

T-Hy-Demosaicing: Hyperspectral Reconstruction Via Tensor Subspace Representation Under Orthogonal Transformation

Shan-Shan Xu, Ting-Zhu Huang , Jie Lin , and Yong Chen

Abstract—This article aims to solve the problem of the hyperspectral imagery (HSI) demosaicing under a novel subsampling hyperspectral sensing strategy. The existing method utilizes the periodic structure of subsampling to estimate a fixed subspace in matrix form from the measurement result, which reduces the representation ability of the subspace in iterations and destroys the intrinsic structure of the tensor. To overcome these drawbacks, we propose a tensor-based HSI demosaicing (T-Hy-demosaaicing) model with tensor subspace representation, which takes the low-tubal-rankness and the nonlocal self-similarity into account. In particular, we suggest a tensor singular value decomposition based on orthogonal transformation (Tran-based t-SVD) to learn the tensor subspace that possesses a more powerful representation ability. In addition, we develop an effective algorithm to solve the proposed nonconvex model under the framework of the proximal alternating minimization algorithm. Experiments conducted on simulated datasets illustrate that the proposed method outperforms other comparative methods in both visual and quantitative terms.

Index Terms—Hyperspectral demosaicing, proximal alternating minimization (PAM), tensor subspace representation, tran-based tensor singular value decomposition (t-SVD).

I. INTRODUCTION

HYPERSPECTRAL imagery (HSI) is a third-order tensor containing both spectral and spatial information. HSI consists of a great amount of bands, each of which represents the intensity of reflections measured over a narrow range of optical frequencies. Due to the high spectral resolution of the HSI, HSI can be widely used in environmental surveillance, military surveillance, medical detection, and agricultural planning, etc. [1]–[10]. However, higher spectral resolution means larger volume, which will greatly increase the burden of transmission

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and storage. To avoid these problems, HSI is usually compressed before transmission in actual situations. Therefore, The choice of compression method and HSI reconstruction from compressed observations (even with noise) are crucial for subsequent applications [11]–[15].

According to the difference in compressed direction, compression methods can be classified into three categories: 1) Spatial-based methods. 2) Spatial-Spectral-based methods. 3) Spectral-based methods. The Spatial-based methods convert the image of each band to a sparse domain, and then perform a down-sampling band-by-band [16]–[18]. These methods are only a simple extension of the compressed sensing methods of 2-D images, which cannot make full advantage of the spectral information of the HSI and cause a heavier burden on hardware devices and sensor resources. To joint utilizes the spatial and spectral information, spatial-spectral-based methods, which perform separable compression in multiple directions, were proposed in [19]–[22]. Inevitably, this type of methods makes the sampling setup more complicated. Recently, many researchers have discovered that the spectral dimension of the HSI has better compressibility due to the higher correlation of this dimension. Therefore, the spectral-based methods are widely used, and its compression process can be described as making a random projection for every spectral vector [23]–[26]. However, this kind of methods usually has a large-sized sensing matrice generated by random numbers or compressive operators satisfying the restricted isometry property [27], which will occupy large computing resources during the reconstruction process.

Recently, a novel and fast hyperspectral sensing framework called Hy-mosaicing was discussed by Zhuang *et al.* [28], which is inspired by the color filter array used in some digital cameras. It is worth mentioning that this sensing framework has a more special sensing matrix, which is a binary matrix composed of random row subsets of the identity matrix. It is very lightweight and can be better compatible with the constraints imposed by the hardware that collects HSI information. Meanwhile, the sensing framework has a periodic sampling structure, as shown in Fig. 2, which means that there exist a number of pixels sharing the same sensing matrix. This implies that the complexity of sampling can be reduced. Under this framework, they proposed a subspace-based blind reconstruction method called Hy-demosaaicing using the low-rankness and self-similarity of HSI. According to the periodic structure of the color selector

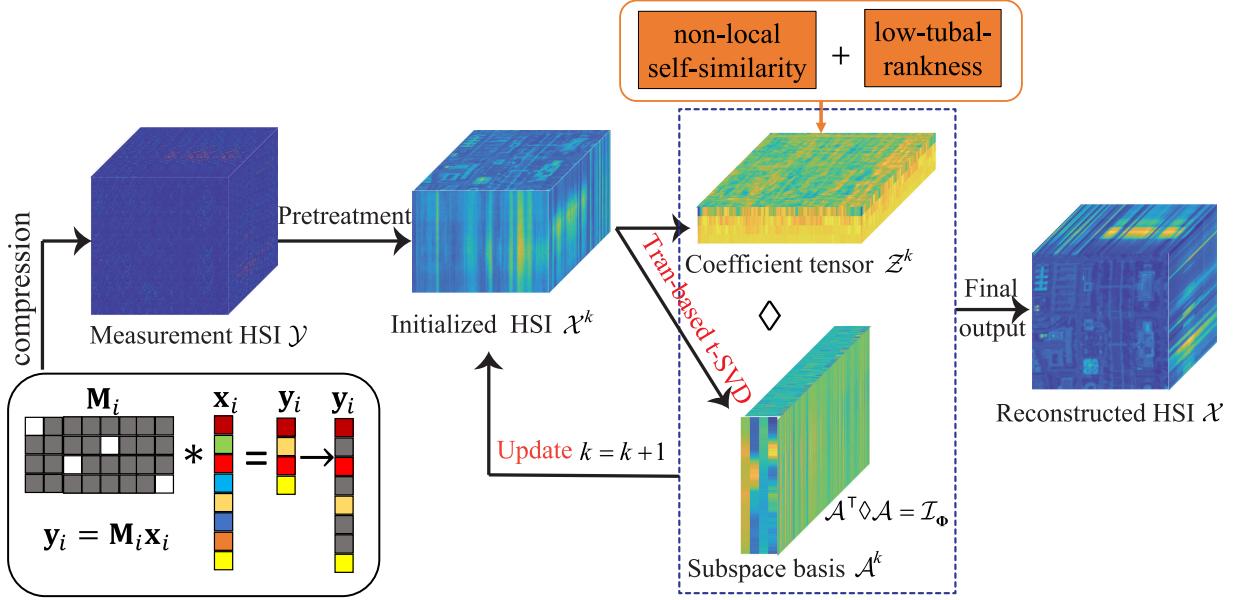


Fig. 1. Flow diagram of the proposed T-Hy-demosicing method for HSI demosaicing.

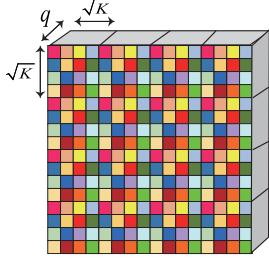


Fig. 2. Sampling structure.

array, this method can get subspace basis from the measured subsamples, and the solution is more efficient. However, this method also has some disadvantages: The model expands the HSI data into a 2-D matrix for processing, which cannot finely preserve the tensor intact of the HSI. Moreover, Hy-mosaicing method fixed the subspace matrix and cannot be self-updated. It can be seen that the method Hy-demosicing still has room for improvement, and the most essential issue is how to construct a subspace representation in the form of tensor.

In essence, the HSI is a natural tensor and has a high correlation in both spatial and spectral dimensions [29]–[34]. This implies the low-rankness of the HSI tensor and the entire tensor corresponds to the affiliation of a tensor subspace. Finding a proper tensor decomposition with a specific rank measure, the HSI tensor is expected to be faithfully represented by a tensor subspace. Recently, Kilmer *et al.* [35] proposed a novel tensor singular value decomposition (t-SVD) and tensor–tensor multiplication (t-product), which uses discrete Fourier transform (DFT) to decompose a tensor into the t-product of three tensors. However, DFT is not necessarily applicable to all types of data. Is it possible to construct a framework that can make the decomposition performance of t-SVD more flexible and deeper

to preserve the original data information when on different data? To track this problem, we suggest a t-SVD based on orthogonal transformation (Tran-Based t-SVD) (see Section IV-C2).

In this article, we propose a T-Hy-demosicing method. The flowchart of the proposed method is shown in Fig. 1. Our main contributions are as follows.

- 1) We design a tensor subspace representation and propose a T-Hy-demosicing method for HSI demosaicing. Under the tensor subspace representation framework, the reconstruction problem of the observation is transformed into the estimation of the coefficient tensor, and the coefficient tensor is achieved by nonlocal-based denoiser.
- 2) We suggest a t-SVD based on orthogonal transformation to learn tensor subspace. With the new decomposition, the transformation can be chosen more flexibly for our demosaicing problem, and the learned subspace has stronger representation capability.
- 3) We use the proximal alternating minimization (PAM) algorithm to efficiently solve the proposed nonconvex model. Extensive experiments indicate that the proposed algorithm has better results in both visual and quantitative evaluation than existing methods.

The remainder of this article is organized as follows. Section II introduces the basic notations and basic definitions of third-order tensor, as well as a hyperspectral sensing framework (mosaic of HSI). Section III proposes the model and algorithm. Section IV gives the experimental results and analyzes the superiority of the algorithm proposed. The final conclusions are given in Section V. The proof is in the Appendix.

II. PRELIMINARIES AND PROBLEM BACKGROUND

We first give some notations used frequently in this article. We denote vectors by boldface lowercase letters, e.g., \mathbf{a} . Matrices

are denoted by boldface capital letters, e.g., \mathbf{A} , and tensors are denoted by boldface Euler script letters, e.g., \mathcal{A} . The fields of real number and complex number are denoted as \mathbb{R} and \mathbb{C} . For a third-order tensor $\mathcal{A} \in \mathbb{R}^{n_1 \times n_2 \times n_3}$, we denote $\mathcal{A}^{(k)}$ as the k th frontal slice of \mathcal{A} , $\mathcal{A}(i, j, :)$ as its tubal obtained by fixing the first two dimensions and varying the third dimension, and \mathcal{A}_{ijk} as its (i, j, k) th entry and its Frobenius norm is $\|\mathcal{A}\|_F = \sqrt{\sum_{ijk} \mathcal{A}_{ijk}^2}$.

A. Framework of the t-SVD With Orthogonal Transformation

Different from using DFT matrix to define t-product and t-SVD in [35], we develop a novel tensor t-SVD based on orthogonal transformation [36], [37].

For any third-order tensor $\mathcal{X} \in \mathbb{C}^{n_1 \times n_2 \times n_3}$, $\bar{\mathcal{X}}_\Phi$ is a third-order tensor, which is obtained via multiplying by Φ on each tube along the third-dimension of \mathcal{X}

$$\bar{\mathcal{X}}_\Phi(i, j, :) = \Phi \cdot (\mathcal{X}(i, j, :))$$

where $\Phi \in \mathbb{C}^{n_3 \times n_3}$ is an orthogonal transformation matrix with $\Phi\Phi^\top = \Phi^\top\Phi = \mathbf{I}$, and $\mathbf{I} \in \mathbb{C}^{n_3 \times n_3}$ is an identity matrix. Obviously, we can get \mathcal{X} by multiplying Φ^\top along each tube of $\bar{\mathcal{X}}_\Phi$, i.e., $\mathcal{X} = \Phi^\top[\bar{\mathcal{X}}_\Phi]$, which is a reversible operation.

We use the frontal slices of $\bar{\mathcal{X}}_\Phi$ to construct a block diagonal matrix as follows:

$$\text{bdiag}(\bar{\mathcal{X}}_\Phi) := \begin{pmatrix} \bar{\mathcal{X}}_\Phi^{(1)} & & & \\ & \bar{\mathcal{X}}_\Phi^{(2)} & & \\ & & \ddots & \\ & & & \bar{\mathcal{X}}_\Phi^{(n_3)} \end{pmatrix}$$

where bdiag is the operator that maps the tensor to a block diagonal matrix. Moreover, we can convert the block diagonal matrix to a tensor through the following fold operator:

$$\text{fold}(\text{bdiag}(\bar{\mathcal{X}}_\Phi)) = \bar{\mathcal{X}}_\Phi$$

Definition 1 (Tran-based t-product [36]): Set $\mathcal{X} \in \mathbb{C}^{n_1 \times n_2 \times n_3}$ and $\mathcal{Y} \in \mathbb{C}^{n_2 \times l \times n_3}$, then the Tran-based t-product is defined as

$$\mathcal{C} = \mathcal{X} \diamond \mathcal{Y} = \Phi^\top [\text{fold}(\text{bdiag}(\bar{\mathcal{X}}_\Phi) \cdot \text{bdiag}(\bar{\mathcal{Y}}_\Phi))] \quad (1)$$

where $\mathcal{C} \in \mathbb{C}^{n_1 \times l \times n_3}$.

Definition 2 (Tensor transpose [36]): The transpose of $\mathcal{X} \in \mathbb{C}^{n_1 \times n_2 \times n_3}$ is denoted as $\mathcal{X}^\top \in \mathbb{C}^{n_2 \times n_1 \times n_3}$, which satisfies $(\bar{\mathcal{X}}_\Phi^{(i)}) = (\bar{\mathcal{X}}_\Phi^{(i)})^\top, i = 1, \dots, n_3$.

Definition 3 (Identity tensor [36]): Let $\mathcal{I} \in \mathbb{R}^{n \times n \times n_3}$ is a tensor, whose each frontal slice is a $n \times n$ identity matrix. Then $\mathcal{I}_\Phi = \Phi^\top[\mathcal{I}]$ is called the identity tensor.

Definition 4 (Orthogonal tensor [36]): A tensor $\mathcal{Q} \in \mathbb{C}^{n \times n \times n_3}$ is orthogonal if it has

$$\mathcal{Q}^\top \diamond \mathcal{Q} = \mathcal{Q} \diamond \mathcal{Q}^\top = \mathcal{I}_\Phi$$

where \mathcal{I}_Φ is an identity tensor.

Based on the above t-product, the corresponding t-SVD can be defined as follows.

Definition 5 (Tran-based t-SVD [36]): For any third-order tensor $\mathcal{X} \in \mathbb{C}^{n_1 \times n_2 \times n_3}$, its Tran-based t-SVD is defined as

$$\mathcal{X} = \mathcal{U} \diamond \mathcal{S} \diamond \mathcal{V}^\top$$

where $\mathcal{U} \in \mathbb{C}^{n_1 \times n_1 \times n_3}$ and $\mathcal{V} \in \mathbb{C}^{n_2 \times n_2 \times n_3}$ are third-order orthogonal tensors with respect to the Tran-based t-product, $\mathcal{S} \in \mathbb{C}^{n_1 \times n_2 \times n_3}$ is f-diagonal tensor whose frontal slices are diagonal matrices, and \mathcal{V}^\top is the tensor transpose of \mathcal{V} .

Definition 6 (Tensor tubal rank [36]): For any third-order tensor $\mathcal{X} \in \mathbb{C}^{n_1 \times n_2 \times n_3}$, the tensor tubal rank of \mathcal{X} , denoted as $\text{rank}_t(\mathcal{X})$, is defined as the number of nonzero singular tubes of \mathcal{S} , i.e.,

$$\text{rank}_t(\mathcal{X}) = \#\{i, \mathcal{S}(i, i, :) \neq 0\}$$

where \mathcal{S} is from the Tran-based t-SVD of $\mathcal{X} = \mathcal{U} \diamond \mathcal{S} \diamond \mathcal{V}^\top$.

Remark 1: The above definitions are applicable to any orthogonal transformation, but for different problems, it is particularly important to choose a suitable orthogonal transformation matrix. For the following reasons, the demosaicing problem for this article is to employ the tensor decomposition and product based on the discrete cosine transform (DCT). First, for the compression problem, DCT can retain the most relevant information (low-frequency information) of HSI. Second, DCT uses the intrinsic reflexive boundary conditions along the mode-3 of the tensor, which has a better reconstruction effect at the image boundary. Finally, DCT does not involve the calculation of the complex part, theoretically speaking, it can reduce a certain calculation cost.

B. Sampling Strategy: Hy-Mosaicing

Based on the color filter array used in color images, our hyperspectral sensing strategy is to randomly select samples in the spectral dimension as measurement data for each pixel in the spatial dimension. The subsampling process of the i th pixel $\mathbf{x}_i \in \mathbb{R}^b$ can be described in the following mathematical form:

$$\mathbf{y}_i = \mathbf{M}_i \mathbf{x}_i \quad (2)$$

where $\mathbf{y}_i \in \mathbb{R}^q (q \ll b)$ is measured vector, and $\mathbf{M}_i \in \mathbb{R}^{q \times b}$ is the measurement matrix used for color subsampling. It is a binary matrix consisting of a random row subset of the identity matrix. However, we do not use a different measurement matrix for each pixel in space. We divide the HSI into several nonoverlapping square windows of size K in the spatial dimension, and then generate a color selector array of size K , each pixel of which corresponds to a different measurement matrix (color). Finally, we copy the color pattern in the unit of size K to generate our measurement matrix. The sampling structure is shown in Fig. 2.

To better maintain the structure of HSI, the HSI is expressed as a third-order tensor. Assuming that $\mathcal{X} \in \mathbb{R}^{m \times n \times b}$ is the clean HSI, when it is contaminated by the additive Gaussian noise $\mathcal{N} \in \mathbb{R}^{m \times n \times b}$, then the noisy HSI $\mathcal{Y} \in \mathbb{R}^{m \times n \times b}$ is formulated as

$$\mathcal{Y} = \mathcal{X} + \mathcal{N}. \quad (3)$$

We perform subsampling on each pixel in \mathcal{X} as (2). Under the condition of knowing the mask corresponding to each pixel, we

$$\begin{aligned}
 & \left[\begin{array}{ccccccc} 0 & 0 & 0 & 1 & 0 & 0 & 0 \\ 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 & 0 & 0 \end{array} \right] * \begin{bmatrix} x_{i1} \\ x_{i2} \\ x_{i3} \\ x_{i4} \\ x_{i5} \\ x_{i6} \\ x_{i7} \\ x_i \end{bmatrix} \rightarrow \begin{bmatrix} x_{i4} \\ x_{i1} \\ x_{i3} \\ y_i \end{bmatrix} \\
 M_i & \\
 \text{Matrix form} & \\
 \\
 & \Rightarrow \left[\begin{array}{ccccccc} 1 & 0 & 1 & 1 & 0 & 0 & 0 \end{array} \right]^T \odot \begin{bmatrix} x_{i1} \\ x_{i2} \\ x_{i3} \\ x_{i4} \\ x_{i5} \\ x_{i6} \\ x_{i7} \\ x_i \end{bmatrix} \rightarrow \begin{bmatrix} x_{i1} \\ 0 \\ x_{i3} \\ x_{i4} \\ 0 \\ 0 \\ 0 \\ y_i \end{bmatrix} \\
 M(j, k, :) & \\
 \mathcal{X}(j, k, :) & \quad \mathcal{Y}(j, k, :) \\
 \text{Tensor form} &
 \end{aligned}$$

Fig. 3. Mask matrix to tensor.

can fill in the unsampled position of the measured vector with 0. Then, the sensing process can be formulated as

$$\mathcal{Y} = \mathcal{M} \odot \mathcal{X} + \mathcal{N} \quad (4)$$

where $\mathcal{M} \in \mathbb{R}^{m \times n \times b}$ is a binary tensor that can be obtained from the \mathbf{M}_i of each pixel and \odot denotes the Hadamard (entrywise) product. In each tube of \mathcal{M} , there is $\sum \mathcal{M}(i, j, :) = q$. The corresponding relationship between \mathbf{M}_i and \mathcal{M} is shown in Fig. 3. q/b stands for the sampling rate. Moreover, we mainly consider the reconstruction of the HSI in this article. The pixel values of the image are scaled and usually belong to the interval [0 255].

III. DEMOSAICING: THE PROPOSED MODEL AND THE PAM ALGORITHM

In this section, under the abovementioned sampling strategy called Hy-mosaicing, we propose an HSI demosaicing model based on tensor subspace representation and develop an effective algorithm to solve it under the framework of the PAM algorithm.

A. Proposed Model

Since it is an ill-posed issue to reconstruct \mathcal{X} under the conditions of known \mathcal{M} and \mathcal{Y} in (4), and it is difficult to solve directly. Therefore, according to the special properties of HSI data, we can add some prior conditions [38]–[44]. Based on the new tensor decomposition, we represent an original tensor data as the Tran-based t-product of a tensor basis and a coefficient tensor. In particular, we found that the obtained coefficient tensor in the subspace can well inherit the nonlocal self-similarity of the original HSI, which can be well characterized by the $\|\cdot\|_{NL}$ regularity, as shown in Fig. 4. From the above, the proposed HSI demosaicing model by tensor factorization with a nonlocal

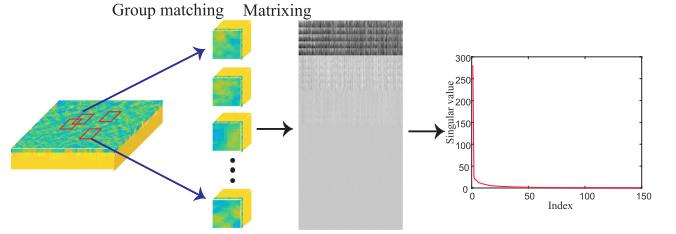


Fig. 4. Nonlocal self-similarity property of coefficient tensor.

low-rank regularizer is described as follows:

$$\begin{aligned}
 & \min_{\mathcal{Z}, \mathcal{A}, \mathcal{X}} \frac{1}{2} \|\mathcal{M} \odot \mathcal{X} - \mathcal{Y}\|_F^2 + \lambda_1 \|\mathcal{X} - \mathcal{A} \diamond \mathcal{Z}\|_F^2 + \lambda_2 \|\mathcal{Z}\|_{NL} \\
 & \text{such that } \mathcal{A}^T \diamond \mathcal{A} = \mathcal{I}_{\Phi}
 \end{aligned} \quad (5)$$

where \mathcal{A} is a semi-orthogonal basis tensor with respect to an orthogonal transformation matrix Φ , which captures the common subspace of different spectrums, and \mathcal{Z} is the coefficient tensor. Since the permuted HSI has better low-tubal-rank property than the original data, we all perform permutation operations for \mathcal{M} , \mathcal{X} , and \mathcal{Y} , so that $\mathcal{M}, \mathcal{X}, \mathcal{Y} \in \mathbb{R}^{b \times m \times n}$. It is assumed that there is an optimal tubal rank $p \ll b$ such that \mathcal{X} can be decomposed into subspaces with the size of p , then $\mathcal{A} \in \mathbb{R}^{b \times p \times n}$, $\mathcal{Z} \in \mathbb{R}^{p \times m \times n}$.

B. PAM Algorithm

Since (5) is nonconvex, we develop the PAM algorithm to solve it efficiently, which can guarantee numerical stability, see, e.g., [45], [46]. Therefore, the solution of model (5) is to split the original problem into three subproblems and solve them alternately. Given an initial guess $(\mathcal{X}^k, \mathcal{A}^k, \mathcal{Z}^k)$ for the problem (5), the PAM iteration steps are as follows:

$$\begin{cases} \mathcal{Z}^{k+1} = \arg \min_{\mathcal{Z}} \lambda_1 \|\mathcal{X}^k - \mathcal{A}^k \diamond \mathcal{Z}\|_F^2 + \lambda_2 \|\mathcal{Z}\|_{NL} \\ \quad + \rho \|\mathcal{Z} - \mathcal{Z}^k\|_F^2 \\ \mathcal{A}^{k+1} = \arg \min_{\mathcal{A}^T \diamond \mathcal{A} = \mathcal{I}_{\Phi}} \|\mathcal{X}^k - \mathcal{A} \diamond \mathcal{Z}^{k+1}\|_F^2 + \rho \|\mathcal{A} - \mathcal{A}^k\|_F^2 \\ \mathcal{X}^{k+1} = \arg \min_{\mathcal{X}} \frac{1}{2} \|\mathcal{M} \odot \mathcal{X} - \mathcal{Y}\|_F^2 + \rho \|\mathcal{X} - \mathcal{X}^k\|_F^2 \\ \quad + \lambda_1 \|\mathcal{X} - \mathcal{A}^{k+1} \diamond \mathcal{Z}^{k+1}\|_F^2. \end{cases}$$

1) \mathcal{Z} Subproblem: \mathcal{Z} subproblem is formulated as follows:

$$\begin{aligned}
 \mathcal{Z}^{k+1} &= \arg \min_{\mathcal{Z}} \lambda_1 \|\mathcal{X}^k - \mathcal{A}^k \diamond \mathcal{Z}\|_F^2 + \lambda_2 \|\mathcal{Z}\|_{NL} \\
 &\quad + \rho \|\mathcal{Z} - \mathcal{Z}^k\|_F^2 \\
 &= \arg \min_{\mathcal{Z}} \|(\mathcal{A}^k)^T \diamond \mathcal{X}^k - \mathcal{Z}\|_F^2 + \lambda_2 \|\mathcal{Z}\|_{NL} \\
 &\quad + \rho \|\mathcal{Z} - \mathcal{Z}^k\|_F^2 \\
 &= \arg \min_{\mathcal{Z}} \frac{\lambda_1 + \rho}{\lambda_2} \left\| \mathcal{Z} - \frac{\lambda_1 (\mathcal{A}^k)^T \diamond \mathcal{X}^k + \rho \mathcal{Z}^k}{\lambda_1 + \rho} \right\|_F^2 \\
 &\quad + \|\mathcal{Z}\|_{NL}.
 \end{aligned} \quad (6)$$

TABLE I
VALUE OF THE SUBSPACE DIMENSION p UNDER DIFFERENT SPECTRAL SAMPLING NUMBERS q AND NOISE LEVELS

Spectral sample number q	$4 \leq q < 10$	$10 \leq q < 20$	$20 \leq q < 30$	$30 \leq q < 40$	$40 \leq q < 60$	$60 \leq q < 100$
SNR=20 dB	3	4	4	5	7	8
SNR=30 dB	3	4	4	6	7	9
SNR=40 dB	4	5	6	7	8	10

Regarding the derivation of (6), we need to prove that the following equation holds:

$$\begin{aligned} & \arg \min_{\mathcal{Z}} \lambda_1 \|\mathcal{X}^k - \mathcal{A}^k \diamond \mathcal{Z}\|_F^2 \\ &= \arg \min_{\mathcal{Z}} \|(\mathcal{A}^k)^T \diamond \mathcal{X}^k - \mathcal{Z}\|_F^2. \end{aligned} \quad (7)$$

We perform a specific orthogonal transformation along the mode-3 for all variables in (7), each frontal slice of them can be written as

$$\begin{aligned} & \arg \min_{\bar{\mathbf{Z}}^{(i)}} \lambda_1 \|\bar{\mathbf{X}}^{(i)} - \bar{\mathbf{A}}^{(i)} \bar{\mathbf{Z}}^{(i)}\|_F^2 \\ &= \arg \min_{\bar{\mathbf{Z}}^{(i)}} \|(\bar{\mathbf{A}}^{(i)})^T \bar{\mathbf{X}}^{(i)} - \bar{\mathbf{Z}}^{(i)}\|_F^2 \end{aligned}$$

then (7) is valid combined with the following Theorem 1. This problem can be solved by the state-of-the-art plug-and-play (PnP) denoiser (such as WNNM method, BM3D method, etc.), see [47]–[50] for their specific solution process.

Theorem 1: Let \mathbf{A} be a semiorthogonal matrix, i.e. $\mathbf{A}^T \mathbf{A} = \mathbf{I}$, where \mathbf{I} is the identity matrix. Then,

$$\arg \min_{\mathbf{Z}} \|\mathbf{X} - \mathbf{A}\mathbf{Z}\|_F^2 = \arg \min_{\mathbf{Z}} \|\mathbf{A}^T \mathbf{X} - \mathbf{Z}\|_F^2.$$

2) \mathcal{A} Sub-Problem: \mathcal{A} sub-problem is formulated as follows:

$$\mathcal{A}^{k+1} = \arg \min_{\mathcal{A}^T \diamond \mathcal{A} = \mathcal{I}_\Phi} \lambda_2 \|\mathcal{X}^k - \mathcal{A} \diamond \mathcal{Z}^{k+1}\|_F^2 + \rho \|\mathcal{A} - \mathcal{A}^k\|_F^2. \quad (8)$$

According to Theorem 2, the closed-form solution of \mathcal{A} is given as

$$\mathcal{A}^{k+1} = \mathcal{V} \diamond \mathcal{U}^T \quad (9)$$

where \mathcal{U} and \mathcal{V} are obtained by performing Tran-based t-SVD of $\lambda_2 \mathcal{Z}^{k+1} \diamond \mathcal{X}^T + \rho \mathcal{A}^{kT}$, that is, $\lambda_2 \mathcal{Z}^{k+1} \diamond \mathcal{X}^T + \rho \mathcal{A}^{kT} = \mathcal{U} \diamond \mathcal{S} \diamond \mathcal{V}^T$.

Theorem 2 ([51]): For a semiorthogonal tensor $\mathcal{A} \in \mathbb{R}^{n_1 \times n_2 \times n_3}$, the following problem:

$$\min_{\mathcal{A}} \lambda_2 \|\mathcal{X} - \mathcal{A} \diamond \mathcal{Z}\|_F^2 + \rho \|\mathcal{A} - \mathcal{B}\|_F^2, \text{ s.t. } \mathcal{A}^T \diamond \mathcal{A} = \mathcal{I}_\Phi \quad (10)$$

has the closed-form solution $\mathcal{A}^* = \mathcal{V} \diamond \mathcal{U}^T$, where \mathcal{U} and \mathcal{V} are obtained by performing Tran-based t-SVD of $\lambda_2 \mathcal{Z} \diamond \mathcal{X}^T + \rho \mathcal{B}^T$, that is, $\lambda_2 \mathcal{Z} \diamond \mathcal{X}^T + \rho \mathcal{B}^T = \mathcal{U} \diamond \mathcal{S} \diamond \mathcal{V}^T$.

3) \mathcal{X} Subproblem: \mathcal{X} subproblem is formulated as follows:

$$\begin{aligned} \mathcal{X}^{k+1} &= \arg \min_{\mathcal{X}} \frac{1}{2} \|\mathcal{M} \odot \mathcal{X} - \mathcal{Y}\|_F^2 + \rho \|\mathcal{X} - \mathcal{X}^k\|_F^2 \\ &+ \lambda_1 \|\mathcal{X} - \mathcal{A}^{k+1} \diamond \mathcal{Z}^{k+1}\|_F^2. \end{aligned} \quad (11)$$

Let $\mathcal{J} = \frac{1}{2} \|\mathcal{M} \odot \mathcal{X} - \mathcal{Y}\|_F^2 + \lambda_1 \|\mathcal{X} - \mathcal{A}^{k+1} \diamond \mathcal{Z}^{k+1}\|_F^2 + \rho \|\mathcal{X} - \mathcal{X}^k\|_F^2$, we have the partial derivative of \mathcal{J} with respect

TABLE II
CRITICAL PARAMETERS OF COMPARED METHODS

Methods	Parameters
HaLRTC	$\lambda \in \{10^{-3}, 10^{-2}, 10^{-1}\}$
LRTC-TV-I	$\beta \in \{1, 1, 1\}, [1, 1, 0]\}, \lambda = 0.02, \rho = 10^{-2}$
TNN	$\lambda \in \{10^{-3}, 10^{-2}, 10^{-1}\}$
KBR	$\beta = 10^{-2}, \mu \in \{\beta \cdot 10^{-3}, \beta \cdot 10^{-4}, \beta \cdot 10^{-5}\}$
Hy-demosicing	$p_{\text{subspace}} \in \{2 : 6\}, \lambda \in \{0.02, 0.0075, 0.0025\}$

to \mathcal{X} as

$$\begin{aligned} \frac{\partial \mathcal{J}}{\partial \mathcal{X}} &= (\mathcal{M} + 2\lambda_1 + 2\rho) \odot \mathcal{X} \\ &- (\mathcal{M} \odot \mathcal{Y} + 2\lambda_1 \mathcal{A}^{k+1} \diamond \mathcal{Z}^{k+1} + 2\rho \mathcal{X}^k). \end{aligned}$$

Take $\frac{\partial \mathcal{J}}{\partial \mathcal{X}} = 0$, \mathcal{X} can be given by

$$\begin{aligned} \mathcal{X}^{k+1} &= (\mathcal{M} \odot \mathcal{Y} + 2\lambda_1 \mathcal{A}^{k+1} \diamond \mathcal{Z}^{k+1} \\ &+ 2\rho \mathcal{X}^k) \oslash (\mathcal{M} + 2\lambda_1 + 2\rho). \end{aligned} \quad (12)$$

In summary, we propose a new demosaicing algorithm for HSI as follows:

Algorithm 1: T-Hy-Demosicing.

Input: Sampling mask \mathcal{M} , measurements \mathcal{Y} , a preprocessed HSI \mathcal{X}^0 using TNN method, parameters $\lambda_1, \lambda_2, p, \rho$.
1: Initialize: Estimate \mathcal{A}^0 and \mathcal{Z}^0 via Tran-based t-SVD on \mathcal{X}^0 .
2: **for** $k = 1 : M$ **do**
3: update \mathcal{Z} via solving (6) with the PnP denoiser.
4: update \mathcal{A} via (9).
5: update \mathcal{X} via (12).
6: **if** $\|\mathcal{X}^k - \mathcal{X}^{k-1}\| / \|\mathcal{X}^{k-1}\| \leq \epsilon$ **then**
7: break.
8: **end if**
9: **end for**

Output: Reconstructed HSI \mathcal{X} .

IV. EXPERIMENTAL RESULTS

In this section, to verify the effectiveness and superiority of the proposed method for the demosaicing problem, we conduct simulation experiments on real HSI data. Since the Hy-demosicing problem can be regarded as a completion problem, the existing completion methods are also suitable for solving this problem. Thus, the compared methods include: HaLRTC [52], LRTC-TV-I [53], TNN [54], KBR [55], and Hy-demosicing [28]. The experimental results are compared with these methods in terms of quantitative indices and visual effects.

TABLE IV
AVERAGE COMPUTATIONAL TIMES (IN SECONDS) OF COMPARED METHODS ON TWO DATASETS

Dataset \ Methods	HaLRTC	LRTC-TV-I	TNN	KBR	Hy-demosaicing	T-Hy-demosaicing
WDC	96.301	2173.8	470.43	1868.1	170.04	584.06
PaU	22.824	426.16	87.908	503.43	89.041	240.13

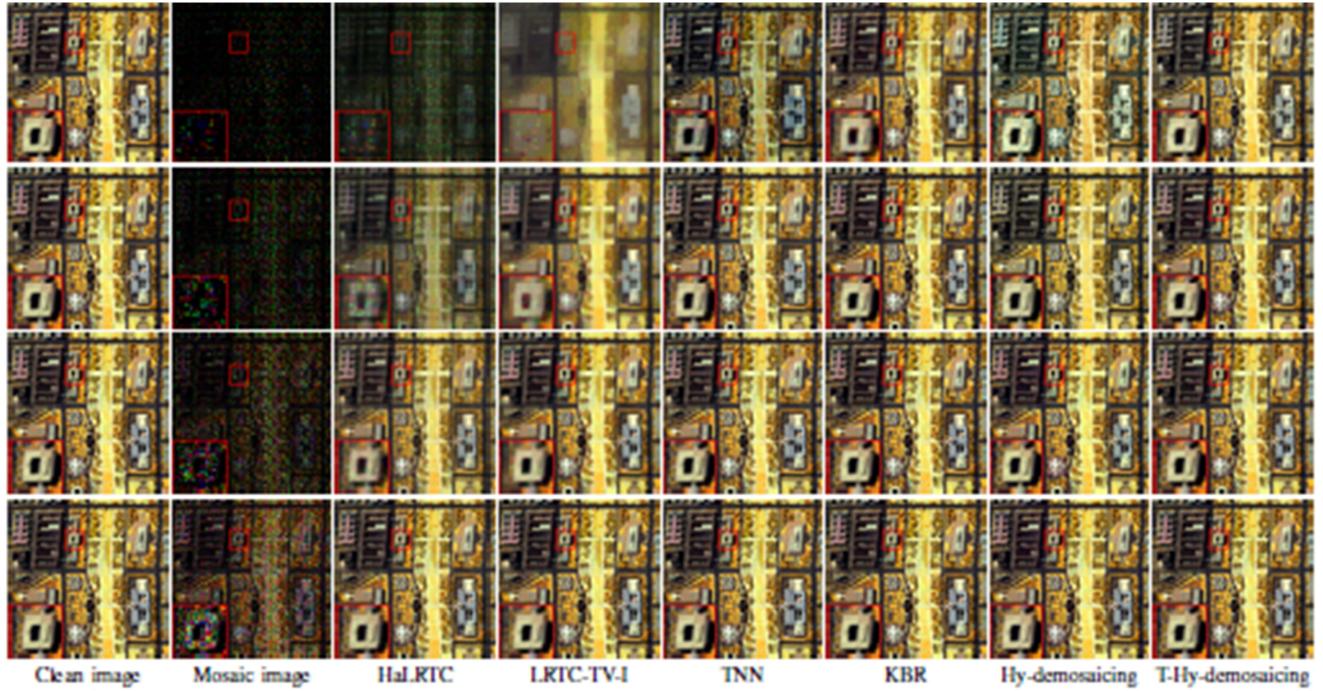


Fig. 5. Visual reconstructed results on pseudo-color images (R: 70 G: 100 B: 160) of the Washington DC from the compared methods under noise SNR = 30 dB. Top to bottom row represents the sampling rate of 5%, 15%, 25%, and 50%.

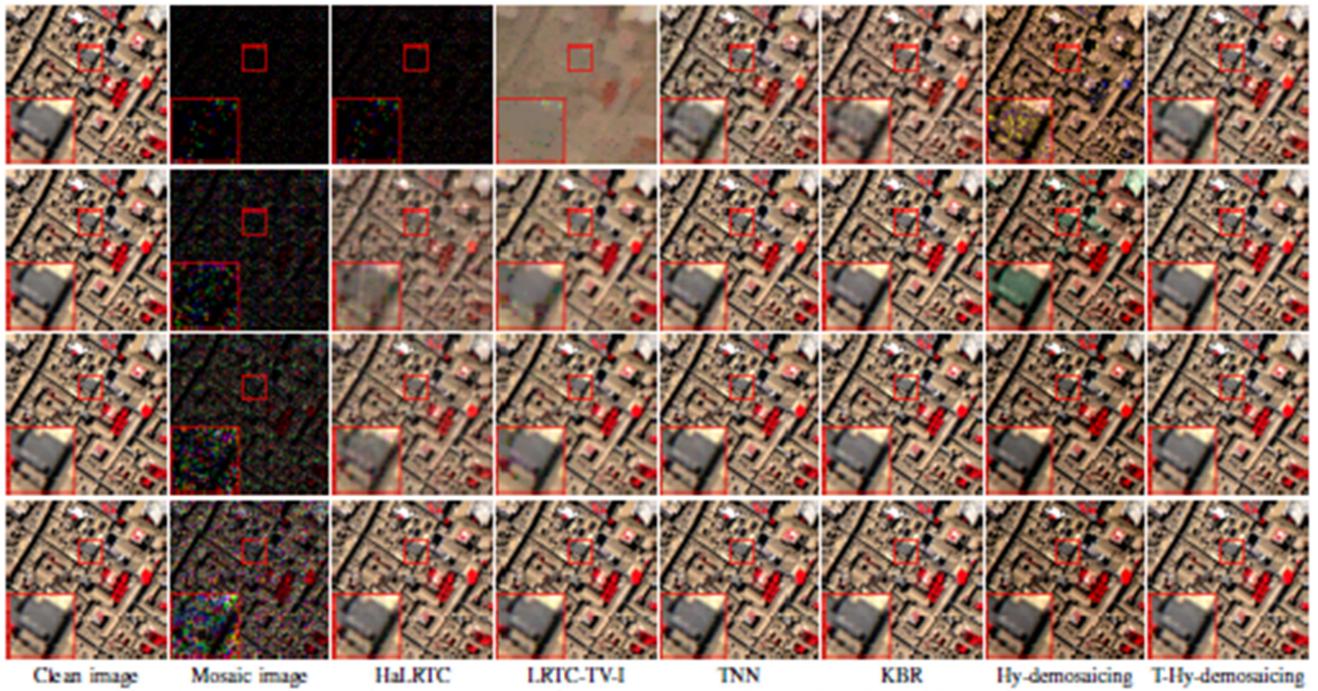


Fig. 6. Visual reconstructed results on pseudo-color images (R: 60 G: 40 B: 20) of the Pavia University from the compared methods under noise SNR = 30 dB. Top to bottom row represents the sampling rate of 5%, 15%, 25%, and 50%.

is M . The spectral dimension p is highly correlated with the number of spectrum samples and noise level, and its value is shown in Table I. The regularization parameters λ_1 and λ_2 need to be adjusted based on experience, and the tuning range is $\{10^{-4}, 10^{-3}, 10^{-2}, 10^{-1}, 1, 10\}$. The algorithm parameters are set to $\rho = 10^{-5}$, $M = 50$. We adjust the parameter settings of the compared methods according to the author's paper or code suggestions to get the best results. The critical parameters of compared methods are in Table II.

Reconstruction Quality Indices: We use the mean of peak-signal-to-noise ratio (MPSNR), mean of structural similarity index measure (MSSIM), spectral angle mapper (MSAM), and normalized mean squared error (NMSE) to measure the quality of the reconstruction results. Let $\mathcal{X} \in \mathbb{R}^{m \times n \times b}$ and $\mathcal{X}_r \in \mathbb{R}^{m \times n \times b}$ represent the original clean HSI and reconstructed HSI, respectively. These four indices are defined as follows:

$$\begin{aligned} \text{MPSNR}(\mathcal{X}_r, \mathcal{X}) &= \frac{1}{b} \sum_{k=1}^b 10 \log_{10} \left(\frac{255^2 mn}{\|\mathcal{X}_r(:, :, k) - \mathcal{X}(:, :, k)\|_F^2} \right) \\ \text{MSSIM}(\mathcal{X}_r, \mathcal{X}) &= \frac{1}{b} \sum_{k=1}^b \text{SSIM}(\mathcal{X}_r(:, :, k), \mathcal{X}(:, :, k)) \\ \text{NMSE}(\mathcal{X}_r, \mathcal{X}) &= \frac{\|\mathcal{X} - \mathcal{X}_r\|_F^2}{\|\mathcal{X}\|_F^2} \\ \text{MSAM}(\mathcal{X}_r, \mathcal{X}) &= \frac{1}{mn} \sum_{i=1}^m \sum_{j=1}^n \cos^{-1} \frac{\mathbf{x}^T \mathbf{x}_r}{(\mathbf{x}^T \mathbf{x})^{1/2} (\mathbf{x}_r^T \mathbf{x}_r)^{1/2}} \end{aligned}$$

where the definition of $\text{SSIM}(\mathcal{X}_r(:, :, k), \mathcal{X}(:, :, k))$ can be seen in [61] and $\mathbf{x} = \text{vec}(\mathcal{X}(i, j, :))$, $\mathbf{x}_r = \text{vec}(\mathcal{X}_r(i, j, :))$. Generally, high-quality reconstructed HSI has larger MPSNR and MSSIM values, and smaller NMSE and MSAM values.

A. Quantitative Comparision

Table III lists the quantitative performance of different methods in simulating HSI demosaicing. It can be observed that compared with other methods, our method always produces best performance in terms of MPSNR, MSSIM, MSAM, and NMSE in most cases (in the case of low sampling, while the index gap with the first best method is very small). Specifically, compared with the classic demosaicing method Hy-demosaicing, the proposed method achieves a competitive performance. This verifies the advantages of our self-updated tensor subspace compared to the traditional matrix subspace. Compared with KBR, our method can also improve 0.6 dB or more in most cases. Moreover, compared with the traditional completion methods, our method can handle a certain range of Gaussian noise, which enhances the robustness of the algorithm. In addition, we report the average computational times (in seconds) of compared methods on both WDC and PaU datasets as shown in Table IV. We can observe that the Hy-demosaicing method is faster and KBR is slower with the guarantee of getting a good reconstruction. Overall, the proposed method achieves the efficiency tradeoff.

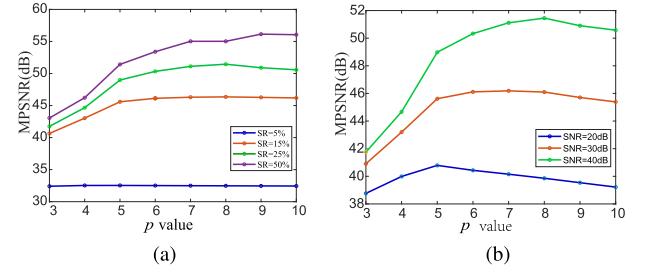


Fig. 7. MPSNR values achieved by the proposed method with different parameter p with SNR = 40 dB or sampling rate 25% on the WDC dataset.

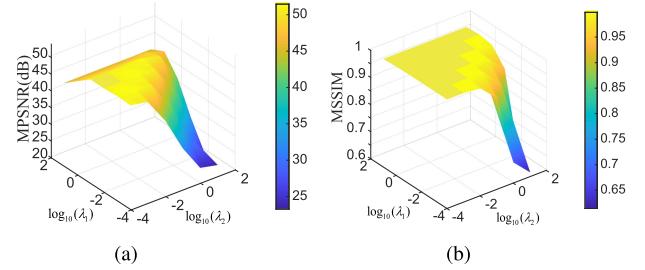


Fig. 8. MPSNR and MSSIM values of the results with respect to λ_1 and λ_2 on the WDC dataset for the sampling rate 25%, respectively.

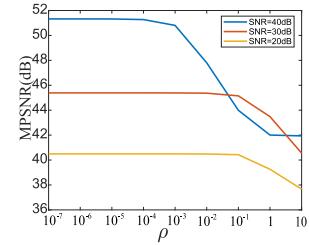


Fig. 9. MPSNR values with respect to ρ at sampling rate 25% on the WDC dataset.

B. Visual Comparison

Figs. 5 and 6 show the visual results obtained by adding noise level SNR = 30 dB to the above two datasets under different sampling rates. Including five compared methods, we also give the original clean image as a reference. We can see that the mosaic images retain limited image information, especially in cases below 15% sampling rate. For the low sampling rate cases, the demosaicing results from compared methods show that HaLRTC and LRTC-TV-I cannot cope with such severe degradation; TNN leaves some relatively obvious noise in the whole image; Hy-demosaicing can eliminate more noise, but overprocessing will lose tiny image details and its reconstructed images have subtle color distortion visual perception as a whole. It is worth noting that the KBR method and our proposed T-Hy-demosaicing method have obtained relatively good visual effects on several experimental datasets. In fact, the visual compared results are not very obvious in the display of the more detailed parts. However, in view of the above reconstruction quality indices, our proposed algorithm still has a relatively superior performance.

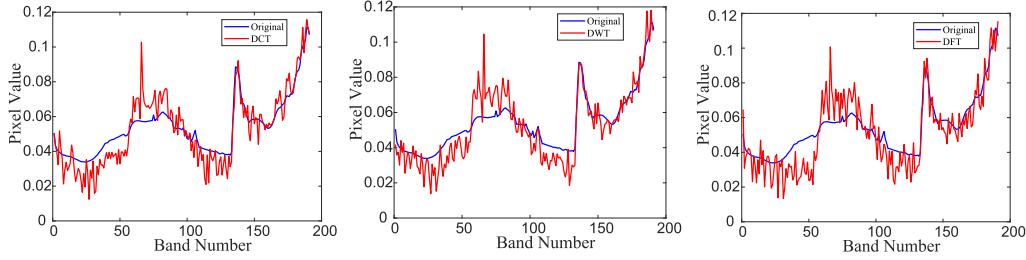


Fig. 10. Spectrum profiles of pixels (256,25) on the WDC dataset with SNR = 20 dB and sampling rate 25% obtained by DCT, DWT, and DFT.

C. Discussions

1) *Parameter Analysis:* The proposed T-Hy-demosaiicing method involves three important parameters: the subspace dimension p , and the regularization parameters λ_1 and λ_2 , and the proximal parameter ρ . In the following, we will discuss the sensitivity analysis of these parameters.

a) The subspace dimension p mainly characterizes the spatial and spectral information of HSI. The sensitivity analysis of p is shown in Fig. 7. It can be seen that the setting of the subspace dimension p -value has a higher correlation with the sampling rate and noise level. Generally, the higher the sampling rate, the lower the noise level, the larger the p -value. However, the proposed algorithm shows stable and superior performance in the specific range of p . Considering that a larger p will lead to higher computational complexity, we set the value of p according to the number of sampled spectra and the noise level, as shown in Table I.

b) The parameters λ_1 and λ_2 , respectively, determine the weights of the tensor subspace decomposition term and the nonlocal low-tubal-rank regularization term. In addition, they are also used to estimate the noise level in the WNNM denoiser. Fig. 8 shows their sensitivity analysis of T-Hy-demosaiicing on the WDC, with a fixed sampling rate of 25% and a noise level of SNR = 40 dB. As observed, when the values of λ_1 and λ_2 are as consistent as possible, the experimental results obtained are better, and the best reconstruction effect is achieved when $\lambda_1 = 10^{-2}$ and $\lambda_2 = 10^{-2}$.

c) The proximal parameter ρ is the primal parameter that guarantees the convergence of the PAM algorithm. We select the ρ from the candidate set $\{10^{-7}, 10^{-6}, 10^{-5}, 10^{-4}, 10^{-3}, 10^{-2}, 10^{-1}, 1, 10\}$. Fig. 9 shows the MPSNR of the proposed method for different noise levels at sampling rate 25% on the WDC dataset. We can observe that the MPSNR is maintained at a high value under different noise levels when ρ is smaller than 10^{-3} . Considering the effect and the convergence, we set $\rho = 10^{-5}$ in our method.

2) *Influence of Transformation:* We analyze the effect of the reconstruction results from using tensor decomposition based on different orthogonal transformations on the demosaicing problem. Fig. 10 plots the spectrum profiles of pixels (256,25) on the WDC dataset with SNR = 20 dB and sampling rate 25% obtained by DCT, DFT, and discrete wavelet transform (DWT).

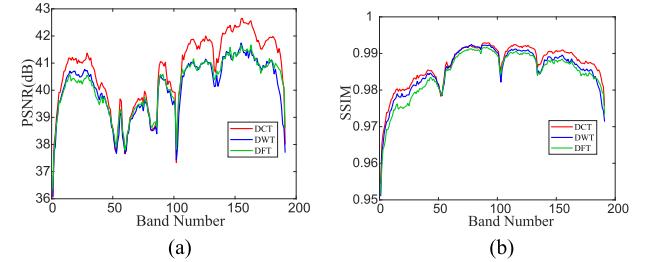


Fig. 11. PSNR and SSIM values of each band of the recovered HSI WDC dataset with SNR = 20 dB and sampling rate 25% obtained by DCT, DWT, and DFT.

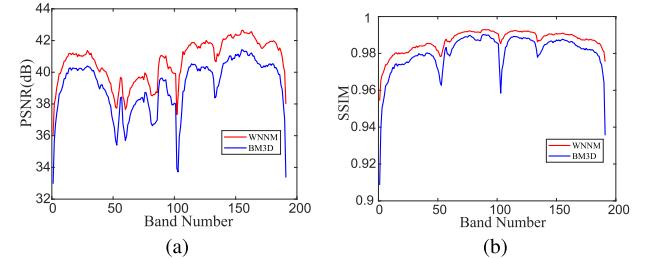


Fig. 12. PSNR and SSIM values of each band of the recovered HSI WDC dataset with SNR = 20 dB and sampling rate 25% obtained by WNNM and BM3D.

Among them, DCT deviates less from the original curve in some frequency bands and can retain more spectrum information, especially at the boundary. In addition, Fig. 11 shows the PSNR value and SSIM value of each band of the HSI recovered through the DCT, DFT, and DWT. We can observe that the results of DCT are higher than those of DFT and DWT, which also indicates to some extent that the representation capability of the subspace learned based on DCT is better than that based on DFT and DWT. In summary, DCT is a relatively better choice for the demosaicing problem that belongs to the category of compression problems, and can retain more information of the HSI.

3) *Influence of Denoiser:* We analyze the reconstruction effect of using various denoisers for solving the \mathcal{Z} subproblem in the Hy-demosaiicing method. Fig. 12 presents the PSNR value and SSIM value of each band obtained by using WNNM and BM3D, respectively, on the WDC dataset with SNR = 20 dB and sampling rate 25%. It can be seen that, compared with the BM3D

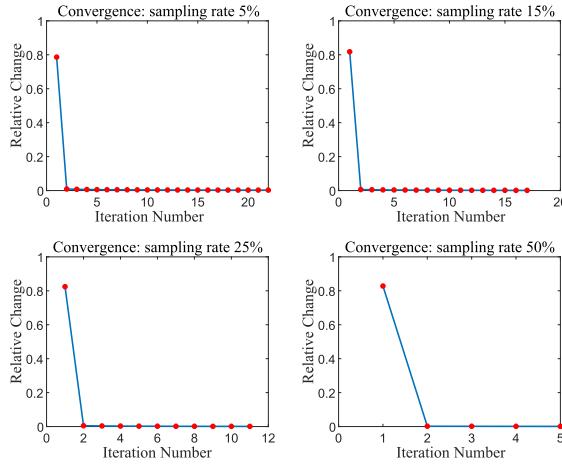


Fig. 13. Convergence behavior of the PAM algorithm under different sampling rates on the WDC dataset.

method, the WNNM method can obtain a better reconstruction effect. Therefore, the entire experimental part of our article uses the WNNM denoiser to solve the \mathcal{Z} subproblem in the algorithm.

4) *Convergence Behavior:* We analyze the convergence of the proposed PAM algorithm. In Fig 13, we use the T-Hy-demosicing algorithm to process the HSI WDC, showing the relative change curve with respect to the number of iterations, and the sampling rates are 5%, 15%, 25%, and 50%, respectively. It can be observed that for different sampling rates, as the number of iterations increases, the relative change value obtained at each step of the algorithm decreases monotonically and gradually tends to zero. This verifies the strong numerical convergence of the proposed PAM-based T-Hy-demosicing algorithm.

V. CONCLUSION

In this article, we propose a hyperspectral demosaicing method based on a new hyperspectral sensing framework, which exploits both low-tubal-rankness and nonlocal self-similarity. Based on the low-tubal-rankness, the HSI can be naturally represented by the subspace basis and coefficient tensor. For learning subspace basis, we suggest a novel t-SVD based on orthogonal transformation. Under the decomposition, we flexibly choose appropriate transformation to learn subspaces, and the learned subspace has better representation ability. Moreover, through the subspace representation, the original high-dimensional problem is converted to deal with the low-dimensional coefficient tensor, and its inherited nonlocal self-similarity can be exploited by the PnP denoiser, which greatly reduces the computational complexity. Numerical experiments show the superiority of the proposed T-Hy-demosicing method.

APPENDIX

Theorem 1: Let \mathbf{A} be a semiorthogonal matrix, i.e. $\mathbf{A}^\top \mathbf{A} = \mathbf{I}$, where \mathbf{I} is the identity matrix. Then, we have

$$\arg \min_{\mathbf{Z}} \|\mathbf{X} - \mathbf{AZ}\|_F^2 = \arg \min_{\mathbf{Z}} \|\mathbf{A}^\top \mathbf{X} - \mathbf{Z}\|_F^2.$$

Proof: From \mathbf{A} is a semiorthogonal matrix, it follows that

$$\begin{aligned} \|\mathbf{AX}\|_F^2 &= \text{trace}((\mathbf{AX})^\top \mathbf{AX}) = \text{trace}(\mathbf{X}^\top \mathbf{A}^\top \mathbf{AX}) \\ &= \text{trace}(\mathbf{X}^\top \mathbf{X}) = \|\mathbf{X}\|_F^2. \end{aligned} \quad (13)$$

Moreover, we have the following equation:

$$\begin{aligned} \mathbf{Z} &= \arg \min_{\mathbf{Z}} \|\mathbf{X} - \mathbf{AZ}\|_F^2 \\ &= \arg \min_{\mathbf{Z}} \|\mathbf{AA}^\top \mathbf{X} - \mathbf{AZ} - (\mathbf{AA}^\top - \mathbf{I}) \mathbf{X}\|_F^2. \end{aligned} \quad (14)$$

Set $\mathbf{B}_1 = \mathbf{AA}^\top \mathbf{X} - \mathbf{AZ}$, $\mathbf{B}_2 = (\mathbf{AA}^\top - \mathbf{I}) \mathbf{X}$. From (14), we obtain

$$\begin{aligned} \|\mathbf{B}_1 - \mathbf{B}_2\|_F^2 &= \text{trace}((\mathbf{B}_1 - \mathbf{B}_2)^\top (\mathbf{B}_1 - \mathbf{B}_2)) \\ &= \text{trace}(\mathbf{B}_1^\top \mathbf{B}_1 + \mathbf{B}_2^\top \mathbf{B}_2 - \mathbf{B}_1^\top \mathbf{B}_2 - \mathbf{B}_2^\top \mathbf{B}_1) \end{aligned}$$

and

$$\begin{aligned} \text{trace}(\mathbf{B}_1^\top \mathbf{B}_2) &= \text{trace}((\mathbf{AA}^\top \mathbf{X} - \mathbf{AZ})^\top (\mathbf{AA}^\top - \mathbf{I}) \mathbf{X}) \\ &= \text{trace}(\mathbf{X}^\top \mathbf{AA}^\top (\mathbf{AA}^\top - \mathbf{I}) \mathbf{X} - \mathbf{Z}^\top \mathbf{A}^\top (\mathbf{AA}^\top - \mathbf{I}) \mathbf{X}) \\ &= \text{trace}(\mathbf{X}^\top \mathbf{AA}^\top \mathbf{X} - \mathbf{X}^\top \mathbf{AA}^\top \mathbf{Z} - \mathbf{Z}^\top \mathbf{A}^\top \mathbf{X} + \mathbf{Z}^\top \mathbf{A}^\top \mathbf{Z}) \\ &= 0. \end{aligned}$$

Since $\text{trace}(\mathbf{B}_1^\top \mathbf{B}_2) = \text{trace}(\mathbf{B}_2^\top \mathbf{B}_1) = 0$, we have

$$\|\mathbf{B}_1 - \mathbf{B}_2\|_F^2 = \text{trace}(\mathbf{B}_1^\top \mathbf{B}_1 + \mathbf{B}_2^\top \mathbf{B}_2) = \|\mathbf{B}_1\|_F^2 + \|\mathbf{B}_2\|_F^2$$

which combining with the definition of \mathbf{B}_1 and (13) yields that

$$\begin{aligned} \arg \min_{\mathbf{B}_1} \|\mathbf{B}_1 - \mathbf{B}_2\|_F^2 &= \arg \min_{\mathbf{B}_1} \|\mathbf{B}_1\|_F^2 \\ &= \arg \min_{\mathbf{Z}} \|\mathbf{AA}^\top \mathbf{X} - \mathbf{AZ}\|_F^2 \\ &= \arg \min_{\mathbf{Z}} \|\mathbf{A}^\top \mathbf{X} - \mathbf{Z}\|_F^2. \end{aligned}$$

The proof is completed. ■

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