Face Sketch Synthesis by Style Transfer with Local Features

Anonymous ICCV submission

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Abstract

Face sketch synthesis is challenging as it is difficult to generate sharp and detailed textures. In this paper, we propose a new framework based on deep neural networks. Imitating the process of how artists draw sketches, our framework synthesizes face sketches in a cascaded manner in which a content image is first generated that outlines the shape of the face and key facial features, and textures and shadings are then added. We utilize a Fully Convolutional Neural Network (FCNN) to create the content image, and propose a local feature based style transfer to append textures. The local feature, what we call pyramid column feature, is a set of features at different convolutional layers corresponding to the same local sketch image patch. We demonstrate that our pyramid column feature can not only preserve more sketch details than common style transfer method but also surpass traditional patch based approach. Our model is trained on ?? training data set and evaluated on other datasets. Quantitative and qualitative evaluations suggest that our framework outperforms other state-of-thearts methods. In addition, despite of the small training data (??? face-sketch pairs), our model shows great generalization ability across different datasets and can generate reasonable results under practical situations.

1. Introduction

Face sketch synthesis has drawn a great attention from the community in recent years because of its wide range of applications. For instance, it can be exploited in law enforcement for identifying suspects from a mug shot database consisting of both photos and sketches. Besides, face sketch has also been widely used for entertainment purpose. For example, filmmakers could employ face sketch synthesis technique to ease the cartoon production process.

Unfortunately, there exists no easy solution to face sketch synthesis due to the big stylistic gap between photos and sketches. In the past two decades, a number of ex-

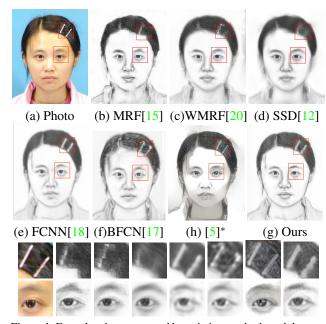


Figure 1. Face sketches generated by existing methods and the proposed method. Our method can not only preserve both hair and facial content, but also maintain sharp textures. (h)* is obtained from deep art website¹ by using the photo as content and a sketch from training set as style.

emplar based methods [15, 12, 19, 20] were proposed. In these methods, an input photo is divided into patches and candidate sketches for each photo patch are selected from a training set. The main drawback of such kind of methods is that if the test image can't find a similar patch in the training set, they may lose some contents in the final result. For example, the sketches in the first row of Fig.1 fail to keep the hairpins. Besides, some methods [12, 20] clear away the textures when they try to eliminate the inconsistency between neighboring patches. Another potential risk is that the result may not look like the original photo, e.g. left eye in Fig. 1 (b). Recently, approaches [17, 18] based on convolutional neural network (CNN) were developed to solve these problems. Since these models directly generates sketches from photo, they can maintain the structures and contents of the photos. However, the loss function of

¹https://deepart.io/

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them are usually mean square error (MSE) or variation of it, which is responsible for the blur effect, *e.g.* Fig. 1 (e) and (f). The reason is that MSE prefers values close to mean, and is not suitable for texture representations. The popular neural style transfer provides a better solution for texture synthesis. But there are two obstacles towards directly applying such kind of method. First, it is easily influenced by illumination of the photo, see the face of Fig. 1 (h). Second, it needs a style image to give the global statistics of textures. If the given style doesn't coincide with target sketch (which we don't have), some side effects will occur, *e.g.* the nose in Fig. 1 (h). Extensive experiment and discussion is given in Section ??.

For an artist, the procedure of sketching a face usually starts with outlining the shape of the key facial features like the nose, eyes and mouth. Textures and shadings are then added to regions such as hair lips, and bridge of the nose to give sketches a specific style. Inspired by this and neural style transfer [4], we propose a new framework for face sketch synthesis that can overcome the aforementioned limitations. In our method, the outline of a face is delineated by a feed-forward neural network, and textures and shadings are then added by a style transfer approach. Specifically, we design a new architecture of Fully Convolutional Neural Network (FCNN) which contains inception layers [13] and convolution layers with batch normalization [6] to outline the face (Section ??). For the texture part, we first divide the feature maps of the target sketch in each layer into a fixed size grid and combine features from different layers but at the same grid location into a pyramid feature column (Section ??). These pyramid feature columns can be generated by local sketch patches from the training set. A target style is then computed by assembling these pyramid columns. These sketch patches are found by matching the test **content** patch to the **content-sketch** pairs in training set(Section ??). Our approach is superior to the current state-of-the-art methods in that

- It is capable of generating more stylistic sketches without introducing over smoothing artifacts
- It can well preserve the content of the test photo.

2. Related Work

2.1. Face Sketch Synthesis

Based on the taxonomy of previous studies [12, 20], face sketch synthesis methods can be roughly categorized into profile sketch synthesis methods [1, 2, 16] and shading sketch synthesis methods [9, 12, 14, 15, 18, 19, 20]. Compared with profile sketches, shading sketches are more expressive and thus more preferable in practice. Based on the assumption that there exists a linear transformation between a face photo and a face sketch, the method in [14] computes

a global eigen-transformation for synthesizing face sketches from face photos. This assumption, however, does not always hold since the modality of face photos and that of face sketches are quite different. Fortunately, Liu et al. [9] found that the linear transformation holds better locally and therefore they proposed a patch based method to perform sketch synthesis. A MRF based method [15] was proposed to preserve large scale structures across sketch patches. Variants of the MRF based methods were introduced in [19, 20] to improve the robustness to lighting and pose, and to render the ability of generating new sketch patches. In addition to these MRF based methods, approaches based on guided image filtering [12] and feed-forward convolutional neural network [18] are also found to be effective in transferring photos into sketches. A very recent work similar to ours is done by Zhang et al. [17]. They proposed a two branch FCNN to learn content and texture respectively and then fusion them through a face probability map. Although their results are impressing, the sketch texture is not natural and the facial components are smoothed.

2.2. Style Transfer with CNN

Texture synthesis has long been a challenging task. Traditional method can only imitate repetitive patterns which has a strong limitation. Recently, Gatys et al. [4, 5], studied the use of CNN in style representation (including texture and color) where a target style is computed based on features extracted from an image using the VGG-Network and an output image is generated by minimizing the difference between its style and the target style. It can transfer any style to any images, and the results are impressive. Justin et al. [7] further accelerated this process by learning a feed forward CNN in the training stage. These methods represent textures by a multi-scale gram matrix of feature maps. Since gram matrix cares more about global statistics, if the style is very different from the photo, it usually breaks the local structures. Although it is not a big deal in artistic style, it can't be tolerated in face sketch synthesis. In [3], Chen and Schmidt propose a different patch based style transfer method which is better at capture local structures. However, it is still not suitable for this task. Our style transfer mechanism is inspired by but different from these works [4, 5, 7] in that our target style is extracted from many image patches rather than from a single style image. Note that there usually does not exist a single style image in the training set that matches all properties of the test image.

3. Motivation of Pyramid Feature Column

Following the practice of [5], we use the gram matrix of VGG-19[11] feature maps as our style representation. Denote the vectorized feature map of the final sketch \mathcal{X} in the lth layer by $F^l(\mathcal{X})$. A gram matrix is the inner product be-

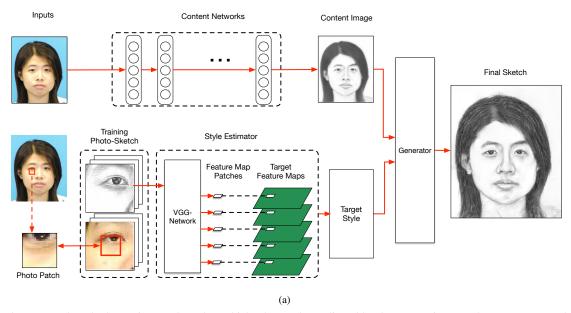


Figure 2. The proposed method contains two branches which take an photo aligned by the eyes as inputs. The content network outputs a content image and the style estimator generates a target style. The final sketch is generated by combing the target style with the content image. The figure may need to revise, in order to show the optimization process of target sketch.

tween the feature maps in lth layer

$$G_{ij}^{l}(\mathcal{X}) = \sum_{k=1}^{M_l} F_{ik}^{l}(\mathcal{X}) F_{jk}^{l}(\mathcal{X})$$
 (1)

where $G^l(\mathcal{X}) \in \mathcal{R}^{N_l \times N_l}$, M_l is the height times width of the feature map $F^l(\mathcal{X})$, and N_l is the number of feature maps in the lth layer. Since $G_{ij}^l(\mathcal{X})$ is an inner product of feature maps, a gram matrix is actually a summary statistics of feature maps discarding the spatial information. Although we still not clear what these values exactly mean, we can safely make an assumption that it at least captures the density distribution of a sketch. In other word, if the given sketch style has much less hair than the test image, the generated sketch \mathcal{X} will possibly be unnaturally bright than a natural sketch. Experiments in Section ?? also prove this. Thus it is important to keep the given style sketch roughly the same with test image statistically. On the other hand, there usually does not exist a candidate image in the training set that perfectly matches a given test photo in style. We hence propose a feature level patch based method to estimate the style of the final sketch. Each feature patch corresponds to a sketch patch. The reason why we can separate features into patches comes from [8]. The feature vectors at different position of feature map can be viewed as independent samples when we use gram matrix.

4. Methodology

Our method can be classified as a shading synthesis method. The steps of our method are summarized in Fig. 2.

First, a preprocessing step as described in [15] is carried out for all photos and sketches in a training set to align the centers of two eyes. A test photo \mathcal{I} is then fed into two branches, namely the content network and the style generator. The content network converts the test photo into a content image C, where the shape of the face are outlined with the key facial features preserved, such as noses, eyes, mouthes and hair. The style estimator takes a 16×16 local patch from test photo as input and searches photo-sketch pairs (Maybe we can find a content-sketch pairs instead, because real photo varies too much) in the training set to find a target sketch patch S_{ij} ((i,j) denotes the patch location). Each S_{ij} with its surrounding region can generate a pyramid feature column U_{ij} . Combining all U_{ij} , we can get the target style features of \mathcal{I} , i.e. \tilde{U} . Given \mathcal{C} and \tilde{U} , we can generate a sketch \mathcal{X} that combines the content information in \mathcal{C} with the style representation U following the iterative procedure in [5].

4.1. Content Image Generation

Our content network architecture is shown in Fig. 3. In addition to the test photo, we feed two extra channels containing spatial information (i.e., x and y coordinates) and a difference of Gaussian (DoG) image into the content network. As pointed out in [15], face sketch synthesis algorithms benefit from integrating features from multiple resolutions. We employ an inception module inspired by the GoogLeNet [13] to extract features. It concatenates feature maps generated from filters with different spatial reso-

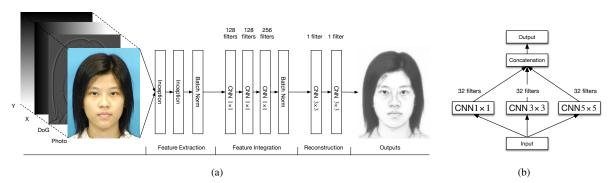


Figure 3. Illustration of the content network for generating a content image. The numbers above the building block denote the number of CNN filters. (a) The architecture of content network. (b) The inception module in (a) contains three groups of filters with different sizes.

lutions. Our inception unit contains 3 different size of filters $(1\times 1),\,(3\times 3)$ and (5×5) (see Fig. 3(b)). Then, the output features are fed to a three-layer-CNN for feature integration, where the size of all filters are fixed at $1\times 1.$ Finally, the integrated features are used to reconstruct the content map by a two-layer-CNN with the filter size being $3\times 3.$ A mirror padding is carried out before the convolution operation when necessary to ensure the output feature map is the same size as the input. The output content image $\mathcal C$ is a gray image with size $250\times 256.$

4.2. Pyramid Feature Column

Fig. 4 shows an example of the pyramid feature column. Denote the feature maps (of the lth layer) used to estimate the style of the final sketch by A^{l} . In our feature patch based method, we divide A^l into a fixed size of grid. Due to the different feature map size, the sizes of the feature patches at layer $conv1_1$, $conv2_1$, $conv3_1$, $conv4_1$ and $conv5_1$ are 16×16 , 8×8 , 4×4 , 2×2 and 1×1 . The photos and sketches are resized to 288×288 thus the size of grid is 18×18 . Grouping feature map patches having the same grid indexes (i, j) at different layers together, we get a pyramid feature column U_{ij} . To estimate U_{ij} , a sketch patch in the training set is fed to the VGG-Network and a pyramid column is composed of the resulting feature maps. This process consists of two steps: (1) find a matching sketch patch S_{ij} from the training set for U_{ij} and (2) feed S_{ij} to VGG-Network and extract U_{ij} from the resulting feature maps.

Find Matching Sketch Patch Unlike previous works [15, 20], we examine the similarity of content patches to find a matching sketch S_{ij} for U_{ij} . Compared with photo patches, the content patches are more easier to match. Denote the content patch set as P, given a test content patch \tilde{C}_{ij} , the target sketch patch \tilde{S}_{ij} is found by

$$\tilde{S}_{ij} = \phi(\min_{\mathcal{C}_{mn}^k \in P} f(\mathcal{C}_{mn}^k, \tilde{\mathcal{C}}_{ij}))$$
 (2)

$$m = i + \Delta x, n = j + \Delta y \tag{3}$$

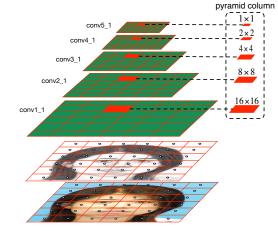


Figure 4. Illustration of pyramid feature column. Feature maps of the final sketch A^l are divided into a fixed 18×18 grid. A pyramid column U_{ij} consists of feature map patches at different layer having the same grid indexes (i, j).

where \mathcal{C}^k_{mn} is the content patch of the kth image at (m,n) in training set, ϕ is a one-to-one mapping between content patch and sketch patch, Δx and Δy is the shift of (m,n) around (i,j). The function f measures the discrepancy between \mathcal{C}^k_{mn} and $\tilde{\mathcal{C}}_{ij}$, and we use SSIM here.

Estimate Pyramid Feature Column After we get \tilde{S}_{ij} , we can compute the corresponding pyramid feature column U_{ij} . Although the feature column can be generated by a 16×16 patch, padding zeros is not a good idea. Therefore, we will make use of the surrounding area of \tilde{S}_{ij} to compute U_{ij} . According to the architecture of VGG-19, the receptive field of $conv5_{-}1$ is 132 without padding. To get 16×16 patches, we will need a 144×144 region \mathcal{R} containing 81 patches in total. \tilde{S}_{ij} should be at the center of \mathcal{R} , i.e. \tilde{S}_{55} with respect to the grids of \mathcal{R} . For those border part where we can't find a region centered at \tilde{S}_{ij} , we just find another region \mathcal{R}' which has the biggest intersection with

the should be region \mathcal{R} (the blue box in Fig. 5(b)). The $\tilde{S_{55}}$ in \mathcal{R} should be $\tilde{S_{pq}}$ in \mathcal{R}' ((p,q) is calculated according to different conditions). The feature column U_{55} in \mathcal{R} or U_{pq} in \mathcal{R}' is the estimated pyramid feature column.

Allocating all these feature columns to its original patch location (i,j), we can get the final feature maps \tilde{U} and use it to compute gram matrix.

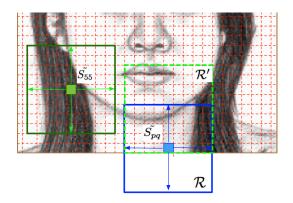


Figure 5. Find a region \mathcal{R} centered at \tilde{S}_{ij} . If \mathcal{R} is inside the image, \tilde{S}_{ij} should be the center patch of \mathcal{R} , see the left region. Otherwise, we need to find \mathcal{R}' inside the image which has the biggest intersection with \mathcal{R} , see the right part. Suppose the indexes of \tilde{S}_{ij} inside \mathcal{R}' is (p,q), then U_{pq} is the corresponding feature column.

4.3. Loss Function

The same as [5], our loss function has a style loss and content loss. In addition we add a component loss to enhance the key facial components. The total loss is

$$\mathcal{L}_t(\mathcal{X}) = \alpha \mathcal{L}_c + \beta_1 \mathcal{L}_s + \beta_2 \mathcal{L}_k \tag{4}$$

We minimize the loss function by updating the target sketch $\mathcal X$ without changing the VGG parameters.

The content loss is defined based on the difference between the feature map of the sketch and the content image at layer conv1_1:

$$\mathcal{L}_c(\mathcal{X}) = \|F^{\text{conv1.1}}(\mathcal{X}) - F^{\text{conv1.1}}(\mathcal{C})\|_2^2.$$
 (5)

And the style loss is the difference between the gram matrix of the final sketch and the target gram matrix

$$\mathcal{L}_s(\mathcal{X}) = \sum_{l \in L_s} \frac{1}{M_l^2 N_l^2} \|G^l(\mathcal{X}) - G^l(\tilde{U})\|_2^2$$
 (6)

where N_l denotes the number feature maps at layer l, and M_l is the feature map width times height.

To better transfer styles of the key facial components, we employ a component loss to encourage the key component style of the final sketch being the same as the target key component style. Since two eyes are placed at fixed positions, the key components lie roughly within a rectangular region taking the positions of two eyes as vertices. Key component style is given by gram matrices calculated within feature map regions \mathcal{K} corresponding to the key components. These regions are specified by pyramid feature columns U_{ij} whose C_{ij} are inside \mathcal{K} . We take out \mathcal{K} and calculate the corresponding gram matrix loss as the component loss

$$\mathcal{L}_{k}(\mathcal{X}) = \sum_{l \in L_{s}} \frac{1}{\hat{M}_{l}^{2} N_{l}^{2}} \|\hat{G}^{l}(\mathcal{K}) - \hat{G}^{l}(\tilde{U}_{k})\|_{2}^{2}$$
 (7)

where \hat{M}_l denotes height times width of \mathcal{K} .

4.4. Implementation Details

VGG-19 Parameters Since the VGG-Network is originally designed for color images, while sketches are gray scale images, we modify the first layer of VGG-Network for gray scale images by setting the filter weights to

$$W^k = W_r^k + W_q^k + W_b^k \tag{8}$$

where W_r^k , W_g^k , and W_b^k are weights of the kth filter in the first convolutional layer for the R, G and B channels respectively, and W^k is the weight of the kth filter in the first convolutional layer of our modified network.

Training Process The minimization is carried out using L-BFGS. Instead of using random noises, we use the content image as a starting point, which will make the optimization process converge much faster.

5. Experiments

In all experiments, we resize test photos and photosketch pairs in the training set to a fixed size of 288×288 . The final sketch is obtained by resizing the resulting sketch back to the original size. The size of Ψ is 48×48 , $\alpha = 0.004$, $\beta_1 = 1$ and $\beta_2 = 0.1$. Since generating the final sketch involves an iterative optimization process, the gradient of the final sketch with respect to the content image is not easy to calculate, which prohibits training the content network in an end-to-end manner. The training is therefore carried out by minimizing the squared loss between generated content images and sketches drawn by artists. We evaluate the performance of the proposed method against other state-of-the-art methods on the CUHK student dataset [15] and the AR dataset [10]. For AR dataset, we use the leave-one-out strategy as conducted in previous studies [12, 15]. We compare the results of our method against those of the MRF method [15], weighted MRF (WMRF) method [20], feed-forward CNN (FCNN) method [18] and Filtering based method (SSD) [12].

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Methods		AR		CUHK				
	R1	R5	R10	R1	R5	R10		
FCNN	-	-	-	81%	96%	97%		
MRF	97.5%	97.5%	100%	83%	96%	96%		
WMRF	97.5%	97.5%	100%	83%	97%	98%		
SSD	96.7%	97.5%	100%	87%	97%	98%		
Ours	98.4%	98.4%	100%	87%	98%	99%		

Table 1. Recognition rate on benchmark datasets. The best performance is colored in red.

5.1. Style Transfer Evaluation

5.2. Sketch Generation

5.3. Generalization Test

5.4. Quantitative Results

Sketch Recognition Sketch synthesis methods are usually evaluated quantitatively via the face sketch recognition task [12, 15, 18, 20]. If an algorithm achieves higher sketch recognition rates, it suggests that this method is more effective in synthesizing sketches. We adopt the widely used PCA based recognition method with "rank-1 (R1)", "rank-5 (R5)" and "rank-10 (R10)" criteria [15] where "rank n" measures the rate of the correct answer in the top n best matches. The results of different methods are shown in Table 1. Our method achieves the best performance against all other methods in the "R1" and "R5" tests. There may be two reasons behind this. First, since the test photos are different from those in the training set, we do not expect to find suitable patches from the training set for the target patches. The remedy of linearly combining the patches as in [20] will introduce over smoothing and blurring artifacts, which are also observed in the filtering like approach [12]. Being a generative model, the content network learns the modality transformation locally between photos and sketches, such as transferring edges in photos to strokes in sketches. This helps generating sketches for structures not existing in the training set. Second, our model explicitly minimizes the difference by the

Normalized Gram Matrix Difference (NGMD) Human can often be able to tell whether a sketch is generated by algorithms or drawn by artists just from a quick glance without examining details. This indicates that there exists a big gap between the style of algorithm-generated sketches and that of those drawn by artists. To quantitatively evaluate the style similarity between a generated sketch and the sketch drawn by a real artist, we employ the normalized gram matrix difference (NGMD) between the generated sketch \mathcal{X} and the drawn sketch $\tilde{\mathcal{X}}$:

$$D_{s}^{l}\left(\mathcal{X}, \tilde{\mathcal{X}}\right) = \frac{\left\|G^{l}\left(\mathcal{X}\right) - G^{l}\left(\tilde{\mathcal{X}}\right)\right\|_{2}^{2}}{\left\|G^{l}\left(\tilde{\mathcal{X}}\right)\right\|_{2}^{2}} \tag{9}$$

where $l \in L_s$. The smaller the NGMD value is, the more similar \mathcal{X} and $\tilde{\mathcal{X}}$ are. Thanks to our style transfer, our results have the smallest NGMD value among all methods under test (see Table 2). It is therefore obvious that our results are the closest to the sketches drawn by artists. Qualitative evaluation results are shown in Fig. 6 and Fig. ??, where we find that our method provides much clear boundaries and better texture in regions containing hairs and shadings. The results of [18] can roughly outline the sketches, but they do not look like sketches in style. This is also indicated by a high NGMD in Table 2. The results of MRF [15] and WMRF [20] are more similar to hand drawn sketches in style since these exemplar based approaches use patches of hand drawn sketches to make up the target sketch. That is why they have a smaller NGMD value. Inheriting the ability of denoising, the filtering based approach [12] is good at suppressing noises in the results. However, it is also likely for this method to over-smooth the results, which will deteriorate the texture and even introduce blurring artifacts.

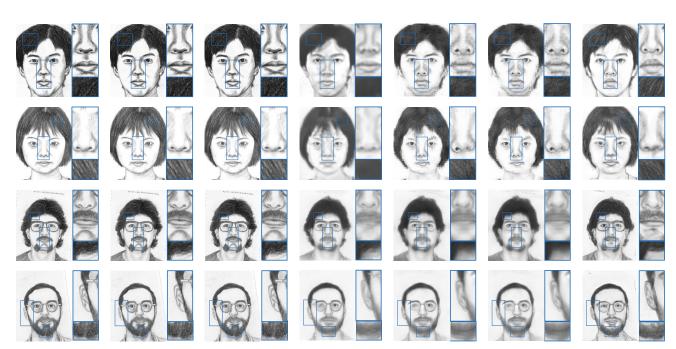
5.5. Effectiveness of the model

The loss function we minimize during the generation of sketches contains three terms for content, style and key components respectively. The term \mathcal{L}_k regularizes the results by encouraging the style extracted from the key component regions in the training set to be placed into the key components region of the results, which helps generate better results around these components (see Fig. ??). To better understand how style influences the final sketch, we smoothly change the emphasis on style by adjusting β_1 and β_2 while keeping α fixed. Fig. ?? indicates that the sketch with style transfered contains more texture and is more like a drawn sketch. The Theano implementation of the proposed method takes approximately 100 seconds to generate a sketch on a GeForce GTX TITAN X platform. The bottle neck lies in the style transfer which requires feeding \mathcal{X} to the VGG-Network to estimate targeting feature maps and to calculate the gradient of Eq. (4), which is computationally intensive.

6. Conclusion

This paper proposed a novel face sketch synthesis method inspired by the procedure of artists drawing sketches. In our method, the outline of the face is delineated by a content network and the style extracted from sketches drawn by artists are transferred to generate a final sketch. Quantitative evaluations on face sketch recognition and style similarity measure demonstrate the effectiveness of the proposed algorithm for face sketch synthesis and style transferring. Our future work will investigate accelerating technique to reduce the running time and achieve real time face sketch synthesis with style transfer.





(a) Test Photo (a) MRF (a) WMRF (b) SSD (c) FCNN (c) BFCN (d) Ours Figure 6. Examples of qualitative evaluation on CUHK benchmark datasets. (a) The sketches drawn by artists. (b) Results from feed-forward CNN based method [18]. (c) Results from MRF based method [15]. (d) Our results.

Methods -	AR					 CUHK				
	conv1_1	conv2_1	conv3_1	conv4_1	conv5_1	conv1_1	conv2_1	conv3_1	conv4_1	conv5_1
FCNN	-	-	-	-	-	0.009	0.110	0.080	9.43	1.49
MRF	0.0043	0.009	0.033	0.12	0.28	0.010	0.014	0.047	0.13	0.18
WMRF	0.0053	0.027	0.085	0.19	0.29	0.010	0.052	0.052	0.27	0.19
SSD	0.0056	0.036	0.110	1.90	0.28	0.009	0.102	0.070	3.32	0.24
Ours	0.0035	0.008	0.029	0.08	0.17	0.007	0.012	0.033	0.07	0.12

Table 2. Averaged NGMD value of different methods at different level on AR and CUHK datasets.

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