# 第四次作业

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### 0.1 133 页习题 11

题目 1. 设  $(M_1, g_1)$ ,  $(M_2, g_2)$  均为 Riemann 流形.  $\nabla^{(1)}$ ,  $\nabla^{(2)}$  分别为它们的 Riemann 联络.  $F: M_1 \to M_2$  为等距微分同胚, 即  $g_1 = F^*g_2$ . 证明  $F_*(\nabla_X^{(1)}Y) = \nabla_{F,X}^{(2)}F_*Y$ ,  $\forall X, Y \in \mathcal{X}(M_1)$ .

**解答.** 因为 F 是微分同胚, 所以  $M_1$  和  $M_2$  是同维数流形. 因此  $F_p^*$  是切空间  $T_pM$  到  $T_{F(p)}M$  的同构. 故要证明  $F_*(\nabla_X^{(1)}Y) = \nabla_{F_*X}^{(2)}F_*Y$ ,  $\forall X,Y \in \mathscr{X}(M_1)$ , 只需要证明任取  $Z \in \mathscr{X}(M_1)$ ,

$$g_1((\nabla_X^{(1)}Y), Z) = g_2(F_*(\nabla_X^{(1)}Y), F_*Z) = g_2(\nabla_{F_*X}^{(2)}F_*Y, \forall X, Y \in \mathcal{X}(M_1), F_*Z). \tag{*}$$

而利用 Riemann 联络的唯一性构造, 联络 ∇ 和度量 ⟨·,·⟩ 满足

$$2\langle \nabla_X Y, Z \rangle = X\langle Y, Z \rangle + Y\langle Z, X \rangle - Z\langle X, Y \rangle + \langle [X, Y], Z \rangle - \langle [Y, Z], X \rangle + \langle [Z, X], Y \rangle,$$

要证明 (\*) 式, 只需要证明: 任取  $X,Y,Z \in \mathcal{X}(M_1)$ ,

$$X(q_1(Y,Z)) = (F_*X)(q_2(F_*Y,F_*Z))$$

和

$$g_1([X,Y],Z) = g_2([F_*X,F_*Y],F_*Z).$$

利用  $g_1 = F^*g_2$  和 Lie 括号与切映射交换, 计算可得:

$$(F_*X)(g_2(F_*Y, F_*Z)) = X(g_2(F_*Y, F_*Z) \circ F) = X((F^*g_2)(Y, Z)) = X(g_1(Y, Z)),$$

以及

$$g_2([F_*X, F_*Y], F_*Z) = g_2(F_*[X, Y], F_*Z) = (F^*g_2)([X, Y], Z) = g_1([X, Y], Z).$$

所以题目得证.

#### 0.2 113 页习题 12

**题目 2.** 设  $(M^m, g)$  为连通 Riemann 流形,  $\nabla$  为 Riemann 联络, A 为二阶对称张量且  $\nabla A = 0$ . 定义线性映射  $A^*: T_pM \to T_pM$ ,  $\forall p \in M$  如下: 对任意的  $X, Y \in T_p(M)$ 

$$\langle A^*(X), Y \rangle_p := A(X, Y)(p),$$

设  $\rho_i$  为  $A^*$  的特征值,  $\tilde{e_i}$  为其相应的单位特征向量, 证明:

- 1. 所有特征值在 M 上均为常数;
- 2. 若  $\rho_h \neq \rho_k$ , 则  $\langle e_h, e_k \rangle = 0$ . 设  $\{\tilde{e}_i\}$  为  $A^*$  的特征向量标架, 使得  $\langle \tilde{e}_i, \tilde{e}_j \rangle = \delta_{ij}$ , 则  $\rho_h \neq \rho_k$  时, 有

$$\langle \nabla_{\tilde{e}_i} \tilde{e}_h, \tilde{e}_k \rangle = 0, \quad h, i, k = 1, \cdots, m;$$

3. 设  $\rho_i$  为 r 重根, 对应特征向量为  $\tilde{e}_1, \dots, \tilde{e}_r$ , 则  $\tilde{e}_{r+1}, \dots, \tilde{e}_m$  生成的分布  $\mathcal{D}$  是完全可积的.

#### 解答.

1. 取  $X, Y \in \mathcal{X}(M)$ , 则根据  $\nabla A = 0$ ,

$$X(A(\tilde{e}_i, Y)) = A(\nabla_X \tilde{e}_i, Y) + A(\tilde{e}_i, \nabla_X Y)$$

利用 g 也关于联络平行,

$$X(A(\tilde{e}_i, Y)) = X(\rho_i \langle \tilde{e}_i, Y \rangle) = X(\rho_i) \langle \tilde{e}_i, Y \rangle + \rho_i \langle \nabla_X \tilde{e}_i, Y \rangle + \rho_i \langle \tilde{e}_i, \nabla_X Y \rangle.$$

所以

$$A(\nabla_X \tilde{e}_i, Y) = X(\rho_i)\langle \tilde{e}_i, Y \rangle + \rho_i \langle \nabla_X \tilde{e}_i, Y \rangle,$$

因为  $\tilde{e}_i$  是单位向量, 所以  $\langle \nabla_X \tilde{e}_i, \tilde{e}_i \rangle = 0$ , 因此在上式代入  $Y = \tilde{e}_i$ , 得到

$$A(\nabla_X \tilde{e}_i, \tilde{e}_i) = X(\rho_i) \langle \tilde{e}_i, \tilde{e}_i \rangle + \rho_i \langle \nabla_X \tilde{e}_i, \tilde{e}_i \rangle$$
  
=  $X(\rho_i)$ ,

而左边又有  $X(\rho_i) = X(A(\tilde{e}_i, \tilde{e}_i)) = 2A(\nabla_X \tilde{e}_i, \tilde{e}_i)$ , 于是

$$\frac{1}{2}X(\rho_i) = A\left(\nabla_X \tilde{e}_i, \tilde{e}_i\right) = X(\rho_i).$$

所以  $X(\rho_i) \equiv 0$ . 由 X 任意性,  $\rho_i$  在 M 上均为常数.

2. 正交性:  $\rho_h \langle \tilde{e}_h, \tilde{e}_k \rangle = A(\tilde{e}_h, \tilde{e}_k) = \rho_k \langle \tilde{e}_h, \tilde{e}_k \rangle$ , 因为  $\rho_h \neq \rho_k$ , 所以  $\langle \tilde{e}_h, \tilde{e}_k \rangle = 0$ .  $\langle \nabla_{\tilde{e}_i} \tilde{e}_h, \tilde{e}_k \rangle = 0$  利用内积为 0 和  $\nabla A = 0$ , 不妨设  $\rho_h \neq 0$ :

$$\begin{split} \langle \nabla_{\tilde{e}_i} \tilde{e}_h, \tilde{e}_k \rangle &= -\langle \tilde{e}_h, \nabla_{\tilde{e}_i} \tilde{e}_k \rangle \\ &= -\frac{1}{\rho_h} A(\tilde{e}_h, \nabla_{\tilde{e}_i} \tilde{e}_k) \\ &= -\frac{1}{\rho_h} \tilde{e}_i (A(\tilde{e}_h, \tilde{e}_k)) + \frac{1}{\rho_h} A(\nabla_{\tilde{e}_i} \tilde{e}_h, \tilde{e}_k) \\ &= \frac{1}{\rho_h} A(\nabla_{\tilde{e}_i} \tilde{e}_h, \tilde{e}_k) \\ &= \frac{\rho_k}{\rho_h} \langle \nabla_{\tilde{e}_i} \tilde{e}_h, \tilde{e}_k \rangle, \end{split}$$

由于系数不为 1, 所以  $\langle \nabla_{\tilde{e}_i} \tilde{e}_h, \tilde{e}_k \rangle = 0$ .

3. 我们依然取  $\{\tilde{e}_i\}$  为单位正交的,因为这不影响分布的生成. 利用分布 Frobenius 定理,分布完全可积当且仅当其对合,即 s,t>r 时  $[\tilde{e}_s,\tilde{e}_t]$  可由  $\tilde{e}_{r+1},\cdots,\tilde{e}_m$  表示.

设  $[\tilde{e}_s, \tilde{e}_t] = a^p \tilde{e}_p$ . 则与  $\tilde{e}_1, \dots, \tilde{e}_r$  内积得

$$\langle [\tilde{e}_s, \tilde{e}_t], \tilde{e}_i \rangle = \sum_{p=1}^r a^p \delta_{ip} = a^i.$$

而根据第二问的结论

$$\langle [\tilde{e}_s, \tilde{e}_t], \tilde{e}_i \rangle = \langle \nabla_{\tilde{e}_s} \tilde{e}_t, \tilde{e}_i \rangle - \langle \nabla_{\tilde{e}_t} \tilde{e}_s, \tilde{e}_i \rangle = 0.$$

所以  $a^i = 0$ , 即分布是对合的. 因此  $\mathcal{D}$  是完全可积的.

#### 0.3 题目 B

**题目 3.** 证明 Ricci 恒等式: 假设  $\nabla$  是对称联络,  $\phi$  是 (r,s)-型张量场,  $X,Y \in \mathcal{X}(M)$ , 则

$$\nabla^{2}\phi(\theta^{1}, \dots, \theta^{r}, X_{1}, \dots, X_{s}; X, Y) - \nabla^{2}\phi(\theta^{1}, \dots, \theta^{r}, X_{1}, \dots, X_{s}; Y, X)$$

$$= -\operatorname{Rm}(X, Y)(\phi(\theta^{1}, \dots, \theta^{r}, X_{1}, \dots, X_{s}))$$

$$+ \sum_{a=1}^{r} \phi(\theta^{1}, \dots, \theta^{a-1}, \operatorname{Rm}(X, Y)\theta^{a}, \theta^{a+1}, \dots, \theta^{r}, X_{1}, \dots, X_{s})$$

$$+ \sum_{b=1}^{r} \phi(\theta^{1}, \dots, \theta^{r}, X_{1}, \dots, X_{b-1}, \operatorname{Rm}(X, Y)X_{b}, X_{b+1}, \dots, X_{s})$$

其中  $\theta^i \in A^1(M), X_i \in \mathcal{X}(M),$ 

$$Rm(X,Y)\psi := (\nabla_X \nabla_Y - \nabla_Y \nabla_X - \nabla_{[X,Y]})\psi,$$

这里  $\psi$  是任何 (p,q)-型张量场.

解答. 类似张量的联络导数,记

$$\operatorname{Rm}(X,Y)\phi(\theta^{1},\cdots,\theta^{r},X_{1},\cdots,X_{s})$$

$$:=\operatorname{Rm}(X,Y)(\phi(\theta^{1},\cdots,\theta^{r},X_{1},\cdots,X_{s}))$$

$$-\sum_{a=1}^{r}\phi(\theta^{1},\cdots,\theta^{a-1},\operatorname{Rm}(X,Y)\theta^{a},\theta^{a+1},\cdots,\theta^{r},X_{1},\cdots,X_{s})$$

$$-\sum_{b=1}^{r}\phi(\theta^{1},\cdots,\theta^{r},X_{1},\cdots,X_{b-1},\operatorname{Rm}(X,Y)X_{b},X_{b+1},\cdots,X_{s}).$$

则 Ricci 恒等式为  $\nabla^2 \phi(\dots; X, Y) - \nabla^2 \phi(\dots; Y, X) = -\text{Rm}(X, Y)\phi(\dots)$ . 对称联络说明,  $\nabla_X Y - \nabla_Y X - [X, Y] = 0$ . 1. 对函数  $f \in C^{\infty}(M)$ ,

$$\nabla^2 f(X,Y) - \nabla^2 f(Y,X)$$

$$= Y(X(f)) - \nabla_Y X(f) - X(Y(f)) + \nabla_X Y(f)$$

$$= Y(X(f)) - X(Y(f)) - [Y,X](f)$$

$$= -\operatorname{Rm}(X,Y)f = 0.$$

2. 对向量场  $Z \in \mathcal{X}(M)$ ,

$$\nabla^{2}Z(\theta;X,Y) - \nabla^{2}Z(\theta;Y,X)$$

$$= Y(X(\theta(Z))) - Y(\nabla_{X}\theta(Z)) - X(\nabla_{Y}\theta(Z)) + \nabla_{X}\nabla_{Y}\theta(Z) - \nabla_{Y}X(\theta(Z)) + \nabla_{\nabla_{Y}X}\theta(Z)$$

$$-X(Y(\theta(Z))) + X(\nabla_{Y}\theta(Z)) + Y(\nabla_{X}\theta(Z)) - \nabla_{Y}\nabla_{X}\theta(Z) + \nabla_{X}Y(\theta(Z)) - \nabla_{\nabla_{X}Y}\theta(Z)$$

$$= (YX - XY - [Y,X])(\theta(Z)) + (\nabla_{X}\nabla_{Y} - \nabla_{Y}\nabla_{X} - \nabla_{[X,Y]})\theta(Z)$$

$$= -\operatorname{Rm}(X,Y)(Z(\theta)) + Z(\operatorname{Rm}(X,Y)\theta).$$

3. 对 1-形式  $\theta \in \Gamma(T^*M)$ ,

$$\nabla^{2}\theta(Z;X,Y) - \nabla^{2}\theta(Z;Y,X)$$

$$= Y(X(\theta(Z))) - Y(\theta(\nabla_{X}Z)) - X(\theta(\nabla_{Y}Z)) + \theta(\nabla_{X}\nabla_{Y}Z) - \nabla_{Y}X(\theta(Z)) + \theta(\nabla_{\nabla_{Y}X}Z)$$

$$-X(Y(\theta(Z))) + X(\theta(\nabla_{Y}Z)) + Y(\theta(\nabla_{X}Z)) - \theta(\nabla_{Y}\nabla_{X}Z) + \nabla_{X}Y(\theta(Z)) - \theta(\nabla_{\nabla_{X}Y}Z)$$

$$= (YX - XY - [Y,X])(\theta(Z)) + \theta((\nabla_{X}\nabla_{Y} - \nabla_{Y}\nabla_{X} - \nabla_{[X,Y]})Z)$$

$$= -\operatorname{Rm}(X,Y)(\theta(Z)) + \theta(\operatorname{Rm}(X,Y)Z).$$

4. 利用  $\nabla_X(\phi \otimes \psi) = \nabla_X \phi \otimes \psi + \phi \otimes \nabla_X \psi$ ,

$$\nabla^{2}(\phi \otimes \psi)(\cdots; X, Y) - \nabla^{2}(\phi \otimes \psi)(\cdots; Y, X)$$

$$= \nabla_{Y}(\nabla(\phi \otimes \psi))(\cdots; X) - \nabla_{X}(\nabla(\phi \otimes \psi))(\cdots; X)$$

$$= \nabla_{Y}(\nabla_{X}(\phi \otimes \psi)) - \nabla_{\nabla_{Y}X}(\phi \otimes \psi) - \nabla_{X}(\nabla_{Y}(\phi \otimes \psi)) + \nabla_{\nabla_{X}Y}(\phi \otimes \psi)$$

$$= \nabla_{Y}(\nabla_{X}\phi \otimes \psi) + \nabla_{Y}(\phi \otimes \nabla_{X}\psi) - \nabla_{\nabla_{Y}X}\phi \otimes \psi - \phi \otimes \nabla_{\nabla_{Y}X}\psi$$

$$- \nabla_{X}(\nabla_{Y}\phi \otimes \psi) - \nabla_{X}(\phi \otimes \nabla_{Y}\psi) + \nabla_{\nabla_{X}Y}\phi \otimes \psi + \phi \otimes \nabla_{\nabla_{X}Y}\psi$$

$$= \nabla_{Y}\nabla_{X}\phi \otimes \psi + \nabla_{X}\phi \otimes \nabla_{Y}\psi + \nabla_{Y}\phi \otimes \nabla_{X}\psi + \phi \otimes \nabla_{Y}\nabla_{X}\psi - \nabla_{\nabla_{Y}X}\phi \otimes \psi - \phi \otimes \nabla_{\nabla_{Y}X}\psi$$

$$- \nabla_{X}\nabla_{Y}\phi \otimes \psi - \nabla_{Y}\phi \otimes \nabla_{X}\psi - \nabla_{X}\phi \otimes \nabla_{Y}\psi - \phi \otimes \nabla_{X}\nabla_{Y}\psi + \nabla_{\nabla_{X}Y}\phi \otimes \psi + \phi \otimes \nabla_{\nabla_{X}Y}\psi$$

$$= (\nabla_{Y}\nabla_{X} - \nabla_{X}\nabla_{Y} - \nabla_{[Y,X]})\phi \otimes \psi + \phi \otimes (\nabla_{Y}\nabla_{X} - \nabla_{X}\nabla_{Y} - \nabla_{[Y,X]})\psi$$

$$= \nabla^{2}\phi(\cdots; X, Y) \otimes \psi(\cdots) + \phi(\cdots) \otimes \nabla^{2}\psi(\cdots; X, Y).$$

5. 最后利用归纳法, 假设 r+s < k 时, (r,s)-型张量场都满足 Ricci 恒等式. 则对于 r+s = k 的张量场  $\phi$ , 其总可以分解为若干 (r,s) 型张量单项式 (即由  $X_i$  和  $\theta^j$  张量得到的). 则我们只需证明 Ricci 恒等式对单项式成立. 对某单项式, 其可以表示为两个指标和低于 k 的张量的张量积  $\phi \otimes \psi$ . 则根据第 4 部分和归纳假设,

$$\nabla^{2}(\phi \otimes \psi)(\cdots; X, Y) - \nabla^{2}(\phi \otimes \psi)(\cdots; Y, X)$$

$$= \nabla^{2}\phi(\cdots; X, Y) \otimes \psi(\cdots) + \phi(\cdots) \otimes \nabla^{2}\psi(\cdots; X, Y)$$

$$= -\operatorname{Rm}(X, Y)\phi(\cdots) \otimes \psi(\cdots) - \phi(\cdots) \otimes \operatorname{Rm}(X, Y)\psi(\cdots)$$

$$= -\operatorname{Rm}(X, Y)(\phi(\cdots))\psi(\cdots) + \sum_{i}\phi(\cdots, \operatorname{Rm}(X, Y)u_{i}, \cdots)\psi(\cdots)$$

$$-\phi(\cdots)\operatorname{Rm}(X, Y)\psi(\cdots) + \phi(\cdots) \sum_{j}\psi(\cdots, \operatorname{Rm}(X, Y)v_{j}, \cdots)$$

$$= -\operatorname{Rm}(X, Y)(\phi \otimes \psi(\cdots)) + \sum_{k}\phi \otimes \psi(\cdots, \operatorname{Rm}(X, Y)w_{k}, \cdots)$$

$$= -\operatorname{Rm}(X, Y)(\phi \otimes \psi)(\cdots).$$

因此 Ricci 恒等式对任意张量成立.

## 0.4 习题 C

题目 4. 证明局部标架的 Ricci 恒等式

$$\phi_{j_1 \dots j_s, kl}^{i_1 \dots i_r} - \phi_{j_1 \dots j_s, lk}^{i_1 \dots i_r} = \sum_{\alpha = 1}^s \phi_{j_1 \dots j_{\alpha - 1} h j_{\alpha + 1} \dots j_s}^{i_1 \dots i_r} \mathbf{R}_{j_\alpha kl}^h - \sum_{\beta = 1}^s \phi_{j_1 \dots j_s}^{i_1 \dots i_{\beta - 1} h i_{\beta + 1} \dots i_r} \mathbf{R}_{hkl}^{i_\beta}.$$

解答. 首先

$$Rm(e_k, e_l)e_j = R_{jkl}^h e_h,$$

以及

$$\operatorname{Rm}(e_k, e_l)\omega^i(e_s) = -\omega^i(\operatorname{Rm}(e_k, e_l)e_s) = -\omega^i(\operatorname{R}_{skl}^h e_h) = -\operatorname{R}_{skl}^i.$$

所以

$$Rm(e_k, e_l)\omega^i = -R^i_{hkl}\omega^h.$$

因此利用习题 B 证明的 Ricci 恒等式,

$$\begin{split} &\phi_{j_1\cdots j_s,kl}^{i_1\cdots i_r} - \phi_{j_1\cdots j_s,lk}^{i_1\cdots i_r} \\ &= \nabla^2 \phi(\omega^1,\cdots,\omega^r,e_1,\cdots,e_s;e_k,e_l) - \nabla^2 \phi(\omega^1,\cdots,\omega^r,e_1,\cdots,e_s;e_l,e_k) \\ &= -\operatorname{Rm}(e_k,e_l)(\phi(\omega^1,\cdots,\omega^r,e_1,\cdots,e_s)) \\ &+ \sum_{\alpha=1}^r \phi(\omega^1,\cdots,\omega^{\alpha-1},\operatorname{Rm}(e_k,e_l)\omega^\alpha,\omega^{\alpha+1},\cdots,\omega^r,e_1,\cdots,e_s) \\ &+ \sum_{b=1}^r \phi(\omega^1,\cdots,\omega^r,e_1,\cdots,e_{\beta-1},\operatorname{Rm}(e_k,e_l)e_\beta,e_{\beta+1},\cdots,e_s) \\ &= \sum_{\alpha=1}^s \phi_{j_1\cdots j_{\alpha-1}hj_{\alpha+1}\cdots j_s}^{i_1\cdots i_r} \operatorname{R}_{j_\alpha kl}^h - \sum_{\beta=1}^s \phi_{j_1\cdots j_s}^{i_1\cdots i_{\beta-1}hi_{\beta+1}\cdots i_r} \operatorname{R}_{hkl}^{i_\beta}. \end{split}$$