

# Updating Data to be used in SRA

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## Load in old data

The following code reads in the old data. It then takes the most recent data for each country and replicates it for the years that we are updating – this let's us easily keep the time-invariant values, like region. I then set aside the old data, and update the data that will be appended.

```
# read in data from the previous years , called dat

dat <- fread("input/prepared2019predictors-2021-05-27.csv")

# Variables that have stopped being updated that we'll drop.
# Delete this next year during 2022 update, no longer necessary

to_drop <- c("pol.durable",
            "pol.durable.ln")
dat[, (to_drop) := NULL]

# carry forward all data from the most recent year

carry_from <- max(dat$year)

# this only applies if updating multiple years simultaneously
# it carries forward the most recent data for each of the years to be updated
dat_new <- rbindlist(rep(list(dat[year == carry_from]), times = length(update_years)))

# relabel the years

dat_new$year <- rep(update_years, each = nrow(dat_new))

# rename old data and leave it alone until you've updated all
# variables except for the lag/leads

dat_old <- dat
rm(dat)
```

## Update

### Define functions

#### carry\_forward

The following is a function to carry forward recent values of a variable for countries where it's NA

```
carry_forward <- function(variable,
                          carry_to = update_years,
                          data = dat,
                          carry_from = (update_years - 1)){
  na_var <- data[year == carry_to & is.na(get(variable))]$sftgcode

  cat(paste0("Missing values for ", length(na_var), " countries."))

  carry_var <- subset(data[year == carry_from & sftgcode %in% na_var],
                      select = c("sftgcode", variable))
  cat(paste0("\nFilling in values for ",
            nrow(carry_var[!is.na(get(variable))]), " countries."))
```

```

for(i in carry_var[!is.na(get(variable))$sftgcode]){
  data[year == carry_to & sftgcode == i,
        (variable) := carry_var[sftgcode == i, get(variable)]]
  data[year == carry_to & sftgcode == i, (paste(variable, "carry_from", sep = "_")) := carry_from]
}
}

```

## merge\_dat

The following is a function that removes the variables that were carried forward from the base data, and then merges in the updated variables from the appropriate year.

```

merge_dat <- function(new_data, base_data = dat_new,
                      merge_new_by = c("sftgcode", "year"),
                      merge_base_by = c("sftgcode", "year")){
  new_data_vars <- colnames(new_data)[!colnames(new_data) %in% merge_new_by]
  dat1 <- subset(base_data,
                 select = colnames(base_data)[!(colnames(base_data) %in%
                                                  new_data_vars)])
  dat1 <- merge(dat1, new_data,
                by.x = merge_base_by,
                by.y = merge_new_by, all.x = TRUE)
  dat1
}

```

## Country age

This chunk updates country age by adding the difference between the updated years and the year the data was carried from to the country age variable.

```

# update country age

diff <- update_years - carry_from

for(i in 1:length(update_years)){
  dat_new$countryage[dat_new$year == update_years[i]] <-
  dat_new$countryage[dat_new$year == update_years[i]] + diff[i]
}

# update logged country age variable

dat_new$countryage.ln <- log(dat_new$countryage + 1)

```

## VDEM

The following code reads in the most recent V-DEM data, selecting the relevant variables and renaming them.

```

# read in data

vdem <- fread("input/Country_Year_V-Dem_Full+others_CSV_v11.1/V-Dem-CY-Full+Others-v11.1.csv")

# subset to just use these variables

vdem_vars <- c("v2cldmovem_ord",

```

```

        "v2cldmovev_ord",
        "v2clkill_ord",
        "v2clsocgrp_ord",
        "v2clrgunev_ord",
        "v2csreprss_ord",
        "v2pepwsoc_ord",
        "v2elrstrct",
        "v2psparban_ord",
        "v2psoppaut_ord",
        "v2jureform_ord",
        "v2clrelig_ord",
        "v2xcl_disc",
        "v2pepwrsses_ord",
        "v2pepwrsses",
        "e_migdpgro",
        "e_mipopula",
        "e_cow_exports",
        "e_cow_imports",
        "e_migppc",
        "v2csgender_ord",
        "v2pepwrgen_ord")

keep <- c("COWcode", "country_name", "year", vdem_vars)

# function that renames and formats variables,
# takes dataset and vector of variables to keep as inputs

source("helper scripts/format_vdem.R")

# function that maps COW codes onto PITF country codes,
# contained in format_vdem

source("helper scripts/cowtopitf2018.R")

# format and save vdem

vdem <- format_vdem(dat = vdem, keep = keep)
save(vdem, file = "input/temp/vdem.Rdata")

```

This chunk removes the old year's V-DEM variables, summarizes missingness, and merges in the new ones.

```

# read in formatted VDEM variables for the update years

load("input/temp/vdem.Rdata")

# limit to the update years

vdem <- vdem[year %in% update_years]

# count missing values
# check which variables are missing values

missing <- apply(vdem[country_name %in% dat_new$country_name], 2,

```

```

      function(x) sum(is.na(x)))
kable(missing, col.names = "NA count")

```

	NA count
COWcode	0
country_name	0
year	0
v2cldmovem_ord	0
v2cldmovew_ord	0
v2clkill_ord	0
v2clsocgrp_ord	0
v2clrgunev_ord	0
v2csreprss_ord	0
v2pepwrsoc_ord	0
candidaterestriction	1
partyban	0
barrierstoparties	4
judicialreform	0
religiousfreedom	0
freediscussion	0
v2pepwrses_ord	0
ses_power_dist	0
sftgcode	0
pol_killing_approved	0
freemove_men4	0
freemove_women4	0
social_inequality	0
even_civilrights	0
repress_civilsoc	0
social_power_dist	0
minorityrule	0

```

# check which variables are missing for all countries, indicating that they
# have not been updated yet, and are potentially discontinued

```

```

all.missing <- names(missing)[missing == nrow(vdem)]
if(length(all.missing) > 0){
  cat(paste0("The following variables have not been updated as of ",
    Sys.Date(), ":\n", paste(all.missing, collapse = "\n")))
}

```

```

# this ensures that we dont replace old country names
# VDEM sometimes changes them

```

```

vdem$country_name <- NULL

```

```

# update V-DEM data

```

```

dat_new <- merge_dat(new_data = vdem)

```

## COVID-19

COVID-19 may have impacted the ratings of several predictors in ways that are not correlated with the risk of a mass killing onset, for example, more countries may be noted as having low freedom of movement. V-DEM did not issue specific instructions to coders on how to treat restrictions due to COVID-19, but did ask them the following two questions:

1. (v2cvresp): Did government responses to the Covid-19 pandemic cause you to
  0. Provide mostly lower ratings.
  1. Provide some lower ratings.
  2. Government responses to COVID-19 did not affect my assesment for the countries and questions that I rated.
  3. Provide some higher scores.
  4. Provide mostly higher rating
2. (v2cvgovres): Has the government referred to Covid-19 to justify restrictions of any of the following?
  0. Freedom of movement. [v2cvgovres\_0]
  1. Freedom of assembly. [v2cvgovres\_1]
  2. Freedom of media. [v2cvgovres\_2]
  3. Freedom of association. [v2cvgovres\_3]
  4. Legislative oversight and powers. [v2cvgovres\_4]
  5. The government has not referred to COVID-19 to justify any restrictions. [v2cvgovres\_5]
  6. Don't know. [v2cvgovres\_6]

To determine how to treat this predictor, we tabulate the number of countries that had decreases in freedom of movement from 2019 to 2020. This table is shown below, with only 23 countries having a lower rating on freedom of movement for men, women, or both.

```
vdem <- fread("input/Country_Year_V-Dem_Full+others_CSV_v11.1/V-Dem-CY-Full+Others-v11.1.csv")

vdem <- vdem[, .(country_name, year, v2cldmovem_ord, v2cldmovew_ord, v2cvresp, v2cvgovres_0)]
setnames(vdem, c( "v2cldmovem_ord", "v2cldmovew_ord", "v2cvresp", "v2cvgovres_0"),
          c("freemove_men", "freemove_women", "covid_rating", "covid_gov"))
setkey(vdem, country_name, year)
vdem[, ':= ' (freemove_men_last = shift(freemove_men),
            freemove_wmen_last = shift(freemove_women)), by = country_name]
vdem20 <- vdem[year == 2020]

# count number of countries with a decrease

vdem20[, less_freemove_men := freemove_men_last > freemove_men]
vdem20[, less_freemove_wmen := freemove_wmen_last > freemove_women]
kable(vdem20[, .N, by = .(less_freemove_men, less_freemove_wmen)],
      col.names = c("Decrease in FoM for Men",
                    "Decrease in FoM for Women",
                    "Countries"))
```

Decrease in FoM for Men	Decrease in FoM for Women	Countries
FALSE	FALSE	156
TRUE	TRUE	9
TRUE	FALSE	10
FALSE	TRUE	4

We also check if there is a correlation between decreases in freedom of movement ratings (for men and women)

and ratings on the two COVID-19 related questions. These correlations are very weak, so we decide to keep the 2020 values for the freedom of movement variables rather than carry forward 2019 values.

```
vdem201 <- melt(vdem20, id.vars = c("country_name", "year", "covid_gov", "covid_rating"), measure.vars = "value")
vdem201[, ':='] (avg_covid_gov = mean(covid_gov),
                avg_covid_rating = mean(covid_rating)), by = .(variable, value)]
vdem201[, variable := factor(variable, levels = c("less_freemove_men", "less_freemove_wmen"),
                             labels = c("Men", "Women"))]

kable(vdem201[, .("Correlation w Q1" = cor(covid_rating, value),
                 "Correlation w Q2" = cor(covid_gov, value)), by = variable], digits = 2)
```

variable	Correlation w Q1	Correlation w Q2
Men	-0.15	0.05
Women	-0.03	-0.06

## Polity

According to the Center for Systemic Peace website, 2019 is the last year with updated polity variables. We've decided to drop the polity variables, but I'm just updating the durable variable here the same way I updated country age, by adding one year.

```
# update durable the same way we update country age
# Note: pol.durable and durable are the same thing

diff <- update_years - carry_from

for(i in 1:length(update_years)){
  dat_new$durable[dat_new$year == update_years[i]] <-
  dat_new$durable[dat_new$year == update_years[i]] + diff[i]
}

# update the logged variables

dat_new$durable.ln <- log(dat_new$durable + 1)
```

## WDI

This chunk pulls select variables from the WDI API.

```
# In June 2020 WDI decommissioned V1 of the API
# so you may need to re-install the WDI package.

# devtools::install_github("vincentarelbundock/WDI")
library(WDI)

# list indicators we want to pull

wdilist <- c("NE.TRD.GNFS.ZS",      # Trade (% of GDP)
            "NY.GDP.PCAP.KD",      # GDP per capita (constant 2000 US$)
            "NY.GDP.MKTP.KD.ZG",   # GDP growth (annual %)
            "SP.POP.TOTL",         # Population, total
            "SP.DYN.IMRT.IN"       # Infant mortality rate)
```

```

)

# confirm that all of the old indicator names are in use

(check.names <- rbindlist(lapply(wdilist, function(x) {
  # search the indicator list for each of the variables in wdilist
  res <- WDIsearch(x, field = "indicator")
  # take the first match (drops those with extra letters on the end)
  if(length(res) > 2){
    res <- res[1, ]
  }
  data.table("indicator" = res[1], "name" = res[2])))))

# Extract latest version of desired variables from WDI
# also pull 5 years from before so that we can carry forward
# more recent values for variables like infant mortality that are slow to update

wdi <- WDI(country="all", indicator=wdilist, extra=FALSE,
           start=(min(update_years) - 5))

# Add PITF country codes for merging
source("helper scripts/f.pitfcodeit.R")
wdi <- pitfcodeit(wdi, "country")
wdi$country <- as.character(wdi$country)

# Subset to drop cases with missing PITF codes, cut extra id vars

wdi <- subset(wdi, !is.na(sftgcode), select=-c(1, 2))

# Reorder for easier review

wdi <- wdi[order(wdi$sftgcode, wdi$year),]

# Rename variables-- add a "new" to indicate these are newly brought in from wdi
# to avoid reusing names already in the old EWP data

setDT(wdi)
wdi_cols <- c("wdi.trade.new",
             "wdi.gdppc.new",
             "wdi.gdppcgrow.new",
             "wdi.popsiz.new",
             "wdi.imrate.new")
setnames(wdi,
         c("NE.TRD.GNFS.ZS",
           "NY.GDP.PCAP.KD",
           "NY.GDP.MKTP.KD.ZG",
           "SP.POP.TOTL",
           "SP.DYN.IMRT.IN"),
         wdi_cols)

# save to the input folder
if(first.pass == T){dir.create("input/temp/wdi")}

```



```
fwrite(wdi, paste0("input/temp/wdi/pulled-", Sys.Date(), ".csv"))
```

This chunk reads in the latest pull from the WDI API, counts the missing values, and merges it into the main dataset.

```
# list all files in the wdi directory and read in the latest pull

wdi.files <- list.files("input/temp/wdi/")
latest.pull <- which.max(lapply(wdi.files,
                                function(x) {
                                  as.Date(gsub("pulled-|.csv", "", x))}))
wdi <- fread(paste0("input/temp/wdi/", wdi.files[latest.pull]))
new.wdi <- wdi[year %in% update_years]

# check which variables are missing values

wdi_cols <- c("wdi.trade.new",
              "wdi.gdppc.new",
              "wdi.gdppcgrow.new",
              "wdi.popsiz.new",
              "wdi.imrate.new")
missing = melt(new.wdi[, lapply(.SD, function(x) sum(is.na(x))), .SDcols = wdi_cols])

kable(missing, col.names = c("Variable", "NA count"))
```

Variable	NA count
wdi.trade.new	43
wdi.gdppc.new	13
wdi.gdppcgrow.new	11
wdi.popsiz.new	1
wdi.imrate.new	170

```
# check which variables are missing for all countries, indicating that they
# have not been updated yet, but potentially will be in the future
```

```
all.missing <- missing[value == length(unique(wdi$sftgcode)),$variable]
cat(paste("\n", all.missing, "not updated as of", Sys.Date()))
```

```
##
```

```
## wdi.imrate.new not updated as of 2021-08-25
```

```
# Carry forward the last non-missing value of infant mortality rate
# do the same thing for missing values of tradeshare and gdp as they were
# mostly updated in 2018 and the earliest values from CIA factbook are 2017
```

```
carry_forward(variable = "wdi.imrate.new", carry_from = update_years - 1, data = wdi)
```

```
## Missing values for 170 countries.
```

```
## Filling in values for 169 countries.
```

```
carry_forward(variable = "wdi.imrate.new", carry_from = update_years - 2, data = wdi)
```

```
## Missing values for 1 countries.
```

```
## Filling in values for 0 countries.
```

```

carry_forward(variable = "wdi.imrate.new", carry_from = update_years - 3, data = wdi)

## Missing values for 1 countries.
## Filling in values for 0 countries.

carry_forward(variable = "wdi.trade.new", carry_from = update_years - 1, data = wdi)

## Missing values for 43 countries.
## Filling in values for 28 countries.

carry_forward(variable = "wdi.trade.new", carry_from = update_years - 2, data = wdi)

## Missing values for 15 countries.
## Filling in values for 3 countries.

carry_forward(variable = "wdi.trade.new", carry_from = update_years - 3, data = wdi)

## Missing values for 12 countries.
## Filling in values for 0 countries.

carry_forward(variable = "wdi.gdppc.new", carry_from = update_years - 1, data = wdi)

## Missing values for 13 countries.
## Filling in values for 6 countries.

carry_forward(variable = "wdi.gdppc.new", carry_from = update_years - 2, data = wdi)

## Missing values for 7 countries.
## Filling in values for 1 countries.

carry_forward(variable = "wdi.gdppc.new", carry_from = update_years - 3, data = wdi)

## Missing values for 6 countries.
## Filling in values for 0 countries.

missing = melt(wdi[, lapply(.SD, function(x) sum(is.na(x))), .SDcols = wdi_cols])
kable(missing, col.names = c("Variable", "NA count"))

```

Variable	NA count
wdi.trade.new	74
wdi.gdppc.new	36
wdi.gdppcgrow.new	31
wdi.popsiz.new	6
wdi.imrate.new	6

```
# Merge it in to main data
```

```

dat_new <- merge_dat(new_data = wdi[year %in% update_years])
dat_new[, imr.sqrt := sqrt(wdi.imrate.new)]
dat_new[, wdi.trade.ln.new := log(wdi.trade.new)]

```

## UCDP battle-related deaths

The following code reads in the UCDP data and changes country names to match. Below, I sum the number of battledeaths for each country in the update years, and merge this onto `dat_new`.

```

# read in UCDP data

ucdp <- fread("input/ucdp-brd-dyadic-211.csv")

# making sure we have the same variables as in 18.1 version.
# colnames for 18.1 are uppercase, use tolower when checking

colnames(ucdp) <- gsub("_", "", colnames(ucdp))

# limit to the update years and conflict type >= 3

ucdp <- ucdp[year %in% update_years & typeofconflict >= 3]

# change country names to match

diff_loc <- setdiff(unique(ucdp$locationinc), unique(dat_new$country_name))

# drops the locations that involve multiple countries

diff_loc <- diff_loc[grepl(" ", diff_loc) == F]

# change names

ucdp$locationinc[ucdp$locationinc=="Russia (Soviet Union)"] = "Russia"
ucdp$locationinc[ucdp$locationinc=="Myanmar (Burma)"] = "Burma/Myanmar"
ucdp$locationinc[ucdp$locationinc=="Yemen (North Yemen)"] = "Yemen"
ucdp$locationinc[ucdp$locationinc=="DR Congo (Zaire)"] = "Democratic Republic of Congo"

if(length(setdiff(unique(ucdp$locationinc),
                    unique(dat_new$country_name)))>0){
  stop("Different country names")}

# group by year/country and sum battledeaths

bd <- ucdp[, .("battledeaths" = sum(bdbest)), by = c("locationinc", "year")]

# save battledeath data

fwrite(bd, "input/temp/battledeaths.csv")

```

I then read in the battledeaths for each country and merge it into the new data, replacing missing values with zeroes and updating the logged version of this variable.

```

# read in battledeaths data

bd <- fread("input/temp/battledeaths.csv")

# merge battledeaths into main data

dat_new <- merge_dat(new_dat = bd,
                     merge_new_by = c("locationinc", "year"),
                     merge_base_by = c("country_name", "year"))

```

```
# fill in zeroes and update logged variable

dat_new[, battledeaths := ifelse(is.na(battledeaths), 0, battledeaths)]
dat_new[, battledeaths.ln := log(battledeaths + 1)]
```

## Coup Attempts

This code reads in the most updated version of the Powell and Thyne data which is posted on their website. It selects coups in the years to be updated

```
# pull coup data from powell and thyne websit

coup_dat <- as.data.table(read.delim("http://www.uky.edu/~clthyn2/coup_data/powell_thyne_coups_final.

# keep coups in the update years

new_coup <- coup_dat[year %in% update_years]

# where coup == 2 it was a successful coup
# where coup == 1 it was a failed coup

new_coup[, cou.s.d := ifelse(coup == 2, 1, 0)]
new_coup[, cou.f.d := ifelse(coup == 1, 1, 0)]

# save coup data

fwrite(new_coup, paste0("input/temp/", "coups-pulled-", Sys.Date(), ".csv"))
```

This updates the coup variables in dat\_new.

```
# read in the coup data

temp.files <- list.files("input/temp")
new_coup <- fread(paste0("input/temp/", temp.files[grepl("coups", temp.files)]))

# select variables and print

new_coup <- subset(new_coup,
  select = c("country", "year", "cou.s.d", "cou.f.d"))
kable(new_coup)
```

country	year	cou.s.d	cou.f.d
Mali	2020	1	0

```
# merge coup data into dat_new

dat_new <- merge_dat(new_data = new_coup,
  merge_new_by = c("country", "year"),
  merge_base_by = c("country_name", "year"))

# fill in missing values with zeroes

coup_cols <- c("cou.s.d", "cou.f.d")
```

```

dat_new[, (coup_cols) := lapply(.SD, function(x)
  ifelse(is.na(x), 0, x)), .SD = coup_cols]

# update cou.any variable (1 if there was either a failed or successful coup)

dat_new[, cou.any := ifelse(cou.s.d>0 | cou.f.d>0, 1, 0)]

```

## Mass Killing Variables

There were no starts or ends to mass killing events in 2020.

```

# initialize state led mk vars

dat_new$mk1.end <- 0
dat_new$mk1.start <- 0

# initialize non-state led mk vars

dat_new$nonstatemk.end <- 0
dat_new$nonstatemk.start <- 0

```

## Append new data

This appends dat\_new to dat\_old, creating the full data-set

```

# check to make sure you can append the new data

if(length(setdiff(colnames(dat_old), colnames(dat_new)))>0){
  stop("different colnames")}

# append new data

setcolorder(dat_new, colnames(dat_old))
dat <- rbind(dat_old, dat_new, fill = T)

```

## Mass Killing Onsets

I now make changes to the mass killing variables in years prior to the update years.

There are no changes to be made.

```

# change old data

# dat[, nonstatemk.end := ifelse(sftgcode == "THI" & year == 2016, 1, nonstatemk.end)]
# dat[, nonstatemk.ongoing :=
#   ifelse(sftgcode == "THI" & year > 2016, 0, nonstatemk.ongoing)]

# combined onset variables

dat[, anymk.start := ifelse(!is.na(mk1.start) & mk1.start == 1 |
  nonstatemk.start == 1, 1, 0)]

dat[, anymk.ongoing := ifelse(!is.na(mk1.ongoing) & mk1.ongoing == 1 |
  nonstatemk.ongoing == 1, 1, 0)]

```

```

dat[, anymk.ever := ifelse(!is.na(mkl.ever) & mkl.ever == 1) |
                           nonstatemk.ever == 1, 1, 0)]

# save mass killing variables

mkl_dat <- subset(dat, select = c("country_name", "sftgcode", "year",
                                "mkl.start", "mkl.end", "mkl.ongoing",
                                "mkl.ever", "nonstatemk.start",
                                "nonstatemk.end", "nonstatemk.ongoing",
                                "nonstatemk.ever"))

setkey(mkl_dat, country_name, year)

fwrite(mkl_dat, file = "mkl_data.csv", row.names = F)

```

## Leads and Lags

In this section, I update the variables that involve leads and lags

### MK lead variables

```

# read in list of the first time Sftgcodes are used in PITF data

map <- fread("../.../EWP (1)/2019SRA/Make data/sftg_name_map.csv")
setnames(map, "V1", "min_ewp_year")

# aggregate over different country names and drop duplicates

map <- map[country != ""]
map[, min_ewp_year := min(min_ewp_year), by = "sftgcode"]
map[, ':= ' (country = NULL, country_name = NULL)]
map <- map[!duplicated(map)]

# merge in first year a sftgcode was used in the PITF data

dat[, min_ewp_year := NULL]
dat <- merge(dat, map, by = "sftgcode", all.x = T)

# order by year, country name, and sftgcode

setkey(dat, year, country_name, sftgcode)

# create anymk lead variable, shifting by sftgcode

dat[, anymk.start.1 := shift(anymk.start, 1, type = "lead"), by = "sftgcode"]

# For new states we don't attribute mass killings to their origin country.
# if the year is the year before the PITF starts first used an sftgcode,
# then reset to 0

dat[, anymk.start.1 := ifelse(year == min_ewp_year - 1, 0, anymk.start.1)]

```

```
# create the rest of the lead variables based off of the one-year lead

dat[, anymk.start.2 := shift(anymk.start.1, type = "lead"), by = "sftgcode"]
dat[, anymk.start.3 := shift(anymk.start.1, n= 2, type = "lead"), by = "sftgcode"]

dat[, anymk.start.2window := as.double((anymk.start.1 + anymk.start.2) > 0) ]
dat[, anymk.start.3window := as.double((anymk.start.2window + anymk.start.3) > 0)]
```

## Coup in the last 5 years

Create dataframe of sftgcodes that are created as a result of a coup. We then turn the coup.try.5yr indicator to 1 for the first four years of that sftgcode's existence.

```
# this is a table of sftgcodes created by a coup

new_sftg <- data.table("sftgcode" = c("GAB", "ETI", "DJI"),
                      "coup.create.yr" = c(1964, 1989, 2000))

# merge this into main

dat[, coup.create.yr := NULL]
dat <- merge(dat, new_sftg, by = "sftgcode", all = T)

# create a variable for the last year when an sftgcode had a coup

dat[, last_coup_yr := ifelse(cou.any == 1, year, NA)]
dat[, last_coup_yr := na.locf(last_coup_yr, fromLast = F, na.rm = F),
    by = "sftgcode"]
dat[, last_coup_yr := ifelse(is.na(last_coup_yr), -Inf, last_coup_yr)]

# for sftgcodes that were initiated due to a coup,
# replace -Inf with the year of the coup

dat[, last_coup_yr := ifelse(!is.na(coup.create.yr) &
                           coup.create.yr > last_coup_yr,
                           coup.create.yr, last_coup_yr)]

# create variable for whether there was a coup in the last 5 years

dat[, coup.try.5yr := as.double((year - last_coup_yr) <= 4)]
```

## Data Preparation

### Remake some variables

This section fills in some V-DEM variables by creating an adjusted version of the relevant WDI variable. This significantly cuts down on missingness.

```
make.combined <- function(vdem.var, wdi.proxy){
  # Make an adjusted version of the wdi one to fit the tradeshare (VDEM) one:
  # drop update year as we've carried forward some values to this year

  lm.adjust <- lm(as.formula(paste0(vdem.var, "~", wdi.proxy)),
```

```

        data = dat[year < update_years])

# fill in update years with fitted values from regression on all years

dat[year %in% update_years, lm.adjust := coef(lm.adjust)[1] +
    coef(lm.adjust)[2]*get(wdi.proxy)]

# Where vdem var is missing, replace with the adjusted wdi proxy
combined.var <- paste0(vdem.var, ".combined")
dat[year %in% update_years,
    (combined.var) :=
    ifelse(!is.na(get(vdem.var)), get(vdem.var), get(wdi.proxy))]

# summarize difference in missingness
na.old <- sum(is.na(subset(dat[year %in% update_years], select = vdem.var)))
na.new <- sum(is.na(subset(dat[year %in% update_years], select = combined.var)))

cat(paste0("In the update years we were missing ", na.old,
    " observations for ", vdem.var))
cat(paste0("\nUsing the WD indicator, ", wdi.proxy,
    ", as a proxy we are missing ", na.new, " observations"))

dat[, lm.adjust := NULL]
}

# create combined variable for tradeshare

make.combined(vdem.var = "tradeshare.ln", wdi.proxy = "wdi.trade.ln.new")

## In the update years we were missing 163 observations for tradeshare.ln
## Using the WD indicator, wdi.trade.ln.new, as a proxy we are missing 14 observations
cat("\n")

# create combined variable for popsize

make.combined(vdem.var = "popsize", wdi.proxy = "wdi.popsize.new")

## In the update years we were missing 163 observations for popsize
## Using the WD indicator, wdi.popsize.new, as a proxy we are missing 4 observations
cat("\n")

# update logged version

dat[year %in% update_years, popsize.ln.combined := log(popsize.combined)]

# create combined variable for GDP per capita growth

make.combined(vdem.var = "gdppcgrowth", wdi.proxy = "wdi.gdppcgrow.new")

## In the update years we were missing 163 observations for gdppcgrowth
## Using the WD indicator, wdi.gdppcgrow.new, as a proxy we are missing 14 observations

```



## Missingness

This section looks at remaining missingness and attempts to fill in values.

```
# select variables we would like to check missingness for

predictornames <- c("anymk.ongoing","anymk.ever",
  "reg.af", "reg.eap", "reg.eur", "reg.mna", "reg.sca",
  "countryage.ln", "popsize.ln.combined", "imr.sqrt",
  "gdppcgrowth.combined", "ios.iccpr1","includesnonstate",
  "durable.ln","minorityrule", "elf.ethnic",
  "battleddeaths.ln", "candidaterestriction", "partyban",
  "judicialreform", "religiousfreedom",
  "pol_killing_approved", "freemove_men4",
  "freemove_women4", "freediscussion",
  "social_inequality","even_civilrights","repress_civilsoc",
  "social_power_dist", "ses_power_dist",
  "tradeshare.ln.combined",
  "coup.try.5yr", "polity2.fl.2","polity2.fl.3")

# look for missingness in the update years for select variables

dat.check <- subset(dat, year %in% update_years,
  select = c("country_name","year", predictornames))

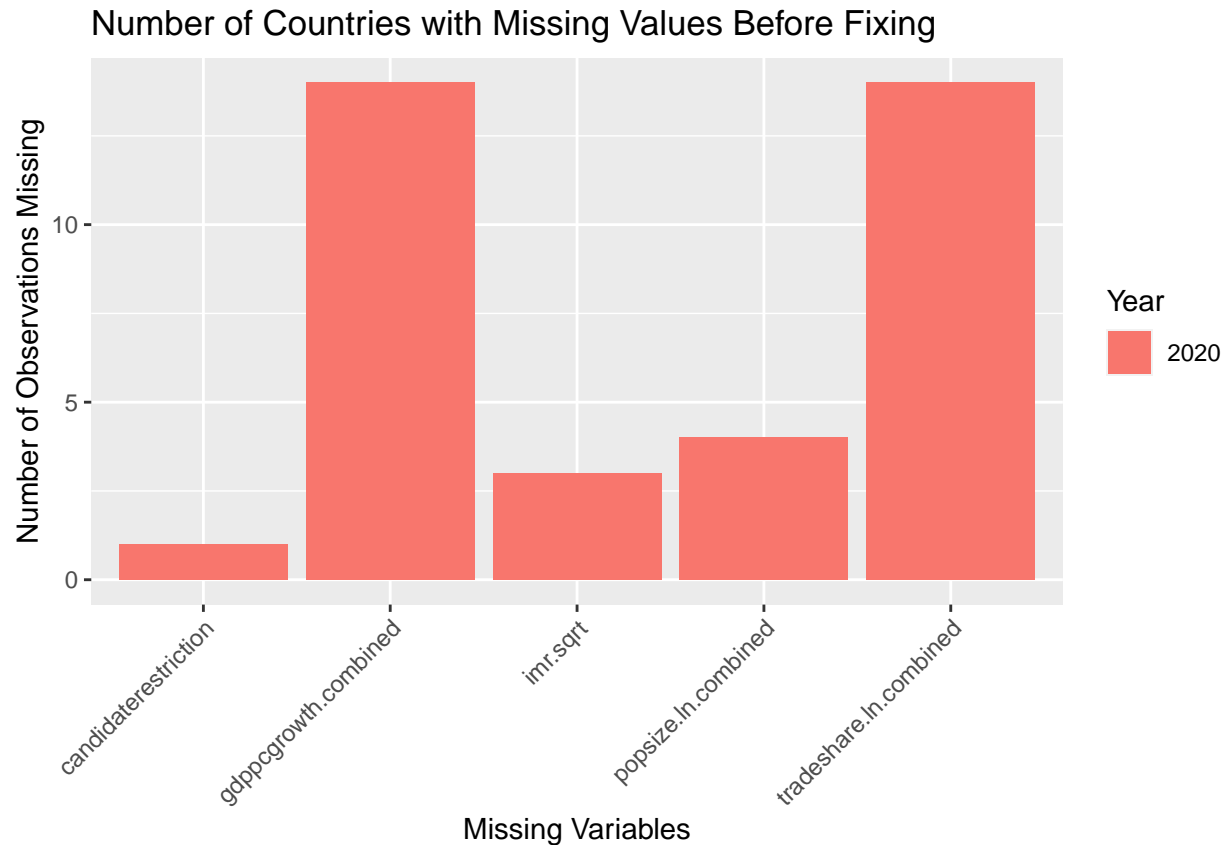
# for each of the update years, count the number of NAs for each variable
# select variables with positive NA counts

comp <- lapply(update_years,
  function(y) apply(dat.check[year == y],
    2, function(x) sum(is.na(x))))

na.count <- unlist(comp)
years <- rep(update_years, each = ncol(dat.check))
check <- data.table(na.count, years, "var"=names(na.count))
check <- check[na.count > 0]

# visualize missingness

(missing1 <- ggplot(check) +
  geom_bar(aes(y = na.count, x = var, fill = factor(years)),
    stat = "identity")+
  theme(axis.text.x = element_text(angle = 45, hjust = 1)) +
  labs(x = "Missing Variables", y = "Number of Observations Missing",
    fill = "Year",
    title = "Number of Countries with Missing Values Before Fixing"))
```



## Fix missingness

This chunk defines functions to look at patterns in NAs and carry forward values.

*# function to look at patterns in NAs over time for each country with missing values*

```
look_na <- function(variable, data = dat){
  missing <- data[is.na(get(variable)) &
    year %in% update_years, c("year", "country_name")]
  countries <- unique(missing$country_name)
  if(length(countries) == 0){
    look <- "No missing values"
  }else{
    look <- lapply(1:length(countries), function(x){
      out <- subset(data, country_name == countries[x],
        select = c("year", variable))
      colnames(out) <- c("year", countries[x])
      out})
    # merge all data.tables in the list by year
    look <- Reduce(function(...) merge(..., all = T, by = "year"), look)
    colnames(look) <- c("year", as.character(countries))
    look <- look[order(look$year, decreasing = TRUE), ]
  }
  look
}
```

```

# create blank spreadsheets to fill out

fill.tab <- function(var){
  out <- subset(dat[is.na(get(var)) & year %in% update_years],
               select = c("country_name", var))
  out[, cia.factbook.est := NA]
  out[, est.year := NA]
  fwrite(out, file = paste0("input/temp/missing/", var, ".csv"))
}

# look at last present value for countries missing data in update years

last.present <- function(var){
  look.na.long <- melt(look.na.vars[[var]], id.vars = "year",
                      variable.name = "country_name")
  setorder(look.na.long, country_name, year)
  look.na.long[, value_last := shift(value), by = country_name]
  look.na.long[, same_as_last := value == value_last]
  look.na.long[!is.na(value) & is.na(value_last), same_as_last := F]
  look.na.long[!is.na(same_as_last) & same_as_last == F,
               .("carried_value" = value[which.max(year)],
                 "carry_from" = max(year)), by = .(country_name)]
}

# for each of the variables that we have countries with missing values
# look at the values in the last few years for that country

look.na.vars <- lapply(c(check$var, "wdi.gdppc.new"), look_na)
names(look.na.vars) <- c(check$var, "wdi.gdppc.new")

if(first.pass == T){
  dir.create("input/temp/missing")
  lapply(check$var, fill.tab)
  fill.tab("wdi.gdppc.new")
}

```

## Candidate Restriction

```

# candidate restriction

head(look.na.vars$candidaterestriction)

##   year Jamaica
## 1: 2020      NA
## 2: 2019       1
## 3: 2018       1
## 4: 2017       1
## 5: 2016       1
## 6: 2015       1

```

```
# just carry forward this value
```

```
carry_forward("candidaterestriction")
```

```
## Missing values for 1 countries.
```

```
## Filling in values for 1 countries.
```

For the variables addressed below, I read in values from the CIA Factbook, and carry those values forward if they're more recent than the last updated version of the variable.

In the tables presented for each variable, I show the value that is filled in for that country in column 2. This is either the “carried\_value”, carried from the year listed under “carry\_from”, or it is the “cia.factbook.est”.

## Infant Mortality

We have been using CIA Factbook values for these countries since 2019:

- Macedonia
- North Korea

```
head(look.na.vars$imr.sqrt)
```

```
##      year Macedonia North Korea Taiwan
## 1: 2020          NA          NA      NA
## 2: 2019    2.720294    4.472136      NA
## 3: 2018    2.792848    4.690416      NA
## 4: 2017    2.792848    4.690416      NA
## 5: 2016    2.792848    4.690416      NA
## 6: 2015    2.190890    4.690416      NA
```

```
# read in CIA Factbook estimates
```

```
cia.factbook <- fread("input/temp/missing/imr.sqrt.csv")
cia.factbook[, cia.factbook.est := sqrt(cia.factbook.est)]
```

```
# subtract one from the carry_from value because there is a lag
# in updating the infant mortality rate
```

```
comp <- merge(cia.factbook, last.present("imr.sqrt"),
              by = "country_name")
comp[, imr.sqrt := ifelse(carry_from >= est.year,
                          carried_value, cia.factbook.est)]
kable(comp)
```

country_name	imr.sqrt	cia.factbook.est	est.year	carried_value	carry_from
Macedonia	2.736786	2.736786	2021	2.720294	2019
North Korea	4.734976	4.734976	2021	4.472136	2019

```
# replace missing
```

```
for(i in 1:nrow(comp)){
  country.fill <- comp[i, ]
  dat[country_name == country.fill$country_name & year == update_years,
      imr.sqrt := country.fill$imr.sqrt]
}
```

## Population size

We have been using CIA factbook values for these countries since 2019:

- Eritrea
- Macedonia
- North Korea

```
head(look.na.vars$popsize.ln.combined)
```

```
##   year Eritrea Macedonia North Korea Taiwan
## 1: 2020      NA        NA         NA      NA
## 2: 2019 15.62071 14.56974 17.05980      NA
## 3: 2018 15.60237 14.64728 17.04908      NA
## 4: 2017 15.59385 14.64728 17.04908      NA
## 5: 2016 15.59385 14.64644 17.04908      NA
## 6: 2015      NA 14.64562         NA      NA
```

```
# read in CIA Factbook estimates
```

```
cia.factbook <- fread("input/temp/missing/popsize.ln.combined.csv")

comp <- merge(cia.factbook, last.present("popsize.ln.combined"),
             by = "country_name")

comp[, popsize.ln.combined := ifelse(carry_from >= est.year,
                                     carried_value, log(cia.factbook.est))]
comp[, popsize.combined := ifelse(carry_from >= est.year,
                                  carried_value, cia.factbook.est)]

kable(comp)
```

country_name	popsize.ln.combined	cia.factbook.est	est.year	carried_value	carry_from	popsize.combined
Eritrea	15.63154	6147398	2021	15.62071	2019	6147398
Macedonia	14.57082	2128262	2021	14.56974	2019	2128262
North Korea	17.06710	25831360	2021	17.05980	2019	25831360

```
# replace missing
```

```
for(i in 1:nrow(comp)){
  country.fill <- comp[i, ]
  dat[country_name == country.fill$country_name & year == update_years,
      popsize.ln.combined := country.fill$popsize.ln.combined]
}

for(i in 1:nrow(comp)){
  country.fill <- comp[i, ]
  dat[country_name == country.fill$country_name & year == update_years,
      popsize.combined := country.fill$popsize.combined]
}
```

## GDP Per capita growth

In the 2019 update we carried forward 2016/2017 values to 2018 for the following countries:

```
## Cuba, Eritrea, Iran, Macedonia, North Korea, Somalia, South Sudan, Syria, Taiwan, Venezuela
```

In the 2020 update we over-wrote the most recent WDI values (from 2016/2017) with CIA factbook estimates if the estimate year was no earlier than 2016. This was true for the following countries:

## Bhutan, Cuba, Eritrea, Iran, Macedonia, Somalia, South Sudan, Taiwan, Turkmenistan, Venezuela, Yemen

In the 2020 update we carried forward old WDI values for the following countries:

## North Korea, Syria

In 2021, this variable is missing for the following countries:

## Cuba, Eritrea, Japan, Kuwait, Macedonia, Oman, North Korea, South Sudan, Syria, Taiwan, Turkmenistan

The following were missing in the previous year, so we continue to use CIA factbook estimates:

## Cuba, Eritrea, Macedonia, North Korea, South Sudan, Syria, Taiwan, Turkmenistan, Venezuela, Yemen

The following countries are newly missing, and have more recent estimates from the WDI than the CIA factbook, so we carry 2019 WDI values forward.

```
cat(paste(paste(setdiff(missing_21, missing_20), collapse = ", "), "\n"))

## Japan, Kuwait, Oman, United Arab Emirates
# read in CIA Factbook estimates

cia.factbook <- fread("input/temp/missing/gdppcgrowth.combined.csv")
comp <- merge(cia.factbook, last.present("gdppcgrowth.combined"),
             by = "country_name")
comp[, cia.factbook.est := cia.factbook.est/100]

comp[, gdppcgrowth.combined := ifelse(carry_from >= est.year,
                                     carried_value, cia.factbook.est)]
comp[, source := factor(carry_from >= est.year & as.character(round(carried_value, 4)) != as.character(
kable(comp[order(source)], digits = 3)
```

country_name	gdppcgrowth.combined	cia.factbook.est	est.year	carried_value	carry_from	source
Japan	0.654	0.007	2019	0.654	2019	carry_WDI
Kuwait	0.412	-0.033	2017	0.412	2019	carry_WDI
North Korea	-0.017	-0.011	2015	-0.017	2015	carry_WDI
Oman	0.500	-0.009	2017	0.500	2019	carry_WDI
Syria	-0.138	-0.365	2014	-0.138	2016	carry_WDI
Taiwan	0.029	0.027	2019	0.029	2019	carry_WDI
United Arab Emirates	1.678	0.008	2017	1.678	2019	carry_WDI
Venezuela	-0.140	-0.197	2018	-0.140	2019	carry_WDI
Cuba	0.016	0.016	2017	0.016	2019	CIA_Factbook
Eritrea	0.050	0.050	2017	0.050	2019	CIA_Factbook
Macedonia	0.000	0.000	2017	0.000	2019	CIA_Factbook
South Sudan	-0.052	-0.052	2017	-0.052	2019	CIA_Factbook
Turkmenistan	0.065	0.065	2017	0.065	2019	CIA_Factbook
Yemen	-0.059	-0.059	2017	-0.059	2019	CIA_Factbook

```
# replace missing

for(i in 1:nrow(comp)){
```

```

country.fill <- comp[i, ]
dat[country_name == country.fill$country_name & year == update_years,
    gdppcgrowth.combined := country.fill$gdppcgrowth.combined]
}

```

### tradeshare.ln.combined

**First fill in GDP per capita** Countries missing values in the 2020 update were filled in using the CIA factbook estimates.

All of the countries missing values in 2021 were also missing values in 2020, so we continue to use CIA factbook estimates.

```

cia.factbook <- fread("input/temp/missing/wdi.gdppc.new.csv")
comp <- merge(cia.factbook, last.present("wdi.gdppc.new"),
              by = "country_name", all.x = T)

comp[, wdi.gdppc.new := ifelse((est.year < (carry_from-1) & !is.na(carry_from)) |
                              is.na(cia.factbook.est), carried_value,
                              cia.factbook.est)]
comp[, source := factor(carry_from-1 > est.year & as.character(round(carried_value, 4)) != as.character(
kable(comp[order(source)], digits = 3)

```

country_name	wdi.gdppc.new	cia.factbook.est	est.year	carried_value	carry_from	source
Djibouti	5535	5535	2019	3600	2019	CIA_Factbook
Eritrea	1600	1600	2017	1600	2019	CIA_Factbook
Macedonia	16479	16479	2019	14900	2019	CIA_Factbook
North Korea	1700	1700	2015	1700	2019	CIA_Factbook
South Sudan	1600	1600	2017	1600	2019	CIA_Factbook
Syria	2900	2900	2015	2900	2019	CIA_Factbook
Taiwan	24502	24502	2018	50500	2019	CIA_Factbook
Venezuela	7704	7704	2018	12500	2019	CIA_Factbook
Somalia	NA	NA	2017	NA	NA	NA

```

# replace missing

for(i in 1:nrow(comp)){
  country.fill <- comp[i, ]
  dat[country_name == country.fill$country_name & year == update_years,
      wdi.gdppc.new := country.fill$wdi.gdppc.new]
}

```

**Calculate Tradeshare** In the 2020 update, we took CIA Factbook estimates if they were made no earlier than 2016 for the following countries:

## Eritrea, Fiji, Macedonia, North Korea, Papua New Guinea, Solomon Islands, Somalia, South Sudan, Syria

Many of these countries still have missing values during the 2021 update, so we continue to use CIA Factbook values for them. The following countries are newly missing tradeshare data in 2021:

## Afghanistan, Guyana, Laos, Malawi, Taiwan

*# read in CIA Factbook estimates*

```

cia.factbook <- fread("input/temp/missing/tradeshare.ln.combined.csv")

```

```

comp <- merge(cia.factbook, last.present("tradeshare.ln.combined"),
              by = "country_name")
other.vars <- dat[year == update_years,
                 c("wdi.gdppc.new", "popsize.combined", "country_name")]
comp <- merge(comp, other.vars, by= "country_name", all.x = T)

# The VDEM imports and exports are in millions of USDs, then x10^6.
# tradeshare = (imports + exports (in trillions))/(gdppcgrowth + popsize)
# The CIA factbook data is in billions, so needs to be multiplied by 1e+9
comp[, cia.factbook.est := log(1e+9*cia.factbook.est/(wdi.gdppc.new*popsize.combined))]

comp[, tradeshare.ln.combined :=
       ifelse(est.year <= carry_from, carried_value, cia.factbook.est)]
comp[, source := factor(carry_from >= est.year & as.character(round(carried_value, 4)) != as.character(
comp[country_name %in% intersect(missing_21, missing_20), source := "CIA_Factbook"]
kable(subset(comp[order(source)], select = c("country_name", "tradeshare.ln.combined",
       "cia.factbook.est", "est.year",
       "carried_value", "carry_from", "source"))))

```

country_name	tradeshare.ln.combined	cia.factbook.est	est.year	carried_value	carry_from	source
Afghanistan	3.8203720	-0.9345474	2017	3.8203720	2019	carry_WDI
Guyana	4.3704823	-0.8079079	2017	4.3704823	2019	carry_WDI
Laos	4.3187126	-0.4366811	2017	4.3187126	2019	carry_WDI
Malawi	4.1987723	0.8479591	2019	4.1987723	2019	carry_WDI
Eritrea	-1.7148466	-1.7256742	2017	-1.7148466	2019	CIA_Factbook
Macedonia	-1.0369120	-1.1387147	2017	-1.0369120	2019	CIA_Factbook
North Korea	-2.8419659	-2.8492663	2018	-2.8419659	2019	CIA_Factbook
Papua New Guinea	-0.7487609	-0.7027610	2017	-0.7487609	2019	CIA_Factbook
South Sudan	-1.2792054	-1.2910331	2016	-1.2792054	2019	CIA_Factbook
Syria	-1.8066033	-1.8315112	2017	-1.8066033	2019	CIA_Factbook
Trinidad and Tobago	-0.2733220	-0.1794735	2017	-0.2733220	2019	CIA_Factbook
Venezuela	-2.1135935	-0.7660594	2018	-2.1135935	2019	CIA_Factbook
Yemen	-2.7932213	-1.4420171	2017	-2.7932213	2019	CIA_Factbook

```

# replace missing

for(i in 1:nrow(comp)){
  country.fill <- comp[i, ]
  dat[country_name == country.fill$country_name & year == update_years,
      tradeshare.ln.combined := country.fill$tradeshare.ln.combined]
}

# carry_forward("tradeshare.ln.combined")

```



## Check that all is filled in

```
# repeat to make sure there are no more missing

look.na.vars <- lapply(check$var, function(x)
  look_na(x, dat = dat[country_name!="Taiwan"]))
names(look.na.vars) <- check$var
look.na.vars

## $popsize.ln.combined
## [1] "No missing values"
##
## $imr.sqrt
## [1] "No missing values"
##
## $gdppcgrowth.combined
## [1] "No missing values"
##
## $candidaterestriction
## [1] "No missing values"
##
## $tradeshare.ln.combined
## [1] "No missing values"

fwrite(dat, file = paste0("output/prepared2020predictors-", Sys.Date(), ".csv"))
```

## Checking the append

```
# function to check all.equal for each var

compare_var <- function(var){
  vars <- paste(var, c("x", "y"), sep = ".")

  check <- subset(compare, select = c("year", "sftgcode", vars[1], vars[2]))
  colnames(check) <- c("year", "sftgcode", "var.x", "var.y")

  all.equal(check$var.x, check$var.y)
}

# look into differences where all.equal == F for each var

check_diffs <- function(var){
  vars <- paste(var, c("x", "y"), sep = ".")

  check <- subset(compare, select = c("year", "sftgcode", vars[1], vars[2]))

  check[get(vars[1]) != get(vars[2]) |
    is.na(get(vars[1])) & !is.na(get(vars[2])) |
    is.na(get(vars[2])) & !is.na(get(vars[1]))]
}

# read in newly updated data and just focus on the last year in old
```

```

dat <- fread("output/prepared2020predictors-2021-08-17.csv")
new_dat <- dat[year <= (update_years-1)]

# read in original base data

dat <- fread("input/prepared2019predictors-2021-05-27.csv")
old_dat <- dat
old_dat[, c("pol.durable.ln", "pol.durable") := NULL]

# check row count

if(nrow(old_dat) != nrow(new_dat)){stop("Different observation count")}

# merge new and old data to compare

compare <- merge(new_dat, old_dat, by = c("sftgcode", "year"))

# make sure they match on all variables in the old data

check.vars <- colnames(old_dat)[!colnames(old_dat) %in% c("sftgcode", "year")]

comp <- data.table("all.equal" = sapply(check.vars, compare_var),
  "var" = check.vars)

# look into the differences where all.equal == F
# and where the issue isn't an NA value mismatch -- come back to this later

differences <- comp[all.equal!="TRUE"][!grep("is.NA", all.equal)]
diff.look <- lapply(differences$var, check_diffs)
names(diff.look) <- differences$var

cat(paste0("There are ", length(diff.look),
  " variables where the two datasets disagree on non-missing values.))

## There are 0 variables where the two datasets disagree on non-missing values.

if(length(diff.look) > 0){
  for(i in 1:length(diff.look)){
    print(diff.look[[i]])
  }
}

# now let's look at differences in missing values

na.diffs <- comp[all.equal!="TRUE"][grep("is.NA", all.equal)]
na.diff.look <- lapply(na.diffs$var, check_diffs)
names(na.diff.look) <- na.diffs$var

# for each variable, count the instances of differences in NAs by year
count.by.yr <- rbindlist(lapply(na.diff.look,
  function(x) x[, .N, by = "year"]))
count.by.yr[, var := na.diffs$var]

```

```
# We can see that the only differences are the filling of NAs  
# for the appropriate lead variables  
count.by.yr
```

```
##   year   N      var  
## 1: 2019 163    anymk.start.1  
## 2: 2018 163 anymk.start.2window  
## 3: 2017 163 anymk.start.3window  
## 4: 2018 163    anymk.start.2  
## 5: 2017 163    anymk.start.3
```