

$$\sum_{k=0}^n ar^k = \frac{ar^{n+1} - a}{r - 1} \quad \text{for } r \notin \{0, 1\}$$

$$\mathcal{O}(1) < \mathcal{O}((\log n)^c) < \mathcal{O}(\log n) < \mathcal{O}(\log^2 n) < \mathcal{O}(n) < \mathcal{O}(n \log n) < \mathcal{O}(n^c) < \mathcal{O}(n^{\log n}) < \mathcal{O}(n!) < \mathcal{O}(n^n)$$

**Loop Invariant**  
A property that holds before and after each loop iteration.

**Initialization:** Holds before the first iteration.  
**Maintenance:** If it holds before an iteration, it holds after.  
**Termination:** When the loop ends, the invariant helps prove correctness.

## Divide and Conquer

An algorithmic paradigm with three steps:

1. **Divide:** Split the problem into smaller subproblems.  
2. **Conquer:** Solve each subproblem recursively.

3. **Combine:** Merge the subproblem solutions into the final result. The recurrence relation is:

$$T(n) = \begin{cases} \Theta(1) & \text{if } n \leq c, \\ aT(n/b) + D(n) + C(n) & \text{otherwise,} \end{cases}$$

$a$ : number of subproblems,  
 $n/b$ : size of each subproblem,  
 $D(n)$ : time to divide,  
 $C(n)$ : time to combine.

## Solving Recurrences

To solve a recurrence using the substitution method:

1. **Guess** the solution's form (e.g.,  $\Theta(n^2)$ ).

2. **Prove the upper bound** by induction using a constant and the guessed form.

**Base cases:** For any constant  $n \in \{2, 3, 4\}$ ,  $T(n)$  has a constant value, selecting a larger than this value will satisfy the base cases when  $n \in \{2, 3, 4\}$ .

**Inductive step:** Assume statement true  $\forall n \in \{2, 3, \dots, k-1\}$  and prove the statement for  $n = k$ .

$$T(n) = \frac{an}{2} \log(n/2) + cn \leq \frac{2}{2} \log(n/2) + cn = a \log n - an + cn \quad (1)$$

$$\leq a \log n$$

$$\leq \mathcal{O}(n \log n)$$

We can thus select  $a$  to be a positive constant so that both the base cases and the inductive step holds.

Hence,  $T(n) = \mathcal{O}(n \log n)$ .

3. **Prove the lower bound** similarly.

4. **Conclude** that the guess is correct.

**Example:**  $T(n) = T(n-1) + cn \Rightarrow \Theta(n^2)$

## Master Theorem

Let  $a \geq 1$ ,  $b > 1$ , and let  $T(n)$  be defined by the recurrence:  
 $T(n) = aT(n/b) + f(n)$

Then  $T(n)$  has the following asymptotic bounds:

- If  $f(n) = \mathcal{O}(n^{\log_b a - \epsilon})$  for some  $\epsilon > 0$ , then  $T(n) = \Theta(n^{\log_b a})$ .
- If  $f(n) = \Theta(n^{\log_b a})$ , then  $T(n) = \Theta(n^{\log_b a} \log n)$ .
- If  $f(n) = \Omega(n^{\log_b a + \epsilon})$  for some  $\epsilon > 0$ , and if  $a f(n/b) \leq c f(n)$  for some  $c < 1$  and large  $n$ , then  $T(n) = \mathcal{O}(f(n))$ .

Special case:

$$T(n) = a_1 T(b_1 n) + a_2 T(b_2 n) + \dots + n^c$$

- If  $a_1 b_1^c + a_2 b_2^c + \dots < 1$  then  $\Theta(n^c)$ .
- If  $a_1 b_1^c + a_2 b_2^c + \dots = 1$  then  $\Theta(n^c \log n)$ .
- If  $a_1 b_1^c + a_2 b_2^c + \dots > 1$  then  $\Theta(n^e)$ , where  $a_1 b_1^e + a_2 b_2^e + \dots = 1$ .

## Injective Functions

Let  $f: \{1, 2, \dots, q\} \rightarrow M$  be a function chosen uniformly at random, where  $|M| = m$ . If  $q > 1.78\sqrt{m}$ , then the probability that  $f$  is injective is at most  $\frac{1}{2}$ .

$q = N\#$  inputs and  $m = N\#$  outputs.

## Insertion Sort

- Start with an empty (or trivially sorted) sublist.
  - Insert the next element in the correct position by comparing backwards.
  - Repeat for all elements.
- Complexity:**  
Time:  $\Theta(n^2)$ , Space:  $\Theta(n)$
- Pseudocode:**  
Require:  $A = \langle a_1, a_2, \dots, a_n \rangle$
- ```

1: for i ← 2 to n do
2:   key ← A[i]
3:   j ← i - 1
4:   while j ≥ 1 and A[j] > key do
5:     A[j + 1] ← A[j]
6:     j ← j - 1
7:   end while
8:   A[j + 1] ← key
9: end for

```

**Heap Sort**  
A heap is a nearly complete binary tree where each node satisfies the **max-heap property**. For every node  $i$ , its children have smaller or equal values. The height of a heap is the length of the longest path from the root to a leaf.

**Useful Index Rules (array-based heap):**

- Root is at index  $A[1]$
  - Left child of node  $i$ : index  $2i$
  - Right child of node  $i$ : index  $2i + 1$
  - Parent of node  $i$ : index  $\lfloor i/2 \rfloor$
- Complexity:**  
Time:  $\Theta(n \log n)$ , Space:  $\Theta(n)$

**Pseudocode:**

```

1: procedure MAX-HEAPIFY(A, i, n)  $\mathcal{O}(\log n)$ 
2:   l ← Left(i)
3:   r ← Right(i)
4:   largest ← i
5:   if l ≤ n and A[l] > A[largest] then
6:     largest ← l
7:   end if
8:   if r ≤ n and A[r] > A[largest] then
9:     largest ← r
10:  end if
11:  if largest ≠ i then
12:    Exchange A[i] ↔ A[largest]
13:    MAX-HEAPIFY(A, largest, n)
14:  end if
15: end procedure

```

1: procedure BUILD-MAX-HEAP(A[1, ..., n])  $\mathcal{O}(n)$   
2: for i ←  $\lfloor n/2 \rfloor$  downto 1 do  
3: MAX-HEAPIFY(A, i, n)  
4: end for  
5: end procedure

1: procedure HEAPSORT(A[1, ..., n])  $\mathcal{O}(n \log n)$   
2: BUILD-MAX-HEAP(A)  
3: for i ← n downto 2 do  
4: exchange A[1] ↔ A[i]  
5: MAX-HEAPIFY(A, 1, i - 1)  
6: end for  
7: end procedure

## Merge Sort

Uses the **divide and conquer** paradigm.

**Complexity:**  
Time:  $\Theta(n \log n)$ , Space:  $\Theta(n)$

**Pseudocode:**

```

1: procedure SORT(A, p, r)
2:   if p < r then
3:     q ←  $\lfloor (p+r)/2 \rfloor$ 
4:     SORT(A, p, q)
5:     SORT(A, q + 1, r)
6:     MERGE(A, p, q, r)
7:   end if
8: end procedure

```

1: procedure MERGE(A, p, q, r)  
2:  $n_1 \leftarrow q - p + 1$ ,  $n_2 \leftarrow r - q + 1$   
3: Let  $L[1 \dots n_1 + 1]$ ,  $R[1 \dots n_2 + 1]$  be new arrays  
4: for i ← 1 to  $n_1$  do  $L[i] \leftarrow A[p + i - 1]$   
5: end for  
6: for j ← 1 to  $n_2$  do  $R[j] \leftarrow A[q + j]$   
7: end for  
8:  $L[n_1 + 1] \leftarrow R[n_2 + 1] \leftarrow \infty$   
9: i, j ← 1  
10: for k ← p to r do  
11: if  $L[i] \leq R[j]$  then  
12:  $A[k] \leftarrow L[i]$ ; i ← i + 1  
13: else  
14:  $A[k] \leftarrow R[j]$ ; j ← j + 1  
15: end if  
16: end for  
17: end procedure

## Stack

A stack is a last-in/fist-out (LIFO) data-structure

**Supported operations:**

- Push:** Insert an element at head.  $\mathcal{O}(1)$
- Pop:** Retrieve head.  $\mathcal{O}(1)$

**Maximum Subarray Problem (Kadane's Algorithm)**

**Idea:** Iterate from left to right, maintaining: - endingHereMax: best subarray ending at current index - currentMax: best seen so far

**Observation:** At index  $j + 1$ , the maximum subarray is either:

- the best subarray in  $A[1..j]$ , or
- a subarray ending at  $j + 1$ , i.e.,  $A[i..j + 1]$

**Formula:**  
 $\text{maxSub}(A[1..n]) = \max(\text{maxSub}(A[1..n-1]), \text{max}_{i=1}^n \text{sum}(A[i..n]))$

**Complexity:**  
Time:  $\Theta(n \log n)$ , Space:  $\Theta(n)$

**Pseudocode:**

```

1: procedure LINEAR-MAX-SUBARRAY(A[1..n])
2:   current_max ← -∞
3:   ending_here_max ← -∞
4:   for i ← 1 to n do
5:     ending_here_max ← max(A[i], ending_here_max + A[i])
6:     current_max ← max(current_max, ending_here_max)
7:   end for
8:   return current_max
9: end procedure

```

## Queue

A queue is a first-in, first-out (FIFO) collection.

- enqueue:** Insert an element at tail.  $\mathcal{O}(1)$
- dequeue:** Retrieve head.  $\mathcal{O}(1)$

```

1: procedure ENQUEUE(Q, x)
2:   l ← Left(i)
3:   r ← Right(i)
4:   if l ≤ n and A[l] > A[largest] then
5:     largest ← l
6:   end if
7:   if r ≤ n and A[r] > A[largest] then
8:     largest ← r
9:   end if
10:  if largest ≠ i then
11:    Exchange A[i] ↔ A[largest]
12:    MAX-HEAPIFY(A, largest, n)
13:  end if
14: end procedure

```

```

1: procedure DEQUEUE(Q)
2:   x ← Q[Q.head]
3:   if Q.head = Q.length then
4:     Q.head ← 1
5:   else
6:     Q.tail ← Q.tail + 1
7:   end if
8: end procedure

```

1: procedure DEQUEUE(Q)  
2: x ← Q[Q.head]  
3: if Q.head = Q.length then  
4: Q.head ← 1  
5: else  
6: Q.head ← Q.tail + 1  
7: end if  
8: return x  
9: end procedure

## Dynamic Programming

Two key approaches: **Top-down** and **Bottom-up**.

- Top-down:** Starts from the problem  $n$  and solves subproblems recursively, storing results (memoization).
- Bottom-up:** Starts from base cases (e.g., 0) and iteratively builds up to the final solution.

The core idea is to **remember previous computations** to avoid redundant work and save time.

## Binary search

```

1: procedure BS(A, k, p, q)
2:   if q < p then
3:     return "NO" ▷ array is empty and doesn't contain k
4:   else
5:     mid ←  $\lfloor \frac{p+q}{2} \rfloor$ 
6:     if A[mid] = k then
7:       return "YES"
8:     else if A[mid] > k then
9:       return BS(A, k, p, mid - 1)
10:    else
11:      return BS(A, k, mid + 1, q)
12:    end if
13:  end if
14: end procedure

```

**Time complexity:**  $\mathcal{O}(\log n)$

## Strassen's Algorithm for Matrix Multiplication

Instead of performing 8 recursive multiplications as in the naive divide-and-conquer matrix multiplication, Strassen's algorithm reduces it to 7, which improves the time complexity.

**Definitions:**

```

M1 = (A11 + A22)(B11 + B22)
M2 = (A21 + A22)B11
M3 = A11(B12 - B22)
M4 = A22(B21 - B11)
M5 = (A11 + A12)B22
M6 = (A21 - A11)(B11 + B12)
M7 = (A12 - A22)(B21 + B22)

```

**Resulting matrix:**

```

C11 = M1 + M4 - M5 + M7
C12 = M3 + M5
C21 = M2 + M4
C22 = M1 - M2 + M3 + M6

```

**Recursion:**  $T(n) = 7T(n/2) + \Theta(n^2)$

**Complexity:**  
Time:  $\Theta(n^{\log_2 7}) \approx \Theta(n^{2.81})$

Space:  $\Theta(n^2)$

## Priority Queue

A priority queue maintains a dynamic set  $S$  of elements, each with an associated key that defines its priority. At each operation, we can access the element with the highest key.

**Supported operations:**

- Insertion:** Insert an element  $x$  into  $S$ .  $\mathcal{O}(\log n)$
- Maximum:** Return the element in  $S$  with the largest key.  $\Theta(1)$
- Extract-Max:** Remove and return the element with the largest key.  $\mathcal{O}(\log n)$
- Increase-Key:** Increase the key of an element  $x$  to a new value  $k$  (assuming  $k \geq$  current key).  $\mathcal{O}(\log n)$

## Pseudocode:

```

1: procedure HEAP-MAXIMUM(S)
2:   return S[1]
3: end procedure
1: procedure HEAP-EXTRACT-MAX(S, n)
2:   if n < 1 then
3:     error "heap underflow"
4:   end if
5:   max ← S[1]
6:   S[1] ← S[n]
7:   n ← n - 1
8:   MAX-HEAPIFY(S, 1, n)
9:   return max
10: end procedure

```

1: procedure HEAP-INCREASE-KEY(S, i, key)  
2: if key < S[i] then  
3: error "new key is smaller than current key"  
4: end if  
5: S[i] ← key  
6: while i > 1 and S[Parent(i)] < S[i] do  
7: exchange S[i] with S[Parent(i)]  
8: i ← Parent(i)  
9: end while  
10: end procedure

1: procedure MAX-HEAP-INSERT(S, key, n)  
2: n ← n + 1  
3: S[n] ← -∞  
4: HEAP-INCREASE-KEY(S, n, key)  
5: end procedure

## Disjoint sets

$S = S_1, \dots, S_k$  is a collection of disjoint dynamic sets. Each set is identified by a representative which is a member of the set.

- Uses a **linked list** or a **graph forest**.
- Make-Set(x):** make a new set  $S_i = x$  and add  $S_i$  to  $S$ .  $\Theta(1)$   $\Theta(1)$
- Union(x,y):** if  $x \in S_x, y \in S_y$  then  $S = S - S_x - S_y \cup S_x \cup S_y$ .  $\mathcal{O}(m + n \log n)$   $\mathcal{O}(m \alpha(n))$
- Find(x):** returns the representative of the set containing  $x$ .  $\Theta(1)$   $\mathcal{O}(h)$
- Connected components:** returns disjoint sets of all vertices connected inside a graph.

$\mathcal{O}(V \log V + E)$   $\mathcal{O}(V + E)$

## Linked List

A linked list is a linear data structure where each element (node) points to the next. Unlike arrays, it is not index-based and allows efficient insertions and deletions.

**Operations:**

- Search:** Find an element with a specific key  $\mathcal{O}(n)$
- Insert:** Insert an element at the head  $\mathcal{O}(1)$
- Delete:** Remove an element  $\Theta(1)$   $\mathcal{O}(n)$  if simple list

**Pseudocode:**

```

1: procedure LIST-SEARCH(L, k)
2:   x ← L.head
3:   while x ≠ NIL and x.key ≠ k do
4:     x ← x.next
5:   end while
6:   return x
7: end procedure
▷ Inserts a new node x at the head of the list
8: procedure LIST-INSERT(L, x)
9:   x.next ← L.head
10:  if L.head ≠ NIL then
11:    L.head.prev ← x
12:  end if
13:  L.head ← x
14:  x.prev ← NIL
15: end procedure
▷ Deletes node x from the list
16: procedure LIST-DELETE(L, x)
17:  if x.prev ≠ NIL then
18:    x.prev.next ← x.next
19:  else
20:    L.head ← x.next
21:  end if
22:  if x.next ≠ NIL then
23:    x.next.prev ← x.prev
24:  end if
25: end procedure

```

## Binary Search Trees

A binary search tree (BST) is a binary tree where each node has a key and satisfies the following properties:

- For any node  $x$ , all keys in its left subtree are less than  $x$  key.
- All keys in its right subtree are greater than or equal to  $x$  key.

**Pseudocode:**

```

▷ Searches for a node with key k starting from node x
1: procedure TREE-SEARCH(x, k)
2:   if x = NIL or k = x.key then
3:     return x
4:   else if k < x.key then
5:     return TREE-SEARCH(x.left, k)
6:   else
7:     return TREE-SEARCH(x.right, k)
8:   end if
9: end procedure
▷ Finds the minimum key node in the subtree rooted at x
10: procedure TREE-MINIMUM(x)  $\mathcal{O}(h)$ 
11: while x.left ≠ NIL do
12:   x ← x.left
13: end while
14: return x
15: end procedure
▷ Finds the maximum key node in the subtree rooted at x
16: procedure TREE-MAXIMUM(x)  $\mathcal{O}(h)$ 
17: while x.right ≠ NIL do
18:   x ← x.right
19: end while
20: return x
21: end procedure

```

## Rod Cutting

Given a rod of length  $n$  and a table of prices  $p_i$  for rods of length  $i = 1, \dots, n$ , determine the optimal way to cut the rod to maximize profit. The optimal revenue function  $r(n)$  is defined as:

$$r(n) = \begin{cases} 0 & \text{if } n = 0, \\ \max_{1 \leq i \leq n} \{p_i + r(n-i)\} & \text{if } n \geq 1. \end{cases}$$

```

1: procedure EXTENDED-BOTTOM-UP-CUT-ROD(p, n)
2:   let r[0..n] and s[0..n] be new arrays
3:   r[0] ← 0
4:   s[0] ← 0 ▷ Usually s[0] isn't explicitly used for solution reconstruction, but included as per your pseudocode
5:   for j ← 1 to n do
6:     q ← -∞
7:     for i ← 1 to j do
8:       if q < p[i] + r[j-i] then
9:         q ← p[i] + r[j-i]
10:        s[j] ← i
11:      end if
12:    end for
13:    r[j] ← q
14:  end for
15:  return r and s
16: end procedure

```

**Time complexity:**  $\Theta(n^2)$

Space complexity:  $\mathcal{O}(n)$

## Modify a Binary Tree

With  $h$  being the height of the tree.

```

1: procedure TREE-INSERT(T, z)  $\mathcal{O}(h)$ 
2:   y ← NIL ▷ Parent of z
3:   x ← T.root
4:   while x ≠ NIL do
5:     y ← x
6:     if x.key < z.key then
7:       x ← x.left
8:     else
9:       x ← x.right
10:    end if
11:  end while
12:  z.p ← y
13:  if y = NIL then
14:    T.root ← z
15:  else if z.key < y.key then
16:    y.left ← z
17:  else
18:    y.right ← z
19:  end if
20: end procedure

```

21: procedure TRANSPLANT(T, u, v)  $\Theta(1)$

```

22: if u.p = NIL then
23:   T.root ← v
24: else if u = u.p.left then
25:   u.p.left ← v
26: else
27:   u.p.right ← v
28: end if
29: if v ≠ NIL then
30:   v.p ← u.p
31: end if
32: end procedure
33: procedure TREE-DELETE(T, z)  $\mathcal{O}(h)$ 
34: if z.left = NIL then
35:   TRANSPLANT(T, z, z.right)
36: else if z.right = NIL then
37:   TRANSPLANT(T, z, z.left)
38: else
39:   y ← TREE-MINIMUM(z.right)
40:   if y.p ≠ z then
41:     TRANSPLANT(T, y, y.right)
42:     y.right ← z.right
43:     y.right.p ← y
44:   end if
45:   TRANSPLANT(T, z, y)
46:   y.left ← z.left
47:   y.left.p ← y
48: end if
49: end procedure
50: procedure TREE-SUCCESSOR(x)  $\mathcal{O}(h)$ 
51: if x.right ≠ NIL then
52:   return TREE-MINIMUM(x.right)
53: end if
54: y ← x.p
55: while y ≠ NIL and x == y.right do
56:   x ← y
57:   y ← y.p
58: end while
59: return y
60: end procedure

```

1: procedure INORDER-TREE-WALK(x)  $\mathcal{O}(n)$

```

2: if x ≠ NIL then
3:   INORDER-TREE-WALK(x.left)
4:   print key[x]
5:   INORDER-TREE-WALK(x.right)
6: end if
7: end procedure
▷ Preorder: print - call(x.left) - call(x.right)
▷ Postorder: call(x.left) - call(x.right) - print

```

## Counting Sort

**Counting Sort** assumes the input consists of  $n$  integers in the range 0 to  $k$  and sorts them in  $\mathcal{O}(n + k)$  time. It is stable and non-comparative.

```

1: procedure COUNTING-SORT(A, B, n, k)
2:   let C[0..k] be a new array
3:   for i ← 0 to k do
4:     C[i] ← 0
5:   end for
6:   for j ← 1 to n do
7:     C[A[j]] ← C[A[j]] + 1
8:   end for
9:   for i ← 1 to k do
10:    C[i] ← C[i] + C[i - 1]
11:  end for
12:  for j ← n downto 1 do
13:    B[C[A[j]]] ← A[j]
14:    C[A[j]] ← C[A[j]] - 1
15:  end for
16: end procedure

```

## Building a Binary Search Tree

Given a sequence  $K = \langle k_1, k_2, \dots, k_n \rangle$  of  $n$  distinct sorted keys and, for every  $k_i$ , a probability  $p_i$ , find a binary search tree  $T$  that minimizes:

$$E[\text{search cost in } T] = \sum_{i=1}^n (\text{depth}_T(k_i) + 1) \cdot p_i$$

This is solved via dynamic programming.

**Time complexity:**  $\mathcal{O}(n^3)$

```

1: procedure OPTIMAL-BST(p, q, n)
2:   let e[1..n+1][0..n], w[1..n+1][0..n], and root[1..n][1..n] be new tables
3:   for i ← 1 to n + 1 do
4:     e[i][i - 1] ← 0
5:     w[i][i - 1] ← 0
6:   end for
7:   for l ← 1 to n do ▷ length of subproblem
8:     for i ← 1 to n - l + 1 do
9:       w[i + l - 1] ← ∞
10:      e[i][l] ← ∞
11:      w[i][l] ← w[i][l - 1] + p[i]
12:      for r ← i to j do
13:        t ← e[i][r - 1] + e[r + 1][l] + w[i][l]
14:        if t < e[i][l] then
15:          e[i][l] ← t
16:          root[i][l] ← r
17:        end if
18:      end for
19:    end for
20:  end for
21: end procedure

```

## Matrix-Chain Multiplication

Given a chain  $\langle A_1, A_2, \dots, A_n \rangle$  of  $n$  matrices, where for  $i = 1, 2, \dots, n$ , matrix  $A_i$  has dimensions  $p_{i-1} \times p_i$ , find the most efficient way to fully parenthesize the product  $A_1 A_2 \dots A_n$  so as to minimize the total number of scalar multiplications.

The optimal substructure is defined by the recurrence:

$$m[i, j] = \begin{cases} 0 & \text{if } i = j, \\ \min_{i \leq k < j} \{m[i, k] + m[k + 1, j] + p_{i-1} p_k p_j\} & \text{if } i < j \end{cases}$$

```

1: procedure MATRIX-CHAIN-ORDER(p)
2:   n ← p.length - 1
3:   let m[1..n][1..n] and s[1..n][1..n] be new tables
4:   for i ← 1 to n do
5:     m[i
```

Longest Common Subsequence

Given as input two sequences  $X = \langle x_1, \dots, x_m \rangle$  and  $Y = \langle y_1, \dots, y_n \rangle$ , we want to find the longest common subsequence (not necessarily contiguous, but in order).

$$c[i, j] = \begin{cases} 0 & \text{if } i = 0 \text{ or } j = 0, \\ \max(c[i-1, j], c[i, j-1]) & \text{otherwise.} \end{cases}$$

```
1: procedure LCS-LENGTH(X, Y, m, n)
2:   let b[1...m][1...n] and c[0...m][0...n] be new tables
3:   for i ← 1 to m do
4:     c[i][0] ← 0
5:   end for
6:   for j ← 1 to n do
7:     c[0][j] ← 0
8:   end for
9:   for i ← 1 to m do
10:    for j ← 1 to n do
11:      if X[i] = Y[j] then
12:        c[i][j] ← c[i-1][j-1] + 1
13:      else
14:        b[i][j] ← "X"
15:      end if
16:      if c[i-1][j] > c[i][j-1] then
17:        c[i][j] ← c[i-1][j]
18:      else
19:        c[i][j] ← c[i][j-1]
20:      end if
21:    end if
22:  end for
23: end procedure
24: return c and b
```

Time complexity:  $\mathcal{O}(mn)$

Space complexity:  $\mathcal{O}(mn)$

Graph

A graph  $G = (V, E)$  consists of a vertex set  $V$  and an edge set  $E$  that contains (ordered) pairs of vertices.

| Feature         | Adjacency List         | Adjacency Matrix |
|-----------------|------------------------|------------------|
| Space           | $\Theta( V  +  E )$    | $\Theta( V ^2)$  |
| Get adj(u)      | $\Theta(\deg(u))$      | $\Theta( V )$    |
| $(u, v) \in E?$ | $\mathcal{O}(\deg(u))$ | $\mathcal{O}(1)$ |

Connectivity:

A graph is said to be connected if every pair of vertices in the graph is connected.

Connected Component:

A connected component is a maximal connected subgraph of an undirected graph.

Complete Graph:

A complete graph is a simple undirected graph in which every pair of distinct vertices is connected by a unique edge.

Vertex Cut:

A vertex cut or separating set of a connected graph  $G$  is a set of vertices whose removal renders  $G$  disconnected.

Breadth-First Search

Given as input a graph  $G = (V, E)$ , either directed or undirected, and a source vertex  $s \in V$ , we want to find  $v.d$ , the smallest number of edges (distance) from  $s$  to  $v$ , for all  $v \in V$ .

```
1. Send a wave out from s.
2. first hit all vertices at 1 edge from s.
3. then, from there, hit all vertices at 2 edges from s, and so on.
```

```
1: procedure BFS(V, E, s)
2:   for each u ∈ V \ {s} do
3:     u.d ← ∞
4:   end for
5:   s.d ← 0
6:   let Q be a new queue
7:   ENQUEUE(Q, s)
8:   while Q ≠ ∅ do
9:     u ← DEQUEUE(Q)
10:    for each v ∈ G.Adj[u] do
11:      if v.d = ∞ then
12:        v.d ← u.d + 1
13:      ENQUEUE(Q, v)
14:    end if
15:  end while
16: end procedure
17: return d
```

Time complexity:  $\Theta(|V| + |E|)$

Topological Sort

Given a directed acyclic graph (DAG)  $G = (V, E)$ , the goal is to produce a linear ordering of its vertices such that for every edge  $(u, v) \in E$ , vertex  $u$  appears before  $v$  in the ordering.

Key Properties:

- A graph is a DAG if and only if a DFS yields no back edges.
- The topological sort is obtained by performing DFS and ordering vertices in decreasing order of their finishing times.

Algorithm

```
1. Run DFS on G to compute finishing times v.f for all v ∈ V.
2. Return the vertices sorted in descending order of v.f.
```

Running Time:

$\Theta(|V| + |E|)$ , same as DFS.

Strongly Connected Component (SCC)

A strongly connected component (SCC) of a directed graph  $G = (V, E)$  is a maximal set of vertices  $C \subseteq V$  such that for every pair  $u, v \in C$ , there is a path from  $u$  to  $v$  and from  $v$  to  $u$ .

Transpose of a Graph:

The transpose of  $G$ , denoted  $G^T = (V, E^T)$ , has all edges reversed:

$$E^T = \{(u, v) \mid (v, u) \in E\}$$

$G$  and  $G^T$  share the same SCCs. Computing  $G^T$  takes  $\Theta(|V| + |E|)$  time with adjacency lists.

Algorithm (Kosaraju's):

```
1. Run DFS on G to compute finishing times u.f for all u ∈ V.
2. Compute the transpose G^T.
3. Run DFS on G^T, but visit vertices in order of decreasing u.f (from step 1).
4. Each tree in the resulting DFS forest is one SCC.
```

Time Complexity:  $\Theta(|V| + |E|)$

Depth-First Search

Given, as input, a graph  $G = (V, E)$ , either directed or undirected, we want to output two timestamps on each vertex:

- $u.d$  – discovery time (when  $v$  is first encountered),
- $u.f$  – finishing time (when all vertices reachable from  $v$  have been fully explored).

Each vertex has a color state:

- WHITE:** undiscovered,
- GRAY:** discovered but not finished,
- BLACK:** fully explored.

```
1: procedure DFS(G)
2:   for each u ∈ G.V do
3:     u.color ← WHITE
4:   end for
5:   time ← 0
6:   for each u ∈ G.V do
7:     if u.color = WHITE then
8:       DFS-VISIT(G, u)
9:     end if
10:  end for
11: end procedure
12: procedure DFS-VISIT(G, u)
13:   time ← time + 1
14:   u.d ← time
15:   u.color ← GRAY
16:   for each v ∈ G.Adj[u] do
17:     if v.color = WHITE then
18:       DFS-VISIT(G, v)
19:     end if
20:   end for
21:   u.color ← BLACK
22:   time ← time + 1
23:   u.f ← time
24: end procedure
```

Time complexity:  $\mathcal{O}(|V| + |E|)$

Edge classification:

- Tree edge:** in the DFS forest. (normal edge)
- Back edge:**  $(u, v)$  where  $u$  is descendant of  $v$
- Forward edge:**  $(u, v)$  where  $v$  is descendant of  $u$
- Cross edge:** any other edge

Flow Network

We model the movement of flow through a network of edges, where each edge has a **capacity** – the maximum flow allowed. Our goal is to maximize the total flow from a **source vertex**  $s$  to a **sink vertex**  $t$ .

Flow Function:

A flow is a function  $f: V \times V \rightarrow \mathbb{R}$  that satisfies:

1. Capacity Constraint:

For all  $u, v \in V$ ,

$$0 \leq f(u, v) \leq c(u, v)$$

where  $c(u, v)$  is the capacity of edge  $(u, v)$ .

2. Flow Conservation:

For all  $u \in V \setminus \{s, t\}$ ,

$$\sum_{v \in V} f(v, u) = \sum_{v \in V} f(u, v)$$

i.e., the total flow into  $u$  equals the total flow out of  $u$  (except for source and sink).

Value of the Flow:

$$|f| = \sum_{v \in V} f(s, v) = \sum_{v \in V} f(v, t)$$

This represents the total net flow out of the source  $s$ .

Ford-Fulkerson Method (1954)

The Ford-Fulkerson method finds the maximum flow from a source  $s$  to a sink  $t$  in a flow network  $G = (V, E)$ .

Algorithm:

```
1. Initialize flow f(u, v) = 0 for all (u, v) ∈ E.
2. While there exists an augmenting path p from s to t in the residual network G_f:
  • Compute the bottleneck capacity c_p(p) (the minimum residual capacity along p).
  • Augment flow f along p by c_p(p).
```

Residual Network:

Given flow  $f$ , define residual capacity  $c_f$  as:

$$c_f(u, v) = \begin{cases} c(u, v) - f(u, v) & \text{if } (u, v) \in E \\ f(v, u) & \text{if } (v, u) \in E \\ 0 & \text{otherwise} \end{cases}$$

Then the residual graph is  $G_f = (V, E_f)$  where  $E_f = \{(u, v) \in V \times V : c_f(u, v) > 0\}$ .

Cuts and Optimality:

- A cut  $(S, T)$  of the network is a partition of  $V$  with  $s \in S, t \in T$ .
- The flow across the cut is: 
$$f(S, T) = \sum_{u \in S, v \in T} f(u, v) - \sum_{u \in T, v \in S} f(v, u)$$
- The capacity of the cut is: 
$$c(S, T) = \sum_{u \in S, v \in T} c(u, v)$$
- For any flow  $f$  and any cut  $(S, T)$ , we have:  $|f| \leq c(S, T)$ .

Max-Flow Min-Cut Theorem:

The value of the maximum flow equals the capacity of the minimum cut.

Augmenting Path

Is a path from the source to the sink in the residual graph such that every edge on the path has available capacity

Time complexity:  $\mathcal{O}(E|flow_{max}|)$

Bipartite Graphs

A bipartite graph (or bigraph) is a graph  $G = (U, V, E)$  whose vertices can be partitioned into two disjoint sets  $U$  and  $V$  such that every edge connects a vertex from  $U$  to one in  $V$ .

Properties:

- $U$  and  $V$  are called the *parts* of the graph.
- Bipartiteness can be tested using BFS:
  - Label the source  $s$  as even.
  - During BFS, label each unvisited neighbor of a vertex with the opposite parity (even  $\leftrightarrow$  odd).
  - If a conflict arises (a vertex is visited twice with the same parity), the graph is not bipartite.

Bipartite Match via Max-Flow

- 1. Add a source node  $s$  and connect it to all nodes in the left partition (say  $U$ ) and do same for right part to sink.
- 2. For each edge  $(u, v)$  in the bipartite graph (with  $u \in U, v \in V$ ), add a directed edge from  $u$  to  $v$ .
- 3. Assign a capacity of 1 to all edges.
- 4. Run Ford-Fulkerson from  $s$  to  $t$ .

Spanning Trees

Spanning Tree:

A spanning tree  $T$  of an undirected graph  $G = (V, E)$  is a subgraph that:

- Includes all vertices of  $G$ .
- Is a tree: connected and acyclic.

Minimum Spanning Tree (MST):

An MST is a spanning tree of a weighted graph with the *minimum total edge weight* among all spanning trees of the graph.

Key Properties:

- Every connected undirected graph has at least one MST.
- An MST connects all vertices using the lightest possible total edge weight without forming cycles.

Prim's Algorithm

Goal:

Find a Minimum Spanning Tree (MST) of a connected, weighted undirected graph.

Idea:

```
1. Start from an arbitrary root vertex r.
2. Maintain a growing tree T, initialized with r.
3. Repeatedly add the minimum-weight edge that connects a vertex in T to a vertex outside T.
```

Data Structures:

Uses a min-priority queue to select the next lightest edge crossing the cut.

```
1: procedure PRIM(G, w, r)
2:   let Q be a new min-priority queue
3:   for each u ∈ G.V do
4:     u.key ← ∞
5:   end for
6:   u.r ← NIL
7:   INSERT(Q, u)
8:   end for
9:   while Q ≠ ∅ do
10:    u ← EXTRACT-MIN(Q)
11:    for each v ∈ G.Adj[u] do
12:      if v ∈ Q and w(u, v) < v.key then
13:        v.key ← w(u, v)
14:        DECREASE-KEY(Q, v, w(u, v))
15:      end if
16:    end for
17:    u.r ← v
18:  end while
19: end procedure
```

Runtime:  $\Theta(E \log V)$

$\Theta(E + V \log V)$  with Fibonacci heaps.

Kruskal's Algorithm

Goal:

Find a Minimum Spanning Tree (MST) in a connected, weighted undirected graph.

Idea:

```
1. Start with an empty forest A (each vertex is its own tree).
2. Sort all edges in non-decreasing order of weight.
3. For each edge (u, v), if u and v are in different trees (i.e., no cycle is formed), add the edge to A.
4. Use a disjoint-set (Union-Find) data structure to efficiently check and merge trees.
```

```
1: procedure KRUSKAL(G, w)
2:   A ← ∅
3:   for each v ∈ G.V do
4:     MAKE-SET(v)
5:   end for
6:   sort the edges of G.E into non-decreasing order by weight w
7:   for each (u, v) ∈ sorted edge list do
8:     if FIND-SET(u) ≠ FIND-SET(v) then
9:       A ← A ∪ {(u, v)}
10:      UNION(u, v)
11:    end if
12:  end for
13: return A
14: end procedure
```

Runtime:  $\Theta(|E| \log |E|)$

due to sorting, plus nearly linear time for Union-Find operations (with union by rank and path compression).

Edge Disjoint Paths using Max Flow

You can use the Max-Flow algorithm to find all edge-disjoint paths from a source to a sink by assigning a capacity of 1 to every edge and running Ford-Fulkerson. The maximum flow value will be equal to the number of edge-disjoint  $s$ - $t$  paths.

Bellman-Ford Algorithm

Goal:

Compute shortest paths from a single source  $s$  to all other vertices in a weighted graph  $G = (V, E)$ , allowing negative edge weights.

Key Idea:

- Relax all edges repeatedly (up to  $|V| - 1$  times).
- After that, check for negative-weight cycles: if we can still relax an edge, a negative cycle exists.

Initialization:

```
1: procedure INIT-SINGLE-SOURCE(G, s)
2:   for each v ∈ G.V do
3:     v.d ← ∞
4:   end for
5:   s.d ← 0
6: end procedure
```

Relaxation:

```
1: procedure RELAX(u, v, w)
2:   if v.d > u.d + w(u, v) then
3:     v.d ← u.d + w(u, v)
4:     v.π ← u
5:   end if
6: end procedure
```

Main Algorithm:

```
1: procedure BELLMAN-FORD(G, w, s)
2:   INIT-SINGLE-SOURCE(G, s)
3:   for i ← 1 to |G.V| - 1 do
4:     for each edge (u, v) ∈ G.E do
5:       RELAX(u, v, w)
6:     end for
7:   end for
8:   for each edge (u, v) ∈ G.E do
9:     if v.d > u.d + w(u, v) then
10:      return false
11:    end if
12:  end for
13: return true
14: end procedure
```

Runtime:  $\Theta(|V||E|)$

Handles: Negative weights (but no negative cycles)

Dijkstra's Algorithm

Goal:

Compute the shortest paths from a single source  $s$  to all other vertices in a weighted graph  $G = (V, E)$  with non-negative edge weights.

Key Idea:

- Greedyly grow a set  $S$  of vertices with known shortest paths.
- At each step, pick the vertex  $u \notin S$  with the smallest tentative distance  $u.d$ .
- Relax all edges  $(u, v)$  from  $u$  to update distance estimates.

Pseudocode:

```
1: procedure DIJKSTRA(G, w, s)
2:   INIT-SINGLE-SOURCE(G, s)
3:   S ← ∅
4:   Q ← G.V
5:   while Q ≠ ∅ do
6:     u ← EXTRACT-MIN(Q)
7:     S ← S ∪ {u}
8:     for each v ∈ Adj[u] do
9:       RELAX(u, v, w)
10:    end for
11:  end while
12: end procedure
```

Runtime:  $\Theta(|E| \log |V|)$

Hash Table

Hash tables are a data structure that use a function  $h(k)$  mapping keys to indices in the range 1 to  $p$ , such that each element is stored at index  $h(k)$ . Collisions are managed using chaining (linked lists), leading to:

- Insertion  $\mathcal{O}(1)$
- Search  $\mathcal{O}(1) E[x]: \mathcal{O}(n/m)$
- Deletion  $\mathcal{O}(1)$
- Collisions expected for  $m$  entries and  $n$  insertions (uniformly random)

hash function:

$$h(k) = \frac{h_2}{m}$$

Randomized caching

- Each page is marked (if used recently) or unmarked.
- On miss, evict random unmarked page.
- Competitive ratio:  $2H(k) \approx \mathcal{O}(\log k)$  (nearly optimal, no randomized algorithm can beat  $H(k)$ ).

Deterministic caching

LRU/FIFO:

Competitive ratio is  $k$  (cache size).

LFU/LIFO:

Unbounded competitive ratio (arbitrary bad).

The Hiring Problem

Problem:

We interview  $n$  candidates for a position, one by one in random order. After each interview, we decide immediately whether to hire the candidate. We want to compute the expected number of times we hire someone (i.e., when they are better than all previous candidates).

Indicator Random Variable:

Given a sample space and an event  $A$ , the indicator random variable for  $A$  is defined as:

$$I\{A\} = \begin{cases} 1 & \text{if } A \text{ occurs,} \\ 0 & \text{if } A \text{ does not occur.} \end{cases}$$

Then:

$$\mathbb{E}[I\{A\}] = P(A)$$

Expected Number of Hires:

Let  $X$  be the total number of hires. Define  $X = \sum_{i=1}^n I_i$ , where  $I_i$  is 1 if the  $i$ -th candidate is hired (i.e., better than all previous  $i - 1$ ), and 0 otherwise.

$$\mathbb{E}[X] = \sum_{i=1}^n \mathbb{E}[I_i] = \sum_{i=1}^n \frac{1}{i} = H_n = \ln n + \Theta(1)$$

Conclusion:

The expected number of hires is  $\Theta(\log n)$ , even though there are  $n$  candidates.

Secretary problem

$n$  candidates arrive in random order, we can hire at most 1 and want best one.

```
1. Hire 1st candidate: Pr[success] = 1/n.
2. Observe first n/2 and select first better: Pr[success] ≥ 1/4
3. Optimal: observe n/e candidates and select first better: Pr[success] ≥ 1/e ≈ 36.8%
```

Quick Sort

Quick Sort

is a divide-and-conquer algorithm with the following steps:

```
1. Divide: Partition A[p...r] into two (possibly empty) subarrays A[p...q-1] and A[q+1...r] such that each element in the first subarray is ≤ A[q] and each element in the second subarray is ≥ A[q].
2. Conquer: Recursively sort the two subarrays by calling Quick Sort on them.
3. Combine: No work is needed to combine the subarrays since the sorting is done in-place.
```

```
1: procedure PARTITION(A, p, r)
2:   x ← A[r]
3:   i ← p - 1
4:   for j ← p to r - 1 do
5:     if A[j] ≤ x then
6:       i ← i + 1
7:       exchange A[i] with A[j]
8:     end if
9:   end for
10:  exchange A[i+1] with A[r]
11:  return i + 1
12: end procedure
```

Runtime:  $\mathcal{O}(n \log n)$

Randomized-Partition

```
1: procedure RANDOMIZED-PARTITION(A, p, r)
2:   i ← RANDOM(p, r)
3:   exchange A[r] with A[i]
4:   return PARTITION(A, p, r)
5: end procedure
```

Runtime:  $\Theta(n \log n)$

Randomized-Quick-Sort

```
1: procedure QUICK-SORT(A, p, r)
2:   if p < r then
3:     q ← PARTITION(A, p, r)
4:     QUICK-SORT(A, p, q - 1)
5:     QUICK-SORT(A, q + 1, r)
6:   end if
7: end procedure
```

Runtime:  $\Theta(n \log n)$

Worst Runtime:  $\Theta(n^2)$

Online Algorithms

An online algorithm

processes its input piece-by-piece in a serial fashion, i.e., it does not have access to the entire input from the start. Instead, it must make decisions based only on the current and past inputs without knowledge of future inputs.

Characteristics:

- Decisions are made in real-time.
- Cannot revise past decisions once new input arrives.
- Often evaluated using *competitive analysis*, comparing performance to an optimal offline algorithm.

Competitive Ratio:

If  $\mathcal{C}_{\text{online}}$  is the cost incurred by the online algorithm and  $\mathcal{C}_{\text{opt}}$  is the cost incurred by an optimal offline algorithm, then the competitive ratio is defined as:

$$\text{Competitive Ratio} = \max \frac{\mathcal{C}_{\text{online}}}{\mathcal{C}_{\text{opt}}}$$

An algorithm is said to be  $r$ -competitive if this ratio is at most  $r$  for all inputs.

Weighted Majority Algorithm

The Weighted Majority Algorithm (WMA)

is an online learning algorithm that maintains a set of "experts" (prediction strategies), each assigned a weight. The algorithm predicts based on a weighted vote of the experts and penalizes those who make incorrect predictions.

Setup:

- $n$  experts, each with an initial weight  $w_i \leftarrow 1$ .
- At each time step  $t$ , each expert  $i$  makes a prediction.
- The algorithm makes its own prediction based on a weighted majority.
- After the outcome is revealed, experts that predicted incorrectly are penalized by multiplying their weight by a factor  $\beta \in (0, 1)$ .

Guarantees:

If there is an expert that makes at most  $m$  mistakes, then the number of mistakes made by the algorithm is at most:

$$M \leq (1 + \log n) \cdot m$$

(up to constant factors depending on  $\beta$ )

Use cases:

Binary prediction problems, stock forecasting, game playing.

Hedge Algorithm

The Hedge Algorithm

generalizes Weighted Majority to handle real-valued losses and probabilistic predictions. It is used in adversarial multi-armed bandit and online optimization settings.

Setup:

- $n$  actions (or experts), each with weight  $w_i^{(t)}$  at round  $t$ .
- At each time step, the algorithm picks a probability distribution  $p^{(t)}$  over actions, where:

$$p_i^{(t)} = \frac{w_i^{(t)}}{\sum_j w_j^{(t)}}$$

- After observing losses  $\ell_i^{(t)} \in [0, 1]$ , weights are updated as:

$$w_i^{(t+1)} = w_i^{(t)} \cdot e^{-\eta \ell_i^{(t)}}$$

where  $\eta > 0$  is the learning rate.

Guarantees:

For any expert  $i$ , the regret after  $T$  rounds is bounded by:

$$\text{Regret} \leq \eta T + \frac{\log n}{\eta}$$

Setting  $\eta = \sqrt{\frac{\log n}{T}}$  gives regret of order  $\mathcal{O}(\sqrt{T \log n})$ .

Use cases:

Adversarial learning, portfolio selection, online convex optimization.