Name-free combinators for concurrency

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Abstract. Yoshida demonstrated how to eliminate the bound names coming from the input prefix in the asynchronous π -calculus, but her combinators still depend on the new operator to bind names. We modify Yoshida's combinators by replacing new and replication with reflective operators to provide the first combinator calculus with no bound names into which the asynchronous π -calculus has a faithful embedding. We also show that multisorted Lawvere theories enriched over graphs suffice to capture the operational semantics of the calculus.

1 Introduction

variable names is subtle. Schönfinkel introduced the SKI combinator calculus in 1924 to clarify the role of quantified variables in intuitionistic logic by eliminating them [11]; Curry developed Schönfinkel's ideas much further. The recent work by Jamie Gabbay and Andrew Pitts [2] and others [1] on nominal set theory has put the study of bound names and substitution on a much nicer foundation, but there is still value in eliminating bound variables because of the clear connection between combinators and logical axioms. As concurrency becomes more important to the practice of computer science, it is worth attempting to eliminate bound variables or names to study the resulting logics.

Many term calculi, like λ -calculus or π -calculus, involve binders for names, and the mathematics of bound

The π -calculus ([8]) has two binders for names: the new operator, which introduces a new name into scope, and the input prefix, which introduces a name for labeling locations for substitution. Yoshida [14] describes an elimination algorithm that gets rid of input prefixes, but not the new operator. Meredith and Radestock [7] introduce reflective operators into a higher-order π -calculus and implment new and replication in terms of reflection. Here, we present a fusion of those ideas: a name-free concurrent combinator calculus into which Yoshida's combinators have a faithful embedding. The operational semantics of the resulting calculus can be presented using a Lawvere theory enriched over graphs.

Lawvere theories enriched over category-like structures such as graphs and posets provide a nice framework for term rewriting [5]: the underlying Lawvere theory provides an algebraic signature and a structural congruence for the syntax of terms, while the enrichment provides structure for encoding the reduction relation on the terms.

2 A reflective higher-order concurrent combinator calculus

2.1 Yoshida's original combinator calculus

As in the π -calculus, the new operator is a binding operator for names, so Yoshida's calculus also has a notion of free and bound names.

$$\mathsf{FN}(0) := \emptyset \qquad \mathsf{FN}(k(a)) := \{a\} \qquad \mathsf{FN}(m(a,b)) = \mathsf{FN}(f(a,b)) = \mathsf{FN}(br(a,b)) = \mathsf{FN}(bl(a,b)) := \{a,b\}$$

$$\mathsf{FN}(d(a,b,c)) = \mathsf{FN}(s(a,b,c)) := \{a,b,c\} \qquad \mathsf{FN}((\mathsf{new}\ a)P) := \mathsf{FN}(P) \setminus \{a\}$$

$$\mathsf{FN}(P|Q) := \mathsf{FN}(P) \cup \mathsf{FN}(Q)$$
 $\mathsf{FN}(!P) := \mathsf{FN}(P)$

The bound names of a process, $\mathsf{BN}(P)$, are those names occurring in P that are not free. For example, in $(\mathsf{new}\ b)m(a,b)$, the name a is free, while b is bound.

In the following definition, \vec{x} indicates a list of names.

 $d(a,b,c)|m(a,x) \to m(b,x)|m(c,x)$

 $k(a)|m(a,x)\to 0$

Definition 1. Two processes, P,Q, are alpha-equivalent if $P = Q\{\overrightarrow{y} / \overrightarrow{x}\}$ for some $\overrightarrow{x} \in BN(Q)$, $\overrightarrow{y} \in BN(P)$, where $Q\{\overrightarrow{y} / \overrightarrow{x}\}$ denotes the capture-avoiding substitution of \overrightarrow{y} for \overrightarrow{x} in Q.

Definition 2. The structural congruence \equiv between processes [10] is the least congruence containing alpha-equivalence and satisfying the commutative monoid laws (associativity, commutativity and 0 as identity) for parallel composition |.

 $br(a,b)|m(a,x) \to fw(b,x)$

 $bl(a,b)|m(a,x) \to fw(x,b)$

Rewrite rules

$$fw(a,b)|m(a,x) \to m(b,x) \qquad s(a,b,c)|m(a,x) \to fw(b,c)$$

$$!P \to P|!P$$

$$\frac{P \to P'}{P|Q \to P'|Q} \qquad \frac{P \equiv P' \quad P' \to Q' \quad Q' \equiv Q}{P \to Q}$$

2.2 RHO combinator calculus

an operational view, one may think of the π -calculus as a procedure that when handed a theory of names provides a theory of processes that communicate over those names. This openness of the theory has been exploited in π -calculus implementations like the execution engine in Microsoft's Biztalk [6], where an ancillary binding language provides a means of specifying a 'theory' of names: e.g., names may be TCP/IP ports, or URLs, or object references, etc. But foundationally, one might ask if there is a closed theory of processes, i.e. one in which the theory of names arises from and is wholly determined by the theory of processes. Meredith and Radestock have shown that this is not only possible, but results in a calculus that enjoys both the features of concurrency and meta-programming [7]. The key idea is to

The π -calculus is not a closed theory, but rather a theory dependent upon some theory of names. Taking

reflecting names (which are quoted processes) back as processes.

The same technique can be applied to Yoshida's combinators. We remove new names and replication, introduce quoting/unquoting operators, allow processes in the second argument of a send, and introduce an extra rewrite governing the interaction between sending and unquoting.

provide the ability to quote processes, effectively reifying them as names, and to unquote the, effectively

ATOM
$$P ::= 0 \mid m(a,P) \mid d(a,b,c) \mid k(a) \mid fw(a,b) \mid br(a,b) \mid bl(a,b) \mid s(a,b,c)$$
 PROCESS NOMINAL
$$a ::= @P$$

Rewrite rules

$$\begin{aligned} d(a,b,c)|m(a,P) &\rightarrow m(b,P)|m(c,P) \\ k(a)|m(a,P) &\rightarrow 0 \\ fw(a,b)|m(a,P) &\rightarrow m(b,P) \end{aligned} \qquad \begin{aligned} br(a,b)|m(a,P) &\rightarrow fw(b,@(P)) \\ bl(a,b)|m(a,P) &\rightarrow fw(@(P),b) \\ s(a,b,c)|m(a,P) &\rightarrow fw(b,c) \\ *(a)|m(a,P) &\rightarrow P \end{aligned}$$

$$\frac{P \to P'}{P|Q \to P'|Q} \qquad \qquad \frac{P \equiv P' \qquad P' \to Q' \qquad Q' \equiv Q}{P \to Q}$$

Definition 3. The structural congruence \equiv between processes [10] is the least congruence satisfying the commutative monoid laws (associativity, commutativity and 0 as identity) for parallel composition |.

Note that alpha equivalence is no longer part of structural congruence. While there is a faithful embedding of Yoshida's combinators into RHO combinators (see below), RHO combinators can see the internal structure of names and distinguish them.

Implementing replication with reflection As mentioned before, it is known that replication (and hence recursion) can be implemented in a higher-order process algebra [10]. As our first example of calculation with the machinery thus far presented we give the construction explicitly in the RHO combinator calculus.

Definition 4 (Replication). D(x, v, w) := (d(x, v, w)|f(w(v, x)|*(w))

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 \begin{aligned} !_{(x,v,w)}P &= m(x,D(x,v,w) \mid P) \mid D(x,v,w) \\ &= d(x,v,w) \mid fw(v,x) \mid *(w) \mid m(x,D(x,v,w) \mid P) \\ &\to m(v,D(x,v,w) \mid P) \mid m(w,D(x,v,w) \mid P) \mid fw(v,x) \mid *(w) \mid m(x,D(x,v,w) \mid P) \\ &\to m(x,D(x,v,w) \mid P) \mid m(w,D(x,v,w) \mid P) \mid *(w) \\ &\to m(x,D(x,v,w) \mid P) \mid D(x,v,w) \mid P \end{aligned}
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obtain a lazier and more implementable replication operator, restricted to the image of input-guarded processes. The reader familiar with the λ -calculus will have noticed the similarity between D and the "paradoxical" or "fixed point" combinator Y.

Implementing new names with reflection Here we provide an encoding of Yoshida's combinator calculus into the RHO combinator calculus. Since all names are global in the RHO combinator calculus, we encounter a small complication in the treatment of free names at the outset. There are several ways

Of course, this encoding, as an implementation, runs away, unfolding !P eagerly. It is possible to

to handle this. One is to insist that the translation be handed a closed program, one in which all names are bound either by input or by restriction; this alternative feels inelegant. Another is to provide an environment, $r: \mathcal{N}_{\pi} \to @Proc$, for mapping the free names in a Yoshida process into names in the RHO combinator calculus. Maintaining the updates to the environment, however, obscures the simplicity of the translation. We adopt a third alternative.

To hammer home the point that Yoshida's combinator calculus is parameterized in a theory of names,

To nammer nome the point that Yoshida's combinator calculus is parameterized in a theory of names, we instantiate her calculus with the names of the RHO combinator calculus. This is no different than instantiating her calculus using the natural numbers, or the set of URLs as the set of names. Just as there is no connection between the structure of these kinds of names and the structure of processes in the π -calculus, there is no connection between the processes quoted in the names used by the theory and the processes generated by the theory, and we exploit this fact.

Let @Proc be set of names in the RHO combinator calculus, Proc be the set of terms of the RHO combinator calculus, and $Proc_{Yoshida}$ be the set of terms of her combinator calculus built using @Proc as the names. The translation will be given in terms of a function

$$\llbracket - \rrbracket_2(-,-) : \operatorname{Proc}_{\scriptscriptstyle{\operatorname{Yoshida}}} \times @ \operatorname{Proc} \times @ \operatorname{Proc} \rightarrow \operatorname{Proc}.$$

The guiding intuition is that we construct alongside the process a distributed memory allocator, the process' access to which is mediated through the second argument to the function, called p below. The first argument, called n below, determines the shape of the memory for the given allocator.

Since Yoshida's calculus is parametric in a set of names, we can choose @Proc for that set. Given a process P in Yoshida's calculus, we pick names n and p in the RHO calculus such that $n \neq p$ and both are distinct from the free names of P. Then we define

$$[\![P]\!] = [\![P]\!]_2(n,p),$$

Name allocation will make heavy use of the following two name constructors

$$x^{l} := @b_{l}(x, m(x, *x))$$

 $x^{r} := @b_{r}(x, m(x, *x))$

Note that by construction, @P cannot occur as a name in P and hence any name derived from a process that is built using @P cannot occur in P. Thus, the effect of the superscripts l and r on a name x is to construct a name that is guaranteed to be fresh with respect to the free names of the process being interpreted.

The interpretation function $[-]_2(n,p)$ is straightforward for all but replication and new.

$$[\![0]\!]_2(n,p) = 0$$

$$[\![c(\vec{a})]\!]_2(n,p) = c(\vec{a}), \text{ where } c \text{ is any combinator but } m$$

$$[\![m(aP)]\!]_2(n,p) = m(a[\![P]\!]_2(n,p))$$

$$[\![P \mid Q]\!]_2(n,p) = [\![P]\!]_2(n^l,p^l) \mid [\![Q]\!]_2(n^r,p^r)$$

These latter two forms require extra care. We define them in terms of a prefix form and then use a version of Yoshida's prefix elimination to remove the prefix.

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 \begin{split} [\![!P]\!]_2(n,p) &= m(x, [\![P]\!]_3(n^r,p^r))|D(x,v,w)|m(n^r,*n^l)|m(n^r,*n^l)\\ &\quad \text{where } x = @([\![P]\!]_2(n,p))^{ll}, v = @([\![P]\!]_2(n,p))^{lr}, \text{ and } w = @([\![P]\!]_2(n,p))^{rr}\\ [\![(\mathsf{new}\ x)P]\!]_2(n,p) &= [\![p?(x).[\![P]\!]_2(n^l,p^l)|m(p,*n)]\!]_4(n,p) \end{split}
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As expected, the interpretation of replication makes use of the D operator defined above. Note that name allocation is intertwined with prefix and new elimination.

$$[\![P]\!]_3(n,p) := [\![n?(n').p?(p').([\![P]\!]_2(n',p')|(D(x)|n!(n'^l)|p!(p'^l)))]\!]_4.$$

To handle prefix elimination we must essentially import all of Yoshida's algorithm. The key difference is that we have name allocation which guarantees freshness relative to the free names of P. Like her, we assume the following annotations (+ stands for the output and stands for the input), which denote how each name is used in the rules of interaction:

 $m(a^+,v^\pm);d(a,b^+,c^+);k(a);fw(a,b^+);bl(ab^+);br(ab);s(abc^+)$

Note the annotated polarities are preserved by reduction, e.g. $d(a,b^+,c^+)|m(a^+,v)\to m(b^+,v)|m(b^+,v)$

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[p?(x).(c(v^+,w))]_4(n,q) := (s(pav)|c(v^+w)) where a = @(m(q,n)|c(v^+,w))
           [p?(x).c(v^-,w)]_4(n,q) := (s(pva)|c(v^-w)) where a = @(m(q,n)|c(v^-,w))
           [p?(x).(m(v,x))]_4(n,q) := fw(pv)
           [p?(x).(f(x,v))]_4(n,q) := b_l(pv)
           [p?(x).(f(v,x))]_4(n,q) := b_r(pv)
         [p?(x).c(v,x^+,w)]_4(n,q) := (fw(ax)|c(v,a,w)) where a = @(m(q,n)|c(v^-,w))
         [p?(x).(b_r(v,x^-))]_4(n,q) := [p?(x).(d(v,w_1,w_2)|s(w_1,x,w_3)|br(w_2,w_3))]_4(n,q')
                                       where w_1 = @(b_l(q, n)|b_r(v, x^-)), w_2 = @(m(q, n)|b_r(v, x^-)),
                                       w_3 = \mathbb{Q}(b_r(p,v)|b_r(v,x^-)), \text{ and } q' = s(w_1,w_{2w_2})
       [\![p?(x).(s(v,x^-,w))]\!]_4(n,q) := [\![p?(x).a?(x).(s(v,w_1,w_2)|m(w_1,x)|bl(w_2,w))]\!]_4(n,q')
                                       where w_1 = @(b_l(q, n)|b_r(v, x^-)), w_2 = @(m(q, n)|b_r(v, x^-)),
                                       and w_3 = @(b_r(p, v)|b_r(v, x^-))
   Note that all new-binding is now interpreted, as in Wischik's global \pi-calculus, as an input [13].
   It is also noteworthy that the translation is dependent on how the parallel compositions in a process
are associated. Different associations will result in different bindings for new names. This will not result
in different behavior, however: while the RHO combinators can encode different behaviors depending on
the choice of name, Yoshida's combinators cannot and the embedding is insensitive to the choice.
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where $v = @m(q(b_l(q, n)|P|Q)), w = @(m(q, b_r(q, n)|P|Q)),$ $q'_1 = @b_l(v, w) | m(q(m(v, w))), \ q'_1 = @b_r(v, w) | m(q(m(v, w)))$

 $[p?(x).P|Q]_4(n,q) := (d(p,v,w))[[v?(x).P]_4(n,q_1')][[w?(x).Q]_4(n,q_2')$

Faithfulness of the translation

Definition 5. An observation relation, $\downarrow_{\mathcal{N}}$, over a set of names, \mathcal{N} , is the smallest relation satisfying the rules below.

the rules below.
$$\frac{y \in \mathcal{N}, \ x \equiv_N y}{m(x-) \mid_{\mathcal{N}} x} \tag{Out-barb}$$

 $\frac{P \downarrow_{\mathcal{N}} x \text{ or } Q \downarrow_{\mathcal{N}} x}{P|Q \downarrow_{\mathcal{N}} x}$

We write
$$P \downarrow_{\mathcal{N}} x$$
 if there is Q such that $P \Rightarrow Q$ and $Q \downarrow_{\mathcal{N}} x$.

This definition is parametric in the argument accepted in the second position in the message

(PAR-BARB)

combinator, m(x-), i.e. the payload of the message: in the RHO combinators the payload is a process, while in Yoshida's the payload is a name. Likewise, because the definition of barbed bisimulation given below is dependent on the definition of the observation relation, the definition is really a template for the notion of bisimulation that must be instantiated to the kind of payload accepted by the message

combinator. **Definition 6.** An \mathcal{N} -barbed bisimulation over a set of names, \mathcal{N} , is a symmetric binary relation $\mathcal{S}_{\mathcal{N}}$

1. If $P \to P'$ then $Q \Rightarrow Q'$ and $P' \mathcal{S}_N Q'$. 2. If $P \downarrow_{\mathcal{N}} x$, then $Q \Downarrow_{\mathcal{N}} x$.

between agents such that $P \mathcal{S}_{N}Q$ implies:

P is \mathcal{N} -barbed bisimilar to Q, written $P \approx_{\mathcal{N}} Q$, if $P \mathrel{\mathcal{S}}_{\mathcal{N}} Q$ for some \mathcal{N} -barbed bisimulation $\mathrel{\mathcal{S}}_{\mathcal{N}}$.

Theorem 1. $P \stackrel{.}{\approx}_{\pi} Q \iff \llbracket P \rrbracket \stackrel{.}{\approx}_{FN(P)} \llbracket Q \rrbracket$.

Proof (Proof sketch). The forward direction \Rightarrow is immediate from the definition of the translation. The reverse direction is only interesting in the case of ! and new. In the first case, this is exactly why we carried out the replication calculation in more detail. In the second case, transitions on new-bound names will be in one-to-one correspondence with names provided by the name parameters of the translation function. By construction, these are not observable by the observation relation.

Remark 1. In light of this theorem, it is worth pointing out that this version of the RHO combinators has no rule for *introducing* terms of the form *x. The br and bl combinators introduce new names from processes, but the do not introduce new reflection terms. Yet, this calculus suffices to faithfully represent the Yoshida combinators. This is because the translation function is carefully introducing just those terms, via the D(x, v, w) operator, guided by the use of replication in the source to the translation.

3 Gph-enriched categories

Here we review some standard definitions and results in enriched category theory; see [3], [9], [4], and [12] for more details.

A directed multigraph, hereafter graph, consists of a set E of edges, a set V of vertices, and

two functions $s,t\colon E\to V$ picking out the source and target of each edge. There are no constraints on E,V,s, or t, so a graph may have infinitely many vertices, infinitely many edges between any two vertices, and loops. A **graph homomorphism** from (E,V,s,t) to (E',V',s',t') is a pair of functions $(\epsilon\colon E\to E',v\colon V\to V')$ such that $v\circ s=s'\circ \epsilon$ and $v\circ t=t'\circ \epsilon$. **Gph** is the category of graphs and graph homomorphisms. Gph has finite products: the terminal graph is the graph with one vertex and one loop, while the product of two graphs $(E,V,s,t)\times (E',V',s',t')$ is $(E\times E',V\times V',s\times s',t\times t')$.

A **Gph-enriched category** is a category where each hom set hom(x, y) is equipped with a set $E_{x,y}$ and functions $s_{x,y}, t_{x,y} : E_{x,y} \to hom(x,y)$; that is, each hom set is thought of as a set of vertices and is equipped with a set of edges making it into a graph. A Gph-enriched category has finite products if the underlying category does.

Any category is trivially Gph-enriched by taking the all the sets of edges to be empty. Given two graph homomorphisms $F, G: (E, V, s, t) \to (E', V', s', t')$, a **graph shift** assigns to each vertex v in V an edge e' in E' such that s'(e') = F(v) and t'(e') = G(v). The category Gph is nontrivially Gph-enriched, making it a cartesian closed category: the objects are graphs, and for any pair of graphs (G, G') we get a graph whose vertices are graph homomorphisms from G to G' and whose edges are graph shifts.

A **Gph-enriched functor** between two Gph-enriched categories C, D is a functor between the underlying categories such that the graph structure on each hom set is preserved, *i.e.* the functions between hom sets are graph homomorphisms between the hom graphs.

Let S be a finite set, FinSet be a skeleton of the category of finite sets and functions between them, and FinSet/S be the category of functions into S and commuting triangles. A **multisorted Gph-enriched Lawvere theory**, hereafter **Gph-theory** is a Gph-enriched category with finite products Th equipped with a finite set S of **sorts** and a Gph-enriched functor θ : FinSet^{op}/ $S \to Th$ that preserves products strictly. Any Gph-theory has an underlying multisorted Lawvere theory given by forgetting the edges of each hom graph.

A **model** of a Gph-theory Th is a Gph-enriched functor from Th to Gph that preserves products up to natural isomorphism. A **homomorphism of models** is a braided Gph-enriched natural transformation between the functors. Let FPGphCat be the 2-category of small Gph-enriched categories with finite products, product-preserving Gph-functors, and braided Gph-natural transformations. The forgetful functor $U \colon \text{FPGphCat}[\text{Th}, \text{Gph}] \to \text{Gph}$ that picks out the underlying graph of a model has a left adjoint L that picks out the free model on a graph.

4 Gph-theories as models of computation

Lawvere theories and their generalizations are categories with infinitely many objects and morphisms, but most theories of interest are finitely generated. A presentation of underlying multisorted Lawvere theory of a finitely-generated Gph-theory is a signature for a term calculus, consisting of a set of sorts and a set of term constructors, while the edges in the hom graphs of the theory encode the reduction relation.

Here is a presentation of the SKI combinator calculus as a Gph-theory:

- one sort T, for terms
- term constructors

$$S: 1 \to T$$

$$K: 1 \to T$$

$$I: 1 \to T$$

$$(--): T^2 \to T$$

 $\sigma: (((S x) y) z) \Rightarrow ((x z) (y z))$

- rewrites

$$\iota:(I\ z)\Rightarrow z$$
 e in the rewrites we have used expressions like $((K\ u)\ z)$ as shorthan

where in the rewrites we have used expressions like ((K y) z) as shorthand for

$$T \times T \xrightarrow{\text{left}^{-1}} 1 \times T \times T \xrightarrow{K \times T \times T} T \times T \times T \xrightarrow{(--) \times T} T \times T \xrightarrow{(--)} T.$$

 $\kappa: ((K y) z) \Rightarrow y$

to M(T) that says for every pair of vertices (u, v), there is a vertex (u, v), and similarly for edges; and it equips M(T) with graph shifts asserting the existence of an edge out of a reducible expression to the term it reduces to.

That this Gph-theory captures the semantics of the SKI calculus is almost definitional: there is an edge in the free model on the expression to the semantic of the semantic solution in the free model on the expression to the semantic solution.

A model M of this Gph-theory in Gph picks out a graph M(T) of terms and rewrites. It picks out three special vertices S, K, and I of M(T); it equips M(T) with a graph homomorphism from $M(T)^2$

That this Gph-theory captures the semantics of the SKI calculus is almost definitional; there is an edge in the free model on the empty graph if and only if the source vertex is reducible to the target vertex.

It is straightforward to verify that Gph-theories suffice to capture the operational semantics of any calculus where every context is a reduction context. This restriction on reduction contexts is a consequence of the fact that models map term constructors to graph homomorphisms: given a model M, a graph homomorphism $F: M(T) \to M(T)$, and an edge $e: t_1 \to t_2$, there is necessarily an edge $F(e): F(t_1) \to t_2$

5 Gph-theory for the RHO combinators

The RHO combinators above also have a presentation as a Gph-theory:

- Sorts N, T

 $F(t_2)$.

- Term constructors

$$\begin{array}{ccc} 0:1\rightarrow T & & s:N^3\rightarrow T\\ k:N\rightarrow T & & |:T^2\rightarrow T\\ m:N\times T\rightarrow T & & *:N\rightarrow T\\ fw,br,bl:N^2\rightarrow T & @:T\rightarrow N \end{array}$$

- Structural equivalence

$$P|0 = P$$

$$P|Q = Q|P$$

$$((P|Q)|R) = (P|(Q|R))$$

- Rewrite rules

$$\begin{array}{ll} d(a,b,c)|m(a,P)\Rightarrow m(b,P)|m(c,P) & bl(a,b)|m(a,P)\Rightarrow fw(@(P),b) \\ k(a,)|m(a,P)\Rightarrow 0 & s(a,b,c)|m(a,P)\Rightarrow fw(b,c) \\ fw(a,b)|m(a,P)\Rightarrow m(b,P) & *a|m(a,P)\Rightarrow P \\ br(a,b)|m(a,P)\Rightarrow fw(b,@(P)) & *(@(P))\Rightarrow P \end{array}$$

6 Conclusion and future work

We have shown how to construct a concurrent higher-order combinator calculus that uses reflection to avoid the necessity for new and bound names. Yoshida's combinators, and therefore the asynchronous π -calculus have a faithful embedding into the calculus. We have also given a Gph-theory that captures the calculus' operational semantics. Just as the S and K combinators correspond to the axioms of intuitionistic logic, we anticipate that the combinators of this calculus will be typable and will correspond to axioms in a logic of concurrency.

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