

A person is shown from the side, wearing a black long-sleeved shirt, holding and playing a light-colored electric guitar. The guitar's headstock and neck are visible at the top right. A black rectangular overlay covers the left and center portions of the image, containing white text. A black cable runs across the bottom of the frame. The background is a plain, light-colored wall and floor.

Music Recommendation Service

Group 2: Jason Ingram, Isaiah Martinez

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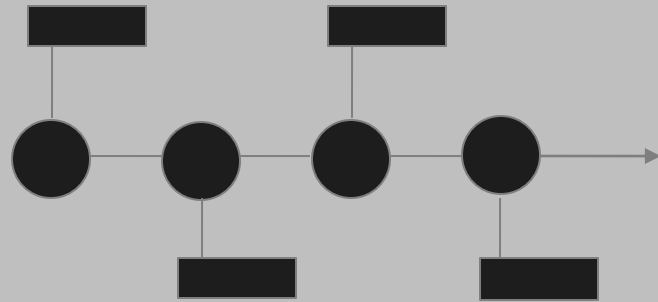
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01

Data Overview



Data Overview

User and Music Data
contained in several
'Parquet' files

- Required an external library - Arrow
- Turned into CSV



Dataset Visualization

I

	user_id	artist_name	release_name	recording_name	date	time
1	16493	Greg MacPherson Band	Good Times Coming Back Again	Numbers	2006-11-29	13:19:10
2	8793	Wolfgang Amadeus Mozart	The World of Sacred Music	Ave Verum Corpus	2006-11-29	13:52:16
3	6263	Japan	Tin Drum	Ghosts	2006-11-29	13:59:42
4	5838	Enigma	The Cross of Changes	Age of Loneliness (Carly's Song)	2006-11-29	13:55:42
5	1061	Paul Simon	Graceland	All Around the World or the Myth of Fingerprints (early versi...	2006-11-29	14:04:29
6	5838	Enigma	The Cross of Changes	Age of Loneliness (Carly's Song)	2006-11-29	14:39:42
7	8115	Pixies	Surfer Rosa	I'm Amazed	2006-11-29	14:44:38
8	1061	Paul Simon	Graceland	All Around the World or the Myth of Fingerprints (early versi...	2006-11-29	15:07:16
9	6419	Partizani	Unknown Album	Pociva jezero v tihoti	2006-11-29	15:14:45
10	4685	Red Hot Chili Peppers	Stadium Arcadium	Dani California	2006-11-29	15:26:37

First 10 entries from file

Data Preparation

Encoded each categorical column:

	user_id	artist_name	release_name
0	16493	Greg MacPherson Band	Good Times Coming Back Again
1	8793	Wolfgang Amadeus Mozart	The World of Sacred Music
2	6263	Japan	Tin Drum
3	5838	Enigma	The Cross of Changes
4	1061	Paul Simon	Graceland



```
1 #encode the categorical variables:
2 #artist_name, release_name, recording_name
3
4 df['artist_name'] = df['artist_name'].astype('category').cat.codes
5 df['release_name'] = df['release_name'].astype('category').cat.codes
6 df['recording_name'] = df['recording_name'].astype('category').cat.codes
7
8 #print new head and shape of df
9 print(df.head(), "\n", df.shape)
10
11 #print the translation from code to artists, so we can see it worked
12
13 print(dict(zip(df['artist_name'].astype('category').cat.categories, df['artist_name'])))
```

Python

	user_id	artist_name	release_name	recording_name	date	time
0	16493	3665	4240	9463	2006-11-29	13:19:10
1	8793	9899	10764	1240	2006-11-29	13:52:16
2	6263	4286	10945	5040	2006-11-29	13:59:42
3	5838	2913	9918	676	2006-11-29	13:55:42
4	1061	6645	4260	759	2006-11-29	14:04:29

(66936, 6)

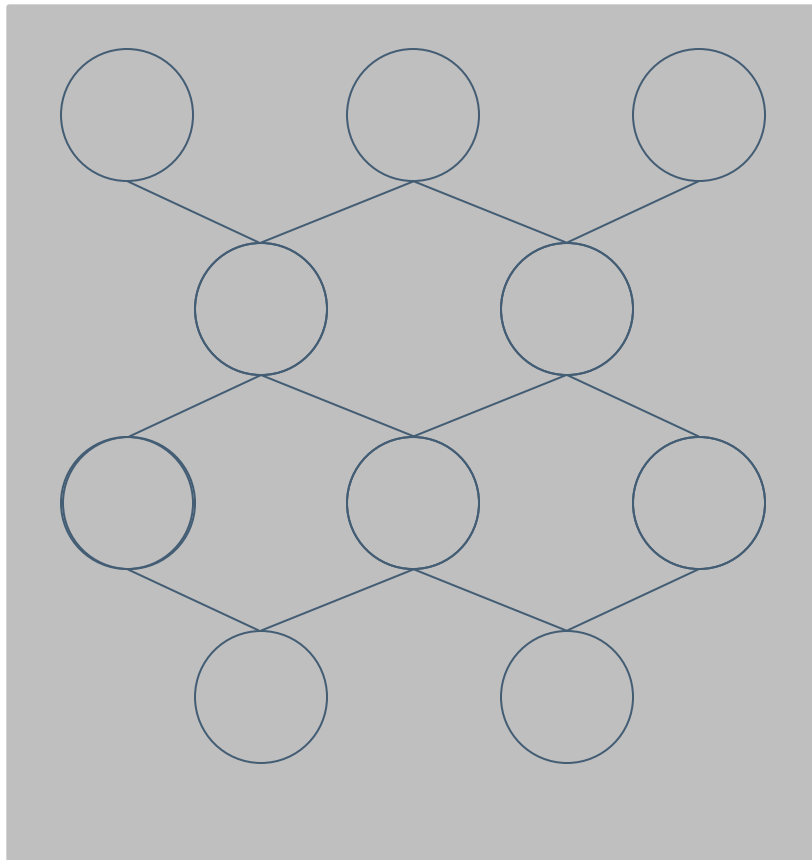
{0: 3665, 1: 9899, 2: 4286, 3: 2913, 4: 6645, 5: 2913, 6: 6797, 7: 6645, 8: 6593, 9: 712}

User Interaction Matrix

USERS \ ARTISTS	Artist 1	Artist 2	Artist 3
User 1	1	0	1
User 2	0	1	0
User 3	0	1	1

02

Models & Algorithms



Collaborative Filtering



		?		

CF (ALS) - Overview

Step 1

Analyze smaller
k-sized matrices

Step 2

Optimize User &
Item matrices
independently

Step 3

Iterate until
convergence

CF (ALS) – Code Explanation

Training

(User_ID, Artist)

(5, 3617)	1
(5, 4580)	1
(5, 8272)	1
(5, 10094)	1
(6, 344)	1
(6, 1396)	1
(6, 2571)	1
(6, 2685)	1
(6, 3431)	1
(6, 4560)	1
(6, 5746)	1
(6, 6133)	1
(6, 6346)	1

Feeds into



```
1 import implicit
2
3 #model fitting
4 model = implicit.als.AlternatingLeastSquares(factors = 150, regularization = 0.001, iterations = 100)
5 model.fit(user_artist_train_matrix)
```

0%| | 0/100 [00:00<?, ?it/s]
100%| | 100/100 [00:44<00:00, 2.26it/s]

Hyper-parameters:

- Factors (k)
- Regularization (λ)
- Iterations
- Alpha (not seen in the above image)

CF (ALS) – Code Explanation

Testing

```
1 #model predictions
2 import implicit.evaluation
3
4 implicit.evaluation.AUC_at_k(model, train_user_items = user_artist_train_matrix, test_user_items = user_artist_test_matrix, K = 10,
5
6 #AUC score of 0.5210884671362229 ~ random == guessing
```

100%|██████████| 1330/1330 [00:00<00:00, 10472.59it/s]

0.5202834576915786

Using AUC for performance

How to improve performance?

Grid Search

CF (ALS) – Code Explanation

Grid Search

```
param_grid = {  
    'factors' : [8, 10, 15],  
    'regularization' : [0.15, 0.18, 0.2, 0.23, 0.27, 0.3],  
    'iterations' : [60, 65, 75, 80, 85],  
    'alphas' : [10]  
}
```

Adjust all parameters to
improve performance

```
#perform Grid Search  
for factor in param_grid['factors']:  
    for reg in param_grid['regularization']:  
        for iter in param_grid['iterations']:  
            for alp in param_grid['alphas']:  
                #define model with given params  
                #utilizing 4 cores on my CPU to speed up process  
                model = implicit.als.AlternatingLeastSquares(factors = factor, regularization = reg, iterations = iter, alpha = alp, num_threads = 4)  
  
                #model training  
                model.fit(user_artist_train_matrix)  
  
                #obtain AUC (eval)  
                #k = 10 => model bases performance on recommendation for top 10 artists  
                auc = implicit.evaluation.AUC_at_k(model, train_user_items = user_artist_train_matrix, test_user_items = user_artist_test_matrix, K = 10)  
  
                #compare AUC score and if auc is better, we update current stored bests  
                if auc > best_AUC:  
                    best_AUC = auc  
                    best_params = {'factor' : factor, 'reg' : reg, 'iter' : iter, 'alpha' : alp}  
  
print(f"Best hyperparams via grid search: {best_params}\nBest AUC: {best_AUC}")  
#order for best_params is: factor, reg, iter, alpha
```

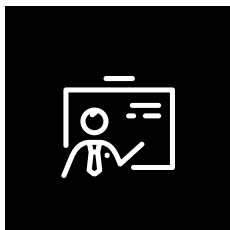
CF (ALS) – Performance Evaluation

```
Best hyperparams via grid search: {'factor': 8, 'reg': 0.2, 'iter': 75, 'alpha': 10}  
Best AUC: 0.5472981589956554
```

~0.55 AUC score... Not good

Slightly better than randomly
guessing

Take Aways from CF (ALS)



Fast

Avg run time on smaller dataset was < 5 mins per train and test



Easy Tuning

Grid Search allowed for highly varied values to be tried in sequence



Poor Prediction

Such a low AUC means it is not learning well. This would require many more iterations of Grid Search to find optimal solutions

Neural Collaborative Filtering

NCF – Overview

Step 1

User Matrix and Item Matrix are fed. Then performs Dot product to create input matrix

Step 2

Run through Hidden Layers

Step 3

Output Binary Classifier (0;1) for interactions

NCF – Code Explanation

Setup

```
1 import tensorflow as tf
2
3 if tf.config.list_physical_devices('GPU'):
4     print("GPU is available")
5 else:
6     print("GPU is not available")
7
8 # List the available GPUs and their memory information
9 gpus = tf.config.get_visible_devices('GPU')
10 for gpu in gpus:
11     memory_info = tf.config.experimental.get_memory_info('GPU:0')
12     print(f"GPU: {gpu.name}")
13     print(f"Current memory usage: {memory_info['current']} bytes")
14     print(f"Peak memory usage: {memory_info['peak']} bytes")
15
16 #ensure we're able to use the GPU for processing the stuff
17 #I'm utilizing my home PC which has an NVIDIA RTX 3070
```

```
GPU is available
GPU: /physical_device:GPU:0
Current memory usage: 0 bytes
Peak memory usage: 0 bytes
```

Use GPU for faster processing

```
from tensorflow.keras.models import Model
from tensorflow.keras.layers import Input, Embedding, Dot, Dense, Flatten, Dropout

#create NCF model structure

#input layers for user and item
user_input = Input(shape=(1,), name='user_input')
item_input = Input(shape=(1,), name='item_input')

#embedding layers to flatten the information from user-item interaction matrix
user_embedding = Embedding(input_dim=len(user_ids_flat), output_dim=5, name='user_embedding')(user_input)
item_embedding = Embedding(input_dim=len(item_ids_flat), output_dim=5, name='item_embedding')(item_input)

#flatten embedding layers for dot product
user_vec = Flatten()(user_embedding)
item_vec = Flatten()(item_embedding)

#dot product between user and item vectors (matrices)
dot_product = Dot(axes=1)([user_vec, item_vec])
```

Input → Embedding → Dot product

NCF – Code Explanation

Model Structure

```
#hidden layer with 2048 neurons
h1 = Dense(2048, activation = 'relu')(dot_product)

#add 20% dropout to reduce overfitting
h1 = Dropout(0.2)(h1)

#hidden layer with 1024 neurons
h2 = Dense(1024, activation = 'relu')(h1)

#add 20% dropout to reduce overfitting
h2 = Dropout(0.2)(h2)
```

```
#hidden layer with 512 neurons
h3 = Dense(512, activation = 'relu')(h2)

#add 20% dropout to reduce overfitting
h3 = Dropout(0.2)(h3)

#output with sigmoid activation function for binary classification (user likes artist)
output = Dense(1, activation='sigmoid')(h3)

#defining the model
ncf_model = Model(inputs=[user_input, item_input], outputs=output)
```

Dot Product \rightarrow Hidden layers 1 \rightarrow 2 \rightarrow 3 \rightarrow output

NCF – Code Explanation

Compile then Train model

```
1 from tensorflow.keras import metrics
2
3 #model compilation
4 ncf_model.compile(optimizer='adam', loss='binary_crossentropy', metrics=['accuracy', metrics.Precision(), metrics.Recall()])
5
6 #print summary of model too
7 ncf_model.summary()
```

Model: "model"

Layer (type)	Output Shape	Param #	Connected to
user_input (InputLayer)	[(None, 1)]	0	[]
item_input (InputLayer)	[(None, 1)]	0	[]
user_embedding (Embedding)	(None, 1, 5)	77220560	['user_input[0][0]']
item_embedding (Embedding)	(None, 1, 5)	77220560	['item_input[0][0]']
flatten (Flatten)	(None, 5)	0	['user_embedding[0][0]']
flatten_1 (Flatten)	(None, 5)	0	['item_embedding[0][0]']
dot (Dot)	(None, 1)	0	['flatten[0][0]', 'flatten_1[0][0]']
dense (Dense)	(None, 2048)	4096	['dot[0][0]']
dropout (Dropout)	(None, 2048)	0	['dense[0][0]']
dense_1 (Dense)	(None, 1024)	2098176	['dropout[0][0]']
dropout_1 (Dropout)	(None, 1024)	0	['dense_1[0][0]']
dense_2 (Dense)	(None, 512)	524800	['dropout_1[0][0]']
dropout_2 (Dropout)	(None, 512)	0	['dense_2[0][0]']
dense_3 (Dense)	(None, 1)	513	['dropout_2[0][0]']

Model summary

```
1 #insert data as a single table into the model
2 user_item_data = [user_ids_flat, item_ids_flat]
3
4 #train the model on the combined data
5 ncf_model.fit(user_item_data, labels, epochs=5, batch_size=32768) # 65536 32768
```

```
Epoch 1/5
472/472 [=====] - 59s 121ms/step - loss: 0.0228 - accuracy: 0.9972 - precision: 0.0016 - recall: 0.0010
Epoch 2/5
472/472 [=====] - 58s 124ms/step - loss: 0.0122 - accuracy: 0.9983 - precision: 0.0000e+00 - recall: 0.0000e+00
Epoch 3/5
472/472 [=====] - 58s 123ms/step - loss: 0.0105 - accuracy: 0.9983 - precision: 0.0000e+00 - recall: 0.0000e+00
Epoch 4/5
472/472 [=====] - 58s 124ms/step - loss: 0.0098 - accuracy: 0.9983 - precision: 0.0000e+00 - recall: 0.0000e+00
Epoch 5/5
472/472 [=====] - 58s 123ms/step - loss: 0.0095 - accuracy: 0.9983 - precision: 0.0000e+00 - recall: 0.0000e+00
```

Model Training

Note: I printed Precision and Recall to get a better idea of performance

NCF – Performance Evaluation

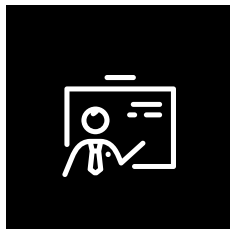
```
1 #reshape the prediction so we can see the results
2 pred = pred.reshape(len(user_artist_test.index.to_numpy()),len(user_artist_test.columns.to_numpy()))
3 print(pred.shape)
4 print(pred)
5
6 #save to csv for viewing on machine
7 np.savetxt("test.csv", pred, delimiter=',')
```

(1422, 4595)

```
[[6.41770894e-05 1.50387571e-03 1.14942246e-04 ... 3.81117308e-04
 6.41770894e-05 6.85204053e-03]
 [3.93285554e-05 4.01007431e-03 6.11971179e-03 ... 3.90591379e-03
 2.04896159e-03 2.10656915e-02]
 [3.27590038e-03 1.05575717e-03 2.87834046e-05 ... 7.01974437e-04
 1.40420525e-04 1.94723054e-03]
 ...
 [1.51610207e-02 3.65556101e-03 9.83655264e-05 ... 3.49230453e-04
 5.85206086e-04 4.67651896e-03]
 [4.98800888e-04 1.44600589e-02 9.85409133e-04 ... 1.17245328e-03
 2.76998297e-04 9.75499395e-03]
 [1.29936016e-04 8.16146086e-04 8.52018013e-04 ... 2.89623014e-04
 2.62918533e-04 8.34032334e-03]]
```

Very low
probability for
interactions (< 0.2)

Take Aways from NCF



Slower than CF

Despite me running many different models using both my CPU and GPU, NCF would run slower on average for training and testing



High PC Req's

I frequently would run out of Memory (VRAM) when using the GPU. Using the CPU it would take many hours (>6 hours) per training session



Poor Learning

High Accuracy but Extremely low Precision and Recall indicates model is overfitting

K-Nearest Neighbors

Algorithm - Overview

Step 1

Songs are put into an
interaction matrix
where columns=songs
and rows=users

|

Step 2

Cosine Similarity is used
to determine distance
between songs

|

Step 3

Smaller vectors
represent closer songs
The K nearest songs are
recommended

|

Algorithm - Explanation

Step 1

USERS \ ARTISTS	Artist 1	Artist 2	Artist 3
User 1	1	0	1
User 2	0	1	0
User 3	0	1	1

Algorithm - Explanation

Step 2

Each user's interactions constitute a vector.

Similarity between vectors approach 1.

No similarity equals 0.

Opposition approaches -1.

$$\text{cosine similarity} = \frac{\|A\| \|B\|}{A \cdot B}$$

Algorithm - Explanation

Step 3

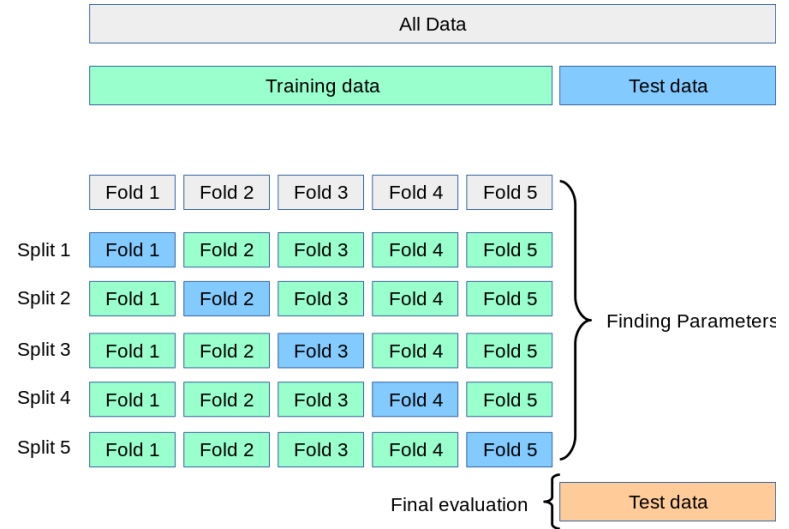
Vectors are sorted according to value.

The top K values are returned as recommendations

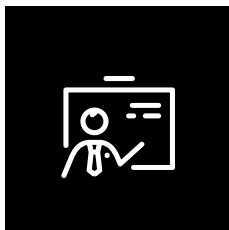
Algorithm – Performance Evaluation

K-fold cross-validation is used to determine performance

The data in the test set is compared to actual user interactions to determine precision



Take Aways from Algorithm



Memory Intensive

KNN is computationally heavy and should be used with smaller datasets unless an effective method of data preparation can be used.

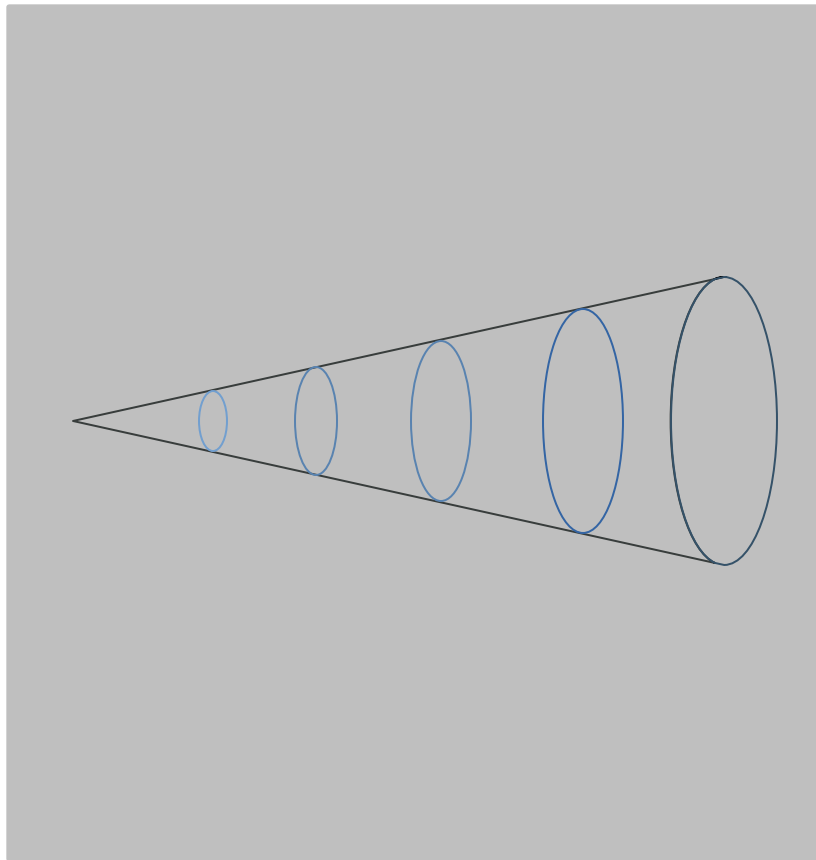


Tunability

While the KNN Algorithm is simplistic and easy, implementation has its own problems.

03

Future Work

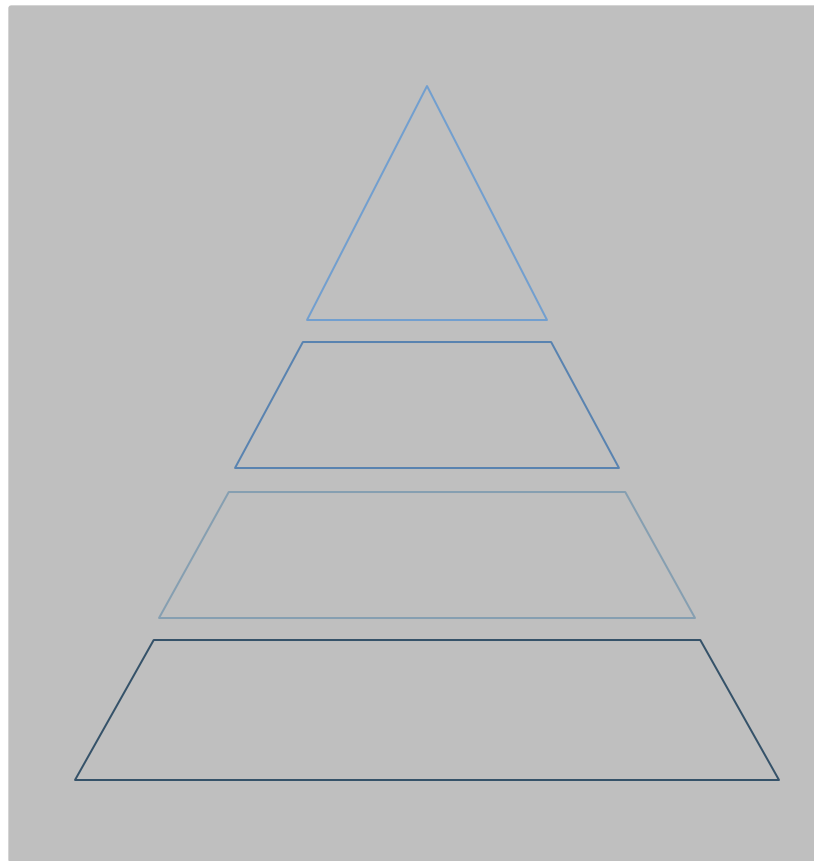


Future Work

- Utilize Cloud Services for DL models
- Obtain dataset with user ratings
- Pick alternative algorithms
- Utilize Hybrid Methods

04

Conclusion





Conclusion

This was a fun yet challenging project. Our models' performance wasn't great, but demonstrates the difficulty with building an implicit recommender system.

References

- Neural Collaborative Filtering (2017) He, Liao, Zhang, et. al.

- <https://developers.google.com/machine-learning/recommendation/collaborative/basics><https://developers.google.com/machine-learning/recommendation/collaborative/basics>
- <https://towardsdatascience.com/alternating-least-square-for-implicit-dataset-with-code-8e7999277f4b>
- <https://towardsdatascience.com/recommendation-system-matrix-factorization-d61978660b4b>
- AUTHOR (YEAR). Title of publication. Publisher



Questions?

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and attention

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