# **PAPA\*: Path-Aware Parallel A\***

## **AAAI 2015 Submission X**

#### **Abstract**

PAPA\* is an anytime parallel heuristic search algorithm based on ARA\* and PA\*SE, which are in turn based on A\*.

## **Fancy Stuff**

### **Algorithm 1** bound(s)

```
\begin{array}{l} g_{front} \coloneqq \infty \\ s' \coloneqq \text{first node in } OPEN \cup BE \\ g_{back} \coloneqq g(s) + f(s') - f(s) + (2\epsilon - w - 1)c_l \\ \textbf{while } g_{back} < g(s) \leq g_{front} \textbf{do} \\ g_{front} \coloneqq \min(g_{front}, \ g_p(s') + \epsilon h(s', s)) \\ s' \coloneqq \text{node following } s' \text{ in } OPEN \cup BE \\ g_{back} \coloneqq g(s) + f(s') - f(s) + (2\epsilon - w - 1)c_l \\ \textbf{end while} \\ \textbf{return } \min(g_{front}, \ g_{back}) \end{array}
```

Keys are always computed by  $f(s) = g(s) + wh(s, s_{goal})$ , and we assume all edge costs are bounded below by  $c_l$ . h must be consistent:  $h(s,s') \leq c(s,s')$  and  $h(s,s') \leq h(s,s'') + h(s'',s')$  for all s,s',s''. For most applications, we recommend using  $w = \epsilon$ . However, our analysis will show that using small w yields strong parallelism guarantees. All operations on the data structures OPEN, BE, CLOSED, FROZEN are assumed to be atomic, i.e. they are implicitly preceded and succeeded by synchronous locks and unlocks to the data structure, respectively.

**Lemma 1.** At all times, for all states s, s':

$$g(s) + f(s') - f(s) + (2\epsilon - w - 1)c_l \le g_p(s') + \epsilon h(s', s).$$
  
Proof.

$$g(s) + f(s') - f(s) + (2\epsilon - w - 1)c_{l}$$

$$= g(s') + w(h(s', s_{goal}) - h(s, s_{goal})) + (2\epsilon - w - 1)c_{l}$$

$$\leq g(s') + wh(s', s) + (2\epsilon - w - 1)c_{l}$$

$$\leq g(s') + \epsilon h(s', s) + (w - \epsilon)c_{l} + (2\epsilon - w - 1)c_{l}$$

$$= g(s') + \epsilon h(s', s) + (\epsilon - 1)c_{l}$$

$$\leq g_{p}(s') + \epsilon h(s', s)$$

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### Algorithm 2 PAPA\*

```
LOCK
while g(s_{goal}) > bound(s_{goal}) do
  remove an s from OPEN that has the smallest f(s)
  among all states in OPEN with g(s) \leq bound(s) and
  let g_{bound} := bound(s)
  if such an s does not exist then
     wait until OPEN or BE change
     continue
  end if
  insert s into BE
  insert s into CLOSED
  S := getSuccessors(s)
  for all s' \in S do
     LOCK s'
     if s' has not been generated yet then
       g_p(s') := g(s') := \infty
     g_p(s') = \min(g_p(s), g_{bound} + \epsilon c(s, s'))
     if g(s') > g(s) + c(s, s') then
       g(s') = g(s) + c(s, s')
       bp(s') = s
       if s' \in CLOSED then
         insert s' in FROZEN
       else
          insert/update s' in OPEN with key f(s')
       end if
     end if
     UNLOCK s'
  end for
  remove s from BE
end while
```

### Algorithm 3 main()

```
\begin{split} g_p(s_{start}) &:= g(s_{start}) := 0 \\ g_p(s_{goal}) &:= g(s_{goal}) := \infty \\ OPEN &:= BE := CLOSED := \emptyset \\ FROZEN &:= \{s_{start}\} \\ \textbf{repeat} \\ & \text{choose } \epsilon \in [1,\infty] \text{ and } w \in [0,\epsilon] \\ OPEN &:= OPEN \cup FROZEN \text{ with keys } f(s) \\ CLOSED &:= FROZEN := \emptyset \\ & \text{run PAPA* on multiple threads in parallel} \\ \textbf{until path is good enough or planning time runs out} \end{split}
```

**Lemma 2.**  $bound(s) \leq \min_{s' \in OPEN \cup BE} g_p(s') + \epsilon h(s', s)$ . Furthermore,  $g(s) \leq bound(s)$  iff  $g(s) \leq \min_{s' \in OPEN \cup BE} g_p(s') + \epsilon h(s', s)$ .

*Proof.* By construction, bound(s) is bounded above by  $g_p(s') + \epsilon h(s',s)$  for states s' which are checked in the loop. As for the remaining states  $s' \in OPEN \cup BE$ , the algorithm ensures that  $bound(s) \leq g(s) + f(s') - f(s) + (2\epsilon - w - 1)c_l$  for these by using a minimum representative. By Lemma 1, it follows that

$$bound(s) \le \min_{s' \in OPEN \cup BE} g_p(s') + \epsilon h(s', s).$$

For the second part, note that the loop in bound(s) terminates under only two conditions. Either  $g(s) > g_{front}$ , in which case we have  $g(s) > g_p(s') + \epsilon h(s',s) \geq bound(s)$  for the s' which began the final iteration; or  $g(s) \leq g_{back}$ , in which case  $g(s) \leq bound(s)$  iff  $g(s) \leq g_{front}$  iff  $g(s) \leq g_p(s') + \epsilon h(s',s)$  for all  $s' \in OPEN \cup BE$ .  $\square$ 

**Theorem 1.** For all states s, bound $(s) \le \epsilon g^*(s)$ . Hence, upon expanding s,  $g(s) \le \epsilon g^*(s)$ .

*Proof.* We proceed by induction on the order in which states are expanded. The invariant is that the g-value at every CLOSED node is  $\epsilon$ -suboptimal and, for every optimal path from  $s_{start}$  to some  $s \in OPEN$ , every node up to and including the first one in  $OPEN \cup BE \cup FROZEN$  is exactly optimal (and hence  $\epsilon$ -optimal even as  $\epsilon$  changes).

Fix any optimal path to s, and let s' be the first node on it which is in  $OPEN \cup BE$ . Let  $s_p$  be the predecessor of s' on this path. By the induction hypothesis,  $g(s_p) \leq \epsilon g^*(s_p)$ . Therefore,

$$\epsilon g^*(s) = \epsilon (g^*(s_p) + c(s_p, s') + c^*(s', s))$$

$$\geq g(s_p) + \epsilon c(s_p, s') + \epsilon h(s', s)$$

$$\geq g_p(s') + \epsilon h(s', s)$$

Therefore by Lemma 2,

$$bound(s) \leq \min_{s' \in OPEN \cup BE} g_p(s') + \epsilon h(s', s) \leq \epsilon g^*(s).$$

TODO: clear up initial case where  $s = s' = s_{start}$ , and fix hole in proof where s' is  $\epsilon$ -optimal only for a previous  $\epsilon$ .

**Theorem 2.** If  $w \le 1$ , the parallel depth of checkless PAPA\* is bounded above by

$$\min\left(\frac{\epsilon g^*(s_{goal})}{(1-w)c_l}, \frac{(\epsilon g^*(s_{goal}))^2}{(4\epsilon-2w-2)c_l^2}\right).$$

*Proof.* We prove the two bounds separately. For the first, note that if the lowest f-value is  $f_{min}$ , every state with f-value up to  $f_{min} + (2\epsilon - w - 1)c_l$  can simultaneously be expanded. Since h is consistent, the successors' f-values is at least  $f_{min} + (1 - w)c_l$ . Therefore, the depth is at most

$$\frac{\epsilon g^*(s_{goal})}{(1-w)c_l}$$

For the other bound, notice that since f-values never decrease along paths, once the minimum f-value in OPEN surpasses  $f_{min}$ , from then on all nodes with f-value up to  $f_{min} + (2\epsilon - w - 1)c_l$  are always safe to expand. And during each iteration of the simultaneous expansions, the g-value of all such nodes increases by at least  $c_l$ . Since g cannot exceed f, this continues for at most  $(f_{min} + (2\epsilon - w - 1)c_l)/c_l = f_{min}/c_l + 2\epsilon - w - 1$  iterations, after which every node in OPEN has f-value  $>= f_{min} + (2\epsilon - w - 1)c_l$ . Continuing this process until  $f_{min}$  exceeds  $\epsilon g^*(s_{goal})$ , a bound on the total iterations is:

 $2\epsilon - w - 1 + 2(2\epsilon - w - 1) + 3(2\epsilon - w - 1) + \dots + \epsilon g^*(s_{goal})/c_l \approx \epsilon g^*(s_{goal})/c_l)^2/(4\epsilon - 2)$ . iterations of parallel expansion is enough to find the optimal path.  $\square$ 

### Mods

Let k(s) be the least number of edges used in a minimum-cost path to s and fix  $\delta>0$ . If  $g_{front}$  and  $g_{back}$  are each increased by  $2\delta$ , then by similar arguments to the proofs earlier in the paper, we find that, upon expanding  $s,\ g(s) \leq \epsilon g^*(s) + \delta k(s)$ .

Here's an extension inspired by (Klein and Subramanian 1997): suppose the mean edge cost  $c_m$  along the optimal path is known to be much greater than the lower bound  $c_l$ . In such a case, the bound in Theorem 2 scales poorly. To remedy the situation, we "grow" the small edges, effectively running PAPA\* with  $c_l' = c_l + \delta$  and  $c'(s, s') = \max(c(s, s'), c_l')$ .

**Theorem 3.** If the mean cost of the edges along the minimum-cost path to s is at least  $c_m$ , then upon expansion,  $g(s) \leq \epsilon(1 + \delta/c_m)g^*(s)$ . Therefore, to get the same optimality factor as  $\epsilon$ , we can set  $\delta = (\epsilon - 1)c_m$ .

*Proof.* We assumed  $c_m \leq g^*(s)/k(s)$ , so  $k(s) \leq g^*(s)/c_m$ . It follows from Lemma 1 that  $g'(s) \leq \epsilon g'^*(s) \leq \epsilon (g^*(s) + \delta k(s)) \leq \epsilon (1 + \delta/c_m)g^*(s)$ .

**Corollary 1.** If  $w \leq 1$ , the parallel depth of checkless PAPA\* can be improved to

$$\frac{\epsilon g^*(s_{goal})}{(1-w)(c_l+(\epsilon-1)c_m)}.$$

# References

Klein, P. N., and Subramanian, S. 1997. A randomized parallel algorithm for single-source shortest paths. *Journal of Algorithms* 25(2):205–220.