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Overview

This document summarizes the results of a global sensitivity and uncertainty analysis for the **blacknosedaceRiv** habitat suitability index (HSI) model for *Rhinichthys atratulus*. Metadata for the model is stored in the ecorest package in R.

The original documentation for this model can be found here¹.

Sub-model: Riverine habitats

The blacknosedaceRiv model is comprised of ${\bf 16}$ variables and ${\bf 6}$ components.

Variables:

Table 1. SIV variables included in the blacknosedaceRiv model. Type indicates whether a variable is numeric or categorical and breakpoints indicates the number of distinct breakpoints in suitability graphs.

	Variable name	Type	Breakpoints
SIV1	strm.area.shaded.SIV	numeric	10
SIV2	pls.pct.SIV	numeric	4
SIV3	strm.gradient.SIV	numeric	11
SIV4	strm.w.SIV	numeric	4
SIV5	suitable. max. wtr. temp. warmest. SIV	numeric	6
SIV6	avg.turb.growing.season.SIV	numeric	14
SIV7	dominant.subs.rffls.SIV	categorical	5
SIV8	strm.d.spwn.rffls.SIV	numeric	12
SIV9	avg.vel.rffls.SIV	numeric	6
SIV10	temp.spwn.may.to.june.SIV	$\operatorname{numeric}$	6
SIV11	subs.pls.slow.channels.ad.SIV	categorical	5
SIV12	${\it avg.vel.pls.slow.channels.ad.SIV}$	numeric	3
SIV13	subs.strm.rffls.juv.SIV	categorical	5
SIV14	vel.rffls.juv.SIV	numeric	12
SIV15	subs.strm.margins.fry.SIV	categorical	5
SIV16	vel.strm.margins.fry.SIV	numeric	12

 $^{^{1}} https://ecolibrary.sec.usace.army.mil/resource/1675ad28-c404-4e70-a7ed-00fd983126d7$

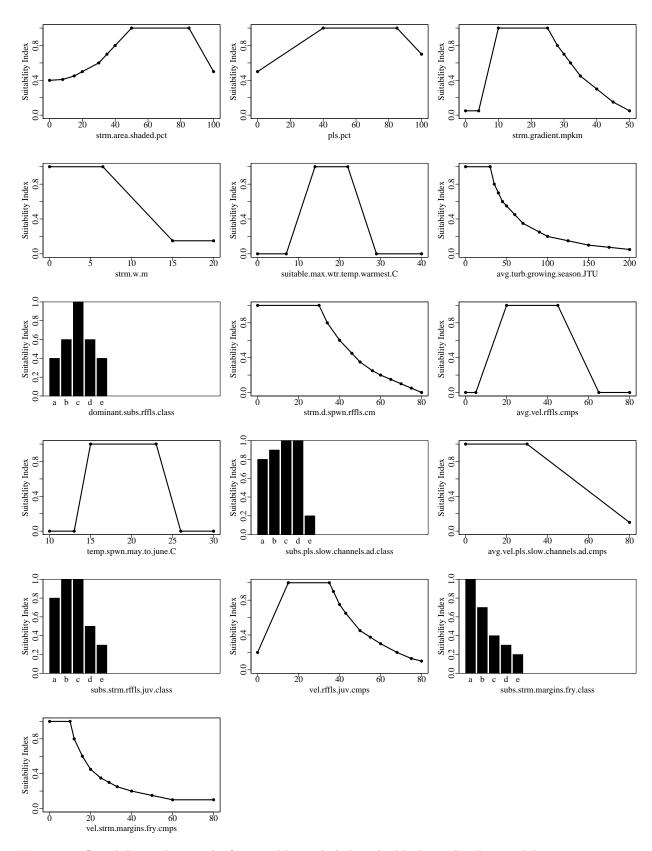


Figure 1. Suitability index graphs for variables included in the blacknosedaceRiv model in ecorest.

Components:

Table 2. Components included in the blacknosedaceRiv model in ecorest.

	Component	Equation
CCF	Food cover component	ifelse(SIV1<=0.4 SIV2<=0.4 SIV3<=0.4 SIV4<=0.4,min(SIV1,SIV2,SIV3,SIV4),((SIV1+SIV2+SIV3+SIV4)/4))
CWQ	Water quality component	ifelse(SIV5 $<=0.4$ SIV6 $<=0.4$,min(SIV5,SIV6),(((SIV5)^2)*SIV6)^ (1/3))
CR	Reproduction component	ifelse(SIV7<=0.4 SIV8<=0.4 SIV9<=0.4 SIV10<=0.4,min(SIV7,SIV 8,SIV9,SIV10),(((SIV7*SIV8*(SIV9^2))^(1/4)+SIV10)/2))
CJ	Juvenile component	ifelse(SIV13 $<=0.4$ SIV14 $<=0.4$,min(SIV13,SIV14),(SIV13*SIV14)^ (1/2))
CFr	Fry component	ifelse(SIV15 $<=0.4$ SIV16 $<=0.4$,min(SIV15,SIV16),(SIV15*SIV16)^ (1/2))
CA	Adult component	ifelse(SIV11<=0.4 SIV12<=0.4,min(SIV11,SIV12),(SIV11*SIV12)^

Model equation:

The equation to calculate an overall HSI index for the blacknosedaceRiv model is:

 $ifelse(CCF <= 0.4 | CWQ <= 0.4 | (!is.na(CR)\&CR <= 0.4) | (!is.na(CA)\&CA <= 0.4) | (!is.na(CJ)\&CJ <= 0.4) | (!is.na(CJ)\&CJ <= 0.4) | (!is.na(CF)\&CFr <= 0.4), \\ min(CCF,CWQ,CR,CA,CJ,CFr,na.rm=T), ifelse(is.na(CR),prod(CCF,CWQ,CA,CJ,CFr,na.rm=T),prod(CCF,CWQ,CR)^(1/3)*(prod(CA,CJ,CFr,na.rm=T)^(1/length(na.omit(c(CA,CJ,CFr,na.rm=T))))))))$

According to our classification, this model's format is: author-specified

Global sensitivity and uncertainty analysis:

We ran global sensitivity and uncertainty analyses on the blacknosed aceRiv model using the sensobol package in R (Puy et al. 2022). The following parameters were used for the sensobol analysis:

Table 3. Parameters and settings used for sensobol sensitivity and uncertainty analyses.

Parameter	Equation	Value
Number of input variables (M) Base sample size (n) Number of model evaluations (N) First order estimator Total order estimator	- - n*(M+2) See Puy et al. (2022) See Puy et al. (2022)	16 10000 180000 Saltelli Jansen
Number of bootstrap replications Sampling scheme Matrices	- - -	1000 Quasi-random A, B, AB

We ran a sensitivity and uncertainty analysis for the blacknosed aceRiv model using the original equation outlined in the documentation from Trial et al. (1983) and using arithmetic mean, geometric mean, limiting factor, and multiplicative equations to contrast the results across different equation structures.

Model uncertainty

We ran the blacknosed aceRiv model using 180000 combinations of its SIV variables, which were sampled from a uniform distribution spanning the range of possible values listed in the blacknosed aceRiv documentation. We limited the range of possible values for each parameter to the range in which the SIV values were greater than zero to prevent HSI score distributions with primarily zero values.

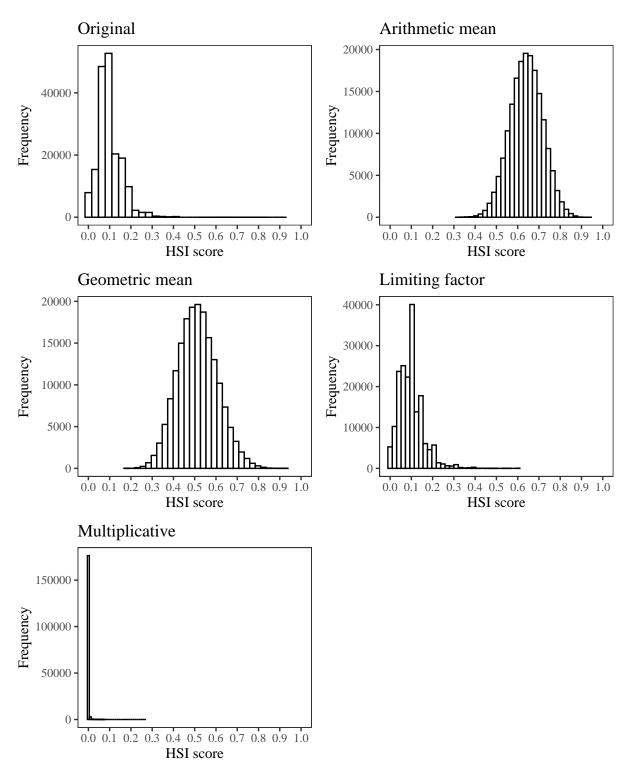


Figure 2. Empirical distributions of HSI scores for the blacknosedaceRiv model using the original author-specified model equation from Trial et al. (1983), and an arithmetic mean, geometric mean, limiting factor, and multiplicative structure incorporating all SIV variables. Note differences in the y axis.

We assumed a uniform distribution for all parameters because we evaluated all ecorest models in batch. Should you decide to run your own sensitivity analysis, this assumption should be evaluated independently for each parameter in the model.

Table 4. Quantiles from the empirical distribution of HSI scores for the original blacknosedaceRiv model structure, an arithmetic mean equation, a geometric mean equation, a limiting factor equation, and a multiplicative equation structure.

	1%	2.5%	5%	25%	50%	75 %	95%	97.5%	99%	100%
Original	0.00	0.01	0.02	0.06	0.10	0.13	0.20	0.23	0.30	0.92
Arithmetic	0.46	0.49	0.51	0.59	0.64	0.69	0.77	0.79	0.81	0.93
Geometric	0.31	0.34	0.37	0.45	0.51	0.57	0.67	0.70	0.74	0.92
Limiting	0.00	0.01	0.02	0.06	0.10	0.13	0.20	0.23	0.30	0.60
Multiplicative	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.01	0.27

The empirical distribution of the original blacknosedaceRiv model has a coefficient of variation (CV) of **0.586**, while the arithmetic mean model has a CV of **0.119**, the geometric mean model has a CV of **0.181**, the limiting factor model has a CV of **0.579**, and the multiplicative model has a CV of **5.737**. Hence, the **Multiplicative** model is the most uncertain, while the **Arithmetic mean** model is the least uncertain.

Model sensitivity

Below are the results of the global sensitivity analysis for the blacknosedaceRiv model using the original equation, an arithmetic mean, a geometric mean, a limiting factor, and a multiplicative model structure. The sensobol package uses variance-based sensitivity metrics, so the model's sensitivity to a given parameter is a measure of how much variance in the HSI score decreases in response to that parameter being fixed (Puy et al. 2022). For each parameter, the observed changes in the variance of the HSI score can be described with a first order sensitivity index (S_i) that accounts for the influence of a single parameter of interest on variance in HSI, or with a total order index (T_i) that accounts for the influence of a single parameter on its own and in combination with all other parameters (*i.e.*, interactions) (Puy et al. 2022). We can compare the 95% confidence intervals for the first and total order indices to a dummy parameter, which represents a parameter that has no influence on the variance in a model's output. While an uninfluential variable should theoretically have an S_i and T_i of zero, small approximation errors can lead variables to have a non-zero influence on a model's output (Puy et al. 2022). If the confidence interval of the S_i and T_i index for a given parameter overlaps the confidence interval of the dummy parameter, we can deduce that the parameter has a negligible effect on variance in HSI scores, both on its own and in combination with all other variables.

Original model

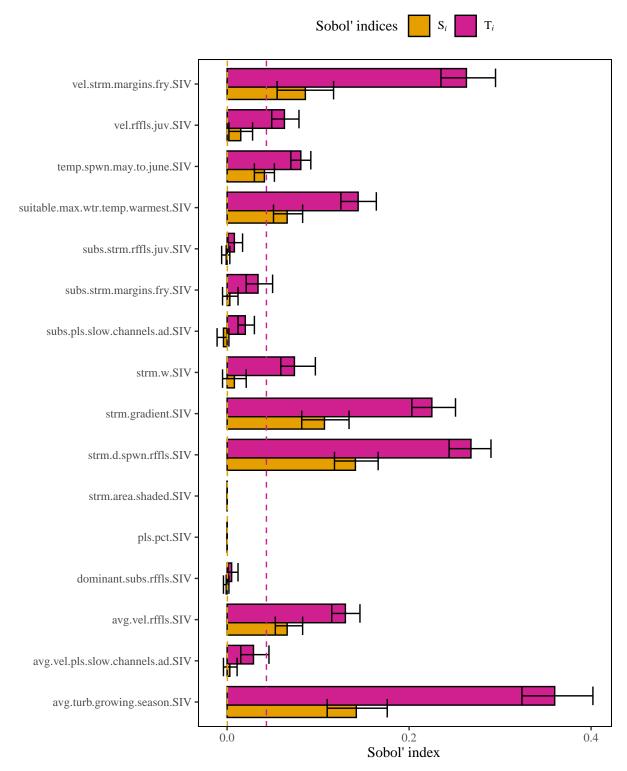


Figure 3. Results of a sensitivity analysis for the blacknosed aceRiv model based on the original author-specified model outlined in Trial et al. (1983). Dashed lines represent baseline numerical approximation error for S_i and T_i (*i.e.*, dummy variables).

Arithmetic mean model

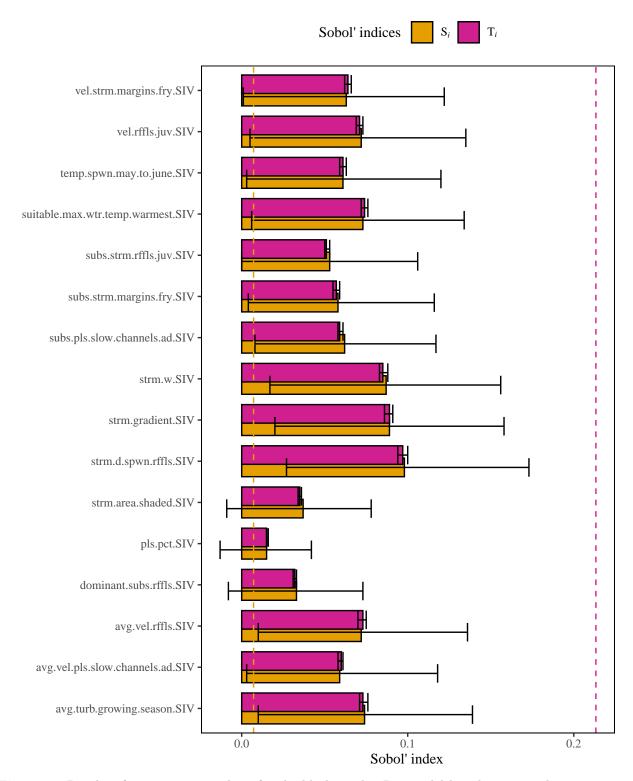


Figure 4. Results of a sensitivity analysis for the blacknosed aceRiv model based on an arithmetic mean structure. Dashed lines represent baseline numerical approximation error for S_i and T_i (*i.e.*, dummy variables).

Geometric mean model

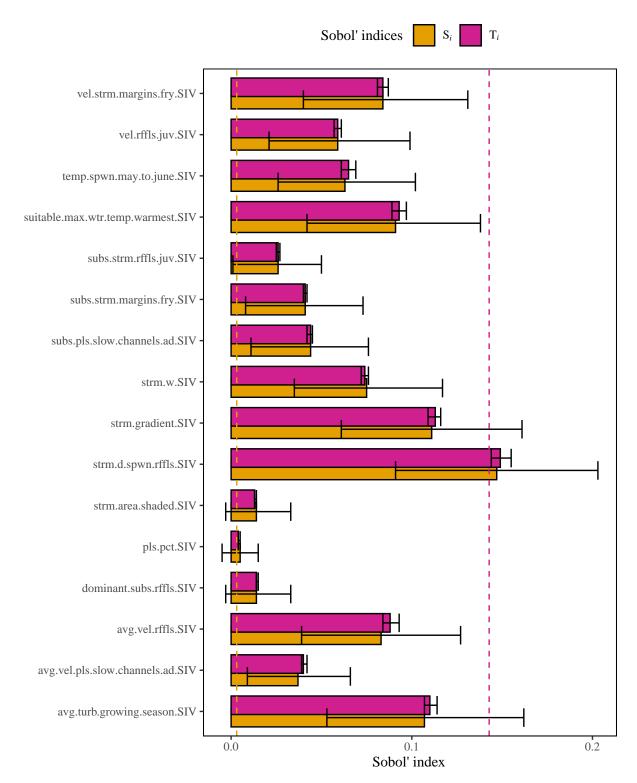


Figure 5. Results of a sensitivity analysis for the blacknosed aceRiv model based on a geometric mean structure. Dashed lines represent baseline numerical approximation error for S_i and T_i (*i.e.*, dummy variables).

Limiting factor model

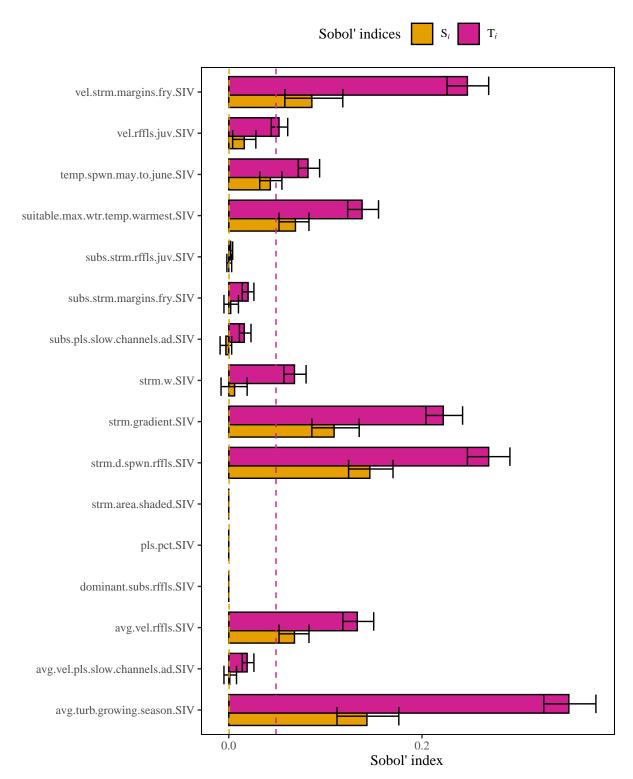


Figure 6. Results of a sensitivity analysis for the blacknosed aceRiv model based on a limiting factor structure. Dashed lines represent baseline numerical approximation error for S_i and T_i (*i.e.*, dummy variables).

Multiplicative model

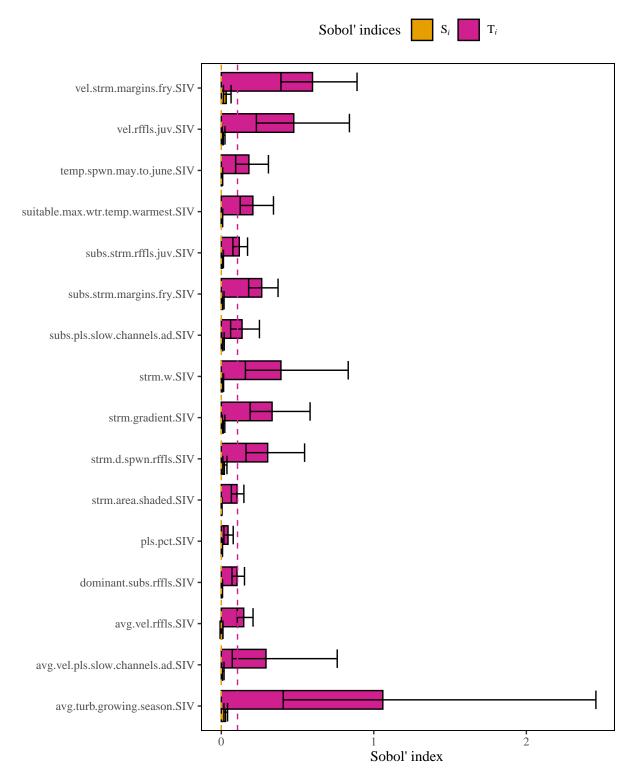


Figure 7. Results of a sensitivity analysis for the blacknosed aceRiv model based on a multiplicative mean structure. Dashed lines represent baseline numerical approximation error for S_i and T_i (*i.e.*, dummy variables).

Summary of influential variables

Original model In the original blacknosedaceRiv model, 9 of 16 variables are influential and avg.turb.growing.season.SIV has the highest first order sensitivity. In addition, avg.turb.growing.season.SIV has the highest total order sensitivity.

Un-influential variables in original model:

```
strm.area.shaded.SIV
pls.pct.SIV
dominant.subs.rffls.SIV
subs.pls.slow.channels.ad.SIV
avg.vel.pls.slow.channels.ad.SIV
subs.strm.rffls.juv.SIV
subs.strm.margins.fry.SIV
```

Arithmetic mean model In the arithmetic mean blacknosedaceRiv model, 6 of 16 variables are influential and strm.d.spwn.rffls.SIV has the highest first order sensitivity. In addition, strm.d.spwn.rffls.SIV has the highest total order sensitivity.

Un-influential variables in arithmetic mean model:

```
strm.area.shaded.SIV
pls.pct.SIV
suitable.max.wtr.temp.warmest.SIV
dominant.subs.rffls.SIV
temp.spwn.may.to.june.SIV
avg.vel.pls.slow.channels.ad.SIV
subs.strm.rffls.juv.SIV
vel.rffls.juv.SIV
subs.strm.margins.fry.SIV
vel.strm.margins.fry.SIV
```

Geometric mean model In the geometric mean blacknosedaceRiv model, 12 of 16 variables are influential and strm.d.spwn.rffls.SIV has the highest first order sensitivity. In addition, strm.d.spwn.rffls.SIV has the highest total order sensitivity.

Un-influential variables in geometric mean model:

```
strm.area.shaded.SIV
pls.pct.SIV
dominant.subs.rffls.SIV
subs.strm.rffls.juv.SIV
```

Limiting factor model In the limiting factor blacknosedaceRiv model, 9 of 16 variables are influential and strm.d.spwn.rffls.SIV has the highest first order sensitivity. In addition, avg.turb.growing.season.SIV has the highest total order sensitivity.

Un-influential variables in limiting factor mean model:

```
strm.area.shaded.SIV
pls.pct.SIV
dominant.subs.rffls.SIV
subs.pls.slow.channels.ad.SIV
avg.vel.pls.slow.channels.ad.SIV
```

```
subs.strm.rffls.juv.SIV
subs.strm.margins.fry.SIV
```

Multiplicative model In the multiplicative mean blacknosedaceRiv model, 14 of 16 variables are influential and vel.strm.margins.fry.SIV has the highest first order sensitivity. In addition, avg.turb.growing.season.SIV has the highest total order sensitivity.

Un-influential variables in multiplicative model:

```
strm.area.shaded.SIV
avg.vel.rffls.SIV
```

References

- 1. McKay S, D Hernandez-Abrams, and K Cushway. 2024. ecorest: conducts analyses informing ecosystem restoration decisions. R package version 2.0.0, https://CRAN.R-project.org/package=ecorest.
- 2. Puy, A, S Lo Piano, A Saltelli, and SA Levin. 2022. sensobol: an R package to compute variance based sensitivity indices. Journal of Statistical Software 102(5):1-37. doi: 10.18637/jss.v102.i05
- 3. Trial, JG, JG Stanley, M Batcheller, G Gebhart, OE Maughan, and PC Nelson. 1983. Habitat suitability information: Blacknose dace. US Department of Interior, Fish and Wildlife Service. FWS/OBS-82/10.41. 28 pp.