5 A mechanistic model for anaerobic

6 phototrophs in domestic wastewater

7 applications: Photo-Anaerobic Model (PAnM)

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25 ABSTRACT

26Purple phototrophic bacteria (PPB) have been recently proposed as a key 27potential mechanism for accumulative biotechnologies for wastewater treatment 28with total nutrient recovery, low greenhouse gas emissions, and a neutral to 29positive energy balance. Purple phototrophic bacteria have a complex 30metabolism which can be regulated for process control and optimization. Since 31microbial processes governing PPB metabolism differ from traditional processes

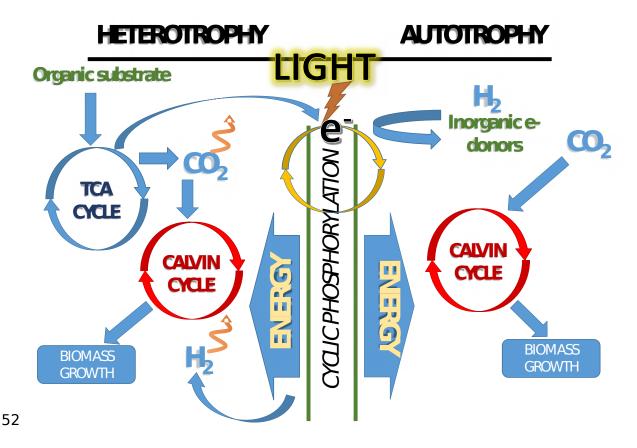
32used for wastewater treatment (e.g., aerobic and anaerobic functional groups in 33ASM and ADM1), a model basis has to be developed to be used as a framework 34for further detailed modelling under specific situations. This work presents a 35mixed population phototrophic model for domestic wastewater treatment in 36anaerobic conditions. The model includes photoheterotrophy, which is divided 37into acetate consumption and other organics consumption, chemoheterotrophy 38(including simplified fermentation and anaerobic oxidation) and photoautotrophy 39(using hydrogen as an electron donor), as microbial processes, as well as 40hydrolysis and biomass decay as biochemical processes, and is single-biomass 41based. The main processes have been evaluated through targeted batch 42experiments, and the key kinetic and stoichiometric parameters have been 43determined. The process was assessed by analysing a continuous reactor 44simulation scenario within a long-term wastewater treatment system in a photo-45anaerobic membrane bioreactor.

46<u>Key words:</u> Phototrophic bacteria, resource recovery, mechanistic modelling, 47Partition-Release-Recovery

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51 **Graphical Abstract**



HIGHLIGHTS

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- 55 A mechanistic model for anaerobic phototrophs has been developed: PAnM
- The model includes organic C and H₂ (as COD) and inorganic C, N and P.
- Microbial processes based on PPB metabolism were identified through
 dedicated experiments.
- 59 Kinetic and stoichiometric parameters were determined in batch tests.
- 60 Model was tested by simulating the process in a photo-anaerobic MBR

611 INTRODUCTION

62Wastewater treatment is shifting focus to include the capture and recovery of 63organics and nutrients. This requires novel technological approaches. A key 64approach is the use of fast growing organisms to concentrate energy, nutrients, 65and trace compounds into the solid phase, and hence substantially reduce 66reactive removal of nitrogen and organics while enabling phosphorous recovery. 67One option is high-rate activated sludge, which can achieve 40% nitrogen 68removal in the primary stage through adsorption and assimilation (Jetten et al. 691997). Algae can also be used to partition to the solid phase, but a simultaneous

70heterotrophic and photosynthetic mode is generally enabled by bacterial-algal 71associations that reduce organic substrate consumption efficiency (Muñoz and 72Guieysse 2006). Purple phototrophic bacteria (PPB)_present a new partitioning 73approach, which has been shown to completely remove nitrogen to discharge 74limits when sufficient organic carbon is present without the need for pure 75cultures, and using infra-red (IR)light only as a driver for growth (Hulsen et al. 762014).

77PPB grow phototrophically rather than photosynthetically, and do not use water 78as an electron donor to produce oxygen and organics. They are among the most 79metabolically versatile organisms on earth (Hunter et al. 2008). They grow 80heterotrophically using a wide range of organic compounds, both in presence 81and absence of light (photoheterotrophy and chemoheterotrophy) (Hunter et al. 822008). However, they can also grow autotrophically by using infrared light as the 83energy driver for CO₂ fixation, and with inorganic electron donors such as H₂, 84Fe²⁺, S²⁻ or S₂O₃²⁻ (cyclic anoxygenic photosynthesis) (Overmann and Garcia-85Pichel 1998). Although they can grow in the presence of oxygen, they are 86extremely effective in anaerobic photoheterotrophic conditions (Gordon and 87McKinlay 2014, McKinlay and Harwood 2010). Their ability to recycle electrons 88during the cyclic anoxygenic photosynthesis gives them the ability to harvest 89and retain electrons, as well as a high energetic efficiency. They can even 90accumulate electrons in the form of reduced cofactors which enable the disposal 91of electrons. This can be done through two main strategies: (i) ATP-driven 92hydrogen production by ferredoxin oxidation in the hydrogenase/nitrogenase 93system at the end of the electron transport chain (ETC), and (ii) the increase of 94assimilative growth by re-fixation of CO₂ via the Calvin Cycle produced during 95heterotrophic metabolism (McKinlay and Harwood 2010). These metabolic 96features give them the possibility of growing and out-competing other 97heterotrophic microorganisms where light is present, including in low to medium 98strength wastewater systems with short hydraulic retention times (HRT) (Hulsen 99et al. 2014).

100PPB have a number of additional metabolic functions useful in wastewater 101treatment systems. They are able to accumulate polymers such as poly-102phosphate (poly-P) (Liang et al. 2010), polysaccharides (Klein et al. 1991), poly-β 103hydroxybutyrate (PHB) (Melnicki et al. 2009) and other poly-1043(hydroxyalkanoates) (PHA) (Brandl et al. 1991). Under an excess of organics

105and available energy, and in the absence of mineral nitrogen, they generate 106hydrogen and fix nitrogen as ammonia (Basak and Das 2007).

107PPB have been assessed for wastewater treatment, particularly for processing 108swine (Kim et al. 2004), latex rubber-sheet (Kantachote et al. 2005), tofu (Zhu 109et al. 1999), and sugar refinery wastewaters (Yetis et al. 2000). However, most of 110these studies were focused on hydrogen production rather than organics 111removal or nutrient recovery (Fang et al. 2005, Lee et al. 2010, Tao et al. 2008). 112They have also been applied to domestic wastewater (DWW) in batch and 113continuous operation to completely remove nitrogen to discharge limits (Hulsen 114et al. 2014). This process enables a single-step treatment of wastewater with 115HRT and effluent qualities similar to those of activated sludge processes without 116destroying nitrogen and phosphorus.

117Modelling is used to design, benchmark, and analyse wastewater treatment 118systems, with the IWA Activate Sludge Model (ASM) family models being the 119most widely used for conventional activated sludge processes (Henze et al. 1202006). The IWA anaerobic digestion model no. 1 (ADM-1) is the analogous model 121for domestic and industrial anaerobic systems (Batstone et al. 2002). The IWA 122Models, and wastewater modelling in general has generally applied first order 123hydrolysis for solids transformation (including decay), Monod for uptake kinetics 124and inverse Monod (non-competitive) for inhibition functions, with a COD basis 125for organics and molar basis for inorganic compounds. Development of new 126technologies such as PPB requires development of a similar mechanistic model.

127There are complex metabolic models based on PPB metabolism primarily focused 128on the electron transport chain (Golomysova et al. 2010, Klamt et al. 2002). Due 129to their complexity, these models are motivated more by a need for a 130mechanistic understanding of the underlying process rather than field 131applications. These models are therefore unsuitable for a wastewater model.In 132particular, they include components which can't be measured readily, making 133 validation difficult. They also lack capability outside the core application area. 134There has also been work done on modelling PPB to describe hydrogen 135production (Eroglu 2008, Gadhamshetty et al. 2008, Obeid et al. 2009),. In 136contrast, due to the domestic wastewater matrix, the key growth modes are 137photoheterotrophy (principal) as well as chemoheterotrophy and 138photoautotrophy. . Biochemical processes relevant to complex substrates such 139as solids hydrolysis and biomass decay must be considered as well. Therefore,

140this work aims to propose a mechanistic model for mixed culture PPB as a 141partition agent in DWW treatment with adaptability to treatment of industrial 142wastewaters.

1432 Materials and Methods

1442.1 Model Description

145The model was developed to be unit-compatible with the IWA ASM and ADM 146series (Batstone et al. 2002, Henze et al. 2006). Therefore, units of mgCOD L⁻¹ (or 147gCOD m⁻³) for both soluble and particulate organics were chosen. Nutrient units 148are in mgN L⁻¹ and mgP L⁻¹, respectively, with inorganic carbon (IC, HCO₃⁻) in 149molC L⁻¹.

150Monod kinetics is uniformly applied for biological growth processes, with first 151order kinetics for hydrolysis and decay. Monod or non-competitive inhibition has 152been applied for limiting or inhibitory expressions respectively. Due to a lack of 153functional differentiation within the PPB clade, and limited evidence to the 154contrary, only one biomass component has been selected (PPB). Other biological 155groups present in ASM and ADM1 models (e.g., hydrogen utilising methanogens, 156denitrifiers or fermentative bacteria) could be readily included. As in the 157ASM/ADM models S_i is used for soluble compounds, and X_i for particulate 158compounds, where subscript i denotes the compound.

159The model does not currently include poly-P or other polymer accumulation, 160since this occurs mainly in static growth mode (Hiraishi et al. 1991, Liang et al. 1612010) complexity. Likewise, nitrification/denitrification processes are not 162included, since they can only occur in aerobic conditions where ammonia can be 163oxidized to nitrite or nitrate. Therefore, N and P are removed by assimilative 164growth only.

165In the presence of organic substrates and IR light, photoheterotrophy through 166the tri-carboxylic acid (TCA) cycle is assumed to dominate. Two major 167mechanisms of electron disposal by PPB are considered. Firstly, the production of $168CO_2$ (S_{IC}) is a key feature of PPB biomass under growth conditions (McKinlay and 169Harwood 2010) and is important for closing the C balance. The oxidation state of 170the organic compound determines if the biomass fixes CO_2 for substrate uptake 171and electron balance (in the case of reduced substrates such as propionate, 172butyrate or valerate), or the uptake produces CO_2 (in the case of oxidized

173substrates such as acetate, succinate or ethanol) (McKinlay and Harwood 2011). 174In the latter case, the biomass disposes of excess of electrons by re-fixing the 175CO₂ produced in the TCA cycle. As a consequence, there is usually limited 176consumption or production of CO₂ in domestic wastewater. A theoretical 177explanation of this mechanism is explained in Supplementary Information (SI). 178The other major mechanism of electron disposal by PPB is H₂ production via the 179nitrogenase complex. In static growth mode, the PPB biomass is able to use the 180excess of electrons for redox balance at the end of the ETC. The ferredoxin 181complex is the carrier for this process, but the biomass needs energy in the form 182of ATP (Golomysova et al. 2010). However, this process is inhibited in presence 183of NH₄⁺, a strong inhibitor of the nitrogenase activity (Rodionov et al. 1986). 184Indeed, H₂ production is inhibited in a DWW fed situation due to (i) presence of 185ammonium and (ii) disposing of electrons by CO₂ re-fixation which promotes 186growth (see SI for more details). Therefore, it can be deduced that CO₂ 187 production and re-fixation into the Calvin Cycle is the major electron sink in PPB 188metabolism. In the absence of organic substrates, autotrophic growth is the sole 189growth mode, using reduced inorganic compounds other than water as electron 190donor (anoxygenic photosynthesis). In the interest of model simplification and 191considering domestic wastewater contains generally low sulfur levels, the sulfur 192cycle has been omitted. It is however possible to add sulfate reduction into the 193model with subsequent sulfide utilisation as an electron donor for autotrophic 194PPB growth. This would require the addition of another biomass component (PPB 195cannot perform sulfate reduction). PPB can perform chemoheterotrophy at a 196lower rate, providing H_2 (S_{h2}) for photoautotrophy (Golomysova et al. 2010).

197Transforming these mechanisms to a model enables the following key processes 198(Figure 1):

- 199 (i) Photoheterotrophy on acetate (S_{ac}) (acetate uptake):This involves 200 acetate assimilation by PPB in the presence of infra-red radiation 201 Acetate is treated separately from the other substrates due to 202 differences observed during batch tests. Due to an imbalance in 203 substrate-biomass carbon oxidation state, this process also results in 204 production of CO_2 .
- 205 (ii) Photoheterotrophy on other organics (S_S) (photoheterotrophic uptake): 206 These include all soluble organics that PPB can assimilate for growth in 207 the presence of infra-red radiation. Compounds include VFAs excluding

- acetate, alcohols, and some sugars. These have been lumped into a single soluble substrate. Similar to (i) this results in the uptake of CO₂.
- 210 (iii) Chemoheterotrophy (chemoheterotrophic uptake): This 211 involves the assimilative consumption of any organic in dark conditions 212 that can be metabolized through either fermentation or anaerobic 213 oxidation processes. All these processes have been joined as one 214 process for a shake of simplicity. This process involves H₂ and acetate 215 end products. Acetate is not further oxidised through 216 chemoheterotrophy due to a lack or very limited terminal electron 217 acceptors such as Fe(III) and sulfate (Finneran et al. 2003).
- 218 (iv) Photoautotrophy (autotrophic uptake): This process involves 219 assimilative CO_2 fixation by PPB in the presence of infra-red radiation 220 using H_2 as the electron donor. Other electron donors such as Fe^{2+} , S^{2-} 221 and S_2O_3 have been omitted but could be included.
- 222 (v) *PPB cell death (decay)*: This process involves the deactivation of PPB by 223 cell death. Ammonium, phosphate and inorganic carbon are released 224 and the biomass is converted into biodegradable organic particulates 225 (X_s) and particulate inerts (X_l).
- 226 (vi) Hydrolysis and particulate fermentation (hydrolysis):. The 227 decomposition of biodegradable particulates into organics (S_{ac} and S_{s}), 228 ammonium, phosphate, hydrogen and inorganic carbon is addressed as 229 a sole process for simplicity. Both soluble and particulate inerts are 230 also products of this process. A breakdown of particulate fermentation 231 could be incorporated into the model <u>e.g.</u> for processes with long solids 232 retention times (SRT).

233The model is presented in Petersen matrix notation in Table 1. Kinetic 234parameters were generally obtained from the batch experiments, or from the 235literature in specific cases as described below. The saturation constant for 236hydrogen consumption by photoautotrophic process ($K_{S,h2}$) light limitation ($K_{S,E}$) 237and inhibition by ammonia ($K_{I,FA}$) were set arbitrarily low since affinity is high 238(Chen et al. 2008, Uyar et al. 2007). Stoichiometry was determined by both 239theoretical calculations from literature, and experimentally. The model is 240balanced over COD, C, N and P. HCO_3^- , NH_4^+ and PO_4^{2-} have been used for closing 241C, N and P balances, respectively.

242Extra information regarding all aspects of model development and 243implementation can be found in the supplementary information (SI) and codes 244can be found on the UQ repository (LINK). SI1 includes the description of model 245components, full kinetic parameters and stoichiometric coefficients. The 246determination and calibration of stoichiometry is included in SI2, and SI4 247contains the full list of model equations.

2482.2 Batch Experiments

249Batch experiments were done to identify parameters based on the developed 250model. Detailed experimental methods are provided in the SI.. The inoculum 251was sourced from a lab-scale continuous photo-anaerobic membrane bioreactor 252(PAnMBR) described by (Hülsen et al. 2016b) operated over 300_d. Domestic 253wastewater was collected from the Taringa wastewater lift station (Brisbane, 254Australia) with an average strength of 572 mgCOD L⁻¹ and soluble COD of 241 255mgCOD L⁻¹, 63 mgN L⁻¹, and 9 mgP L⁻¹.

256Where wastewater was not the medium, synthetic Ormerod medium was used at 257pH 7.5 as described previously (Hulsen et al. 2014).

258Metabolic growth batch tests: All batch tests were done in 100mL working 259volumes (160 mL serum flasks) in triplicate, inoculated from the PAnMBR reactor. 260The headspace was flushed with N_2 and experiments were carried out at 20° C in 261an orbital shatter at 150 rpm (Edwards Instrument Company). The array of flasks 262was irradiated with 150W lamps using UV-VIS absorbing foil as described 263elsewhere (Hulsen et al. 2014). All experiments were accompanied blank 264samples with no substrate, and by positive and negative controls where 265necessary. A summary is provided in Table 2.

266Hydrolysis and biomass decay: The inoculum (0.5 L) was collected as per the 267above method(2.1 g VSS L^{-1}). The biomass was centrifuged in 50 mL Falcon tubes 268and the pellet resuspended again in NaCl 0.2 M three times. Biomass was then 269placed in 0.5 L of NaCl 0.2 M and was divided into two 0.25 L Schott bottles, 270which were subsequently flushed with N_2 and magnetically stirred at 200 rpm. 271The bottles were operated for 30 d.

272One of the bottles was covered with aluminium foil to avoid phototrophic activity, 273and was used for the hydrolysis analysis. Liquid sampling was performed twice a 274week to analyse volatile fatty acids (VFAs), NH₄-N, PO₄-P, total inorganic carbon

275(TIC) and pH. Headspace was analysed for CH_4 , H_2 and CO_2 . TSS/VSS, TKN and TP 276was analysed every 7 d.

277The other bottle was illuminated as indicated above without feed, and biomass 278samples were taken every 7 d to assess activity (determining decay coefficient). 279Activity tests were done as above with 100 mgCOD L^{-1} of acetate and 10 mg NH_4 - 280N L^{-1} .

281*Calculation of Specific Phototrophic Activities (SPA).* Non-linear parameter 282estimation is generally used to determine parameters as described in 2.4.2, but 283specific phototrophic activity was also determined by linear regression of 284substrate concentration over a minimum of four points through the region of 285maximum consumption divided by biomass concentration.

2862.3 Analytical methods

287Total COD (TCOD) and soluble COD (SCOD) were determined by COD cell tests 288(Merck, 1.14541.0001, Darmstadt, Germany). Dissolved NH₄ -N, NO₂-N and PO₄-P 289were determined by a QuikChem8000 Flow Injection Analyzer (FIA) (Hach 290Company, Loveland, USA). Temperature and pH were measured using an Oakton 291pH 11 Series (Vernon Hill, IL, USA). TSS and VSS were determined by filtration 292according to standard methods, where TSS were calculated after drying the 293sample in an oven at 105 \pm 2 $^{\circ}$ C and VSS were calculated after burning it in a 294furnace at 550 \pm 5 °C (APHA. 1998). Illuminance (W m⁻²) was measured with an 295IR light sensor (PAS Port™, Roseville, CA, USA). VFA samples were analysed by 296gas chromatography (Agilent Technologies 7890A GC System, Santa Clara, CA, 297USA) equipped with a flame ionisation detector (GC/FID) and a polar capillary 298column (DB-FFAP). Gas samples were analysed by GC (2014 Shimadzu, Kyoto, 299Japan) with thermal coupled detector (TCD) (Tait et al. 2009). TKN and TP were 300determined using sulfuric acid, potassium sulfate and copper sulfate catalyst in a 301block digestor (Lachat BD-46, Hach Company, Loveland, CO, USA) (Patton and 302Truitt 1992). TIC was analysed by using a total organic carbon (TOC) analyser 303(Shimadzu TOC-L CSH TOC Analyser with TNM-L TN unit) coupled to a near 304infrared detector (NIRD) for measuring the CO2. All soluble constituents were 305determined after filtering with a 0.45 μ m membrane filter (Millipore, Millex®-HP, 306Merck Group, Darmstadt, Germany).

3072.4 Data analysis

3082.4.1 Data handling

309Biomass concentration was calculated in g VSS L⁻¹, and it was further 310transformed into COD by using the COD relationship calculated from the biomass 311equation $CH_{1.8}O_{0.38}N_{0.18}$ (McKinlay and Harwood 2010) (1 g biomass expressed as 312VSS = 1.78 g COD).

313Biomass yields (Y) were calculated accounting for the initial and final biomass 314concentration (in g VSS L⁻¹) based on substrate consumption. Biomass 315concentration was further transformed into COD and then yields are expressed 316as mgCOD_{biomass} mgCOD⁻¹.

3172.4.2 Statistical analyses

318All parameters were estimated from triplicate batch/measurements by 319minimisation of residual sum of squares (J=RSS). Parameter uncertainty was 320determined using two-tailed t-tests calculated from standard error in parameter 321value, obtained from the Fisher information matrix. Where parameter 322optimisation problems involve multiple parameters (k_M , K_S), parameter 323uncertainty surface (J=J_{crit}) has also been assessed as described in (Batstone et 324al. 2003) . Confidence intervals (at 95%) were also calculated based on two-325tailed t-tests from parameter standard error, as above, and used for statistical 326representative comparisons. Error bars in experimental data represent 95% 327confidence intervals in mean based on a two-tailed t-test (5% significance 328threshold). Uncertainty of the slope for the analysis of SPA was analysed in Excel 329using Data Analysis. Standard error in slope was subsequently converted into 33095% confidence interval. All statistical analyses were done with a 5% significance 331threshold.

3322.5 Simulation of a continuous PAnMBR

333The resulting kinetic expressions were used in the development of a continuous 334PAnMBR model. As previously demonstrated, the concentration of the 335bioavailable SCOD in medium strength domestic wastewater is insufficient for 336the system to achieve total nitrogen and total phosphorous discharge limits 337(Hülsen et al. 2016b). To achieve full removal, additional SCOD is required.

338The goals of the simulation were the following: a) to highlight the requirement of 339additional SCOD to achieve total nutrient removal, and b) to demonstrate that 340the inclusion of a primary clarifier before can lead to a product enriched in PPB.

341Dynamic influent data was simulated according to the influent generator model 342developed by Gernaey et al. (2011), and adapted to the typical concentrations 343of primary influent reported by Hülsen et al., 2014. Based on the average 344influent characteristics and an HRT of 12 h, volumetric loading rate (VLR) of 1400 345± 12 mg COD L⁻¹ d⁻¹ and a solid retention time (SRT) of 3 d, a reactor volume of 34670 m³ was applied. An ideal primary clarifier was included, with a solids removal 347efficiency of 60%±3% Tchobanoglous et al. (2003).

348Simulation and subsequent data processing were done in Matlab (MATLAB 349R2015a, The MathWorks Inc., Natick, MA). As the system of equations is stiff, the 350system of ordinary differential equations was solved by ODE15s. The case was 351simulated for 600 days with 3 stages of differing SCOD concentrations. The 352dynamic influent after settling was applied directly during Stage I until day 300. 353During Stage II (days 300-450), acetate was added to the optimum COD/N/P ratio 354of 100/7.1/1.8 based on the limiting nutrient (N or P). During Stage III, acetate 355addition was ceased. This was to assess process response to a sudden change, 356and to demonstrate that the sytstem requires wastewater with a specific COD/N/ 357P ratio. . State equations were implemented in a fixed volume, completely mixed 358membrane bioreactor.

359The results from the simulation were balanced over COD, N, P and C, and have 360been included in the SI.

361The Matlab function and run files, along with their supporting datasets, have 362been uploaded to http://espace.library.uq.edu.au/view/UQ:412280.

3633 Results

364The sludge used for all the experiments came from a lab-scale PAnMBR (Hülsen 365et al. 2016b). Most of the microorganisms are related with α -proteobacteria, PPB 366accounting for more than 70% of the total gene copies detected by the 367pyrosequencing technique. The genus *Rhodobacter* ssp. is the most abundant, 368representing more than 60% of the microbiota (Hülsen et al. 2016b). The 369presence of photosynthetic organisms such as microalgae and cyanobacteria 370accounts for less than 1% of total gene copies. Therefore, the biomass can be 371considered as PPB-dominant biomass.

3723.1 Growth Processes

373Photoheterotrophy was assessed with VFAs and ethanol as substrate (Fig 2a). All 374substrates were completely consumed during the experiment, and overall yields 375were similar in all cases, with an average biomass yield of 1.13 ± 0.21 mg 376COD_{biomass} mg⁻¹ COD. More details are provided in the SI. As can be seen in Figure 3772b, uptake rates of substratesexcluding acetate were similar, with a $k_{\rm M}$ of 1.3 ± 3780.1 (mgCOD mgCOD⁻¹ d⁻¹), and undetectable $K_{\rm S}$. Acetate had a significantly higher $379k_{\rm M}$ (2.4 ± 0.2 mgCOD mgCOD⁻¹d⁻¹) and detectable, albeit low, $K_{\rm S}$ of 20 ± 4 mgCOD $380L^{-1}$. This essentially means that growth (uptake) is faster on acetate, but with a 381lower affinity such that acetate uptake is faster at the beginning of the batch, 382but slower at the end.

383The analysisof chemoheterotrophic metabolism by PPB was conducted by using 384acetate and ethanol as substrates in dark conditions (Figure 2c). PPB biomass 385was much less effective in dark conditions compared with light conditions 386(biomass yield 0.5 vs 1.1 mg COD_{biomass} mg⁻¹ COD in dark and light conditions, 387respectively). Biomass yield in dark conditions is relatively high compared to 388typical values reported in literature for dark fermentation and anaerobic 389oxidation processes, which are rarely greater than 0.2 mg COD_{biomass} mg⁻¹ COD 390(Batstone et al. 2002). The occurrence of energy storage (particularly poly-P) 391may have a significant role here due to batch operation (Liang et al. 2010). The 392maximum uptake rate, is approximately half that of photoheterotrophy (Figure 3932d), though with again, extremely low K_S values. While chemotrophic growth is 394not dominant under photoheterotrophic conditions, it can be very important to 395consider in reactor design (e.g., where there is insufficient light), and also for 396balancing COD, C, N and P.

397Analysis of photoautotrophy was done with NaHCO $_3$ as C source and Na $_2$ S as 398electron donor in 5-fold stoichiometric excess (see Table 2) (Figure 2e). The 399biomass had a yield of 36,000 mg COD $_{biomass}$ mol $^{-1}$ C comparable to the value on 400acetate (31,560 mgCOD $_{biomass}$ mol $^{-1}$ C). However, maximum uptake rate was far 401lower at $3.4\pm0.2\times10^{-6}$ molC mgCOD d $^{-1}$ (compared to $75\pm2\times10^{-6}$ molC mgCOD 402d $^{-1}$ on acetate) (Figure 2f). Photoautotrophy needs to be considered for when 403there is an excess of bicarbonate and electrons from inorganic sources in the 404wastewater. It is also important to consider photoautotrophy in order to close 405mass balances. This case is particularly relevant in light deficiency, where

406fermentation and anaerobic oxidation processes may become important and 407hence H_2 is available as a major electron source for PPB.

408Nutrient limitation experiments for N and P were used to determine saturation 409coefficients for N and P. K_S values were extremely low such that the N and P 410regulation became a switch function (data shown in SI). Biomass assimilated 411nutrients at a COD/N/P ratio of 100/7.1/1.8, which is higher than conventional 412aerobic bacteria and much higher than other anaerobes (Tchobanoglous et al. 4132003). These values are in line with previous works (Hulsen et al. 2014). 414However, PPB were able to grow at a lower rate once the nutrients were 415completely consumed (42% lower than in full nutrient conditions), likely due to 416fixation of headspace N_2 (Hunter et al. 2008) (inhibited in the presence of 417ammonium). Also, PPB can accumulate polymers such as poly-P (Liang et al. 4182010) as well as PHA (Melnicki et al. 2009), which can be used in static growth 419mode. Since the model developed here is sustained on biomass growth in 420presence of nitrogenase inhibiting ammonium , nutrient limitation for growth 421must be included.

4223.2 Endogenous processes – hydrolysis and decay

423Hydrolysis and decay are considered as transversal first order biochemical 424processes in most models (Batstone et al. 2006, Henze et al. 2006, Szilveszter et 425al. 2010). These could be considered separately, since phototrophic growth can 426be restricted in the absence of irradiance, and decay can be determined directly 427by measurement of phototrophic activity following periods of irradiation without 428substrate. Figure 3 shows the time series of the SPA values (on acetate) 429calculated for the PPB biomass during starvation. Biomass activity reduced 430according to a first order model with decay coefficient of 0.09 ± 0.02 d⁻¹. 431Hydrolysis was assessed in dark conditions with substrate present, to avoid 432reassimilation of products by PPB. Therefore, hydrolysis products (organic C 433sources as COD, inorganic C as HCO₃, N as NH₄ and P as PO₄ could be 434measured and were directly correlated with first order kinetics of the hydrolytic 435process. Hydrolysis also followed a first order model with a hydrolysis coefficient 436of 0.071 \pm 0.002 d⁻¹ (Fig 4). It should be noted that hydrolysis is substrate 437specific, and is highly situation specific (Batstone et al. 2015), but that a value of 438close to 0.1 d⁻¹ is comparable with hydrolysis kinetics under anaerobic conditions, 439but much lower than that for aerobic processes (Henze et al., 2006).

4404 Discussion

4414.1 Parameter values vs pure culture PPB

442A full list of parameter values can be found in the SI, whereas Table 3 shows 443parameters determined from the literature in comparison with those reported 444here. Parameters were calculated on the basis that (i) protein composition of PPB 445is in all cases 60% of dry weight (McKinlay and Harwood 2010), (ii) 1 g VSS = 4461.78 g COD and (iii) PPB biomass equation is $CH_{1.8}O_{0.38}N_{0.18}$ (McKinlay and 447Harwood 2010).

448In general, biomass yields calculated here are in line with values reported in the 449literature (Table 3). The only exception is the biomass yield for autotrophic 450growth, where no relevant values have been found and only indirect calculation 451can be performed. Wang et al. (1993) reported biomass growth and CO_2 fixation 452in *Rhodobacter sphaeroides* and *Rhodospirillum rubrum* using different electron 453sources (H₂, thiosulfate, sulphide and malate) and the biomass yield values 454extracted from their activities vary considerably with an average value of 84,000 455mg COD mol⁻¹ C fixed. These values, however, did not consider re-fixation of CO_2 456from malate that may underestimate considerably real CO_2 usage for growth in 457the Calvin cycle (McKinlay and Harwood 2011). Therefore the biomass yield 458differs from the value reported here (36,100 \pm 850 mg COD mol⁻¹ C fixed). The 459value determined during this study is however very close to the theoretical 460maximum yield for carbon dioxide fixation of 39,840 mg COD mol⁻¹ C, and as 461such, is a reasonable value.

462However, specific uptake rates were substantially different to the literature 463values depending on the growth mechanism, which may be due to use of pure 464cultures in contrast with mixed cultures used in the present work. Generally, 465chemoheterotrophic parameters, pure cultures have an activity close to two 466orders of magnitude higher than the mixed culture in this work. This results in 467activities simular to those of typical fermentative bacteria. An example is found 468in (Schultz and Weaver 1982) where the growth rates of *Rhodospirillum rubrum* 469and *Rhodopseudomonas capsulata* were studied on several chemoheterotrophic 470substrates in the dark. The authors used trimethylamine-N-oxide as accessory 471electron acceptor on fructose, glucose and succinate, likely removing electron 472management as a major limitation. Photoheterotrophic parameters also 473divergeddepending on the substrate. While acetate uptake rates were similar to 474the values reported here (Golomysova et al. 2010, McKinlay and Harwood 2011),

475those obtained from other organics, such as malate (Gadhamshetty et al. 2008, 476Klein et al. 1991), lactate + malate (Obeid et al. 2009), or butyrate (McKinlay and 477Harwood 2011) were almost one order of magnitude higher. These parameters 478were obtained in hydrogen production studies. Under these situations, the 479substrate uptake is optimized for biogenic H_2 by dislocating catabolism from 480anabolism due to excess of electrons. This increases considerably the substrate 481uptake rate while minimising yield (Basak and Das 2007). In this work, the μ_{max} 482for photoheterotrophic metabolism was calculated to be 1.54 d⁻¹, which 483corresponds to a doubling time of 0.45 d. It is similar to those reported by 484McKinlay and Harwood (2011) (0.27-0.44 d), and generally aligns well with purple 485phototrophic bacteria (Hunter et al. 2008). The use of pure cultures promotes 486specific uptake rates to the detriment of substrate affinity. This leads to 487increased k_M and K_S parameters, a typical behaviour of r-strategist 488microorganisms (Dorodnikov et al. 2009).

489Hydrolysis and decay rates dependent the particulate substrate hydrolysing, and 490the system redox conditions. In general, for a given material, the hydrolysis 491coefficient increases from anaerobic to anoxic, and from anoxic to aerobic 492(Henze et al. 2006). The biomass decay and hydrolysis constants found in 493literature were obtained in aerobic photoheterotrophic processes (Huang et al. 4941999, Huang et al. 2001),.This explains considerably higher values than those 495calculated here.

496Compared with previous analyses, this study is focused on mixed culture 497photoheterotrophic metabolism. The biomass seems to be a K-strategist which 498promotes substrate affinity over uptake, a microbial strategy in low-strength 499systems as domestic wastewater with low hydraulic retention times (less than 12 500h). Such behaviour is useful for out-competing other fast-growing 501microorganisms. It is clearly effective when compared to the slow growing 502methanogens, which are the only competitors for acetate under anaerobic 503conditions with low concentrations of sulfate or reduced metals (Dorodnikov et 504al. 2009). Indeed, PPB microorganisms have been demonstrated to prevail and 505dominate in continuous PAnMBR reactors treating real domestic wastewater 506without previous inoculation, both in mesophilic (Hülsen et al. 2016b) as well as 507in psychrophilic (Hülsen et al. 2016a) conditions.

5084.2 Model application

509The model was tested in a realistic scenario, with influent profile generated using 510the BSM influent generator (Gernaey et al. 2011). Detailed information about the 511simulations is provided in the SI.

5124.2.1 Fate of C, N and P

513The model indicates different SCOD removal efficiencies for particular periods of 514operation. In general, adaptation to seasonal periods of variable wastewater 515composition is rapid, as can be shown in input values from Figures 5 and 6. For 516periods (I) and (III), which correspond to no additional acetate in the system 517(average inlet SCOD of 290.2 \pm 0.5 mg COD L⁻¹), the mean SCOD removal 518efficiency is 87% (Figure 5a) The remaining SCOD in the system can be almost 519entirely attributed to the presence of non-biodegradable SCOD, accounting for 52097% of the effluent SCOD. During period (II) acetate was added to agree with the 521COD/N/P requirements for PPB. Average SCOD removal efficiency remained 522almost invariable at around 87% due to optimized COD/N/P conditions. As in the 523Stage I, almost all the remaining SCOD corresponded to soluble inerts. The 524model, however, is not able to reproduce the PPB behaviour under a high excess 525of inlet SCOD concentration since it is based on assimilative mechanisms only 526and accumulation processes are not included, as e.g. PHA or glycogen. The PPB 527biomass is able to accumulate these compounds (Brandl et al. 1991, Melnicki et 528al. 2009), and so SCOD removal efficiencies are expected to be higher and less 529dependent on nutrients in real cases (Hülsen et al. 2016a, Hülsen et al. 2016b). 530An upgraded model including accumulative mechanisms is therefore needed for 531high COD:N ratio wastewater. However, this model is suitable for normal DWW 532treatment operation, where N and P are generally in excess.

533Nutrient assimilation was directly linked with biomass growth. The optimum 534assimilative COD/N/P relationship has been calculated to be 100/7.1/1.8 from 535batch experiments. Therefore, periods with non-optimal ratios are expected to 536have higher effluent nutrient concentrations. Under normal situation (periods (I) 537and (III)), with no additional acetate, nutrients were not completely removed and 538ammonium and phosphate efficiencies were 47% and 59%, respectively (Figures 5395b and 5c, respectively). This justifies the need for extra SCOD addition, as has 540been previously described experimentally (Hülsen et al. 2016b). Phosphorus was 541almost completely removed during C and N sufficiency during period (II), with 542removal efficiencies of 93%. However, depletion of P prevented a high N

543removal due to nutrient imbalance, and so N removal efficiencies during these 544period averaged 74%. Again, accumulative mechanisms may have a key role 545here, as PPB are able to accumulate poly-P (Liang et al. 2010). This mechanism is 546quite complex and has not been properly defined, particularly in mixed cultures 547and on wastewater sources.

548Production of biomass was related to PPB growth as well as input solids. Biomass 549fractionation (X_{PB} , X_S and X_I) along the simulation period is depicted in Figure 6. 550When acetate was not added, PPB biomass was produced at 44% of the total 551biomass in the outlet (sludge line). Adding acetate increased this value up to 55253% of total biomass. Accumulation of X_S within the reactor is a direct 553consequence of slow hydrolysis due to low HRT. Additional substrate increased 554biomass concentration due to assimilation of remnants of N and P. This also boosted 555the SRT and decay was more evident, increasing XS concentrations up to values 556above 1000 mgCOD L-1 (see stage (II) in Figure 6). Inerts fraction, however, was 557 always below 23% of the total particulates concentration, probably due to the slow 558hydrolysis rate. Increasing substrate addition not only caused a net increase of 559PPB concentration inside the reactor due to assimilative growth on the remnant 560N and P, , but also caused an increase of SRT and decay was gaining importance. 561This was traduced in increasing X_s concentrations until achieving values above 5621000 mg COD L⁻¹ (see stage (II) in Figure 6). However, inerts fractionation was 563always below 23% of the total biomass exiting the reactor, which is explained by 564the slow hydrolysis rate. These results have an important effect on energy 565distribution in the PRR platform since all energy balances are directly related 566with the biomass management through anaerobic digestion, and the relative 567amount of PPB will influence potential anaerobic degradability and biomass 568consistency. An important aspect identified by this continuous analysis is that 569the biomass fraction X_{PB} is always relatively small, even when applying a settler 570(compared with activated sludge streams predicted by the ASM1). This is 571because the hydrolysis coefficient is very low (<0.1 d⁻¹) compared with the levels 572of >2 d⁻¹ typically applied in the ASM1-2d (Henze et al. 2006). This means that 573while growth rates are comparable to activated sludge, hydrolysis rates are far 574lower, and hence metabolic activity is dominated by available soluble substrate 575(and possibly N and P) rather than electron acceptor availability. In any case, 576there will always be a large proportion of undegraded particulates, due to the 577slow hydrolysis coefficient, and in a stable, solids dominated system, PPB sludge

578should be more analogous to primary sludge rather than activated sludge, with 579both negative and positive consequences.

580Simulation of biomass behaviour has implications on biomass production upon 581main line biological treatment. There is a net increment of biomass production 582yield compared to typical activated sludge processes. This could have an impact 583in energy recovery (through biogas) but also in sludge waste disposal expenses, 584which can be partially counteracted by downstream production of high value-585added bioresources as proteins, prebiotics and probiotics (Matassa et al. 2015) 586or bioplastics (Padovani et al. 2016), as well as energetic resources as third 587generation liquid biofuels (Castro et al. 2016).

588

5895 Conclusions

590Anaerobic phototrophic growth in domestic wastewater treatment is fast, 591comparable to activated sludge (in k_M values) with very low K_S values, indicating 592that purple phototrophic bacteria behave as K strategist. However, hydrolysis is 593relatively slow ($\sim 0.1 \, d^{-1}$), which means that particulate substrates will not be 594degraded at short HRTs. The predominant mechanism is photoheterotrophy, with 595autotrophy and chemotrophy generally slow. The decay rate is relatively high, 596comparable to activated sludge under aerobic conditions. The dynamics under 597continuous conditions indicate that biological processes are adaptable to normal 598flow variations such that performance at a given mode is stable.

599The model has the following limitations:

- The model is only valid for anaerobic conditions, and hydrogen production for redox balancing is assumed to be inhibited, so this model cannot be implemented for hydrogen production systems as it is.
- 604 (ii) poly-P and other polymers accumulation is not included due to a lack of 605 foundational research. Also, nitrogen fixation is not included since it is 606 assumed to be inhibited by ammonium.
- 607 (iii) Detailed pH simulation is needed, particularly for batch processes, 608 where pH is likely to vary due to removal of acids.

609A key priority should be inclusion of poly-P and PHA accumulation as well as N_2 610fixation and side H_2 production, as these processes (poly-P without carbon, PHA

611without oxidation or organics, and N_2/H_2 production) are unique to 612photoanaerobic organisms.

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784

785TABLES

786**Table 1.** Petersen matrix of the PAM-1 model for domestic wastewater 787treatment by PPB.

	. (6)			I				1	ı		
	Component (C) $\downarrow i$	1	2	3	4	5	6	7	8	9	10
j	Process↓	S _S	Sac	S _{IC}	S _{h2}	S _{IN}	S _{IP}	Sı	X_{PB}	Xs	X,
1	Hydrolysis/ fermentation	f _{ss,}	f _{Sac,}	f _{IC,xs}	f _{h2,x}	f _{IN,xs}	$f_{IP,xs}$	f _{si,}	0	-1	f _{xi,}
2	Acetate uptake	0	-1	f _{IC,ph,ac}	0	$f_{N,B}Y_{PB,p}$	$f_{P,B}Y_{PB,ph}$	0	Y _{PB,}	0	0
3	Photoheterotroph ic uptake	-1	0	-f _{IC,ph,Ss}	0	$f_{N,B}Y_{PB,p}$	$f_{P,B}Y_{PB,ph}$	0	Y _{PB,}	0	0
4	Chemoheterotro phic uptake	-1	(1- Y _{PB} , _{ch}) f _{ac,c}	0	(1- Y _{PB,c} h) f _{h2,c}	- f _{N,B} Y _{PB,ch}	- f _{P,B} Y _{PB,ch}	0	Y _{PB,}	0	0
5	Autotrophic uptake	0	0	-f _{IC,a}	- f _{h2,a}	$f_{N,B}Y_{PB,a}$	$-f_{P,B}Y_{PB,a}$	0	Y _{PB,}	0	0
6	Decay of XPB	0	0	$-\sum_{i=8-9}^{\square} Ci$	0	$-\sum_{i=8-9}^{\square} Ci$	$-\sum_{i=8-9}^{\square} Ci$	0	-1	1	0

	IOI C_HCO ₃			gm) snc				
Soluble substrate (mg COD L ⁻¹) Acetate (mg COD L ⁻¹)	Inorganic carbon (mol C_HCO ₃ ¹)	H ₂ (mg COD L ⁻¹)	Inorganic nitrogen (mg N_NH₄ L¹)	Inorganic phosphorous (mg P_PO ₄ L ⁻¹)	Soluble inert (mg COD L ⁻¹)	Phototrophic biomass (mg COD L	-¹)Biodegradable particulate (mg	Particulate inert (mg COD L ⁻¹)

Table 2: Batch conditions of the different metabolic tests.

Mechanism	Mediu m	Buffer system*	COD/N/P (C/N/P)***	C source (mgCOD L ⁻¹)	Electro n donor (mg L ⁻	Electr on accept or	Positiv e control	Negati ve control
Photoheterotrop hy	Ormer od	HEPES	100/10/2	Acetate (130), propiona te, butyrate, ethanol (100)	Organi c	CO ₂	Adding 1 g NaHCO	-
Nitrogen limitation	Ormer od	HEPES	100/1.4/2	Acetate (130)	Organi c	CO ₂	No N limitati on	-
Phosphorus limitation	Ormer od	HEPES	100/10/0. 15	Acetate (130)	Organi c	CO ₂	No P limitati on	-
Photoautotroph y Chemoheterotro phy (dark)	Ormer od Ormer od	Phospha te HEPES	(100/20/ ∞) 100/10/2	NaHCO ₃ (0.012)** Ethanol (60), Acetate (130)	Na₂S (300) Organi c	CO₂ Acetat e	- With light	No Na₂S -
Inhibition of H ₂ production	DWW Ormer od	- Phospha te	100/12/4 100/15/∞	DWW (278) Acetate (600)	Organi c Organi c	CO ₂	-	Acetat e (600) N limitati on

790° Buffer systems: HEPES (5.9 g L $^{-1}$), Phosphate (0.9 g K₂HPO₄ + 0.66 g KH₂PO₄). ** mol C L $^{-1}$ *** ∞ means in high 791excess due to buffering

Table 3: Comparison of estimated parameters with those reported in the 794literature.

Parameter	Units			imated ues		Literati	ıre val	ues	R s.	ef
K _{M,ac}	COD d		2.4			1.5 (0.	5), n=2	2		1
$k_{M,ph}$	COD d		1.4			11 (13)	, n=12	2		2
$k_{M,ch}$	mg CO COD d	D mg ⁻¹	0.0	74		5 (4), r	=8			3
	mol IC d ⁻¹	g ⁻¹ COI	3.4	10-6		2.5 10	5 (1.7 1	.0 ⁻⁵), n=	9	4
K _{S,s}	mg CO	D L ⁻¹	0.5	ı		4,333 (6,036)	, n=2		5
Y	mg CO COD	D mg ⁻¹	1.1			0.78 (0	.37), n	=17		6
	mg CO COD	D mg ⁻¹	0.5	ı		0.23 (0	.12), n	= 8		7
$Y_{PB,a}$	mg CO	D mg ⁻¹	C 36,	100		132,00	0 (84,0	000), n=	4	8
k _{hyd}	d ⁻¹		0.0	7		0.27 (0	.06), n	=2		9
<i>k</i> _{dec}	d ⁻¹		0.0	0.09			0.2 (0.02), n=2			
	K _{M,a∈}	K M,ph	k _{M,ch}	K M,i€	K _{S,s}	¥ _{PB,ph}	¥ _{PB,ch}	¥ _{PB,a}	k _{hyd}	₭ _{de}
	mg COD	mg- COD- mg-1- COD- d-1		mol- IC-g-1- COD- d-1	mg- COD- L ⁻¹	mg- COD- mg ⁻¹ - COD	mg- COD mg ⁻¹ COD	mg- COD- mg-1-C	d-1	d-1
Estimated	2.4	1.4	0.074	3.4 10 ⁻⁶	0.5	1.1	0.5	36100	0.0 7	9.0
Literature average	1.5	11	5	2.5 10 ⁻⁵	4333	0.78	0.23	13200 0	0.2 7	0.2
Standard deviation	0.5	13	4	1.7 10 ⁻⁵	6036	0.37	0.12	84000	0.0 6	0.0
n- (observation s)	2	12	8	9		17	8	4	2	
References	1	2	3	4	5	6	7	8	9	10

795¹ (Golomysova et al. 2010, McKinlay and Harwood 2011), ² (Gadhamshetty et al. 7962008, Golomysova et al. 2010, Klein et al. 1991, McKinlay and Harwood 2011, 7970beid et al. 2009), ³ (Madigan and Gest 1978, Schultz and Weaver 1982), ⁴ 798(Sarles and Tabita 1983, Wang et al. 1993), ⁵ (Gadhamshetty et al. 2008, Obeid 799et al. 2009), ⁶ (Gadhamshetty et al. 2008, Klamt et al. 2002, Klein et al. 1991, 800McKinlay and Harwood 2011, Obeid et al. 2009, Schultz and Weaver 1982), ⁵ 801(Madigan and Gest 1978, Schultz and Weaver 1982), ⁶ (Wang et al. 1993), ⁶ 802(Huang et al. 1999, Huang et al. 2001)

804FIGURE CAPTIONS

- **Figure 1:** Schematic summary of PPB metabolism under domestic wastewater 807treatment. Key: N2ase: Nitrogenase complex. TCA-c: Tri-carboxylic acid cycle. 808DF: Dark fermentation. VFA: volatile fatty acids. e⁻: electrons. Dash: electron 809cycles. Dot: proton pumps. *: Model components.
- **Figure 2:** Experimental (symbols) and modelled (lines) time curse of substrates 811uptake (left) and parameters determination including 95% confidence intervals 812and confidence regions (right) of PPB metabolism in photoheterotrophy (a), 813chemoheterotrophy (b) and photoautotrophy (c) growth modes.
- **Figure 3:** Mechanism of decay rate. Time course of specific phototrophic activity 815of PPB subjected to starvation under full illumination.
- **Figure 4:** Time course of released products upon starvation in dark conditions 817demonstrating hydrolysis: soluble organic compounds but acetate (squares), 818acetate (diamonds), hydrogen (triangles), TIC (pluses), NH_4^+ -N (circles) and PO_4^{3-} -819P (crosses).
- **Figure 5:** Influent (continuous line) and effluent concentrations (dash line) over 821time for PAnMBR simulation for SCOD (a), ammonium (b) and phosphate (c) upon 822primary settling. Different operational periods are indicated as vertical shades 823separators.
- **Figure 6:** Biomass fractionation including active phototrophic bacteria (dash 825line), biodegradable particulate biomass (continuous line) and inert particulate 826(dot lines) over time for the PAnMBR continuous simulation. Different operational 827periods are indicated as vertical shades separators.

