

Statistical physics of constrained and long-range interacting quantum systems: thermalization and critical properties

Defence of the Ph.D. thesis in Statistical Physics
Advisor: Marcello Dalmonte



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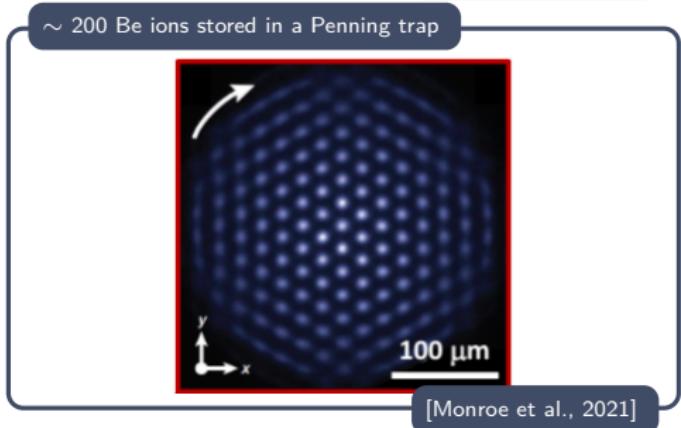
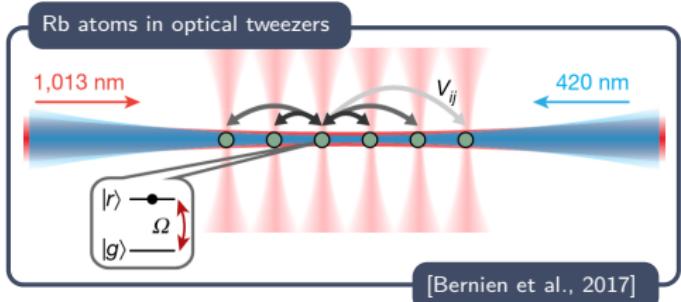
October 18, 2021

Eduardo Gonzalez Lazo

Introduction

Ultracold atoms and trapped ions experiments

- With high degree of isolation
- Realize quantum Ising models
- With power-law-decaying interactions($r^{-\alpha}$)



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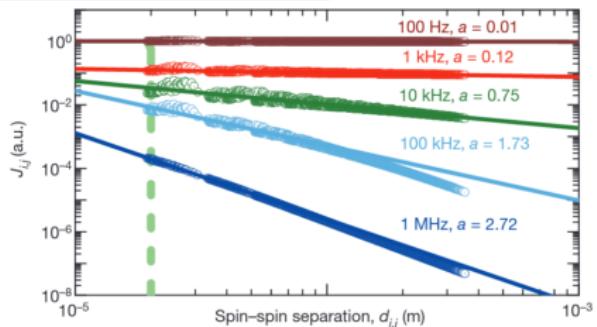
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Be ions stored in a Penning trap



[Britton et al., 2012]

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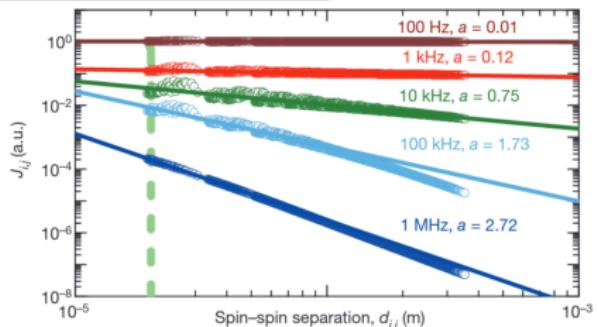
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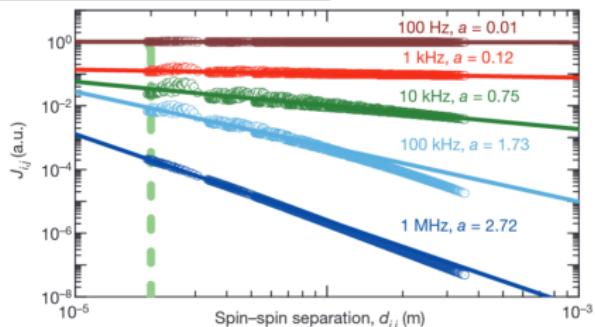
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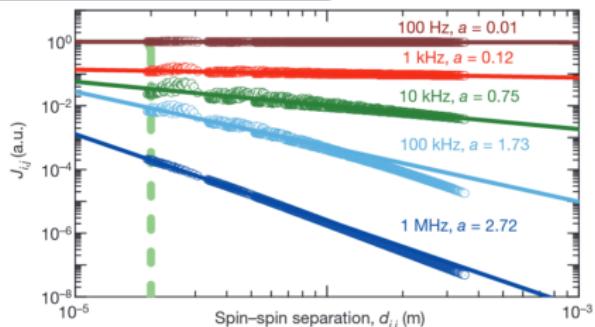
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Contributes to the investigation of important theoretical problems

□ Thermalization in isolated quantum systems

- F.M. Surace, M. Votto, **EGL**, A. Silva, M. Dalmonte and G. Giudici, *Exact many-body scars and their stability in constrained quantum chains*, Phys. Rev. B **103** (2021)
- P. Sierant, **EGL**, M. Dalmonte and A. Scardicchio and J. Zakrzewski, *Constraint-Induced Delocalization*, Phys. Rev. Lett. **127** (2021)

□ Long-range interacting quantum systems

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Finite-temperature critical behavior of long-range quantum Ising models

How interaction range influences

- the critical behavior?
- thermalization?

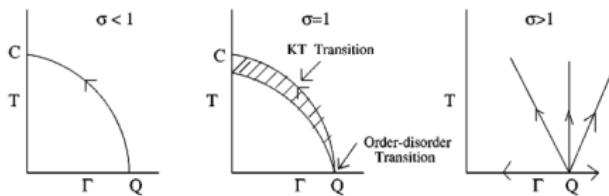
1D LR Ising model in a transverse field

$$\hat{H} = - \sum_{ij} J_{ij} \hat{\sigma}_i^z \hat{\sigma}_j^z - \Gamma \sum_i \hat{\sigma}_i^x \quad J_{ij} = \frac{1}{r_{ij}^\alpha}$$

Critical behavior

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[Dutta and Bhattacharjee, 2001]

$T=0$

- $1 < \alpha \leq 5/3$
- $5/3 < \alpha < \alpha_1^*$
- $\alpha \geq \alpha_1^*$

$T>0$

- $1 < \alpha \leq 3/2$
- $3/2 < \alpha \leq \alpha_2^*$
- $\alpha > \alpha_2^*$

Mean-Field (MF)
Nontrivial
Short Range (SR)

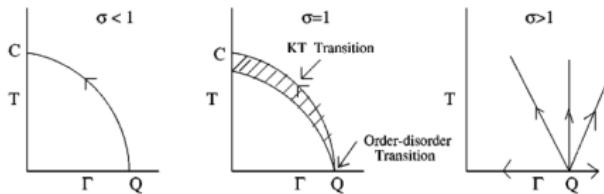
[Defenu et al., 2017][Defenu et al., 2015]

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[Dutta and Bhattacharjee, 2001]

$T=0$

- $1 < \alpha \leq 5/3$
- $d_{uc} = 3(\alpha - 1)/2$

$T>0$

- $1 < \alpha \leq 3/2$
- $d_{uc} = 2(\alpha - 1)$

Mean-Field (MF)

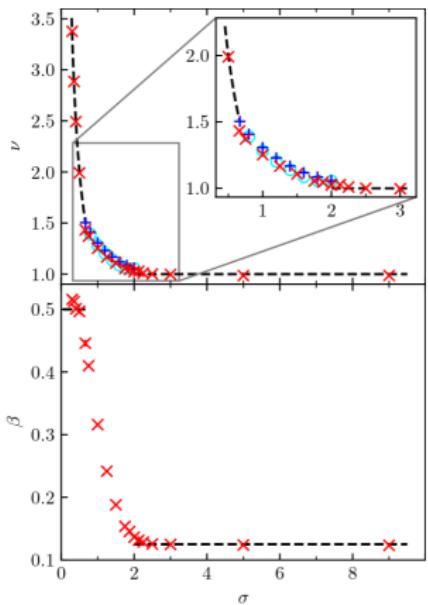
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A Quantum Monte Carlo study

- Stochastic series expansion (SSE)
- Characterized the $T = 0$ transition

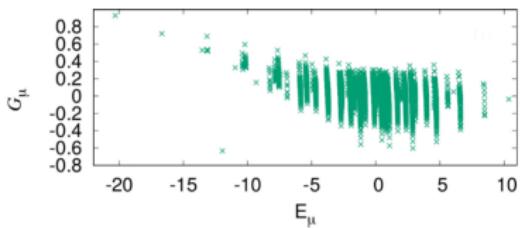
Thermalization

An analysis of the thermalization properties of the eigenstates is generally lacking

$$\hat{H} = -\frac{1}{K} \sum_{ij} J_{ij} \hat{\sigma}_i^z \hat{\sigma}_j^z - \Gamma \sum_i \hat{\sigma}_i^x \quad J_{ij} = \frac{1}{r_{ij}^\alpha} \quad \alpha \geq 0$$

Studied the relation between quantum chaos, ETH and ensemble equivalence

$$G_\mu = \langle \varphi_\mu | \frac{1}{N} \sum_{j=1}^N \hat{\sigma}_j^z \hat{\sigma}_{j+1}^z | \varphi_\mu \rangle$$



- For $0 < \alpha < 1$ eigenstates quantities do not show smooth dependence on energy

[Russomanno et al., 2021]

A thorough understanding of the thermal properties of the system is required

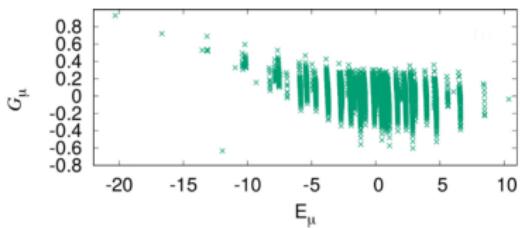
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Our work aims to

- Study the 1D LR Ising model in a transverse field
- Answer whether quantum fluctuations change the nature of the thermal phase transition
- Determine universal and non-universal details
 - value of critical exponents
 - position of the critical points
- Use ab initio, non-perturbative solutions of the problem
- Study different α regimes
 - $\alpha = 0.05$, within the extremely long-range region $\alpha < 1$
 - $\alpha = 1.5$, at the boundary between MF and nontrivial behavior

The model

System Hamiltonian

$$\hat{H} = -\frac{V}{K} \sum_{i < j} \frac{\hat{S}_i^z \hat{S}_j^z}{r_{ij}^\alpha} - \sum_i \hat{S}_i^x$$

$$K \equiv (L-1)^{-1} \sum_{i \neq j} r_{ij}^{-\alpha}$$

$$\hat{S}_i^x = \frac{\hbar}{2} \sigma_i^x$$

The Kać renormalization factor

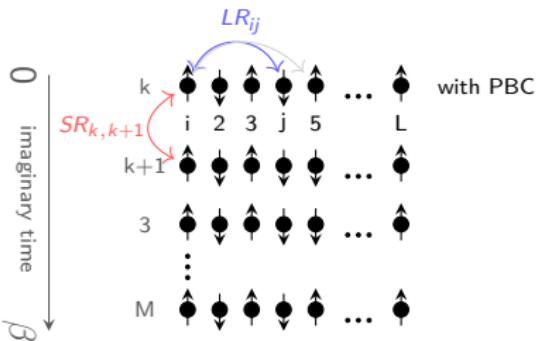
- Ensures the existence of a proper thermodynamic limit
- Do not affect the universal features of the model

$$J_{ij} = \frac{V}{K} \frac{1}{r_{ij}^\alpha}$$


with PBC

Path Integral Monte Carlo (PIMC)

The model maps to a classical, anisotropic, 2D Ising model



The mapping is exact up to $O(\beta/M)$ corrections

β up to 1024

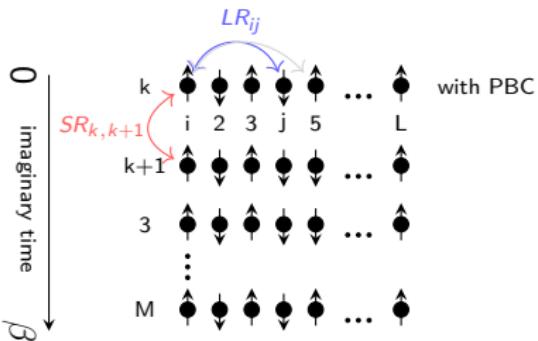
L up to 8192

M up to 65536

Properties of the quantum system are accessed via conventional Monte Carlo simulations

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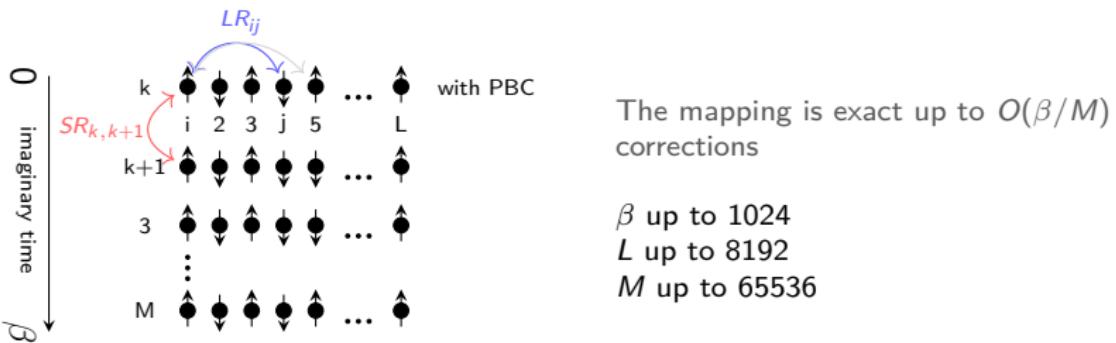
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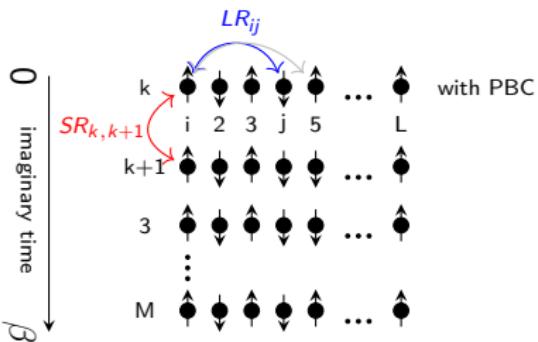
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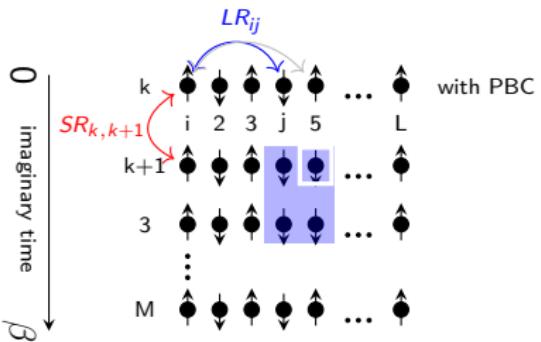
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Critical slowing down problem

- Wolff cluster updates [Wolff, 1989] (short-range part)
- Long-range cluster updates [Luijten and Blöte, 1997] (long-range part)

Properties of the quantum system are accessed via conventional Monte Carlo simulations

Criticality and finite-size systems

Finite-size scaling hypothesis (FSS)

$$Q(t, L) = L^\sigma f(\xi/L)$$

$$Q(t, L) = L^{-k/\nu} g\left(tL^{1/\nu}\right)$$

For $d \geq d_{uc}$

Effective thermal exponent θ_t

$$Q(t, L) = L^{-k\theta_t} g\left(tL^{\theta_t}\right)$$

$$\frac{1}{\nu} = \frac{d_{uc}(\alpha)}{d} \theta_t$$

[Koziol et al., 2021]

PIMC gives direct access to observables

Binder cumulant

$$U = \frac{1}{2} \left[3 - \frac{\langle m_z^4 \rangle}{\langle m_z^2 \rangle^2} \right]$$

Susceptibility

$$\chi = \beta L (\langle m_z^2 \rangle - \langle |m_z| \rangle^2)$$

Ground state phase transition

$$\hat{H} = -\frac{V}{K} \sum_{i < j} \frac{\hat{S}_i^z \hat{S}_j^z}{r_{ij}^\alpha} - \sum_i \hat{S}_i^x$$

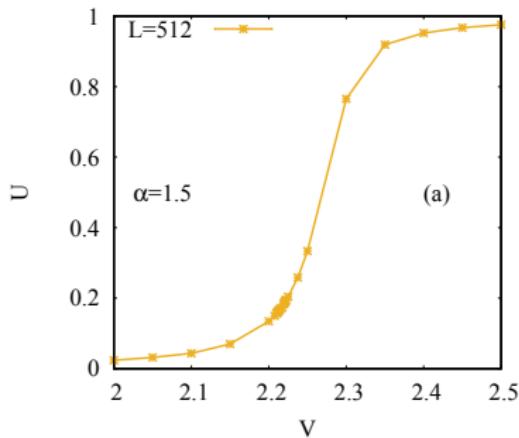
$$U = \frac{1}{2} \left[3 - \frac{\langle m_z^4 \rangle}{\langle m_z^2 \rangle^2} \right] \quad \alpha = 0.05, 1.5$$

FSS

$$V_U(L) = V_c (1 + aL^{-\omega - \theta_t})$$

$$U(L, V_U(L)) = b + cL^{-\omega}$$

Curves $U(V, L)$ for system sizes L and $2L$ cross at size-dependent points $V = V_U(L)$



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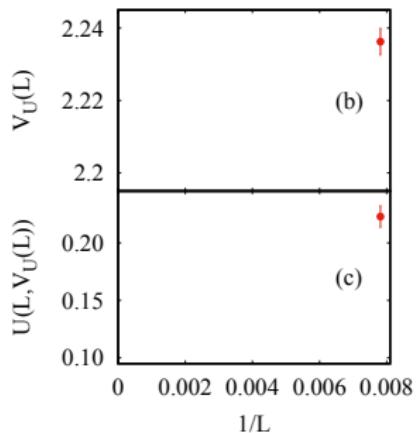
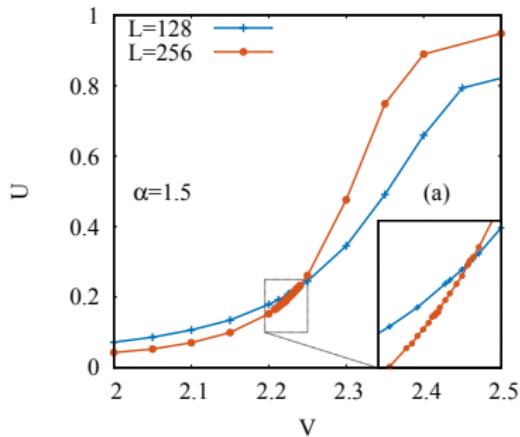
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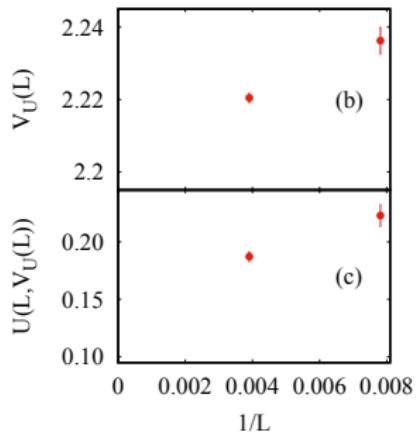
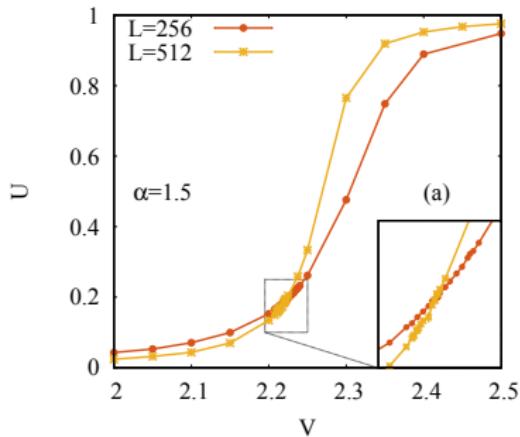
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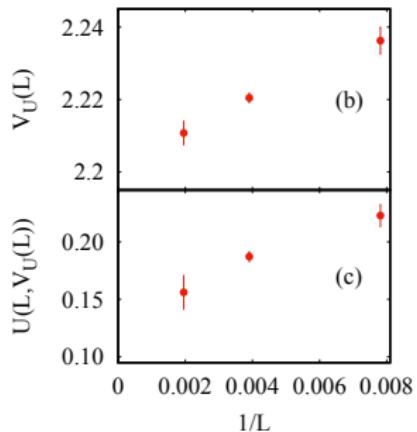
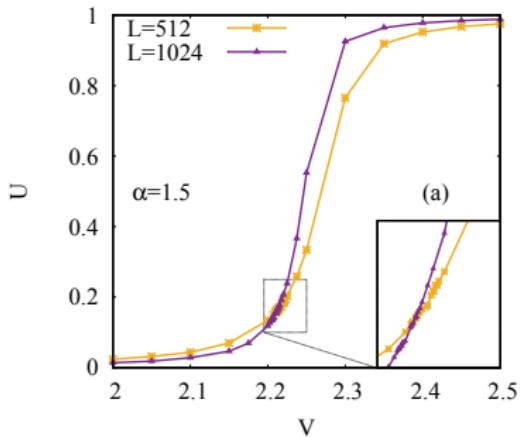
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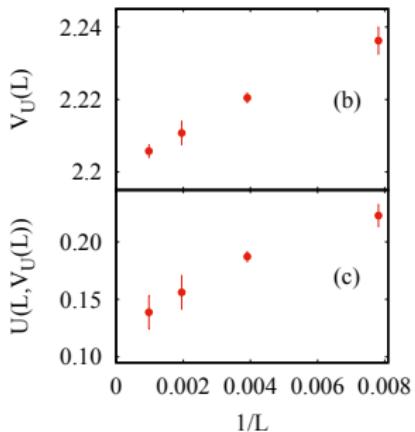
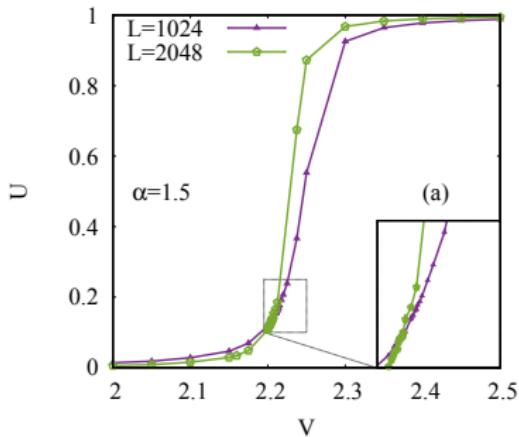
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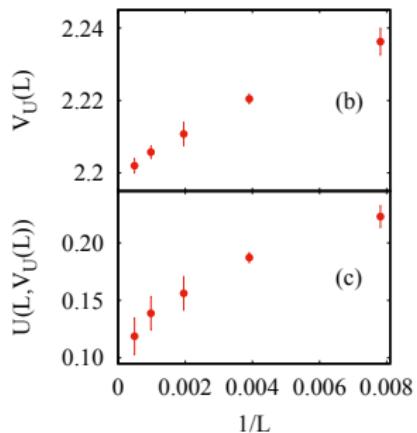
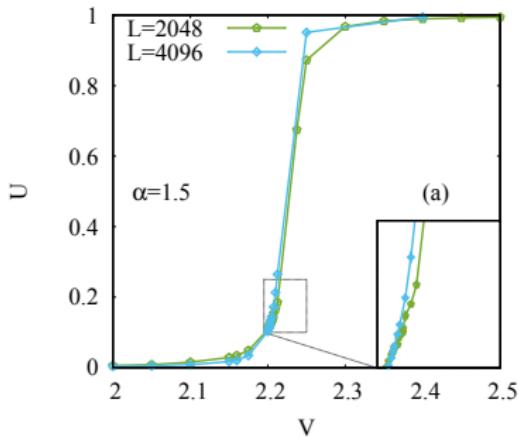
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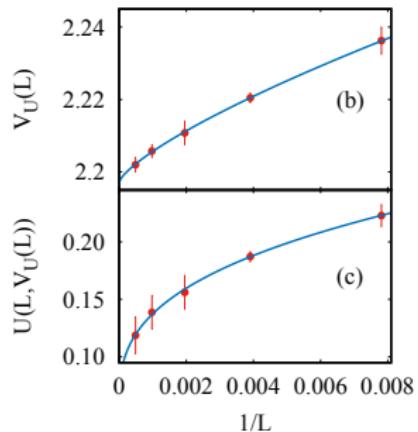
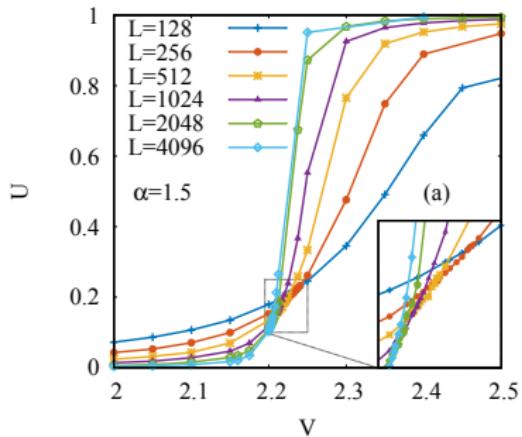
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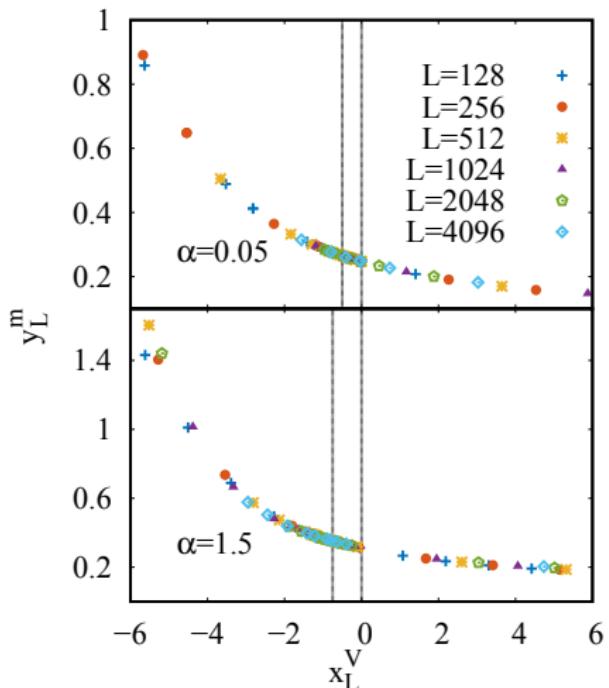
Data collapse

$$m_z^2 \sim L^{-2\beta_m \theta_t} \cdot f [L^{+\theta_t} (V_c - V)]$$

$$y_L^m \equiv m_z^2(L) L^{+2\beta_m \theta_t}$$

$$x_L^V \equiv (V_c - V) L^{\theta_t}$$

[Sandvik, 2010]



Ground state phase transition

| α | V_c (BC) | V_c (DC) | θ_t (BC) | θ_t (DC) | $2\beta_m\theta_t$ (DC) |
|----------|------------|------------|-----------------|-----------------|-------------------------|
| 0.05 | 1.9997(4) | 1.9999 | 0.50(7) | 0.688 | 0.68 |
| 1.50 | 2.1972(7) | 2.1981 | 0.39(6) | 0.64 | 0.715 |

Comparison of the results

- SSE predictions in [Koziol et al., 2021]

$$\begin{array}{c|c|c} \alpha & \theta_t & 2\beta_m\theta_t \\ \hline 1.5 & \sim 0.667 & \sim 0.667 \end{array} \rightarrow \text{MF}$$

- MF predictions in [Botet and Jullien, 1983]

$$\begin{array}{c|c|c} \alpha & \theta_t & 2\beta_m\theta_t \\ \hline 0 & 2/3 & 2/3 \end{array}$$

Ground state phase transition

| α | V_c (BC) | V_c (DC) | θ_t (BC) | θ_t (DC) | $2\beta_m\theta_t$ (DC) |
|----------|------------|------------|-----------------|-----------------|-------------------------|
| 0.05 | 1.9997(4) | 1.9999 | 0.50(7) | 0.688 | 0.68 |
| 1.50 | 2.1972(7) | 2.1981 | 0.39(6) | 0.64 | 0.715 |

Comparison of the results

- SSE predictions in [Koziol et al., 2021]

$$\begin{array}{c|c|c} \alpha & \theta_t & 2\beta_m\theta_t \\ \hline 1.5 & \sim 0.667 & \sim 0.667 \end{array} \rightarrow \text{MF}$$

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- SSE predictions in [Koziol et al., 2021]

| α | θ_t | $2\beta_m\theta_t$ |
|----------|--------------|--------------------|
| 1.5 | ~ 0.667 | ~ 0.667 |

\rightarrow MF

- MF predictions in [Botet and Jullien, 1983]

| α | θ_t | $2\beta_m\theta_t$ |
|----------|------------|--------------------|
| 0 | 2/3 | 2/3 |

Ground state phase transition

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Finite temperature phase transition

$$\hat{H} = -\frac{V}{K} \sum_{i < j} \frac{\hat{S}_i^z \hat{S}_j^z}{r_{ij}^\alpha} - \sum_i \hat{S}_i^x$$

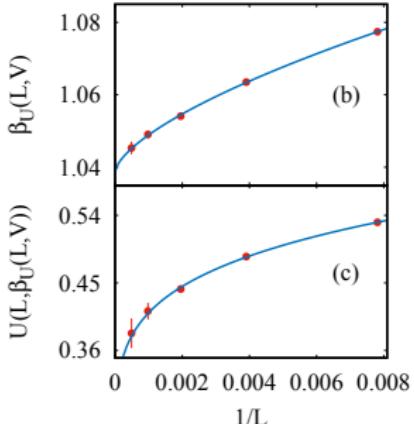
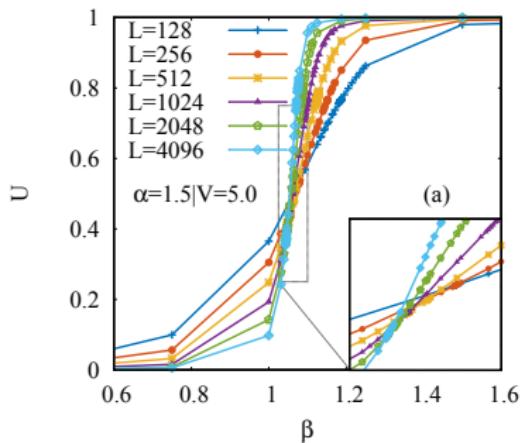
$$U = \frac{1}{2} \left[3 - \frac{\langle m_z^4 \rangle}{\langle m_z^2 \rangle^2} \right]$$

$$\alpha = 0.05, 1.5 \quad | \quad V = 2.5, 3, 3.5, 5$$

FSS

$$\beta_U(L, V) = \beta_c (1 + aL^{-\omega - \theta_t})$$

$$U(L, \beta_U(L, V)) = b + cL^{-\omega}$$



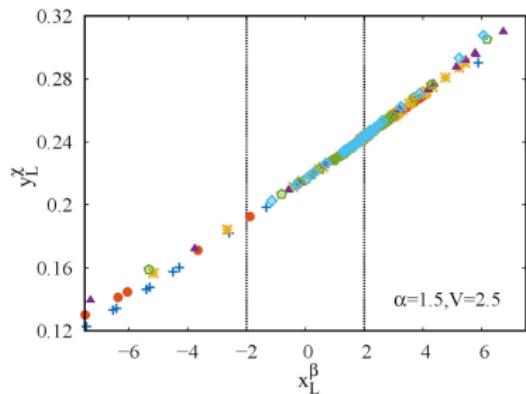
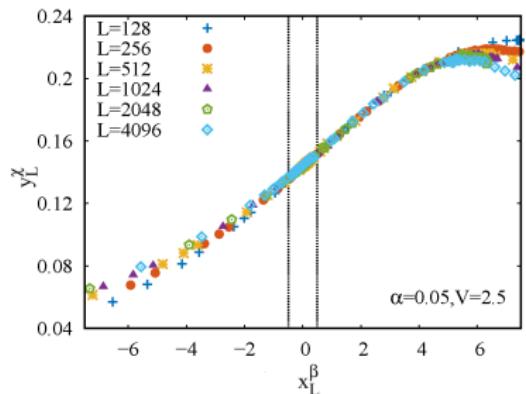
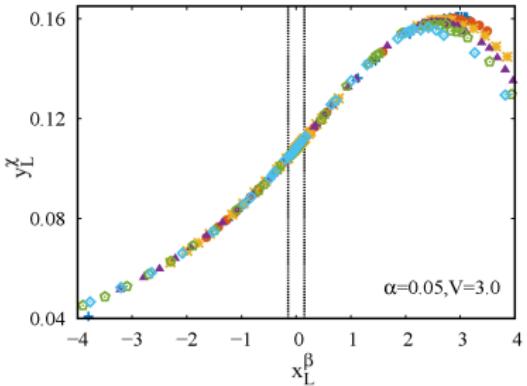
Finite temperature phase transition

Data collapse

$$\chi \sim L^{\gamma \theta_t} \cdot f(L^{\theta_t}(\beta_c - \beta))$$

$$y_L^\chi \equiv \chi(L)L^{-\gamma \theta_t}$$

$$x_L^\beta \equiv (\beta_c - \beta)L^{\theta_t}$$



Finite temperature phase transition

| | | β_c | | θ_t | | $\gamma\theta_t$ |
|-----------------|-----------|------------|-------------|------------|-------------|------------------|
| | | U | χ_{dc} | U | χ_{dc} | χ_{dc} |
| | V | | | | | |
| $\alpha = 0.05$ | $V = 2.5$ | 2.2007(4) | 2.20 | / | 0.51 | 0.505 |
| | $V = 3.0$ | 1.6120(7) | 1.612 | / | 0.485 | 0.515 |
| | $V = 3.5$ | 1.299(1) | 1.303 | / | 0.49 | 0.523 |
| | $V = 5.0$ | 0.8474(2)* | 0.8491 | 0.5(1) | 0.50 | 0.524 |
| $\alpha = 1.50$ | $V = 2.5$ | 3.21(1) | 3.229 | 0.49(7) | 0.50 | 0.516 |
| | $V = 3.0$ | 2.109(1)* | 2.115 | 0.50(2) | 0.52 | 0.538 |
| | $V = 3.5$ | 1.647(6) | 1.650 | 0.5(2) | 0.52 | 0.545 |
| | $V = 5.0$ | 1.039(1) | 1.041 | 0.44(7) | 0.530 | 0.550 |

Comparison of the results

- MC¹ predictions in [Luijten and Blöte, 1997]

$$\begin{array}{c|c|c|c} \alpha & V & \theta_t & \gamma\theta_t \\ \hline 1.5 & \infty & \sim 0.5 & \sim 0.5 \end{array} \rightarrow \text{MF}$$

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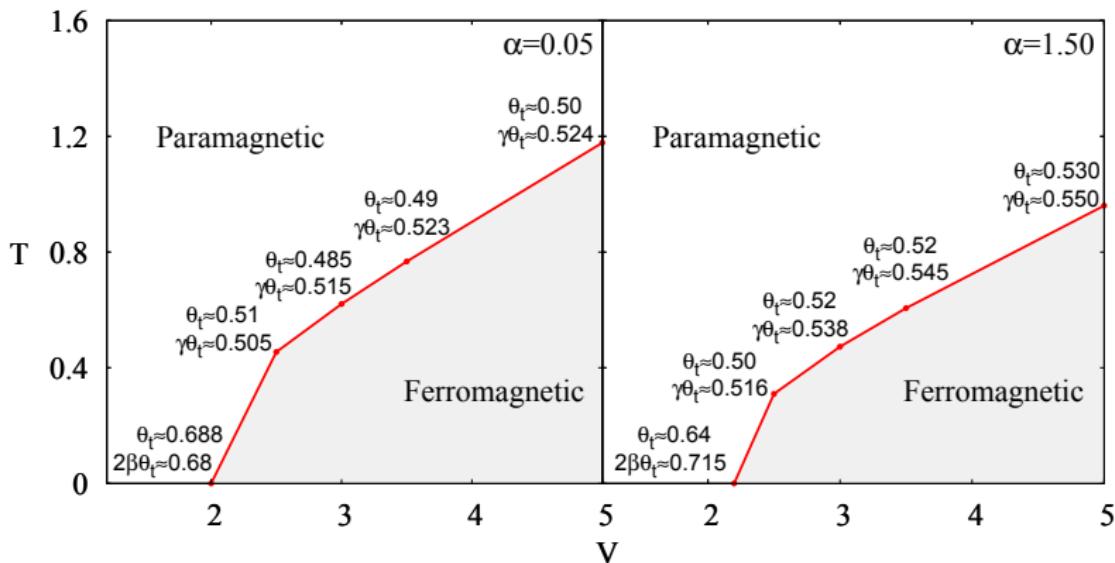
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Criticality and phase diagram

$$\hat{H} = -\frac{V}{K} \sum_{i < j} \frac{\hat{S}_i^z \hat{S}_j^z}{r_{ij}^\alpha} - \sum_i \hat{S}_i^x$$



Conclusions

- We study the long-range quantum Ising chain
 - ▣ Characterising the phase diagram and critical behavior
- We find essential agreement with existing predictions when possible
 - ▣ We find compatibility of our $\alpha = 0.05$ results with the fully-connected universal properties
 - ▣ The non-zero T transition follows the classical prediction

Outlook

- Study the critical behaviour for $\alpha < 1$
- Study supersolidity and bilayer systems of dipolar bosons
 - ▣ by the use of PIMC methods in continuous space



Acknowledgements





Thank you for your attention

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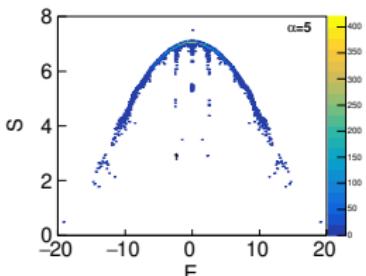
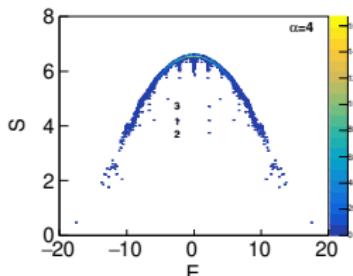
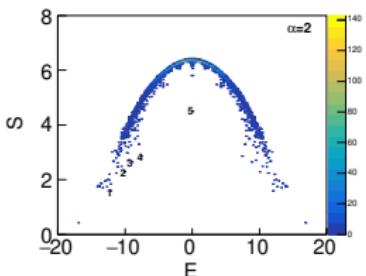
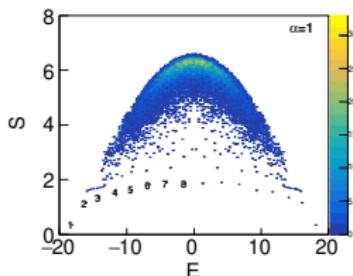
ETH characterization

$$H_0^\alpha = \sum_i P_{i-\alpha} \dots P_{i-1} X_i P_{i+1} \dots P_{i+\alpha},$$

ETH characterization

Half-chain bipartite entanglement entropy of eigenstates

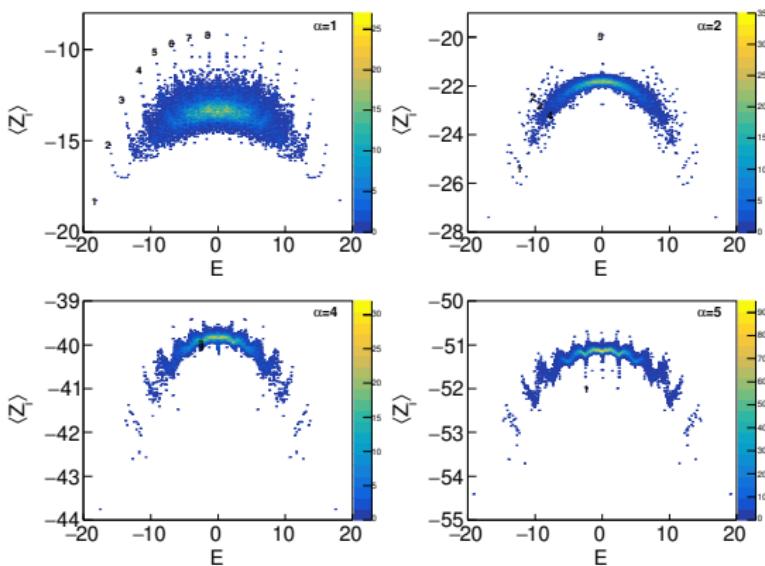
$$S^{E_n} = - \text{Tr}_A (\hat{\rho}_A^{E_n} \ln \hat{\rho}_A^{E_n})$$



ETH characterization

Local expectation values on the eigenstates

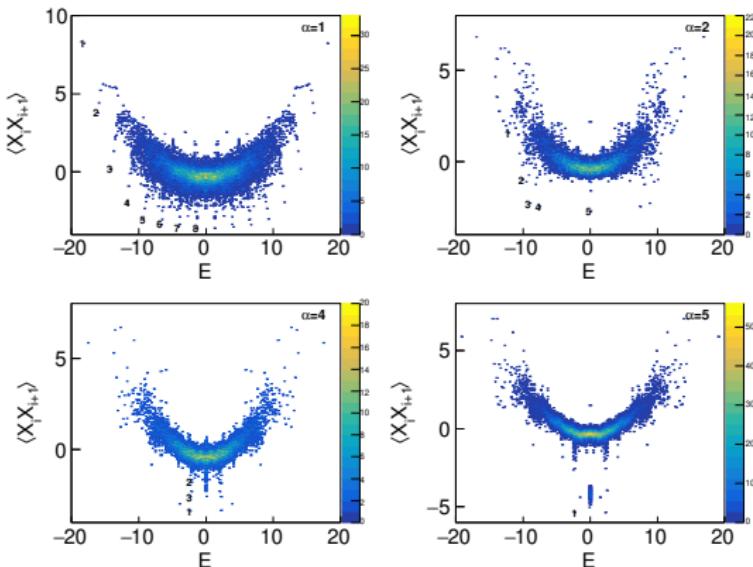
$$\langle Z_i \rangle = \langle E_n | \sum_i Z_i | E_n \rangle$$



ETH characterization

Local expectation values on the eigenstates

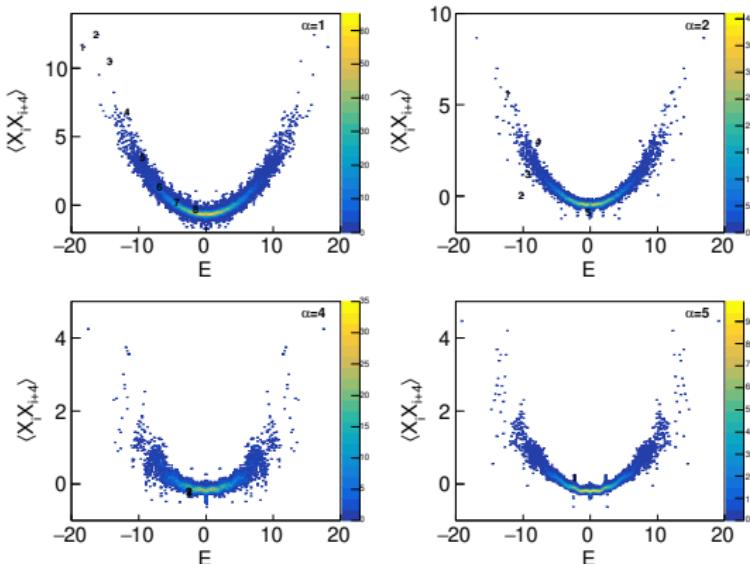
$$\langle X_i X_{i+1} \rangle = \langle E_n | X_i X_{i+1} | E_n \rangle$$



ETH characterization

Local expectation values on the eigenstates

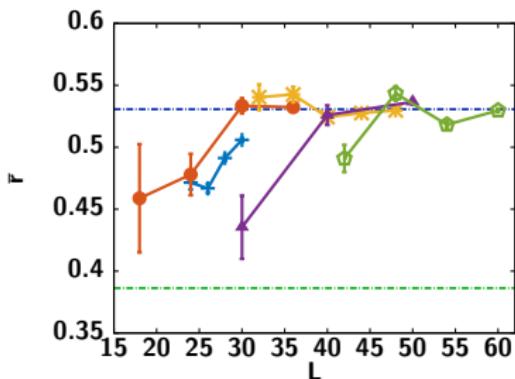
$$\langle X_i X_{i+4} \rangle = \langle E_n | X_i X_{i+4} | E_n \rangle$$



ETH characterization

Spectral statistics

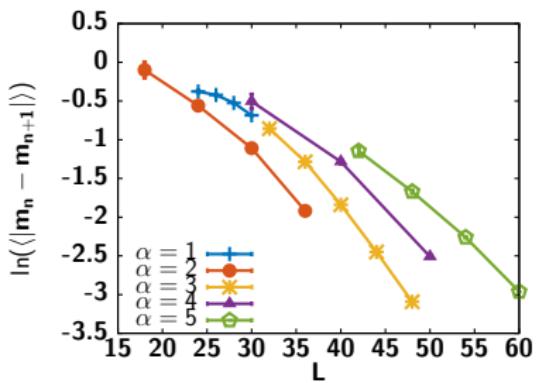
$$\bar{r} = \left\langle \frac{\text{Min}\{\Delta E_n, \Delta E_{n+1}\}}{\text{Max}\{\Delta E_n, \Delta E_{n+1}\}} \right\rangle$$



ETH characterization

Average difference of m_n between adjacent eigenstates

$$m_n = \langle E_n | \hat{\sigma}_i^z | E_n \rangle$$

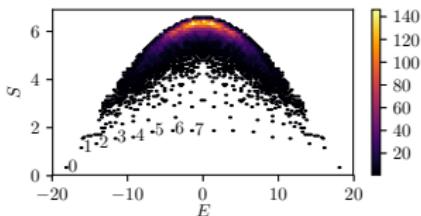




Exact scars and their stability

$$H_0^\alpha = \sum_i P_{i-\alpha} \dots P_{i-1} X_i P_{i+1} \dots P_{i+\alpha},$$

Scars in the thermodynamic limit



- Numerical results reveal hybridization of these scars with thermal eigenstates

[Turner et al., 2018]

Exact scars in the form of MPS

$$OBC : \quad |\Gamma_{i,j}\rangle = \sum_{\{\sigma\}} v_i^T A_{\sigma_1\sigma_2} \dots A_{\sigma_{L-1}\sigma_L} v_j |\sigma_1\sigma_2 \dots \sigma_{L-1}\sigma_L\rangle \quad i,j = 1,2$$

$$PBC : \quad |\Phi_1\rangle = \sum_{\{\sigma\}} \text{Tr}[A_{\sigma_1\sigma_2} \dots A_{\sigma_{L-1}\sigma_L}] |\sigma_1\sigma_2 \dots \sigma_{L-1}\sigma_L\rangle \quad |\Phi_2\rangle = T_x |\Phi_1\rangle$$

$$|\Gamma_{11}\rangle, |\Gamma_{22}\rangle, |\Phi_i\rangle \rightarrow E = 0$$

$$|\Gamma_{12}\rangle \rightarrow E = \sqrt{2}$$

$$|\Gamma_{21}\rangle \rightarrow E = -\sqrt{2}$$

[Lin and Motrunich, 2019]

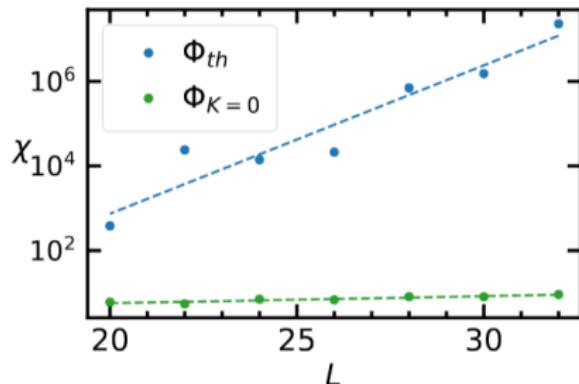
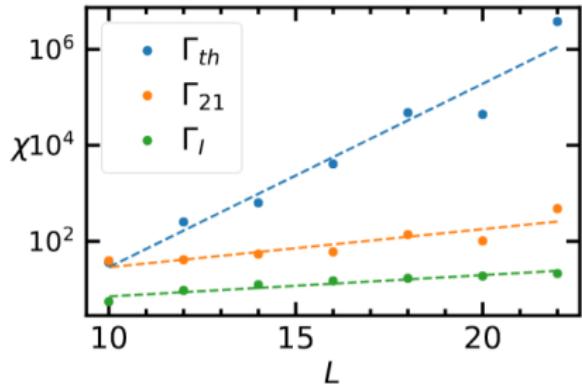
Stability, Perturbation Theory and ETH

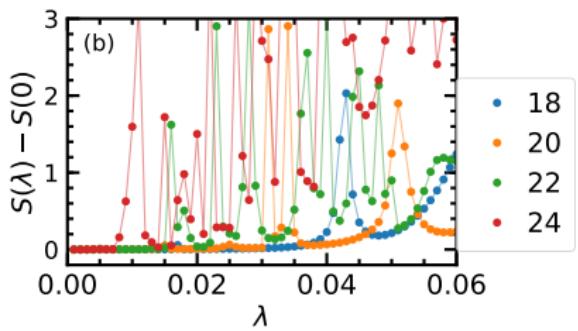
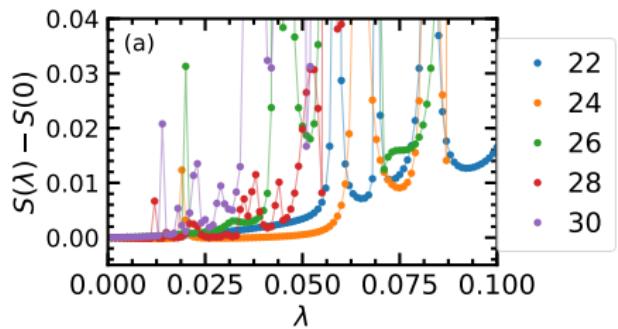
Stability

An eigenstate of H_0 is stable if it can be deformed to an eigenstate of $H_0 + \lambda V$ with a local unitary transformation in the thermodynamic limit

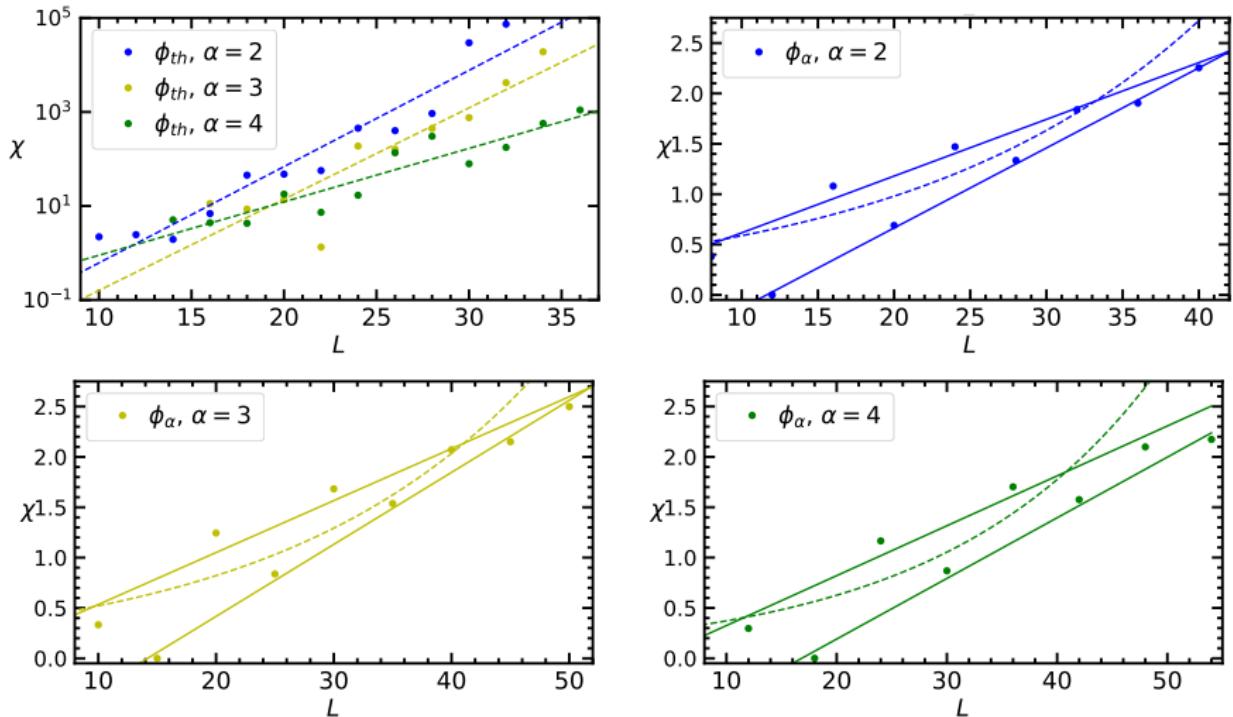
Fidelity susceptibility

$$\chi_F [|n^0\rangle] = \lim_{\lambda \rightarrow 0} \frac{-2 \ln |\langle n^0 | n^\lambda \rangle|}{\lambda^2} = \sum_{m \neq n} \left| \frac{\langle m^0 | V | n^0 \rangle}{E_n^0 - E_m^0} \right|^2$$





Bipartite entanglement entropy of the states (a) $|\Phi_{K=0}^{\lambda}\rangle$ and (b) $|\Gamma_i^{\lambda}\rangle$ as a function of λ . Peaks in this quantity signal hybridization of the perturbed state with thermal eigenstates. By increasing the system size, we find peaks closer and closer to $\lambda = 0$, suggesting that the scar eigenstates are not stable in the thermodynamic limit.



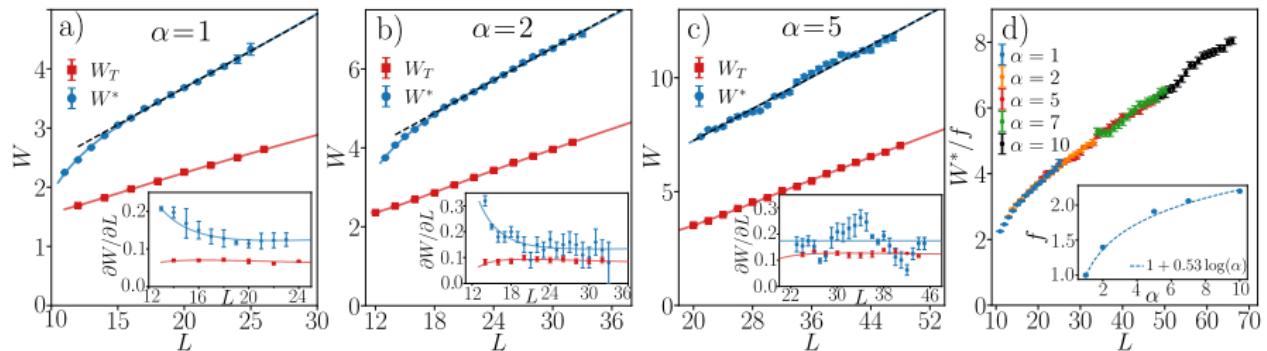
Scaling of the fidelity susceptibility with system size. The results shown refer to the generic states ϕ_{th} (upper left panel) and the scarred eigenstates ϕ_α (upper right panel and lower panels). Dashed lines are obtained from fits with an exponential scaling, solid lines with linear scaling. The result points at the same behavior occurring in the PXP model.

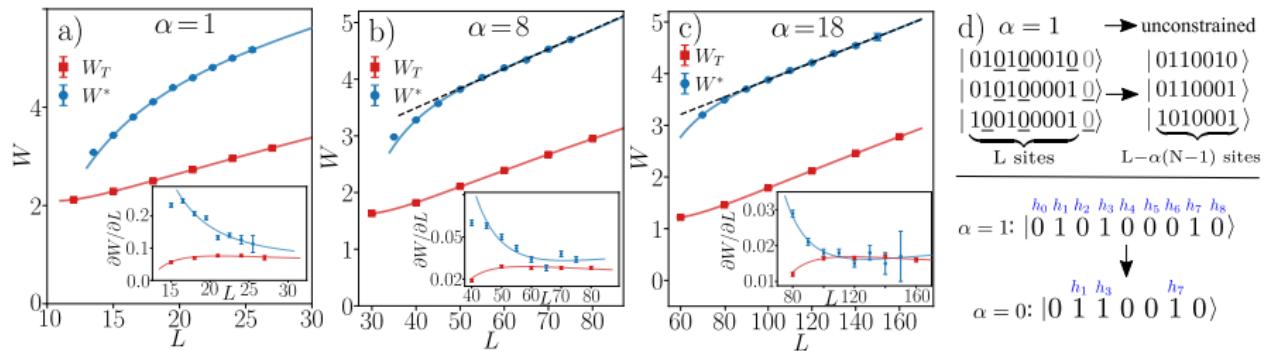


Constraint-induced delocalization

$$\hat{H} = \sum_{i=1}^L P_i^\alpha S_i^x P_{i+1+\alpha}^\alpha + \sum_{i=1}^L h_i S_i^z$$

$$P_i^\alpha = \prod_{j=i-\alpha}^{i-1} (1/2 - S_j^z) \quad h_i \in [-W/2, W/2]$$





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