



The University of New Mexico

Shading in OpenGL

Ed Angel

Professor Emeritus of Computer Science
University of New Mexico



The University of New Mexico

Objectives

- Introduce the OpenGL shading methods
 - per vertex vs per fragment shading
 - Where to carry out
- Discuss polygonal shading
 - Flat
 - Smooth
 - Gouraud



The University of New Mexico

OpenGL shading

- Need
 - Normals
 - material properties
 - Lights
- State-based shading functions have been deprecated (glNormal, glMaterial, glLight)
- Compute in application or send attributes to shaders



The University of New Mexico

Normalization

- Cosine terms in lighting calculations can be computed using dot product
- Unit length vectors simplify calculation
- Usually we want to set the magnitudes to have unit length but
 - Length can be affected by transformations
 - Note that scaling does not preserve length
- GLSL has a normalization function



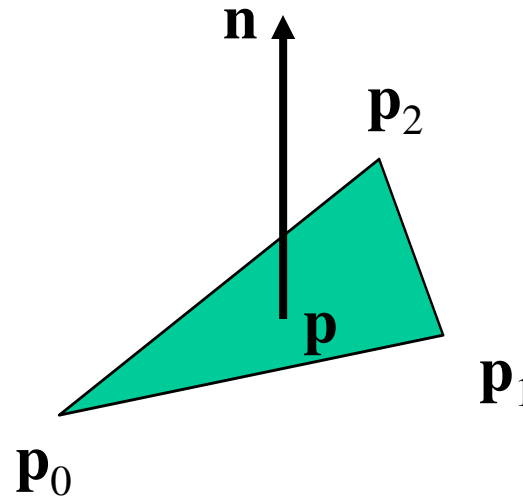
The University of New Mexico

Normal for Triangle

plane $\mathbf{n} \cdot (\mathbf{p} - \mathbf{p}_0) = 0$

$$\mathbf{n} = (\mathbf{p}_1 - \mathbf{p}_0) \times (\mathbf{p}_2 - \mathbf{p}_0)$$

normalize $\mathbf{n} \leftarrow \mathbf{n} / |\mathbf{n}|$



Note that right-hand rule determines outward face



Specifying a Point Light Source

- For each light source, we can set an RGBA for the diffuse, specular, and ambient components, and for the position

```
vec4 diffuse0 =vec4(1.0, 0.0, 0.0, 1.0);  
vec4 ambient0 = vec4(1.0, 0.0, 0.0, 1.0);  
vec4 specular0 = vec4(1.0, 0.0, 0.0, 1.0);  
vec4 light0_pos =vec4(1.0, 2.0, 3.0, 1.0);
```



The University of New Mexico

Distance and Direction

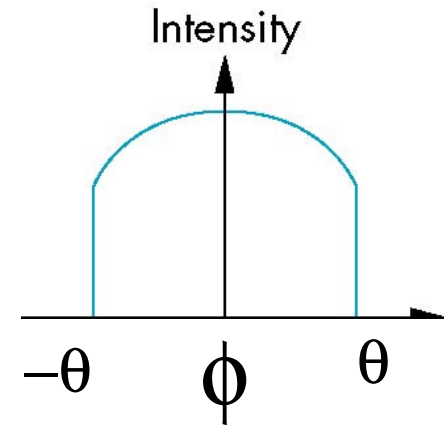
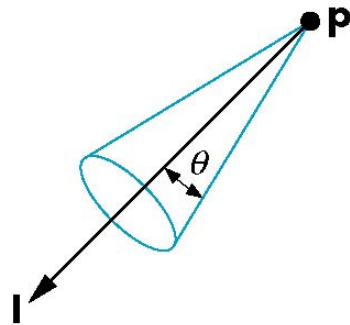
- The source colors are specified in RGBA
- The position is given in homogeneous coordinates
 - If $w = 1.0$, we are specifying a finite location
 - If $w = 0.0$, we are specifying a parallel source with the given direction vector
- The coefficients in distance terms are usually quadratic ($1/(a+b*d+c*d*d)$) where d is the distance from the point being rendered to the light source



The University of New Mexico

Spotlights

- Derive from point source
 - Direction
 - Cutoff
 - Attenuation Proportional to $\cos^\alpha \phi$





The University of New Mexico

Global Ambient Light

- Ambient light depends on color of light sources
 - A red light in a white room will cause a red ambient term that disappears when the light is turned off
- A global ambient term that is often helpful for testing



The University of New Mexico

Moving Light Sources

- Light sources are geometric objects whose positions or directions are affected by the model-view matrix
- Depending on where we place the position (direction) setting function, we can
 - Move the light source(s) with the object(s)
 - Fix the object(s) and move the light source(s)
 - Fix the light source(s) and move the object(s)
 - Move the light source(s) and object(s) independently



The University of New Mexico

Material Properties

- Material properties should match the terms in the light model
- Reflectivities
- w component gives opacity

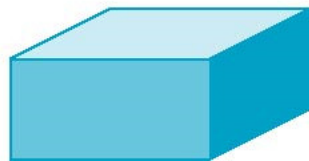
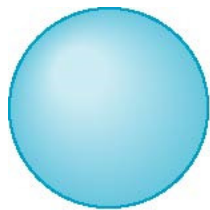
```
vec4 ambient = vec4(0.2, 0.2, 0.2, 1.0);  
vec4 diffuse = vec4(1.0, 0.8, 0.0, 1.0);  
vec4 specular = vec4(1.0, 1.0, 1.0, 1.0);  
GLfloat shine = 100.0
```



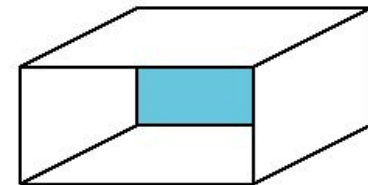
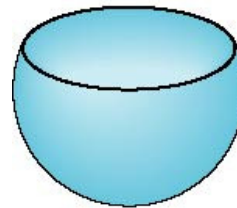
The University of New Mexico

Front and Back Faces

- Every face has a front and back
- For many objects, we never see the back face so we don't care how or if it's rendered
- If it matters, we can handle in shader



back faces not visible



back faces visible



The University of New Mexico

Emissive Term

- We can simulate a light source in OpenGL by giving a material an emissive component
- This component is unaffected by any sources or transformations



The University of New Mexico

Transparency

- Material properties are specified as RGBA values
- The A value can be used to make the surface translucent
- The default is that all surfaces are opaque regardless of A
- Later we will enable blending and use this feature



The University of New Mexico

Polygonal Shading

- In per vertex shading, shading calculations are done for each vertex
 - Vertex colors become vertex shades and can be sent to the vertex shader as a vertex attribute
 - Alternately, we can send the parameters to the vertex shader and have it compute the shade
- By default, vertex shades are interpolated across an object if passed to the fragment shader as a varying variable (smooth shading)
- We can also use uniform variables to shade with a single shade (flat shading)



The University of New Mexico

Polygon Normals

- Triangles have a single normal
 - Shades at the vertices as computed by the Phong model can be almost same
 - Identical for a distant viewer (default) or if there is no specular component
- Consider model of sphere
- Want different normals at each vertex even though this concept is not quite correct mathematically

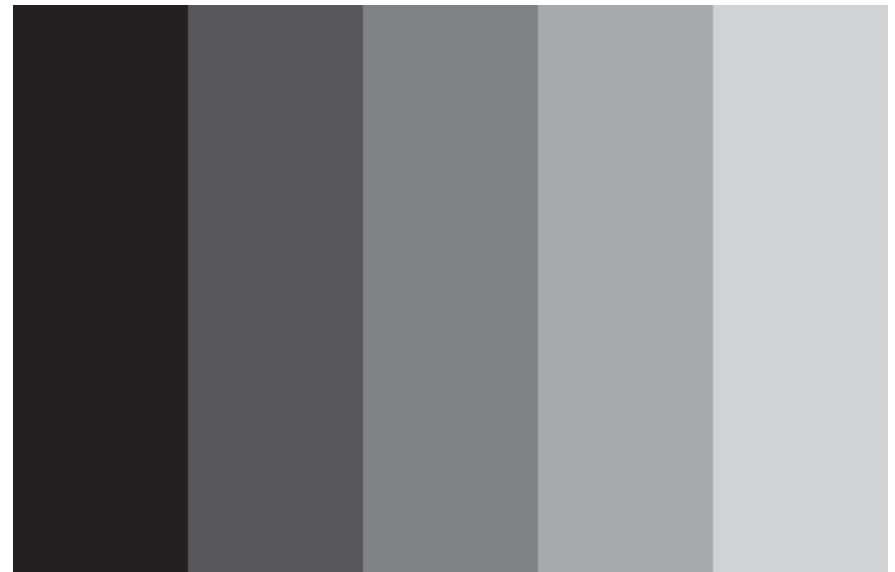
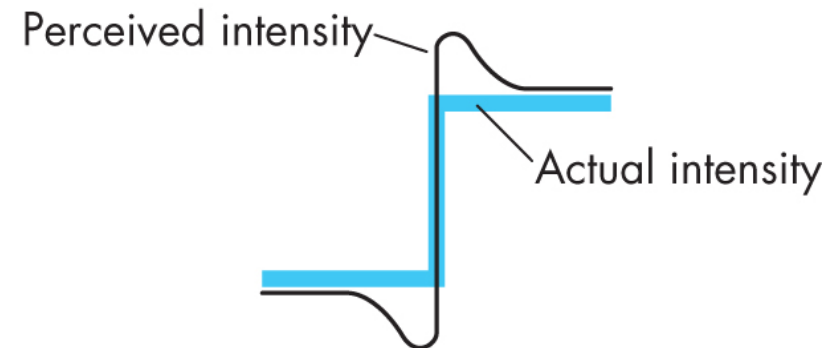




The University of New Mexico

Lateral Inhibition

- Human visual system extremely sensitive to small differences in light intensity
 - Lateral inhibition – we perceive changes as overshooting or undershooting
 - Results in “mach bands”

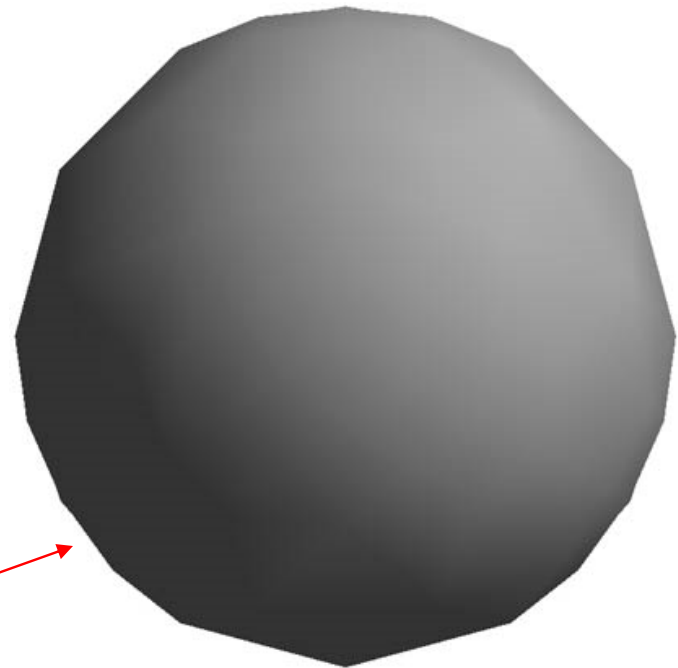




The University of New Mexico

Smooth Shading

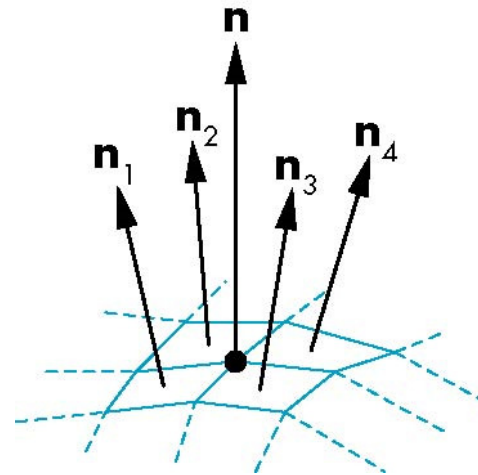
- We can set a new normal at each vertex
- Easy for sphere model
 - If centered at origin $\mathbf{n} = \mathbf{p}$
- Now smooth shading works
- Note *silhouette edge*



Mesh Shading

- The previous example is not general because we knew the normal at each vertex analytically
- For polygonal models, Gouraud proposed we use the average of the normals around a mesh vertex

$$\mathbf{n} = (\mathbf{n}_1 + \mathbf{n}_2 + \mathbf{n}_3 + \mathbf{n}_4) / |\mathbf{n}_1 + \mathbf{n}_2 + \mathbf{n}_3 + \mathbf{n}_4|$$





Gouraud and Phong Shading

- Gouraud Shading
 - Find average normal at each vertex (vertex normals)
 - Apply modified Phong model at each vertex
 - Interpolate vertex shades across each polygon
- Phong shading
 - Find vertex normals
 - Interpolate vertex normals across edges
 - Interpolate edge normals across polygon
 - Apply modified Phong model at each fragment



The University of New Mexico

Comparison

- If the polygon mesh approximates surfaces with a high curvatures, Phong shading may look smooth while Gouraud shading may show edges
- Phong shading requires much more work than Gouraud shading
 - Until recently not available in real time systems
 - Now can be done using fragment shaders
- Both need data structures to represent meshes so we can obtain vertex normals



The University of New Mexico

Vertex Lighting Shaders I

```
// vertex shader
in vec4 vPosition;
in vec3 vNormal;
out vec4 color; //vertex shade
```

```
// light and material properties
uniform vec4 AmbientProduct, DiffuseProduct, SpecularProduct;
uniform mat4 ModelView;
uniform mat4 Projection;
uniform vec4 LightPosition;
uniform float Shininess;
```



The University of New Mexico

Vertex Lighting Shaders II

```
void main()
{
    // Transform vertex position into eye coordinates
    vec3 pos = (ModelView * vPosition).xyz;

    vec3 L = normalize( LightPosition.xyz - pos );
    vec3 E = normalize( -pos );
    vec3 H = normalize( L + E );

    // Transform vertex normal into eye coordinates
    vec3 N = normalize( ModelView*vec4(vNormal, 0.0) ).xyz;
```



The University of New Mexico

Vertex Lighting Shaders III

```
// Compute terms in the illumination equation
vec4 ambient = AmbientProduct;

float Kd = max( dot(L, N), 0.0 );
vec4 diffuse = Kd*DiffuseProduct;
float Ks = pow( max(dot(N, H), 0.0), Shininess );
vec4 specular = Ks * SpecularProduct;
if( dot(L, N) < 0.0 ) specular = vec4(0.0, 0.0, 0.0, 1.0);
gl_Position = Projection * ModelView * vPosition;

color = ambient + diffuse + specular;
color.a = 1.0;
}
```




The University of New Mexico

Vertex Lighting Shaders IV

```
// fragment shader
```

```
in vec4 color;
```

```
void main()
```

```
{
```

```
    gl_FragColor = color;
```

```
}
```



The University of New Mexico

Fragment Lighting Shaders I

```
// vertex shader  
in vec4 vPosition;  
in vec3 vNormal;
```

```
// output values that will be interpolated per-fragment  
out vec3 fN;  
out vec3 fE;  
out vec3 fL;
```

```
uniform mat4 ModelView;  
uniform vec4 LightPosition;  
uniform mat4 Projection;
```



The University of New Mexico

Fragment Lighting Shaders II

```
void main()
{
    fN = vNormal;
    fE = vPosition.xyz;
    fL = LightPosition.xyz;

    if( LightPosition.w != 0.0 ) {
        fL = LightPosition.xyz - vPosition.xyz;
    }

    gl_Position = Projection*ModelView*vPosition;
}
```



The University of New Mexico

Fragment Lighting Shaders III

```
// fragment shader
```

```
// per-fragment interpolated values from the vertex shader
```

```
in vec3 fN;
```

```
in vec3 fL;
```

```
in vec3 fE;
```

```
uniform vec4 AmbientProduct, DiffuseProduct, SpecularProduct;
```

```
uniform mat4 ModelView;
```

```
uniform vec4 LightPosition;
```

```
uniform float Shininess;
```



Fragment Lighting Shaders IV

```
void main()
{
    // Normalize the input lighting vectors

    vec3 N = normalize(fN);
    vec3 E = normalize(fE);
    vec3 L = normalize(fL);

    vec3 H = normalize( L + E );
    vec4 ambient = AmbientProduct;
```



The University of New Mexico

Fragment Lighting Shaders V

```
float Kd = max(dot(L, N), 0.0);  
vec4 diffuse = Kd*DiffuseProduct;  
  
float Ks = pow(max(dot(N, H), 0.0), Shininess);  
vec4 specular = Ks*SpecularProduct;  
  
// discard the specular highlight if the light's behind the vertex  
if( dot(L, N) < 0.0 )  
    specular = vec4(0.0, 0.0, 0.0, 1.0);  
  
gl_FragColor = ambient + diffuse + specular;  
gl_FragColor.a = 1.0;  
}
```