

Keywords

π -calculus, proof-of-stake, blockchain, types, Curry-Howard

ABSTRACT

We present Casper, a proof-of-stake protocol, and its formal specification in π -calculus.

Formally introducing Casper

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1. INTRODUCTION AND MOTIVATION

1.0.1 Related work

1.0.2 Organization of the rest of the paper

2. CASPER, INFORMALLY

3. THE CALCULUS

One notable feature of the π -calculus is its ability to succinctly and faithfully model a number of phenomena of concurrent and distributed computing. Competition for resources amongst autonomously executing processes is a case in point. The expression

$$x?(y) \Rightarrow P \mid x!(u) \mid x?(v) \Rightarrow Q$$

is made by composing three processes, two of which, $x?(y) \Rightarrow P$ and $x?(v) \Rightarrow Q$ are seeking input from channel x before they launch their respective continuations, P and/or Q ; while the third, $x!(u)$, is supplying output on that same said channel. Only one of the input-guarded processes will win, receiving u and binding it to the input variable, y , or respectively, v in the body of the corresponding continuation – while the loser remains in the input-guarded state awaiting input along channel x . The calculus is equinanimous, treating both outcomes as equally likely, and in this regard is unlike its sequential counterpart, the λ -calculus, in that it is not *confluent*. There is no guarantee that the different branches of computation must eventually converge. Note that just adding a *new-scope* around the expression

$$(\text{new } x)(x?(y) \Rightarrow P \mid x!(u) \mid x?(v) \Rightarrow Q)$$

ensures that the competition is for a local resource, hidden from any external observer.

3.1 Our running process calculus

3.1.1 Syntax

$$\begin{array}{ll} P ::= 0 & \text{stopped process} \\ \mid x?(y_1, \dots, y_n) \Rightarrow P & \text{input} \\ \mid x!(y_1, \dots, y_n) & \text{output} \\ \mid (\text{new } x)P & \text{new channel} \\ \mid P \mid Q & \text{parallel} \end{array}$$

Due to space limitations we do not treat replication, $!P$.

3.1.2 Free and bound names

$$\begin{aligned} \mathcal{FN}(0) &:= \emptyset \\ \mathcal{FN}(x?(y_1, \dots, y_n) \Rightarrow P) &:= \{x\} \cup (\mathcal{FN}(P) \setminus \{y_1, \dots, y_n\}) \\ \mathcal{FN}(x!(y_1, \dots, y_n)) &:= \{x, y_1, \dots, y_n\} \\ \mathcal{FN}((\text{new } x)P) &:= \mathcal{FN}(P) \setminus \{x\} \\ \mathcal{FN}(P \mid Q) &:= \mathcal{FN}(P) \cup \mathcal{FN}(Q) \end{aligned}$$

An occurrence of x in a process P is *bound* if it is not free. The set of names occurring in a process (bound or free) is denoted by $\mathcal{N}(P)$.

3.1.3 Structural congruence

The *structural congruence* of processes, noted \equiv , is the least congruence containing α -equivalence, \equiv_α , making $(P, \mid, 0)$ into commutative monoids and satisfying

$$(\text{new } x)(\text{new } x)P \equiv (\text{new } x)P$$

$$(\text{new } x)(\text{new } y)P \equiv (\text{new } y)(\text{new } x)P$$

$$((\text{new } x)P) \mid Q \equiv (\text{new } x)(P \mid Q)$$

3.1.4 Operational Semantics

$$\frac{|\vec{y}| = |\vec{z}|}{x?(\vec{y}) \Rightarrow P \mid x!(\vec{z}) \rightarrow P\{\vec{z}/\vec{y}\}} \quad (\text{COMM})$$

In addition, we have the following context rules:

$$\frac{P \rightarrow P'}{P \mid Q \rightarrow P' \mid Q} \quad (\text{PAR})$$

$$\frac{P \rightarrow P'}{(\text{new } x)P \rightarrow (\text{new } x)P'} \quad (\text{NEW})$$

$$\frac{P \equiv P' \quad P' \rightarrow Q' \quad Q' \equiv Q}{P \rightarrow Q} \quad (\text{EQUIV})$$

3.1.5 Bisimulation

DEFINITION 3.1.1. An observation relation, \downarrow is the smallest relation satisfying the rules below.

$$\frac{}{x!(\hat{y}) \downarrow x} \quad (\text{OUT-BARB})$$

$$\frac{P \downarrow x \text{ or } Q \downarrow x}{P \mid Q \downarrow x} \quad (\text{PAR-BARB})$$

Notice that $x?(y) \Rightarrow P$ has no barb. Indeed, in π -calculus as well as other asynchronous calculi, an observer has no direct means to detect if a sent message has been received or not.

DEFINITION 3.1.2. An barbed bisimulation, is a symmetric binary relation \mathcal{S} between agents such that $P \mathcal{S} Q$ implies:

1. If $P \rightarrow P'$ then $Q \rightarrow Q'$ and $P' \mathcal{S} Q'$.
2. If $P \downarrow x$, then $Q \downarrow x$.

P is barbed bisimilar to Q , written $P \dot{\approx} Q$, if $P \mathcal{S} Q$ for some barbed bisimulation \mathcal{S} .

4. FORMALLY INTRODUCING CASPER

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5. APPENDIX: BECAUSE EVERY TECHNICAL PAPER NEEDS AN APPENDIX