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# Variational Neural Conversational Model

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## Abstract

To be added...

## 1. Introduction

Conversation modeling is a famous task that allows machine to generate reasonable responses according to the sentence it is shown. Previously, fair amount of works have done.

In this project, we plan to improve the model performance based on previous works by incorporating latent information in the model by discovering several existing in variational methods. Especially, we are interested in RNN based variational autoencoder, that can seamlessly concatenate the seq2seq model with fine tuned regularizations.

To be added.....

## 2. Related Works

### 2.1. Neural Conversational Model

Sequence To Sequence model is first introduced in (Cho et al., 2014), and since then, has become the standard model for dialogue systems and machine translation. It consists of two RNNs (Recurrent Neural Network) : An Encoder and a Decoder. The encoder takes a words sequence as input and processes one word at each time step. The objective is to convert symbol sequence into a fixed size feature vector that encodes the important information in the sequence while losing the redundant or unnecessary information.

(Vinyals & Le, 2015) (Cho et al., 2014)

### 2.2. Auto-Encoding Variational Bayes

(Kingma & Welling, 2013)

Given an observed variable  $x$ , VAE introduces a continuous

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latent variable  $z$ , and assumes that  $x$  is generated from  $z$

$$p(x, z) = p(x|z)p(z)$$

The prior over the latent random variables,  $p(z)$ , is always chosen to be a simple Gaussian distribution and the conditional  $p(x|z)$  is an arbitrary observation model whose parameters are computed by a parametric function of  $z$ . In VAE,  $p(x|z)$  is typically parameterized function approximator such as a neural network. While latent random variable models of the form given in Eq. (3) are not uncommon, endowing the conditional  $p(x|z)$  as a potentially highly non-linear mapping from  $z$  to  $x$  is a rather unique feature of the VAE.

The generative model  $p(x|z)$  and inference model  $q(z|x)$  are trained jointly by maximizing the variational lower bound with respect to their parameters, where the integral with respect to  $q(z|x)$  is approximated stochastically. The gradient of this estimate can have a low variance estimate, by reparametrizing  $z = \mu + \sigma \odot \epsilon$

$$ELBO_i(\lambda) = E_{q\lambda(z|x_i)}[\log p(x_i|z)] - KL(q\lambda(z|x_i)||p(z))$$

To be added and elaborated.....

### 2.3. Variational Recurrent Neural Network

(Chung et al., 2015) To be added.....

### 2.4. Generative Adversarial Network

(Goodfellow et al., 2014)

(Li et al., 2017) To be added.....

## 3. Datasets

We will tested our model on the OpenSubtitles dataset (Tiedemann, 2009). This is a dataset that incorporated movie subtitles with sentences uttered by characters. The model will be trained to predict the next sentence given the previous one, for every consecutive sentences, and each sentence will be used both for context and as target.

## 4. Plan

### 4.1. Incorporating latent variables in the training of Seq2Seq model

There are various ways that allow us to improve our model with seq2seq

Following the work in (Zhang et al., 2016), which introduces a variational model for neural machine translation that incorporates a continuous latent variable  $z$  to model the underlying semantics of sentence pairs, we can also apply it to our neural conversation model that uses the same seq2seq model.

We can also apply the method proposed by (Chung et al., 2015), that explicitly models the dependencies between latent random variables across subsequent timesteps.

### 4.2. Incorporating latent information unsupervisedly as the input to Seq2Seq model

Since sometimes, incorporating the latent variable into the training process directly may be hard. We consider an alternative approach that, instead of learn the latent variable through an end to end one way pass method. We can train a Variational Recurrent Auto-Encoder (Fabius & van Amersfoort, 2014) for each sentences first. VRAE is a variational autoencoder that can be used for the unsupervised learning on time series data, mapping the time series data to a latent vector representation.

By appending the latent vector representation of each sentences along with the vector encoded by the seq2seq encoder, we naturally incorporate latent information of the sentence and that could serve as the input to be fed into the decoder phase.

### 4.3. Improving the attention alignment model by variational inference

One potential issue with this seq2seq model is that a neural network needs to be able to compress all the necessary information of a source sentence into a fixed-length vector. To allow the decoder access to the input more directly, an attention mechanism was introduced in (Bahdanau et al., 2014).

The affect of the alignment model has become one of the most important feature of state-of-art sequence to sequence models. By incorporating latent variables in this particular part through variational method may gives us a boost in the model performance.

### 4.4. Adversarial Variational Inference

Improving the above mentioned variational method with Generic Adversarial Network (GAN) as proposed in

(Mescheder et al., 2017)

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