

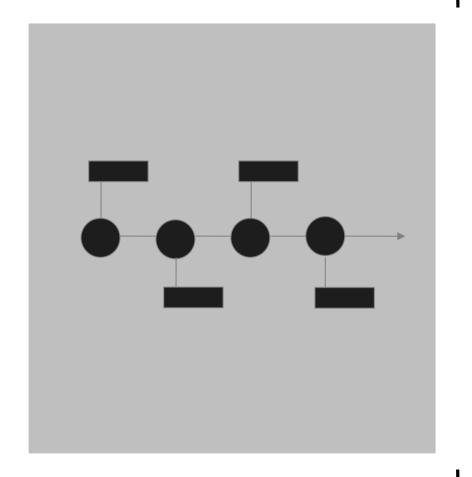
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O1 Data Overview



Data Overview

User and Music Data contained in several 'Parquet' files

- Required an external library Arrow
- Turned into CSV





Dataset Visualization

| | user_id ‡ | artist name \$ | release_name | recording name | date ‡ | time ‡ |
|----|-----------|-------------------------|------------------------------|---|------------|----------|
| | usci_iu | ar ust_name | Telease_name | recording_name | date | time |
| 1 | 16493 | Greg MacPherson Band | Good Times Coming Back Again | Numbers | 2006-11-29 | 13:19:10 |
| 2 | 8793 | Wolfgang Amadeus Mozart | The World of Sacred Music | Ave Verum Corpus | 2006-11-29 | 13:52:16 |
| 3 | 6263 | Japan | Tin Drum | Ghosts | 2006-11-29 | 13:59:42 |
| 4 | 5838 | Enigma | The Cross of Changes | Age of Loneliness (Carly's Song) | 2006-11-29 | 13:55:42 |
| 5 | 1061 | Paul Simon | Graceland | All Around the World or the Myth of Fingerprints (early versi | 2006-11-29 | 14:04:29 |
| 6 | 5838 | Enigma | The Cross of Changes | Age of Loneliness (Carly's Song) | 2006-11-29 | 14:39:42 |
| 7 | 8115 | Pixies | Surfer Rosa | I'm Amazed | 2006-11-29 | 14:44:38 |
| 8 | 1061 | Paul Simon | Graceland | All Around the World or the Myth of Fingerprints (early versi | 2006-11-29 | 15:07:16 |
| 9 | 6419 | Partizani | Unknown Album | Pociva jezero v tihoti | 2006-11-29 | 15:14:45 |
| 10 | 4685 | Red Hot Chili Peppers | Stadium Arcadium | Dani California | 2006-11-29 | 15:26:37 |

First 10 entries from file

Data Preparation

Encoded each categorical column:

| | user id | artist name | release name |
|---|---------|-------------------------|------------------------------|
| 0 | 16493 | Greg MacPherson Band | Good Times Coming Back Again |
| 1 | 8793 | Wolfgang Amadeus Mozart | The World of Sacred Music |
| 2 | 6263 | Japan | Tin Drum |
| 3 | 5838 | Enigma | The Cross of Changes |
| 4 | 1061 | Paul Simon | Graceland |



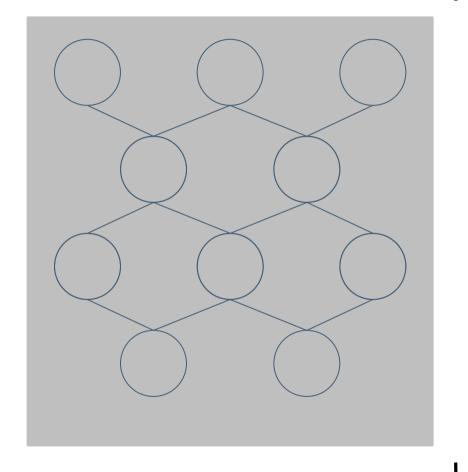
```
1 #encode the categorical variables:
  4 df['artist name'] = df['artist name'].astype('category').cat.codes
  5 df['release name'] = df['release name'].astvpe('category').cat.codes
  6 df['recording_name'] = df['recording_name'].astype('category').cat.codes
  8 #print new head and shape of df
  9 print(df.head(), "\n", df.shape)
 13 print(dict(zip(df['artist_name'].astype('category').cat.categories, df['artist_na
  user id artist name release name recording name
                                                          date
                                                                    time
    16493
                               4240
                                               9463 2006-11-29 13:19:10
                              10764
                                               1240 2006-11-29 13:52:16
                              10945
     6263
                 4286
     5838
                                               676 2006-11-29 13:55:42
     1061
                 6645
                               4260
                                               759 2006-11-29 14:04:29
(66936, 6)
{0: 3665, 1: 9899, 2: 4286, 3: 2913, 4: 6645, 5: 2913, 6: 6797, 7: 6645, 8: 6593, 9: 712
```

User Interaction Matrix

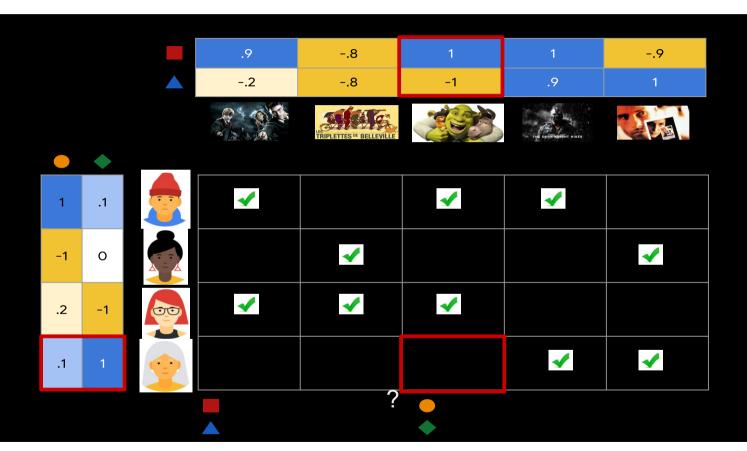
| USERS \ ARTISTS | Artist 1 | Artist 2 | Artist 3 |
|-----------------|----------|----------|----------|
| User 1 | 1 | 0 | 1 |
| User 2 | 0 | 1 | 0 |
| User 3 | 0 | 1 | 1 |

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O2Models & Algorithms



Collaborative Filtering



CF (ALS) - Overview

Step 1

Step 2

Step 3

Analyze smaller k-sized matrices

Optimize User & Item matrices independently

Iterate until convergence

CF (ALS) – Code Explanation

(User_ID, Artist)

```
(5, 3617)
(5.4580)
(5, 8272)
(5, 10094)
(6, 344)
(6, 1396)
(6, 2571)
(6, 2685)
(6, 3431)
(6, 4560)
(6, 5746)
(6, 6133)
(6, 6346)
```

Feeds into



Training

Hyper-parameters:

- Factors (k)
- Regularization (λ)
- Iterations
- Alpha (not seen in the above image)

CF (ALS) – Code Explanation

Testing

Using AUC for performance

How to improve performance?

Grid Search

CF (ALS) – Code Explanation

Grid Search

```
param_grid = {
    'factors' : [8, 10, 15],
    'regularization' : [0.15, 0.18, 0.2, 0.23, 0.27, 0.3],
    'iterations' : [60, 65, 75, 80, 85],
    'alphas' : [10]
}
```

Adjust all parameters to improve performance

```
perform Grid Search
for factor in param grid['factors']:
    for reg in param grid['regularization']:
        for iter in param grid['iterations']:
            for alp in param grid['alphas']:
                #define model with given params
                #utilizing 4 cores on my CPU to speed up process
                model = implicit.als.AlternatingLeastSquares(factors = factor, regularization = reg, iterations = iter, alpha = alp, num threads = 4)
                model.fit(user artist train matrix)
                auc = implicit.evaluation.AUC at k(model, train user items = user artist train matrix, test user items = user artist test matrix, K = 10
                #compare AUC score and if auc is better, we update current stored bests
                if auc > best AUC:
                    best AUC = auc
                    best params = {'factor' : factor, 'reg' : reg, 'iter' : iter, 'alpha' : alp}
print(f"Best hyperparams via grid search: {best_params}\nBest AUC: {best_AUC}")
 forder for best params is: factor, reg, iter, alpha
```

CF (ALS) – Performance Evaluation

```
Best hyperparams via grid search: {'factor': 8, 'reg': 0.2, 'iter': 75, 'alpha': 10} Best AUC: 0.5472981589956554
```

~0.55 AUC score... Not good

Slightly better than randomly guessing

Take Aways from CF (ALS)





Avg run time on smaller dataset was < 5 mins per train and test



Easy Tuning

Grid Search allowed for highly varied values to be tried in sequence



Poor Prediction

Such a low AUC means it is not learning well. This would require many more iterations of Grid Search to find optimal solutions

Neural Collaborative Filtering

NCF – Overview

Step 1

Step 2

Step 3

User Matrix and Item
Matrix are fed. Then
performs Dot product to
create input matrix

Run through Hidden Layers Output Binary Classifier (0;1) for interactions

NCF – Code Explanation

```
1 import tensorflow as tf
      if tf.config.list physical devices('GPU'):
          print("GPU is available")
          print("GPU is not available")
   8 # List the available GPUs and their memory information
      gpus = tf.config.get_visible_devices('GPU')
  10 for gpu in gpus:
          memory info = tf.config.experimental.get memory info('GPU:0')
          print(f"GPU: {gpu.name}")
          print(f"Current memory usage: {memory info['current']} bytes")
          print(f"Peak memory usage: {memory info['peak']} bytes")
  16 #ensure we're able to use the GPU for processing the stuff
  17 #I'm utilizing my home PC which has an NVIDIA RTX 3070
GPU is available
GPU: /physical device:GPU:0
Current memory usage: 0 bytes
Peak memory usage: 0 bytes
```

Setup

```
from tensorflow.keras.models import Model
from tensorflow.keras.layers import Input, Embedding, Dot, Dense, Flatten, Dropout

#create NCF model structure

#input layers for user and item
user_input = Input(shape=(1,), name='user_input')
item_input = Input(shape=(1,), name='item_input')

#embedding layers to flatten the information from user-item interaction matrix
user_embedding = Embedding(input_dim=len(user_ids_flat), output_dim=5, name='user_embedding')(user_input)
item_embedding = Embedding(input_dim=len(item_ids_flat), output_dim=5, name='item_embedding')(item_input)

#flatten embedding layers for dot product
user_vec = Flatten()(user_embedding)
item_vec = Flatten()(item_embedding)

#dot product between user and item vectors (matrices)
dot_product = Dot(axes=1)([user_vec, item_vec])
```

Use GPU for faster processing

Input → Embedding → Dot product

NCF – Code Explanation

Model Structure

```
#hidden layer with 2048 neurons
h1 = Dense(2048, activation = 'relu') (dot_product)
#add 20% dropout to reduce overfitting
h1 = Dropout(0.2)(h1)

#hidden layer with 1024 neurons
h2 = Dense(1024, activation = 'relu')(h1)

#add 20% dropout to reduce overfitting
h2 = Dropout(0.2)(h2)
```

```
#hidden layer with 512 neurons
h3 = Dense(512, activation = 'relu')(h2)

#add 20% dropout to reduce overfitting
h3 = Dropout(0.2)(h3)

#output with sigmoid activation function for binary classification (user likes artist)
output = Dense(1, activation='sigmoid')(h3)

#defining the model
ncf_model = Model(inputs=[user_input, item_input], outputs=output)
```

Dot Product \rightarrow Hidden layers $1 \rightarrow 2 \rightarrow 3 \rightarrow$ output

NCF – Code Explanation

Compile then Train model

```
from tensorflow.keras import metrics
  4 ncf model.compile(optimizer='adam', loss='binary crossentropy', metrics=['accuracy', metrics.Precision(), metrics.Recall()]
    ncf model.summary()
Model: "model
                               Output Shape
                                                                Connected to
 Layer (type)
 user input (InputLayer)
                               [(None, 1)]
item_input (InputLayer)
                               [(None, 1)]
user embedding (Embedding)
                               (None, 1, 5)
                                                                ['user_input[0][0]']
item embedding (Embedding)
                               (None, 1, 5)
                                                                ['item input[0][0]']
flatten (Flatten)
                                                                ['user embedding[0][0]']
                               (None, 5)
flatten 1 (Flatten)
                               (None, 5)
                                                                ['item embedding[0][0]']
dot (Dot)
                                                                ['flatten[0][0]',
                               (None, 1)
                                                                  'flatten 1[0][0]']
dense (Dense)
                               (None, 2048)
                                                                ['dot[0][0]']
dropout (Dropout)
                               (None, 2048)
                                                                ['dense[0][0]']
dense 1 (Dense)
                               (None, 1024)
                                                                ['dropout[0][0]']
dropout 1 (Dropout)
                               (None, 1024)
                                                                ['dense 1[0][0]']
dense 2 (Dense)
                               (None, 512)
                                                    524800
                                                                ['dropout_1[0][0]']
                               (None, 512)
dropout 2 (Dropout)
dense 3 (Dense)
                               (None, 1)
                                                                ['dropout_2[0][0]']
```

Model Training

Note: I printed Precision and Recall to get a better idea of performance

Model summary

NCF – Performance Evaluation

```
1 #reshape the prediction so we can see the results
  2 pred = pred.reshape(len(user artist test.index.to numpy()),len(user artist test.columns.to numpy())
  3 print(pred.shape)
  4 print(pred)
  7 np.savetxt("test.csv", pred, delimiter=',')
(1422, 4595)
[6.41770894e-05 1.50387571e-03 1.14942246e-04 ... 3.81117308e-04
6.41770894e-05 6.85204053e-031
[3.93285554e-05 4.01007431e-03 6.11971179e-03 ... 3.90591379e-03
2.04896159e-03 2.10656915e-02]
[3.27590038e-03 1.05575717e-03 2.87834046e-05 ... 7.01974437e-04
1.40420525e-04 1.94723054e-03]
[1.51610207e-02 3.65556101e-03 9.83655264e-05 ... 3.49230453e-04
5.85206086e-04 4.67651896e-031
[4.98800888e-04 1.44600589e-02 9.85409133e-04 ... 1.17245328e-03
2.76998297e-04 9.75499395e-03]
[1.29936016e-04 8.16146086e-04 8.52018013e-04 ... 2.89623014e-04
2.62918533e-04 8.34032334e-03]]
```

Very low probability for interactions (< 0.2)

Take Aways from NCF







Slower than CF

Despite me running many different models using both my CPU and GPU, NCF would run slower on average for training and testing

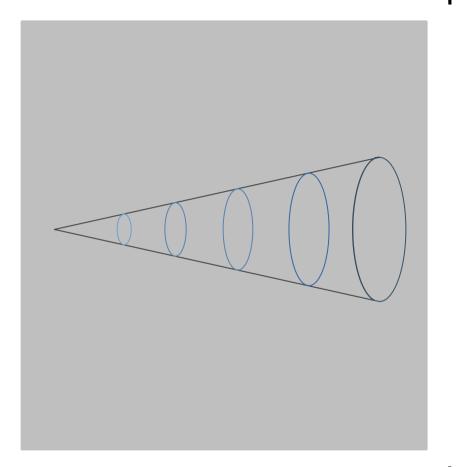
High PC Req's

I frequently would run out of Memory (VRAM) when using the GPU. Using the CPU it would take many hours (>6 hours) per training session

Poor Learning

High Accuracy but Extremely low Precision and Recall indicates model is overfitting

03 Future Work



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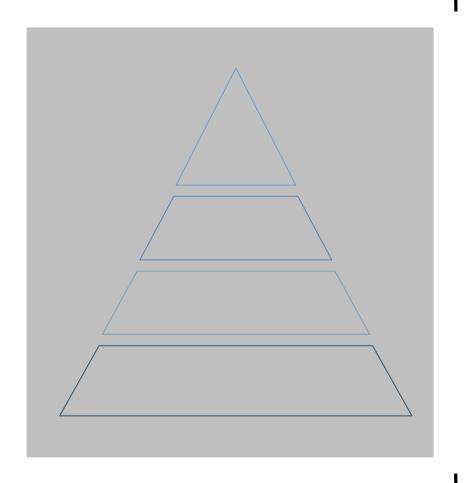
Future Work

- Utilize Cloud Services for DL models
- Obtain dataset with user ratings
- Pick alternative algorithms
- Utilize Hybrid Methods

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04

Conclusion





Conclusion

This was a fun yet challenging project. My models' performance wasn't great, but this demonstrates the difficulty with building an implicit recommender system.

References

- Neural Collaborative Filtering (2017) He, Liao, Zhang, et. al.
- https://developers.google.com/machine-learning/recommendation/collaborative/https://developers.google.com/machine-learning/recommendation/collaborative/

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https://towardsdatascience.com/recommendation-system-matrix-factorization-d
 61978660b4b



Questions?

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