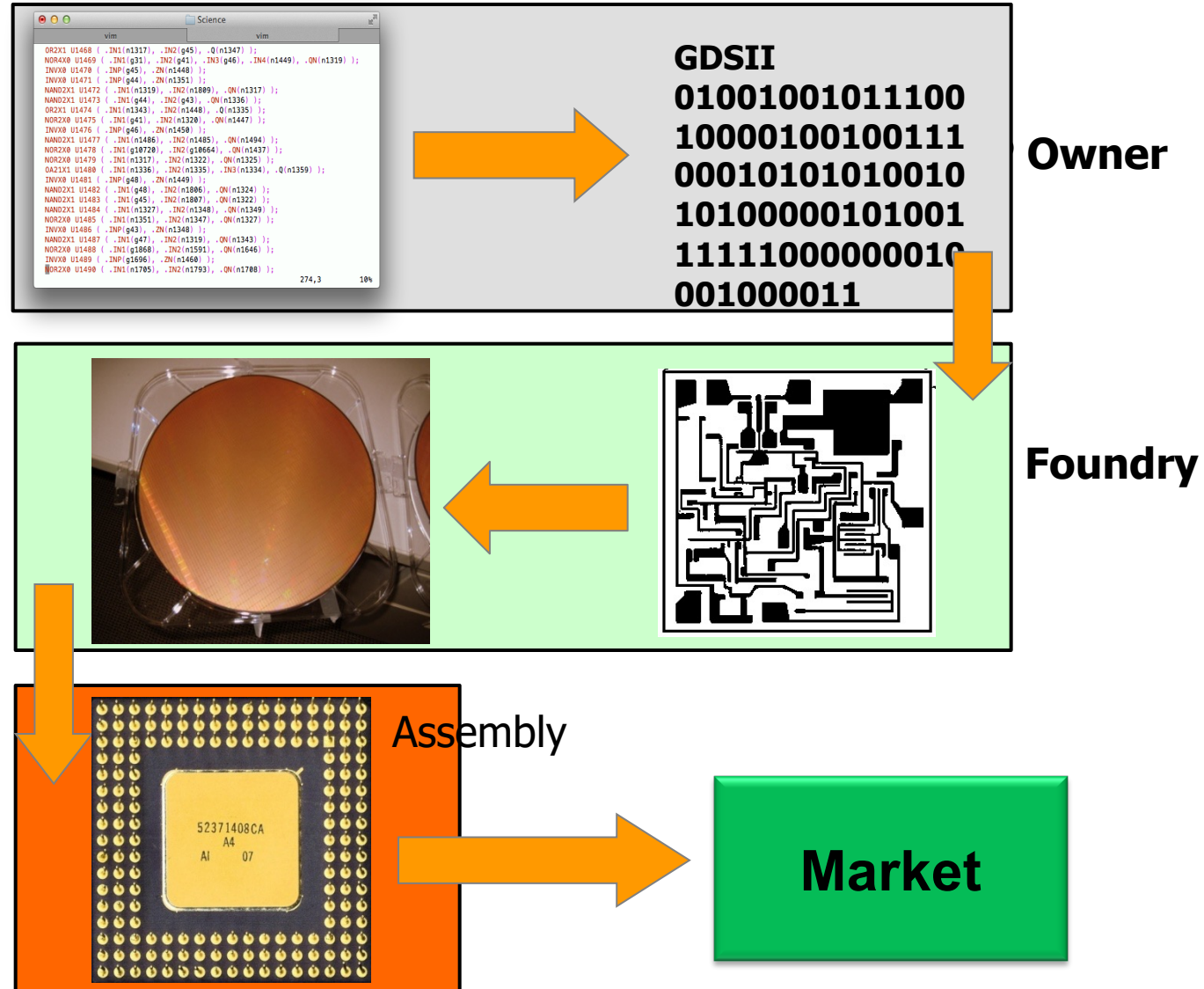
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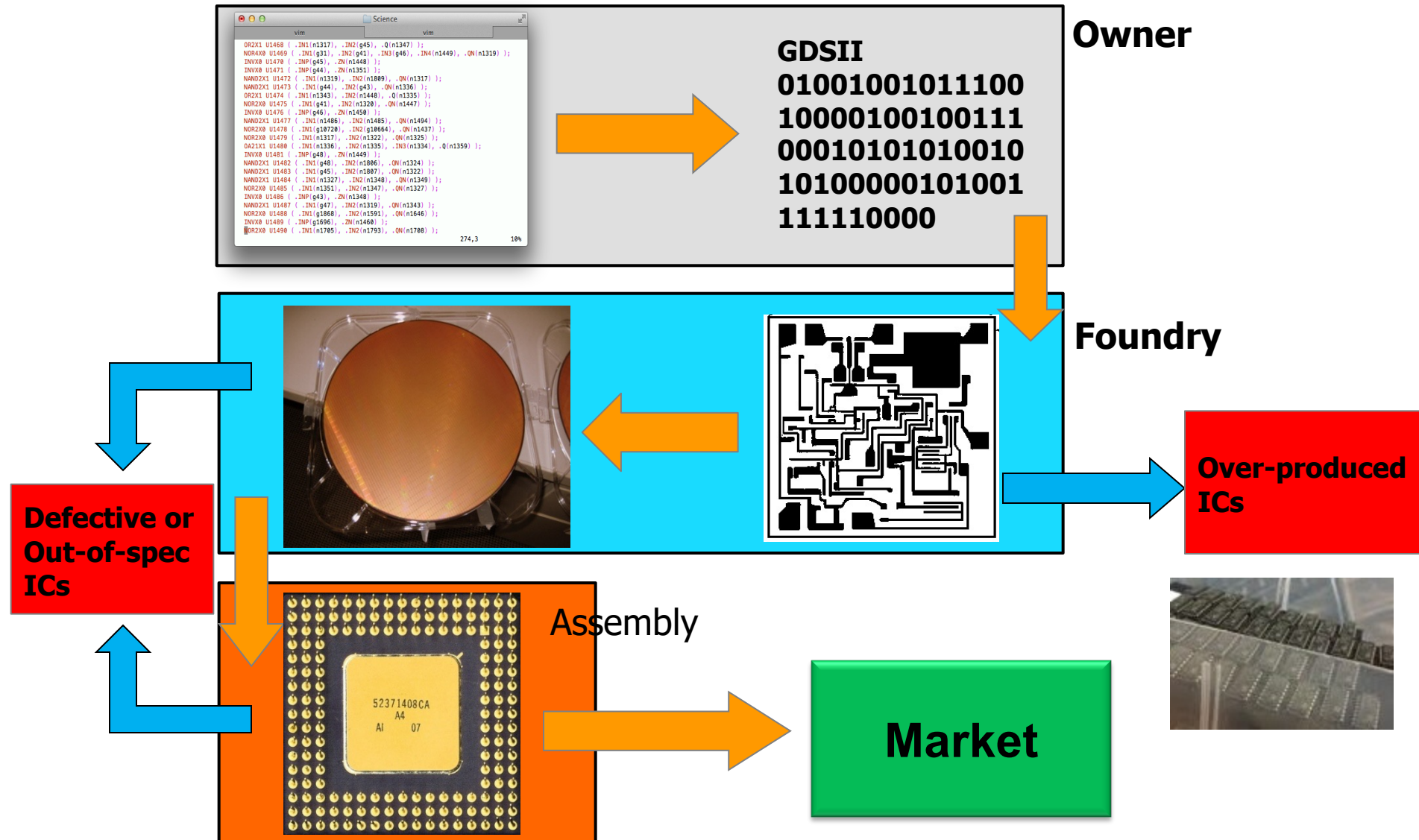
# Untrusted System Integrator



# Counterfeiting



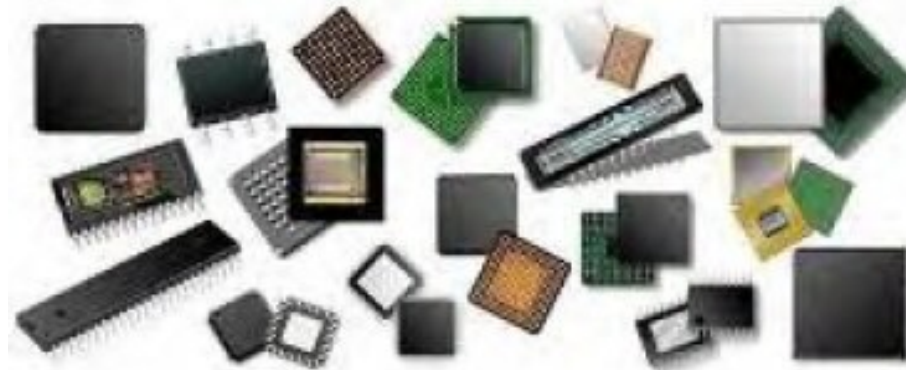
# Counterfeiting





# IC Counterfeiting

- Most prevalent attack today
- Unauthorized production of wafers
- It is estimated that counterfeiting is costing semiconductor industry more than several billion dollars per year



**Over production**

**Off-spec parts**

**Defective parts**

**Cloned ICs**

**Recycled ICs**



# IC Recycling Process

A recycling center



PCBs taken off of electronic systems



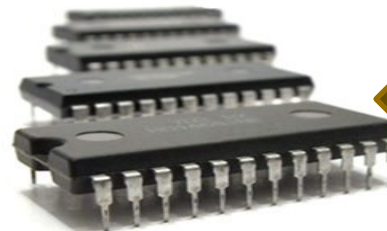
ICs taken off of PCBs



Critical Application



Resold as new



Refine recycled ICs

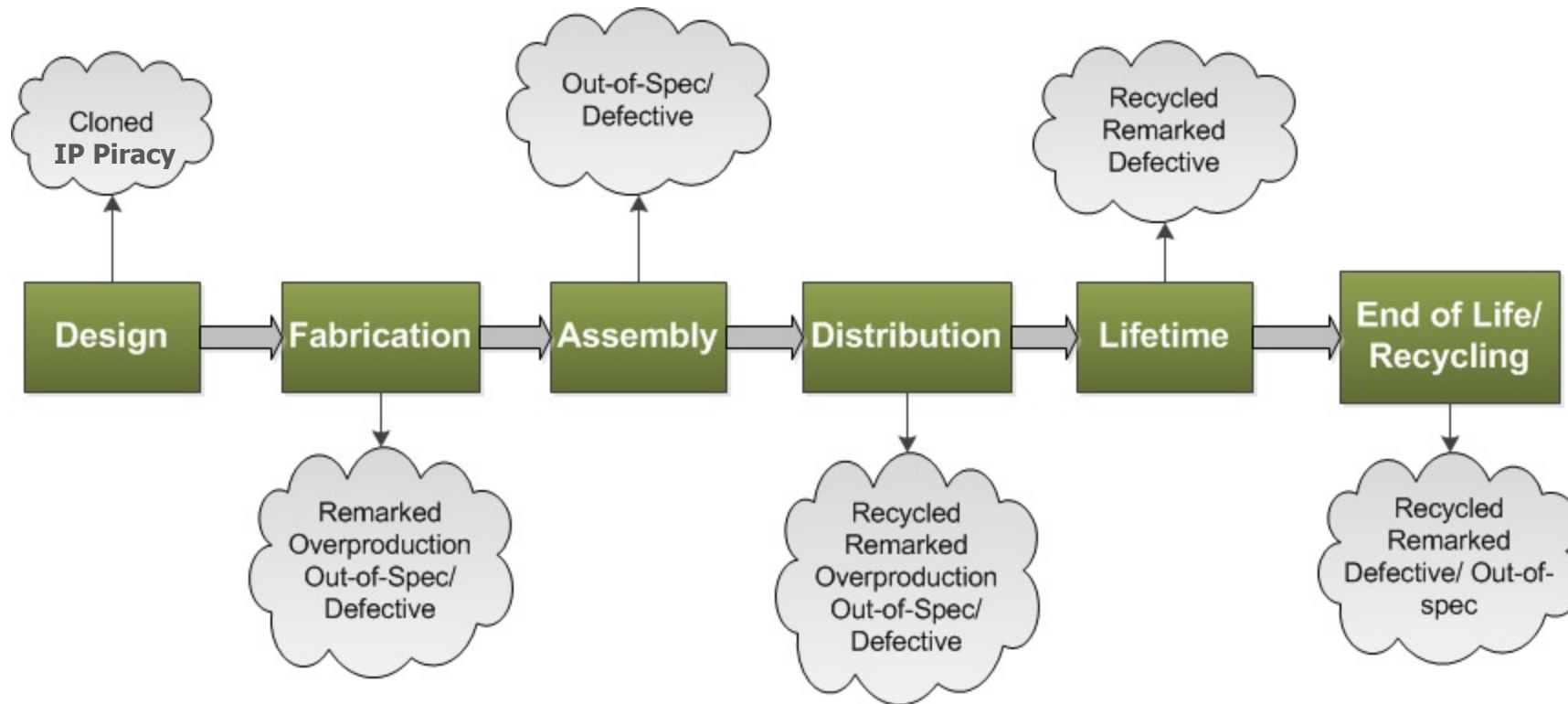


**Identical:**

Appearance, Function, Specification

**Consumer trends suggest that more gadgets are used in much shorter time – more e-waste**

# Supply Chain Vulnerabilities



# Some Basic Definitions

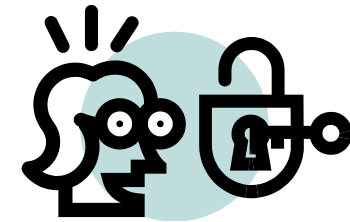
stop here!

- **Intellectual property** represents the property of your mind or intellect - proprietary knowledge
- The four legally defined forms of IP
  - **Patents** When you register your invention with the government, you gain the legal right to exclude anyone else from manufacturing or marketing it
  - **Trademarks** A trademark is a name, phrase, sound or symbol used in association with services or products
  - **Copyrights** Copyright laws protect written or artistic expressions fixed in a tangible medium
  - **Trade secrets** A formula, pattern, device or compilation of data that grants the user an advantage over competitors

# Some Basic Definitions (Cont'd)

## ■ Cryptography:

- crypto (secret) + graph (writing)
  - the science of locks and keys
- The keys and locks are mathematical
- Underlying every security mechanism, there is a “secret”...
- We are going to talk some about the traditional crypto, but we will also show new forms of security based on other forms of HW-based secret



# What Does Secure Mean?

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- It has to do with an asset that has some value – think of what can be an asset!
- There is no static definition for “secure”
- Depends on what is that you are protecting your asset from
- Protection may be sophisticated and unsophisticated
- Typically, breach of one security makes the protection agent aware of its shortcoming



# Typical Cycle in Securing a System

- Predict potential breaches and vulnerabilities
- Consider possible countermeasures, or controls
- Either actively pursue identifying a new breach, or wait for a breach to happen
- Identify the breach and work out a protected system again



# Computer Security

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- No matter how sophisticated the protection system is – simple breaches could break-in
  - A computing system is a collection of hardware (HW), software (SW), storage media, data, and human interacting with them
  - Security of SW, data, and communication
  - HW security, is important and challenging
    - Manufactured ICs are obscure
    - HW is the platform running SW, storage and data
    - Tampering can be conducted at many levels
    - Easy to modify because of its physical nature
-

# Definitions



- **Vulnerability:** Weakness in the secure system
- **Threat:** Set of circumstances that has the potential to cause loss or harm
- **Attack:** The act of a human exploiting the vulnerability in the system
- **Computer security aspects**
  - **Confidentiality:** the related assets are only accessed by authorized parties
  - **Integrity:** the asset is only modified by authorized parties
  - **Availability:** the asset is accessible to authorized parties at appropriate times



# Hardware Vulnerabilities

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- Physical Attacks
- Trojan Horses
- IP Piracy
- IC Piracy & Counterfeiting
- Backdoors
- Tampering
- Reverse Engineering



# Adversaries



- **Individual, group or governments**

- Pirating the IPs – illegal use of IPs
- Inserting backdoors, or malicious circuitries
- Implementing Trojan horses
- Reverse engineering of ICs
- Spying by exploiting IC vulnerabilities

- **System integrators**

- Pirating the IPs

- **Fabrication facilities**

- Pirating the IPs
- Pirating the ICs

- **Counterfeiting parties**

- Recycling, cloned, etc.

# Hardware Controls for Secure Systems

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- Hardware implementations of encryption
  - Encryption has to do with scrambling to hide
- Design locks or physical locks limiting the access
- Devices to verify the user identities
- Hiding signatures in the design files
- Intrusion detection
- Hardware boards limiting memory access
- Tamper resistant
- Policies and procedures
- More ...

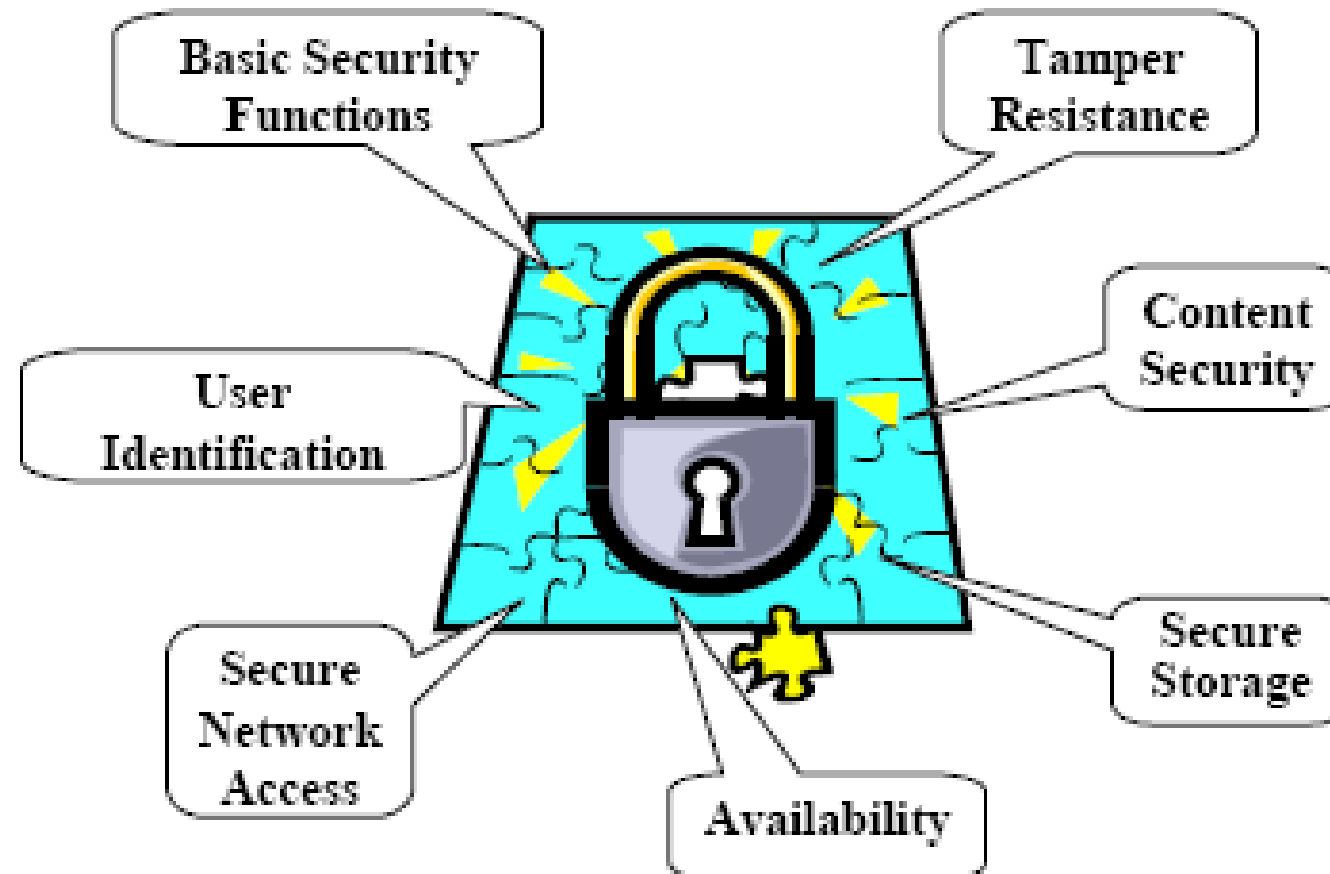


# Embedded Systems Security/IoTs

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- Security processing adds overhead
    - Performance and power
  - Security is challenging in embedded systems/IoTs
    - Size and power constraints, and operation in harsh environments
  - Security processing may easily overwhelm the other aspects of the system
  - Security has become a new design challenge that must be considered at the design time, along with other metrics, i.e., cost, power, area
-

# Security Requirements in the IoT Era



- **Underlying most security mechanisms or protocols is the notion of a “secret”**
    - ❑ Lock and keys
    - ❑ Passwords
    - ❑ Hidden signs and procedures
    - ❑ Physically hidden
-

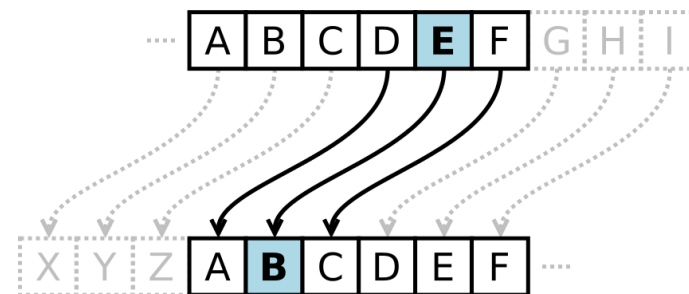
# Cryptography – History

- Has been around for 2000+ years
- In 513 B.C, Histiaeus of Miletus, shaved the slave's head, tattooed the message on it, let the hair grow



# Cryptography – Pencil & Paper Era

- Caesar's cipher: shifting each letter of the alphabet by a fixed amount!
  - Easy to break



Plaintext: THE QUICK BROWN FOX JUMPS OVER THE LAZY DOG  
Ciphertext: QEB NRFZH YOLTK CLU GRJMP LSBQ QEB IXWV ALD

- Cryptoquote: simple substitution cipher, permutations of 26 letters
  - Using the dictionary and the frequencies, this is also easy to break



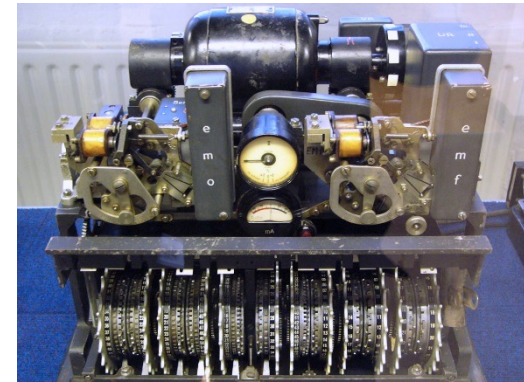
# Cryptography – Mechanical Era

- Around 1900, people realized cryptography has math and stat roots
- German's started a project to create a mechanical device to encrypt messages
- Enigma machine → supposedly unbreakable
- A few polish mathematicians got a working copy
- The machine later sold to Britain, who hired 10,000 people to break the code!
- They did crack it! The German messages were transparent to enemies towards the end of war
  - **Estimated that it cut the war length by about a year**
- British kept it secret until the last working Enigma!



# Cryptography – Mechanical Era

- Another German-invented code was Tunny (Lorenz cipher system)
- Using a pseudorandom number generator, a seed produced a key stream  $ks$
- The key stream xor'd with plain text  $p$  to produce cipher  $c$ :  $c = p \oplus ks$
- How was this code cracked by British cryptographers at Bletchley Park in Jan 1942?
- A lucky coincidence!



German rotor stream cipher machines used by the German Army during World War II

# Cryptography – Modern Era

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- First major theoretical development in crypto after WWII was Shannon's Information Theory
  - Shannon introduced the one-time pad and presented theoretical analysis of the code
  - The modern era really started around 1970s
  - The development was mainly driven by banks and military system requirements
  - NIST developed a set of standards for the banks,
    - DES: Data Encryption Standard
-