

1. Context

The main results of this chapter are

THEOREM. *Let X be a non-smooth log del Pezzo with only singularities of small discrepancy. Then*

- (1) *X has either one singularity or two singularities, and if there are two each of them are of type $\frac{1}{p}(1, 1)$ for some, possibly different, p .*
- (2) *If X admits no floating -1 -curves then X admits a toric degeneration.*

and how we birationally classify the resulting surfaces.

2. Standard notions and notation for quotient singularities

We reference back to chapter 2 for a lot of the notation. We use the following definitions liberally throughout the chapter.

DEFINITION 2.1. *Let X be a log del Pezzo surface. If X cannot be constructed as the blowup of a surface X' then we say X is a basic surface.*

DEFINITION 2.2. *A floating -1 -curve on a surface X is a curve $C \subset X$, such that there exist a map $\pi: X \rightarrow X'$ which contracts C and is a blowdown.*

We consider the germ S of a cyclic quotient singularity appearing at a point P on a projective surface X . The minimal resolution of X is denoted $f: Y \rightarrow X$. It contains a chain of exceptional (smooth, rational) curves C_1, \dots, C_n , entirely determined by S itself, which are ordered so that the only intersections between these curves are $C_i \cap C_{i+1}$ which is a single transverse intersection for each $i = 1, \dots, n-1$; in other words, C_1 and C_n are the two ‘ends’ of the chain. We also denote the discrepancies of each C_i (as curves in Y) by $d_i \in \mathbb{Q}$: thus

$$K_Y = f^*(K_X) + \sum_{i=1}^n d_i C_i.$$

We denote by a_i the value C_i^2 . The values a_i uniquely define S via Hirzebruch-Jung fractions [?] and the notation $S = [a_1, \dots, a_n]$ indicates the singularity such that the resolution has these self intersections.

3. Singularities with small discrepancy

We introduce a property of cyclic quotient singularities that is central to the rest of the chapter.

DEFINITION 3.1. Let S be a cyclic quotient singularity, and C_1, \dots, C_n the exceptional curves of the minimal resolution of S and d_1, \dots, d_n their discrepancies, as above. We say that S is a singularity with small discrepancy if $d_i \leq -\frac{1}{2}$ for all $i = 1, \dots, n$.

To simplify our calculations we introduce to the notation $e_i = d_i + 1$.

PROPOSITION 3.2. In the notation above, a singularity S has small discrepancy if and only if $C_1^2 \neq -2$ and $C_n^2 \neq -2$ and $S \not\cong \frac{1}{3}(1, 1)$.

PROOF. We use the fact that the discrepancy is a strictly decreasing sequence then a strictly increasing sequence. So it suffices to show this for C_1 and C_n and then apply this to show it for the intermediate values. We use the following formula for the discrepancy $e_i = \frac{e_{i-1} + e_{i+1}}{a_i}$. We note that if $a_1 \geq 4$, then as $e_0 = 1$ and $e_2 \leq 1$ we have $e_1 \leq \frac{2}{4}$. This implies the inequality for small discrepancy. In the case where $a_1 = 3$ this results in the following, as $e_1 \geq e_2$ by substituting e_2 into $e_1 = \frac{1+e_2}{-3}$ we get $e_2 \leq \frac{1+e_2}{-3}$ rearranges to $2e_2 - 1 < 0$. Hence $e_2 \leq \frac{1}{2}$. Substituting this back into the equation for e_1 we get $e_1 \leq \frac{1+\frac{1}{2}}{3} = \frac{1}{2}$. \square

Throughout the rest of this chapter we restrict the class of singularities we consider as follows:

ASSUMPTION 3.3. Any singularity germ S that appears in this chapter is assumed to be a cyclic quotient singularity with small discrepancy.

4. Log del Pezzo surfaces and small discrepancy

LEMMA 4.1. Let X be a surface having cyclic quotient singularities of small discrepancy, and let $f: Y \rightarrow X$ be the minimal resolution of X . Let $C \subset X$ be a rational curve whose strict transform $\tilde{C} \subset Y$ is smooth. Let $\{E_i\}$ be the exceptional locus of f . Suppose in addition that $\tilde{C} \cdot \sum E_i \geq 2$. Then if $\tilde{C}^2 = -1$ implies $-K_X \cdot C \leq 0$.

In particular, \tilde{C} is smooth and C either meets at least two singularities of X or meets one singularity with at least branches or has a singular point of C at a singularity of X , then the hypotheses on C are satisfied.

PROOF. By the genus formula for $\tilde{C} \subset Y$, as \tilde{C} and Y are both smooth, $K_Y \cdot \tilde{C} = -1$. If \tilde{C} intersects two distinct exceptional curves E_i, E_j , with discrepancy d_i, d_j respectively, then $K_X \cdot C = f^*(K_X) \cdot \tilde{C} \geq -1 - d_i - d_j \geq 0$, as X has only singularities with small discrepancy. If, on the other hand, \tilde{C} meets only one exceptional curve E_i , but with intersection multiplicity m_i , then $K_X \cdot C = f^*(K_X) \cdot \tilde{C} \geq -1 - m_i d_i \geq 0$. \square

We show next that in fact such rational curves cannot lie on a log del Pezzo. We need a preliminary lemma.

LEMMA 4.2. *Let X be a log del Pezzo and $f: Y \rightarrow X$ its minimal resolution. Let $C \subset Y$ be a smooth rational curve. If $C^2 \leq -2$ then C is contracted by f to a point of X .*

PROOF. We proof this by contradiction. Assume there is a curve C that is not contracted. Then

$$K_X \cdot f(C) = f^*(K_X) \cdot \tilde{C} \geq K_Y \cdot \tilde{C} \geq 0$$

The first inequality follows as $f^*(K_X) - K_Y$ is an effective divisor. The second inequality follows as $K_Y \cdot \tilde{C} = -2 - \tilde{C}^2$. \square

PROPOSITION 4.3. *Let X be a log del Pezzo with singularities of small discrepancy and $f: Y \rightarrow X$ its minimal resolution. Consider the following diagram*

$$\begin{array}{ccc} & Y & \\ f \swarrow & & \searrow g \\ X & & Z \end{array}$$

f is the minimal resolution of X and g is a birational morphism to a smooth surface Z . Let $E \subset Y$ be an f -exceptional curve. Then either E is contracted to a smooth point of Z by g , or $g(E)$ is a smooth curve and g_E is an isomorphism.

PROOF. Let $E \subset Y$ be any one of the exceptional curves E_i^S over a singularity S of X ; in particular, E is a smooth rational curve with $E^2 \leq -2$. We first show that if $g_*E \subset Z$ is a curve, then it must be a smooth curve.

For contradiction, suppose g_*E is a curve with a singular point P . Let $C_1, \dots, C_s \subset Y$ be the curves that contract to P under g . As these curves are contracted, $C_i^2 \leq -1$. Notice that if $C_i^2 \leq -2$, then $f(C_i)$ is a point of X by Lemma 4.2. There are two cases to consider: set-theoretically, either $g^{-1}(P)$ meets E in a single point or in more than one point.

In the case of more than one intersection point, since $g^{-1}(g_*(E))$ is connected, among the curves C_i there must be a shortest chain $C_1 \cup \dots \cup C_r$ with $C_k \cdot E = 0$ for $k = 2, \dots, r-1$, and $(\sum_{i=1}^r C_i) \cdot E = 2$. At least one of the curves $A = C_k$ of the cycle must have $A^2 = -1$, otherwise the whole cycle is contracted to a point R of X , but then $R \in X$ would not be a rational singularity, and so in particular not a cyclic quotient singularity. And of course A cannot meet another -1 -curve C_j with $g(C_j) = P$. Thus A must lie in one of the following configurations:

- (1) A meets two distinct π -exceptional curves, C_j and $C_{j'}$, both of which have self-intersection ≤ -2 .
- (2) A meets E in one point and a distinct π -exceptional curves C_j with $C_j^2 \leq -2$.
- (3) A meets E in two distinct points.

In each of these situations, $C = f_*(A) \subset X$ would be a curve on which K_X is nef, by Lemma 4.1, which contradicts X being a log del Pezzosurface. Indeed $A = \tilde{C}$ meets the f -exceptional locus with multiplicity at least 2 in each case.

The argument in the nodal case follows similarly, up to the case division of configurations at which there is an additional case:

We note that if $g^{-1}P$ contains two curve C_i, C_j with $I_Q(C_i, C_j) \geq 2$ then either one of them is a -1 -curve or it cannot occur on the minimal resolution of a log del Pezzo surface. This is because every curve in $\pi^{-1}P$ has negative self-intersection, if its intersection is less than -1 then it would have to be contracted on the map down to X , resulting in a noncyclic quotient singularity. Hence one of them is -1 -curve, and this cannot occur as it would contradict Lemma 4.1. Hence this cannot occur, so we have to blow up the point P enough times such that all the intersections are transverse. At this point we have a curve A such that A intersects transversely at least three other curves, $E, C_1, C_2 \dots$ with $C_i \in \pi^{-1}P$. In addition C is the only -1 -curve in $\pi^{-1}P$. As Y is constructed from further blowups we split into configurations

- (1) The strict transform of A , denoted \tilde{A} has $\tilde{A}^2 = -1$.
- (2) The strict transform of A , denoted \tilde{A} has $\tilde{A}^2 \leq -2$.

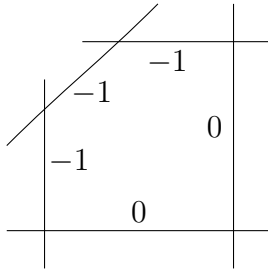
In the first case Lemma 4.1 this cannot occur on the minimal resolution of a log del Pezzo surface due to the curves $\tilde{E}, \tilde{C}_1, \tilde{C}_2$. In the second case, if none of the intersection points $A \cap E, A \cap C_1, A \cap C_2$ have been blown up then we are left with a noncyclic quotient singularity. Hence one of these points has to be blown up. This results in a -1 -curve intersecting \tilde{A} and another negative curve hence we have a contradiction to Lemma 4.1.

For a completely general curve singularity it follows by a combination of the above arguments. \square

PROPOSITION 4.4. *There is a unique family of non smooth log del Pezzo surfaces S_p , indexed by $p \in \mathbb{N}$, such that given the minimal resolution Y of S_p , Y does not admit a map to \mathbb{F}_i with $i \geq 2$. Here S_p has one $\frac{1}{p}(1, 1)$ singularity.*

PROOF. The first case is that Y only admits a map to \mathbb{F}_0 . Then Y must be \mathbb{F}_0 , since a blow up of any point of \mathbb{F}_0 also permits a map to \mathbb{F}_1 ; but then $X = Y$ is smooth, contradicting the assumption.

For \mathbb{F}_1 other cases arise. Clearly if we blow up a point on the -1 -curve we get a map to \mathbb{F}_2 . So the only option is a blowup at a smooth point. At this point you get the following toric variety



This results in three adjacent -1 -curves. We now split into cases;

Case 1: Our next blow up is a blow up at a smooth point. This results in DP_6 . We note that on any surface Z which occurs as a blowup at general points of DP_6 has the property that for every -1 -curve C there is a map $\pi_C: Z \rightarrow \mathbb{F}_1$ sending C to the negative section B . Hence, as in order for X to be nonsingular this involves blowing up a point on a -1 -curve we get the following diagram:

$$\begin{array}{ccc}
 C \subset Z & \xleftarrow{\pi_1} & Z' \\
 \downarrow & & \downarrow \\
 B \subset \mathbb{F}_1 & \xleftarrow{\pi_2} & T \\
 & & \downarrow \\
 & & \mathbb{F}_2
 \end{array}$$

Where the π_i are blow ups of a point on a -1 -curve. Hence this case cannot occur.

Case 2: Blowing up a point on the curve which is the pullback of the section B of \mathbb{F}_1 . Once again this results in an obvious map to \mathbb{F}_2 . We note that our surface admits two maps to \mathbb{F}_1 via the symmetry of the surface.

Case 3: Blowing up a general point of the -1 -curve which occurred as the strict transform of the fiber. By blowing up $p - 1$ distinct points. This results in an infinite family of log del Pezzo's with a single $\frac{1}{p}(1, 1)$ singularity and potentially A_n

singularities. Via the previous arguments any subsequent blowups not on this curve would induce a map to \mathbb{F}_2 . \square

LEMMA 4.5. *Let X be a log del Pezzo with only singularities of small discrepancy, and let $f: Y \rightarrow X$ be the minimal resolution. We suppose that Y admits a map π to \mathbb{F}_l where $l \geq 2$.*

For a germ S of a singularity of X , denote by $E_i^S \subset Y$ the exceptional curves in the resolution of S . For each singularity S on X :

- (1) *Every exceptional curve E_i^S is either contracted to a point of \mathbb{F}_l by π , or the pushdown $\pi_* E_i^S \subset \mathbb{F}_l$ is a smooth rational curve with self-intersection one of $-l, 0, l, l+2, 4l$.*
- (2) *In addition there is always a curve E_j^S not contracted by π for all singularities S .*

PROOF. To prove the first statement note that $\pi_* E_i^S$ cannot be a singular curve by Proposition 4.3, hence it is a smooth rational curve. The only smooth rational curves on a Hirzebruch Surface \mathbb{F}_l are the curves B , with $B^2 = -l$, F with $F^2 = 0$ and the curves lying inside the linear systems $|lF+B|$, $|(l+1)F+B|$, $|2F|$, $|2(lF+B)|$ and finally $|(l+2)F+B|$. We note that the final case does not have to be considered when $l \geq 2$ as it would intersect the negative section with multiplicity two. This would be a contradiction to Lemma 4.1. A similar argument occurs with $2F$ which is meeting the curve B at a single point with multiplicity 2.

To show that not all the curves E_j^S can be contracted to a point if $l \geq 2$, we go for a proof by contradiction. Assume $l \geq 2$ and every exceptional curve in a singularity S is contracted to a point $P \in \mathbb{F}_l$. Then P lies on a fiber F which intersects the curve B . First we consider $P \notin B$. We have $E_i^S \in \pi^{-1}P$ for all i . Hence we have to blow up P several times. However the strict transform of the fiber F , denoted \tilde{F} now has $\tilde{F}^2 \leq -1$. If $\tilde{F}^2 \leq -2$ then it has to be contracted, meaning $\tilde{F}, B \in \{E_i^S\}$ which would be curves not contracted to a point. If $\tilde{F}^2 = -1$, then the only -1 -curves in $\pi^{-1}P$ cannot intersect \tilde{F} . This is because, after the first blowup we have an exceptional curve E and the fiber \tilde{F} . These both have square -1 . If we blow up the intersection point of \tilde{F} and E then $\tilde{F}^2 \leq -2$, hence we can only blowup general points on E . At this point we have none of the -1 -curves intersecting \tilde{F} . If we blowup no points on E then clearly we are not introducing a singularity so this does not occur. Now finally we note that our curve configuration would contradict Lemma 4.1 if we had $\tilde{F}^2 = -1$ as it would connect B to an exceptional curve E_i^S . \square

REMARK. In the case where the length, n , of the singularity is 1 or 2, Lemma 4.1 follows via easy toric geometry as any curve joining two singularities is a locally toric configuration. This corresponds to the associated fan being non convex.

Now we can classify these log del Pezzos in a straightforward way.

THEOREM 4.6. *Let X be a non-smooth log del Pezzo with only singularities of small discrepancy. Then*

- (1) *X has either one singularity or two singularities, and if there are two one of the singularities is a $\frac{1}{r_1}(1, 1)$ and the other singularity is a $\frac{1}{r_2}(1, 1)$.*
- (2) *If X admits no floating -1 -curves then X admits a toric degeneration.*

In addition we can explicitly describe all possible basic surfaces.

PROOF. Given a log del Pezzo X_0 we start by contracting all floating -1 -curves. This gives rise to a log del Pezzo X_1 ; note that X_1 is not \mathbb{P}^2 since the contraction map is an isomorphism in the neighbourhood of any singularity of X_0 . Let $\sigma: Y \rightarrow X_1$ be the minimal resolution of X_1 . We know that there is a map $\pi: Y \rightarrow \mathbb{F}_l$, and we may suppose l is maximal. There is a curve $B \subset \mathbb{F}_l$ with $B^2 = -l$. If $l \geq 2$ then B has to be the image of a σ -exceptional curve E_i inside Y .

We first show that π cannot contract a curve to a point on B . If on the contrary there is a curve contracted to B , then without loss of generality we may assume that it is the exceptional curve of the final blowdown $Y \rightarrow Y_2 \rightarrow \mathbb{F}_l$. In that case, there two curves C_1, C_2 on Y_2 , both -1 -curves, with C_2 being the strict transform of 0 fiber. But then we could instead contract C_2 from Y_2 and get a map to \mathbb{F}_{l+1} , contradicting maximality of l . Hence π is indeed an isomorphism in a neighbourhood of B .

We note that $l \leq 1$ has been classified in Proposition 4.4. So we restrict to $l \geq 2$. Now there is a singularity S such that $B \in \{\pi_* E_i^S\}$. Assume first that S is not a $\frac{1}{p}(1, 1)$ singularity. Note that there is a curve E_j^S such that $\pi_* E_j^S$ is B . The adjacent (one or two) exceptional curves $E_{j\pm 1}^S$ cannot be contracted to a point via π (by the argument of the previous paragraph). We suppose there are two adjacent curves $E_{j\pm 1}^S$; the case where E_j^S is at the end of a chain of blowups with only one adjacent exceptional curve works in exactly the same way. Thus each of $\pi_* E_{j\pm 1}^S$ is either a 0 curve (a fiber) or an $l+2$ curve on \mathbb{F}_l (by the classification of smooth rational curves on \mathbb{F}_l). Denote these two adjacent curves by C_1 and C_2 respectively. Assume there was another singularity with exceptional curves $\{E_i^{S'}\}_{i=0}^{m_{S'}}$ on Y . Then by Lemma 4.5 there would be a curve $E_j^{S'}$ such that $\pi_* E_j^{S'}$ is a curve with self-intersection 0, l , $l+2$. However these curves would necessarily intersect C_1 and C_2 meaning either S' is not

distinct from S or there is a -1 -curve in Y connecting two of their curves in the minimal resolution. Hence X has precisely one singularity.

To complete the analysis of this step, suppose S is a $\frac{1}{p}(1, 1)$ singularity and that its unique exceptional curve is mapped to the negative section B . Then consider the possibility of there being another singularity S' on X . By Lemma 4.5, there is a curve $E_j^{S'}$ such that $A = \pi_* E_j^{S'}$ has self-intersection l or $4l$; it cannot be 0 or $l+2$ as it must not meet B . If S' is not a $\frac{1}{p}(1, 1)$ then there is at least one exceptional curve among the $E_k^{S'}$ that is contracted to a point on $A \subset \mathbb{F}_l$. However each blowup of a point $Q \in A$ introduces a -1 -curve D which is joined to curve B by another -1 -curve, the birational transform of the fiber through Q . Hence none of these curves $E_k^{S'}$ can be mapped to D , as otherwise it would be adjoined to B by a -1 -curve, contradicting Lemma 4.1. Thus any other singularity on X is also of type $\frac{1}{p}(1, 1)$ (though possibly for a different p).

Suppose now that there was a third singularity of type $\frac{1}{p}(1, 1)$. Once again, its exceptional curve would have to be sent to a $0, l, l+2$. Any smooth rational curve on \mathbb{F}_l with one of these intersection numbers intersects the curve A . Thus on Y it must either meet the birational transform of A or meet some curve that intersects A . Once again in the second case it will result in two singularities connected by a -1 -curve. This is a contradiction to small discrepancy.

Thus X has exactly one or two singularities of type $\frac{1}{p}(1, 1)$, and part (1) is complete in the case $l \geq 2$.

For part (2), we first observe that neither of the adjacent curves $E_{j\pm 1}^S$ can map to an $l+2$ curve, as this would result in X will have a floating -1 -curve. This is because $l+1$ points in an $l+2$ curve can be cut out as the intersection of the $l+2$ curve with an l curve and we would have to blowup at least $l+1$ times.

Because of this we see that the only possibilities for $\pi_*(E_{j\pm 1}^S)$ are two different 0 curves. (Again we suppose there are two adjacent curves; the case of one adjacent curve follows via the same logic.) We can then proceed to construct the configuration of all exceptional curves inductively. This means that when a surface of this form is able to be constructed we can obtain it by doing two weighted blowups at two general points of a Hirzebruch surface with the weights k_1 and k_2 . We then do a series of toric blowups, and then finally do a series of non toric blowups on the boundary. The following surface is one example, arising from blowing up two general points of a Hirzebruch surface with weight $(1, i)$ and $(1, n-i)$.

In Figure ?? the picture is where the map to the Hirzebruch surface is an isomorphism on an exceptional curve E_i , where $1 < i < n$. Here the red curves indicate -1 -curves

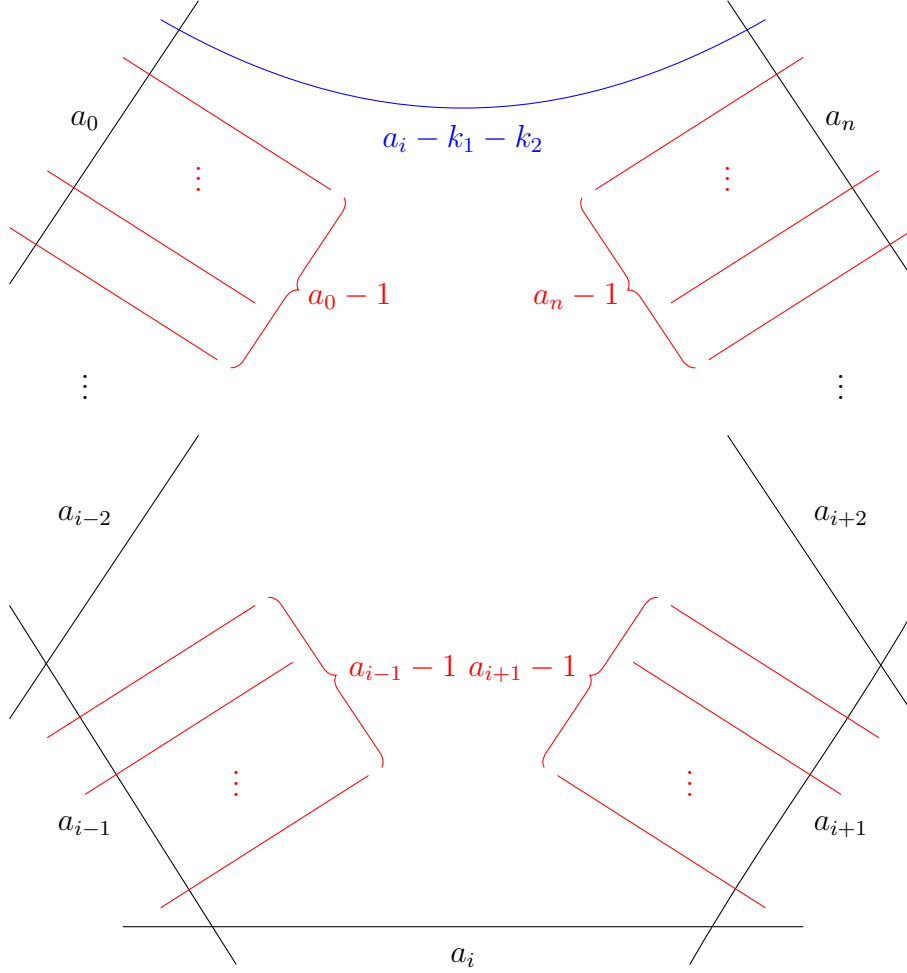


FIGURE 1. Example of surface.

and the blue curves indicate curves with positive self-intersection. The blue curve has self-intersection $a_i - k_1 - k_2$. This value is dependent on the map to the Hirzebruch surface \mathbb{F}_{a_i} . If $i = 1$ or $i = n$ then we would have a similar looking configuration except with positive curve now having self-intersection $a_i + 2 - k_1$ as we consider the curve in the linear system $|(l + 1)F + B|$ which would be the nodal curve inside $|-K_X$.

The toric degeneration property now follows. By construction all these surfaces X are Looijenga pairs, and so admit a toric degeneration by [?, Theorem ??]. \square

REMARK. If for every possible value of k_1 and k_2 we have $k_1 + k_2 > a_i$ for all i . Then there are no basic surfaces with singularity S . For instance the singularity $S = [3, 2, 2, 2, 2, 2, 2, 2, 2, 2, 3]$ cannot be the only singularity on a log del Pezzo surface. This enables us, in certain case, to state existence of a surface in terms of length of the singularity.

We use these results to classify log del Pezzo surfaces with certain singularities. This leads to the following corollaries in which we classify all log del Pezzo surfaces with singularities of small discrepancy, each of which is resolved by a one or two exceptional curves.

5. Examples

We start by specifying how we deviate from the previous literature. In [?] they construct cascades as explicit maps between log del Pezzo surfaces, mirroring the classical constructions. We do not do this, however we explicitly state when the map exists and give good embeddings. If a variety admits no floating -1 -curves, so a basic surface, we call it the root of a cascade. If a surface X cannot be blown up at any point while preserving $-K_X$ ample we call it the head of a cascade.

COROLLARY 5.1. *Let X be a log del Pezzo surface with small discrepancy and basket $\{\frac{1}{p_1}(1, 1), \dots, \frac{1}{p_n}(1, 1)\}, m\}$ for $n \geq 0$ and $m \geq 0$. Then $n \leq 2$ and moreover*

- (1) *if $n \leq 1$ then either X is a smooth del Pezzo surface or lies in a cascade over $\mathbb{P}(1, 1, k)$ (see [?, Table ??]);*
- (2) *if $n = 2$ then let c be the highest common factor of p and q and $a = \frac{p}{c}$, $b = \frac{q}{c}$. Then X is isomorphic to a quasismooth weighted hypersurface $X_{a+b} \subset \mathbb{P}(1, 1, a, b)$ quotiented out by μ_c acting with weights $(1, 1, 0, 1)$. Conversely any such hypersurface with $p, q \geq 4$ is a log del Pezzo surface with small discrepancy.*

In particular, in the case of two singularities there is no cascade.

The small discrepancy condition is equivalent to the condition that $p_i \geq 4$ for each $i = 1, \dots, n$. For the sake of completeness, we outline the classification result of [?] that describes part 1, which also follows independently from Proposition 4.4 and Theorem 4.6.

PROOF. With these restrictions on singularities, it fits the criterion for the above theorem. The explicit classification was done in the proof of Theorem 4.6. The case of one singularity was done in [?]. The only examples of these surfaces with more

than one singularity are constructed by blowing up a Hirzebruch surface in several points along a line and then contracting the two curves. Denote this surface by X . Then X admits a toric degeneration to $(-p_1, -1), (0, 1), (p_2, 1)$. This is $\mathbb{P}(a+b, a, b)$ quotiented out by μ_c acting with weights $(1, 1, 0, 1)$. Taking the v_{a+b} gives us the desired embedding. Note X admits a \mathbb{C}^* action and the degeneration is equivariant with respect to the torus action. We have $-K_X^2 = \frac{4}{p_1} + \frac{4}{p_2}$. Even in cases where $-K_X^2 > 1$ we see that X cannot be blown up while preserving $-K_X$ ample. If X admitted a blow up at a general point P then there is a fiber F such that $P \in F$. Then \tilde{F} is a -1 -curve on the minimal resolution connecting the $-p_1$ curve with the $-p_2$ curve. This is a contradiction. Hence there is only one element in the cascade.

We note that this surface can be seen as a hypersurface of degree $p + q$ inside $\mathbb{P}(1, 1, p, q)$.

□

We now do a more difficult example by classifying the log del Pezzo's with singularities $S_{a,b}$ with resolution E_1, E_2 with $E_1^2 = -a, E_2^2 = -b$. To make sure that this obeys the conditions on the theorem we insist $a, b \neq 2$. We note that the case of $S_{3,3}$ does satisfy the conditions for the theorem. However we are interested in \mathbb{Q} -Gorenstein smoothings and $S_{3,3}$ is not \mathbb{Q} -Gorenstein rigid and admits a partial smoothing to $\frac{1}{6}(1, 1)$ singularity. These were classified above. This is the only one of these singularities which is not \mathbb{Q} -Gorenstein rigid. This is a more complicated example of how the above theorem can be used.

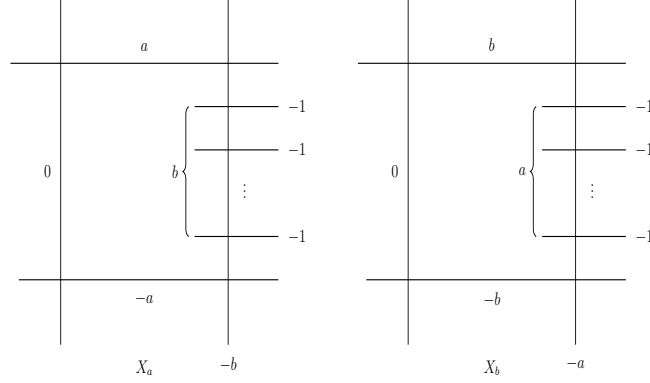
COROLLARY 5.2. *Let X be a surface such that the basket is $(\{S_{a_1, b_1}, \dots, S_{a_m, b_m}\}, n)$, with the condition that $a_i, b_i \geq 3$ and we exclude the case $a_i = b_i = 3$. Then there is at most one singularity $S_{a,b}$.*

Moreover all such surfaces fall into a cascade of the following form

$$\begin{array}{ccccccc}
 X_a^0 & \xleftarrow{\phi_a^0} & X_a^1 & \xleftarrow{\phi_a^1} & \dots & \xleftarrow{\phi_a^{a-2}} & X_a^{a-1} \\
 & & & & & & \swarrow \phi_a^{a-1} \\
 & & & & & & X_1 \xleftarrow{\Phi_1} X_2 \xleftarrow{\Phi_2} X_3 \\
 & & & & & & \swarrow \phi_b^{b-1} \\
 X_b^0 & \xleftarrow{\phi_b^0} & X_b^1 & \xleftarrow{\phi_b^1} & \dots & \xleftarrow{\phi_b^{b-2}} & X_b^{b-1}
 \end{array}$$

PROOF. Once again by Theorem 4.6 there are two roots of the cascade given by the following two surfaces. These correspond to surfaces constructed by blowing up

\mathbb{F}_a in b points on a fiber and \mathbb{F}_b in a points on a fiber, then contracting the negative curves. We call these surfaces X_a and X_b respectively.



These admits a toric degeneration to $\mathbb{P}(1, b, ab - 1)$ and $\mathbb{P}(1, a, ab - 1)$ respectively. We only consider the case of X_a as X_b is completely symmetric. We see that we can smooth it by taking the b th Veronese embedding and getting $\mathbb{P}_{u,v,w,t}(1, 1, ab - 1, a)$ with the relation $uw = t^b$. This admits a smoothing giving us the surface lying as $X_{ab} \subset \mathbb{P}(1, 1, ab - 1, a)$. We get the following formula for the anticanonical degree of X_a :

$$-K_{X_a}^2 = 8 - b + a \left(1 - \frac{b+1}{ab-1}\right)^2 + b \left(1 - \frac{a+1}{ab-1}\right)^2 - 2 \left(1 - \frac{a+1}{ab-1}\right) \left(1 - \frac{b+1}{ab-1}\right)$$

We will show that this admits a cascade of length $a+2$. The first a terms are easy to describe as we see that these admit a toric degeneration to X_Σ with Σ being the fan with rays $(-1, b)$, $(-1, 0)$, $(a, -1)$, $(a - u, -1)$, where u is the number of blowups. This has an A_{b-1} singularity and an A_{u-1} singularity. Via Cox rings this can be viewed as $\mathbb{C}_{\{x,y,z,t\}}^4$ with a quotient

$$\begin{array}{cccc} x & y & z & t \\ \begin{pmatrix} u & 0 & bu - (ab - 1) & ab - 1 \\ 1 & ab - 1 & b & 0 \end{pmatrix} \end{array}$$

Taking the Veronese embedding of degree u in the variables z, t gets us the coordinates z^u, t^u, zt . This enable us to smooth the A_{u-1} singularity. To smooth the A_{b-1} singularity we take the b Veronese embedding of the variables x and y gives us x^b, y^b, xy . Once again we can smooth this out. This gives us the surface as complete intersection with weights $\begin{smallmatrix} b & b^2u \\ ab & u \end{smallmatrix}$ inside the toric variety with weights

$$\begin{array}{cccccc}
x^b & y^b & z^u & xy & t^u & t^{bu}z \\
\left(\begin{array}{ccc|ccc}
b & 0 & b(bu - (ab - 1)) & 1 & ab - 1 & b^2 \\
1 & ab - 1 & u & a & 0 & 1
\end{array} \right)
\end{array}$$

After $(a + 1)$ blowups the surface admits a toric degeneration to the toric variety defined by the spanning fan of $(-1, b)$, $(-1, -1)$, $(a, -1)$. The toric degeneration after $(a + 2)$ blowups is given by spanning fan $(-1, 0)$, $(-a, -1 - a)$, $(-1, -1 - a)$, $(b, ab - 1)$.

To see the cascade result we note that if you blow up the surface X_a times at points $P_1 \dots P_a$. To each of these points there is a unique fiber going through it F_i . The strict transform of these fibers after blowing up is a -1 -curve going through the $-a$ -curve. Hence after blowing X_a and X_b respectively a and b times we get a surface which has as a boundary three curves with self-intersection $0, -a, -b$ and in both cases you have your a and your b curves have a and b minus one curves intersecting them respectively. Hence they are isomorphic.

We note that we have made in the above calculations no effort to show that the elements in the cascade are log del Pezzo surfaces. However it is not hard to show, assume we are blowing up $a + 2$ points giving a surface X_3 . If this is a log del Pezzo surface then every element in the cascade is. This has minimal resolution Y_3 . The class group of Y_3 is generated by the curves $D_1, D_2, D_3, D_4, E_1^0, \dots, E_b^0, E_1^1, \dots, E_{a+2}^1$. Here the D_i form a cycle such that $\sum D_i \in |-K_{Y_3}|$. These have self-intersections $-a, -b, -1, -1$ respectively. Here D_3 was a curve of degree a on \mathbb{F}_a blown up $a + 1$ times and D_4 was a fiber on which a single point has been blown up. The E_i^0 are -1 -curves intersecting the $-b$ curve and are not floating. The E_i^1 are floating -1 -curves. We wish to show $-K_{X_3}$ is ample. We show $-K_{X_3} \cdot C > 0$ for all C generating the class group which is equivalent. We note that the curves D_1, D_2 are contracted when sent to X_3 . We note that $-K_{X_3} \cdot E_i^0 = -K_{X_a} \cdot E_i^0 > 0$ as we are blowing up points not on these curves and $-K_{X_a}$ is ample. Then $-K_{X_3} \cdot E_i^0 = 1$ as these are floating -1 -curves. Finally to see that $-K_{X_3} \cdot D_3 > 0$ we note that, when pushed forwards to X_3 , it only goes through the one singularity on X_3 with multiplicity -1 . This is because on Y_3 it is only intersecting the $-a$ -curve transversely. Hence $-K_{X_3} \cdot D_3 = 1 + d_a$ where d_a is the discrepancy of the $-a$ -curve. Via log terminality we have $d_a > -1$. Hence the product is greater than 0. The argument for the curve D_4 is symmetric with d_a replaced with d_b . From this we see X_3 is a log del Pezzo, hence every surface in the cascade is a log del Pezzo surface. \square

This structure of the cascade can be put in more general terms.

6. Cascades

Given a singularity with small discrepancy such that the minimal resolution is a_1, \dots, a_n , there are a finite number of basic surfaces classified in Theorem 4.6. Attached to any one of these basic surfaces we have the invariants a_i and k_1, k_2 . The a_i indicates the basic surface X has minimal resolution Y which admits a map to \mathbb{F}_{a_i} which is an isomorphism on the negative section. The invariants k_1 and k_2 are the number of times we blew up general points on \mathbb{F}_{a_i} to obtain Y .

THEOREM 6.1. *Let X_i be one of the basic surfaces constructed in Theorem 4.6. We can describe how their cascades. In the case when the singularities are of the form $\frac{1}{p}(1, 1)$ the cascades have been classified by [?] and the above example.*

For the general case we split in to cases. We label the exceptional curves on X_i arising via the first $k_1 + k_2$ blowups by E_i^S and E_i^T respectively. Let s_i, t_i be the number of -1 -curves intersecting E_i^S and E_i^T respectively. We then classify the cascade via these invariants

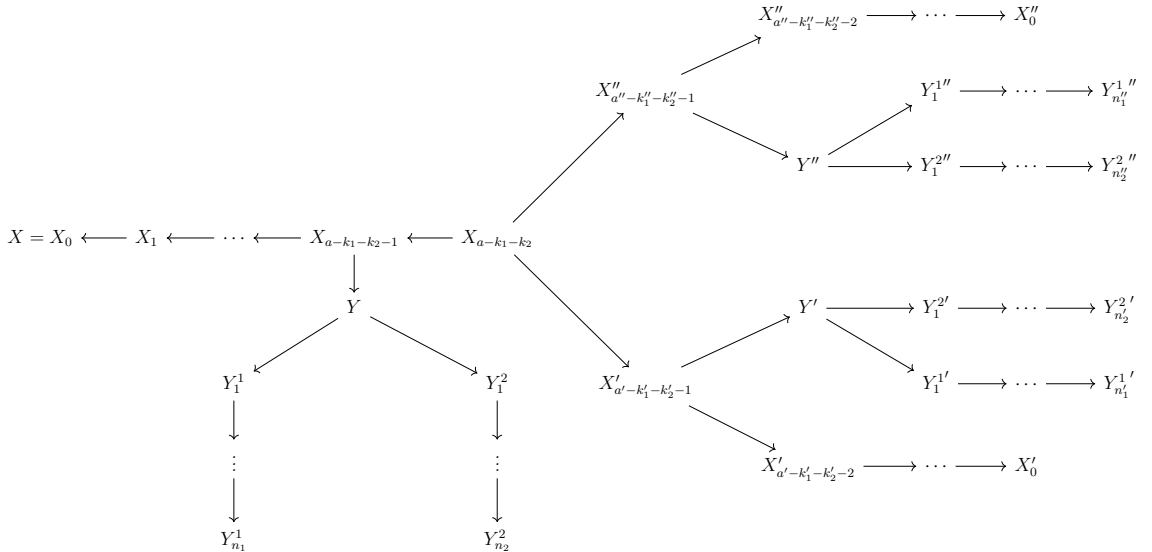
There is the sporadic case where the singularity is length one then we have

InsertcaveyPrince

and the cascade of length one when there are two singularities

$$X_{a,b}$$

Consider X a surface with k_1 and k_2 not equal to zero. In addition s_1, t_1, s_2 and t_2 are all non zero. Then we have the following cascade

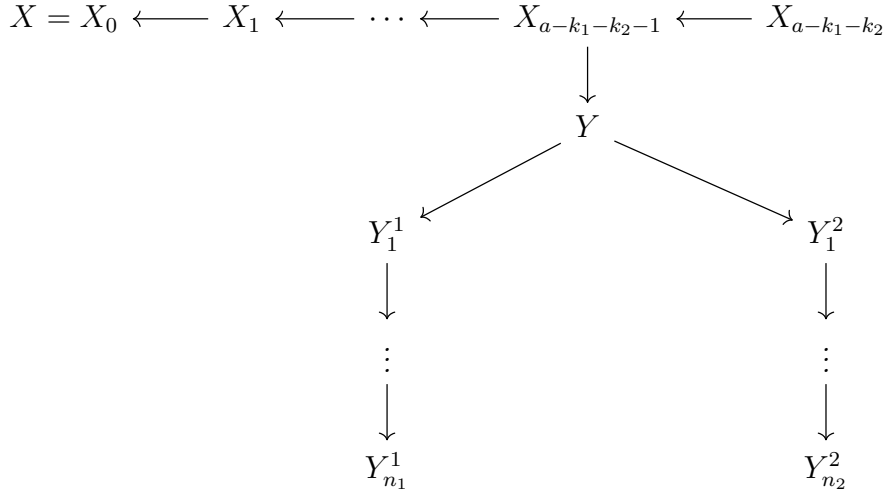


where we have

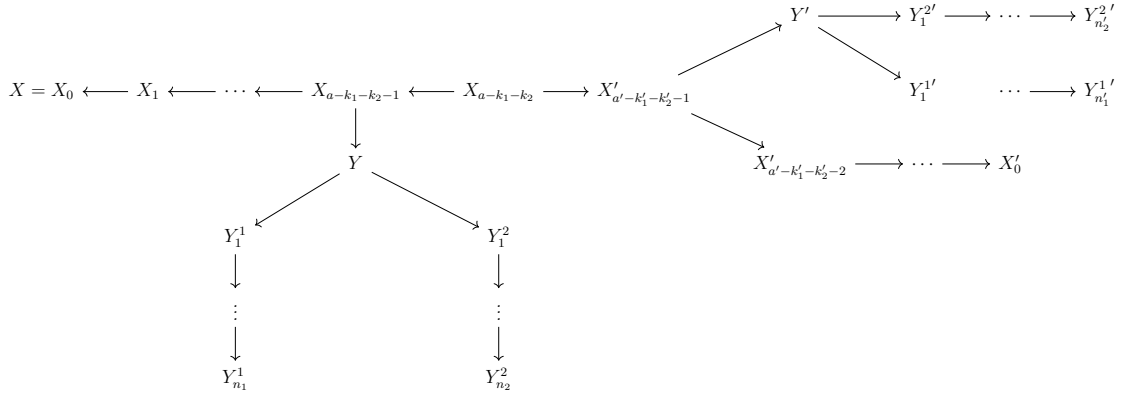
- (1) X is a surface with k_1 and k_2 not equal to zero. In addition s_1 , t_1 , s_2 and t_2 are all non zero.
- (2) The $Y_{n_1}^1$ or $Y_{n_2}^2$ are surfaces such that $k_1 = 0$ and $k_2 = a_1 + 1 - s_1$ or $k_2 = a_1 + 1 - t_1$ respectively. In each of the Y_i there is only one degenerate fiber in the \mathbb{P}^1 fibration induced by the map to the Hirzebruch surface.
- (3) The surfaces X'_0 and X''_0 are of the same form as X and the picture has 3-fold symmetry.

There are a variety of subcases of this which occur

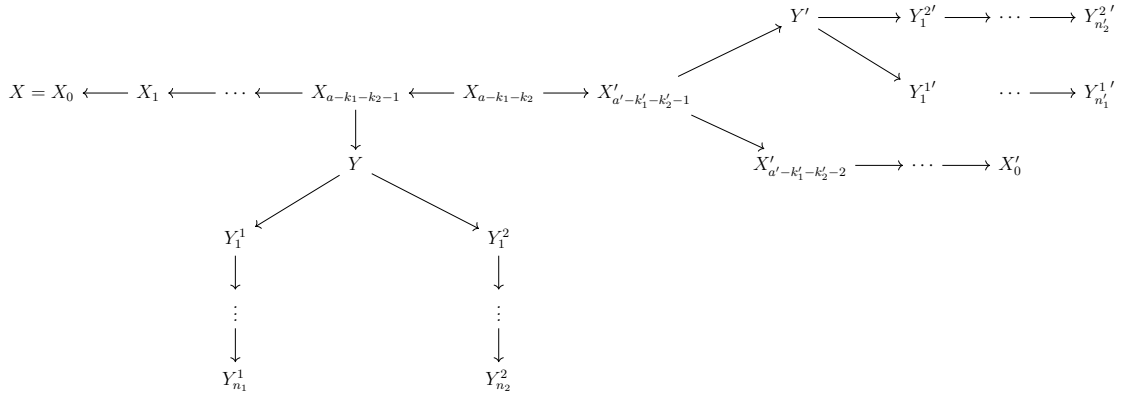
- (1) The next case is X is a surface with $k_1 = k_2 = 0$ and there two non general fibers or $s_2 = t_2 = 0$. Then the cascade is



(2) The next case is X is a surface with $k_1 = 0$, $k_2 \neq 0$ and there are two non general fibers or $t_2 = 0$.



(3) The next case is $s_1 = 0$ and two non general fibers



(4) The next case is $s_1 = t_1 = 0$ and two non general fibers

$$X = X_0 \longleftarrow X_1 \longleftarrow \cdots \longleftarrow X_{a-k_1-k_2-1} \longleftarrow X_{a-k_1-k_2}$$

(5) We also have the case $s_2 = 0$ or $k_1 = k_2 = 0$ with one non general fiber, which has cascade

$$\begin{array}{c}
 X_a^0 \longleftarrow X_a^1 \longleftarrow \cdots \longleftarrow X_a^{a-1} \\
 \searrow \\
 X_1 \longleftarrow X_2 \longleftarrow X_3 \\
 \swarrow \\
 X_b^0 \longleftarrow X_b^1 \longleftarrow \cdots \longleftarrow X_b^{b-1}
 \end{array}$$

(6) finally case $s_1 = s_2 = 0$ with one non general fiber, which has cascade

$$X = X_0 \longleftarrow X_1 \longleftarrow \cdots \longleftarrow X_{n-1} \longleftarrow X_n$$

We note that $s_1 = 0$ and $s_2 = 0$ with one non general fiber would occur in case 3 above.

PROOF. Given a singularity S of small discrepancy with length $m > 1$. Then a basic surface X with singularity S has minimal resolution Y . The surface Y is constructed by taking a Hirzebruch surface \mathbb{F}_{a_i} picking two points P_1, P_2 and blowing them up k_1 and k_2 times, this gives rise to an intermediate surface Z and then X is constructed by doing further blow ups. We can assume $k_1 \leq k_2$ and this gives the relations that either $k_1 = k_2 = 0$ and $m \in \{1, 2, 3\}$ or $k_1 = 0$ and $k_2 = m - 2$ or $k_1 + k_2 = m - 3$. These cases arise by considering the case where the strict transform of both/ one/ none of the fibers are exceptional curves. The case where no fiber becomes an exceptional curve has been classified in Example ?? and we will not mention it further.

We note that k_1 and k_2 should not be viewed as invariant of the surface X but an invariant of the given map to \mathbb{F}_{a_i} :

$$X \xleftarrow{f} Y \xrightarrow{\pi} \mathbb{F}_{a_i}$$

This is because the map to a Hirzebruch surface is non unique even with the moderate restrictions we have placed on these maps.

We now note that by construction in the case where the strict transform of both fibers are exceptional curves we get a curve C on Y with self-intersection $a_i - k_1 - k_2$. Via construction C was a toric curve and $f_*(C) \in |-K_X|$. We have that the class group of X is generated by C and D_i where D_i are the curves arising from the non toric

blowups of Y . This implies that the cascade of X is of length $L = a_i - k_1 - k_2$ as blowups in general position do not affect the $-K_X \cdot D_i$ and when we blow up $a_i - k_1 - k_2 + 1$ times $K_X^2 \leq 0$ via the small discrepancy condition. If $L < 0$ then this surface is not a log del Pezzo surface.

In the case where one of the fibers is not exceptional, so $k_2 = 0$, we have that the class group is generated by the same D_i , the fiber class F and a final curve C . Here $-K_X = C + F$ and $F^2 = 0$, $C^2 = a_i - k_1$. This surface admits a cascade of length $L = a_i - k_1 + 2$. This is because, we only need to calculate the intersections on the subgroup generated by C and F . If we blowup L times we can assume that we blew up one point on the fiber F and $a_i - k_1 + 1$ points on the curve C . After this process the strict transform of both these curves would have self-intersection -1 . As these are both on through a singularity with multiplicity one we see that $-K_X$ has positive intersection with these curves. If we blow up one more time we can assume all $L + 1$ points lie on a curve in the class $|C + F|$ this has self-intersection L and hence after all these blowups would be a -1 -curve intersecting the singularity twice. This would not be a log del Pezzo surface via small discrepancy.

We now wish to explore the birational relationships between these surfaces. The first stage is to show that the only possible -1 -curves on any basic surface arise from the class $|B + a_i F|$ on the Hirzebruch surface \mathbb{F}_{a_i} . To show this we note that it is impossible for any curve that intersects $|B|$ to end up being a floating curve as it will always intersect the curve B . So any floating curve C lies in the class $n|B + a_i F|$. To show that $n = 1$ we compute the self intersection of these curves. If $n = 2$ then the smallest possible self intersection of a curve not going through the singularity is $4a_i - k_1 - k_2 - 4$ if there are two non general fibers and $4a_i - k_1 - 2$ if there is only one. As in the first case $L = a_i - k_1 - k_2$ as $a_i \geq 2$ we have $4a_i - k_1 - k_2 - 4 > L$ so we cannot blow up enough to make this a -1 -curve. In the second case $L = a_i - k_1 + 2$ so once again as $a_i \geq 2$ we cannot blow up enough to make it a -1 -curve. As n increases the size of the self intersection increases and hence they can never occur as floating -1 -curves.

Now we explicitly state how the cascade structure occurs. We note that we can restrict our analysis to the exceptional curves $E_i \subset Y$ that arose as part of the original k_1 and k_2 blowups. These are the only curves that can be intersected by curves in the class $|B + a_i F|$ as otherwise they would have to intersect the fiber with multiplicity greater than 1. Label these exceptional curves S_1, \dots, S_{k_1} and T_1, \dots, T_{k_2} with S_1 and T_1 being the strict transforms of the fibers. Hence any potential floating curve intersects a -1 -curve coming out of a curve S_i and a -1 -curve coming out of T_j . We now denote by $C_{i,j}$ the curve intersecting a -1 -curve coming from S_i and a -1 -curve coming from T_j . Then in the case of both fibers becoming exceptional

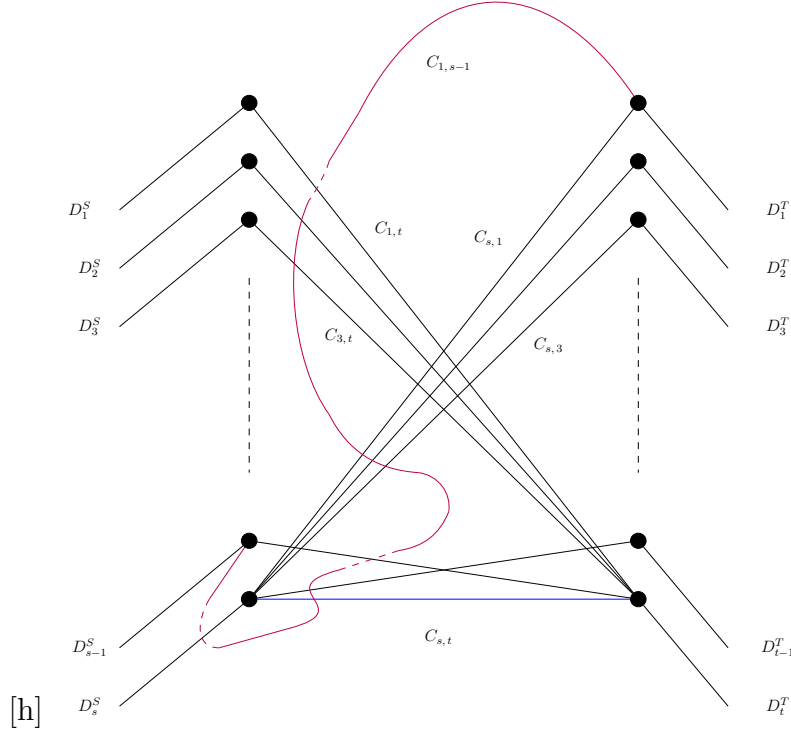


FIGURE 2. This is currently not correct, fix the intersection

curves $C_{i,j}^2 = L - 4 + i + j$ so in order for it to become a -1 -curve it needs to be blown up in $L - 3 + i + j$ points. However as the length of the cascade is L this implies $i + j \leq 3$. So $\{(i, j)\} = \{(1, 1), (1, 2), (2, 1)\}$. We now go on a case by case analysis:

Case 1: We start with $(i, j) = (1, 1)$. It takes $L - 1$ blowups for these curves to become -1 -curves. Denoting the number of -1 -curves intersecting S_1 and T_1 by s, t , we label these curves D_u^S and D_v^T respectively. We have st possible curves which would give rise to a -1 -curve after $L - 1$ blowups. We denote by $C_{u,v}$ the curve intersecting D_u^S and D_v^T . These curves originally lay in $|B + a_i F|$ so on the Hirzebruch surface they intersected b times. By repeating the same calculation on this on Y blown up $L - 1$ times, we see that $C_{u,v}$ intersects $C_{u',v'}$ if and only if $u \neq u'$ and $v \neq v'$. So fixing $C_{u,v}$ we get the curve configuration in Figure ??

Hence if we choose a floating -1 -curve $C_{u,v}$ to contract the only remaining floating curves are $C_{u,\beta}$ and $C_{\alpha,v}$ where $\alpha \in \{1, \dots, s\}$ and $\beta \in \{1, \dots, t\}$. When the second floating curve is contracted this uniquely defines whether we are iterating over s or

over t . So after two contractions the cascade is uniquely defined. To see where it ends up we note that a basic surface is uniquely defined by the number of -1 -curves coming out of each curve on the boundary. Picking one of these chains of leads to either s blowdowns or t blowdowns. These cases behave symmetrically, so focusing on the case of s blowdowns we get a curve $S_1 = E_1 \subset Y$ with self intersection $-a_1$ and no -1 -curves coming out of it. So it admits a map to \mathbb{F}_{a_1} . We note that in our notation the basic surface $Y_{n_1}^1$ which it blows down to has to have new value $k_1^{Y_{n_1}^1} = 0$ as there is only one exceptional curve intersecting it. Hence there is only one non general fiber of the fibration. As we are doing s blow downs the self intersection of the positive section is $1 + s = a_1 - k_2^{Y_{n_1}^1} + 2$. This gives us $k_2^{Y_{n_1}^1} = a_1 + 1 - s$.

Case 2: The second case is $(i, j) = (1, 2)$. We do not spell this out in the same level of detail. Replicating the above arguments we see that we now get exactly the same curve configuration as in Figure 2 except now connecting the curves E_1^S to E_2^T . So once again this leads to a set of two branching contractions. However the choice of contractions now give different basic surfaces at the end. If you contract the curves $C_{u,1}$ you get a surface Z_{m_1} with $k_1^{Z_{m_1}} = 0$ and only one non general fiber. However now via the same calculations as previously $k_2^{Z_{m_1}} = a_1 + 2 - s$. In the other case we are contracting all curves of the form D_v^T this gives rise to a surface W_{m_2} with $k_1 = 0$ but two exceptional fibers. This has invariant $k_2^{W_{m_2}} = t$.

Case 3: The final case is $(i, j) = (2, 1)$. This is symmetrical to case 2.

A crucial point in this proof is that each case behaves independently of the others as two floating curves don't intersect each other only if they lie in the same case. This means that upon any contraction we have limited ourselves to a set of -1 -curves.

We note that if there are no -1 -curves coming out of the curves E_1^S and E_1^T then the cascade is a straight line as the above discussion is entirely predicated on their existence. Via similar logic we get the following cases where not all of these maps occur. Let s_i, t_i equal the number of -1 -curve going through E_i^S and E_i^T respectively. These conditions are symmetrical in s and t

- (1) $k_1 = k_2 = 0$ and there two non general fibers or $s_2 = t_2 = 0$. Then neither case 2 or 3 can occur.
- (2) $k_1 = 0, k_2 \neq 0$ and there are two non general fibers or $t_2 = 0$. Then case 3 cannot occur.
- (3) $s_1 = 0$. Then case 1 and 2 cannot occur.
- (4) $s_1 = t_1 = 0$ or $s_1 = s_2 = 0$. Then the cascade is a straight line.

This concludes the cascade for basic surfaces of this type.

We make a quick mention of what happens in the case where there is only one non generic fiber. These surfaces all start by blowing up a point k times. Label the exceptional curves that arose from blowing up this point E_k, \dots, E_1 and we denote the strict transform of the fiber by E_0 . Once again $L = a_1 - k + 2$ and we have curves with self intersection $a_1 - i - 1$ intersecting the -1 -curves coming out of E_i . To get a -1 -curve we need $a_1 - i - 1 < L = a_1 - k + 2$ giving $k - i < 3$ so $i \in \{k, k-1, k-2\}$.

Considering the case where $i = k - 1$ then the curve intersects a -1 -curve going through the curve E_{k-1} , which has self intersection $-a_{k-1}$. Blowing down all these floating curves gives rise to a basic surface X which has a map to $\mathbb{F}_{a_{k-1}}$, sending E_k to the negative section. Hence this is a surface in one of the above cases, as there are two exceptional curves adjacent to the negative section. So we it lies in one of the above diagrams. \square

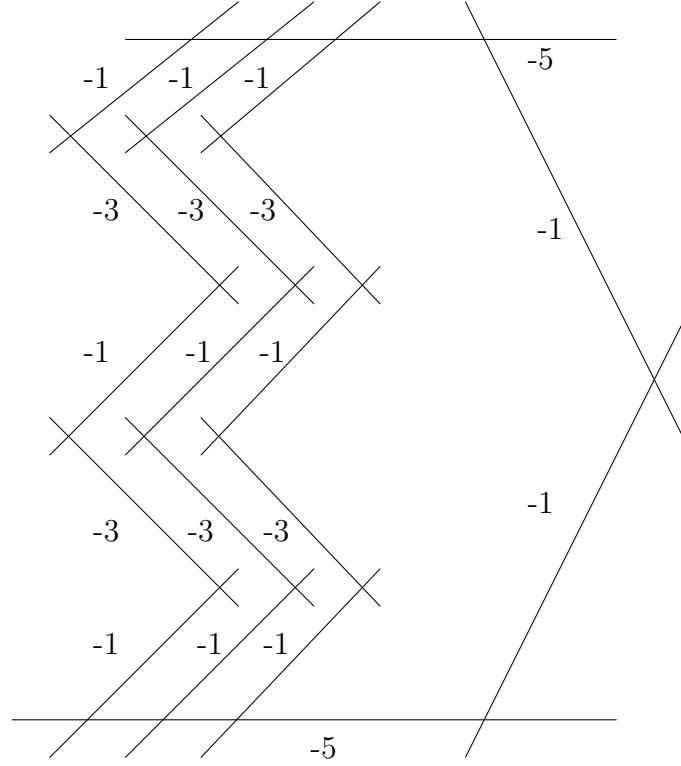
The smallest possible length of a singularity where this full cascade can be seen is if the singularity is length 5 or more.

7. Outside of the small discrepancy

If you consider singularities of the type $\frac{1}{p}(1, 1)$ we note that if $p \geq 7$ then a $\frac{1}{p}(1, 1)$ singularity cannot be joined to any other $\frac{1}{p}(1, 1)$ singularity by a -1 -curve. Hence a similar analysis to Theorem 4.6 gives us the bound that there cannot be a log del Pezzo surface X with singularities $\frac{1}{p_1}(1, 1), \dots, \frac{1}{p_n}(1, 1)$ and $p_1 \geq 7$ and more than 2 different singularities.

However when we enter the case where $p_1 < 7$ you can get surfaces with many more singularities. For instance consider the surface X with the following minimal

resolution:



LEMMA 7.1. *This surface does not admit a toric degeneration.*

PROOF. Given a Looijenga surface X , in the sense of ??, and a curve C in the boundary. Let C_1, \dots, C_n be the -1 -curves intersecting C . Then at most two of these -1 -curves intersect curves with self intersection less than -1 , as they would have to be in the boundary which is a cycle. The -5 curves in the above picture clearly do not satisfy this constraint, hence this surface does not admit a well defined boundary. \square

However this surface has the following invariants

- $-K_X^2 = \frac{2}{5}$
- Six $\frac{1}{3}(1, 1)$ singularities
- Two $\frac{1}{5}(1, 1)$ singularities
- $h^0(-K_X) = 1$

This is a complexity one surface, and the calculations can be done via polyhedral divisors as set out in [?]. Alternatively we know this is constructed by 13 blowups of a Hirzebruch surface and then 8 subsequent contractions. This gives $-K_X^2 = 8 - 13 + 6v_{\frac{1}{3}(1,1)} + 2v_{\frac{1}{5}(1,1)}$ where the v_i are correction terms in orbifold Riemann-Roch [?]. We calculate them to be $v_{\frac{1}{3}(1,1)} = \frac{5}{3}$ and $v_{\frac{1}{5}(1,1)} = \frac{1}{5}$. This gives $-K_X^2 = \frac{2}{5}$. We see once again via [?] that we can calculate $h^0(-K_X)$ from this and we get $h^0(-K_X) = 1$.

We can construct this surface as a toric complete intersection via cox rings [?] and we see that it lies as a complete intersection in the toric variety given by the GIT quotient

$$\begin{array}{cccccccccccc} T_1 & T_2 & T_3 & T_4 & T_5 & T_6 & T_7 & T_8 & T_9 & T_{10} & T_{11} \\ \left(\begin{array}{cccccccccccc} 1 & -2 & 3 & 0 & 0 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 3 & -6 & -2 & 1 & 0 & 0 & 0 & 0 & 0 & 0 \\ 0 & 2 & -4 & -1 & 0 & 1 & 0 & 0 & 0 & 0 & 0 \\ 0 & 3 & -6 & 0 & 0 & 0 & -2 & 1 & 0 & 0 & 0 \\ 0 & 2 & -4 & 0 & 0 & 0 & -1 & 0 & 1 & 0 & 0 \\ 0 & -3 & 7 & 1 & 0 & 0 & 1 & 0 & 0 & 1 & 0 \\ 0 & 1 & -2 & 0 & 0 & 0 & 0 & 0 & 0 & -1 & 1 \end{array} \right) \end{array}$$

with the equations

$$T_1 T_2^2 T_3 + T_4 T_5^2 T_6 + T_7 T_8^2 T_9 = T_1 T_2^2 T_3 + T_4 T_5^2 T_6 + \lambda T_{10} T_{11} = 0$$

To do this we note that this surface has polyhedral divisor as introduced in Chapter 4

$$\left[0, \frac{3}{2}, 3\right] \otimes P_1 + \left[0, \frac{3}{2}, 3\right] \otimes P_2 + \left[0, \frac{3}{2}, 3\right] \otimes P_3 + [-5, -4] \otimes P_4$$

We can then use There are also surfaces which admit toric degenerations with the same numerics.