# CMIP6 Model Documentation

Institute: AWI

Model: AWI-CM-1-0-LR

Topic: Ocean

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# 1 Key Properties

 $Ocean\ key\ properties$ 

# 1.1 Key Properties

Ocean key properties

#### 1.1.1 Model Overview

Overview of ocean model.

**Spec. ID:** cmip6.ocean.key\_properties.model\_overview

Is Required ? TRUE

Enter TEXT value:

#### 1.1.2 Model Name

Name of ocean model code (NEMO 3.6, MOM 5.0,...)

Spec. ID: cmip6.ocean.key\_properties.model\_name

Is Required ? TRUE

Enter TEXT value:

#### 1.1.3 Model Family

 $Type\ of\ ocean\ model.$ 

Spec. ID: cmip6.ocean.key\_properties.model\_family

Is Required ? TRUE

Select value:

☐ OG	СМ

☐ Slab ocean

Mixed layer ocean

Other - please specify:

# 1.1.4 Basic Approximations

Basic approximations made in the ocean.

 ${\bf Spec.}\ \ {\bf ID:}\ cmip 6. ocean. key\_properties. basic\_approximations$ 

Is Required ? TRUE

Select value(s):

Primitive equations

	Non-hydrostatic	
	Boussinesq	
	Other - please specify:	
	Prognostic Variables ognostic variables in the ocean component.	
	. ID: cmip6.ocean.key_properties.prognostic_variables	
	equired ? TRUE	
	t value(s):	
	Potential temperature	
	Conservative temperature	
	Salinity	
	U-velocity	
	V-velocity	
	W-velocity	
	SSH - Sea Surface Height	
	Other - please specify:	
1.2 S	Seawater Properties	
	properties of seawater in ocean	
1.2.1	Eos Type	
	COS for sea water	
	. ID: cmip6.ocean.key_properties.seawater_properties.eos_type	
Is Required? TRUE		
	t value:	
	Linear	
	Wright, 1997	
	Mc Dougall et al.	
	Jackett et al. 2006	
	TEOS 2010	
	Other - please specify:	

### 1.2.2 Eos Functional Temp

Select value:

TEOS 2010

Other - please specify:

 $Temperature\ used\ in\ EOS\ for\ sea\ water$  ${\bf Spec.}\ {\bf ID:}\ cmip 6. ocean. key\_properties. seawater\_properties. eos\_functional\_temp$ Is Required ? TRUE Select value: Potential temperature Conservative temperature 1.2.3**Eos Functional Salt**  $Salinity\ used\ in\ EOS\ for\ sea\ water$  ${\bf Spec.}\ {\bf ID:}\ cmip 6.ocean. key\_properties. seawater\_properties. eos\_functional\_salt$ Is Required ? TRUE Select value: Practical salinity Sp Absolute salinity Sa Eos Functional Depth 1.2.4Depth or pressure used in EOS for sea water xxx?  ${\bf Spec.~ID:}~cmip 6. ocean. key\_properties. seawater\_properties. eos\_functional\_depth$ Is Required ? TRUE Select value: Pressure (dbars) Depth (meters) Ocean Freezing Point Equation used to compute the freezing point (in deg C) of seawater, as a function of salinity and pressure  ${\bf Spec.~ID:}~cmip 6.ocean.key\_properties.seawater\_properties.ocean\_freezing\_point$ Is Required ? TRUE

1.2.6 Ocean Specific	Heat	Ū
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Is Required ? TRUE

☐ False

Select value: True

Specific heat in ocean (cpocean) in  $J/(kg\ K)$  ${\bf Spec.~ID:}~{\bf cmip 6. ocean. key\_properties. seawater\_properties. ocean\_specific\_heat$ Is Required ? TRUE Enter FLOAT value: 1.2.7 Ocean Reference Density Boussinesq reference density (rhozero) in kg / m3 ${\bf Spec.~ID:}~cmip 6.ocean.key\_properties.seawater\_properties.ocean\_reference\_density$ Is Required ? TRUE Enter FLOAT value: 1.3 Bathymetry Properties of bathymetry in ocean 1.3.1 Reference Dates Reference date of bathymetry  ${\bf Spec.~ID:}~{\bf cmip 6.ocean. key\_properties. bathymetry. reference\_dates$ Is Required ? TRUE Select value: Present day  $21000~{\rm years~BP}$ 6000 years BPLGM - Last Glacial Maximum Pliocene Other - please specify: 1.3.2 Type Is the bathymetry fixed in time in the ocean xxx?  $\mathbf{Spec.} \ \mathbf{ID:} \ \mathbf{cmip6.ocean.key\_properties.bathymetry.type}$ 

### 1.3.3 Ocean Smoothing

Describe any smoothing or hand editing of bathymetry in ocean

 ${\bf Spec.\ ID:}\ cmip 6. ocean. key\_properties. bathymetry. ocean\_smoothing$ 

Is Required? TRUE

Enter TEXT value:

#### 1.3.4 Source

Describe source of bathymetry in ocean

Spec. ID: cmip6.ocean.key\_properties.bathymetry.source

Is Required ? TRUE

Enter TEXT value:

#### 1.4 Nonoceanic Waters

Non oceanic waters treatement in ocean

#### 1.4.1 Isolated Seas

Describe if/how isolated seas is performed

 ${\bf Spec.~ID:}~cmip 6.ocean. key\_properties.nonoceanic\_waters. isolated\_seas$ 

Is Required ? FALSE

Enter TEXT value:

#### 1.4.2 River Mouth

Describe if/how river mouth mixing or estuaries specific treatment is performed

 ${\bf Spec.~ID:}~cmip 6.ocean.key\_properties.nonoceanic\_waters.river\_mouth$ 

Is Required ? FALSE

Enter TEXT value:

# 1.5 Software Properties

 $Software\ properties\ of\ ocean\ code$ 

# 1.5.1 Repository

Location of code for this component.

 ${\bf Spec.~ID:}~cmip 6.ocean. key\_properties. software\_properties. repository$ 

Is Required ? FALSE

#### 1.5.2 Code Version

 $Code\ version\ identifier.$ 

```
{\bf Spec.~ID:}~cmip 6.ocean. key\_properties. software\_properties. code\_version
```

Is Required ? FALSE

Enter TEXT value:

## 1.5.3 Code Languages

 $Code\ language(s).$ 

```
Spec. ID: cmip6.ocean.key_properties.software_properties.code_languages
```

Is Required ? FALSE

Enter TEXT value(s):

#### 1.6 Resolution

Resolution in the ocean grid

#### 1.6.1 Name

This is a string usually used by the modelling group to describe the resolution of this grid, e.g. ORCA025, N512L180, T512L70 etc.

 $\mathbf{Spec.} \ \mathbf{ID:} \ \mathbf{cmip6.ocean.key\_properties.resolution.name}$ 

Is Required ? TRUE

Enter TEXT value:

#### 1.6.2 Canonical Horizontal Resolution

 $Expression\ quoted\ for\ gross\ comparisons\ of\ resolution,\ eg.\ 50km\ or\ 0.1\ degrees\ etc.$ 

 ${\bf Spec.~ID:}~cmip 6. ocean. key\_properties. resolution. canonical\_horizontal\_resolution$ 

Is Required? TRUE

Enter TEXT value:

### 1.6.3 Range Horizontal Resolution

Range of horizontal resolution with spatial details, eg. 50(Equator)-100km or 0.1-0.5 degrees etc.

 ${\bf Spec.\ ID: cmip 6. ocean. key\_properties. resolution. range\_horizontal\_resolution}$ 

Is Required ?  $\ensuremath{\mathsf{TRUE}}$ 

Enter TEXT value:

#### 1.6.4 Number Of Horizontal Gridpoints

Total number of horizontal (XY) points (or degrees of freedom) on computational grid.

 ${\bf Spec.\ ID:}\ cmip 6. ocean. key\_properties. resolution. number\_of\_horizontal\_gridpoints$ 

Is Required ? TRUE

Enter INTEGER value:

#### 1.6.5 Number Of Vertical Levels

Number of vertical levels resolved on computational grid.

Spec. ID: cmip6.ocean.key\_properties.resolution.number\_of\_vertical\_levels

Is Required ? TRUE

Enter INTEGER value:

#### 1.6.6 Is Adaptive Grid

Default is False. Set true if grid resolution changes during execution.

#### 1.6.7 Thickness Level 1

Thickness of first surface ocean level (in meters)

**Spec. ID:** cmip6.ocean.key\_properties.resolution.thickness\_level\_1

Is Required ? TRUE

Enter FLOAT value:

#### 1.7 Tuning Applied

Tuning methodology for ocean component

#### 1.7.1 Description

General overview description of tuning: explain and motivate the main targets and metrics retained. and Document the relative weight given to climate performance metrics versus process oriented metrics, and and on the possible conflicts with parameterization level tuning. In particular describe any struggle and with a parameter value that required pushing it to its limits to solve a particular model deficiency.

 ${\bf Spec.}\ {\bf ID:}\ cmip 6. ocean. key\_properties. tuning\_applied. description$ 

Is Required? TRUE

Enter TEXT value:

#### 1.7.2 Global Mean Metrics Used

 $List\ set\ of\ metrics\ of\ the\ global\ mean\ state\ used\ in\ tuning\ model/component$ 

Spec. ID: cmip6.ocean.key\_properties.tuning\_applied.global\_mean\_metrics\_used

```
Is Required ? FALSE
   Enter TEXT value(s):
1.7.3 Regional Metrics Used
List of regional metrics of mean state (e.g THC, AABW, regional means etc) used in tuning model/component
   {\bf Spec.~ID:}~cmip 6.ocean. key\_properties. tuning\_applied. regional\_metrics\_used
   Is Required ? FALSE
   Enter TEXT value(s):
1.7.4 Trend Metrics Used
List observed trend metrics used in tuning model/component
   {\bf Spec.}\ \ {\bf ID:}\ cmip 6.ocean. key\_properties. tuning\_applied. trend\_metrics\_used
   Is Required ? FALSE
   Enter TEXT value(s):
1.8
       Conservation
Conservation in the ocean component
1.8.1 Description
Brief\ description\ of\ conservation\ methodology
   {\bf Spec.}\ \ {\bf ID:}\ cmip 6. ocean. key\_properties. conservation. description
   Is Required ? TRUE
   Enter TEXT value:
1.8.2 Scheme
Properties conserved in the ocean by the numerical schemes
   {\bf Spec.}\ {\bf ID:}\ cmip 6. ocean. key\_properties. conservation. scheme
   Is Required ? TRUE
   Select value(s):
          Energy
          Enstrophy
          Salt
          Volume of ocean
```

Momentum

Other - please specify:

1.8.3 Consistency I	Properties
---------------------	------------

 $Any\ additional\ consistency\ properties\ (energy\ conversion,\ pressure\ gradient\ discretisation,\ ...) xxx?$  ${\bf Spec.}\ {\bf ID:}\ cmip 6. ocean. key\_properties. conservation. consistency\_properties$ Is Required ? FALSE Enter TEXT value: 1.8.4 Corrected Conserved Prognostic Variables Set of variables which are conserved by more than the numerical scheme alone.  ${\bf Spec.\ ID:}\ cmip 6. ocean. key\_properties. conservation. corrected\_conserved\_prognostic\_variables$ Is Required ? FALSE Enter TEXT value: 1.8.5 Was Flux Correction Used Does conservation involve flux correction xxx?  ${\bf Spec.~ID:}~cmip 6.ocean.key\_properties.conservation.was\_flux\_correction\_used$ Is Required ? FALSE Select value: ☐ False True

# 2 Grid

 $Ocean\ grid$ 

# 2.1 Grid

 $Ocean\ grid$ 

# 2.1.1 Overview

 $Overview\ of\ grid\ in\ ocean$ 

 $\mathbf{Spec.}\ \mathbf{ID:}\ \mathrm{cmip6.ocean.grid.overview}$ 

Is Required ? TRUE

Enter TEXT value:

# 2.2 Vertical

 $Properties\ of\ vertical\ discretisation\ in\ ocean$ 

# 2.2.1 Coordinates

 $Type\ of\ vertical\ coordinates\ in\ ocean$ 

Spec	${\bf ID:}\ {\bf cmip 6.} {\bf ocean.grid.discretisation.vertical.coordinates}$	
Is Re	equired ? TRUE	
Select value:		
	Z-coordinate	
	Z*-coordinate	
	S-coordinate	
	Isopycnic - sigma 0 - Density referenced to the surface	
	Isopycnic - sigma 2 - Density referenced to 2000 $\rm m$	
	Isopycnic - sigma 4 - Density referenced to 4000 m $$	
	Isopycnic - other - Other density-based coordinate	
	Hybrid / $Z+S$	
	Hybrid / Z+isopycnic	
	Hybrid / other	
	Pressure referenced (P)	
	P*	
	Z**	

	Other - please specify:
	Partial Steps it $Z$ or $Z^*$ vertical coordinate in ocean $xxx$ ?
Spec.	$\textbf{ID:} \ cmip 6. ocean.grid.discretisation.vertical.partial\_steps$
Is Re	quired ? TRUE
Select	value:
	True False
2.3 H	Iorizontal
Type of h	norizontal discretisation scheme in ocean
2.3.1	Гуре
Horizontal	l grid type
Spec.	$\textbf{ID:} \ cmip 6. ocean. grid. discretisation. horizontal. type$
Is Re	quired ? TRUE
Select	value:
	Lat-lon
	Rotated north pole
	Two north poles (ORCA-style)
	Other - please specify:
2.3.2	Staggering
Horizontal	l grid staggering type
Spec.	$\textbf{ID:} \ cmip 6. ocean. grid. discretisation. horizontal. staggering$
Is Re	quired ? FALSE
Select	value:
	Arakawa B-grid
	Arakawa C-grid
	Arakawa E-grid
	N/a
	Other - please specify

# 2.3.3 Scheme

 $Horizontal\ discretisation\ scheme\ in\ ocean$ 

Spec. ID: cmip6.ocean.grid.discretisation.horizontal.scheme		
Is Required ? TRUE		
Select value:		
	Finite difference	
	Finite volumes	
	Finite elements	
	Unstructured grid	
	Other - please specify:	

# 3 Timestepping Framework

Ocean Timestepping Framework

# 3.1 Timestepping Framework

 $Ocean\ Timestepping\ Framework$ 

#### 3.1.1 Overview

 $Overview\ of\ time\ stepping\ in\ ocean$ 

 ${\bf Spec.}\ \ {\bf ID:}\ cmip 6. ocean. time stepping\_framework. overview$ 

Is Required ? TRUE

Enter TEXT value:

# 3.1.2 Diurnal Cycle

 $Diurnal\ cycle\ type$ 

 ${\bf Spec.~ID:}~cmip 6.ocean.timestepping\_framework.diurnal\_cycle$ 

Is Required ? TRUE

Select value:

Ш	None - No diurnal cycle in ocean
	Via coupling - Diurnal cycle via coupling frequency

Specific treatment - Specific treament

Other - please specify:

# 3.2 Tracers

Properties of tracers time stepping in ocean

# 3.2.1 Scheme

Tracers time stepping scheme

 ${\bf Spec.~ID:}~cmip 6.ocean.timestepping\_framework.tracers.scheme$ 

Is Required ? TRUE

Select value:

Selec	t value:
	${\it Leap-frog + Asselin \; filter - Leap-frog \; scheme \; with \; Asselin \; filter}$
	lem:lem:lem:lem:lem:lem:lem:lem:lem:lem:
	Predictor-corrector - Predictor-corrector scheme
П	Runge-Kutta 2 - Runge-Kutta 2 scheme

	AM3-LF - AM3-LF such as used in ROMS
	Forward-backward - Forward-backward scheme
	Forward operator - Forward operator scheme
	Other - please specify:
3.2.2	Time Step
Tracers tir	ne step (in seconds)
Spec.	$\textbf{ID:} \ cmip 6. ocean. time stepping\_framework. tracers. time\_step$
Is Re	quired ? TRUE
Enter	INTEGER value:
3.3 B	aroclinic Dynamics
	ic dynamics in ocean
	9
3.3.1	Гуре
Baroclinic	dynamics type
Spec.	$\textbf{ID:} \ cmip 6. ocean. time stepping\_framework. baroclinic\_dynamics. type$
Is Re	quired ? TRUE
Select	value:
	Preconditioned conjugate gradient
	Sub cyling - Sub cycling relative to tracers
	Other - please specify:
3.3.2	Scheme
Baroclinic	dynamics scheme
Spec.	$\textbf{ID:} \ cmip 6. ocean. time stepping\_framework. baroclinic\_dynamics. scheme$
Is Re	quired ? TRUE
Select	value:
	$\label{lem:leap-frog} \mbox{Leap-frog scheme with Asselin filter} \mbox{ - Leap-frog scheme with Asselin filter}$
	$\label{lem:leap-frog} \mbox{Leap-frog scheme with Periodic Euler} \mbox{ - Leap-frog scheme with Periodic Euler}$
	Predictor-corrector - Predictor-corrector scheme
	Runge-Kutta 2 - Runge-Kutta 2 scheme
	AM3-LF - AM3-LF such as used in ROMS

Forward-backward - Forward-backward scheme
☐ Forward operator - Forward operator scheme
U Other - please specify:
3.3.3 Time Step
Baroclinic time step (in seconds)
Spec. ID: cmip6.ocean.timestepping_framework.baroclinic_dynamics.time_step
Is Required ? FALSE
Enter INTEGER value:
3.4 Barotropic
Barotropic time stepping in ocean
3.4.1 Splitting
Time splitting method
Spec. ID: cmip6.ocean.timestepping_framework.barotropic.splitting
Is Required ? TRUE
Select value:
None
Split explicit
☐ Implicit
Other - please specify:
3.4.2 Time Step
Barotropic time step (in seconds)
${\bf Spec.~ID:}~cmip 6. ocean. timestepping\_framework. barotropic. time\_step$
Is Required ? FALSE
Enter INTEGER value:
3.5 Vertical Physics
Vertical physics time stepping in ocean

# 3.5.1 Method

 $Details\ of\ vertical\ time\ stepping\ in\ ocean$ 

 ${\bf Spec.}\ \ {\bf ID:}\ cmip 6.ocean.time stepping\_framework.vertical\_physics.method$ 

Is Required ? TRUE

# 4 Advection

Ocean advection

#### 4.1 Advection

 $Ocean\ advection$ 

#### 4.1.1 Overview

Overview of advection in ocean

 $\mathbf{Spec.} \ \mathbf{ID:} \ \mathrm{cmip6.ocean.advection.overview}$ 

Is Required ? TRUE

Enter TEXT value:

#### 4.2 Momentum

 $Properties \ of \ lateral \ momentum \ advection \ scheme \ in \ ocean$ 

# 4.2.1 Type

 $Type\ of\ lateral\ momentum\ advection\ scheme\ in\ ocean$ 

 ${\bf Spec.}\ \ {\bf ID:}\ cmip 6. ocean. advection. momentum. type$ 

Is Required ? TRUE

Select value:

	Flux	form
-	I Tux	101111

☐ Vector form

# 4.2.2 Scheme Name

Name of ocean momentum advection scheme

Spec. ID: cmip6.ocean.advection.momentum.scheme\_name

Is Required ? TRUE

Enter TEXT value:

#### 4.2.3 ALE

Using ALE for vertical advection xxx? (if vertical coordinates are sigma)

Spec. ID: cmip6.ocean.advection.momentum.ale

Is Required ? FALSE

 ${\bf Select\ value:}$ 

True False

# 4.3 Lateral Tracers

 $Properties\ of\ lateral\ tracer\ advection\ scheme\ in\ ocean$ 

4.3.1 Order
Order of lateral tracer advection scheme in ocean
Spec. ID: cmip6.ocean.advection.lateral_tracers.order
Is Required ? TRUE
Enter INTEGER value:
4.3.2 Flux Limiter
Monotonic flux limiter for lateral tracer advection scheme in ocean xxx?
Spec. ID: cmip6.ocean.advection.lateral_tracers.flux_limiter
Is Required ? TRUE
Select value:
☐ True ☐ False
4.3.3 Effective Order  Effective order of limited lateral tracer advection scheme in ocean
Spec. ID: cmip6.ocean.advection.lateral_tracers.effective_order
Is Required ? TRUE
Enter FLOAT value:
4.3.4 Name  Descriptive text for lateral tracer advection scheme in ocean (e.g. MUSCL, PPM-H5, PRATHER,
Spec. ID: cmip6.ocean.advection.lateral_tracers.name
Is Required ? TRUE
Enter TEXT value:
4.3.5 Passive Tracers  Passive tracers advected
Spec. ID: cmip6.ocean.advection.lateral_tracers.passive_tracers
Is Required ? FALSE
Select value(s):
☐ Ideal age
CFC 11

CFC 12
$\square$ SF6
Other - please specify:
4.3.6 Passive Tracers Advection
Is advection of passive tracers different than active xxx? if so, describe.
Spec. ID: cmip6.ocean.advection.lateral_tracers.passive_tracers_advection
Is Required ? FALSE
Enter TEXT value:
4.4 Vertical Tracers
Properties of vertical tracer advection scheme in ocean
4.4.1 Name
$Descriptive\ text\ for\ vertical\ tracer\ advection\ scheme\ in\ ocean\ (e.g.\ MUSCL,\ PPM-H5,\ PRATHER,)$
Spec. ID: cmip6.ocean.advection.vertical_tracers.name
Is Required ? TRUE
Enter TEXT value:
4.4.2 Flux Limiter
Monotonic flux limiter for vertical tracer advection scheme in ocean xxx?
${\bf Spec.\ ID:}\ cmip 6. ocean. advection. vertical\_tracers. flux\_limiter$
Is Required ? TRUE
Select value:
☐ True ☐ False

# 5 Lateral Physics

Ocean lateral physics

# 5.1 Lateral Physics

Ocean lateral physics

#### 5.1.1 Overview

 $Overview\ of\ lateral\ physics\ in\ ocean$ 

 $\mathbf{Spec.} \ \mathbf{ID:} \ \mathrm{cmip6.ocean.lateral\_physics.overview}$ 

Is Required ? TRUE

Enter TEXT value:

# 5.1.2 Scheme

Type of transient eddy representation in ocean

**Spec. ID:** cmip6.ocean.lateral\_physics.scheme

Is Required ? TRUE

Select value:

None - No transient eddies in o
---------------------------------

Eddy active - Full resolution of eddies

Eddy admitting - Some eddy activity permitted by resolution

# 5.2 Operator

Properties of lateral physics operator for momentum in ocean

#### 5.2.1 Direction

Direction of lateral physics momentum scheme in the ocean

 ${\bf Spec.\ ID:}\ cmip 6. ocean. lateral\_physics. momentum. operator. direction$ 

Is Required ? TRUE

Select value:

Ш	Horizontal
	Isopycnal

☐ Isoneutral

Geopotential

Iso-level

Other - please specify:
5.2.2 Order
Order of lateral physics momentum scheme in the ocean
Spec. ID: cmip6.ocean.lateral_physics.momentum.operator.order
Is Required ? TRUE
Select value:
Harmonic - Second order
Bi-harmonic - Fourth order
Other - please specify:
5.2.3 Discretisation
Discretisation of lateral physics momentum scheme in the ocean
${\bf Spec.\ ID:}\ cmip 6. ocean. lateral\_physics. momentum. operator. discretisation$
Is Required ? TRUE
Select value:
Second order - Second order
Higher order - Higher order
Flux limiter
Other - please specify:
5.3 Eddy Viscosity Coeff
Properties of eddy viscosity coeff in lateral physics momentum scheme in the ocean
5.3.1 Type
Lateral physics momentum eddy viscosity coeff type in the ocean
${\bf Spec.\ ID:}\ cmip 6. ocean. lateral\_physics. momentum.eddy\_viscosity\_coeff. type$
Is Required ? TRUE
Select value:
Constant
Space varying
Time + space varying (Smagorinsky)
Other - please specify:

5.3.2 Constant Coefficient
If constant, value of eddy viscosity coeff in lateral physics momentum scheme (in $m2/s$ )
$\mathbf{Spec.}\ \mathbf{ID:}\ cmip 6. ocean. lateral\_physics. momentum. eddy\_viscosity\_coeff. constant\_coefficient$
Is Required ? FALSE
Enter INTEGER value:
5.3.3 Variable Coefficient
If space-varying, describe variations of eddy viscosity coeff in lateral physics momentum scheme
$\mathbf{Spec.}\ \mathbf{ID:}\ cmip 6. ocean. lateral\_physics. momentum. eddy\_viscosity\_coeff. variable\_coefficient$
Is Required ? FALSE
Enter TEXT value:
5.3.4 Coeff Background
Describe background eddy viscosity coeff in lateral physics momentum scheme (give values in m2/
$\mathbf{Spec.}\ \mathbf{ID:}\ cmip 6. ocean. lateral\_physics. momentum. eddy\_viscosity\_coeff. coeff\_background$
Is Required ? TRUE
Enter TEXT value:
5.3.5 Coeff Backscatter
Is there backscatter in eddy viscosity coeff in lateral physics momentum scheme xxx?
$\mathbf{Spec.}\ \mathbf{ID:}\ cmip 6. ocean. lateral\_physics. momentum. eddy\_viscosity\_coeff. coeff\_backscatter$
Is Required ? TRUE
Select value:
☐ True ☐ False
5.4 Tracers
Properties of lateral physics for tracers in ocean
5.4.1 Mesoscale Closure
Is there a mesoscale closure in the lateral physics tracers scheme xxx?

# Select value:

Is Required ? TRUE

 ${\bf Spec.~ID:}~cmip 6. ocean. lateral\_physics. tracers. mesoscale\_closure$ 

5.4.2 Submesoscale Mixing
Is there a submesoscale mixing parameterisation (i.e Fox-Kemper) in the lateral physics tracers scheme xxx?
Spec. ID: cmip6.ocean.lateral_physics.tracers.submesoscale_mixing
Is Required ? TRUE
Select value:
☐ True ☐ False
5.5 Operator
Properties of lateral physics operator for tracers in ocean
5.5.1 Direction
Direction of lateral physics tracers scheme in the ocean
Spec. ID: cmip6.ocean.lateral_physics.tracers.operator.direction
Is Required ? TRUE
Select value:
Horizontal
☐ Isopycnal
Isoneutral
Geopotential
☐ Iso-level
Other - please specify:
5.5.2 Order
Order of lateral physics tracers scheme in the ocean
Spec. ID: cmip6.ocean.lateral_physics.tracers.operator.order

Is Required ? TRUE

 $\label{eq:harmonic - Second order}$  Bi-harmonic - Fourth order

Other - please specify:

Select value:

# 5.5.3 Discretisation

 $Discretisation\ of\ lateral\ physics\ tracers\ scheme\ in\ the\ ocean$ 

${\bf Spec.}\ \ {\bf ID:}\ cmip 6. ocean. lateral\_physics. tracers. operator. discretisation$
Is Required ? TRUE
Select value:
Second order - Second order
Higher order - Higher order
Flux limiter
Other - please specify:
5.6 Eddy Diffusity Coeff
$Properties \ of \ eddy \ diffusity \ coeff \ in \ lateral \ physics \ tracers \ scheme \ in \ the \ ocean$
5.6.1 Type
Lateral physics tracers eddy diffusity coeff type in the ocean
${\bf Spec.~ID:}~cmip 6. ocean. lateral\_physics. tracers. eddy\_diffusity\_coeff. type$
Is Required ? TRUE
Select value:
Constant
Space varying
Time + space varying (Smagorinsky)
Other - please specify:
5.6.2 Constant Coefficient
If constant, value of eddy diffusity coeff in lateral physics tracers scheme (in $m2/s$ )
$\textbf{Spec. ID:} \ cmip 6. ocean. lateral\_physics. tracers. eddy\_diffusity\_coeff. constant\_coefficient and the complex of the constant\_coefficient and the complex of the constant\_coefficient and the coefficient and the coefficie$
Is Required ? FALSE
Enter INTEGER value:
5.6.3 Variable Coefficient  If space-varying, describe variations of eddy diffusity coeff in lateral physics tracers scheme
${\bf Spec.~ID:}~cmip 6.ocean. lateral\_physics. tracers. eddy\_diffusity\_coeff. variable\_coefficient and the coefficient and the$
Is Required ? FALSE
Enter TEXT value:

### 5.6.4 Coeff Background

Describe background eddy diffusity coeff in lateral physics tracers scheme (give values in m2/s)

 ${\bf Spec.}\ \ {\bf ID:}\ cmip 6. ocean. lateral\_physics. tracers. eddy\_diffusity\_coeff. coeff\_background$ 

Is Required? TRUE

Enter INTEGER value:

#### 5.6.5 Coeff Backscatter

Is there backscatter in eddy diffusity coeff in lateral physics tracers scheme xxx?

 ${\bf Spec.~ID:}~cmip 6. ocean. lateral\_physics. tracers. eddy\_diffusity\_coeff.coeff\_backscatter$ 

Is Required ? TRUE

Select value:

True False

# 5.7 Eddy Induced Velocity

Properties of eddy induced velocity (EIV) in lateral physics tracers scheme in the ocean

# **5.7.1** Type

Type of EIV in lateral physics tracers in the ocean

 ${\bf Spec.}\ \ {\bf ID:}\ cmip 6. ocean. lateral\_physics. tracers. eddy\_induced\_velocity. type$ 

Is Required ? TRUE

Select value:

GM - Gent and McWilliams

Other - please specify:

#### 5.7.2 Constant Val

If EIV scheme for tracers is constant, specify coefficient value (M2/s)  $\,$ 

 ${\bf Spec.~ID:}~cmip 6.ocean.lateral\_physics.tracers.eddy\_induced\_velocity.constant\_val$ 

Is Required ? FALSE

Enter INTEGER value:

#### 5.7.3 Flux Type

Type of EIV flux (advective or skew)

**Spec. ID:** cmip6.ocean.lateral\_physics.tracers.eddy\_induced\_velocity.flux\_type

Is Required ? TRUE

# 5.7.4 Added Diffusivity

Type of EIV added diffusivity (constant, flow dependent or none)

 $\mathbf{Spec.} \ \mathbf{ID:} \ cmip 6. ocean. lateral\_physics. tracers. eddy\_induced\_velocity. added\_diffusivity$ 

Is Required ? TRUE

# 6 Vertical Physics

Ocean Vertical Physics

# 6.1 Vertical Physics

 $Ocean\ Vertical\ Physics$ 

#### 6.1.1 Overview

 $Overview\ of\ vertical\ physics\ in\ ocean$ 

Spec. ID: cmip6.ocean.vertical\_physics.overview

Is Required ? TRUE

Enter TEXT value:

#### 6.2 Details

Properties of vertical physics in ocean

# 6.2.1 Langmuir Cells Mixing

Is there Langmuir cells mixing in upper ocean xxx?

Spec. ID:	cmip6.ocean.v	ertical_ph	nysics.bounda	ry_layer_	_mixing.	details.langmı	ir_cells_	mixing
Is Require	ed ? TRUE							
Select val	ue:							
True		False						

### 6.3 Tracers

Properties of boundary layer (BL) mixing on tracers in the ocean

#### 6.3.1 Type

Type of boundary layer mixing for tracers in ocean

 ${\bf Spec.}\ \ {\bf ID:}\ cmip 6. ocean. vertical\_physics. boundary\_layer\_mixing. tracers. type$ 

Is Required ? TRUE

Select value:

Delect value.				
	Constant value			
	Turbulent closure - TKE			
	Turbulent closure - KPP			
	Turbulent closure - Mellor-Yamada			

г	Curbulent closure - Bulk Mixed Layer
☐ F	Richardson number dependent - PP
☐ F	Richardson number dependent - KT
I:	mbeded as isopycnic vertical coordinate
	Other - please specify:
	losure Order
	BL mixing of tracers, specific order of closure (0, 1, 2.5, 3)
	D: cmip6.ocean.vertical_physics.boundary_layer_mixing.tracers.closure_order
Is Requ	uired ? FALSE
Enter I	FLOAT value:
6.3.3 C	onstant
If constant	BL mixing of tracers, specific coefficient $(m2/s)$
Spec. I	D: cmip6.ocean.vertical_physics.boundary_layer_mixing.tracers.constant
Is Requ	nired ? FALSE
Enter I	NTEGER value:
6.3.4 Ba	ackground
Background	BL mixing of tracers coefficient, (schema and value in m2/s - may by none)
Spec. I	D: cmip6.ocean.vertical_physics.boundary_layer_mixing.tracers.background
Is Requ	nired ? TRUE
Enter 7	TEXT value:
6.4 Me	omentum
Properties	of boundary layer (BL) mixing on momentum in the ocean
6 1 1 Ts	vno.
_	ype ndary layer mixing for momentum in ocean
_	D: cmip6.ocean.vertical_physics.boundary_layer_mixing.momentum.type
Is Requ	aired ? TRUE
Select	value:
	Constant value
г	Curbulent closure - TKE
г	Curbulent closure - KPP

	Turbulent closure - Mellor-Yamada
	Turbulent closure - Bulk Mixed Layer
	Richardson number dependent - PP
	Richardson number dependent - KT
	Imbeded as isopycnic vertical coordinate
	Other - please specify:
6.4.2	Closure Order
If turbule	nt BL mixing of momentum, specific order of closure (0, 1, 2.5, 3)
Spec	$\textbf{ID:} \ cmip 6. ocean. vertical\_physics. boundary\_layer\_mixing. momentum. closure\_order$
Is Re	equired ? FALSE
Ente	r FLOAT value:
	Constant  at BL mixing of momentum, specific coefficient (m2/s)
Spec	$\mathbf{ID:}$ <code>cmip6.ocean.vertical_physics.boundary_layer_mixing.momentum.constant</code>
Is Re	equired ? FALSE
Ente	r INTEGER value:
6.4.4	Background
Backgrou	nd BL mixing of momentum coefficient, (schema and value in m2/s - may by none)
Spec	. ID: $cmip 6. ocean. vertical\_physics. boundary\_layer\_mixing. momentum. background$
Is Re	equired ? TRUE
Ente	r TEXT value:
6.5 I	Details
Properti	ies of interior mixing in the ocean
6.5.1	Convection Type
Type of v	ertical convection in ocean
Spec	. ID: $cmip 6. ocean. vertical\_physics. interior\_mixing. details. convection\_type$
Is Re	equired ? TRUE
Selec	t value:
	Non-penetrative convective adjustment
	Enhanced vertical diffusion

Included in turbulence closure
U Other - please specify:
6.5.2 Tide Induced Mixing
Describe how tide induced mixing is modelled (barotropic, baroclinic, none)
Spec. ID: cmip6.ocean.vertical_physics.interior_mixing.details.tide_induced_mixing
Is Required ? TRUE
Enter TEXT value:
6.5.3 Double Diffusion  Is there double diffusion
${\bf Spec.\ ID:}\ cmip 6. ocean. vertical\_physics. interior\_mixing. details. double\_diffusion$
Is Required ? TRUE
Select value:
☐ True ☐ False
6.5.4 Shear Mixing  Is there interior shear mixing
$\mathbf{Spec.} \ \mathbf{ID:} \ \mathbf{cmip6.} ocean. vertical\_physics. interior\_mixing. details. shear\_mixing$
Is Required ? TRUE
Select value:
☐ True ☐ False
6.6 Tracers
Properties of interior mixing on tracers in the ocean
6.6.1 Type
Type of interior mixing for tracers in ocean
Spec. ID: cmip6.ocean.vertical_physics.interior_mixing.tracers.type
Is Required ? TRUE
Select value:
Constant value
Turbulent closure / TKE
Turbulent closure - Mellor-Yamada

Richardson number dependent - PP	
Richardson number dependent - KT	
Imbeded as isopycnic vertical coordinate	
Other - please specify:	
6.6.2 Constant	
If constant interior mixing of tracers, specific coefficient $(m2/s)$	
${\bf Spec.}\ {\bf ID:}\ cmip 6. ocean. vertical\_physics. interior\_mixing. tracers. constant$	
Is Required ? FALSE	
Enter INTEGER value:	
6.6.3 Profile	
Is the background interior mixing using a vertical profile for tracers (i.e is NOT constant) xxx	;?
Spec. ID: cmip6.ocean.vertical_physics.interior_mixing.tracers.profile	
Is Required ? TRUE	
Enter TEXT value:	
6.6.4 Background	
Background interior mixing of tracers coefficient, (schema and value in m2/s - may by none)	
$\mathbf{Spec.} \ \mathbf{ID:} \ \mathbf{cmip6.} ocean. \mathbf{vertical\_physics.} interior\_mixing. tracers. background$	
Is Required ? TRUE	
Enter TEXT value:	
6.7 Momentum	
Properties of interior mixing on momentum in the ocean	
6.7.1 Type	
Type of interior mixing for momentum in ocean	
Spec. ID: cmip6.ocean.vertical_physics.interior_mixing.momentum.type	
Is Required ? TRUE	
Select value:	
Constant value	
Turbulent closure / TKE	
Turbulent closure - Mellor-Yamada	
Richardson number dependent - PP	

Richardson number dependent - KT
Imbeded as isopycnic vertical coordinate
Other - please specify:
6.7.2 Constant
If constant interior mixing of momentum, specific coefficient $(m2/s)$
$\mathbf{Spec.} \ \mathbf{ID:} \ \mathbf{cmip6.ocean.vertical\_physics.interior\_mixing.momentum.constant}$
Is Required ? FALSE
Enter INTEGER value:
6.7.3 Profile
${\it Is the background interior mixing using a vertical profile for momentum (i.e is NOT constant) } \ xxx$
$\mathbf{Spec.}\ \mathbf{ID:}\ \mathbf{cmip6.ocean.vertical\_physics.interior\_mixing.momentum.profile}$
Is Required ? TRUE
Enter TEXT value:
6.7.4 Background
Background interior mixing of momentum coefficient, (schema and value in $m2/s$ - may by none)
$\mathbf{Spec.} \ \mathbf{ID:} \ cmip 6. ocean. vertical\_physics. interior\_mixing. momentum. background$
Is Required ? TRUE
Enter TEXT value:

# Uplow Boundaries

Ocean upper / lower boundaries

# 7.1 Free Surface

Propertie	es of free surface in ocean
7.1.1 (	Overview
Overview	of free surface in ocean
Spec.	${\bf ID:}\ cmip 6. ocean. uplow\_boundaries. free\_surface. overview$
Is Re	quired ? TRUE
Enter	TEXT value:
7.1.2	Scheme
Free surfa	ce scheme in ocean
Spec.	$\textbf{ID:} \ cmip 6. ocean. uplow\_boundaries. free\_surface. scheme$
Is Re	quired ? TRUE
Select	t value:
	Linear implicit
	Linear filtered
	Linear semi-explicit
	Non-linear implicit
	Non-linear filtered
	Non-linear semi-explicit
	Fully explicit
	Other - please specify:
-10 1	
	Embeded Seaice
	ice embeded in the ocean model (instead of levitating) xxx?
Spec.	ID: cmip6.ocean.uplow_boundaries.free_surface.embeded_seaice
Is Re	quired ? TRUE
Select	t value:
	True False

# 7.2 Bottom Boundary Layer

Properties of bottom boundary layer in ocean

#### 7.2.1 Overview

 $Overview\ of\ bottom\ boundary\ layer\ in\ ocean$ 

 ${\bf Spec.}\ {\bf ID:}\ cmip 6. ocean. uplow\_boundaries. bottom\_boundary\_layer. overview$ 

Is Required ? TRUE

Enter TEXT value:

# 7.2.2 Type Of Bbl

Type of bottom boundary layer in ocean

Spec. ID: cmip6.ocean.uplow\_boundaries.bottom\_boundary\_layer.type\_of\_bbl

Is Required ? TRUE

Select value:

Diffusive
Acvective
Other - please specify:

#### 7.2.3 Lateral Mixing Coef

If bottom BL is diffusive, specify value of lateral mixing coefficient (in m2/s)

 ${\bf Spec.~ID:}~cmip 6.ocean.uplow\_boundaries.bottom\_boundary\_layer.lateral\_mixing\_coef$ 

Is Required ? FALSE

Enter INTEGER value:

# 7.2.4 Sill Overflow

Describe any specific treatment of sill overflows

Spec. ID: cmip6.ocean.uplow\_boundaries.bottom\_boundary\_layer.sill\_overflow

Is Required ? TRUE

# 8 Boundary Forcing

Ocean boundary forcing

# 8.1 Boundary Forcing

Ocean boundary forcing

#### 8.1.1 Overview

Overview of boundary forcing in ocean

**Spec. ID:** cmip6.ocean.boundary\_forcing.overview

Is Required ? TRUE

Enter TEXT value:

#### 8.1.2 Surface Pressure

Describe how surface pressure is transmitted to ocean (via sea-ice, nothing specific,...)

 ${\bf Spec.}\ \ {\bf ID:}\ cmip 6. ocean. boundary\_forcing. surface\_pressure$ 

Is Required ? TRUE

Enter TEXT value:

#### 8.1.3 Momentum Flux Correction

Describe any type of ocean surface momentum flux correction and, if applicable, how it is applied and where.

 ${\bf Spec.\ ID:}\ cmip 6. ocean. boundary\_forcing. momentum\_flux\_correction$ 

Is Required ? FALSE

Enter TEXT value:

#### 8.1.4 Tracers Flux Correction

Describe any type of ocean surface tracers flux correction and, if applicable, how it is applied and where.

**Spec. ID:** cmip6.ocean.boundary\_forcing.tracers\_flux\_correction

Is Required ? FALSE

Enter TEXT value:

## 8.1.5 Wave Effects

Describe if/how wave effects are modelled at ocean surface.

Spec. ID: cmip6.ocean.boundary\_forcing.wave\_effects

Is Required ? TRUE

### 8.1.6 River Runoff Budget

Describe how river runoff from land surface is routed to ocean and any global adjustment done.

 $\mathbf{Spec.}\ \mathbf{ID:}\ cmip 6. ocean. boundary\_forcing.river\_runoff\_budget$ 

Is Required? TRUE

Enter TEXT value:

# 8.1.7 Geothermal Heating

Describe if/how geothermal heating is present at ocean bottom.

 ${\bf Spec.\ ID:}\ cmip 6. ocean. boundary\_forcing.geothermal\_heating$ 

Is Required ? TRUE

Enter TEXT value:

#### 8.2 Bottom Friction

Properties of momentum bottom friction in ocean

#### 8.2.1 Type

Type of momentum bottom friction in ocean

 ${\bf Spec.}\ {\bf ID:}\ cmip 6.ocean.boundary\_forcing.momentum.bottom\_friction.type$ 

Is Required ? TRUE

Non-linear

Select value:

Linear	

Non-linear (drag function of speed of tides)

Constant drag coefficient

U Other - please specify:

#### 8.3 Lateral Friction

Properties of momentum lateral friction in ocean

#### 8.3.1 Type

Type of momentum lateral friction in ocean

 ${\bf Spec.}\ {\bf ID:}\ cmip 6. ocean. boundary\_forcing. momentum. lateral\_friction. type$ 

Is Required ? TRUE

Select value:

None
Free-slip
No-slip
Other - please specify:
8.4 Sunlight Penetration  Properties of sunlight penetration scheme in ocean
8.4.1 Scheme
Type of sunlight penetration scheme in ocean
${\bf Spec.\ ID:}\ cmip 6. ocean. boundary\_forcing. tracers. sunlight\_penetration. scheme$
Is Required ? TRUE
Select value:
1 extinction depth
2 extinction depth
3 extinction depth
Other - please specify:
8.4.2 Ocean Colour
Is the ocean sunlight penetration scheme ocean colour dependent xxx?
${\bf Spec.~ID:}~cmip 6.ocean.boundary\_forcing.tracers.sunlight\_penetration.ocean\_colour$
Is Required ? TRUE
Select value:  True False
8.4.3 Extinction Depth  Describe and list extinctions depths for sunlight penetration scheme (if applicable).
${\bf Spec.\ ID:}\ cmip 6. ocean. boundary\_forcing. tracers. sunlight\_penetration. extinction\_depth$
Is Required ? FALSE
Enter TEXT value:

# 8.5 Fresh Water Forcing

Properties of surface fresh water forcing in ocean

# 8.5.1 From Atmopshere

 ${\it Type~of~surface~fresh~water~forcing~from~atmos~in~ocean}$ 

${\bf Spec.~ID:}~cmip 6. ocean. boundary\_forcing. tracers. fresh\_water\_forcing. from\_atmopshere$
Is Required ? TRUE
Select value:
Freshwater flux
☐ Virtual salt flux
Other - please specify:
8.5.2 From Sea Ice
Type of surface fresh water forcing from sea-ice in ocean
${\bf Spec.~ID:}~cmip 6. ocean. boundary\_forcing.tracers.fresh\_water\_forcing.from\_sea\_ice$
Is Required ? TRUE
Select value:
Freshwater flux
☐ Virtual salt flux
Real salt flux
Other - please specify:
8.5.3 Forced Mode Restoring
Type of surface salinity restoring in forced mode (OMIP)
$\mathbf{Spec.}\ \mathbf{ID:}\ cmip 6. ocean. boundary\_forcing. tracers. fresh\_water\_forcing. forced\_mode\_restoring$
Is Required ? TRUE
Enter TEXT value: