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Distributed parameter modelling of phototrophic systems

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Abstract

Purple phototrophic bacteria (PPB) are known to exist in a variety of environments and have been demonstrated as a potentially effective biotechnology in several industries such as wastewater treatment, cosmetics production, and energy production. Although PPB processes are gaining relevance in the aforementioned industries, physical limitations exist which are providing resistance to the widespread adoption of PPB based biotechnology. This thesis has aimed to define the most important limitations and has sought to define their physical behaviour in a mathematical framework. The first limitation was that there was no PPB process model describing the behaviour of PPB in the presence of ammonia, organic matter, and other nutrients. The mixed population models of the International Water Association (IWA) family of models describe species whose metabolism differ from that of PPB but they provide a good framework for process modelling and control. As such, a process model for a mixed-culture PPB system was developed based on five key processes: photoheterotrophic growth, photoautotrophic growth, chemoheterotrophic growth, hydrolysis/fermentation, and decay. This model was developed as a set of ordinary differential equations varying in time, and lumped in space. The sharp attenuation of the radiative field in a PPB system means that not all the biomass experiences the same radiative field in a reactive domain. This means that the model required an extension to be able to describe the system as it varies in both space and time. A CFD modelling framework was therefore developed in OpenFOAM to describe the spatial variations and interactions between biomass growth, the flow field, and the radiative field. It was found that lumped modelling approaches and distributed parameter approaches differed in results based on different reactor domains and behaviours. The deviation from lumped parameter behaviour was greater for a cylindrical stirred reactor than for a flat plate reactor, which means that spatial considerations are necessary in the design phase of a photobioreactor. Finally, technical questions around the harvesting of PPB biomass present significant engineering challenges and are a limitation to the adoption of this biotechnology. The model was thus extended to include biofilm formation of a mixed PPB system in the presence of a spatially varying radiative field. A volume-of-fluid approach was used as a basis for this model, where particulate matter was segregated to the phase volume fraction, and the growth of the three particulate species (phototrophic bacteria, biodegradable particulate matter, and inert particulates) were included as source terms to the phase volume fraction equations, which in turn influenced the momentum equations, resulting in biofilm growth in space.

The combination of all of these methods provide a working PPB modelling framework which can form the basis to better understand PPB (and phototrophic) systems within a process modelling context, and with further experimental analysis, can provide a foundation for a photobioreactor design and optimisation project.

Declaration by author

This thesis is composed of my original work, and contains no material previously published or written by another person except where due reference has been made in the text. I have clearly stated the contribution by others to jointly-authored works that I have included in my thesis.

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Publications included in this thesis

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Contributions by others to the thesis

My advisory team of Prof. Damien Batstone, A/Prof. Christopher DeGroot, and Dr. Tim Hülsen provided feedback on the work presented. A/Prof. Christopher DeGroot contributed to some development at the early stages of the research project, and was instrumental in help with debugging as a set of fresh eyes towards the end of the research project.

Statement of parts of the thesis submitted to qualify for the award of another degree

No works submitted towards another degree have been included in this thesis.

Research involving human or animal subjects

No animal or human subjects were involved in this research.

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List of abbreviations and symbols

Abbreviations	
ADMn	Anaerobic digestion model (nth release)
ASMn	Activated sludge model (nth release)
CFD	Computational fluid dynamics
COD	Chemical oxygen demand
CR	Cylindrical reactor
DOM	Discrete ordinates method
FPR	Flat plate reactor
FvDOM	Finite volume discrete ordinates method
FVM	Finite volume method
HG	Henyey-Greenstein phase function
HPC	High performance computing
IWA	International Water Association
LH n	Light harvesting apparatus n ; $n \in \{1, 2\}$
MC	Monte Carlo
NIR	Near-infrared radiation
ODE	Ordinary differential equation
PAR	Photosynthetically active radiation
PBR	Photobioreactor
PDE	Partial differential equation
PPB	Purple phototrophic bacteria
PSU	Photosynthetic unit
RANS	Reynolds-averaged Navier-Stokes
RC	Reaction centre
RPM	Revolutions per minute

RTE	Radiative transfer equation
SM	Schlick model
SST	Shear stress transport
TPF	Truncated phase function

Symbols

$\hat{\rho}$	Density operator
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<i>etc.</i>	<i>etc.</i>
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Chapter 1

Introduction

1.1 Motivation

Purple phototrophic bacteria (PPB) have recently been proposed as an effective biotechnology for engineered applications including the treatment of domestic [2] and industrial wastewaters [3]. Phototrophic bacteria, along with their **chromaphores**, have also shown promise in a range of highly valued end products such as animal feeds [4], biofuels [5], and even cosmetic and pharmaceutical products [6, 7]. Despite the increasing interest in these applications, they have not achieved widespread implementation largely **due to the gaps in collective knowledge at the process level**. In particular, the description and quantification of the interactions between biochemical behaviour, irradiation, and fluid hydrodynamics **have proven** to be significant design bottlenecks when scaling up photobioreactors.

By approaching the problem with a semi-mechanistic modelling approach, one can better understand these interactions and how their behaviour can influence the design and optimisation decisions at scale up. As such, this thesis aims to explore the current state of process modelling, computational fluid dynamics, and radiative transfer modelling and combine these concepts into a unified distributed parameter modelling framework for phototrophic systems and photobioreactors. **Additionally, a deterministic, semi-mechanistic biofilm model is developed which draws upon the physical phenomena identified is also developed in response to the need to find a solution to engineering challenges associated with biomass harvesting.**

1.2 Background

1.2.1 Time varying wastewater treatment models

Models use mathematical expressions to explain the underlying processes or inputs of outputs of a system [8]. They can be classed according to the method of their development. When developing process models, a number of decisions can be made depending on the nature of the model and the information required for understanding.

- Mechanistic or empirical models
- Continuous or discrete models
- Lumped parameter (well-mixed) or distributed parameter models
- Stochastic or deterministic models

In reality, a model can be made using a combination of these different categories, depending on the target use of the model. Most of the available literature for wastewater treatment models is concerned with semi-mechanistic, continuous, lumped parameter and deterministic approaches to modelling. The major generic models in the domain of wastewater treatment, from which this thesis branches, are the International Water Association (IWA) family of models. These include the activated sludge model family, and the anaerobic digestion model (ADM) [9] family. These have been combined together with appropriate unit process models such as clarifier models [10] into standardised plant-wide models **BSM**. Modelling of wastewater treatment processes can lead to a greater understanding of the underlying physics and biochemistry [11]. All IWA models are semi-mechanistic, which means that the model equations are based on known mechanistic phenomena, such as the presence of known biological clades, but the kinetic relationships are generally empirical.

These models are useful in understanding the underlying biochemical processes occurring on wastewater treatment systems. They also serve as good tools for process unit design in wastewater treatment plants. The benefits of these models are that they are readily extensible, and allow themselves to be used in distributed parameter models [12]. This also means that the IWA models can be applied for PPB time-series and distributed parameter models in contexts broader than just wastewater treatment.

Purple phototrophic bacteria growth models

Purple phototrophic bacteria are some of the most metabolically versatile organisms on earth [13]. They have been shown to grow well as photoheterotrophs under anaerobic conditions [14]. They also grow chemoheterotrophically in the absence of irradiation, and they grow photoautotrophically using irradiance to drive CO₂ fixation [15]. With respect to the modelling of phototrophic bacteria, there currently exist no models in the context of wastewater treatment and industrial growth in mixed

systems on nutrients and organics, however the suite of metabolic modelling of PPB is rich but not readily applicable for a process engineering perspective [16].

Purple phototrophic bacteria and radiation modelling

PPB have evolved to efficiently harvest available solar radiation in aquatic environments [17]. Due to oxygenic photosynthetic organisms filtering radiation in the visible band in the upper aerobic zones of these aquatic systems, PPB have adapted to make use of remaining solar energy, which include green and near infra-red (NIR) radiation [17]. The light harvesting apparatus in *Rhodobacterales* consists of two light harvesting complexes (LH2 and LH1), and a reaction centre (RC) [18]. A useful abstraction of the light harvesting complex is two distinct zones (LH2 and an LH2-RC complex) with absorbance spectra at 800-850 nm and 880 nm respectively. Figure **cite: figure** demonstrates how these light harvesting centres are arranged and how they participate in providing energy to the electron transport chain to ultimately generate ATP for growth and other cell processes. **DRAW A LHC SCHEMA IN LODRAW.**

In terms of modelling PPB and their interactions with photons for industrial applications, very little has been done. Most studies focusing on photobioreactor design have been for hydrogen production applications [5, 19]. Further limitations to these works were that particular wavelengths were not selected, with most opting for direct sunlight or white tungsten or halogen lamps as light sources. These approaches are problematic for a wastewater treatment application, as the use of broad spectrum light will leads to competition by other heterotrophic bacteria, and other phototrophic and photosynthetic organisms found in domestic wastewater such as algae and cyanobacteria.

Efforts were made to combine a mass balance approach to the photoheterotrophic efficiency of PPB [1]. In this work, theoretical and experimental yields of purple phototrophic bacteria were calculated based on different electron donors and light sources. The maximum theoretical yields based on acetate as an electron donor are summarised in Table 1.1, where $Y_{X/LE}^m$ is the maximum biomass growth per light energy dose;

Table 1.1: Maximum theoretical *Rb. capsulatus* yield with acetate as electron donor for different wavelengths [1]

Wavelength	$Y_{X/LE}^m$ (gVSS · kJ ⁻¹)
860 nm	0.020
744 nm	0.017
522 nm	0.012

The theoretical model was then compared to experimental data, with the following values obtained through experiment. This information is presented in Table 1.2.

Table 1.2: PPB experimental yield for different wavelengths and lactate and acetate as electron donors [1]

Species	Wavelength	Electron donor	$Y_{X/LE}$ ($gVSS \cdot kJ^{-1}$)
<i>Rb. capsulatus</i>	860 nm	lactate	0.018 - 0.031
<i>Rb. sphaeroides</i>	White ($\bar{\lambda} = 744$ nm)	acetate	0.009 - 0.016
<i>Rb. capsulatus</i>	522 nm	lactate	0.006 - 0.013

The data of [1] serves as an effective basis for quantifying and understanding the interactions between biomass growth, maintenance and decay, and the irradiance required for optimal reactor performance. **Operating parameters from Berberoglu and Pilon, and Murphy and Berberoglu and Hale and Querrey.**

1.2.2 Computational fluid dynamics for wastewater treatment and photobioreactors

Traditional design methods of wastewater treatment process units consist of load and mass based static analysis, and testing of dynamic behaviour based on key operating state variables [20]. Computational fluid dynamics (CFD) is a cost-effective method for scaling up process units and testing variations in operating parameters. This allows the design of equipment without needing physical prototypes [21]. CFD involves the spatiotemporal numerical analysis of transport equations and chemical reactions [22]. There have been many applications for CFD, including aerodynamic studies of aircraft, turbine analysis in power plants, environmental modelling of pollutants, and biomedical analysis of blood flows [23]. **The major CFD studies in water treatment modelling have been conducted in the fields of algae growth, the inactivation of pathogens by ultraviolet radiation, and geometry and flow optimisation of conventional treatment plant units such as clarifiers, ponds and digesters.** These areas of application have been chosen as a basis for literature review, due to photobioreactors operating with similar physical phenomena such as radiation delivery, multiphase fluid flows, and biochemical reactions [24].

Bubble Column Models

There are numerous studies looking at modelling bubble columns in a spatio-temporal manner. One of the early works in this field identified a need to consider a modelling technique incorporating spatio-temporal variations in order to minimise capital and operating costs during scale-up of general bubble column reactors [25]. In this study, the Navier-Stokes system of equations modelled the continuous fluid phase, and the dispersed gas bubbles were modelled as discrete tracked particles, with their position being solved over time. As this was a 1994 study, the computing power limited the model development to a two dimensional 60 x 200 grid of a $0.75m^2$ reactor face. Numerical diffusion arose when both the liquid and gas were considered as continuous phases. With the improvement in desktop computing capacity, CFD has been used extensively for bubble column and airlift reactor validation

using both approaches [24]. Other studies have looked at describing bubble flow within different reactor geometries with **Eulerian-Eulerian (both phases considered continuous) methods** [26–31]. **Gas-liquid flow in bubble columns has also been investigated with Eulerian-Lagrangian** (continuous liquid phase and discrete gas phase) models [32]. The major works in this field have looked at different geometry bubble columns with drag force, virtual mass force, lift force primarily with two dimensional geometries [30, 33–37]. These studies looked at the sensitivity of the columns to such parameters and conditions as turbulence model, sparger location, superficial gas velocity, reactor aspect ratio and virtual mass.

Limitations of Bubble Column Studies

The main strength of these bubble column CFD models is that they are applied to general simple geometries, as the main focus was to isolate the bubble flows to explain the effects of the principal hydrodynamic operating conditions and parameters. These general approaches to CFD modelling of bubble columns present a strong platform from which to commence photobioreactor simulations, but they also lead to limitations in the context of purple phototrophic bacteria for wastewater treatment. Even if solids are present in the system being modelled, phases are modelled as liquid and gas. Modelling approaches for solid phases require refinement, in which the solids are modelled as discrete particles, and the liquid and gas phases are modelled as continuous phases. In addition, these cases used simple geometries, which will probably not be appropriate for photobioreactor modelling and design, as the irradiated surface to volume ratio plays an important role in reactor performance [38]. Another note is that the generality of these cases means that biochemical reactions and photon-biomass interactions were not included in the studies.

Phototrophic microorganisms pose promising solutions to current important technological challenges: the management of wastes such as atmospheric pollutants and wastewater, production of high value products from waste streams, such as biofuels and bio-plastics [2]. They are resilient under favourable radiative conditions, quickly out-competing other non photosynthetic organisms [39]. Photosynthetic and phototrophic microorganisms can be used in photobioreactors (PBR), which can be categorised based on whether the reactor is situated indoors or outdoors, its geometry (tubular, flat plate, raceway), whether it is anaerobic or aerobic, and the method of mixing (sparged, paddle wheel). The technology for these various applications has however not been widely adopted, partly due to gaps in understanding of good PBR design, and partly due to energy and utilities markets not being favourable to large scale implementations. A method to address the former is to undertake virtual prototyping using computational fluid dynamics CFD [24]. This allows rapid development and experimentation, without the traditional expenses incurred in the scale up process [40].

The use of CFD for PBR analysis has increased since the first few studies in the early 1980s [41]. Wider access to commercial CFD software packages and suitable computational resources are likely factors in this uptake. Commercial packages allow the user to streamline the work flow from geometry

design, to meshing, to case set up, to data analysis and visualisation. One must however be prudent when carrying out CFD studies of PBRs, because the automation and ease in setting up cases with commercial packages could lead to undesirable or even non-validated and inaccurate solutions. Such issues of good CFD modelling practice and uncertainty quantification have been well documented in industries with a longer history of CFD usage [42–45]. More recently, efforts have been made to outline good CFD modelling practices in the context of wastewater treatment plants [46].

CFD modelling has aided in the understanding of hydrodynamic processes, and a comprehensive review was carried out in 2011 reflecting the status of CFD modelling of PBRs [24]. Most papers reviewed focused on the implications of certain geometry designs on flow characteristics. The papers were sampled to find a consensus on the use of a turbulence model. It was found that all studies reported used Reynolds-average Navier-Stokes (RANS) models, with the most popular being the standard $k - \varepsilon$ model. The popularity of RANS models is not surprising, owing to their efficiency compared to more complex turbulence models. The ubiquitous use of the standard $k - \varepsilon$ model is somewhat surprising, given the evidence which shows this model is quite poor in predicting separated flows [47]. Further, it is clear that improved $k - \varepsilon$ models, such as the realizable $k - \varepsilon$ model [48], as well as other improved RANS models, such as the $k - \omega$ SST model [47, 49] have been developed to address such deficiencies.

The goal of this review is to not only provide an update in the state of the art CFD modelling practices, but to focus on the coupling and interactions between pertinent physical phenomena in PBR systems. Categories have been organised based on these physical processes, including hydrodynamics, radiation, biokinetics, porous media modelling for membrane and biofilm systems, and attempts to couple these processes. In addition, attention must be paid to analytical approaches including discretisation error evaluation. Guidelines from the Journal of Fluids Engineering can be adapted to this review [44].

This work is based the recommendations presented by [39], and will assess CFD for PBR based on the following performance indicators that were highlighted: mixing, “light” delivery, mass transfer (including CO_2 delivery and O_2 purging in the case of micro-algae), and total energy demand. The study also alluded to the necessity in understanding and using the interactions between mass and radiative transfer, physiology of the microorganism in question, and their radiation and substrate kinetics and dynamics. In addition to these components, we have also identified that biofilm formation is important, whether one wants to avoid it, or use it as a design feature [50].

- hydrodynamics, including mixing and mass transfer
- radiative transfer
- physiology and biokinetics
- biofilms

- methods for coupling all physical phenomena
- analysis of error and grid convergence

The solution for the flow of a fluid is obtained by numerical solution of the Navier-Stokes equations, along with addition models, as required, to account for multiple phases, turbulence, etc. Most often, the equations are discretised using a finite volume method (FVM), but can also be discretised using other methods such as the finite element, finite difference, or spectral methods. The most common CFD packages for PBR studies are ANSYS Fluent and ANSYS CFX, both of which are based on FVM. Although it has not been used extensively for CFD analysis of PBRs, OpenFOAM is another FVM-based CFD code which is open source.

Modelling of dispersed biosolid phases

In general, there are two methodologies, Eulerian and Lagrangian, that can be implemented to solve the transport of a dispersed solid phase within continuous fluid phase(s) in a CFD simulation. The Eulerian approach treats the solid phase as a continuum and computes the concentration distribution based on the solution of a PDE that generally includes convective and diffusive terms, and may include various source and sink terms as required. The Lagrangian approach, on the other hand, involves the calculation of a large number of particle trajectories, based on Newton's second law, and models of all forces acting on the particles, such as drag, lift, and gravity. Each method has its own advantages and disadvantages, and, depending on the specific objectives of the study, one method may be more appropriate or efficient than the other. After outlining the details of each, recommendations will be made regarding the suitability of each for modelling PBR systems.

In the Eulerian modelling approach, the flow field and concentration distribution of the particulate phase are calculated in sequence. The PDE governing the particle phase concentration is [51] (add more references)

$$\frac{\partial C}{\partial t} + \nabla \cdot (\mathbf{u}C) = \Gamma \nabla^2 C + S_c \quad (1.1)$$

The second term on the left side of Eq. 1.1 represents convection of the particles with the velocity of the fluid phase(s), coupling the flow and concentration fields. This coupling is one-way since the solids concentration does not influence the flow field. The first term on the right side of Eq. 1.1 represents diffusion, while the second term represents all sources and sinks.

Discuss diffusion coefficient

Discuss relative motion of solid wrt fluid and drift flux

Equation based on [51]; check other sources to ensure it's general.

$$\frac{d\mathbf{u}_p}{dt} = F_D (\mathbf{u} - \mathbf{u}_p) + g \frac{\rho_p - \rho}{\rho_p} + \mathbf{F}_a \quad (1.2)$$

Comparison of Eulerian and Lagrangian Methodologies

The Eulerian and Lagrangian approaches have been compared previously for particle transport in enclosed air spaces [51]. Here the comparison is considered specifically in the context of PBR systems.

1.2.3 Radiation Modelling

There are many approaches to modelling the radiation within photobioreactors, each with different levels of complexity and effectiveness. The general form of the **radiative transfer equation** for a participating medium, upon which the approximations are based, is as follows [52]:

$$\begin{aligned} \frac{dI_\lambda(\mathbf{r}, \mathbf{s})}{ds} = & \kappa_\lambda I_{b\lambda} - (\kappa_\lambda + \sigma_{\lambda,s}) I_\lambda(\mathbf{r}, \mathbf{s}) \\ & + \frac{\sigma_{\lambda,s}}{4\pi} \int_{4\pi} I_\lambda(\mathbf{r}, \mathbf{s}') \Phi_\lambda(\mathbf{s}, \mathbf{s}') d\Omega' \end{aligned} \quad (1.3)$$

where;

$I_\lambda(\vec{r}, \vec{s})$ is the spectral intensity of a radiative ray [$W \cdot m^{-2}$].

\vec{r} is a position vector [m].

\vec{s}, \vec{s}' are the radiation path direction and scattering direction respectively.

κ_λ is the spectral absorption coefficient [m^{-1}].

$\sigma_{\lambda,s}$ is the scattering coefficient [m^{-1}].

s is the path length [m].

Φ_λ is the spectral scattering phase function.

Ω' is the solid angle.

The left hand side of the equation is the rate of change of intensity along a direction, the first term on the right hand side is extinction (absorption and scattering) of the radiative intensity ray, and the second term on the right hand side is the the gain due to in-scattering. Usually black body emission can be omitted from the RTE, as the phenomenon of interest is the growth of biomass aided by absorption of photons. Flow rates and any external heat sources usually allow us to neglect this term [53].

Beer-Lambert law

Among the most simple of radiative field solution techniques is the **Beer Lambert law** (equation 1.4).

$$\frac{I(x)}{I_0} = \exp(-\beta x) \quad (1.4)$$

where, $I(x)$ is the irradiance at point x , I_0 is the incident irradiance. This equation can be adapted to a spectral equation by noting the dependence of the extinction coefficient on the wavelength. It is then a matter of integrating these spectral incident intensities into a total incident irradiance (G) [54].

This approach lumps the absorption (κ_λ) and out-scattering (σ_λ) coefficients into one extinction coefficient (β_λ). The attenuation of radiation is modelled as a function of path length, concentration of the participating medium, and the extinction coefficient. The major problem with the Beer-Lambert law is that it neglects the effects of in-scattering, which can potentially give discrepancies of 20% in the final solution [54–56]. This can be translated to an assumption of isotropic scattering. The optical thickness in photobioreactors is much greater than unity, meaning that the assumption of isotropic scattering does not hold [52].

The process for designing photobioreactors with CFD has been to solve the fluid fields and then overlay **the solution to the Beer-Lambert law**. One can then gain insight into the radiation history of phototrophic particles, an important design parameter. [57] explored the effects of light attenuation and algal growth using a three dimensional Beer-Lambert law. The authors coupled the biomass growth to the radiation field as per the Eilers-Peeters model for photosynthetic biomass growth states as detailed in [58]. Wavelengths corresponding to pigment absorption were not considered, but the terms in the Eilers-Peeters model are adaptable to particular phototrophic organisms, which could be understood as an implicit treatment of spectral radiation. More on this study will be included in Section XXXX (coupled), as it is quite a comprehensive example of full photobioreactor modelling, incorporating particle transport, radiation delivery, and biomass growth. [59] also used the simple Beer-Lambert law to solve the radiative field within a PBR, on the way to solving more physics and focusing on the interactions involved between fluid flow, radiation, and growth kinetics. Other studies involving coupling also involved a similar approach [60].

There are many examples of studies looking at the radiation history of a phototrophic particle by solving the flow field and subsequently solving the radiative field. Particles are then introduced into the system, either as massless entrained particles, or as participating particles subjected to external forces [61]. The movement of these particles into and out of light and dark regions of the reactor can be analysed for insight into the growth characteristics induced by PBR design and operating condition variations. Some studies looked at the attenuation of light by algae and CO_2 bubbles in a sparged bubble column PBR [62]. Other formations include raceway ponds [63,64], and tubular photobioreactors with static mixers [65]. CFD was used to determine the light field of a hydrogen producing photobioreactor with *Rhodobacter sphaeroides* [19]. A cylindrical continuous stirred PBR was used as the test case. An empirical radiation expression was used in an attempt to better approximate the scattering processes within a reactor. The method was adapted from a previous study [66]. The following hyperbolic model with empirical attenuation parameters K_l , K_c and ϵ_m relating to scattering due to the dry weight concentration of biomass (c_{dw}) and path length (l), and the extinction due to maximal absorption (ϵ_m) is:

$$\frac{I}{I_0}(c, l) = \exp \left[\frac{\epsilon_m l}{(K_c + c_{dw})(K_l + l)} \right] \quad (1.5)$$

This model holds under the assumption of monochromatic radiation. The purpose of this study was

to carry out particle tracing simulations where the radiation history of the particle could be tracked over time with different impeller speeds. This allowed more control over interactions between photons and biomass, leading to a higher production of hydrogen with a lower impeller frequency. The simulations were not coupled to the biokinetics of the system. With the exception of [19, 63], there were no clear efforts to separate the extinction coefficient into its absorption and scattering components. More accurate solutions which account for biological photon absorption, out-scattering, as well as in-scattering exist. These solutions are more general, leading to more adaptability when exploring different reactor configurations [67].

Radiative transfer equation discretisation approaches

A potentially more accurate approach to determining the radiation field in a photobioreactor is to solve an approximation to the complete radiative transfer equation. Photons of particular frequency are absorbed by the pigments of phototrophic microorganisms [68]. It is therefore important to consider the spectral nature of the radiation in order to develop a deeper understanding of how the incident radiation is attenuated by either biomass absorption, or scattered by other components in the participating medium. There are several methods used to discretise the RTE, including the P-1 approximation, the discrete ordinates method (DOM), the finite volume discrete ordinates method (FVDOM), the Monte Carlo (MC) method, and the flux approximation [69]. Of this list of approaches, the DOM, FVDOM and MC methods allow for resolution of the total spectral radiative transfer equation on the existing mesh [70]. The best balance between computational effort and solution accuracy is the FVDOM [52, 70]. The FVDOM discretisation of the RTE shares a starting point with the DOM, with the angular discretisation being the point of difference. The direction of radiative intensity is allowed to vary within the solid angle for the FVDOM, whereas for the DOM does not allow for the radiative intensity to vary within the solid angle [71].

I will go through optical literature to see if there are better phase functions for moderately optically thick media (there are, the Schlick phase function gives very similar results to the HG phase function, but doesn't include the fractional exponent in the denominator, which can be very costly. This allows us to treat in scattering in Lorenz-Mie regime, without losing much information. This can be something to consider when dealing with PBR, especially when we involve the participation of gas bubbles, as they follow a completely different regime of scattering (Rayleigh scattering, not Lorenz-Mie).

The earliest instance of the application of the radiative transfer equation for a suspended solution of phototrophic organisms was carried out by [55]. In this study, Mie theory was used to determine anisotropic scattering due to gas bubbles and the photosynthetic organisms. The resolution of the fluence rate in the reactor depended on the concentration of *A. variabilis* within the reactor, but growth expressions were not considered. Like many optical studies of PBRs, the authors concluded that the Beer-Lambert law was an inappropriate method to predict irradiance in the PBR. The Henyey-Greenstein (HG) and truncated phase function (TPF) were compared relative to Mie Theory, and the

TPF proved more useful as back scattering was better approximated in situations with gas bubbles and bacteria. This work formed a good starting point for radiation transfer in PBR. They elucidated many of the important factors to consider when modelling radiation transfer in PBR systems.

The fvDOM has been used with varying degrees of complexity in subsequent PBR CFD papers. Many studies treat photosynthetically active radiation (PAR) as a lumped quantity, *i.e.* $\lambda \sim \mathcal{U}(400\text{ nm}, 700\text{ nm})$ [38, 72–75].

As stated in [76], savings in calculation times can be made with astute choices in solution scheduling. If assumptions are made that the state of the participating media is constant for a certain period of time, radiation calculations can be carried out more sparsely than the flow field or the biomass growth components of the simulation. With the pigments of phototrophic biomass absorbing at particular wavelengths, it is important to consider a spectral solution when solving for the radiation field. Approaching the problem in such a manner allows us to simulate how the biomass interacts with the radiation field more accurately. This allows the exploration biological process related to the function of pigments, and design more appropriate PBRs. Examples of where spectral simulations were carried out using the FVDOM or DOM methods present a more accurate picture of the functionality of PBRs. For example, [76], conducted simulations on RTE modelling for an algal photobioreactor, looking at consequences of the selection of certain multiphase models on the solution of the RTE. The spectral FVDOM model was also seen as important for the design and scale up of photobioreactors, and as such, an open source model has been developed around the OpenFOAM framework [70]. This study also identified the need to develop accurate boundary conditions based on spectral radiation modelling. This model was then used in a paper outlining a quasi-complete modelling approach to algal photobioreactors [77]. There weren't any discernible changes to how the RTE was solved, but the optical model was supported by an hydrodynamic model and a biomass growth model. As this was a complete solution, it will be discussed and compared in greater detail in Section 1.2.5.

Monte Carlo method

The Monte Carlo method for spectral irradiance has been seldom used in PBR CFD modelling. The method is the most accurate approximation of the resolution of the RTE, but high computing power is required to achieve a proper solution [70]. A study for the design of PBR using the MC method has been undertaken, with results being validated against experimental data [78].

Summary of Radiation Modelling

The major conclusions to be drawn from previous attempts at radiation transfer modelling for PBR is that a balance needs to be found between simplicity and accuracy. Many PBR CFD studies have been found to be lacking with appropriate modelling approaches to solving the RTE. There is strong

evidence to suggest that one must take into account the effects of in-scattering [52, 70, 76–79]. [78], stated that the threshold beyond which one must start to consider the effects of in-scattering is 100 mgL^{-1} dry weight of biomass.

Keeping in mind that one of the major process bottlenecks to PBR design is the delivery of radiation, and that computing power is becoming cheaper, the future of CFD PBR modelling must include attempts to solve the RTE, including the effects of in-scattering, biological participation (or absorption) and non-biological participation.

For studies where wall-clock time is an important factor, one must start looking at making simplifications in other areas. Inspiration can be drawn from the field of graphical rendering theory, where the physical characteristics of photon transport are maintained, but processing times are greatly reduced [80].

The probability distribution of wavelength has not been considered, and Fourier transforms might be required for lamp boundary conditions for an appropriate simulation of real incident radiation. This would greatly aid in energy balance calculations for economic feasibility of PBRs.

1.2.4 Biochemical Equations Modelling

An extensive review has already been undertaken looking at efforts to couple radiative transfer with biomass growth and substrate uptake [58]. The focus on this work was for the outdoor cultivation of algae. The concepts however can be adapted to the cultivation and growth of other biomass species for a wide range of PBR configurations. The review looked at three major approaches to the modelling of algal growth: Type I models consisted of solving biokinetic models based on an incident irradiance, or average internal fluence rate. These models proved to be quite limiting in their ability to provide insight into the operation of outdoor photobioreactors. Type II models aim to solve the radiative field using the Beer-Lambert law, and type III models looked at solving the *light history* of the photosynthetic particles.

Modelling of the biological response to fluence rate can be achieved with either discrete or continuous models. The Eilers and Peeters model [81], considers the photosynthetic unit interacting with photons to occupy one of three states. The biomass $X = \{x_1, x_2, x_3\}$, where the transition $x_1 \xrightarrow{\alpha I} x_2$ corresponds to the sufficient capture of photons at the required frequency to initiate the phototrophic biochemical reactions. The transition $x_2 \xrightarrow{\gamma} x_1$ corresponds to the change to the dark cycle reactions. When the photosynthetic particle receives excessive irradiance, a transition occurs from an excited state to a photoinhibited state ($x_2 \xrightarrow{\beta I} x_3$). The transition from a photoinhibited state to the resting state ($x_3 \xrightarrow{\delta} x_1$).

Considering the biomass as a single state, with growth processes acting upon it, is another method.

This single state can be coupled to important states, and solved over the flow field. Examples of states to which the biomass can be coupled are nutrients, radiation as a substrate, and other non phototrophic microorganisms. This method is based on the IWA family of models for wastewater treatment (ADM1, ASMx). There have not been many examples of a single state of phototrophic biomass, based on the the modelling approaches found in the IWA family of models.

1.2.5 Coupling of Physical Processes

In recent years, the access to computer power has increased significantly due to a number of reasons. Firstly, with the introduction of cloud services, one is able to pay per core-hour for access high performance computing (HPC) infrastructure. Secondly, with the introduction of single processor boards which can easily be placed in arrays to carry out parallel computational tasks, the price per FLOPS is decreasing. This means that in the field of PBR modelling, one can develop models which encompass more of the physical phenomena, leading to greater insight into the workings of PBRs, therefore better prediction power. However, as the miniaturisation of transistors approaches its limit, one will need to search for other methods to continue improving on turn around times for CFD simulations while increasing the the physical complexity of PBR solutions.

The early PSU models looked at simulating algal PBRs by considering an irradiative field varying in space, and the resolution of biokinetic equations were coupled to this field [82–85]. The treatment of fluid dynamics in these models was implicitly tied to the time constants of the biochemical reactions [85]. A more complete investigation involved the coupling of the two-flux photon approach with the Lagrangian determination of cell trajectories and biomass concentrations [86]

$$\frac{dI^+}{dt} = -E_aXI^+ - bE_sX(I^+ - I^-) \quad (1.6)$$

$$\frac{dI^-}{dt} = -E_aXI^- - bE_sX(I^+ - I^-) \quad (1.7)$$

As can be seen from Equations 1.6 and 1.7, the irradiance has a dependency on the biomass concentration within the PBR. This approach only works under the assumption that at each level of depth δz , the biomass concentration is uniform. A more rigorous approach to solving the RTE is required if that is not the case [86]. The authors then go on to develop the model by solving the Reynolds averaged Navier-Stokes equations, with a stochastic fluctuation term included. The solution of the Eulerian flow field was then used as a basis for the Lagrangian-radiation coupling. The algal concentrations were assumed to be low enough, with an overall density similar to that of water, such that they could be considered as passive tracers in the PBR. The paths of algal cells were tracked and the overall irradiance profile was solved for each point in z . It is with the history of the algal cells, along with the irradiance profile within the PBR that conclusions could be drawn about the radiation history of the cells, and biokinetic expressions could be used to model growth over an appropriate period. Biokinetic

simulations were carried out, and assumed that the growth behaved according to Monod kinetics. The expression for biomass concentration was updated with the time step used in the Lagrangian simulations. The computational power required for the two-flux model and biomass concentration was unfeasible, and simplifications were made. An astute observation found that for any number of cells, a similar radiation history and growth rate was observed. This led to an expression for averaging the growth rate. This meant that the system could be solved numerically in a matter of seconds. In some previous studies [60], [87], a Lagrangian approach was used to simulate the growth of algae in a PBR using stochastic fluctuations based on [88]. This approach was similar to the previously discussed example. The radiative transfer equation however was simplified to the Beer-Lambert law, and the PSU model [81] was used. As discussed in Section 1.2.3, the Beer-Lambert approximation is not appropriate when the effects of in-scattering are important for the description of the system.

1.2.6 Biofilm modelling

Processes governing the biofilm lifecycle

Biofilms are communities of bacteria, algae, protozoa, fungi and other microorganisms attached to a surface, and growing in an array of extracellular polymeric substances [89]. The lifecycle of a biofilm can be defined as a series of biophysical processes; the initial attachment to the substratum surface, the maturation of the biofilm, and the detachment of the biofilm. The processes governing attachment are complex and varied. The physical properties of the solid substratum such as roughness, effective surface area, and hydrophobicity, play a role in the effectiveness of the attachment of microorganisms [?]. The ability of the surface to accept conditioning films (or protein rich coatings) and characteristics of the surrounding medium are also important factors for biofilm attachment [90]. Hydrodynamic behaviour is also important for the attachment of cells. Until a certain critical shear stress where detachment occurs, cells have a higher probability of adhering to a surface the more times they come into contact with that substratum. This means that turbulent flow regimes are more conducive to biofilm attachment [91].

Planktonic organisms secrete chemical signals, however due to the dispersed distribution of suspended biomass, these signals have very little effect on genetic expression. Conversely, due to the dense distribution of organisms in the attached biofilm layer, maturation of the biofilm is influenced by quorum sensing, where the genetic expression of biofilm dwelling organisms is modified by chemical secretions of neighbouring organisms [90]. The change in genetic expression leads to different behaviours in the biofilm. During biofilm formation, extracellular polymeric substances (EPS) are formed which lead to some benefits for the biofilm; *a*) it acts as an adhesive for arriving organisms to the biofilm, *b*) it forms protection from antimicrobial substances, leading to a resilience in the biofilm, and *c*) it allows for transport of nutrients into the biofilm. As the biofilm continues to grow and expand, micro-niches form due to spatial variations such as nutrient diffusion and gas transfer limitations (anaerobes tend to aggregate near the biofilm-boundary layer interface, whereas anaerobes aggregate

in oxygen poor environments. For photobiofilms, the consideration of the radiative distribution is also of interest as it's attenuation could also affect the spatial distribution of photoorganisms within a biofilm [92].

The detachment of biomass occurs largely due to mechanical stresses. Erosion and sloughing occur depending on the nature of the flow field and boundary layer, and the shape and distribution of the biofilm itself. As detachment rates increase and balance growth rates, the biofilm remains in a quasi steady state [?, ?].

Examples of beneficial and harmful biofilms

It is important to understand the mechanisms of biofilm formation, whether the biofilm be beneficial or harmful. Examples of beneficial biofilms in process engineering applications include for the bioremediation of contaminated soils [93] and wastewater treatment applications where nutrients and impurities are removed from water streams through trickling filters or other engineered designs. Another application of interest in biotechnology is where biofilms aid in the harvesting of protein-rich bacteria, effectively short-cutting the need for expensive separation equipment associated with biomass thickening and dewatering [94].

On the other hand, bad biofilms can also have such effects as reducing process equipment efficiency, being dangerous, harmful, or completely pernicious [90]. Examples include the fouling on heat transfer and membrane equipment leading to a reduction in process performance [?], the formation of biofilms in food cultivation and preparation areas [?]. The control of biofilms is vitally important in the health industry, as they present themselves as sources for inflammation, impaired healing, antimicrobial resistance, and patient death [?]. As biofilm formation and growth can have significant positive or negative effects on society, the modelling of these systems is important in order to understand the details of the governing processes, therefore prevail in controlling such formation in practical applications.

Modelling of photobiofilms

The current state of progress in biofilm modelling is where heterogeneity and spatio-temporal variations are described within the model. The complex nature of biofilms, and the ever increasing access to significant computational power means that it is logistically becoming easier to implement these complex models. They are known as third generation models according to the IWA's task group on biofilm modelling. They build on the previous classes of biofilm modelling where steady-state, homogeneous biofilms were defined in order to describe mass transport between biofilm and bulk flow (first generation) [?]. Second generation models included updates to account for non-uniformities in the biofilm by describing microbial interactions. Third generation models can be described as

either based on discrete agents, or as continuum models [95]. Each approach has its own benefits and drawbacks.

Discrete biofilm models There are two major discrete modelling approaches for biofilm formation: cellular automaton and agent based models. Cellular automaton models consist of discretising the spatial domain into discrete squares or cuboids for 2D or 3D models respectively. Each discrete element can be either occupied by a microbial cell, or a substance of interest, or can be empty. The cells are subjected to simple, discrete biological or physical operations [95]. The operations, or rules, can include substrate diffusion, biokinetics expressions such as growth and decay, and attachment and detachment processes [?]. Broadly accepted advantages of cellular automaton (CA) approaches are that the model setup oftentimes offers a simpler development cycle as it is based on a series of discrete steps. In addition, the implementation of irregular boundary conditions is easier with this method [96], and the approach is known to be relatively simple to implement across multiple computing processors when compared to differential descriptions of states [?]. There are several disadvantages to the CA method, including the fact that conservation is not maintained under substrate uptake and growth processes, and the biofilm advances based on the availability of unoccupied cells. This means that dynamic growth and transformation processes closer to the substratum are often ignored [95]. Additionally, as cells are effectively placeholders for physical information, the mesh cells are often of uniform physical state. The accuracy is therefore dependent on the resolution of the meshed domain [95].

The other main method of discrete biofilm modelling is the agent based modelling (ABM) approach. This technique is similar to the CA approach insofar as it incorporates a set of rules that biomass follows. However, it differs in that the representation of biofilm species is as particulate matter, which can occupy any point in continuous spaces. Constraints due to the discretised CA lattice do not apply. Agent based models have been used to describe the interactions between multispecies particulates, including EPS formation and its effects on the the biofilm structure [?]. As the physical complexity of the modelled biofilm system increases, brute-force ABM simulations of complex systems often encounter computational limitations, limiting the spatial scale of ABMs. To overcome this limitation larger particles representing fractions of inert and active biomass has been presented. This type of model still follows ABM methods, with free-flowing particles, but the particles act as aggregate placeholders for physical information, similar to the CA methods [?].

Discrete approaches allow for the construction of complex models by building series of simple constraining rules. Model results often lead to a general idea of how the biofilm structure is formed, however agreement with experimental data is frequently case-specific [95]. This is due to the description of the physical phenomena being based on a set of simple instructions with aspects of stochasticity. The order in which these instructions are executed can influence the results of the model, as well as the random nature of the discrete operations mean that results from identical initial conditions can differ significantly. Consequently, rigorous statistical analysis must be conducted in order to confirm the results from discrete models [97].

Continuum-based biofilm models Continuum-based biofilm models can be classed into two main categories; one dimensional models (1D) and multidimensional models (2D for domains in \mathbb{R}^2 -space and 3D for domains in \mathbb{R}^3 -space respectively). Perhaps the most widely used 1D biofilm model is the AQUASIM implementation [?] which builds upon the groundwork of [?] [?]. This model considers a multispecies competition and distribution within a biofilm. Included in the model are attachment and detachment processes, as well as considerations of mass transfer of soluble substrates within the porous structures. 1D biofilm model best lend themselves to particular cases: *i*) in educational or demonstration settings, *ii*) as part of broader or plant-wide models where mass exchange between biofilms and soluble substrates is to be quantified, but where computational requirements are to be used sparingly [95].

Multidimensional biofilm models are able to capture non-uniformities in the plan parallel to the surface substratum. Through this approach, one can explore the interactions between several species within a biofilm. Also, 2D and 3D approaches allow for analysis of time and space-dependent physical parameters and variables such as species and other particulate distribution, pressure gradients, and the interactions between soluble species and the porosity of the biofilm structure [98]. Demonstrations in 1D and 3D test cases with varying symmetric and asymmetric boundary conditions were run, and the assessment of biofilm growth was found to agree well with experimental results. [99], ([99]) also recognised the limitations associated with discrete models or 1D continuum models and thus proposed an extension to the Wanner-Gujer model as implemented in AQUASIM [?]. The model linked a biomass volume fraction to Monod growth kinetics. The changes in volume fraction described changes in pressure which was the driver of biomass spreading. As the biofilm front represented a boundary and was dynamic, interface capturing was achieved with the level-set method, which allows for the reconstruction of the biomass front from a differential distance function. 2D and 3D simulations were carried out, and the results showed the formation of microbial niches due to substrate gradients. This model represents one of the first examples of a mathematically rigorous approach being taken to biofilm modelling.

Photobiofilm models As the field of study of photobioreactors is in its infancy, the benefits of photobiofilm reactors have seldom been discussed. It has been identified that the harvesting, thickening and dewatering costs associated with phototrophic processes could be reduced if growth by attachment was the dominant growth mode in photobioreactors [94]. Purple phototrophic bacteria (PPB) are known to form heterogeneous, somewhat stratified biofilm structures in benthic zones of aquatic environments [100], however algal biomass has proven more difficult to engineer into biofilm formations. A recent attempt to foster a mycoalgal biofilm proved to be more effective than in the axenic cultures [101], but for applications such as waste treatment, one doesn't have the privilege of being able to select specific co-cultures. That said, whatever the application of photobiofilm systems, that elucidation of the process kinetics coupled with biofilm development is required for a deeper understanding, especially with respect the coupling with the radiative field.

With regards to phototrophic biofilm modelling, only two examples exist prior to this work. The first phototrophic biofilm (PBF) model was proposed as a two dimensional application to a porous substrate photobioreactor (PSBR) [102]. The model looked at the productivity of *Anabaena variabilis*, a cyanobacterium, through substrate transport, photon delivery, and growth processes. There was good agreement between numerical simulations and experimental results with respect to biomass productivity over a range of thicknesses between 35 μm and 46 μm . This model focused on a pure culture of cyanobacteria, and the evolution of biofilm structure was not explored in this scope. Additionally, variations in soluble substrate concentrations into the biofilm towards the surface substratum were not presented.

The second study focused on a 1D application of a PBSR [103]. This model extended the previously mentioned study with respect to the substrate gradients perpendicular to the biofilm surface substratum. The radiative transfer equation was used to simulate the light delivery to the biofilm concluded that phase in-scattering and pigment adaptation were important considerations. The advection of the biofilm front was by treating the biomass length as a discrete quantity, either consuming or releasing discrete elements at each simulated time step.

The proposed model in this paper differs from the previous PBF examples in the following aspects:

1. Biofilm models should be developed for implementation in all spatial dimensions. Decisions to simplify the model should be made by the user at implementation. Presented here is a multidimensional phototrophic biofilm model.
2. The evolving structure of the biofilm must be captured. The advancement of the biofilm front is coupled to biomass growth and decay processes. The interface between biofilm and liquid is captured by a conservative, coupled volume-of-fluid (VoF) and level-set method.
3. Biofilms are heterogeneous in nature. Scope must be made to model multispecies biofilm evolution. As such, presented here is a multidimensional, multispecies, dynamically structured phototrophic biofilm model.

In addition to these three points of novelty, the biofilm model has been implemented in OpenFOAM, a computational fluid dynamics (CFD) finite volume method (FVM) framework. This package is a collection of C++ libraries which allow for the resolution of continuum mechanics problems. The model extends on previous work by the authors [104]. The global purpose of this study is to explain the physical phenomena occurring within biofilms so that subsequent studies can progress the work for design or prediction purposes.

1.3 Research objectives and approach

Several research gaps have been determined in response to the suite of literature available since the beginning of this thesis. Photosynthesis process modelling techniques are generally well understood, especially when developed and validated against artificially irradiated photobioreactors. **Biological process models fall into three main categories, labelled type I, II, and III [58].** These categories relate to their degree of complexity, especially when growth expressions are coupled to the radiative field. Type I models assume that all photosynthetic organisms are irradiated uniformly with an irradiance equal to that of the irradiated surface of a photobioreactor or the averaged irradiance within a solution domain. Type II models aim to decompose a solution domain into several subdomains of uniform irradiance. This means that the growth and productivity of a photosynthetic system can be described in terms of a light gradient. Type III models assess the light history of a photosynthetic particle, and describe the growth behaviour as the particles flow in and out of irradiated areas within a photobioreactor. This third type of model requires a description of the flow field as well as a light gradient to accurately determine biomass growth. Biological growth terms can consider either the photosynthetic microorganisms as a bulk single state, or as three different states depending on the perceived irradiance of the particles [81]. The latter biological model is the most widely used within process models for photosynthetic productivity. Despite the rich suite of process modelling and computational fluid dynamics approaches for algal biomass, there is no equivalent for purple phototrophic bacteria. With different metabolisms to those of algae, it is not sufficient to base PPB models off algal models. However, these can serve as inspiration when developing spatiotemporal models.

1.3.1 **Research objective 1: A description of the process biokinetics of phototrophic systems**

The growth of PPB in a nutrient rich environment has not been described at the process level. With limitations such as non-trivial requirements for light energy, mixing energy, and harvesting challenges, a model with a higher level of abstraction than a metabolic pathway description is required.

A photo-anaerobic model (PAnM) was developed to address these concerns. The model was based on the IWA semi-mechanistic family of models and used Monod expressions for nutrient and light limitations. The model was designed such that extension to three spatial dimensions was possible.

1.3.2 **Research objective 2: Computational fluid dynamics analysis of single and two-phase photobioreactors**

Based on the literature review, photosynthetic and phototrophic systems vary in space as well as time, due to irradiation and fluid flows. While algal CFD models have already been **applied, few considered a coupled multiphysics solution, and the solution work flow** of coupled solution procedures has been disjointed, with multiple simulation environments being used for models.

A modelling framework was therefore developed in OpenFOAM which included the coupling between single or multiphase flows, radiative transfer, and biokinetic behaviour of the phototrophic biomass.

1.3.3 Research objective 3: A multidimensional, phototrophic, continuum biofilm model

The harvesting of phototrophic biomass presents challenges as separation processes and technologies such as membrane bioreactors can be expensive both in terms of operational and capital expenditure. A potential method to remove biomass from a multiphase system is to grow it attached on surfaces, and mechanically scrape the bacterial community from those surfaces as single solid biomass. Research objectives 1 and 2 which look at the definition of the photo-biological reactions and the corresponding lumped parameter system respectively form a basis for exploring biofilm growth. A phototrophic biofilm model was developed which looked at the growth of mixed phototrophic biomass as it grows from an irradiated substratum.

Contributor	Statement of contribution	%
Your Name	writing of text	70
	proof-reading	60
	theoretical derivations	70
	numerical calculations	100
	preparation of figures	80
	initial concept	10
Co-author 1	writing of text	20
	proof-reading	10
	supervision, guidance	20
	theoretical derivations	10
	preparation of figures	20
	initial concept	10
Final Author	writing of text	10
	proof-reading	30
	supervision, guidance	80
	theoretical derivations	20
	preparation of figures	10
	initial concept	80

Chapter 2

A description of the process biokinetics of phototrophic systems

This chapter is based upon work which has been published during the course of the PhD programme.

D. Puyol, **E. Barry**, T. Hülsen, and D. Batstone. A mechanistic model for anaerobic phototrophs in domestic wastewater applications: Photo-anaerobic model (PAnM). *Water Research*, 116:241–253, 2017. Publisher: Elsevier Ltd.

Abstract

Purple phototrophic bacteria (PPB) have been recently proposed as a potential organism for accumulative biotechnologies for wastewater treatment with assimilative removal of nutrients, low greenhouse gas emissions, and a neutral to positive energy balance. PPB have a complex metabolism which can be regulated for process control and optimisation. Since microbial processes governing PPB metabolism differ from traditional processes used for wastewater treatment, a model basis has to be developed to be used as a framework for further detailed modelling under specific situations and environments. This work presents a mixed population phototrophic model for domestic wastewater treatment in anaerobic conditions. The model includes photoheterotrophic, chemoheterotrophic, and photoautotrophic growth, as well as hydrolysis and biomass decay. Photoheterotrophic growth is divided into soluble volatile organic fatty acids with ethanol, and acetate as organic substrates. The main processes have been evaluated through targeted batch experiments, and the key kinetic and stoichiometric parameters have been determined. The process was assessed by analysing a simulation scenario of a continuous photobioreactor within a long-term wastewater treatment system.

2.1 Introduction

The treatment of wastewaters is currently seeing a trend away from merely treating wastes to capturing and reusing the energy and nutrients contained within the streams. Of increasing interest are photosynthetic and phototrophic organisms, such as microalgae [105] and purple phototrophic bacteria (PPB) [14] respectively. While both organisms can be used to partition organics and nutrients to a solid phase for reuse, PPB present an advantage in that they are able to recycle electrons during cyclic anoxygenic photosynthesis, meaning they can harvest and retain electrons, resulting in a higher energetic efficiency than that of algae. Photoconversion efficiencies are also higher for PPB at 6-8% [106] than for algae at less than 5% [39]. Despite the numerous studies demonstrating use cases of PPB to produce bioplastics [107] and treat wastewaters such as different meats, dairy and sugar [3], latex [108], and tofu [109], the widespread adoption of these microorganisms has been slow. This is in large part due to several process limitations, such as photon conversion efficiency (or energy costs), solid-liquid separation issues for harvesting, and the requirements of extra readily biodegradable chemical oxygen demand (COD) in order to achieve discharge limits in nitrogen and phosphorus [110].

Process modelling can be used to address the aforementioned issues, as well as to better understand and optimise phototrophic systems. A suite of modelling work already exists for PPB under different conditions for varying levels of abstraction. Previous low-level modelling efforts involved the description of PPB metabolism based on the electron transport chain within a complex network of metabolic processes [111]. These models are necessary for a deeper understanding of the cell processes of a variety of PPB, however they can not easily be implemented in a process modelling framework to be used within a broader industrial-scale model. Much work has been done on the production of hydrogen from PPB [112]. Key cell processes for hydrogen producing PPB are photoheterotrophic growth, with chemoheterotrophic and photoautotrophic growth described as well. The processes involved are similar to those for wastewater treatment and related applications, however extra processes in wastewater treatment such as biomass decay and hydrolysis and fermentation must also be described. Despite the rich body of modelling knowledge, there currently exists no process-level wastewater models which can be used as modules in broader process modelling environments, similar to the International Water Association (IWA) family of models [113] such as the Anaerobic Digestion Models (ADM) [114], or the Activated Sludge Models (ASM) [115].

This study evaluates the main biochemical processes of a PPB system with the aim of developing a mechanistic model to assess the modes of growth over batch and continuous operation. The model describes photoheterotrophic, chemoheterotrophic, and photoautotrophic modes of growth, as well as the hydrolysis of particulate composites to readily biodegradable COD and the decay of PPB biomass. The model has been developed for a mixed culture system and has been written such that it can be adapted to be included in broader modelling environments, and the model can easily be extended or simplified.

2.2 Materials and methods

2.2.1 Model description

The model has been developed to be compatible with the IWA, ASM and ADM families of models. As such, the units used in this particular study are presented in gCOD m^{-3} for soluble and particulate organic matter, $\text{gNH}_4\text{-Nm}^{-3}$ for inorganic nitrogen, $\text{gPO}_4\text{-Pm}^{-3}$ for inorganic phosphorus, and molC m^{-3} for inorganic carbon. Semi-mechanistic Monod kinetics have been used for all growth terms and limiting expressions, and the hydrolysis and decay processes have been assumed to follow first order kinetics. Inhibitory processes follow non-competitive inhibition. While this model describes a mixed culture system, few differences in behaviour between PPB have been observed [2]. As such, a single PPB term has been chosen to represent all phototrophic microorganisms.

Modes of growth

Photoheterotrophic growth

This has been divided into two subcategories: growth on acetate (S_{AC}), and growth on other organics (S_S). Acetate uptake is represented separately due to differences in rates during experiments. The growth rate on acetate is roughly double that on other organics due to the imbalance in carbon oxidation state between acetate and biomass. This process produces positive CO_2 . Photoheterotrophic uptake has been lumped for all other organic substrates due to similar behaviour as a result of similar substrate-biomass oxidation states. The organic substrates include volatile fatty acids, alcohols and sugars. Unlike acetate uptake, PPB growth in this lumped substrate results in consumption of CO_2 . Both processes occur in the presence of infra-red radiation, with heterotrophic growth through the tri-carboxylic acid cycle (TCA) assumed as the dominating pathway.

Photoautotrophic growth

This process also requires infra-red radiation and is dominant in the absence of organic soluble substrates. This process uses inorganic compounds, excluding water, as its electron donor. Such electron donors include Fe^{2+} , sulfide, sulfate and hydrogen. Due to the minimal concentrations of sulfur and iron compounds in domestic wastewater, they have been omitted from the model and only H_2 is used as an electron donor.

Chemoheterotrophic growth

In the absence of infra-red radiation, chemoheterotrophic growth dominates. It involves the assimilative uptake of organics through fermentation or anaerobic oxidation processes. Acetate and H_2 are products of this process, with H_2 being used as an electron donor for photoautotrophic growth. Acetate does not undergo further oxidation in this process due to the lack of terminal electron acceptors in domestic wastewater [116].

Cell decay

Upon PPB cell decay, ammonium, phosphate, and inorganic carbon are released and PPB biomass is converted into biodegradable organic particulates (X_S).

Hydrolysis and fermentation of particulates

These processes have been lumped together and labelled as hydrolysis. This process involves biodegradable particulates decomposing into soluble organics, namely S_S and S_{AC} , key nutrients, hydrogen and organic carbon. This process also includes the release of soluble and particulate inert matter (S_I and X_I respectively).

Treatment of acidity and temperature

An ideal pH model has been implemented in the model in a similar fashion to the ADM1 model [114]. The implementation includes phosphate acid-base pairs with no ion pairing. This is sufficient for domestic wastewater, but for streams with extreme pH, high levels of pollutants, or for implementation in a more generic benchmark simulation framework, this model should be extended to include strong acids and bases. Temperature has not been included as a term in either the pH model or in the PAnM, but van't Hoff and Arrhenius expressions can be implemented for important parameters as previously investigated [94].

Summary presentation of the model

The temporal evolution of species follows general equation Eq. (2.1) with state variable ϕ_i where $\phi_i = \{S_{AC}, S_S, S_{IC}, S_{H_2}, S_{IN}, S_{IP}, S_I, X_{PB}, X_S, X_I\}$. Soluble species are denoted by an S and particulate species are denoted by an X.

$$\frac{d\phi_i}{dt} = \mathfrak{R}_i \quad (2.1)$$

where \mathfrak{R}_i is the rate of formation (positive) or consumption (negative) of species ϕ_i . The presentation and explanation of all species and their formation or consumption terms are best summarised in a Petersen matrix (Table 2.1).

Integration with ASM models and ADM1

Each component in the PAM-1 model can be translated into the family of IWA models. The organic compounds in this model can be transformed to ASM organic substrate by combining the acetate and other soluble organics fields. For organic particulates, X_S are the same for both ASM models and PAM-1. For soluble organics, $(S_S + S_{AC})_{PAM1} \rightarrow (S_S)_{ASM}$. This quantity can then be translated to ADM1 through a model translation interface [117]. Both biodegradable and inert particulates, as well as inert solubles have direct analogues in both ASM and ADM1 models. Phototrophic biomass can be converted to ADM1 via the following relationship, $X_{PB} \rightarrow (aX_{ch} + bX_{li} + cX_{pr} + dX_I)$. The coefficients a , b , c , and d are determined through the nutrient and COD ratios of the biomass, which have been

Table 2.1: Petersen matrix for the PAM-1 model for domestic wastewater treatment by purple phototrophic bacteria.

Process $j \downarrow$	Component $i \rightarrow$										Rate \mathfrak{R}_j
	1	2	3	4	5	6	7	8	9	10	
1	S_S	S_{AC}	S_{IC}	S_{H_2}	S_{IN}	S_{IP}	S_I	X_{PB}	X_S	X_I	$k_{hyd} X_S$
2	$f_{SS,XS}$	$f_{SAC,XS}$	$f_{SIC,XS}$	$f_{SH_2,XS}$	$f_{SIN,XS}$	$f_{SIP,XS}$	$f_{SI,XS}$	0	-1	$f_{XI,XS}$	
3	0	-1	$f_{SIC,PHSAC}$	0	$-Y_{PB,ph} N_{vac}$	$-Y_{PB,ph} P_{vac}$	0	$Y_{PB,ph}$	0	0	$k_{m,ac} \frac{S_{AC}}{S_{IC} + K_{S,AC}} X_{PB} I_{FA} I_{IN} I_{IP} I_E C_{ph}$
4	-1	$(1 - Y_{PB,ph}) f_{SAC,ph}$	0	$(1 - Y_{PB,ph}) f_{SH_2,ph}$	$-Y_{PB,ph} N_{vac}$	$-Y_{PB,ph} P_{vac}$	0	$Y_{PB,ph}$	0	0	$k_{m,ph} \frac{S_S}{S_S + K_{S,SS}} X_{PB} I_{FA} I_{IN} I_{IP} I_E C_{ac}$
5	0	0	$-f_{SIC,au}$	$-f_{SH_2,au}$	$-Y_{PB,au} N_{vac}$	$-Y_{PB,au} P_{vac}$	0	$Y_{PB,au}$	0	0	$k_{m,au} \frac{S_{IC}}{S_{IC} + K_{S,IC}} X_{PB} I_{FA} I_{IN} I_{IP} I_E$
6	0	0	$f_{SIC,dec}$	0	$-f_{SIN,dec}$	$-f_{SIP,dec}$	0	-1	1	0	$k_{dec} X_{PB}$
											<p>Competitive inhibition photohet: $C_{ph} = \frac{S_{AC}}{S_S + S_{AC}}$</p> <p>Competitive inhibition acetate: $C_{ac} = \frac{S_S}{S_S + S_{AC}}$</p> <p>Inhibition free ammonia: $I_{FA} = \frac{K_{IFA}}{S_{IN} + K_{IFA}}$</p> <p>Limitation inorganic nitrogen: $I_{IN} = \frac{S_{IN}}{S_{IN} + K_{S,IN}}$</p> <p>Limitation inorganic phosphorus: $I_{IP} = \frac{S_{IP}}{S_{IP} + K_{S,IP}}$</p> <p>Limitation radiation: $I_{E_{850}} = \frac{E_{850}}{E_{850} + K_{E_{850}}}$</p>
											Inert particulates gCODm^{-3}
											Biodegradable particulates gCODm^{-3}
											Purple phototrophic bacteria gCODm^{-3}
											Soluble inerts gCODm^{-3}
											Soluble inorganic phosphorus $\text{gPO}_4^{3-} - \text{Pm}^{-4}$
											Soluble inorganic nitrogen $\text{gNH}_4^+ - \text{Nm}^{-3}$
											Soluble hydrogen gCODm^{-3}
											Soluble inorganic carbon molCm^{-3}
											Soluble acetate gCODm^{-3}
											Soluble substrates gCODm^{-3}

determined experimentally [14]. For inorganic nitrogen, $(S_{IN})_{PAM-1} \rightarrow (S_{nh} + S_{no})_{ASM}$. There are no changes for PAM-1 to ADM1 conversions of ammonium. To convert inorganic phosphorus, $(S_{IP})_{PAM-1}$ can be expressed as either $(S_{IP})_{ADM1}$ or $(S_{PO_4-P})_{ASM2d}$. Inorganic carbon in both PAM-1 and ADM1 are expressed in the same manner, and $(S_{IC})_{PAM-1}$ can be transformed into alkalinity in ASM as per the ADM/ASM model interface [117].

2.2.2 Experimental procedure

Parameters were identified through a series of batch tests. The inoculum was sourced from a 2 L photoanerobic membrane bioreactor (PAnMBR) with steady-state operation of over 300 d [2]. Domestic wastewater was sourced as feed for the PAnMBR and was collected from the Taringa and St. Lucia wastewater stations (Brisbane, Australia). The average strength of wastewater over the period was 572 gCODm⁻³ total COD and 241 gCODm⁻³ of soluble COD. There were 63 gNm⁻³ and 9 gPm⁻³ for ammonium and phosphorus respectively. In tests where wastewater was not used, synthetic Ormerod medium was used as per the batch tests carried out in previous studies [14].

All batch tests for metabolic growth were done in 100 mL volumes in 160 mL serum flasks. The tests were carried out in triplicate. Once the flasks were inoculated and dosed, they were flushed with N₂ to remove oxygen from the headspace. All experiments were done at 20°C in an orbital shaker at 150 rpm (Edwards Instrument Company). The flasks were irradiated with 150 W lamps and the UV and visible parts of the spectrum were filtered out using photo foil as described in previous batch experiments [14]. Blank samples with zero substrate were included in all metabolic experiments.

For hydrolysis and decay tests, the inoculum was collected in the same manner. Biomass were centrifuged in 50 mL Falcon tubes at 3750 rpm, with the pellet resuspended in 0.2 M NaCl. The centrifugation/resuspension process was done three times. The biomass was then placed in 500 mL of 0.2 M NaCl and was then redistributed into 2 250 mL Schott bottles. The bottles were flushed, placed on magnetic stirrers at 200 rpm for 30 days of operation. In order to analyse hydrolysis, aluminium foil covered one of the bottles so that no phototrophic growth would occur. Samples were taken twice-weekly for COD, VFA, NH₄-N, PO₄-P, total inorganic carbon (TIC), and pH. Headspace measurements were taken at the same interval for CH₄, H₂, CO₂. Total suspended solids (TSS) and volatile suspended solids (VSS), as well as total Kjeldahl nitrogen (TKN) and total phosphorus (TP) were sampled and analysed every 7 days. The decay test was done with the same irradiance as that used in the metabolic growth tests. Measurements were made for TSS, VSS, TKN and TP and were taken every 7 days to monitor biomass decay. The test was done with 100 gCODm⁻³ of acetate and 10 gNm⁻³ of ammonium.

Specific phototrophic activities were determined by both non-linear regression, and linear regression of biomass-normalised substrate concentration within a region of maximum consumption. All conditions

Table 2.2: Batch conditions for metabolic tests

Mechanism	Medium	Buffer system	COD:N:P**	C source	Electron donor	Electron acceptor	Positive control	Negative control
Photoheterotrophy	Ormerod	HEPES	100:10:2	Acetate (130), propionate, butyrate, ethanol (100)	Organic	CO ₂	1 g NaHCO ₃ added	–
Nitrogen limitation	Ormerod	HEPES	100:1.4:2	Acetate (130)	Organic	CO ₂	No limitation	–
Phosphorus limitation	Ormerod	HEPES	100:10:0.15	Acetate (130)	Organic	CO ₂	No limitation	–
Photoautotrophy	Ormerod	Phosphate	100:20:∞	NaHCO ₃ (140*)	Na ₂ S (300)	CO ₂	–	No Na ₂ S
Chemoheterotrophy	Ormerod	HEPES	100:10:2	Ethanol (60), Acetate (130)	Organic	Acetate	+ light	–
Inhibition of H ₂ production	Ormerod	Phosphate	100:15:∞	Acetate (600)	Organic	CO ₂	–	N limitation (1/10)
—"	DWW	–	100:12:4	DWW (278)	Organic	CO ₂	–	Acetate (600)

*Units are gC m⁻³

** ∞ means excessive concentration due to buffer

for batch tests are summarised in Table 2.2. The HEPES buffer system was dosed at 5.9 g L⁻¹ and the phosphate buffer contained 0.9 g K₂HPO₄ and 0.66 g KH₂PO₄. The carbon sources are presented in gCOD m⁻³ and the electron donor for photoautotrophy is presented in g m⁻³.

2.2.3 Analytical methods

Total and soluble COD (TCOD and SCOD respectively) were determined by COD cell tests (Merck, 1.14541.0001, Darmstadt, Germany). Dissolved NH₄-N and PO₄-P were measured by a QuikChem8000 Flow Injection Analyser (FIA) (Hach Company, Loveland, USA). Temperature and pH were measured using an Oakton pH 11 Series (Vernon Hill, Illinois, USA). Determination of TSS and VSS was done by filtration. The samples were filtered with a known volume of biomass suspension, dried in an oven at 105 ± 2 °C for 24 hours and weighed for TSS. For VSS, the same sample was then placed in a furnace at 550 ± 5 °C for 2 hours [118]. The incident irradiance (Wm⁻²) was measured with an infra-red sensor (PAS Port™, Roseville, California, USA). VFA samples were analyzed by gas chromatography (Agilent Technologies 7890A GC System, Santa Clara, California, USA) equipped with a flame ionization detector (GC/FID) and a polar capillary column (DB-FFAP). Gas samples

were analyzed by GC (2014 Shimadzu, Kyoto, Japan) with thermal coupled detector [119]. TKN and TP were determined using sulfuric acid, potassium sulfate and copper sulfate catalyst in a block digester (Lachat BD-46, Hach Company, Loveland, CO, USA) [120]. TIC was analyzed by using a total organic carbon (TOC) analyzer (Shimadzu TOC-L CSH TOC Analyzer with TNM-L TN unit) coupled to a near infrared detector (NIRD) for measuring the CO₂. All soluble constituents were determined after filtering with a 0.45 mm membrane filter (Millipore, Millex[®]-HP, Merck Group, Darmstadt, Germany).

2.2.4 Data analysis

Data handling

The concentration of phototrophic biomass was calculated from the method for determining VSS. The biomass was then converted to a COD quantity by the molecular formula CH_{1.8}O_{0.38}N_{0.18} [121]. This gave 1.78 kg COD for 1 kg biomass as VSS. Biomass yields were calculated from initial and final biomass concentrations based on the consumption of substrate during the batch tests. The yields (in concentration of VSS) were then converted to COD and expressed as unitless quantities (kgCOD_{PPB} kgCOD⁻¹).

Statistical analyses and uncertainty analysis

When samples were measured to be outside of the range of calibration for a given test, the measurements were repeated by dilution of the samples. Internal or external standards were used for all measurements. Calibration of all measurement equipment was done at least once per week. All parameters were estimated from triplicate samples by with the minimisation of the cost function (J) chosen as residual sum of squares Eq. (2.2).

$$\min_{\Theta} J(\Theta) = \sum_{i=1}^{n \in \mathbb{N}} (y_i - \hat{y}(\Theta)_i)^2 \quad (2.2)$$

where Θ is the set of parameters that must be found such that the squared distance between the experimental data points y_i and the hypothesised model \hat{y}_i is minimised. For multiple parameter optimisation, the value of J was set to a critical J value (J_{crit}) based on the F statistic determined by the number of parameters of interest, the number of data points and the significance threshold (here 95%). [122]. Uncertainty in parameters was determined from their standard error and a two-tailed Student t-test (5% threshold). All determined parameters are expressed in terms of 95% confidence intervals. The error bars in experimental data represent 95% confidence intervals in mean based on a two-tailed t-test. The uncertainty of the slope when determining specific phototrophic activities was done using the Microsoft Excel 2013 Regression tool and was rechecked using the Scipy Stats package [123]. Unless otherwise stated, all statistical analyses were done with a 5% significance threshold.

2.2.5 Simulations of PAM-1

Simulation of a phototrophic batch system

The model was tested to explore different scenarios in order to understand the limits of the process and to highlight the possible adaptations of the model by biomass shifts on metabolism. The model was implemented using SciPy [123] and NumPy [124] for numerical functionality, and Matplotlib [125] for visualisation of results. A series of three simulations were done on a PAnMBR system.

The first simulations were designed to assess the effects of limiting substrate concentrations on the uptake of other substrates. A range of inorganic nitrogen initial concentrations (0 gN m^{-3} to 60 gN m^{-3}) and SCOD ($S_S + S_{AC}$) ranging from 0 gCOD m^{-3} to 600 gCOD m^{-3} (equally divided) were simulated with all other substrates in excess. The same simulation was done for inorganic phosphorus where the range of initial concentrations was from 0 gP m^{-3} to 125 gP m^{-3} and the same initial concentrations for SCOD.

The second simulations were designed to examine the effect of dark/light cycles on PPB metabolism under low and high rates of chemoheterotrophic activity ($k_{M, ch} = 0.074$ and 0.7 d^{-1} respectively). The light/dark cycling were toggled for a duration of 12 hours at a time over a simulated period of two days.

The third simulation looked at the differences in specific photoautotrophic activities between the results in this study, and the values found in literature.

Simulation of a phototrophic continuous system

The kinetic expressions developed in this study were used in the development of a continuous PAnMBR model. The concentration of bioavailable SCOD in medium strength wastewater was insufficient for the system to achieve TN and TP discharge limits [2]. To achieve full removal, additional SCOD was required. The goals of the simulation were the following: a) to highlight the requirement of additional SCOD to achieve total nutrient removal, and b) to demonstrate that the inclusion of a primary clarifier can lead to an organic sludge enriched in PPB biomass. Dynamic influent data was simulated according to an influent generator model [126], and adapted to the typical concentrations of primary influent previously reported [14]. Based on the average influent characteristics and an hydraulic retention time (HRT) of 12 h, volumetric loading rate (VLR) of $400 \pm 12 \text{ gCOD m}^{-3} \text{ d}^{-1}$ and a solids retention time (SRT) of 3 d, a reactor volume of 70 m^3 was applied. An ideal primary clarifier was included, with a solids removal efficiency of $60\% \pm 3\%$ [127]. The generation of realistic influent was done in Simulation and subsequent data processing were done in Matlab Simulink (MATLAB R2015a, The MathWorks Inc., Natick, MA) and the simulations and data processing were done using SciPy, NumPy and Matplotlib in Python 3 [123–125]. The `odeint` subroutine was sufficient for solving the stiff system of differential equations.

Table 2.3: Continuous operating periods for the PAnMBR

Phase	Condition	Value	Time (d)
I	DWW	$273 \pm 1 \times 10^{-3} \text{ gCOD m}^{-3}$	[150;300)
II	Acetate addition	$383 \pm 2 \times 10^{-3} \text{ gCOD m}^{-3}$	[300;450)
III	DWW	$260 \pm 1 \times 10^{-3} \text{ gCOD m}^{-3}$	[450;609]

Table 2.4: Continuous operating periods for the PAnMBR

Variable	Value	Unit
V: reactor volume	70	m^{-3}
S_i : concentration of soluble species i	Dynamic data	383 gCOD m^{-3}
X_i : concentration of particulate species i	Dynamic data	260 gCOD m^{-3}
Q_f : feed flow rate	14.110 ± 0.44	$\text{m}^3 \text{ d}^{-1}$
S_{fi} : feed concentration of soluble species i	Dynamic data	$\{\text{gCOD, gN, gP, mol C}\} \text{ m}^{-3}$
X_{fi} : feed concentration of particulate species i	Dynamic data	gCOD m^{-3} :
Q_o : liquid flow rate out	Dynamic data	$\text{m}^3 \text{ d}^{-1}$
\mathfrak{R}_i : rate of reaction of species i	[-]	$\{\text{g COD, gN, gP, mol C}\} \text{ m}^{-3} \text{ d}^{-1}$

The case was simulated for 609 days with 3 stages of differing SCOD concentrations (Table 2.3). The dynamic influent after settling was applied directly during Stage I until day 300. During Stage II (days 300-450), acetate was added to the optimum COD:N:P ratio of 100:7.1:1.8 based on the limiting nutrient (N or P). During Stage III, acetate addition was ceased. This was to assess process response to a sudden change, and to demonstrate that the system requires wastewater with a specific COD/N/P ratio. State equations were implemented in a fixed volume, completely mixed membrane bioreactor. The results from the simulation were balanced over COD, N, P and C. The simulation has been packaged into a Jupyter notebook at **Gitlab Release for PAM notebook**. For the continuous simulations, the balance equations Eq. (2.3) were modified to include flows, and it was assumed that a membrane would retain all particulate matter such that the solids retention time (SRT) was fixed. The explanation of variables used are summarised in Table 2.4.

$$\begin{aligned}
 V \frac{dS_i}{dt} &= Q_f(S_{fi} - S_i) + V\mathfrak{R}_i \\
 V \frac{dX_i}{dt} &= Q_f(X_{fi} - X_i) + Q_o X_i + V\mathfrak{R}_i
 \end{aligned} \tag{2.3}$$

2.3 Results and discussion

Inoculum for all experiments came from a lab-scale photo-anaerobic membrane bioreactor. Most organisms are related with *α-proteobacteria*, PPB accounting for greater than 70% of gene copies detected by pyrosequencing. *Rhodobacter sphaeroides* accounts for more than 60% of the microbiota [2]. Other photosynthetic organisms accounted for less than 1% of the total gene copies, meaning that photosynthetic or phototrophic biomass was mostly PPB.

2.3.1 Growth processes

Photoheterotrophic growth was assessed with volatile fatty acids (VFA) and ethanol. All substrates were completely consumed during the experiment, and overall yields were similar in all cases (average biomass yield of $1.13 \pm 0.21 \text{ gCOD}_{\text{biomass}} \text{ gCOD}^{-1}$). Excluding acetate, the uptake rates of organic substrates were similar, with a $k_{M,\text{ph}}$ of $1.4 \pm 0.2 \text{ d}^{-1}$. The Monod half saturation constant $K_{S,S}$ was undetectable throughout the experiments. The specific phototrophic uptake rate for acetate was almost twice that of the other VFAs ($k_{M,\text{ac}} 2.4 \pm 0.4 \text{ d}^{-1}$). The half saturation coefficient was still low but detectable ($K_{S,\text{AC}} = 20 \pm 4 \text{ gCOD m}^{-3}$). This leads to an initially fast uptake of acetate, with consumption of substrate leading to a lower rate towards the end of the batch tests.

Chemoheterotrophic growth tests were carried out using acetate and ethanol as substrates, with the radiative source blocked by aluminium foil. PPB biomass was much less effective in dark conditions compared to light conditions where $Y_{\text{PB,ph}}$ was $1.0 \text{ gCOD}_{\text{biomass}} \text{ gCOD}^{-1}$ and $Y_{\text{PB,ch}}$ was $0.5 \text{ gCOD}_{\text{biomass}} \text{ gCOD}^{-1}$. Biomass yield in dark conditions was greater than double that reported values for dark fermentation and anaerobic oxidation processes [114]. It is possible that energy storage may play an important role here due to energy storage in batch operation [128]. Running a photobioreactor in continuous mode may produce different results depending on the radiation frequency. For example, in a system where either photobioreactor design or hydrodynamics leads to long frequency constants of light-dark irradiation, or where natural solar radiation is used, the response is likely to be similar to the batch response seen here. However in cases where incident irradiance or hydrodynamic transport is insufficient, longer dark periods may lead to the depletion of stored energy. This hypothesis requires further investigation as there is currently no supporting literature. This could mean that the model requires extension to include the accumulation of poly-P or PHA or the consideration of methanogenic processes which dominate if phototrophic bacteria cease to remove substrates.

Analysis of photoautotrophy was done with NaHCO_3 as an inorganic carbon source and Na_2S as an electron donor (Table 2.2) supplied in excess. The biomass had a yield of $36 \text{ gCOD}_{\text{biomass}} \text{ molC}^{-1}$ which is comparable to the yield on acetate ($31.6 \text{ gCOD}_{\text{biomass}} \text{ molC}^{-1}$). However, the maximum uptake rate $k_{M,\text{ic}}$ was far lower at $3.4 \times 10^{-3} \pm 5 \times 10^{-4} \text{ molC gCOD}^{-1} \text{ d}^{-1}$ (compared to $7.5 \times 10^{-2} \pm 2 \times 10^{-3} \text{ molC gCOD}^{-1} \text{ d}^{-1}$ on acetate). Photoautotrophy needs to be considered for when there is

an excess of bicarbonate and electrons from inorganic sources in the wastewater. It is also important to consider photoautotrophy in order to close mass balances. This case is particularly relevant in light deficiency, where fermentation and anaerobic oxidation processes may become important and hence H_2 is available as a major electron source for PPB.

Nutrient limitation experiments for N and P were used to determine respective half saturation coefficients. $K_{S,IN}$ and $K_{S,IP}$ values were extremely low such that N and P regulation became a switch function. Biomass assimilated nutrients at a COD:N:P ratio of 100:7.1:1.8, which is higher than conventional aerobic bacteria and much higher than other anaerobes [127]. These values are in line with previous works [14]. However, PPB were able to grow at a lower rate once the nutrients were completely consumed (42% lower than in full nutrient conditions), likely due to fixation of headspace N_2 [13] which would have been inhibited while ammonium was in the system. Nitrogen fixation is completely inhibited at any concentration of ammonium less than 20 gN m^{-3} , and nitrogenase activation requires a lag phase of low ammonium concentration in order to be reactivated, likely due to activation of the transcription of nitrogenase genes during cell maintenance conditions [129]. Additionally, PPB can accumulate polymers such as poly-P [128] as well as PHA [107] which can be used during cell maintenance. Since the model developed here is sustained on biomass growth in presence of ammonium, nutrient limitation for growth must be included.

2.3.2 Endogenous processes

Hydrolysis and decay are considered as transversal first order biochemical processes in most models [9, 11, 130]. These could be considered separately, since phototrophic growth can be restricted in the absence of irradiance, and decay can be determined directly by measuring phototrophic activity following periods of irradiation without substrate. Biomass activity reduced according to first order kinetics, with a decay coefficient of $9 \times 10^{-2} \pm 4 \times 10^{-2} \text{ d}^{-1}$. Hydrolysis was determined in dark conditions with sufficient substrate such that phototrophic biomass could not re-assimilate hydrolysis products. The products of hydrolysis (all soluble substrates included in the model) were measured and correlated with the first order kinetics of the hydrolytic process. The hydrolysis coefficient was $7 \times 10^{-2} \pm 4 \times 10^{-3} \text{ d}^{-1}$. Hydrolysis is substrate specific, and depends highly on operating conditions [131], but the determined value was comparable with those for anaerobic conditions, but much lower than for anaerobic processes [130].

Section on the comparison of parameters

2.3.3 Batch simulations

Limiting N, P and SCOD

In this scenario, the main metabolic pathway analysed was photoheterotrophy. Since one of the major the major of PPB biotechnology is complete assimilation of COD, N and P, it is necessary to explore

Table 2.5: Summary of estimated parameters and comparison to literature values

Parameter	Units	Value	Literature value	Source
$k_{M,ac}$	d^{-1}	$2.4 \pm 4 \times 10^{-1}$	$1.5 \pm 5 \times 10^{-1}$	[111, 132]
$k_{M,ph}$	d^{-1}	$1.4 \pm 2 \times 10^{-1}$	11 ± 13	[111, 132–135]
$k_{M,ch}$	d^{-1}	$7.4 \times 10^{-2} \pm 4 \times 10^{-3}$	5 ± 4	[136, 137]
$k_{M,ic}$	$molC\ gCOD^{-1}\ d^{-1}$	$3.4 \times 10^{-3} \pm 5 \times 10^{-4}$	$2.5 \times 10^{-2} \pm 1.8 \times 10^{-2}$	[138, 139]
k_{hyd}	d^{-1}	$7.1 \times 10^{-2} \pm 5 \times 10^{-3}$	$2.7 \times 10^{-1} \pm 6 \times 10^{-2}$	[140, 141]
k_{dec}	d^{-1}	$9.0 \times 10^{-2} \pm 4 \times 10^{-2}$	$2 \times 10^{-1} \pm 0.02$	[140, 141]
$K_{S,S}$	$gCOD\ m^{-3}$	$5 \times 10^{-1} \pm 2 \times 10^{-1}$	$4 \times 10^3 \pm 6 \times 10^3$	[133, 135]
$K_{S,AC}$	$gCOD\ m^{-3}$	$2.0 \times 10^1 \pm 2.0 \times 10^1$	[-]	[-]
$K_{S,IC}$	$gCOD\ m^{-3}$	$4.2 \times 10^{-1} \pm 4.2 \times 10^{-1}$	[-]	[-]
$K_{S,IN}$	$gN\ m^{-3}$	$2 \times 10^{-2} \pm 1 \times 10^{-1}$	[-]	[-]
$K_{S,IP}$	$gP\ m^{-3}$	$8.1 \times 10^{-2} \pm 1 \times 10^{-2}$	[-]	[-]
$K_{S,E}$	$W\ m^{-2}$	[-]	8.76	[142]
$Y_{PB,ph}$	[-]	1.0	$7.8 \times 10^{-1} \pm 3.7 \times 10^{-1}$	[16, 132–135, 137]
$Y_{PH,ch}$	[-]	5×10^{-1}	$2.3 \times 10^{-1} \pm 1.2 \times 10^{-1}$	[136, 137]
$Y_{PB,au}$	$gCOD\ molC^{-1}$	36	130 ± 80	[139]

the limits of the process for optimum COD:N:P ratios. These simulations were done for a domestic wastewater context.

Fig. 2.1 shows the results of the simulations. The x-axis represents the concentrations of SCOD for each simulation. The y-axis in a) shows the range of concentrations of s_{IN} and the y-axis in b) shows the varying concentrations of S_{IP} . For both simulations, the optimal operating line for initial concentrations is shown (COD:N = 100:8.6 and 100:1.5 for figures a) and b) respectively). There are three possible regions outside the optimum according to the results shown in Fig. 2.1: (i) now nutrient concentration where there is a net accumulation of SCOD in the system, (ii) high nutrient concentration where all SCOD is consumed but the effluent still contains nutrients, and (iii) insufficient COD for the maintenance of biomass growth despite the nutrients concentration and *vice-versa*. Region (iii) is the only one which can not be sustained in a long-term growth process due to biomass decay. Region (i) (below the solid line), is not possible in a domestic wastewater context, where nutrients are always in excess. The most typical case is region (ii) (above the solid line), where the addition of external SCOD is necessary for the system to assimilate nutrients. This study could serve as a guide for estimating requirements in real industrial cases.

Light-dark cycling

The parameters calculated in this work are a condition of the origin of the biomass. The PAnMBR was operated with irradiance in excess and no light-dark cycling (outside of the hydrodynamics). Although

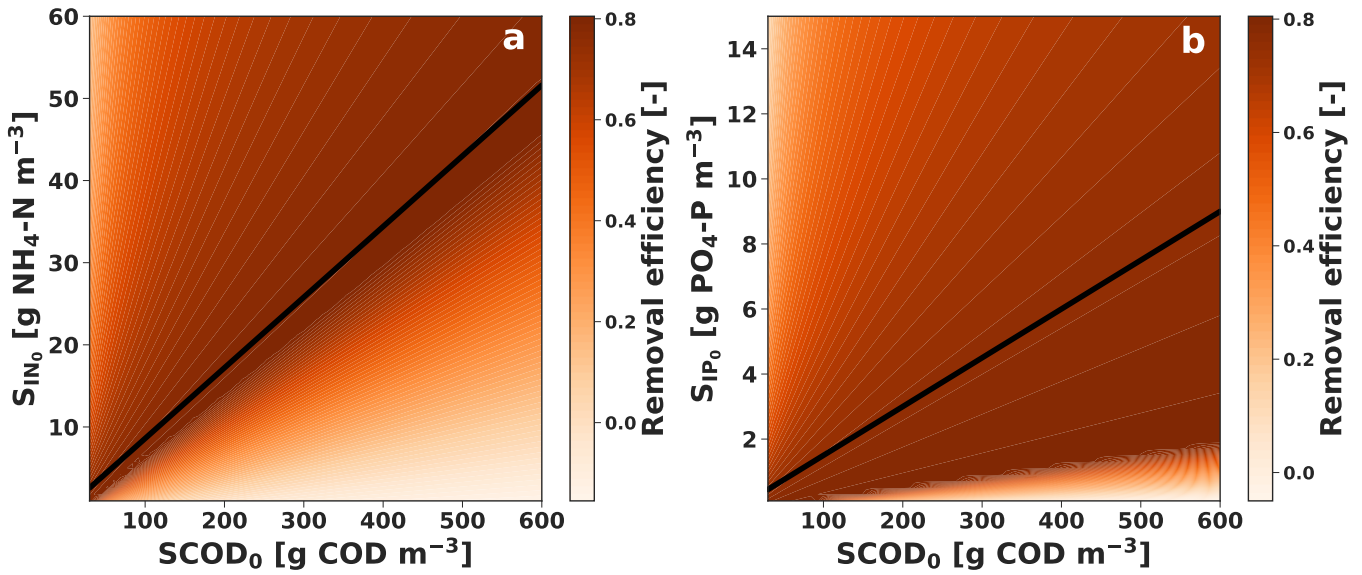


Figure 2.1: Normalised removal efficiencies of SCOD and NH₄-N (a) and PO₄-P (b). All initial conditions were maintained constant and the initial conditions of SCOD and the respective nutrients were varied between low (close to zero) and high values (600 gCOD m⁻³ for SCOD, 60 gNH₄-N m⁻³ for S_{IN} and 15 gPO₄-P m⁻³ for S_{IP}).

this is operation is normal for indoor photobioreactors, other configurations using solar radiation as a direct light source need consideration of the effects of light-dark cycling on biomass growth. During the night, phototrophic biomass in outdoor photobioreactors need to make use of an energy source other than radiation. Therefore it is clear that the metabolism would shift to chemoheterotrophic growth. Several authors have found that PPB are able to follow chemoheterotrophic metabolism achieving substrate uptake rates at least one order of magnitude higher than those calculated in this work (see Table 2.5). It is therefore necessary to explore how the system behaves under a promoted chemoheterotrophy during light/dark cycles.

A set of two simulations were performed by including light/dark cycles (12 hours light, 12 hours dark for a total of 2 days) for a typical batch scenario where all the conditions were fixed but the chemoheterotrophic uptake rate was modified using two different values ($k_{M, ch} = 0.074$ and 0.7 d^{-1}). The results of the simulations can be seen in Fig. 2.2. When the biomass has a high chemoheterotrophic activity, soluble substrate is consumed giving rise to acetate accumulation that is eventually consumed during the irradiated phase of the cycle. A net production of hydrogen happens due to fermentation or anaerobic oxidation processes which can be used for promoting autotrophic growth. There can also be connotations on the possible biomass shift to autotrophic metabolism. Since the biomass yield is lower during chemoheterotrophic metabolism, there is a lower net increase of biomass.

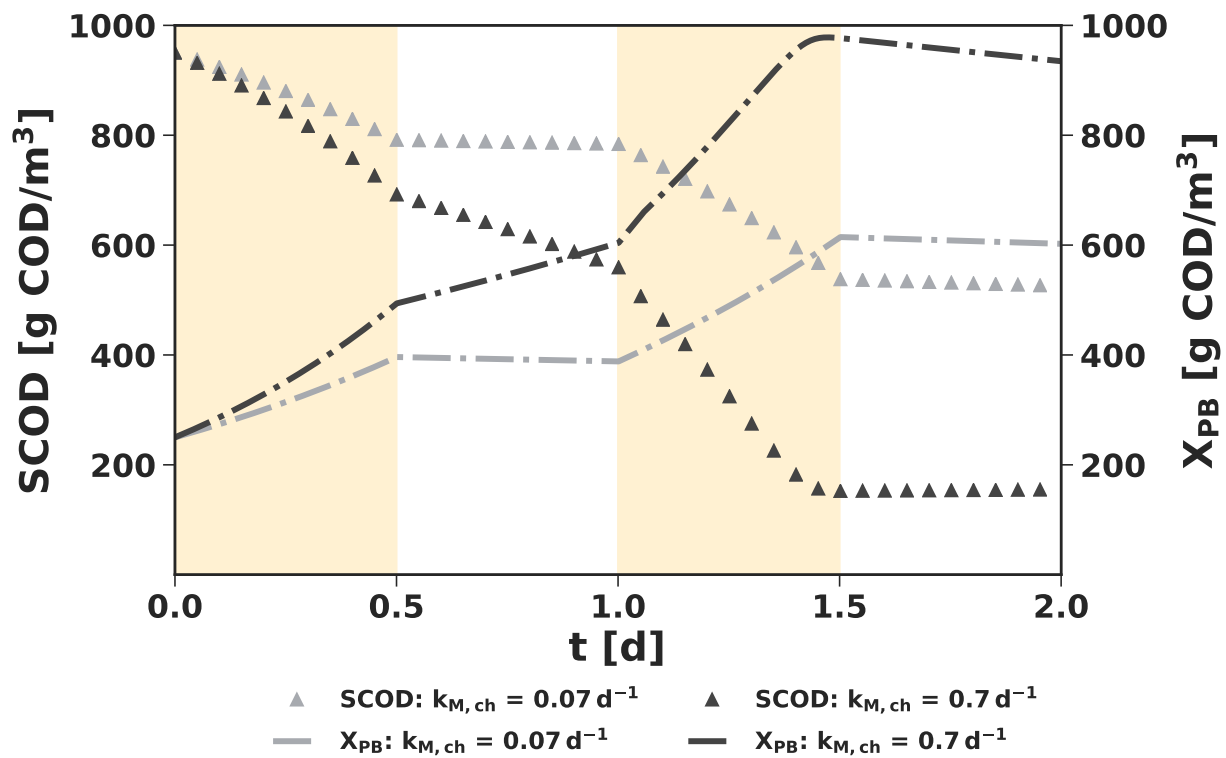


Figure 2.2: Effect of dark/light cycling on PPB metabolism under low (light colour) and high (dark colour) chemoheterotrophic activity. There is an order of magnitude difference between the activities. A full light/dark cycle is a 24 hour period to mimic perceived solar irradiance in an outdoor photobioreactor.

Sensitivity of specific photoautotrophic uptake activity

In this scenario the promotion of autotrophy is discussed and the autotrophic metabolism is analysed. The DWW used for the batch experiments and continuous PAnMBR operation came from collection wells in Brisbane, Australia. Water in this location can be considered as moderately hard (hardness = $148 \pm 7 \text{ mg CaCO}_3 \text{ L}^{-1}$, $n=19$, which corresponds to 53 mgC L^{-1}). Therefore, there is a clear potential to enrich PPB in autotrophic conditions. However, this is only possible if there is an electron donor such as Fe^{2+} , S^{2-} , H_2 or reduced organics [132]. The promotion of reductive processes such as fermentation or anaerobic oxidation (including sulfate reduction) could give rise to the reduced components for CO_2 fixation to be feasible. This analysis is focused on the results after the appearance of reduced conditions, and the discussion on how these conditions are possible is out of the study's scope.

The initial conditions of this simulation have been fixed and only the concentration of H_2 has been increased to 175 gCOD m^{-3} to cope with the stoichiometric requirements of autotrophic carbon dioxide fixation. The simulations were performed using the autotrophic uptake rate calculated here ($k_{M, ic} = 3.4 \times 10^{-6} \text{ molC gCOD}^{-1} \text{ d}^{-1}$) and a Gaussian-random set of 1000 simulations using the average literature value and 95% confidence intervals for $k_{M, ic}$ (shown in Table 2.5). The simulation conditions

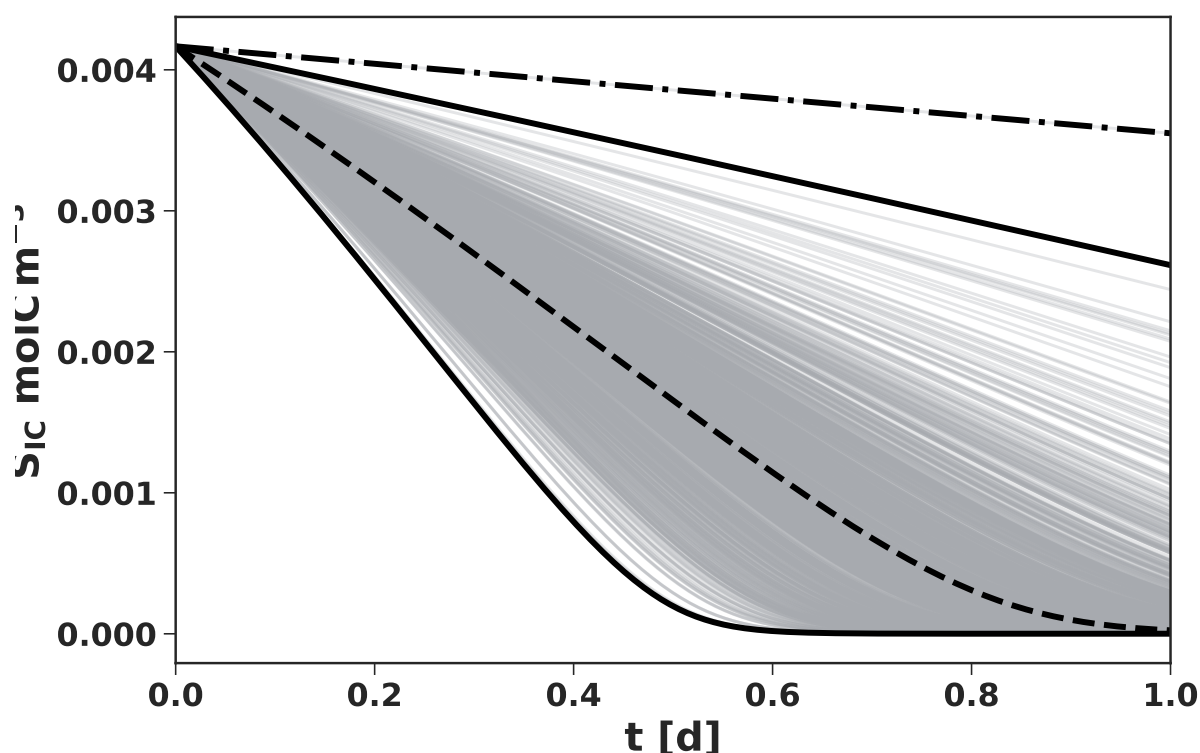


Figure 2.3: Metabolic behaviour promoted by photoautotrophy. The dashed line represents the mean literature value found for photoautotrophic uptake ($2.5 \times 10^{-2} \text{ molC gCOD}^{-1} \text{d}^{-1}$). The two solid lines represent the minimum and maximum values used for the simulations from the Gaussian-random set of parameter values ($8.1 \times 10^{-3} \text{ molC gCOD}^{-1} \text{d}^{-1}$ and $4.2 \times 10^{-1} \text{ molC gCOD}^{-1} \text{d}^{-1}$ respectively). The determined value from the batch experiments was $3.4 \times 10^{-3} \text{ molC gCOD}^{-1} \text{d}^{-1}$ (dot-dashed line).

and code is included in **Abstract 2**. The results are depicted in Fig. 2.3. As can be seen, the autotrophic process using calculated values seems to be residual compared to photoheterotrophic metabolism, however, photoautotrophy can be a considerable component of PPB growth. By using the mean value of $k_{M,ic}$ from Table 2.5, the biomass is able to fix 1 g L^{-1} of total inorganic carbon at the same time as they assimilate 1.76 gC L^{-1} through photoheterotrophic metabolism. Therefore, carbon dioxide fixation could become a major process, which could potentially account for around 36% of N and P removal.

2.3.4 Continuous simulations

Fate of C, N and P

The model indicates different SCOD removal efficiencies for particular periods of operation (Fig. 2.4 and 2.5). In general, adaptation to seasonal periods of variable wastewater composition is rapid, as can be shown in input values from Figs. 5 and 6. For periods (I) and (III), which correspond to no additional acetate in the system (average inlet SCOD of $293.1 \pm 0.8 \text{ gCOD L}^{-3}$), the mean SCOD removal efficiency is 81% (Fig. 5a) The remaining SCOD in the system can be mainly attributed to the presence of non-biodegradable SCOD, accounting for 71% of the effluent SCOD. During period

(II), acetate was added to align with the COD:N:P ratio required for full nutrient and organic uptake. Average SCOD removal efficiency slightly increased to 85% due to the optimised feed conditions. As in period (I), the major part of remaining SCOD was soluble inerts (S_I). The model, however, is unable to reproduce the PPB behaviour under a high excess of inlet SCOD concentrations since it is based on assimilative mechanisms only and accumulation processes are not included. PPB biomass is able to accumulate compounds such as glycogen or polyhydroxyalkanoates [107], so the SCOD removal efficiencies are expected to be higher and less dependent on nutrients in real cases [2, 94]. An upgraded model including accumulative mechanisms is therefore needed for feed streams with high COD:N ratios. This model is nonetheless suitable for domestic wastewater treatment operation where N and P are usually in excess.

Nutrient assimilation was directly linked with biomass growth. The optimum assimilative COD/N/P relationship has been calculated to be 100/7.1/1.8 from batch experiments. Therefore, periods with non-optimal ratios are expected to have higher effluent nutrient concentrations. Under normal situation (periods (I) and (III)), with no additional acetate, nutrients were not completely removed and ammonium and phosphate efficiencies were 45% and 56%, respectively (Fig. 5b and c, respectively), averaging effluent concentrations of 23 gN m^{-3} and 2.5 gP m^{-3} , respectively. This justifies the need for extra SCOD addition, as has been previously described experimentally [2]. Phosphorus was almost completely removed during C and N sufficiency during period (II), with removal efficiencies of 89% (effluent concentrations of 0.5 gP m^{-3}). However, depletion of P prevented a high N removal due to nutrient imbalance, and so N removal efficiencies during these periods averaged 70%, averaging effluent concentrations of 11 gN m^{-3} . Again, accumulative mechanisms may have a key role here, as PPB are able to accumulate poly-P (Liang et al., 2010). This mechanism is quite complex and has not been properly defined, particularly in mixed cultures and on wastewater sources.

Production of biomass was related to PPB growth as well as input solids. Biomass fractionation (X_{PB} , X_S and X_I) along the simulation period is depicted in Fig. 2.5. When acetate was not added, PPB biomass was produced at 26.9% of the total biomass in the outlet (sludge line). Adding acetate increased this value up to 34.9% of total biomass. Accumulation of X_S within the reactor is a direct consequence of low hydrolysis coefficient in combination with short SRT. Additional substrate increased biomass concentration due to assimilation of the remaining N and P. This also boosted the SRT, and decay was more prominent, increasing X_S concentrations up to values above 1000 gCOD m^{-3} (see stage (II) in Fig. 6). However the fraction of inerts, was always below 32% of the total particulates concentration, probably due to the slow hydrolysis rate. These results have an important effect on energy distribution in the PRR platform since all energy balances are directly related with the biomass management through anaerobic digestion, and the relative amount of PPB will influence potential anaerobic degradability and biomass consistency. An important aspect identified by this continuous analysis is that the biomass fraction X_{PB} is always relatively small, even when applying a settler (compared with activated sludge streams predicted by the ASM1). This is because the hydrolysis

coefficient is very low ($<0.1 \text{ d}^{-1}$) compared with the levels of $>2 \text{ d}^{-1}$ typically applied in the ASM1, ASM2 and ASM2d [113] (Henze et al., 2000). This means that while growth rates are comparable to activated sludge, hydrolysis rates are far lower, and hence metabolic activity is dominated by available soluble substrate (and possibly N and P) rather than electron acceptor availability. In any case, there will always be a large proportion of non-degraded particulates, due to the slow hydrolysis coefficient, and in a stable, solids dominated system, PPB sludge should be more analogous to primary sludge rather than activated sludge, with both negative and positive consequences.

Simulation of biomass behavior has implications on biomass production upon main line biological treatment. There is a net increment of biomass production yield compared to typical activated sludge processes. This could have an impact in energy recovery (through biogas) but also in sludge waste disposal expenses, which can be partially counteracted by downstream production of high value-added bioresources as proteins, prebiotics and probiotics [143] or bioplastics (Padovani et al., 2016), as well as energetic resources as third generation liquid biofuels [50](Castro et al., 2016).

2.4 Conclusions

This study presents the first model for medium strength wastewater treatment by PPB. Anaerobic phototrophic growth in domestic wastewater treatment is fast when comparing specific uptake rates and apparent half saturation coefficients (K_S) in activated sludge processes. However, hydrolysis is relatively slow, in the order of 0.1 d^{-1} , which means that particulate substrates will not be degraded at short hydraulic retention times. The predominant mechanism is photoheterotrophic metabolism, with both autotrophic and chemoheterotrophic growth generally slow in a wastewater treatment context. Batch simulations using different feed characteristics showed that the predominant mode of growth can shift, as is the case of high H_2 concentrations and their effect on photoautotrophic uptake. The decay rate is high in comparison to activated sludge under aerobic conditions. The dynamics under continuous operation conditions indicate that the biological processes are adaptable to normal flow variations such that the performance at a given mode of growth is stable.

The following limitations of the model have been identified:

1. The model is only valid for anaerobic conditions, and hydrogen production for redox balancing is assumed to be inhibited. This model therefore cannot be implemented for hydrogen production systems in its current state.
2. Poly-P and the accumulation of other polymers is not included due to the lack of supporting literature. Nitrogen fixation has also not been included as it is assumed to be limited by ammonium.
3. The model presents and includes biological mechanisms only. As a radiative field varies in spatial dimensions, the model should be extended to include scope to simulate spatial variations.

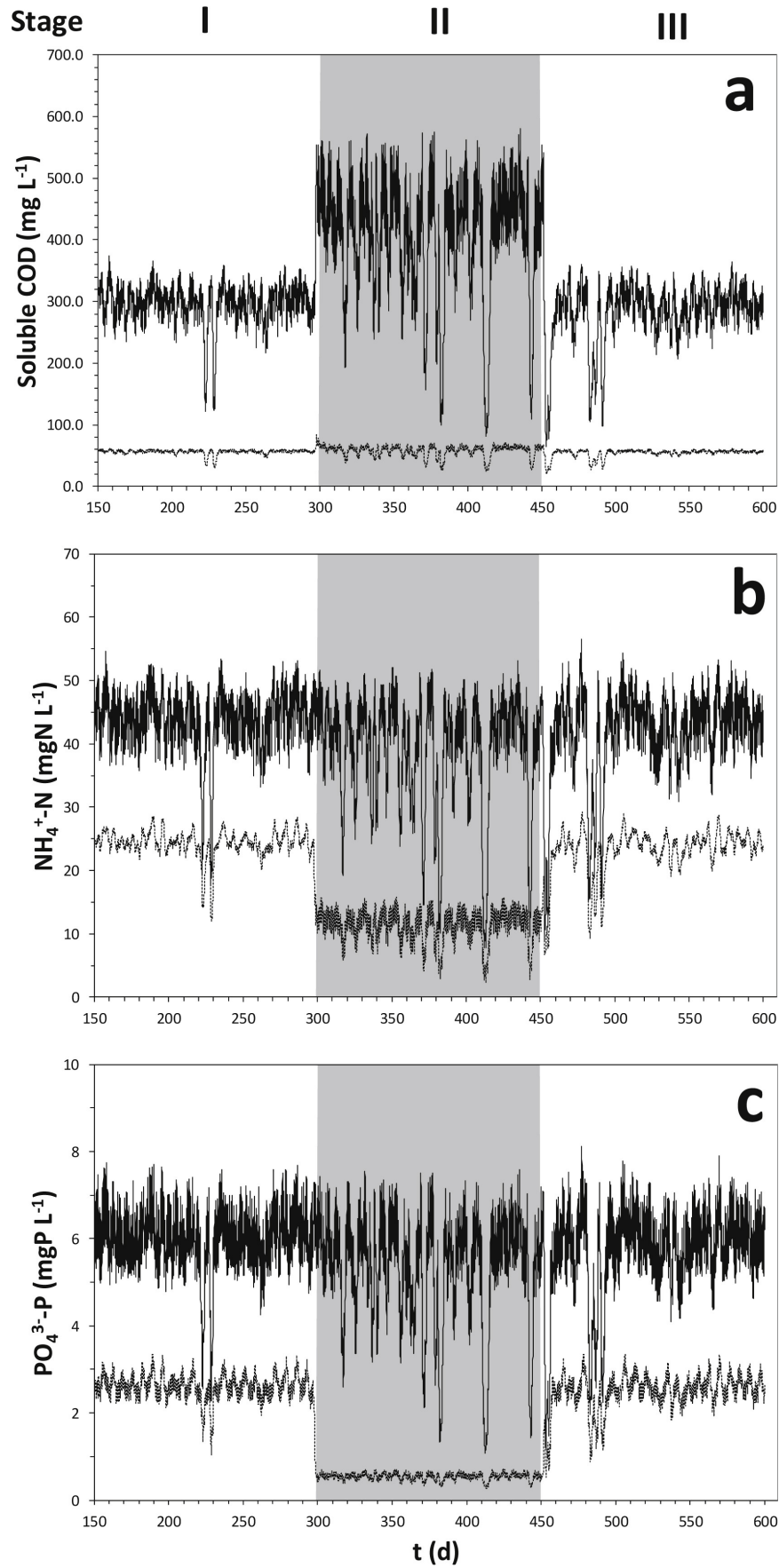


Figure 2.4: Influent (continuous line) and effluent concentrations (dashed line) over time for PAnMBR simulations for SCOD (a), ammonium (b) and phosphate (c) after primary settling. The different operational periods are separated by vertical shading.

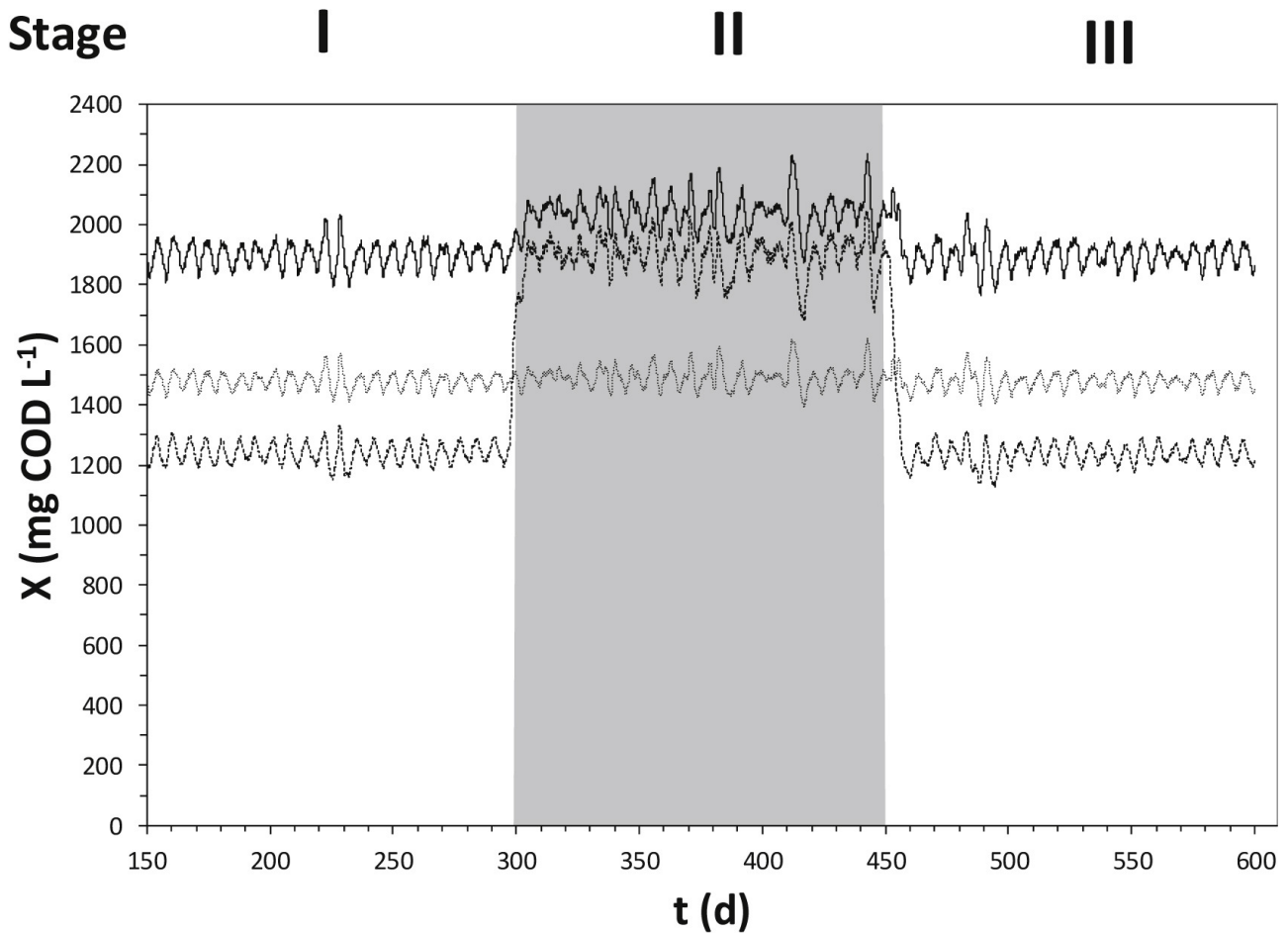


Figure 2.5: Biomass fractionation including active phototrophic bacteria (dashed line), biodegradable particulate biomass (continuous line) and inert particulates (dotted lines) over time for the PAnMBR continuous simulation. Different operational periods are separated by differently shaded regions.

For example, computational fluid dynamics could be used to capture the flow field and radiation characteristics, and their coupling to biomass growth.

A key priority for future research should be inclusion of poly-P and PHA accumulation as well as N₂ fixation and side H₂ production, as these processes (poly-P without carbon, PHA without oxidation or organics, and N₂/H₂ production) are unique to photoanaerobic organisms. The topic of infrared light delivery has not been addressed in detail in this model, and is generally assumed to be in excess (i.e., not limiting catabolic rate, such that there is no mixed photo-dark fermentation (mixotrophic growth)). This could be incorporated by limiting light to enable mixotrophic growth through the existing switch function that considers also spatial separation to dark zones, but as stated above, further work is required to consider accumulation and depletion of storage compounds. This requires a very different approach to (for example, Algae) (reviewed in Béchet et al., 2013) where a more complex model is commonly applied: (considering separately excited, resting, inhibited differential states). We have kept PPB biomass as a single state, with different processes acting on it, which are in turn linked to the presence or absence of irradiance, which would enable more simple extension to energy storage and depletion. This would enable more precise determination of the switch between stored dark heterotrophic growth and methanogenesis.

Contributor	Statement of contribution	%
Edward M. Barry	writing of text	60
	proof-reading	10
	theoretical derivations	60
	numerical calculations	100
	preparation of figures	80
	initial concept	40
Christopher T. DeGroot	writing of text	20
	proof-reading	20
	supervision, guidance	40
	theoretical derivations	30
	preparation of figures	0
	initial concept	0
Tim Hülsen	writing of text	10
	proof-reading	30
	supervision, guidance	20
	theoretical derivations	5
	preparation of figures	10
	initial concept	30
Damien J. Batstone	writing of text	10
	proof-reading	40
	supervision, guidance	40
	theoretical derivations	5
	preparation of figures	0
	initial concept	30

Chapter 3

Computational fluid dynamics analysis of single and two-phase photobioreactors

Abstract

Modelling phototrophic systems is important for design, prediction and optimisation purposes. These systems differ from conventional process systems because the radiative field, necessary for phototrophic growth, is not uniform within the photobioreactor. As such, the common assumption of a well-mixed system cannot be applied. In this work, a computational fluid dynamics including biokinetics (bio-CFD) approach to photobioreactor modelling was developed for two reactor configurations (flat plate and cylindrical). The CFD models were compared to three other common modelling techniques: (a) completely mixed with a uniform radiative field set to the incident irradiance, (b) completely mixed with a reduced uniform radiative field determined at half the radius or equivalent, and (c) a mixed tank where the radiative field is dynamic and determined from particle irradiation incidence in a CFD analysis. The two mixed-tank approaches (model a and b) over-predicted growth rates compared to the full bio-CFD solution for both reactor configurations. The particle-radiation dynamics (model c) were in agreement with the full CFD solution for the cylindrical configuration, however deviated for the flat plate configuration. The reactor configuration influenced biomass growth rate for all models, despite the operating volume being equal. This highlights the importance of reactor geometry and incorporating spatial variations of the radiative field when modelling photobioreactors.

3.1 Introduction

There has been an increase in interest in photobioreactor (PBR) systems in recent years as they have proven to be effective in waste treatment and resource recovery [2, 94] and renewable energy generation [5]. However, PBRs have not achieved major penetration in this sector, mainly due to high capital and operating costs, with effective light delivery being a major issue in both artificial and natural light systems. To offset these costs, research has shifted to the creation of valuable products

instead of solely recovering nutrients. For example, complex organics, including pigments in algae [?] and purple phototrophic bacteria (PPB) have value, and they can be used to produce fertilisers and animal feeds as microbial protein [143, 144]. Due to promise in multiple product lines, as well as the ability to treat wastewater purely through assimilation, PBRs will have an increased potential role in future resource recovery facilities.

One of the critical barriers to practical implementation of PBRs is that of size scaling [145], with light delivery at larger scale being a particular issue. This is due to the complex interactions between different physical processes occurring in PBRs. These include fluid hydrodynamics, radiation delivery, and biomass growth. A method to address this is by scaling prototype reactors. This approach is important, however effective modelling can accelerate development and lead to better reactor performance [146].

Several approaches to PBR modelling have been explored, with the majority of cases considering biokinetics as the controlling mechanism for process design and optimisation, generally implemented in a lumped parameter (*i.e.* completely stirred tank or plug flow) model [58, 104]. Distributed parameter modelling (*i.e.* the consideration of both spatial and temporal variations) have been considered in limited cases [24]. This means that the coupling between fluid flow, biokinetics and radiative transfer can be explored in more detail, and operational non-uniformities can be detected for given reactor designs without the need for physical prototyping [24]. Distributed parameter models have been successfully used to screen for problems in the scale-up of chemical process reactors [147]. Modelling is particularly useful when combined with computational fluid dynamics (CFD), generally implemented using a finite volume method (FVM) [23]. The FVM is generally favoured due to its ability to implement non-uniform grid discretisation and because states are inherently conserved. As such, the use of the FVM for PBR modelling has seen a substantial increase over recent years [24]. Publications related to this explore the coupling of the physics to varying degrees. Most of the available works are exercises in fluid flow description, only qualitatively (not explicitly) assessing the effect of the flow field on the biomass activity [?, 148, 149]. More rigorous studies exist, where the links between fluid flow, radiation, and biokinetics are explicitly simulated in the CFD framework. For example, previous work has looked at a multiphase hydrodynamic model integrating radiative transfer and algal biokinetics into both Eulerian and Lagrangian flow field specifications [?, 77]. The model could effectively represent experimental results, and demonstrated the useful nature of a multiphysics approach to PBR modelling. All studies considered to date have dealt with microalgal PBRs, and none have considered PPB (*i.e.* IR-driven systems) when developing PBR models.

Due to the spatially varying nature of the radiative field, it is likely that lumped parameter modelling approaches do not effectively describe the behaviour of PBRs. In cases where a complete-mixed assumption is made, and the modelling results are validated against pilot or large scale data. Growth and uptake parameters are aggregate and system-specific, rather than generalisable properties (*i.e.*, uptake or growth rate may depend on assumed intensity). Specifically, the exponentially decaying nature of

the radiative field along a length dimension into the domain means that the acquired parameters are highly dependent on geometry, and may not be inherently related to biokinetics.

Despite potential improvements in PBR understanding due to CFD modelling, a disadvantage of this approach is the computational time and resources required. There is also limited description of generalisable approaches and code in the literature. This study attempts to address these limitations by developing a generalised reactive CFD approach to photobioreactor systems, and applying it in single and multiphase configurations. The CFD model describes the fluid hydrodynamics, radiative transfer and process biokinetics. As a lumped parameter model is commonly applied to PBR assessment, this is particularly assessed, to identify whether variations on lumped parameter modelling approaches can effectively represent the coupled multiphysics description provided by CFD simulations.

3.2 Methods

3.2.1 Geometry and mesh generation

Two test cases were assessed, both being infrared photobioreactors (PPB systems). The first case (Figure 3.1(i)) was a cylindrical 2 L stirred reactor (CR), which is a scaled-up representation of commonly applied mixed irradiated systems (*e.g.* static or agitated serum flasks [14]). The second case (Fig. 3.1(ii)), also a 2 L reactor, was a flat plate multiphase PBR (FPR) previously described [2,94]. The CR was mechanically agitated with a vertical impeller, while the FPR was mixed through headspace gas (mainly nitrogen) with a sparging system in the base.

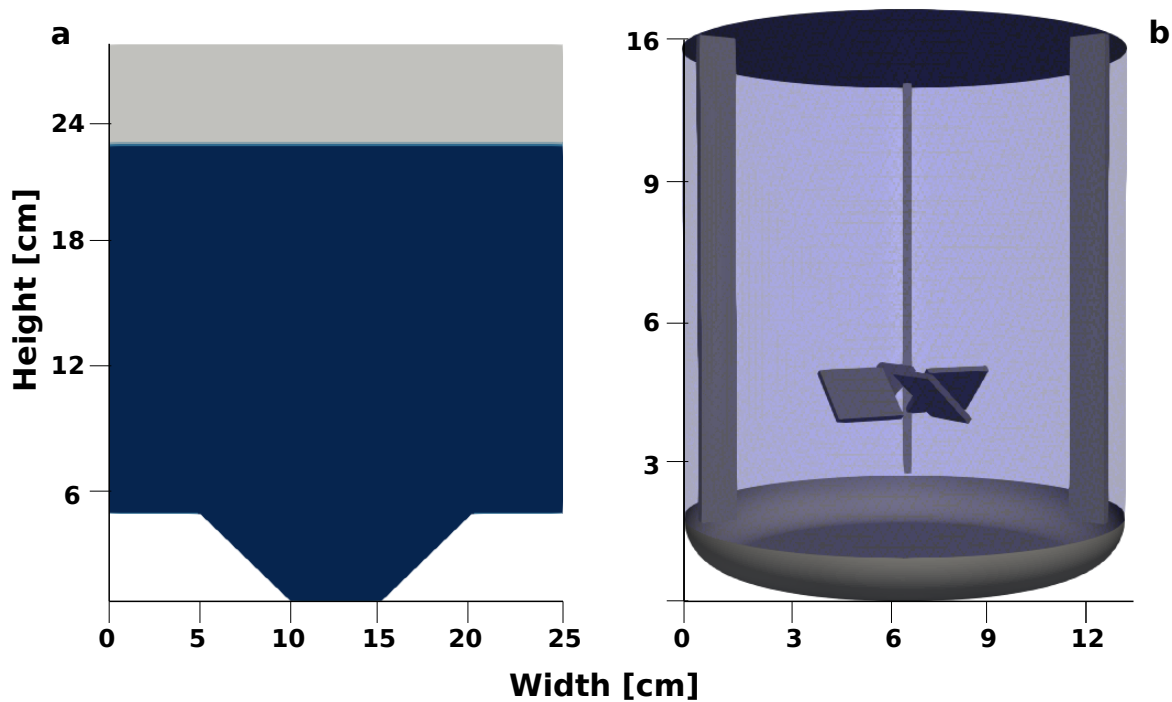


Figure 3.1: Representation of the geometries of the FPR (a) and the CR (b) upon which the simulations were based. The depth of the FPR is 6.0 cm. The blue region of the FPR represents the initial liquid volume fraction in the reactor, with the grey region representing the headspace. A single liquid phase was simulated in the case of the CR.

Both geometries were developed in the CAD package Salomé Méca [?]. The surfaces were meshed as 2-dimensional triangular meshes. A fine triangular surface mesh (~ 1 million discrete surfaces) was applied such that the geometries were watertight (*i.e.* there were no gaps in the tessellated surfaces) when used in the meshing process. The resulting meshes were exported as stereolithographic files as a basis for the volume meshes. Meshing was done using the `cartesianMesh` application, a hexahedral dominant meshing utility belonging to the `cfMesh` library [?] which is built upon the OpenFOAM framework [?]. The meshes are presented in the supplementary material (S.1).

3.2.2 Fluid flow

Governing equations

It is assumed that the flow consists of an incompressible, Newtonian fluid under steady-state, isothermal conditions. The flow is considered to be turbulent and is modelled using the Reynolds-Averaged Navier-Stokes (RANS) equations with an appropriate turbulence model. The RANS equations are derived by decomposing the fluctuating velocity and pressure fields into their time-average plus an instantaneous fluctuation from the mean, i.e.

$$\mathbf{u}(\mathbf{x}, t) = \mathbf{U}(\mathbf{x}) + \mathbf{u}'(\mathbf{x}, t) \quad (3.1)$$

and

$$p(\mathbf{x}, t) = P(\mathbf{x}) + p'(\mathbf{x}, t) \quad (3.2)$$

where \mathbf{u} and p are the instantaneous velocity and pressure fields; \mathbf{U} and P are the time-averaged velocity and pressure fields; and \mathbf{u}' and p' are the instantaneous fluctuations in velocity and pressure from the mean.

For an incompressible fluid, the Reynolds-averaged conservation of mass equation is expressed as

$$\nabla \cdot \mathbf{U} = 0 \quad (3.3)$$

The RANS equations for the steady-state conservation of momentum is expressed as

$$\nabla \cdot (\mathbf{U}\mathbf{U}) = (\nu + \nu_T) \nabla^2 \mathbf{U} - \frac{1}{\rho} \nabla P \quad (3.4)$$

where ν is the kinematic viscosity and ρ is the density of the fluid, which remain fixed for the cases considered in this study. The turbulent (eddy) viscosity, ν_T results from the velocity fluctuations and represents the increased diffusivity due to turbulent mixing. Most often, two-equation turbulence models are used to determine the eddy viscosity, since they give a reasonable balance between accuracy and computational cost [23].

The eddy viscosity is defined through the Boussinesq hypothesis, given as

$$-\overline{u'_i u'_j} = \nu_T \left(\frac{\partial U_i}{\partial x_j} + \frac{\partial U_j}{\partial x_i} \right) - \quad (3.5)$$

where the overbar represents a time-average, k is the turbulent kinetic energy, and δ_{ij} is the Kronecker delta function. In practice, the eddy viscosity is defined by the solution of turbulence model equations. Most often, two-equation turbulence models are used, which give a reasonable balance between accuracy and computational cost [23].

In this work, the $k - \omega$ SST model of [49] is used. This model implements a blending between a $k - \omega$ formulation in the near-wall region and a $k - \varepsilon$ formulation in the free-stream region. This avoids the need for damping functions near the wall that are required for $k - \varepsilon$ models and avoids problems with sensitivity to free-stream conditions that occur with standard $k - \omega$ models.

The governing equation for the turbulent kinetic energy, k , is as follows [49]

$$\frac{\partial k}{\partial t} + \mathbf{U} \cdot \nabla k = \tilde{P}_k - \beta^* k \omega + \nabla \cdot [(\nu + \sigma_k \nu_T) \nabla k] \quad (3.6)$$

where β^* and σ_k are constants, \tilde{P}_k is the production rate of turbulent kinetic energy (with a production limiter applied to prevent build up in stagnation regions), and ω is the specific dissipation rate. The dissipation rate is calculated in the $k - \omega$ SST model using the following transport equation [49]

$$\begin{aligned} \frac{\partial \omega}{\partial t} + \mathbf{U} \cdot \nabla \omega = & \alpha S^2 - \beta \omega^2 + \nabla \cdot [(\nu + \sigma_\omega \nu_T) \nabla \omega] \\ & + 2(1 - F_1) \sigma_{\omega 2} \frac{1}{\omega} \nabla k \cdot \nabla \omega \end{aligned} \quad (3.7)$$

where α , β , σ_ω , and $\sigma_{\omega 2}$ are constants, S is the invariant of the strain rate tensor, and F_1 is a blending function.

With the solutions of Eqs. 3.6 and 3.7, the eddy viscosity is then calculated according to

$$\nu_T = \frac{a_1 k}{\max(a_1 \omega, S F_2)} \quad (3.8)$$

where a_1 is a constant and F_2 is a blending function [49]. Values of all constants and auxiliary functions can be found in the work of Menter, 1994 [49].

The RANS equations also require wall functions to specify the near wall velocity when the viscous sublayer is not fully resolved by the mesh. The wall functions here implement a blending of viscous and log-law profiles, such that they are robust to mesh refinement, which is an important factor in separating discretisation and modelling errors [47].

Hydrodynamic boundary and initial conditions

The initial conditions for the hydrodynamic model were zero gauge pressure and zero velocity at all points within the domains. At all walls, no-slip velocity boundary conditions were applied, along with zero gradient pressure conditions. When gradient conditions are applied at all walls, the pressure level must be set at some point within the domain. This is done internally in OpenFOAM by setting the gauge pressure to zero in a single (arbitrary) control volume. For the CR, a flow field was induced using four impeller blades pitched at 45° , spinning at 130 RPM. For the FPR, gas with the physical properties of nitrogen entered the domain at a rate of 6 L/minute through the inlet patches.

3.2.3 Radiative transport

Governing equations

Radiation delivery remains one of the major process bottlenecks to the design and effective operation of photobioreactors. There are many PBR CFD studies which treat the radiative field as a Beer-Lambert approximation, however this approach does not account for in-scattering phenomena. Failure to incorporate in-scattering in the CFD solution can lead to errors of as much as 20% [55]. The general form of the radiative transfer equation (RTE) accounts for the in-scattering effects, and is defined as

$$\begin{aligned} \frac{dI_\lambda(\mathbf{r}, \mathbf{s})}{ds} = & \kappa_\lambda I_{b\lambda} - (\kappa_\lambda + \sigma_{\lambda,s}) I_\lambda(\mathbf{r}, \mathbf{s}) \\ & + \frac{\sigma_{\lambda,s}}{4\pi} \int_{4\pi} I_\lambda(\mathbf{r}, \mathbf{s}') \Phi_\lambda(\mathbf{s}, \mathbf{s}') d\Omega' \end{aligned} \quad (3.9)$$

where I_λ is the spectral radiation intensity for wavelength λ , \mathbf{r} is the position vector, $d\Omega$ is a differential solid angle that is centred along the vector \mathbf{s} , s is the distance along \mathbf{s} , κ_λ is the spectral absorption coefficient, and $\sigma_{\lambda,s}$ is the spectral scattering coefficient. The first term on the right accounts for black-body radiation. As we are accounting for either solar or artificial optical radiation, and relatively low temperatures of operation ranging between 4 °C and 40 °C, this term has been omitted from the solution. The second term on the right hand side accounts for absorption and scattering, which combine to create the extinction term. For each participating species within the liquid phase, we can include an extinction term, which sum to that shown in Eq. 3.9. The third term accounts for in-scattering through the phase function Φ_λ .

There are several phase functions which have proven useful for photosynthetic media conditions: Henyey-Greenstein (HG) [70], truncated phase function (TPF) [55], and the Schlick model (SM) [80]. [80] found that in the field of computer graphics and animations, the Schlick model was less computationally expensive but was still able to approximate the effects of anisotropic scatterings to the same standard as the HG model. The Schlick model is defined as;

$$\Phi_s(k, \theta) = \frac{1 - k^2}{4\pi(1 + k \cos(\theta))^2} \quad (3.10)$$

where $k \in [-1; 1]$ and $\theta \in [0; \pi]$. The parameter k implies an average cosine of scattered angles with a positive value giving preference to forward-scattering, a negative value giving rise to back-scattering, and a null value denoting isotropic scattering. The angle θ is the scattering angle of a ray.

The implementation of the RTE in the form of Eq. 3.9 does not translate readily to photobioreactors, where multiple multiple participating species may exist, with different extinction coefficients. The expression has therefore been modified to include the participating species within a photosynthetic medium along with their specific absorption and scattering coefficients, which have been combined

into a global spectral extinction coefficient (E_j) associated with each participating species X_j . The modified RTE, with the black-body radiation term omitted, is given as

$$\frac{dI_\lambda(\mathbf{r}, \mathbf{s})}{ds} = -\sum_j [E_{\lambda,j} X_j] I_\lambda(\mathbf{r}, \mathbf{s}) + \frac{\sigma_{\lambda,s,j}}{4\pi} \int_{4\pi} I_\lambda(\mathbf{r}, \mathbf{s}') \Phi_\lambda(\mathbf{s}, \mathbf{s}') d\Omega' \quad (3.11)$$

Custom software libraries, given the name `photoBio`, were written in order to extend or replace parts of the existing radiation libraries in `OpenFOAM` to suit the purpose of this study. As an example, in the standard `OpenFOAM` implementation, the radiative field is specified by temperature boundary conditions, while the irradiance can be specified directly in the customised solver. Another novelty in the custom `photoBio` library was that models needed to accept all participating media as input. The absorption and scattering expressions were therefore extended to allow for any number of species in a mixed-culture multiband system as shown in Eq. 3.11. Finally, the scope for the inclusion of phase scattering functions was adapted from previously built libraries of [70]. The Schlick model was added to this list of functionality, and was used for the cases shown in this study.

The particular method used for the resolution of the radiative field was the finite volume discrete ordinates method (fvDOM), renamed to `photoBioDOM` in the custom libraries that were written. The fvDOM is the conservative formulation of the discrete ordinates method [150], which allows the solution method to be implemented within the same finite volume framework as the flow and biokinetics models.

Radiative transfer boundary conditions and parameters

An incident irradiance of 30 W m^{-2} was applied to the outer walls of both the CR and FPR. A single wavelength band of peak $850 \pm 5 \text{ nm}$ was used for this simulation. Other important parameters governing the fvDOM angular discretisation and the biomass coupling were used in this simulation and are summarised in Table 3.1.

Table 3.1: FvDOM and biomass coupling parameters for the radiation component of the solution procedure

Quantity	Value	Units	Meaning	Ref.
n_ϕ	6	-	azimuthal angle discretisation	-
n_θ	6	-	polar angle discretisation	-
$n_{p,\phi}$	3	-	overhanging control azimuthal angle pixelation	-
$n_{p,\theta}$	3	-	overhanging control polar angle pixelation	-
k	0.98	-	Forward/back scattering Schlick asymmetry factor	[80], [151]
$a_{X_{PB}}$	106	$m^2 kg COD^{-1}$	absorption for a given <i>Rb. sphaeroides</i> (COD) biomass concentration at 850nm	[151]
σ_{X_i}	19	$m^2 kg VS^{-1}$	scattering for a given <i>Rb. sphaeroides</i> (COD), X_S , and X_I biomass	[151]

3.2.4 Biokinetic model

Biokinetics were taken from a lumped parameter model [104]. This considers growth of biomass and consumption of *COD*, *NH₄N*, and *PO₄P* but does not consider photon delivery. The state space includes three particulate state variables, PPB biomass, particulate composite biomass, and inert solids, as well as seven soluble state variables. All of these are represented as scalar differential variables. The governing equations for the solid and soluble state variables (ϕ_i) subject to advection, diffusion, and generation or consumption are as follows.

$$\frac{\partial \phi}{\partial t} + \nabla \cdot (\mathbf{U}\phi) - \nabla^2 \mathcal{D}_\phi \phi = r_\phi \quad (3.12)$$

where \mathbf{U} is the frozen velocity field of the fluid phase, \mathcal{D}_ϕ is the diffusivity coefficient of scalar ϕ in water, and r_ϕ represents the generation or consumption terms, as previously defined in [104]. The diffusivity of the solid species was set to $10^{-11} m^2 s^{-1}$ for stability purposes.

The term r_ϕ for each state variables includes a Monod term (ζ_I) with respect to irradiance (I) at any

point in space (Eq. (3.13)). This means that the radiative field, and the biokinetic expressions have an interdependence which must be considered in the solution procedure.

$$\zeta_I = \frac{I}{K_I + I} \quad (3.13)$$

Biokinetic boundary conditions and parameters

Each scalar quantity in the biokinetic model was set to a uniform initial value. Zero-flux boundary conditions were specified for each wall in the domain for all state variables. Initial conditions are presented in the supplementary material (S.2).

3.2.5 Solution procedure

The solution procedure shows the order in which the governing equations are solved (Fig. 3.2). The momentum equations are solved until a quasi steady-state is found. This approach is applicable to both the single phase and multiphase systems. Secondly, for the multiphase system, the velocities for each phase are segregated. The single phase CR is not modified at this stage. Finally, the initial conditions for the biochemical equations are set, the radiative field is solved, and the biochemical equations are solved. The radiative field is updated every 15 minutes of simulation time.

The flow field is solved first. The assumption that the solid species do not participate in the flow field can be made for PBRs which have relatively low total suspended solids concentrations compared with other activated sludge processes [24]. The solver then enters a coupled radiation-biokinetics loop in which the radiative field is updated every 15 minutes of simulated time. A solution procedure that updated the radiative field at every time step was tested, but was prohibitively slow, and it was found to be unnecessary to update at such high frequency. Since the change in radiative properties due to biomass growth occur over an extended time frame, the update interval was found to not substantially influence the results.

The solver used for these simulations is the pamFoam solver: a multiphase, multiphysics implementation of a finite volume hydrodynamics, radiation, and biokinetics solver, which is built upon version 5.0 of the OpenFOAM framework, a collection of C++ libraries for solving continuum mechanics problems [?]. The solver and run-time dictionaries have been released under the AGPL-3 license, and can be accessed online (<https://gitlab.com/leboucher/pamFoam/tags/v5.1.0>). The solver incorporates a custom radiation transport library built upon the finite volume discrete ordinates method [150], but adapted for use with phototrophic models. Its source code can also be found online (<https://gitlab.com/leboucher/photoBio/tags/v5.1.0>). The solid and soluble species were implemented as passive scalar transport equations.

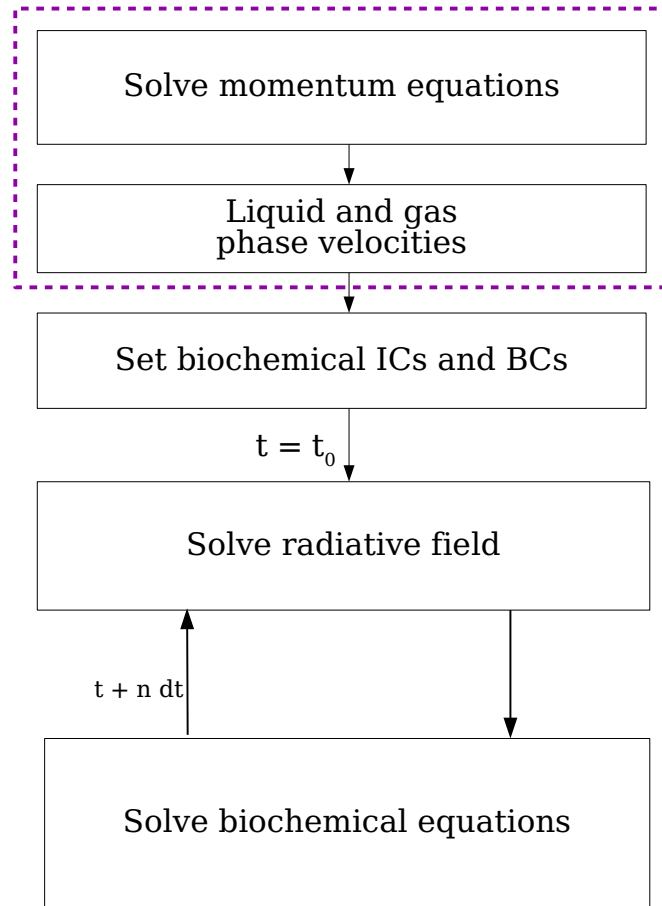


Figure 3.2: Solution algorithm for the solver. Momentum equations are solved until steady state is achieved and fed to the biochemical equation solver. The radiative field is updated every 15 minutes of simulated time.

3.3 Results and Discussion

3.3.1 Radiative field dynamics

As the biomass grows in suspension over time, There is a decrease in intensity and distribution of the radiative field (Cf Eq. 3.11). This is shown in Fig. 3.3(a). This demonstrates that despite a similar radiation intensity, the geometry of the FPR is better than the CR, resulting in a higher average irradiation intensity, and a greater attenuation over time due to faster biomass growth. Fig. 3.3 also shows spatial distribution of radiative intensity at the start of the simulation, and at 24 hours. Sub-figures b and c correspond to the FPR, and sub-figures d and e show the CR. This demonstrates a substantial attenuation over time from 12 Wm^{-2} to 7 Wm^{-2} in the FPR and from 5 Wm^{-2} to 3 Wm^{-2} in the CR. The percentage decrease corresponded to an increase in the phototrophic biomass X_{PB} from 0.5 gCODL^{-1} to 1.0 gCODL^{-1} in the FPR and 0.5 gCODL^{-1} to 0.8 gCODL^{-1} in the CR over the same period of 24 hours. The change in other particulate species was relatively small over that same

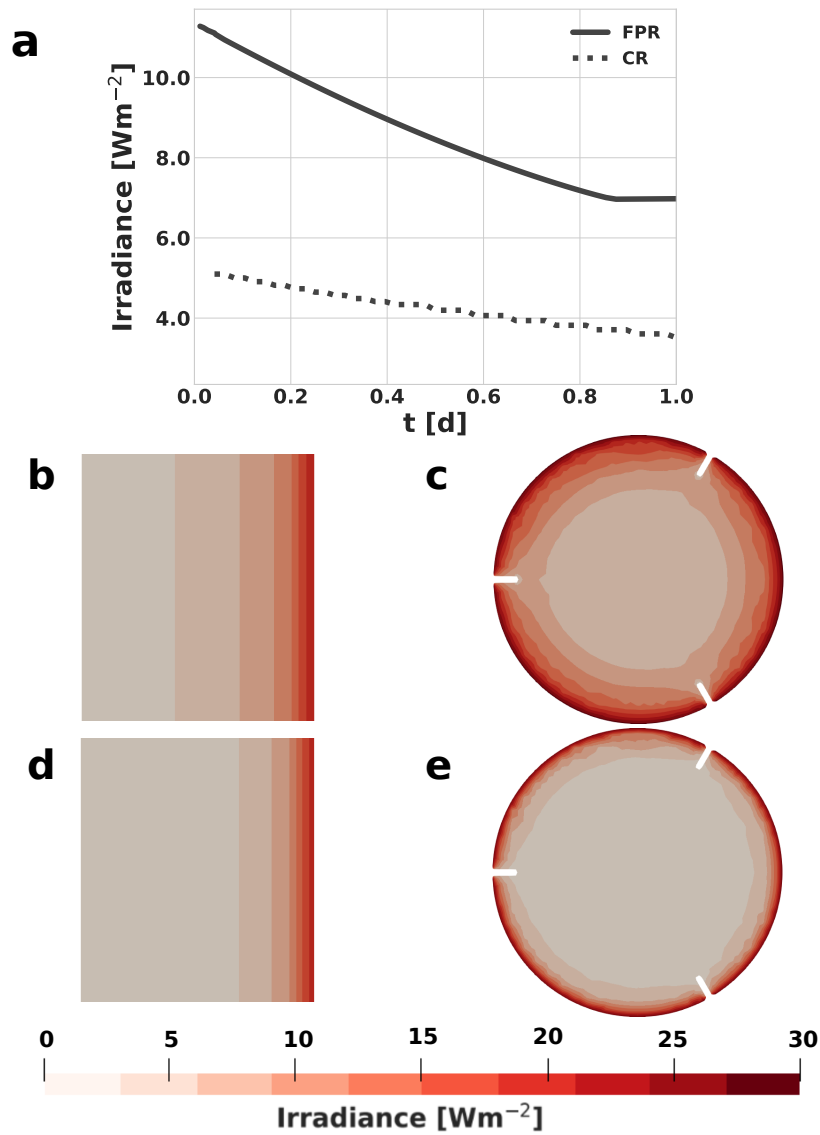


Figure 3.3: Evolution of the radiative field (Wm^{-2}), volume averaged over all time steps, and the spatial changes over time for the FPR and CR. Cross-sectional view at reactor from 0 cm to 3 cm from the radiation source. (b,c) corresponds to the radiative field at the start of the simulation, and (d,e) is the radiative field at 24 hours for the FPR and CR respectively.

time period.

Findings from other studies [70] have shown that volumes within a reactor with an irradiance below 2 Wm^{-2} are effectively dark zones. Over time, self shading by biomass becomes apparent, which means that the fluid hydrodynamics become increasingly important if PBRs are to maintain substantial phototrophic biomass at high concentrations. For the FPR, the volume percentage of dark zones is 0%, compared with 30% for the CR. At 24 hours of simulated time, despite 25% more particulate matter, only 25% of the volume is in the dark, compared with 55% for the CR. Both the limitations in nutrient and photon availability mean that this proportion sees diminishing rates of biomass shading as the simulation continues. These results support other findings that in suspended biomass PBRs, the majority of the reactor is in the dark when operating at steady state with substantial phototrophic biomass and particulate concentrations. It is clear that for near infrared radiation, attenuation is more

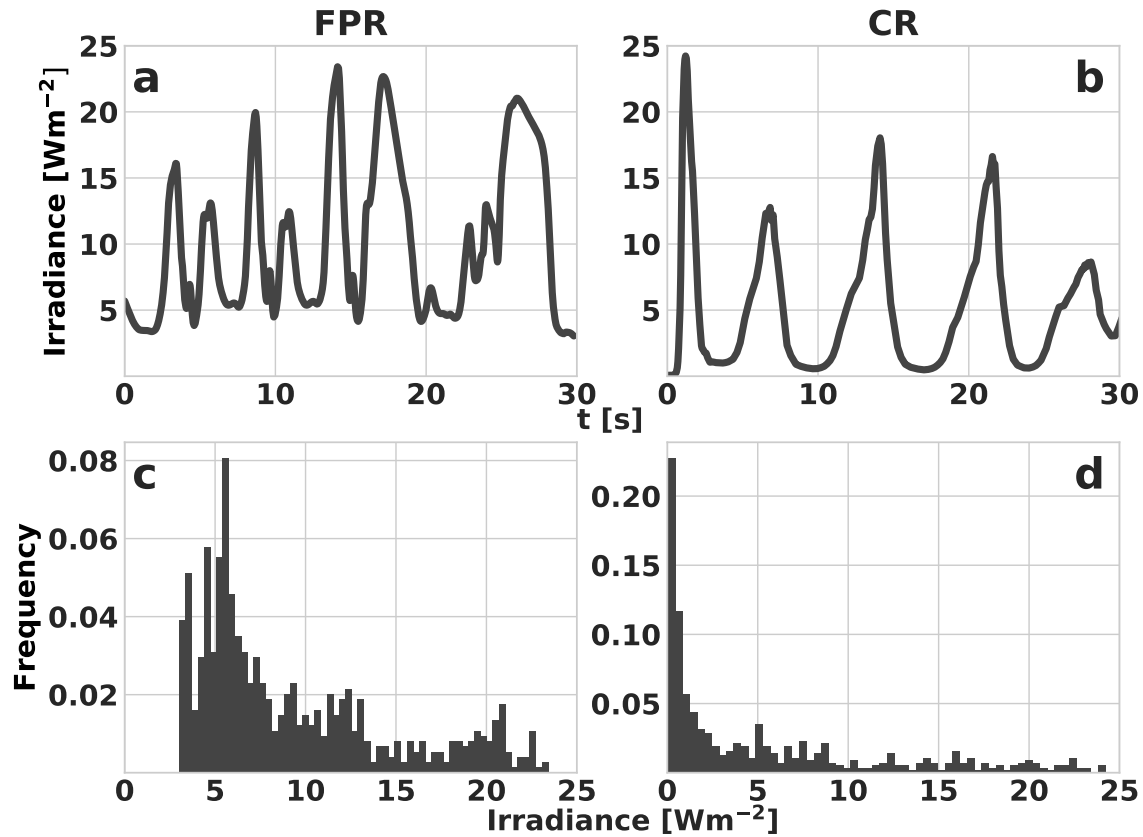


Figure 3.4: Particle radiation dynamics for an 850 nm band of irradiance. The top row (a, b) shows the transient radiative field experienced by a PPB particle for the FPR and CR reactors respectively. The same field is represented for both reactors (c, d) as a histogram on the bottom row. Both reactors have an incident irradiance of 30 Wm⁻².

significant within the phototrophic media than in the gas phase, and both multiphase (gas-liquid) and single phase liquid systems were modelled, although a more thorough investigation around the bubble dynamics and their effect on the radiative field is required to quantify these interactions.

3.3.2 Short-term particle radiation dynamics

The short term particle radiation dynamics result from the fluid field moving biomass particles within the heterogeneous radiative field as shown in Fig. 3.4. The first column (a,c) corresponds to the particle radiation dynamics of the FPR, with the same data being represented as a dynamic plot (a) and a frequency histogram (c). The second shows the parallel results for the CR. This demonstrates that biomass in both reactors is subject to on-off irradiance cycles on the order of 3-5 s, with an amplitude of 20 Wm⁻². However, the dynamics are quite different, with particles in the FPR being irradiated for longer, and with a higher base-line (5 Wm⁻² in the FPR compared with 0 Wm⁻² in the CR). This indicates that in the FPR, particles are effectively always irradiated at a minimum level, whereas in the CR, there is complete on-off cycling.

A question arising of the dynamic radiative field is whether the PPB biomass is sufficiently fast to

respond to these changes. If the biomass response is much faster than the change in intensity, the growth kinetics can be treated as a continuous function (with dependence on intensity). If biomass response kinetics are also on the order of seconds, lower-level expressions would be required (which also consider photo-enzyme kinetics). The response time for *Rhodobacter sphaeroides* was determined in the literature to be in the order of 10^{-11} seconds [?]; a time constant much smaller than the periods seen in Fig. 3.4. Therefore, this justifies the use of a continuous function with respect to radiation for both this case, and in scaled-up systems, since they will generally have longer time constants due to the larger dimension with respect to velocity. This also justifies the approach used in this study to generate results, with biomass growth coupled to the radiative field, and phototrophic organisms self-shading as they grow.

3.3.3 Growth of PPB biomass and uptake of soluble substrates

Four comparative simulations were done to assess the full Eulerian solution (as used so far in this study - solution 4) to three reduced continuously stirred tank reactor (CSTR) versions. These include (for each reactor):

1. Uniform irradiance at incident value 30.0 W m^{-2} (ODE1). This is the standard approach that can be applied in a lumped-parameter model [58].
2. Irradiance fixed at the the volume-averaged value as presented at in Fig. 3.3(a). These values for the FPR and CR were 11 W m^{-2} and 5 W m^{-2} respectively (ODE2).
3. Dynamic irradiance as determined and presented in Fig. 3.4(a, b). This data is treated as a dynamic input to the lumped parameter model (ODE3). The main reduction in extent compared to a full CFD simulation is that the biomass/substrate do not need to be represented by scalars. In order to represent the effect of only modelling the liquid-irradiance system, the 30 s profile was replicated in time to 24 h rather than taking the full dynamic profile which includes long-term temporal light attenuation due to biomass growth.
4. Full Eulerian CFD solution (CFD).

In the first two cases, no CFD is required, while in the latter two cases, CFD is required to identify the particle radiation exposure pattern, and for the integrated model respectively. The first two cases were explored because certain studies have taken modelling approaches using lumped parameters based on single values of uniform irradiance, with little information as to the spatial distribution of the radiative intensity field. This has been applied using either the surface incident exposure [152, 153], or volume averaged irradiance [154, 155]. This can lead to an over-prediction when reporting growth rates.

The biomass concentrations (in mgCOD/L) are shown for the various modelling approaches for both systems (Fig. 3.5(a, b)). These demonstrate light-limited, fairly uniform growth rates towards the substrate depletion point where the growth stops (*i.e.* a batch system). The uniform irradiance approaches

generally over-predicted growth rates, particularly in the cylindrical reactor (where irradiation was fundamentally less efficient). The dynamic exposure approach was generally effective in representing biomass profiles, but with a clear deviation with respect to the full CFD approach. Specifically, there were slightly slower growth rates for ODE3 vs CFD. This is somewhat surprising since the final attenuation is higher in the full CFD approach when compared with ODE3 (due to biomass growth), but could be explained by the fact that this attenuation is due to biomass exposure rather than water attenuation. Therefore the light is not "lost", but rather used during attenuation.

Fig. 3.5(c-f) shows the uptake concentration of soluble substrates of the same simulations. Again, the hybrid approach (ODE3) is most effective compared with CFD, but there are substantial deviations for all three ODE approaches. The large deviations for substrate S_{AC} (Fig. 3.5(c, e)) and S_S (Fig. 3.5(d, f)) are most likely caused by inhibition of each respective uptake process (photoheterotrophic uptake for acetic acid, and for other organics) caused by the presence of the other soluble compound class. This, combined with the difference in uptake rates for acetate uptake (2.4d^{-1}) and photoheterotrophic uptake (1.4d^{-1}) [104] mean that based on the amount of light available in the system, different behaviour can occur.

The full ODE approach (ODE1, ODE2), as stated above, is the most common approach, taking either surface irradiation [152, 153], or an approximated reduced average irradiance [?, 155, 156]. This provided unsatisfactory results, particularly in the simplified CR. With respect to growth rates, and in an actual system, these variations would be absorbed by biochemical parameters, making them non-transferable to other systems. A system can only be effectively approximated with a lumped parameter modelling approach if the reactor is not only well mixed, but also well serviced with a uniform irradiance which can be measured effectively. This would likely not be an effective photobioreactor field design, but these factors also mean that some care must be taken even in laboratory systems when determining biological parameters, since the surface measured irradiance will almost certainly not be the *in situ* irradiance. This would have an impact on biomass growth parameters.

The dynamic irradiance approach (ODE3) was far more effective in biological simulation, but the irradiance profile changes in each case, and cannot effectively represent irradiance changes as biomass experiences long-term growth. This has quite complex impacts, including potentially a loss in efficiency due to reduced biomass based attenuation. When compared with the full Eulerian solution (CFD), the dynamic irradiance solution has a slightly slower growth rate, but the time constant is similar for both simulations. The hybrid approach therefore has some value, particularly where a full model cannot be formulated. However, the full model provides complete interpretation at a modest increase in computational complexity.

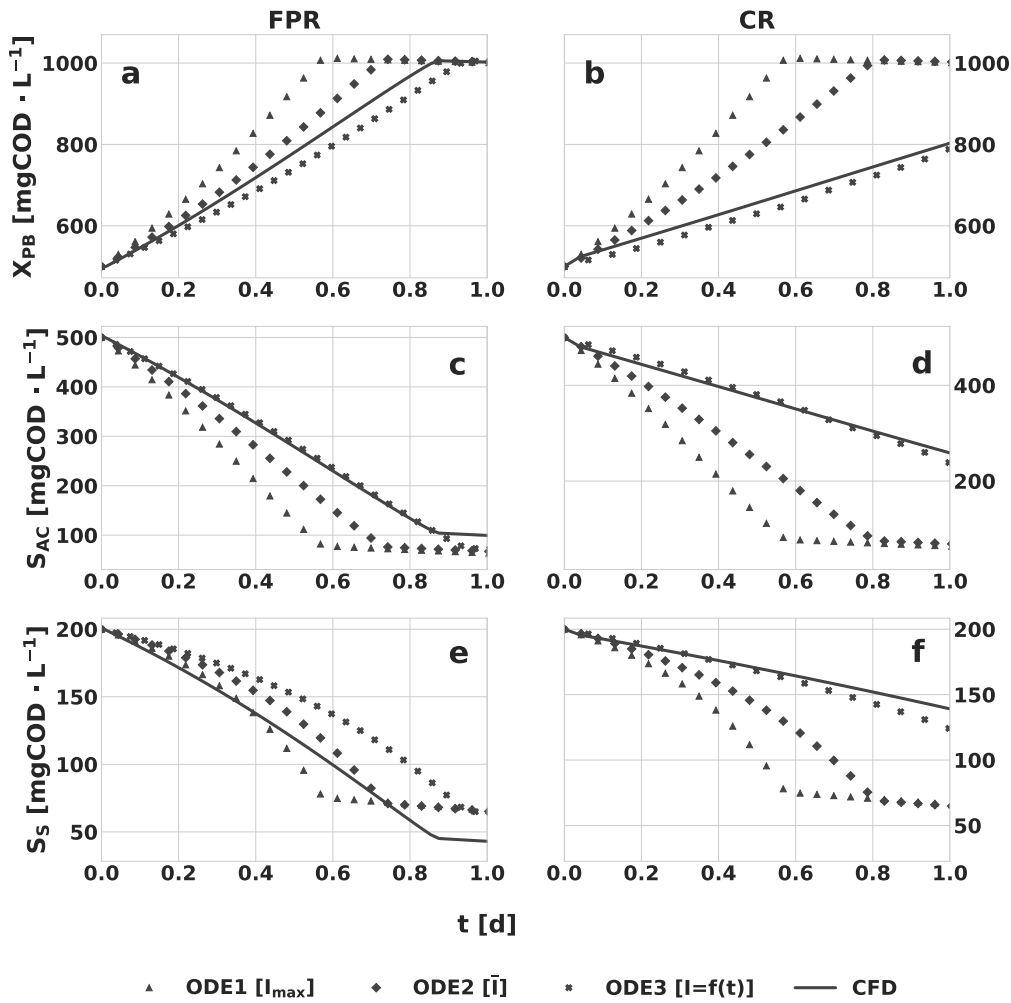


Figure 3.5: Biomass growth and substrate uptake for the FPR (a, c, e) and the CR (b, d, f). The first row shows the growth of PPB and the second and third rows show the consumption of acetic acid and other readily biodegradable soluble organics respectively. For all figures, the triangles correspond to ODE1, the diamonds correspond to ODE2, the crosses represent ODE3, and the solid line is the CFD solution.

3.3.4 Limitations of the model

CFD considerations

There are three main limitations of the study with respect to the CFD implementation. Firstly, while gas and liquid phases have been modelled and biochemical state variables have been segregated in the liquid phase, the effect of biological activity on the gas field have not been incorporated. The mass transfer between phases was also not considered in this case. Including mass transfer models is important for photoautotrophic (gas fed) or photosynthetic (CO_2) growth. Any mass transfer model is dependent on how the dispersed gas field is solved. Here, a uniform bubble Eulerian approach was taken, but an alternative that considers bubble size distribution such as population balance modelling could lead to an improvement in prediction of mass transfer. A better represented bubble size distribution would also allow analysis of its dynamic effects on the radiative field. This model considers planktonic biomass

modelled as passive scalars. The momentum coupling would not substantially change interaction with the radiative field. However, an important possible extension is the inclusion of a spatially explicit biofilm. The current model lends itself well to include a continuum based biofilm model as a module in a broader continuum-based phototrophic growth model.

As the biomass has been implemented as passive scalars, the effects of changes in biomass concentration on the flow fields have not been quantified (*i.e.*, does not affect rheology, density or momentum). This could be incorporated through an upgrade of the biomass from scalar to dispersed phase. Modelling the solid system in a Lagrangian framework can also link the particulate species with a set of physical information, such as particle density and diameter. The particulate species as they are currently modelled could also be linked to a particulate density, which would then have an effect on the flow field.

Biochemical system limitations

This system differs from photosynthetic algae or cyanobacteria, where photosynthetic organisms may be in multiple states (excited, photoinhibited or resting) [58]. The state depends on the radiative intensity and the light history of the organisms. In addition, nitrogen storage becomes an important factor [157]. The short-term particle radiation dynamics as observed here are even more important in photosynthetic systems, where the transition in biological state adds another system dynamic which is on the same speed order as the cycling speed. Therefore, consideration of photo-dynamics is even more important when simulating white light systems, since, as discussed above, enzyme response is extremely fast (and photo-dynamics do not interact with this), while a change in photo-state is far slower. Photo-inhibition is another important factor not considered in the current model. The current system was irradiated with 30 W m^{-2} , and photo-inhibition has been reported to start occurring closer to 900 W m^{-2} [158]. Updating the model to include photo-inhibition terms would increase the range of applicability of the model to outdoor PBR systems, and is an important factor for white light systems as discussed above. The time constant for recovery from photo-inhibition may make this a more complex response function, and increase the importance of considering photo-dynamics.

This model was simulated looking at a single radiation band at 850 nm. In reality, the growth of PPB depends on other wavelength bands, including 375 nm and 590 nm (facultative carotenoids) and the near infrared band ranges from 830 nm to 900 nm [100]. Upgrading the biochemical model to include the growth on several different wavelengths would lead to resolution of important aspects, including increased and differential attenuation of higher energy radiation. However, assigning fixed behaviour to organisms which can adapt to different environmental conditions, such as the growth or loss of chromophores due to low or high intensity radiative fields, means that there will always be a level of uncertainty which cannot be described by the model.

3.4 Conclusions

This study has looked at two different reactor setups; a flat plate reactor (FPR) and a cylindrical reactor (CR). Over these two different reactor geometries, four modelling approaches were evaluated;

1. ODE1: An ODE system taking a uniform radiative field equal to that of the incident irradiance.
2. ODE2: An ODE system taking a uniform radiative field equal to its value halfway into the domain as solved by the radiative transfer equation here, or alternatively by a Beer-Lambert relationship.
3. ODE3: An ODE system taking as input a dynamic irradiance experienced by a particle as it is carried by the velocity field in the reactors.
4. CFD: A full Eulerian CFD approach accounting for fluid flows, radiative transfer, and the system of biochemical equations for purple phototrophic bacteria.

The first two approaches tended to over-predict growth rates, meaning that the spatial variations within PBRs are important to consider, and local effects can have an impact on the overall solution. The results for ODE3 were similar to those for the full Eulerian CFD solution, irrespective of reactor geometry. This approach presents an appropriate compromise when computational resources are scarce, however the full Eulerian approach provides the complete interpretation for the PBR systems, with scope to expand on this model with more physical processes.

Several limitations to the study were identified, and were classed in two categories; CFD limitations and biochemical modelling limitations. The CFD limitations were that mass transfer in multiphase systems was not solved, and the model was developed as a planktonic model with passive scalars, limiting the description of physical processes such as biofilm development and effects on the flow field due to changes in density. The biochemical limitations extended on previous work to highlight the adaptive changes of pigment production in response to the radiative field, and the effects of photoinhibition on uptake rates.

Contributor	Statement of contribution	%
Edward M. Barry	writing of text	70
	proof-reading	60
	theoretical derivations	70
	numerical calculations	100
	preparation of figures	100
	initial concept	60
Christopher T. DeGroot	writing of text	20
	proof-reading	10
	supervision, guidance	20
	theoretical derivations	10
	preparation of figures	20
	initial concept	10
Shakil Ahmmed	writing of text	20
	proof-reading	10
	supervision, guidance	20
	theoretical derivations	10
	preparation of figures	20
	initial concept	10
Tim Hülsen	writing of text	10
	proof-reading	30
	supervision, guidance	80
	theoretical derivations	20
	preparation of figures	10
	initial concept	80
Damien J. Batstone	writing of text	10
	proof-reading	30
	supervision, guidance	80
	theoretical derivations	20
	preparation of figures	10
	initial concept	80

Chapter 4

A multidimensional, phototrophic, continuum biofilm model

Abstract

4.1 Introduction

4.2 Problem description

The modelling approach is concerned with the description of a phototrophic biofilm system consisting of purple phototrophic bacteria (PPB). The model description for this system has been previously described [104], however this did not account for spatial variations, and by extension, biofilm formation was not considered. The phototrophic biofilm grows attached to a surface substratum. Above the biofilm is a body of nutrient-rich liquid. Incident photons of wavelength 850 nm are irradiated from either Γ_0 or Γ_3 into the domain Ω , as shown in Fig. 4.1. The initial thickness of the biofilm is $5.0 \times 10^1 \mu\text{m}$ with uniformly distributed solid species. The factor of incident irradiance is also explored, with three different irradiance levels of $1.0 \times 10^1 \text{ Wm}^2$, $3.0 \times 10^1 \text{ Wm}^2$, and $1.00 \times 10^2 \text{ Wm}^2$ being simulated from the surface substratum. Incident irradiance in all cases is assumed uniform and diffuse across the whole boundary.

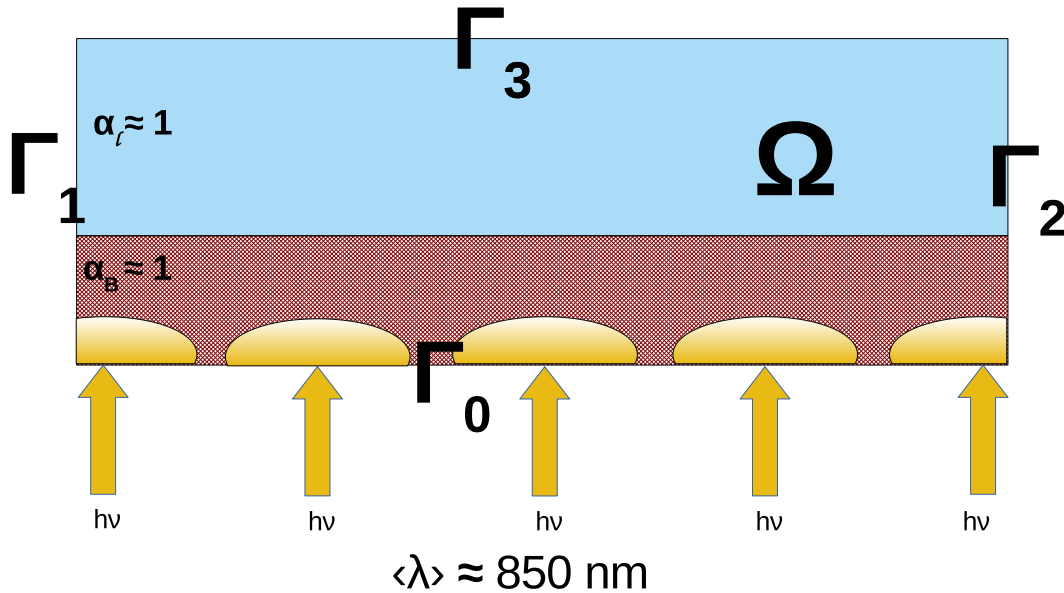


Figure 4.1: Two-dimensional representation of the simulation domain for the case of a bottom-irradiated biofilm. Boundaries Γ_4 and Γ_5 are out of, and into the page respectively, and take the same boundary conditions as boundaries Γ_1 and Γ_2 . The irradiance source ($h\nu$) is in fact uniform and diffuse across the whole boundary. Subscripts relating to α , namely **l** and **B** correspond to liquid phase fraction, and biofilm phase fraction respectively.

4.3 Mathematical formulation

4.3.1 Radiative transfer

The general form of the radiative transfer equation (RTE) has been adapted to phototrophic systems **cite me** [70], and includes the dependency on the concentration of solid species and water. The black body radiation term has been omitted from this equation due to minimal influence on the system energy balance (Eq. 3.11).

$$\frac{dI_\lambda(\mathbf{r}, \mathbf{s})}{ds} = -\sum_j [E_{\lambda,j} X_j] I_\lambda(\mathbf{r}, \mathbf{s}) + \frac{\sigma_{\lambda,s,j}}{4\pi} \int_{4\pi} I_\lambda(\mathbf{r}, \mathbf{s}') \Phi_\lambda(\mathbf{s}, \mathbf{s}') d\Omega' \quad (4.1)$$

where I_λ is the spectral irradiance for a given wavelength, \mathbf{r} is the position vector of a radiative ray, \mathbf{s} is the direction vector of the ray, and s is the path length. The first term on the right hand side is the extinction term, with $E_{\lambda,j}$ being the specific extinction coefficient (the combination of scattering and absorption components) for participating species X_j . The second term on the right hand side is associated with in-scattering, where $\sigma_{\lambda,s,j}$ is the scattering coefficient, and Φ_λ is the wavelength scattering function from path \mathbf{s} to scattered direction \mathbf{s}' through solid angle $d\Omega$. The phase scattering function, Φ_λ for this model is the Schlick function (Eq. 3.10), which is appropriate for optically thick media [80].

$$\Phi_s(k, \theta) = \frac{1 - k^2}{4\pi(1 + k \cos(\theta))^2} \quad (4.2)$$

where parameter k takes values between -1.0 and 1.0 included, with negative or positive values corresponding to back-scattering and forward scattering respectively. A value of 0 means scattering is isotropic. The parameter k represents the average cosine of the scattered angles. The angle θ is that made by the previous path and scattered path of a ray.

4.3.2 Consumption and release of soluble substrates

Soluble substrates exist in both the volume of liquid, and the biofilm volume. Their growth and release expressions (hydrolysis of biodegradable particulate organic matter) have been previously described [104]. The soluble substrates considered in this study are readily biodegradable soluble organics expressed in chemical oxygen demand (COD), acetic acid (COD), hydrogen (COD), inorganic carbon (C), inorganic nitrogen (N), inorganic phosphorus (P) and soluble inert material (COD). The general form for the soluble balance equation is expressed in Eq. 4.3.

$$\frac{\partial S_i}{\partial t} = \mathcal{D}_i \nabla^2 S_i + r_i \quad (4.3)$$

where S_i is the soluble species i , \mathcal{D}_i is the diffusion coefficient of species i , and r_i is the corresponding consumption/release equation [104].

The transport equations for the soluble and particulate species may be written as follows,

$$\frac{\partial S_\Phi}{\partial t} + \nabla(\phi S_\Phi) - \nabla \cdot (D_\Phi \nabla S_\Phi) = \Gamma_\Phi, \quad (4.4)$$

$$\frac{\partial X_\Phi}{\partial t} + \nabla(\phi X_\Phi) - \nabla \cdot (D_\Phi \nabla X_\Phi) = \Gamma_\Phi, \quad (4.5)$$

where, S is the soluble species and $\Phi = \text{SI}, \text{SH}_2, \text{SIC}, \text{SAC}, \text{SIN}, \text{SIP}$ and SS ; X is the particulate species and $\Phi = \text{XPB}, \text{XS}$ and XI ; D_Φ , and Γ_Φ are the diffusivity, and growth rate of species Φ , respectively.

4.3.3 Growth of particulate matter

The growth of particulate matter forms the biofilm structure and influences the pressure equation which influences advection of the biofilm front. The particulate species included in this study are PPB, slowly biodegradable particulate organics, and inert particulate organic matter. Similarly to [99], replace X_i , the concentration of particulate species i with $\rho^* \theta_i$ where ρ^* is the density of particulate species, assumed constant for each species, and θ_i is the volume fraction of species i . The growth terms for the particulate balance equations (Eq. 4.6) have been previously defined [104].

$$\frac{\partial \theta_i}{\partial t} = \lambda \nabla p \cdot \nabla \theta_i + \frac{g_i}{\rho^*} - \theta_i \sum_{i=1}^{N_{\theta_i}} \frac{g_i}{\rho^*} \quad (4.6)$$

where

4.3.4 Hydrodynamics

The multiphase flow may be calculated employing the mixture mass, momentum and continuity equations as follows,

$$\nabla \cdot U = \Gamma, \quad (4.7)$$

$$\frac{\partial \alpha}{\partial t} + \nabla \cdot (\phi \alpha) = \dot{m}, \quad (4.8)$$

$$\frac{\partial(\rho U)}{\partial t} + \nabla \cdot (\rho U U) = -\nabla P + \rho g + \nabla \cdot (\tau + \tau_t) + f_\sigma, \quad (4.9)$$

where, U is the velocity, Γ is the growth term, α is the phase fraction, ϕ is the mass flux, \dot{m} is the mass transfer, ρ is the density, g is the gravitational acceleration, P is the pressure, τ is the viscous stress, τ_t is the turbulent stress, and f_σ is the surface tension force. As the mixture method, the density ρ is expressed as the mixture density as,

$$\rho = \rho_1 \alpha + \rho_2 (1 - \alpha), \quad (4.10)$$

where, ρ_1 and ρ_2 are the densities of the phase one and phase two, respectively. The surface tension force f_σ may be calculated as follows,

$$f_\sigma = \sigma k \nabla \alpha. \quad (4.11)$$

Table 4.1: Initial conditions for the biofilm simulations

State Variable	Initial condi- tions	Biofilm diffu- sivity (m ² s ⁻¹)	Bulk dif- fusivity (m ² s ⁻¹)
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Here, σ , and k are the surface tension coefficient, and curvature, respectively. The curvature k may be written as,

$$k = -\nabla n = -\nabla \left(\frac{\nabla \alpha}{|\nabla \alpha|} \right), \quad (4.12)$$

where, n is the outward unit normal vector at the interfaces.

4.3.5 Boundary and initial conditions

4.3.6 Operating parameters

4.4 Numerical Treatment

4.5 Implementation

4.6 Discussion

4.7 Conclusions

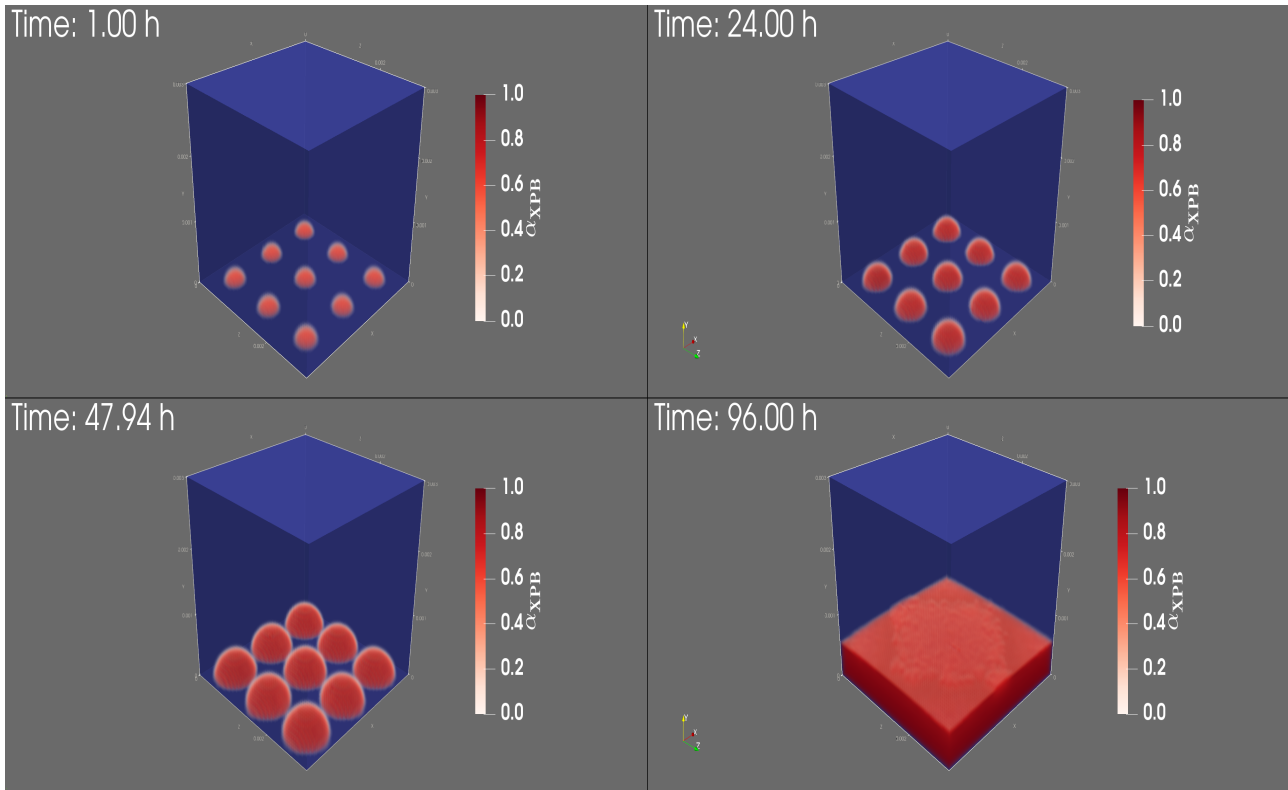


Figure 4.2: Three dimensional render of PPB growth as biofilm over a substratum irradiated at 30 W m^{-2} at 850 nm .

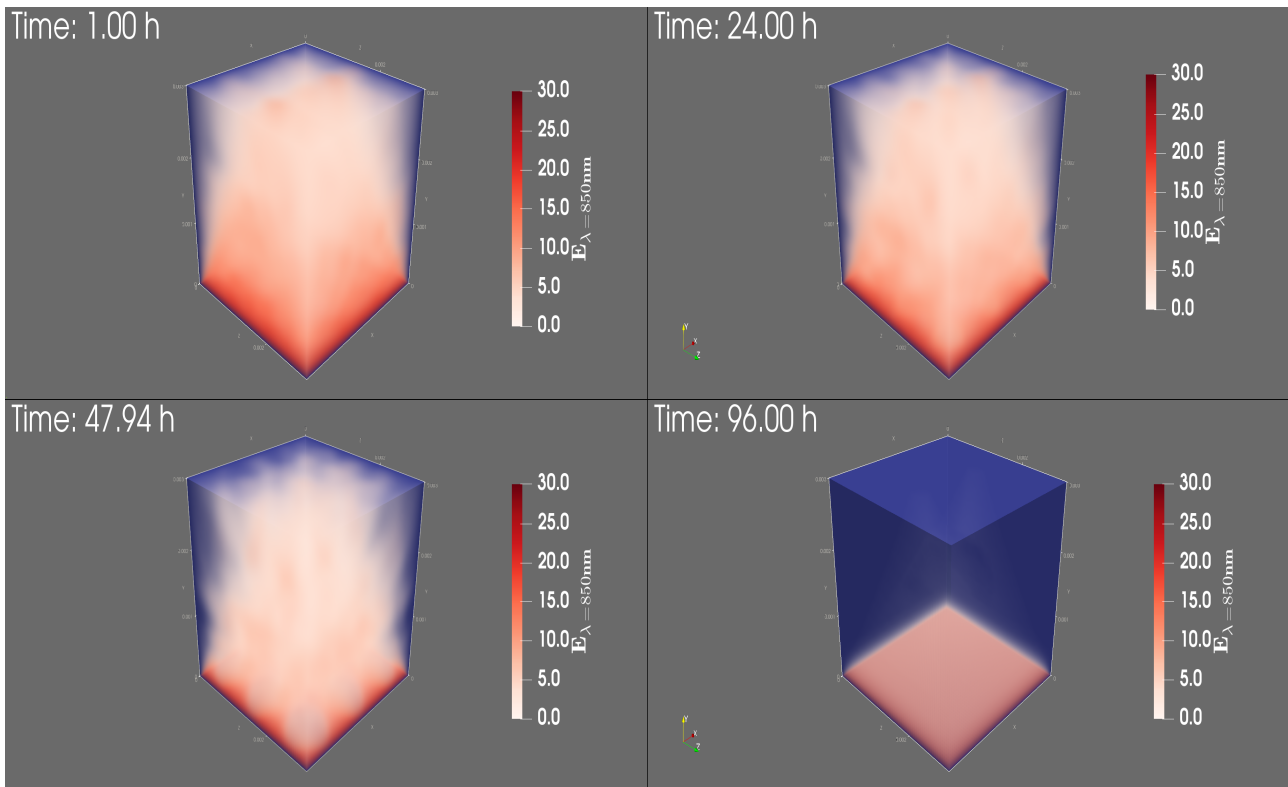


Figure 4.3: Three dimensional render of the radiative field as biomass grows in time. The incident irradiance is set from the substratum at 30 W m^{-2} .

Chapter 5

Conclusions and outlook

5.1 Conclusions

Purple phototrophic bacteria, and more broadly, photosynthetic and phototrophic microorganisms have presented as possible biotechnological solutions to waste treatment and the production of highly valued products such as pigments and agricultural feeds. Their widespread adoption has however been limited due several biochemical and physical limitations, including the delivery of radiation, the mass transfer limitations, mixing requirements, and solid/liquid separation limitations.

A useful approach to minimise the effects physical and biological limitations is to model the process. Through mechanistic modelling, a deeper understanding of the underlying physics of the system, as well as a platform for design and optimisation can be achieved. This thesis has sought to provide a semi-mechanistic modelling framework in order to address the aforementioned limitations associated with photobioreactors. A process model was developed to describe the metabolism of phototrophic bacteria in anaerobic conditions within a process engineering context. This was required as a basis to understand the limitations of light delivery under an array of operating conditions and designs. As the radiative field within a photobioreactor sharply attenuates within the domain, it was required to extend the biochemical model couple the growth and reactions with spatially varying radiative and hydrodynamic fields. In response to the difficulties in harvesting phototrophic biomass, a biofilm model was developed to show how its growth is coupled to a radiative field. Phototrophic growth in biofilms is desirable as energy-intensive membrane systems can be avoided for biomass/liquid separation. The computational framework has been developed using free and open source software (FOSS) which means that it can be freely downloaded, improved and redistributed. This means that outside of the learning curve associated with Linux-based systems, OpenFOAM and Python, the barriers for contributing to and extending this body of work are minimal.

5.1.1 Description of a biochemical process model for phototrophic growth

A model was developed for the growth of a mixed culture purple phototrophic bacteria system. The model development and implementation was focused on domestic wastewater treatment but could have been extended to growth on other substrates. It was found through a series of batch tests that photoheterotrophic growth was predominant, and photoautotrophic and chemoheterotrophic growth were both considerably slower (roughly one order of magnitude when comparing maximum specific uptake rates). The rate of decay was higher than that for activated sludge processes and hydrolysis was slower, meaning that biodegradable particulates do not degrade at short solid retention times.

The mechanisms were explored in greater detail with the aid of batch simulations. The first set of simulations highlighted the requirement of additional readily biodegradable SCOD in order to consume all inorganic nitrogen and phosphorus. For medium strength domestic wastewater, 400 gCOD m⁻³ are required for full inorganic nitrogen and phosphorus assimilation. The second batch simulations looked at the daily cycling between phototrophic and chemotrophic growth for *e.g.* an outdoor photobioreactor depending on direct solar irradiation. Two different specific uptake rates for chemoheterotrophy were used ($k_{M, ch} = 0.07$ and 0.7 d^{-1}). In the case of the higher chemoheterotrophic activity, an increased biomass growth during dark cycles led to acetate accumulation for consumption during light cycles. There was also a net production of hydrogen which meant that substrate was available for photoautotrophic growth during light cycles as well. A final batch simulation was done to analyse biomass behaviour under photoautotrophic activity. With sufficient H₂ as substrate, the determined specific photoautotrophic uptake rate $k_{M, ic}$ was compared with those found in literature (3.4×10^{-3} and $2.5 \times 10^{-2} \text{ molC gCOD}^{-1} \text{ d}^{-1}$). This was to show that while photoautotrophic uptake was insignificant when compared to photoheterotrophic uptake, a change of waste stream leading to a switch to a predominant inorganic electron donor could change the system's behaviour. By using the uptake rates obtained in literature, carbon dioxide fixation could account for more than 30% of inorganic N and P removal.

The model was then tested in continuous conditions to see how seasonal changes could affect the behaviour of a PPB system for domestic wastewater treatment. The response to seasonal changes was generally rapid. In addition to the simulated seasonal changes, there were two distinct changes in operating conditions, where periods I and III included no additional SCOD, and period II included the addition of SCOD to align with inorganic nitrogen and phosphorus concentrations (a control dosing mechanism). During all periods, average SCOD removal didn't change (87%) with the remaining SCOD almost entirely consisting of non-biodegradable components. With no additional SCOD, ammonium and phosphate removal efficiencies were 47% and 59% respectively. With additional SCOD, the phosphorus removal efficiency achieved 93%. The near complete removal of phosphorus had an impact on nitrogen removal efficiency, which averaged 74%. This study also depicted biomass fractionation over continuous operation. From period I to period II, the proportion of solids as PPB biomass increased from 44% to 53%, due to the additional SCOD leading to a higher sustained growth

by photoheterotrophic metabolism. Biodegradable particulates (X_S) were accumulated within the reactor; a direct consequence of the low hydraulic retention time imposed.

5.1.2 Inclusion of hydrodynamics and radiative transfer

This study involved the development and comparison of four different mathematical models and explored how different resolutions in the physics of the system gave different results. The model development used the distributed parameter biokinetic framework described in Chapter 2 and extended that base to include radiative transfer and fluid flows in for both single and two-phased systems.

The models adopted different layers of complexity which had previously been applied in the literature: a lumped parameter system using a uniform incident irradiance, a lumped system using a uniform irradiance measured halfway into the domain of the reactor, a system of ordinary differential equations using a dynamic input irradiance as determined by a hydrodynamic field, and a full Eulerian CFD solution.

This study confirmed the hypothesis that the dependency of the system on the radiative field would mean that a lumped parameter approach would not be an appropriate modelling simplification for photobioreactors. The spatial varying nature of the radiative field meant that hydrodynamics and the coupling of the radiative field to the biochemical equations played an important role in describing the system. Generally, the lumped parameter approaches over-predicted growth rates. The results from the particle-radiation dynamics approach approached that of the full Eulerian CFD solution. This can serve as an appropriate simplification when computational infrastructure is lacking for a full CFD solution.

5.1.3 Development of a photobiofilm model

This study aimed to address the practical and economic concerns related to the separation of phototrophic biomass from liquid streams. In this work a continuum biofilm model was developed and its growth was tracked in two and three dimensional domains with varying radiative source configurations.

This model was developed using the volume of fluid (VOF) method in OpenFOAM, where both biofilm and liquid were treated as a single fluid with differing characteristic parameters. All particulate species were confined to the biofilm phase fraction, and their growths were incorporated as source terms into the balance equation for volume fraction. The volume fraction state variable was coupled to the momentum equations and growth could ensue.

5.2 Significance of the research outcomes

The development of the biokinetic model was done in such a way that it can be used as a module in the IWA family of models, leading to a broader applicability in plant-wide wastewater treatment simulations and control systems. The model can also be adapted to different applications of purple phototrophic bacteria, such as the production of hydrogen, or growth on non-waste substrates for the production of high value products such as astaxanthin and other pigments. Outside of model applicability and parameter values, the structure of the model provides insight into the metabolism of PPB at a high level of abstraction than metabolic pathway models. This allows the reader to develop an intuition into the behaviour of phototrophic biomass under different operating conditions.

The extension of the biokinetic model into a distributed parameter framework which describes the hydrodynamics, radiation and the biokinetics allows for a greater understanding of how these physical processes interact. As such, this modelling framework can be used as an optimisation tool where photobioreactor designs seek to minimise the process bottlenecks presented by mixing and light delivery limitations. The development of the photoBio radiative transfer libraries could be implemented in domains outside of the direct use case of photobioreactors. They could be used to model algal or cyanobacterial blooms for prevention purposes. They could additionally be used to describe plant growth in both outdoor and indoor illuminated settings. The inactivation of pathogens, or advanced oxidation processes through ultra-violet radiation could also be modelled using these libraries. The use of CFD in ultra-violet photocatalytic and photolysis processes has been used, but the open nature of the software means that more resources can be attributed to simulations without the associated licensing fees. Further afield, the behaviour of photo-voltaic cells could be modelled using the software developed during this body of work. Although a wide array of applications could benefit from this work, there are some fields for which components of this model would not be appropriate. Most notably, the modelling of medical imaging processes is usually done using Monte Carlo photon flux models, which are both more computationally expensive, and more accurate than the finite volume discrete ordinates method.

This general CFD framework for phototrophic and photosynthetic organisms has been implemented using an open source CFD platform. All extensions to the model must also include the same open source license. This increases accessibility of the model and allows for a wider community to propose improvements and bug fixes, potentially leading to a more robust piece of software.

Biofilm conclusions in their broader context

5.3 Outlook and future work

5.3.1 Quantification of the effects of radiation intensity and frequency on PPB growth

Monod kinetics were assumed for phototrophic growth throughout this study. The half saturation parameter ($K_{S,E} = 8.76 \text{ W m}^{-2}$) for irradiance was taken from the literature as wild-type *Rb. sphaeroides* based on an average wavelength of 850 nm [142]. Comparisons were made with a LHII deficient strain which concluded similar results for growth on acetate and lactate. This work would benefit from a study into photoinhibition from an excess of photons. Some studies have observed that photoinhibition starts to occur at solar irradiances of around 1000 W m^{-2} , a common irradiance solar irradiance during midday at about the 42nd parallel (Rome, Madrid, Boston, Wellington *etc.*) [158, 159].

Different radiative intensities could be desired for different biotechnological applications. For example, if the main goal of a PBR is to treat wastewater to discharge limits, then irradiances which foster a high growth rate would be preferred. There would be a maximum concentration of biomass, above which the performance of the reactor would be limited due to self shading. A completely different radiative intensity might be desired if the goal of the PBR is to produce a certain quantity of pigments or other proteins for use as a basis for high value products.

In order to determine these operating limits, a high throughput batch processing method needs to be developed. As concluded in this thesis, the presence of a radiative intensity field means that spatial variations influence the operation of PBRs. It follows that the determination of biokinetic parameters should be revised by carrying out batch tests on small volumes where the radiative field is as uniform as possible. This has been implemented for high throughput algae batch processing [160], however as this system is predominantly anaerobic, logistical questions remain as to how to eliminate oxygen throughout growth and measurement cycles.

Similarly to radiative intensity, different wavelengths can lead to different product outcomes, and an assessment is required to understand how wavelengths can influence phototrophic growth in a mixed culture system. Studies have been carried out to assess the growth and pigment production of PPB in pure cultures, however these results can not be reproduced on mixed culture systems [161]. This is due to the influent containing organisms other than PPB, which can dominate the population when solely white light is used [110]. The previous studies don't assess the implications of combining infrared and selected visible wavelength bands. These considerations could be important for different applications using mixed culture PPB.

5.3.2 Consideration of mass transfer between gas and other phases

The difference between PPB and algae for waste treatment is that photoheterotrophic growth drives the former, while photosynthesis drives the latter. This means that the consideration of gas phase substrates is not as important for PPB as it is for algae. However, in chapter 2, the possibility of a predominant photoautotrophic uptake mechanism was presented in which electron donors were inorganic, such as sulfur compounds or carbon monoxide. More insight into the growth of PPB on inorganic gas streams would be an interesting study. A wide array of high valued products could be obtained by cleaning gaseous waste streams using PPB, however the solid/gas interactions need to be better defined in a CFD model. In chapter 3, two-phase (liquid and gas) systems were used for one of the cases, however this only served as a source of momentum transfer so that mixing within the reactor was sufficient for transport of the biomass to the irradiated zones. As we consider photoautotrophic growth with H_2S , or CO as electron donors, mass transfer from gas to the liquid or solid phases becomes important. In addition to substrate delivery, mass transfer models can help in developing a more accurate description of the bubble population for momentum transfer [162].

5.3.3 Addition of extra functionality for the photo-biological radiation models

The radiation models were designed for photon transport through a single participating medium. The models account for phase scattering, photon absorption, and photon scattering for any number of scalar quantities within the simulation domain. Multiple of wavelength bands can be defined when running the models, however the bands are not explicitly initialised; they rely on the definition of absorption and scattering coefficients for the specific wavelength bands in question. Being able to run the models by specifying a specific wavelength band, along with its intensity distribution would be simpler for an end user, however this would require a baseline definition for specific absorption and scattering coefficients for common media such as air or water which would be read at run-time.

The radiation models can also be upgraded to account for the effects of scattering due to the gas phase without tracking for refraction or reflection over each individual bubble, which would lead to unnecessarily high computational costs. A potential workaround for solving the radiative transfer equation (RTE) on a dynamic bubble distribution would be to break the solution process into a number of steps:

1. Solve the RTE on a single bubble, and account for reflection and refraction.
2. Repeat this step for N different sizes of bubbles.
3. Develop a probabilistic scattering function based on bubble size.
4. Include scope for the scattering function to be included as a sub-model as is the case for the phase scattering function.

This solution approach would allow us to describe the behaviour of the radiative field when dynamic bubbles are present, without having to resolve the RTE at each time step of the simulation.

Using CFD to simulate photon transport has been important to gauge performance for UV disinfection simulations [163]. It has been shown that the effects of differing refractive indices can have a significant effect on the outcome of simulations [67]. Along with bubble dynamics, this is another reason why the model is currently being upgraded to include radiation transport through media with different refractive indices.

5.3.4 Description of a suspended phototrophic solid phase

The CFD model presented in chapter 3 essentially described the behaviour of a liquid phase containing particulate matter as passive scalars. Those passive scalars were advected within a photobioreactor into zones of low and high irradiance. The gas phase was used as a momentum source, and its specific limitations have been discussed above. The treatment of the solid phase as a separated dispersed phase in the photobioreactor could have implications its transport in irradiated zones. With a separate dispersed phase, the domain would adopt the physical properties of the particulate species (density, viscosity, heat conductivity, particle size) proportional to their volume fractions. A study assessing solid advection within either a Lagrangian frame of reference, or as a separate dispersed Eulerian phase could give more insight into the real behaviour of phototrophic biomass within a photobioreactor. Such a study has been performed previously [77] on algal photobioreactors, however a study which can generalise the advection of phototrophic solid advection, including settling, is required. This is to respond to some of the concerns around the costs associated with biomass harvesting and mixing energy requirements.

5.3.5 Incorporation of biofilm behaviour in response to the tangential pressure of a flow field

5.3.6 A study into data-driven design of photobioreactors

For photobioreactors to graduate from lab and pilot-scale installations to full scale installations, optimisation routines must be performed. Data driven optimisation through machine learning algorithms can be employed by training on operational data, learning from how differences in the operation leads to an array of outputs, and building a corresponding black box model. The resulting model is calibrated and validated on unique operational data [164]. There is a range of approaches to data driven design, including Bayesian network learning [165], convoluted neural networks [166], or deep neural networks [167]. Many software companies have released the source code of their statistical learning software libraries so that users can develop models at a high level of abstraction, while achieving near bare metal performance.

A limitation of applying statistical learning methods for photobioreactor design is that large volumes of data are required before models can make any meaningful sense. With the lack of full scale photobioreactor installations, the modelling framework developed in this thesis could provide full scale installation training and testing data sets, although more laboratory work is required for model calibration and validation. There has been a recent attempt at training a convoluted neural network model on pilot-scale photobioreactor data generated from CFD simulations [166]. This study concluded that for different outcomes (maximised biomass growth or maximised biofuel production), different operating conditions were required. In a broader context, the findings concluded that the coupling of hydrodynamics, radiation, and biokinetics was highly important to the operation of the pilot-scaled photobioreactor, as confirmed in the statistical model.

5.3.7 Numerical improvements to the models

There are a number of improvements which can be made to the solver for general usability, and for improvements in computational efficiency. This model is based on 10 biokinetic scalar equations. The encoding of these equations is cumbersome, especially in a CFD context where one has to define both time and space varying terms. In more chemically complex systems, such as advanced oxidation processes, there could be hundreds of state variables in the system, and the encoding of these terms would almost certainly produce software bugs through manual errors. By designing the code so that the user can upload a Petersen matrix and the scalar equations are subsequently generated, many encoding errors could be avoided. Work has previously been done for CFD anaerobic digestion models where balance equations are defined at run time [20], however this work could be extended to compile with updated versions of OpenFOAM and to work with uploaded Petersen matrices.

The performance of the radiation solve could be improved for efficiency. The fvDOM is memory intensive, storing all intensity fields. A dynamic allocation/reallocation could be used depending on the physical memory of the computational system. If a system has low memory capacity, fields could be written to disk when not in use. A balance would have to be found between file input/output operations and memory management. At the time of writing, OpenFOAM has high overhead associated with read/write operations, however improvements have been made to I/O handling for parallel simulations such that a single file is written per field per timestep, rather than N I/O operations for N processors [168].

5.4 Concluding statement

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When the spirits are low, when the day appears dark, when work becomes monotonous, when hope hardly seems worth having, just mount a bicycle and go out for a spin down the road, without thought on anything but the ride you are taking.

Arthur Conan Doyle