PH1976 Project: Machine Learning Model for PPD Predictions

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Introduction

The following analysis in this PH1976 project is to demonstrate the tools examined in this course for data categorization, regression, and prediction. The project's aim is to predict Parkinson's disease (PD) using the extracted features from the voice recording of patients. For each individual, three recording samples were collected. The data and corresponding analysis is provided by @Sakar in their seminal research on implementing tunable Q-factor wavelet transforms in conjunction with existing data prediction methods. The methods found in this study serve as a roadmap for this project and inform the methods chosen for categorization and prediction.

Definition

Parkinson's disease (PD) is a progressive neuro-degenerative disorder. To accurately detect the disease in the early stage, many tele-diagnosis and tele-monitoring systems have recently been proposed. Since vocal problem is one of the most important symptoms which can be seen in the earlier stage of PD patients, vocal disorders- based systems become popular in PD diagnosis and monitoring. In these systems, various speech signal processing algorithms have been used to extract clinically useful information for PD assessment, and the calculated features are fed to different learning algorithms to make reliable decisions. PD tele-medicine studies showed that the choice of extracted features and learning algorithms directly influences the accuracy and reliability of distinguishing PD patients.

Data

In this study, Sakar et. al collected the voice recordings of 252 subjects including PD patients and healthy individuals. They gathered three recording samples from each subject and extracted seven feature subsets

from the recording samples. The feature subsets were baseline features, intensity-based features, bandwidth and formant features, vocal fold features, Mel Frequency Cepstral Coefficients (MFCC), wavelet transform based features (WT) and tunable Q-factor wavelet transform based features (TQWT).

Study Population

The dataset includes PD patients with age ranging from 33 to 87 (65.1 $\pm$ 10.9) and healthy individuals with age ranging from 41 to 82 (61.1 $\pm$ 8.9). Each patient has three voice recording samples, with 7 aforementioned feature subsets. Each feature subset contains several features.

Methods

Data Partitioning

Instead of using the LOOCV method outlined in the paper, we have decided to break the training dataset into a 90/10 split, where 90% of the data will be used to train, and 10% of the data will be used to check accuracy of the model.

Additionally, the ensemble training set (both **train_df._train** and **train_df._test**) are broken into the following sub feature categories:

- Baseline Features
- Time Frequency Features
  - Intensity based
  - Formant and Bandwidth based
- Vocal Fold Features
- Mel Frequency Cepstral Coefficients (MFCC)
- Wavelet Transform-based Features (WT)
- Tunable Q-Factor Wavelet Transform-based Features (TQWT)

Overall, these seven sub features were used to inform the machine learning model and perform predictions on the test set. The test set is left as full ensemble, and will use the predictions determined from training validation. The best model for each subset will be selected based on these results. Ultimately, an ensemble model consisting of the best predictor for each subset is selected, and weighting is applied based on the accuracy of that model.

```
#Break the training data into feature subsets
train_df.baseline = data.frame(training_data[c(1:24)])
train_df.intensity = data.frame(training_data[c(1:3,25:27)])
train_df.formant = data.frame(training_data[c(1:3,28:35)])
train_df.vff = data.frame(training_data[c(1:3,36:57)])
train_df.mfcc = data.frame(training_data[c(1:3,58:141)])
train_df.wt = data.frame(training_data[c(1:3,142:323)])
train_df.tqwt = data.frame(training_data[c(1:3,324:755)])

#Partition training data
train_indices <- createDataPartition(train_df.baseline$class, p = 0.9, list = FALSE)

# Split train_df data
train_df.baseline_train <- train_df.baseline[train_indices, ]
train_df.baseline_test <- train_df.baseline[-train_indices, ]

train_df.intensity_train <- train_df.intensity[train_indices, ]
train_df.intensity_test <- train_df.intensity[-train_indices, ]

train_df.formant_train <- train_df.formant[train_indices, ]
train_df.formant_test <- train_df.formant[-train_indices, ]

train_df.vff_train <- train_df.vff[train_indices, ]
train_df.vff_test <- train_df.vff[-train_indices, ]

train_df.mfcc_train <- train_df.mfcc[train_indices, ]
train_df.mfcc_test <- train_df.mfcc[-train_indices, ]

train_df.wt_train <- train_df.wt[train_indices, ]
train_df.wt_test <- train_df.wt[-train_indices, ]
```

```
train_df.tqwt_train <- train_df.tqwt[train_indices, ]
train_df.tqwt_test <- train_df.tqwt[-train_indices, ]
```

Standardization

For each feature, the mean and standard deviation of the training set are used to standardize the data, per a traditional Z-score method. This standardization is applied to the **train_df._train**, train_df._test** and the **test_df** datasets, resulting in data that is normalized with a mean at zero and standard deviation of unity. Using the mean and standard deviation from the training data set ensures that no information leakage occurs from the **train_df._test** or the **test_df** data sets.

```
# Standardizing the data for cross-comparison

require(tidyverse)
require(broom)
require(mosaic)

# Standardizing the data for cross-comparison
# Training and Test Data
subset_names <- c("baseline", "intensity", "formant", "vff", "mfcc", "wt", "tqwt")

# Standardize function
standardize_data <- function(train_df, test_df) {
  for (ii in 4:length(train_df)) {
    mean_val <- mean(train_df[, ii], na.rm = TRUE)
    std_val <- sd(train_df[, ii], na.rm = TRUE)

    train_df[, ii] <- (train_df[, ii] - mean_val) / std_val

    if (!is.null(test_df)) {
      test_df[, ii] <- (test_df[, ii] - mean_val) / std_val
    }
  }
  return(list(train_df, test_df))
}

# Standardize train and test datasets
for (i in subset_names) {
  # Standardize train_df and test_df
  standardized_data <- standardize_data(get(paste0("train_df.", i, "_train")),
↪  get(paste0("train_df.", i, "_test")))
  assign(paste0("train_df_std.", i, "_train"), standardized_data[[1]])
  assign(paste0("train_df_std.", i, "_test"), standardized_data[[2]])
}

# Standardize test dataset
  standardized_data <- standardize_data(get(paste0("training_data")),
↪  get(paste0("test_data")))
  assign(paste0("test_df_std"), standardized_data[[2]])
```

This was accomplished using the [@tidyverse], [@broom], and [@mosaic] packages in RStudio. The histograms below shows an example transformation of the original training data set to the standardized form from the **Baseline**, **Intensity**, and **Formant** sub features. Transforming the data allows all data comparisons to be made equivalently. To ensure that training and test data are all benchmarked equivalently, mean and standard deviation is calculated using the training data, and is applied to standardize both the training and test data. This way, no information leakage will occur and the models will be provided standardized data that is unbiased.

The authors considered using PCA analysis to perform data reduction and to minimize multi-colinearity, but this ultimately was decided against for clarity. Due to the inherent complexity that comes along with transforming the data set with PCA, the authors opted to use the standardization method above, and implement a subsequent Random Forest (Boruta) factor selection method following the standardization.

```
plot_subfeatures <- function(subfeature_name, train_df, train_df_std) {
  optimal_mfrow <- function(num_plots) {
    max_cols <- floor(sqrt(num_plots))
    num_rows <- ceiling(num_plots / max_cols)
    return(c(num_rows, max_cols))
  }

  num_plots <- ncol(train_df) - 2

  # Pre-standardization

  par(mfrow = optimal_mfrow(num_plots))
  for (ii in 3:ncol(train_df)) {
    hist(train_df[, ii], main = "", xlab = colnames(train_df)[ii])
  }

  # Post-standardization

  par(mfrow = optimal_mfrow(num_plots))
  for (ii in 3:ncol(train_df_std)) {
    hist(train_df_std[, ii], main = "", xlab = colnames(train_df_std)[ii])
  }
}
```

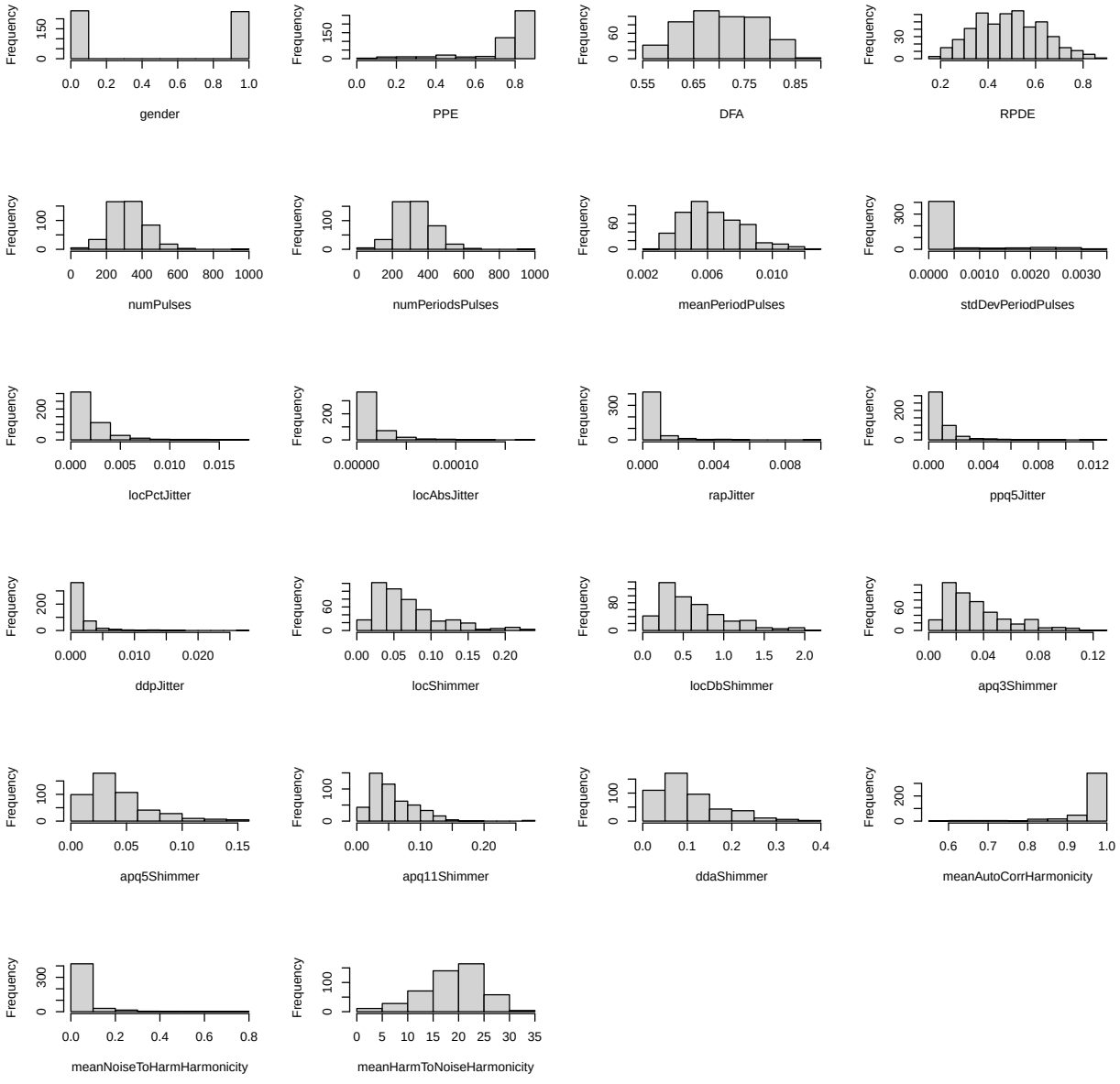

Figure 1: Pre- vs. Post-Standardization Histograms

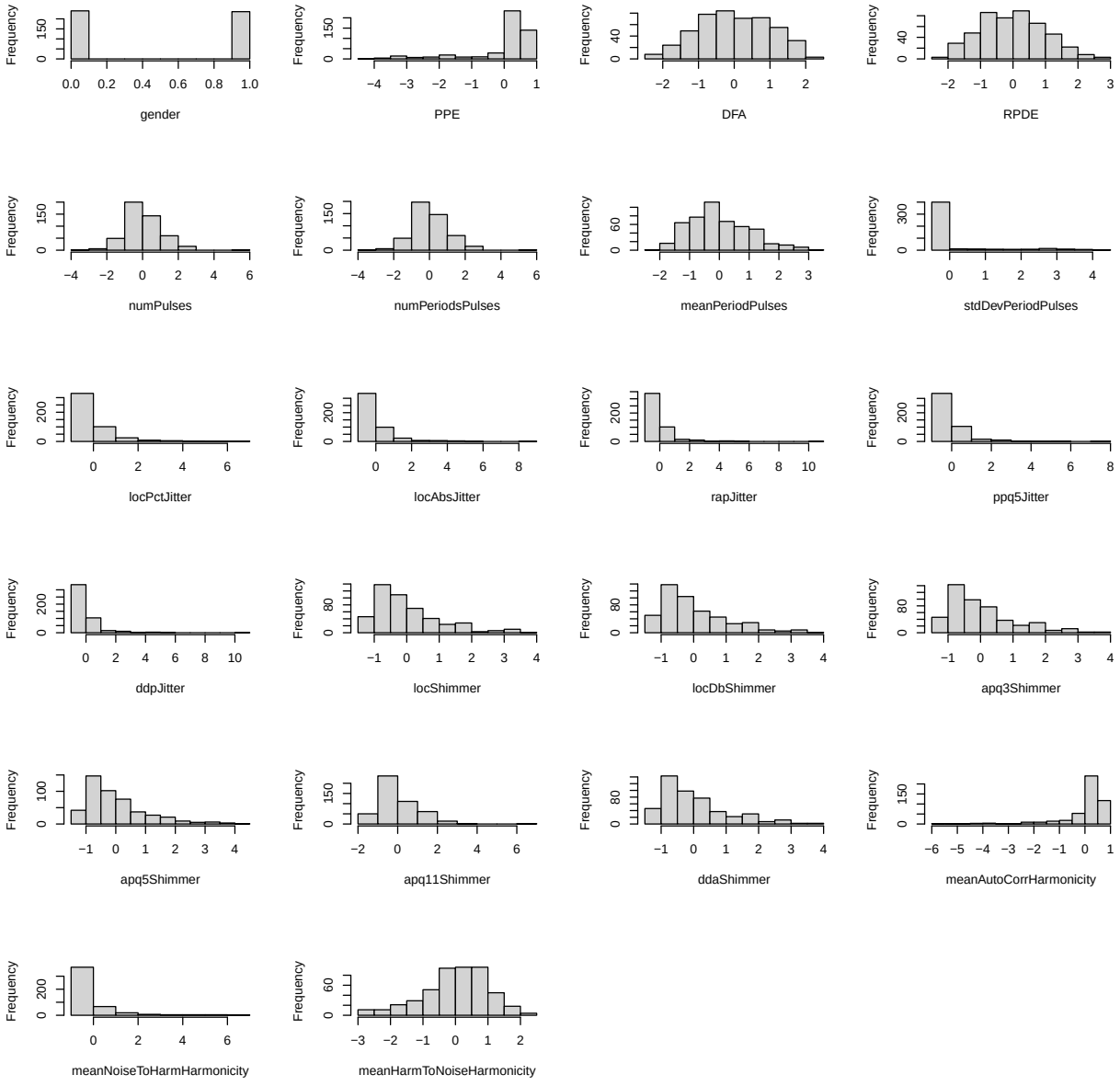

Figure 2: Pre- vs. Post-Standardization Histograms

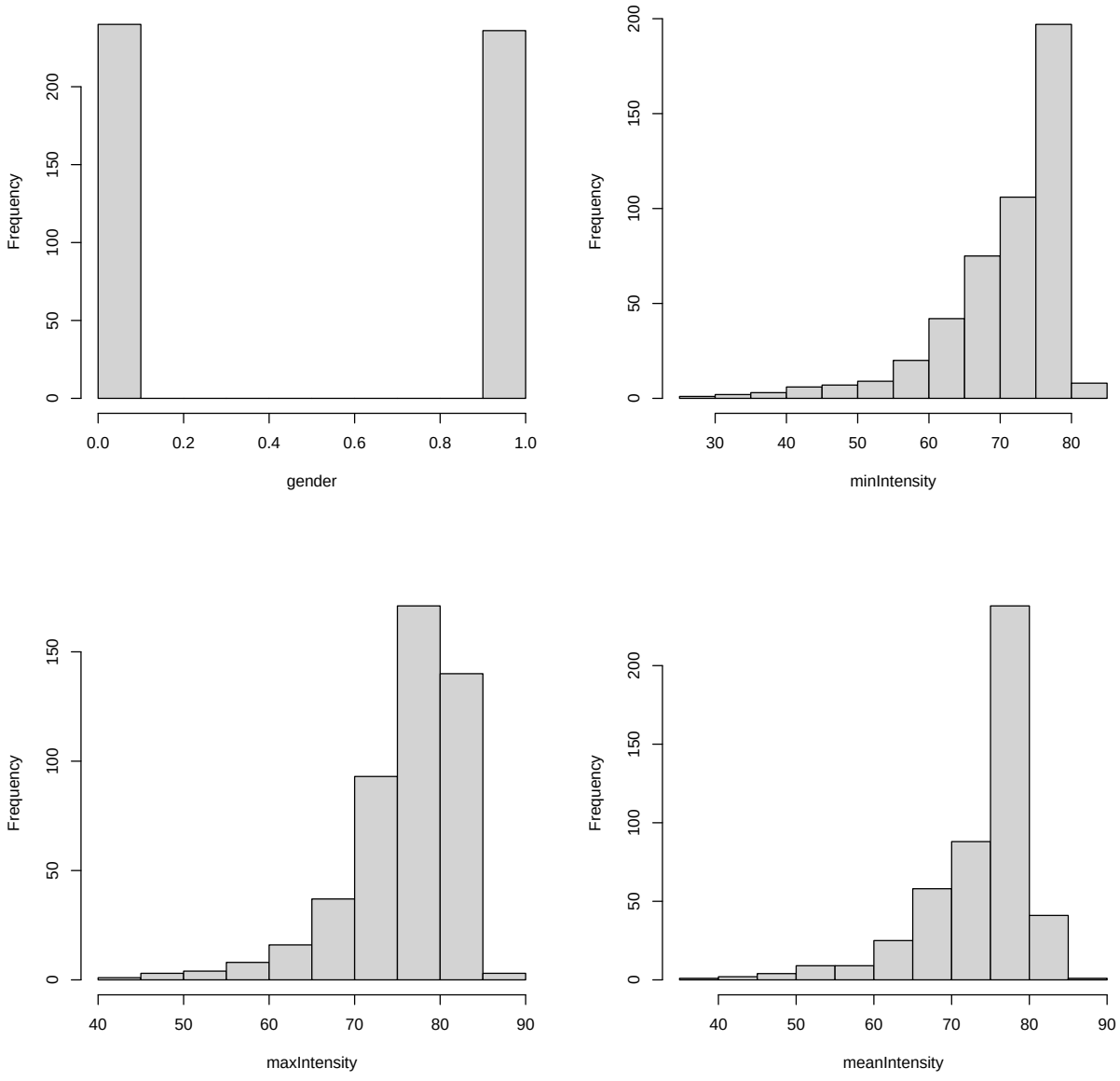

Figure 3: Pre- vs. Post-Standardization Histograms

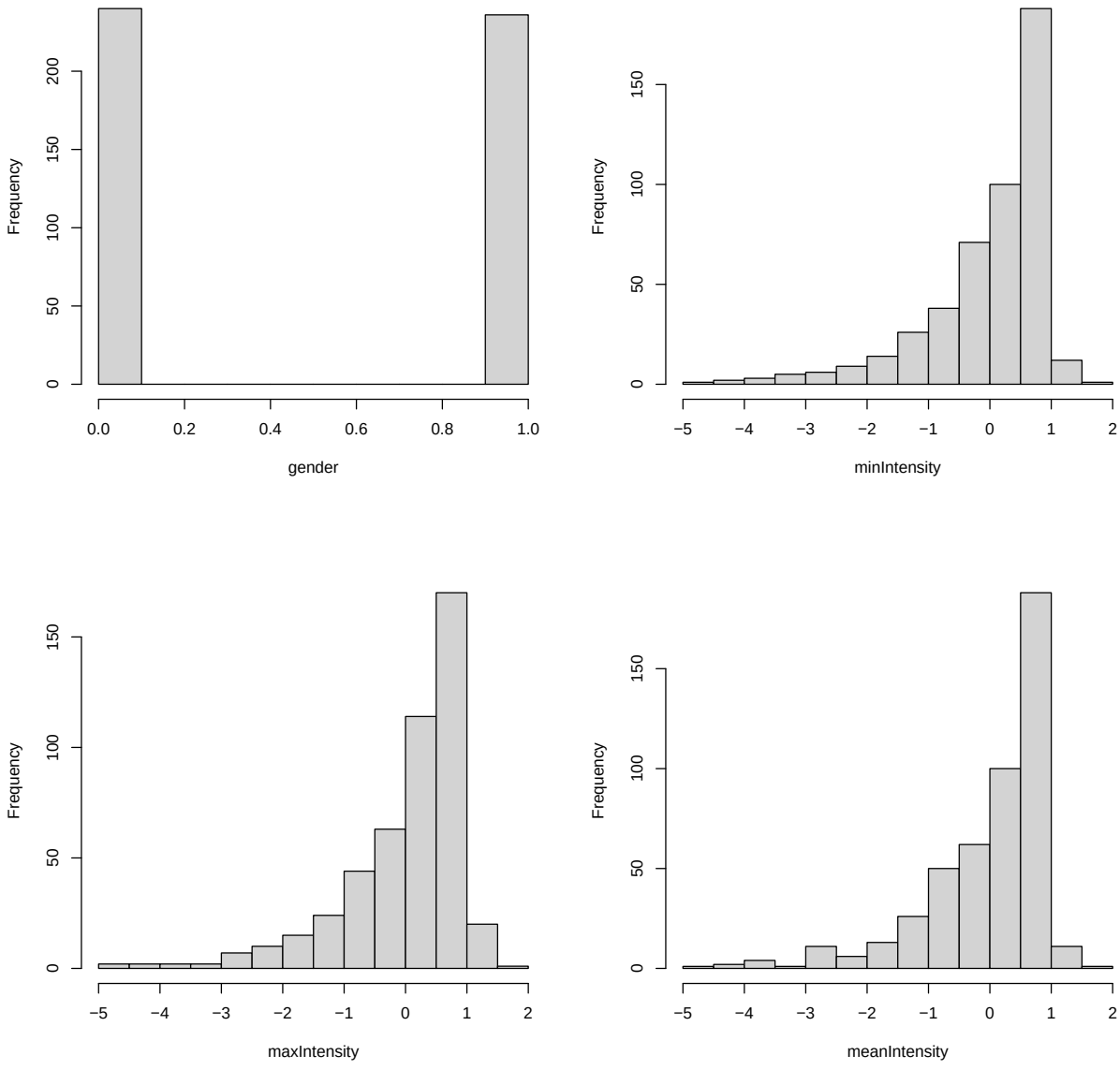

Figure 4: Pre- vs. Post-Standardization Histograms

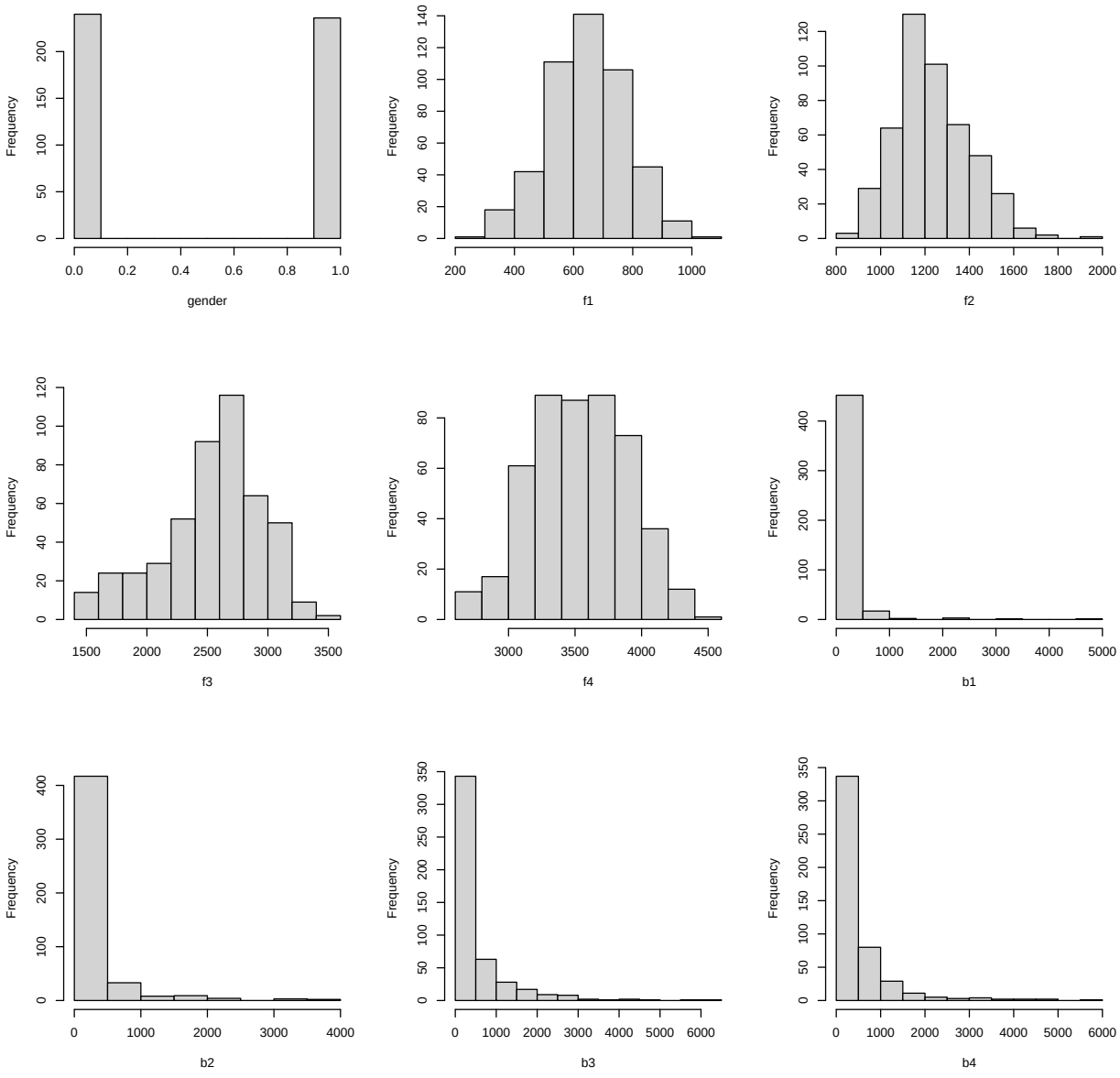

Figure 5: Pre- vs. Post-Standardization Histograms

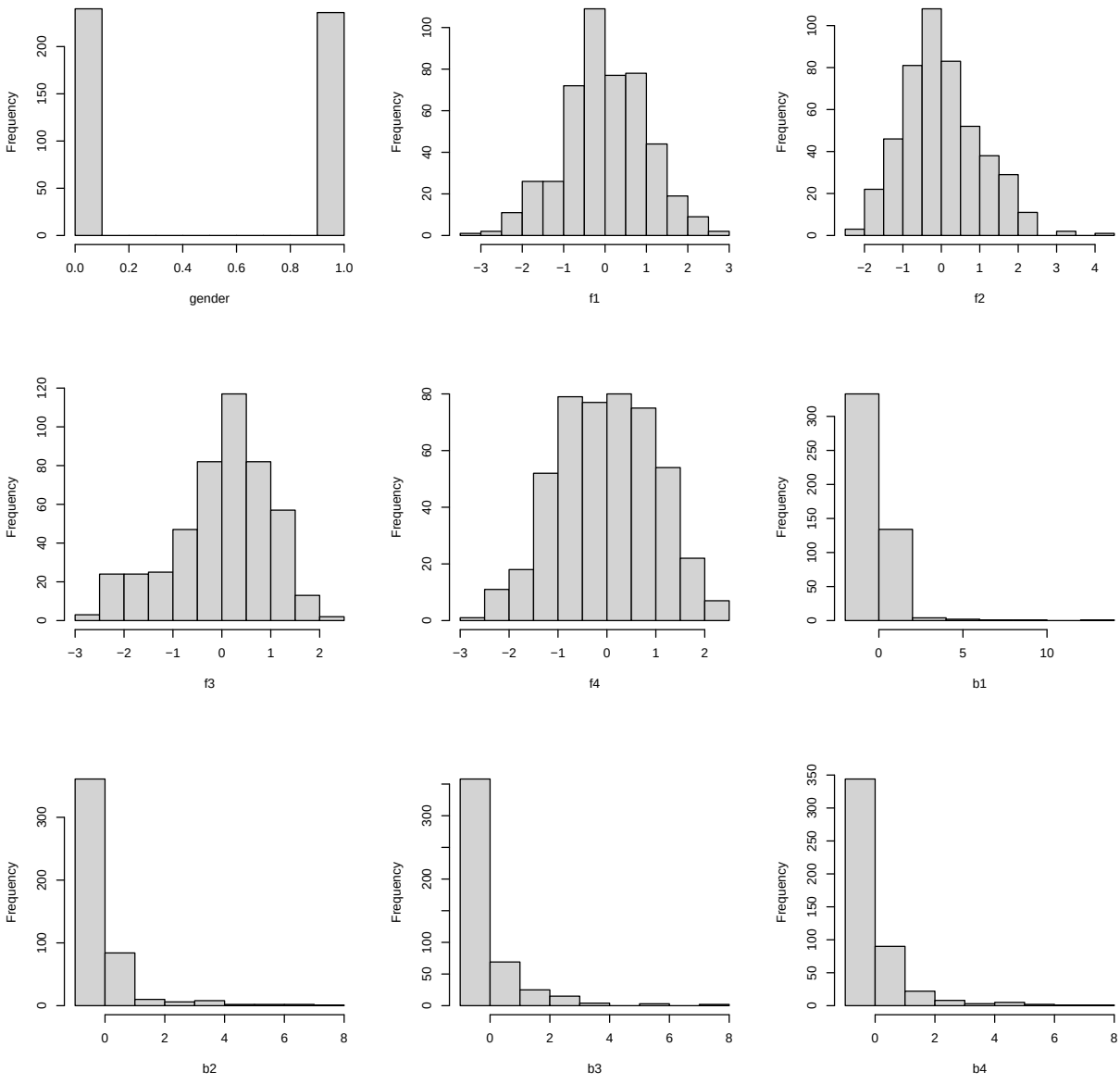

Figure 6: Pre- vs. Post-Standardization Histograms

Feature Selection

Per the **Sakar et al** paper, minimum redundancy-maximum relevance based filter feature selection methods are ideal for determining effective features. The advantage of this is two-fold: 1. It reduces the high dimensionality of the data set. 2. It maximizes the joint dependency of the data set. This strategy is used frequenty in machine learning and regression applications, and as such, will be used in this analysis. The **Boruta** package in RStudio will be used for this purpose, and utilizes Random Forest to perform a top-down search on the corresponding data frame to determine relevant features.

```
require(Boruta)
require(mlbench)
require(caret)
require(randomForest)
# Function to perform Boruta feature selection
perform_boruta <- function(dataset_name, standardized_train_df, max_runs = 500) {
  cat("Performing Boruta on", dataset_name, "\n")
  set.seed(123)
  boruta_result <- Boruta(class ~ ., data = standardized_train_df, doTrace = 2, maxRuns =
↪  max_runs)
  return(boruta_result)
}

# Call the perform_boruta function for each subset. Finds important features in each
↪  subset
boruta_results <- list()
for (subset_name in subset_names) {
  standardized_train_df <- get(paste0("train_df_std.", subset_name, "_train"))
  boruta_result <- perform_boruta(subset_name, standardized_train_df)
  boruta_results[[subset_name]] <- boruta_result
}
```

mRMR analysis yielded the following results. TQWT results are particularly dense, so the plot is not particularly informative, but the overall trend is such that:
- Red regions are categorically rejected and excluded from the included features.
- Blue regions are tentative, and are handled in a later section of code.
- Green regions are found to be imporant and thus selected as included features.

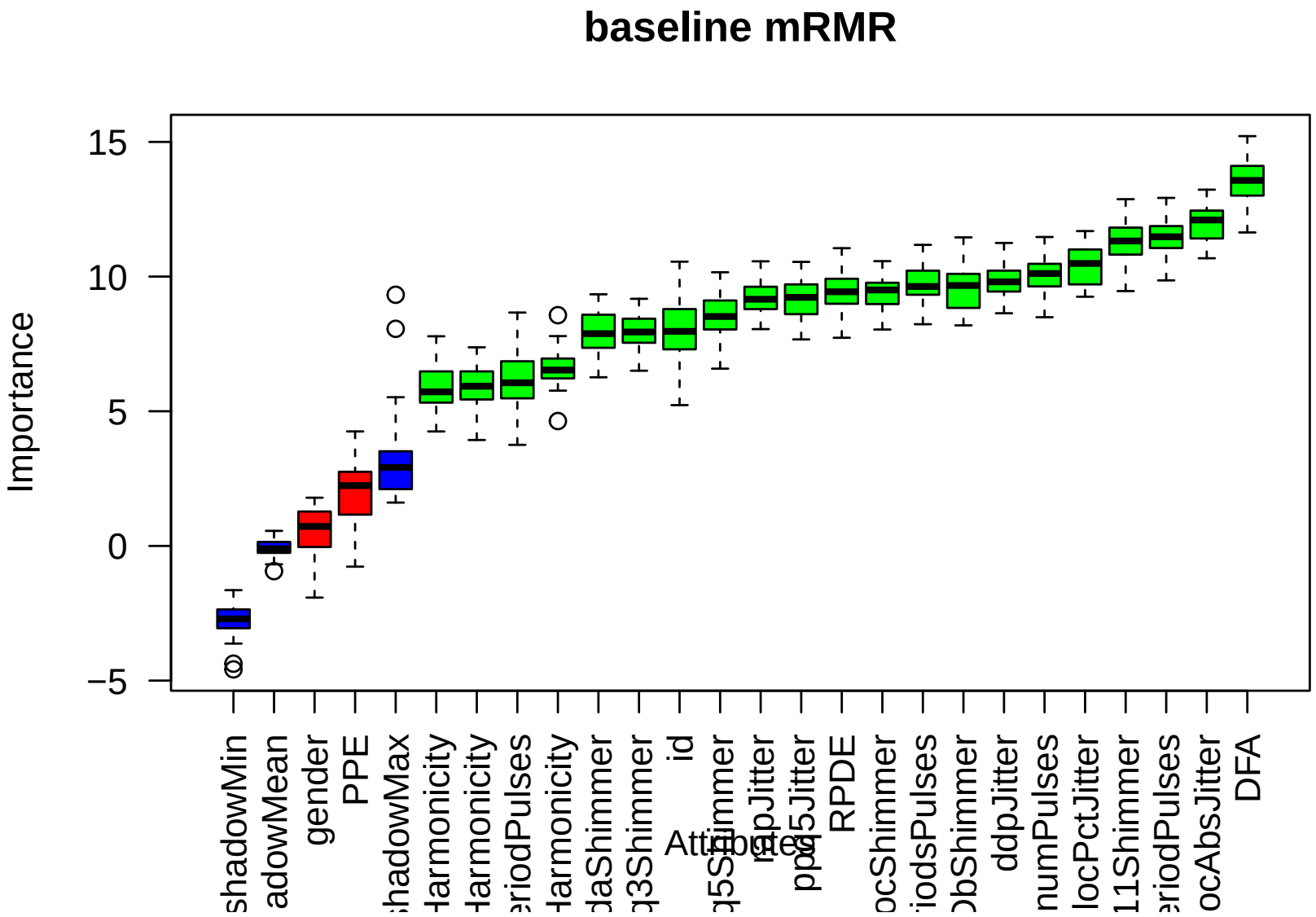

Figure 7: Boruta Plot

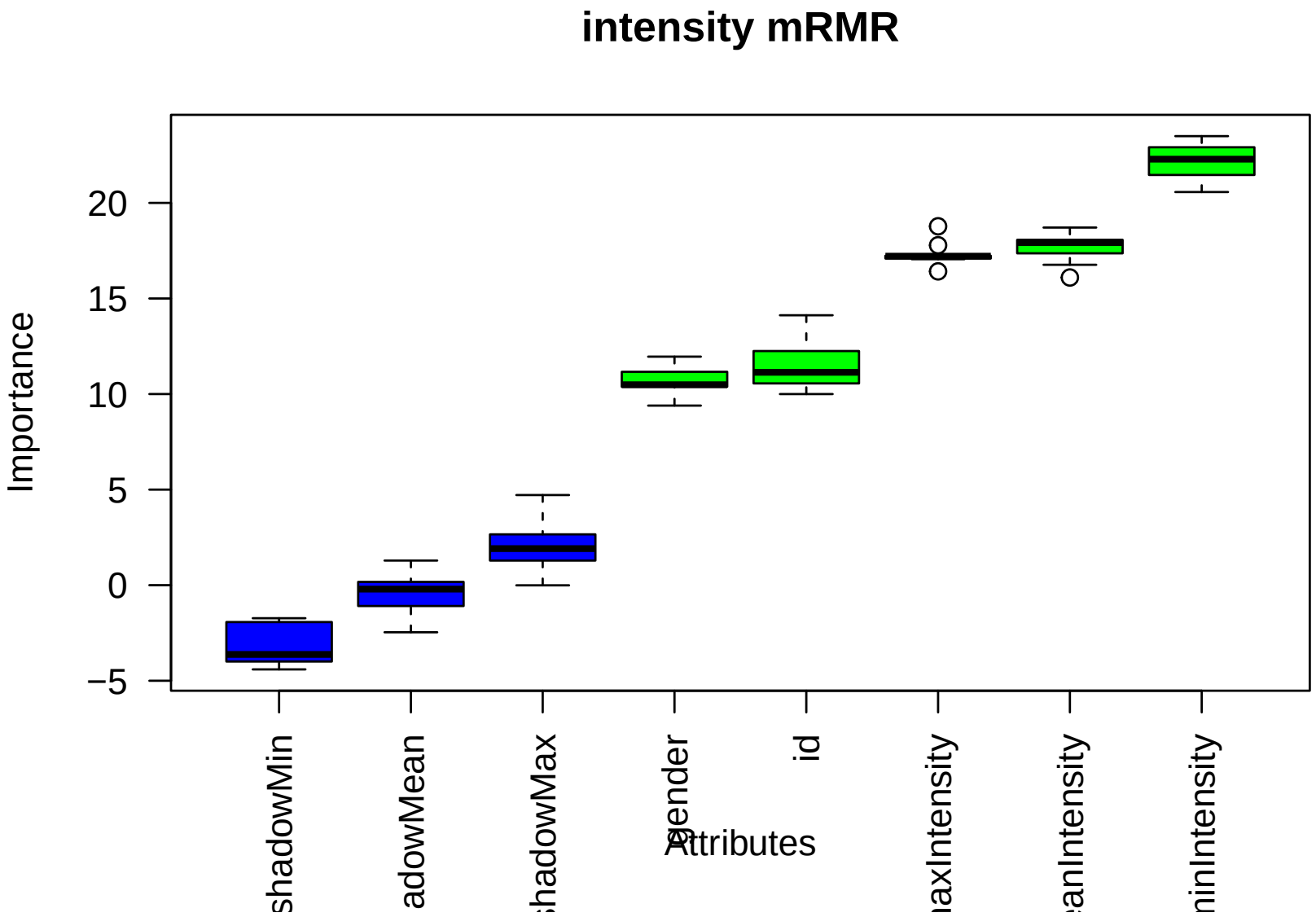

Figure 8: Boruta Plot

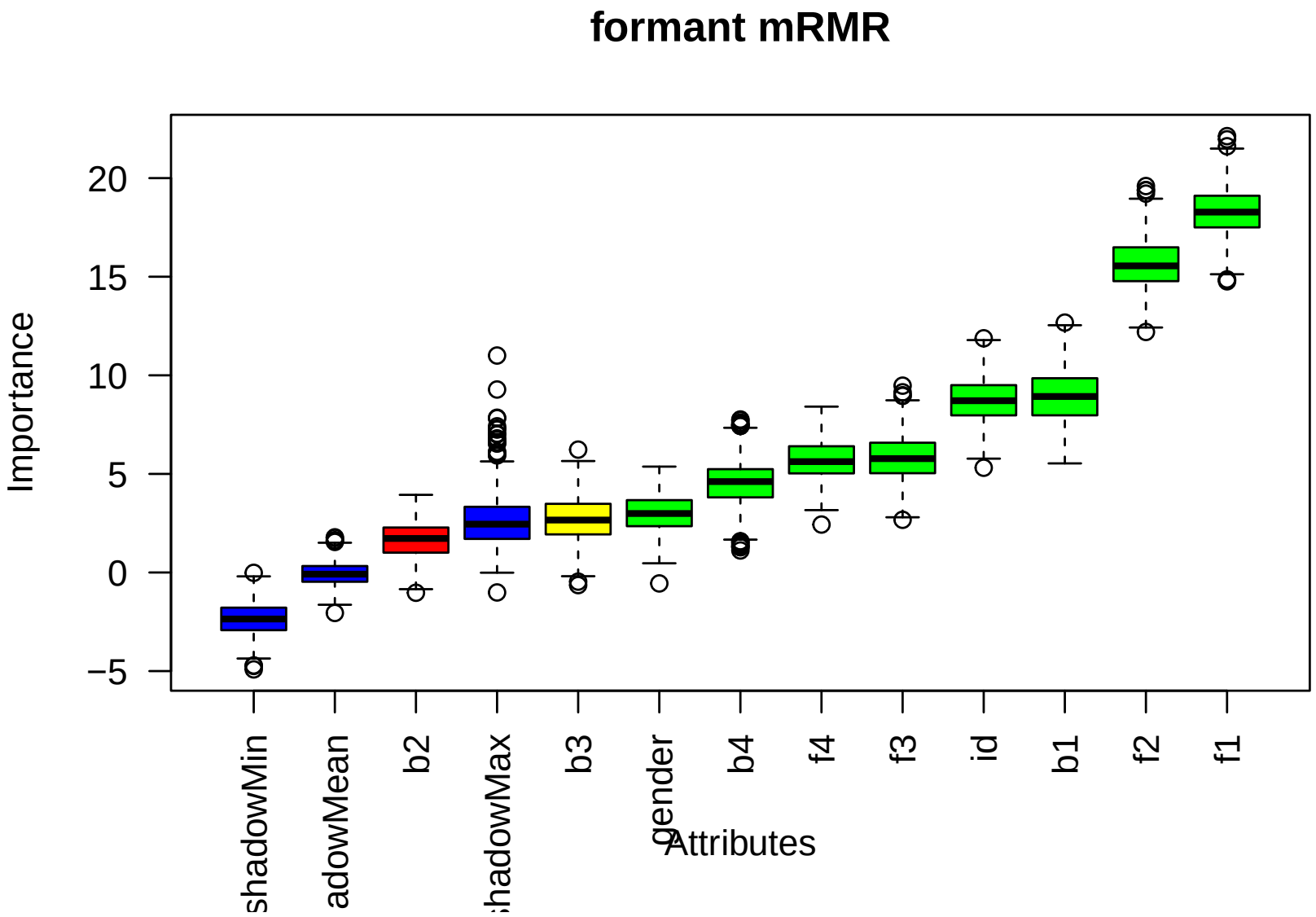

Figure 9: Boruta Plot

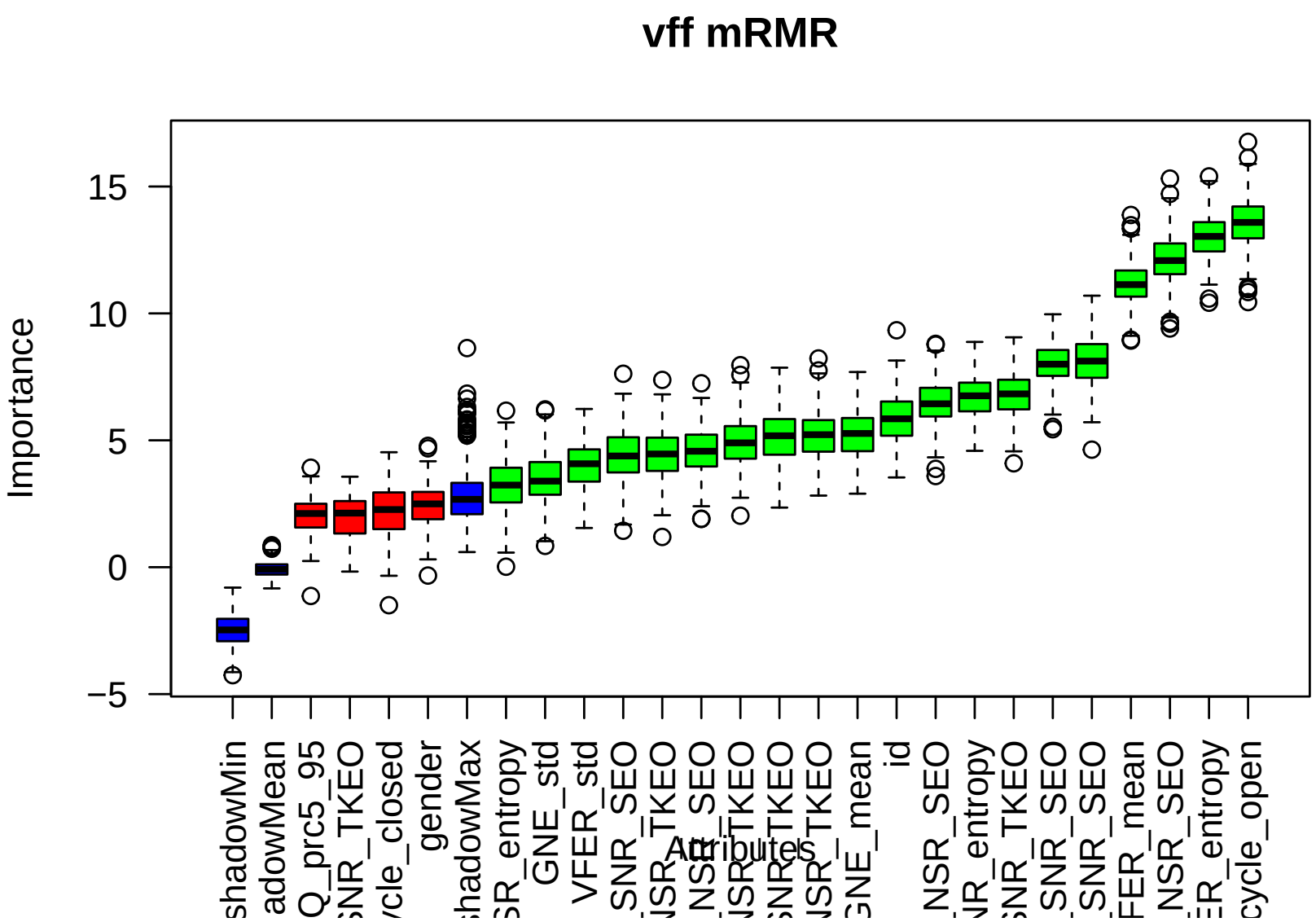

Figure 10: Boruta Plot

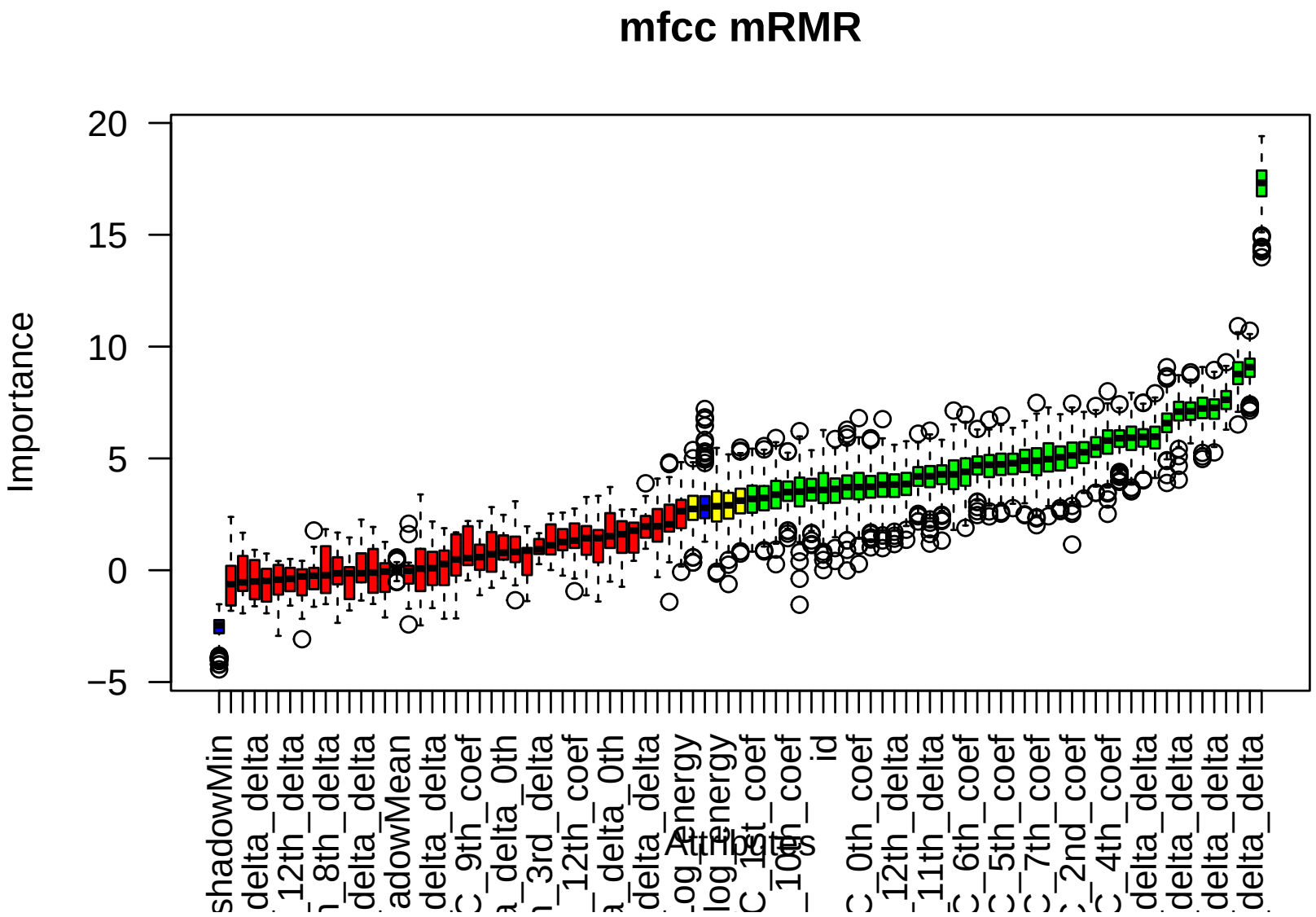

Figure 11: Boruta Plot

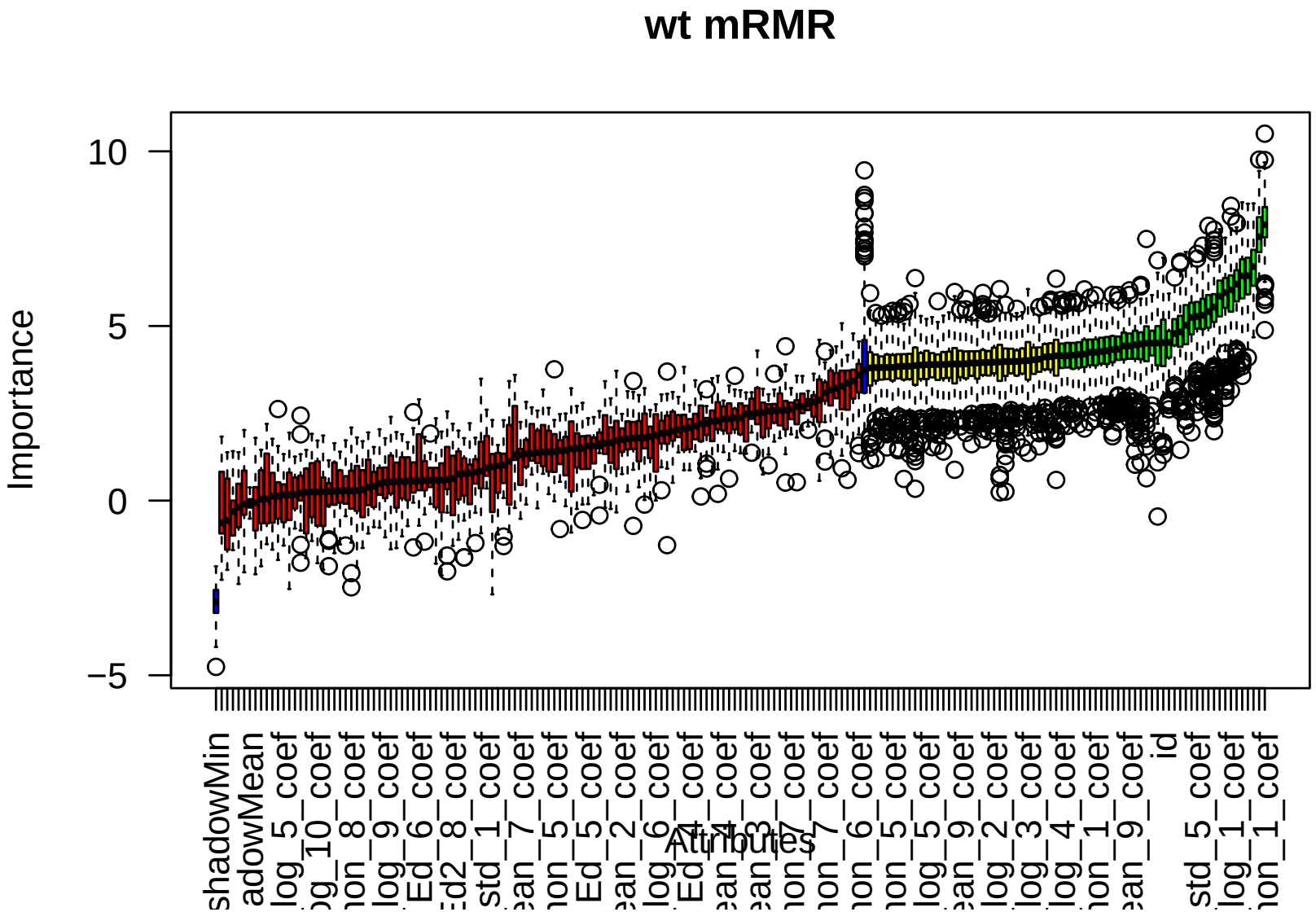

Figure 12: Boruta Plot

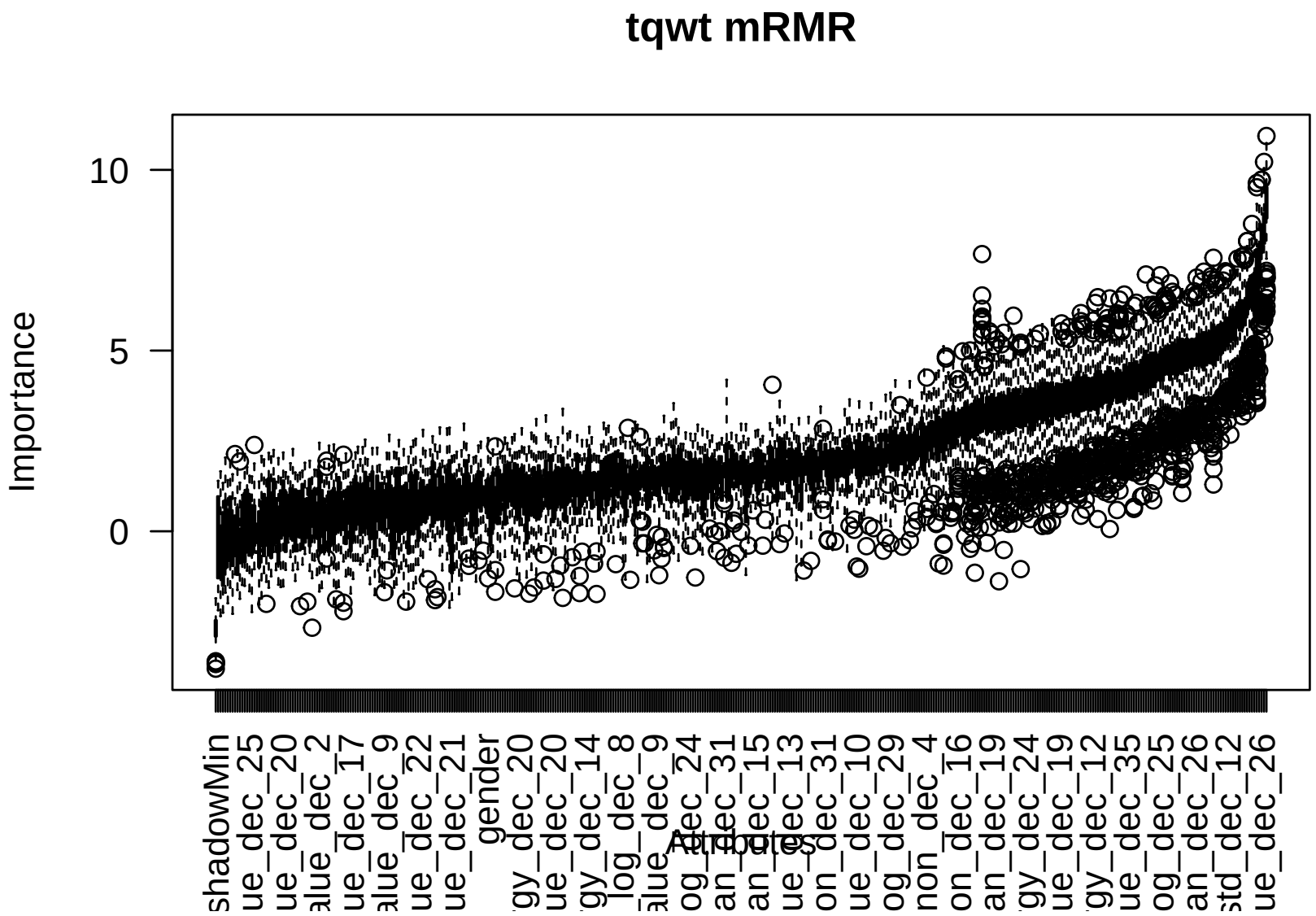

Figure 13: Boruta Plot

Following this initial assessment, chosen variables are selected for regression by using **getNonRejectedFormula()**. This collapses any variables left as **Tentative** factors into either Accepted or Rejected.

```
#Force "Tentative" values function
get_chosen_features <- function(boruta_results) {
  chosen_features <- list()
  for (name in names(boruta_results)) {
    chosen_formula <- getNonRejectedFormula(TentativeRoughFix(boruta_results[[name]]))
    chosen_features[[name]] <- chosen_formula
  }
  return(chosen_features)
}
```

The following factors were found to be important to the model:

x
class
id
DFA
RPDE
numPulses
numPeriodsPulses
meanPeriodPulses
stdDevPeriodPulses
locPctJitter
locAbsJitter
rapJitter
ppq5Jitter
ddpJitter
locShimmer
locDbShimmer
apq3Shimmer
apq5Shimmer
apq11Shimmer
ddaShimmer
meanAutoCorrHarmonicity
meanNoiseToHarmHarmonicity
meanHarmToNoiseHarmonicity
gender
minIntensity
maxIntensity
meanIntensity
f1
f2
f3
f4
b1
b3
b4
GQ_std_cycle_open
GNE_mean
GNE_std
GNE_SNR_TKEO
GNE_SNR_SEO
GNE_NSR_TKEO
GNE_NSR_SEO
VFER_mean
VFER_std
VFER_entropy
VFER_SNR_TKEO
VFER_SNR_SEO
VFER_NSR_TKEO
VFER_NSR_SEO
IMF_SNR_SEO
IMF_SNR_entropy
IMF_NSR_SEO
IMF_NSR_TKEO
IMF_NSR_entropy
mean_MFCC_0th_coef
mean_MFCC_1st_coef

Model Selection and Weighting

Once the important features had been determined, they can be used to inform the predictive model for each sub-group. For this analysis, a function was built to test each sub-group against a number of predictive models. Using the 10% "test" data of the training set, accuracy estimates were generated and used to benchmark the model's performance against each other. The models used for analysis were:
- Multilayer Perceptron
- Logistic Regression
- SVM w/ Linear Kernel
- SVM w/ Radial Kernel
- Naive Bayes
- k- Nearest Neighbors

```
#Function for Multilayer Perceptron
train_mlp <- function(train_df, formula) {
  library(neuralnet)
  set.seed(123)

  threshold_func <- function(x) ifelse(x > 0.5, 1, 0)
  train_df$class <- as.numeric(train_df$class) - 1

  mlp_model <- neuralnet(formula, data = train_df, hidden = c(5), linear.output = FALSE,
↪  act.fct = "logistic", stepmax = 1e+05)
  return(list(model = mlp_model, threshold_func = threshold_func))
}

test_model <- function(model_obj, test_df, model_type, chosen_formula) {
  if (model_type == "mlp") {
    model <- model_obj$model
    threshold_func <- model_obj$threshold_func

    test_data <- model.matrix(chosen_formula, data = test_df)[, -1]
    predictions <- compute(model, test_data)$net.result
    predicted_classes <- sapply(predictions, threshold_func)
    actual_classes <- test_df$class

    accuracy <- sum(predicted_classes == actual_classes) / length(actual_classes)
  } else {
    predictions <- predict(model_obj, test_df)

    if (model_type %in% c("logit", "svm_linear", "svm_rbf")) {
      predicted_classes <- ifelse(predictions > 0.5, 1, 0)
    } else {
      predicted_classes <- predictions
    }
    actual_classes <- test_df$class

    accuracy <- sum(predicted_classes == actual_classes) / length(actual_classes)
  }

  return(accuracy)
}
```

For this analysis it required the use of the **caret**, **randomForest**, **e1071**, **nnet**, **kernlab**, and **naivebayes** libraries.

```r
#Modeling function
generate_models <- function(dataset_name, train_df, test_df) {
  library(caret)
  library(randomForest)
  library(e1071)
  library(nnet)
  library(kernlab)
  library(naivebayes)

  set.seed(123)
  # Create chosen_formula
  chosen_formula <- as.formula(chosen_features[[dataset_name]])

  # Convert the class variable into a factor
  train_df$class <- as.factor(train_df$class)
  test_df$class <- as.factor(test_df$class)

  # Create chosen_formula
  chosen_formula <- as.formula(chosen_features[[dataset_name]])

  # Train/test data
  train_data <- model.matrix(chosen_formula, data = train_df)[, -1]
  train_class <- train_df$class
  test_data <- model.matrix(chosen_formula, data = test_df)[, -1]
  test_class <- test_df$class
  # Initialize list to store models and accuracy
  models_and_accuracy <- list()

  # Logistic Regression
  logit_model <- glm(formula = chosen_formula, family = "binomial", data = train_df)
  logit_predictions <- predict(logit_model, newdata = test_df, type = "response")
  logit_predicted_classes <- ifelse(logit_predictions > 0.5, 1, 0)
  accuracy_logit <- sum(logit_predicted_classes == test_class) / length(test_class)

  models_and_accuracy[["Logistic Regression"]] <- list(model = logit_model, accuracy =
↪  accuracy_logit)

  # Define the parameter grid for tuning the Random Forest
  tuneGrid <- expand.grid(mtry = sqrt(ncol(train_df)),
                          splitrule = "gini",
                          min.node.size = c(1, 3, 5, 10, 15))

  # Set up cross-validation
  cvControl <- trainControl(method = "cv", number = 5, search = "grid")

  # Random Forest model using cross-validation
  rf_model <- train(chosen_formula, data = train_df,
                    method = "ranger",
                    trControl = cvControl,
                    tuneGrid = tuneGrid,
                    importance = "none",
                    num.trees = 500)
```

```
  rf_pred <- predict(rf_model, newdata = test_df)
  accuracy_rf <- sum(rf_pred == test_class) / length(test_class)

  models_and_accuracy[["Random Forest"]] <- list(model = rf_model, accuracy =
↪  accuracy_rf)

  # SVM with Linear Kernel
  svm_linear <- svm(train_data, train_class, kernel = "linear")
  predictions_linear <- predict(svm_linear, test_data)
  accuracy_linear <- sum(predictions_linear == test_class) / length(test_class)

  models_and_accuracy[["SVM Linear"]] <- list(model = svm_linear, accuracy =
↪  accuracy_linear)

  # SVM with RBF Kernel
  svm_rbf <- svm(train_data, train_class, kernel = "radial")
  predictions_rbf <- predict(svm_rbf, test_data)
  accuracy_rbf <- sum(predictions_rbf == test_class) / length(test_class)

  models_and_accuracy[["SVM RBF"]] <- list(model = svm_rbf, accuracy = accuracy_rbf)

   # Multilayer Perceptron
  mlp_model <- train_mlp(train_df, chosen_formula)
  accuracy_mlp <- test_model(mlp_model, test_df, "mlp", chosen_formula)

  models_and_accuracy[["Multilayer Perceptron"]] <- list(model = mlp_model$model,
↪  accuracy = accuracy_mlp)

  # Naive Bayes
  nb_model <- naive_bayes(chosen_formula, data = train_df)
  nb_predictions <- predict(nb_model, newdata = test_df)
  accuracy_nb <- sum(nb_predictions == test_class) / length(test_class)

  models_and_accuracy[["Naive Bayes"]] <- list(model = nb_model, accuracy = accuracy_nb)

  # KNN
  k <- 10
  knn_predictions <- knn(train = train_data, test = test_data, cl = train_class, k = k)
  accuracy_knn <- sum(knn_predictions == test_class) / length(test_class)
  knn_model <- list(train_data = train_data, train_class = train_class, k = k)

  models_and_accuracy[["KNN"]] <- list(model = knn_model, accuracy = accuracy_knn)
  return(models_and_accuracy)
}
```

The following results were found for each of the sub features. A comparative bar chart for each of the sub features is also included.

```
# Initialize the data frame
model_accuracies <- data.frame()
best_models <- list()
# Iterate over the subsets
for (subset_name in subset_names) {
  train_df <- get(paste0("train_df_std.", subset_name, "_train"))
```

```
  test_df <- get(paste0("train_df_std.", subset_name, "_test"))
  models_and_accuracy <- suppressWarnings(generate_models(subset_name, train_df,
↪  test_df))

  # Create a data frame to store model accuracies for each subset
  subset_model_accuracies <- data.frame(model = names(models_and_accuracy), accuracy =
↪  unlist(lapply(models_and_accuracy, function(x) x$accuracy)), stringsAsFactors =
↪  FALSE)
  subset_model_accuracies$subset_name <- subset_name

  # Bind the rows to the model_accuracies data frame
  model_accuracies <- rbind(model_accuracies, subset_model_accuracies)

  # Find the model with the highest accuracy
  best_model <- names(which.max(sapply(models_and_accuracy, function(x) x$accuracy)))
  cat("Best model for", subset_name, "is", best_model, "with an accuracy of",
   ↪  models_and_accuracy[[best_model]]$accuracy, "\n")

  # Store the best model for this subset
  best_models[[subset_name]] <- list(model = models_and_accuracy[[best_model]]$model,
↪  accuracy = models_and_accuracy[[best_model]]$accuracy)
}

# Create the ggplot bar chart
ggplot(data = model_accuracies, aes(x = subset_name, y = accuracy, fill = model)) +
  geom_bar(stat = "identity", position = "dodge") +
  theme_minimal() +
  labs(title = "Model Accuracies by Subset", x = "Subset", y = "Accuracy") +
  scale_fill_brewer(palette = "Set1")
```

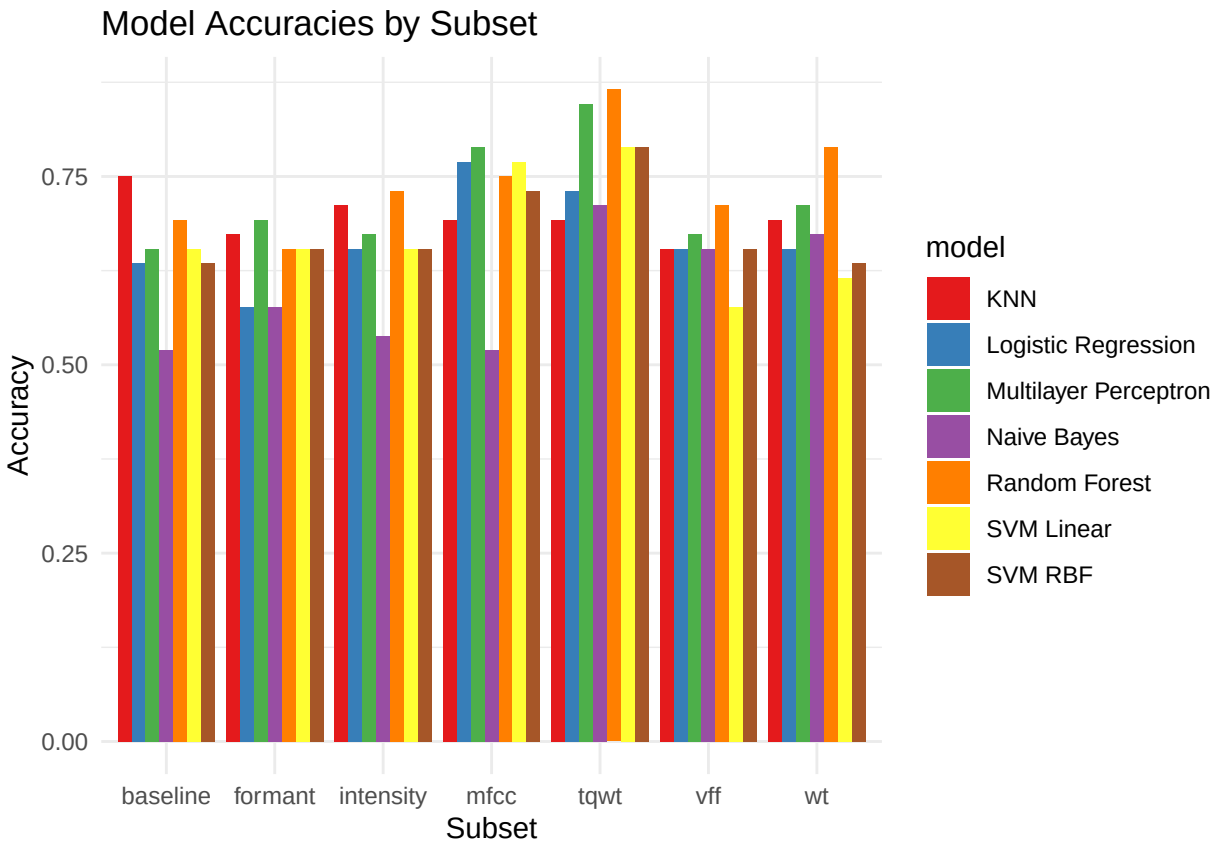

Figure 14: center

Ensemble Accuracy Determination

At this point, we have enough data to make an ensemble predictive model, such that the best performing model for each sub-feature can be used. We used a similar method as the **Sakar et. al** paper, where a combination of weights and predictions are used to develop the ensemble model Y:

$$Y = w_i \cdot d_i \tag{1}$$

$$w_i = \frac{\text{individual model weight}}{\text{sum of all model weights}} \tag{2}$$

```
# Functions for Ensemble predictions
weighted_prediction <- function(best_models, test_data, chosen_features) {
  predictions_list <- mapply(function(subset_name, model, test_data, chosen_features) {
    if (inherits(model, "list") && !is.null(model$k)) { # KNN model
      common_columns <- intersect(colnames(test_data[[subset_name]]),
↪  colnames(model$train_data))
  } else if (class(model$finalModel) == "ranger") { # Ranger model
      common_columns <- intersect(colnames(test_data[[subset_name]]),
↪  model$finalModel$forest$independent.variable.names)
  } else if (inherits(model, "nn")) { # Neural Network model
      common_columns <- intersect(colnames(test_data[[subset_name]]),
↪  colnames(model$data))
  } else {
    stop("Unsupported model type")
  }
    test_subset_data <- test_data[[subset_name]][, common_columns]

    if (inherits(model, "glm")) {
      predict(model, newdata = test_subset_data, type = "response")
    } else if (class(model$finalModel) == "ranger") {
      predict(model, newdata = test_subset_data, type = "raw")
    } else if (inherits(model, "svm")) {
      predict(model, newdata = test_subset_data, probability = TRUE)$probabilities[, 2,
       ↪  drop = FALSE]
    } else if (inherits(model, "naiveBayes")) {
      predict(model, newdata = test_subset_data, type = "raw")[, 1, drop = FALSE]
    } else if (inherits(model, "nn")) {
      predictions <- compute(model, test_subset_data)$net.result
      threshold_func <- function(x) ifelse(x > 0.5, 1, 0)
      factor_predictions <- sapply(predictions, threshold_func)
      as.factor(factor_predictions)
    } else if (inherits(model, "list") && !is.null(model$k)) {
      knn(train = model$train_data, test = test_subset_data, cl = model$train_class, k =
       ↪  model$k)
    } else {
      stop("Unsupported model type")
    }
  }, subset_name = names(best_models), model = lapply(best_models, `[[`, "model"),
↪  test_data = rep(list(test_data), length(names(best_models))), chosen_features =
↪  chosen_features, SIMPLIFY = FALSE)

  # Convert factors to numeric values
```

Table 1: Weighted Results and Selected Models per Sub-Feature

	Subfeature	Weights
Logistic Regression	baseline	0.1347518
Random Forest	intensity	0.1595745
SVM Linear	formant	0.1453901
SVM RBF	vff	0.1453901
Multilayer Perceptron	mfcc	0.1560284
Naive Bayes	wt	0.1312057
KNN	tqwt	0.1276596

```
  predictions_list <- lapply(predictions_list, function(x) as.numeric(x)-1)

  # Calculate normalized weights
  models_weights <- lapply(best_models, function(x) x$accuracy)
  models_weights_normalized <- unlist(models_weights) / sum(unlist(models_weights))


  # Calculate weighted predictions
  combined_probs <- Reduce(`+`, mapply(`*`, predictions_list, models_weights_normalized,
↪  SIMPLIFY = FALSE))
  combined_predictions <- ifelse(combined_probs > 0.5, 1, 0)

  return(combined_predictions)
}
```

Weights, as shown in Equation 1, are as follows:

Results

The results of the ensemble model on test data predictions are found below. These results are also compiled in the **test_results.csv** file.

	ID	PredictedResult
1	63	1
4	44	1
7	29	1
10	9	1
13	38	1
16	59	1
19	4	1
22	28	1
25	62	1
28	65	1
31	69	1
34	39	1
37	1	1
40	11	1
43	40	1
46	41	1
49	12	0
52	64	1
55	5	1
58	61	1
61	10	1
64	37	1
67	57	1
70	8	1
73	52	1
76	58	1
79	31	1
82	13	1
85	47	1
88	36	1
91	56	1
94	54	1
97	70	1
100	16	1
103	45	1
106	24	1
109	14	0
112	42	1
115	67	1
118	68	0
121	30	1
124	15	1
127	18	1
130	46	1
133	19	1
136	23	1
139	76	1
142	43	1
145	22	1

```
148 53              1
151 27              1
154 75              1
157 21              1
160 71              0
163  7              1
166 66              1
169 49              1
172 73              1
175 25              1
178 48              1
181 74              1
184 50              1
187 33              1
190 26              1
193 20              1
196 72              1
199 32              1
202  3              0
205 51              1
208 60              1
211 35              1
214 34              1
217 17              1
220 55              1
223  2              1
226  6              1
```