# **PAPA\*: Path-Aware Parallel A\***

# **AAAI 2015 Submission X**

#### Abstract

PAPA\* is an anytime parallel heuristic search algorithm based on ARA\* and PA\*SE, which are in turn based on A\*.

# **Fancy Stuff**

#### **Algorithm 1** bound(s)

```
\begin{array}{l} g_{front} \coloneqq \infty \\ s' \coloneqq \text{first node in } OPEN \cup BE \\ g_{back} \coloneqq g(s) + f(s') - f(s) + (2\epsilon - w - 1)c_l \\ \textbf{while } g_{back} < g(s) \leq g_{front} \textbf{ do} \\ g_{front} \coloneqq \min(g_{front}, \ g_p(s') + \epsilon h(s', s)) \\ s' \coloneqq \text{node following } s' \text{ in } OPEN \cup BE \\ g_{back} \coloneqq g(s) + f(s') - f(s) + (2\epsilon - w - 1)c_l \\ \textbf{end while} \\ \textbf{return } \min(g_{front}, \ g_{back}) \end{array}
```

Keys are always computed by  $f(s) = g(s) + wh(s, s_{goal})$ , and we assume all edge costs are bounded below by  $c_l$ . h must be consistent:  $h(s,s') \leq c(s,s')$  and  $h(s,s') \leq h(s,s'') + h(s'',s')$  for all s,s',s''. For most applications, we recommend using  $w = \epsilon$ . However, our analysis will show that using small w yields strong parallelism guarantees. All operations on the data structures OPEN, BE, CLOSED, FROZEN are assumed to be atomic, i.e. they are implicitly preceded and succeeded by synchronous locks and unlocks to the data structure, respectively.  $\epsilon$  decreases between iterations of the main() loop.

**Lemma 1.** At all times, for all states  $s, s' \neq s_{start}$ :  $g(s) + f(s') - f(s) + (2\epsilon - w - 1)c_l \leq g_p(s') + \epsilon h(s', s)$ . *Proof.* 

$$g(s) + f(s') - f(s) + (2\epsilon - w - 1)c_{l}$$

$$= g(s') + w(h(s', s_{goal}) - h(s, s_{goal})) + (2\epsilon - w - 1)c_{l}$$

$$\leq g(s') + wh(s', s) + (2\epsilon - w - 1)c_{l}$$

$$\leq g(s') + \epsilon h(s', s) + (w - \epsilon)c_{l} + (2\epsilon - w - 1)c_{l}$$

$$= g(s') + (\epsilon - 1)c_{l} + \epsilon h(s', s)$$

$$\leq g_{n}(s') + \epsilon h(s', s)$$

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# Algorithm 2 PAPA\*

```
while g(s_{qoal}) > bound(s_{qoal}) do
  remove an s from OPEN that has the smallest f(s)
  among all states in OPEN with g(s) \leq bound(s) and
  let g_{bound} := bound(s)
  if such an s does not exist then
     wait until OPEN or BE change
     continue
  end if
  insert s into BE
  insert s into CLOSED
  for all s' \in successors(s) do
     LOCK s'
     if s' has not been generated yet then
        g(s') := g_p(s') := \infty
     else if \epsilon decreased since g_p(s') was last seen then
        g_p(s') := \max(g_p(s') - (\epsilon_{old} - \epsilon)c(bp(s'), s'),
                       g(s') + (\epsilon - 1)c(bp_p(s'), s')
     g_p(s') = \min(g_p(s), g_{bound} + \epsilon c(s, s'))
     if g(s') > g(s) + c(s, s') then
       g(s') = g(s) + c(s, s')
        bp(s') = s
        if s' \in CLOSED then
          insert s' in FROZEN
        else
          insert/update s' in OPEN with key f(s')
        end if
     end if
     UNLOCK s'
  end for
  remove s from BE
end while
```

### Algorithm 3 main()

```
\begin{split} g(s_{start}) &:= 0 \\ g(s_{goal}) &:= g_p(s_{goal}) := \infty \\ OPEN &:= BE := \emptyset \\ FROZEN &:= \{s_{start}\} \\ \textbf{repeat} \\ & \text{choose } \epsilon \in [1, \infty] \text{ and } w \in [0, \epsilon] \\ OPEN &:= OPEN \cup FROZEN \text{ with keys } f(s) \\ CLOSED &:= FROZEN := \emptyset \\ \textbf{for all } s \in OPEN \textbf{ do} \\ g_p(s) &:= \epsilon g(s) \\ \textbf{end for} \\ & \text{run PAPA* on multiple threads in parallel} \\ \textbf{until path is good enough or planning time runs out} \end{split}
```

**Lemma 2.**  $bound(s) \leq \min_{s' \in OPEN \cup BE} g_p(s') + \epsilon h(s',s)$ . Furthermore,  $g(s) \leq bound(s)$  iff  $g(s) \leq \min_{s' \in OPEN \cup BE} g_p(s') + \epsilon h(s',s)$ .

*Proof.* By construction, bound(s) is bounded above by  $g_p(s') + \epsilon h(s',s)$  for states s' which are checked in the loop. As for the remaining states  $s' \in OPEN \cup BE$ , the algorithm ensures that  $bound(s) \leq g(s) + f(s') - f(s) + (2\epsilon - w - 1)c_l$  for these by using a minimum representative. By Lemma 1, it follows that

$$bound(s) \le \min_{s' \in OPEN \cup RE} g_p(s') + \epsilon h(s', s).$$

For the second part, note that the loop in bound(s) terminates under only two conditions. Either  $g(s) > g_{front}$ , in which case we have  $g(s) > g_p(s') + \epsilon h(s',s) \geq bound(s)$  for the s' which began the final iteration; or  $g(s) \leq g_{back}$ , in which case  $g(s) \leq bound(s)$  iff  $g(s) \leq g_{front}$  iff  $g(s) \leq g_p(s') + \epsilon h(s',s)$  for all  $s' \in OPEN \cup BE$ .  $\square$ 

**Lemma 3.** Fix a state s with  $g^*(s) < \infty$  and let  $\pi = \langle s_0, s_1, \ldots, s_N \rangle$  be a minimum-cost path with  $s_0 = s_{start}$  and  $s_N = s$ . Fix the minimum i such that  $s_i \in OPEN \cup BE \cup FROZEN$ , or i = N if there is no such  $s_i$ . Then  $g(s_j) = g^*(s_j)$  for all  $j \leq i$ . Furthermore, if  $\pi$  was chosen in such a way that  $bp(s_j) = s_{j-1}$  whenever  $g(s_j) = g^*(s_j)$ , then  $g(s_j) > g^*(s_j)$  for all j > i.

*Proof.* We proceed by induction on time: noting the lemma holds at initialization, we show that it can never become false. Suppose for contradiction that it becomes false at some point. Since g(s') never changes after achieving  $g^*(s')$ , it must be the case that the first state s' along some optimal path to lie in  $OPEN \cup BE \cup FROZEN$  has stopped being in this set. This can only happen by expanding s' before its successor along the path. But then, the successor is added to OPEN and its g-value is made optimal by the expansion of s'. (TODO: what if g was already optimal so it's not added to OPEN?) Therefore, the invariant is maintained.

**Theorem 1.** For all states s,  $bound(s) \le \epsilon g^*(s)$ . Hence, for all  $s \in CLOSED$ ,  $g(s) \le \epsilon g^*(s)$ .

*Proof.* We proceed by induction on the order in which states are expanded.

Fix a minimum-cost path  $\pi$  from  $s_{start}$  to s such that  $bp(s_j) = s_{j-1}$  whenever  $g(s_j) = g^*(s_j)$ . Let s' be the first node on it which is in  $OPEN \cup BE$ . There are two cases to consider, depending on whether  $\pi$  has a CLOSED state before s'.

If so, then every state between it and s' is also in CLOSED, since s' is the first state on  $\pi$  to be in  $OPEN \cup BE$ . In particular, let  $s_p \in CLOSED$  be the predecessor of s' on  $\pi$ . By the induction hypothesis,  $g(s_p) \leq \epsilon g^*(s_p)$ . Therefore

$$g_p(s') \le g(s_p) + \epsilon c(s_p, s') \le \epsilon(g^*(s_p) + c(s_p, s')) = \epsilon g^*(s').$$

In the other case,  $\pi$  has no CLOSED state before s'. Since  $FROZEN \subset CLOSED$ , it follows that s' is the first state on  $\pi$  to lie in  $OPEN \cup BE \cup FROZEN$ . By Lemma 3,  $g(s') = g^*(s')$ . Furthermore,  $g_p(s') \leq \epsilon g(s')$ . Therefore.

$$g_p(s') \le \epsilon g(s') = \epsilon g^*(s').$$

In either case,

$$g_p(s') + \epsilon h(s', s) \le \epsilon (g^*(s') + c^*(s', s)) = \epsilon g^*(s).$$

Therefore, by Lemma 2,

$$bound(s) \le \min_{s' \in OPEN \cup BE} g_p(s') + \epsilon h(s', s) \le \epsilon g^*(s).$$

**Corollary 1.** At the end of a main() loop iteration, the path obtained by following the back-pointers  $bp(\cdot)$  from  $s_{goal}$  to  $s_{start}$  is  $\epsilon$ -suboptimal.

*Proof.* The termination condition of PAPA\* implies  $g(s_{goal}) \leq bound(s_{goal})$ . By construction, the path given by following back-pointers costs at most  $g(s_{goal})$ . The claim now follows from Theorem 1.

# **Performance Guarantees**

Consider a simplified version of PAPA\* which ignores the loop in bound(s): we call it blind PAPA\*. In this case, no  $g_p$  values need be computed nor stored, and bound(s) is simply  $g(s)+f_{min}-f(s)+(2\epsilon-w-1)c_l$  where  $f_{min}$  is the minimum f-value in  $OPEN\cup BE$ . Blind PAPA\* can only expand states which would be proved safe with zero iterations of the bound(s) loop in ordinary PAPA\*. Thus, all of the performance guarantees we prove for blind PAPA\* also hold for PAPA\*.

**Theorem 2.** If  $w \le 1$ , the parallel depth of blind PAPA\* is bounded above by

$$\min\left(\frac{\epsilon g^*(s_{goal})}{(1-w)c_l}, \frac{(\epsilon g^*(s_{goal}))^2}{(4\epsilon-2w-2)c_l^2}\right).$$

*Proof.* We prove the two bounds separately. For the first, note that if the lowest f-value is  $f_{min}$ , every state with f-value up to  $f_{min} + (2\epsilon - w - 1)c_l$  can simultaneously be

expanded. Since h is consistent, the successors' f-values is at least  $f_{min} + (1 - w)c_l$ . Therefore, the depth is at most

$$\frac{\epsilon g^*(s_{goal})}{(1-w)c_l}$$

For the other bound, notice that since f-values never decrease along paths, once the minimum f-value in OPEN surpasses  $f_{min}$ , from then on all nodes with f-value up to  $f_{min}+(2\epsilon-w-1)c_l$  are always safe to expand. And during each iteration of the simultaneous expansions, the g-value of all such nodes increases by at least  $c_l$ . Since g cannot exceed f, this continues for at most  $(f_{min}+(2\epsilon-w-1)c_l)/c_l=f_{min}/c_l+2\epsilon-w-1$  iterations, after which every node in OPEN has f-value  $\geq f_{min}+(2\epsilon-w-1)c_l$ . Continuing this process until  $f_{min}$  exceeds  $\epsilon g^*(s_{goal})$ , a bound on the total iteration count is:

$$\begin{array}{l} 2\epsilon - w - 1 + 2(2\epsilon - w - 1) + 3(2\epsilon - w - 1) + \ldots + \\ \epsilon g^*(s_{goal})/c_l & \cong (\epsilon g^*(s_{goal})/c_l)^2/(4\epsilon - 2w - 2). \end{array} \quad \Box$$

# **Edgewise Supobtimality**

Let k(s) be the least number of edges used in a minimum-cost path to s and fix  $\delta > 0$ . If  $g_{front}$  and  $g_{back}$  are each increased by  $2\delta$ , then by similar arguments to the proofs earlier in the paper, we find that, upon expanding s,  $g(s) \leq \epsilon g^*(s) + \delta k(s)$ .

Here's an extension inspired by (Klein and Subramanian 1997): suppose the mean edge cost  $c_m$  along the optimal path is known to be much greater than the lower bound  $c_l$ . In such a case, the bound in Theorem 2 scales poorly. To remedy the situation, we "grow" the small edges, effectively running PAPA\* with  $c_l' = c_l + \delta$  and  $c'(s, s') = \max(c(s, s'), c_l')$ .

**Theorem 3.** If the mean cost of the edges along the minimum-cost path to s is at least  $c_m$ , then upon expansion,  $g(s) \leq \epsilon(1+\delta/c_m)g^*(s)$ . Therefore, to get the same optimality factor as  $\epsilon$ , we can set  $\delta = (\epsilon-1)c_m$ .

*Proof.* We assumed  $c_m \leq g^*(s)/k(s)$ , so  $k(s) \leq g^*(s)/c_m$ . It follows from Lemma 1 that  $g'(s) \leq \epsilon g'^*(s) \leq \epsilon (g^*(s) + \delta k(s)) \leq \epsilon (1 + \delta/c_m)g^*(s)$ .

**Corollary 2.** If  $w \le 1$ , the parallel depth of blind PAPA\* can be improved to

$$\frac{\epsilon g^*(s_{goal})}{(1-w)(c_l+(\epsilon-1)c_m)}.$$

#### References

Klein, P. N., and Subramanian, S. 1997. A randomized parallel algorithm for single-source shortest paths. *Journal of Algorithms* 25(2):205–220.