

## Dynamic prediction modelling in hand disorders after stroke using a latent class multivariate mixed model

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International Society for Clinical Biostatistics, 14-18 July, 2019







# Clinical Application





### Clinical Application: Motivation



Data set collected in Amsterdam

→ Patients followed after stroke

#### Outcome of interest:

The Action Research Arm Test (ARAT) is a measure used by physical therapists and other health care professionals to assess upper extremity performance

### Clinical Application: Data Details



Number of patients:

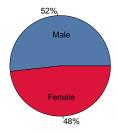
Mean age at stroke:

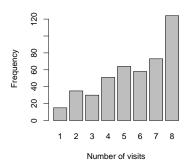
450

65

Gender:

Follow-up visits:





## Clinical Application: Data Details (cont'd)



## Clinical Application: Data Details (cont'd)



## Clinical Application: Research Question



Guide clinical decision making  $\rightarrow$  use **complete** biomarker information.

Can we utilize all available longitudinal measurements to predict the future ARAT measurements?

GemsTracker



# Statistical Analysis





## Statistical Analysis: Data Characteristics



Special feature should be taken into account in longitudinal data

- → Correlation between measurements obtained from the same patients
- → Biological variation of the outcome
- → Unbalanced datasets

Mixed-effects models









Let  $y_i$  represent the repeated measurements of an outcome for the *i*-th patient,  $i = 1, \ldots, n$ 

$$y_i(t) = x_i^{\top}(t)\beta + z_i^{\top}(t)b_i + \epsilon_i(t),$$
  
$$b_i \sim N(0, D),$$
  
$$\epsilon_i(t) \sim N(0, \sigma_i^2),$$

where

- $\diamond x_i^{\top}(t)\beta$  denotes the fixed part
- $\diamond z_i^{\top}(t)b_i$  denotes the random part

## Statistical Analysis: Challenges



- (1) Sub-populations
- (2) Time-dependent covariates

# Statistical Analysis: Sub-populations Challenge (1)



## Statistical Analysis: Sub-populations

#### Challenge (1)

Latent class models

$$y_i(t|c_i = \mathbf{g}) = x_i^{\top}(t)\beta_{\mathbf{g}} + z_i^{\top}(t)b_{i\mathbf{g}} + \epsilon_i(t),$$
$$b_{i\mathbf{g}} \sim N(0, D_{\mathbf{g}}),$$
$$\epsilon_i(t) \sim N(0, \sigma_i^2),$$
$$Pr(c_i = \mathbf{g}) \sim Dirichlet(A_c),$$

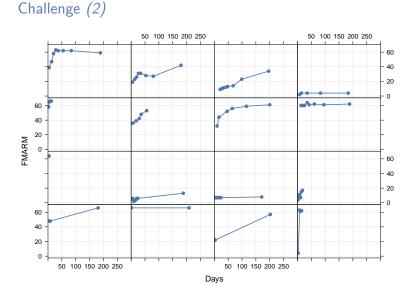
#### where

- $\diamond x_i^{\top}(t)\beta$  denotes the fixed part
- $\diamond z_i^{\top}(t)b_i$  denotes the random part
- $\diamond$  g indicates the class



## Statistical Analysis: Time-dependent



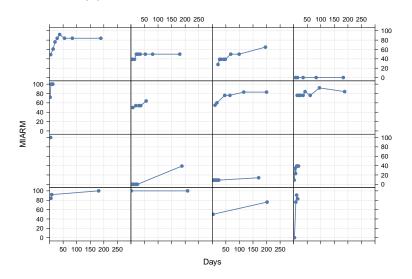






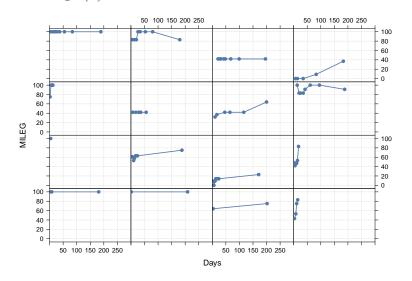
# Statistical Analysis: Time-dependent (cont'd) Challenge (2)





# Statistical Analysis: Time-dependent (cont'd) Challenge (2)





## Statistical Analysis: Time-dependent (cont'd)





#### Challenge (2)

Multivariate model (k longitudinal outcomes)

$$h_k[E\{y_{ki}(t \mid c_i = g)|b_{kig}\}] = x_{ki}^{\top}(t)\beta_{kg} + z_{ki}^{\top}(t)b_{kig},$$
  
 $b_{ig} = (b_{i1g}^{\top}, \dots, b_{iKg}^{\top}) \sim N(0, D_g),$ 

- $\diamond x_{k,i}^{\top}(t)\beta_{k,a}$  denots the fixed part
- $\diamond z_{ki}^{\top}(t)b_{kia}$  denots the random part
- $\diamond h_k(.)$  denotes the link function and g indicates the class

### Statistical Analysis: Model Specification - ARAT



#### Bayesian framework

#### Fixed Effects

Nonlinear time in days (with 3 knots)

Shoulder abduction

Finger extension

Recombinant tissue plasminogen activator (medication)

Neglect (lack of awareness of the recovering side)

#### Random Effects

Nonlinear time in days (with 3 knots)

#### 2 classes





Bayesian framework

Fixed Effects

Nonlinear time in days (with 3 knots)

Random Effects

Nonlinear time in days (with 3 knots)

2 classes





# Statistical Analysis: Results Check the fitting of the model





## Prediction

#### Prediction: ARAT data set



#### Predictions using the proposed latent class multivariate mixed model

#### Monte Carlo simulation scheme

- ⋄ Draw parameters from the MCMC
- $\diamond$  Draw  $b_{iq}$  from the posterior
- Calculate predictions





#### Prediction: Results



#### Prediction: Performance



Assess the performance of the proposed model  $\rightarrow$  Important

- ♦ Univariate mixed model (1 class)
- Multivariate mixed model (2 classes)





#### Assess the performance of the proposed model:

→ Different methods and metrics exist (e.g. Mean absolute error)



#### → Proper scoring rules

♦ Compare the predictive distribution of the outcome with the observed value

#### Logarithmic scoring rule

$$LR = \log[f_{y_{pred}}(y_{obs})],$$

where  $f_{y_{nred}}$  is the predictive density





#### → Proper scoring rules

Compare the predictive distribution of the outcome with the observed value

#### Continuous ranked probability score

$$CRPS = \int [P_{y_{pred}}(x) - P_{y_{obs}}(x)]^2 dx,$$

where  $P_{y_{nred}}$  and  $P_{y_{obs}}$  are the cumulative disctribution function of the prediction and the observation respectively







- → Cross-validation
  - we split the data into 10 parts
  - ⋄ use 9 for fitting and 1 for predicting

predicting data: use 1 observation to predict the rest

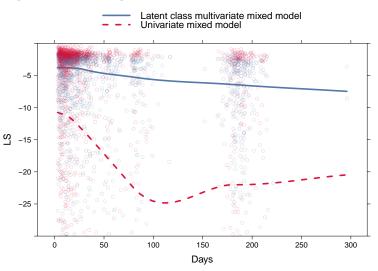






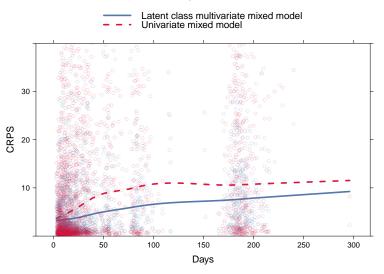
## Erasmus MC

#### Logarithmic scoring rule



## Erasmus MC

#### Continuous ranked probability score







#### Conclusion



#### Latent class multivariate mixed model

#### Future work

- ♦ More classes
- ♦ Extra outcomes
- Proper scoring rules







# Thank you for your attention!

The slides are available at: https://www.erandrinopoulou.com



