

이동민

삼성전자 서울대 공동연구소 Jul 16, 2019

### Outline

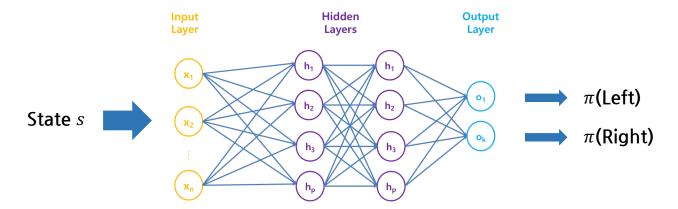
- Advantage Actor-Critic (A2C)
  - Learning process
  - Hyperparameter
  - Main loop
  - Train model
  - Train & TensorboardX
  - Learning curve & Test
- Comparison of algorithms for discrete action Learning curve



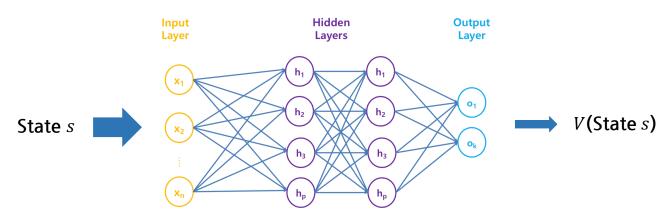
- Learning process
  - 1. 상태에 따른 행동 선택
  - 2. 환경에서 선택한 행동으로 한 time step을 진행한 후, 다음 상태와 보상을 받음
  - 3. Sample (s, a, r, s')로 Actor & Critic network 업데이트



Actor network



Critic network





#### Actor network

```
class Actor(nn.Module):
         def __init__(self, state_size, action_size, args):
             super(Actor, self).__init__()
             self.fc1 = nn.Linear(state_size, args.hidden_size)
             self.fc2 = nn.Linear(args.hidden size, args.hidden size)
             self.fc3 = nn.Linear(args.hidden_size, action_size)
10
         def forward(self, x):
11
12
             x = torch.tanh(self.fc1(x))
13
             x = torch.tanh(self.fc2(x))
14
             policies = torch.softmax(self.fc3(x), dim=1)
15
             return policies
```



Critic network

```
class Critic(nn.Module):
18
         def __init__(self, state_size, args):
19
              super(Critic, self).__init__()
20
21
              self.fc1 = nn.Linear(state_size, args.hidden_size)
22
              self.fc2 = nn.Linear(args.hidden_size, args.hidden_size)
              self.fc3 = nn.Linear(args.hidden_size, 1)
23
25
         def forward(self, x):
             x = torch.tanh(self.fc1(x))
27
             x = torch.tanh(self.fc2(x))
28
             value = self.fc3(x)
29
              return value
30
```



1. 상태에 따른 행동 선택

```
policies = actor(torch.Tensor(state))
action = get_action(policies)
```

```
def get_action(policies):
    categorical = Categorical(policies)
    action = categorical.sample()
    action = action.data.numpy()[0]
    return action
```

2. 환경에서 선택한 행동으로 한 time step을 진행한 후, 다음 상태와 보상을 받음

```
next_state, reward, done, _ = env.step(action)
```



- 3. Sample (s, a, s', r)로 Actor & Critic network 업데이트
  - Critic Loss

$$J_V(\phi) = (\underline{r + \gamma V_{\phi}(s')} - \underline{V_{\phi}(s)})^2$$
Target Prediction

```
33
         # update critic
          criterion = torch.nn.MSELoss()
34
35
36
          value = critic(torch.Tensor(state)).squeeze(1)
37
         next_value = critic(torch.Tensor(next_state)).squeeze(1)
          target = reward + mask * args.gamma * next_value
39
          critic_loss = criterion(value, target.detach())
41
42
          critic_optimizer.zero_grad()
          critic_loss.backward()
43
44
          critic_optimizer.step()
```



- 3. Sample (s, a, s', r)로 Actor & Critic network 업데이트
  - Actor Loss
     regularization term

$$J_{\pi}(\theta) = -\log \pi_{\theta}(a|s) \left( r + \gamma V_{\phi}(s') - V_{\phi}(s) \right) + \alpha \left( -\sum_{i} \pi_{\theta_{i}} \log \pi_{\theta_{i}} \right)$$
Advantage Function
entropy

 Entropy is used to improve exploration by limiting the premature convergence to suboptimal policy.

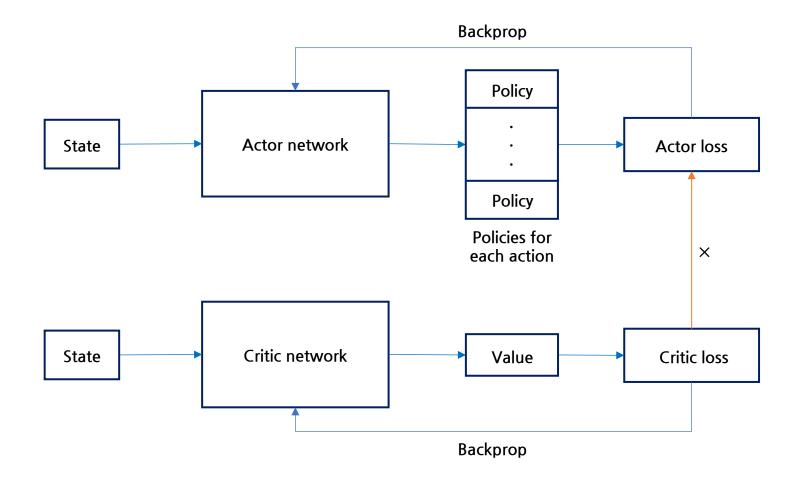


- 3. Sample (s, a, s', r)로 Actor & Critic network 업데이트
  - Actor Loss

$$J_{\pi}(\theta) = -\underline{\log \pi_{\theta}(a|s)} \left( r + \gamma V_{\phi}(s') - V_{\phi}(s) \right) + \alpha \left( -\sum_{i} \pi_{\theta_{i}} \log \pi_{\theta_{i}} \right)$$

```
46
         # update actor
47
         categorical = Categorical(policies)
          log_policy = categorical.log_prob(torch.Tensor([action]))
         entropy = categorical.entropy()
49
         advantage = target - value
51
52
53
         actor_loss = -log_policy * advantage.item() + args.ent_coef * entropy
54
         actor_optimizer.zero_grad()
55
         actor_loss.backward()
56
         actor_optimizer.step()
```







## Hyperparameter

```
13
      parser = argparse.ArgumentParser()
14
      parser.add_argument('--env_name', type=str, default="CartPole-v1")
15
      parser.add_argument('--load_model', type=str, default=None)
16
      parser.add_argument('--save_path', default='./save_model/', help='')
     parser.add argument('--render', action="store true", default=False)
17
      parser.add argument('--gamma', type=float, default=0.99)
18
      parser.add argument('--hidden size', type=int, default=64)
19
20
      parser.add_argument('--actor_lr', type=float, default=1e-4)
      parser.add argument('--critic lr', type=float, default=1e-3)
21
      parser.add argument('--ent_coef', type=float, default=0.1)
22
      parser.add argument('--max iter num', type=int, default=1000)
23
24
      parser.add_argument('--log_interval', type=int, default=10)
25
     parser.add argument('--goal score', type=int, default=400)
26
      parser.add argument('--logdir', type=str, default='./logs',
27
                          help='tensorboardx logs directory')
28
     args = parser.parse_args()
```



## Main loop

- Initialization
  - o Seed random number 고정
  - Actor & Critic network
  - Actor & Critic optimizer
  - TensorboardX

```
def main():
67
          env = gym.make(args.env_name)
          env.seed(500)
          torch.manual_seed(500)
          state_size = env.observation_space.shape[0]
          action_size = env.action_space.n
          print('state size:', state_size)
          print('action size:', action_size)
          actor = Actor(state_size, action_size, args)
          critic = Critic(state_size, args)
          actor_optimizer = optim.Adam(actor.parameters(), lr=args.actor_lr)
79
          critic_optimizer = optim.Adam(critic.parameters(), lr=args.critic_lr)
         writer = SummaryWriter(args.logdir)
83
84
          running_score = 0
```



## Main loop

- Episode 진행
  - Reshape state vector  $(4) \rightarrow (1,4)$
  - 상태에 따른 행동 선택
  - 다음 상태와 보상을 받음
  - Reshape next state vector
  - Reward, mask 설정
  - o Transition list에 저장
  - Train model
  - Running score 설정

```
for episode in range(args.max iter num):
   done = False
   score = 0
   state = env.reset()
   state = np.reshape(state, [1, state_size])
   while not done:
        if args.render:
           env.render()
       policies = actor(torch.Tensor(state))
        action = get_action(policies)
        next_state, reward, done, _ = env.step(action)
       next_state = np.reshape(next_state, [1, state_size])
        reward = reward if not done or score == 499 else -1
        mask = 0 if done else 1
        transition = [state, action, reward, next_state, mask]
        actor.train(), critic.train()
        train_model(actor, critic, actor_optimizer, critic_optimizer,
                    transition, policies)
        state = next_state
        score += reward
   score = score if score == 500.0 else score + 1
   running_score = 0.99 * running_score + 0.01 * score
```



## Main loop

- Print & Visualize log
- Running score > 400
  - Save model
  - 학습 종료

```
118
               if episode % args.log interval == 0:
                   print('{} episode | running_score: {:.2f}'.format(episode, running_score))
119
120
                   writer.add_scalar('log/score', float(score), episode)
121
122
               if running_score > args.goal_score:
123
                   if not os.path.isdir(args.save_path):
                       os.makedirs(args.save_path)
124
125
126
                   ckpt_path = args.save_path + 'model.pth.tar'
127
                   torch.save(actor.state_dict(), ckpt_path)
128
                   print('Running score exceeds 400. So end')
129
                   break
```



#### Train model

• Transition List → state, action, next\_state, reward, mask 각각 나누기

```
def train_model(actor, critic, actor_optimizer, critic_optimizer, transition, policies):
    state, action, reward, next_state, mask = transition
```

- o state (1, 4)
- action
- reward
- next\_state (1, 4)
- mask

```
state [[-0.09066657 -1.56210361 -0.02062117 1.61977871]]
action 0
reward 1.0
next_state [[-0.12190864 -1.75697662 0.01177441 1.90596389]]
mask 1

state [[-0.12190864 -1.75697662 0.01177441 1.90596389]]
action 0
reward 1.0
next_state [[-0.15704817 -1.95222371 0.04989369 2.20227582]]
mask 1

state [[-0.15704817 -1.95222371 0.04989369 2.20227582]]
action 1
reward 1.0
next_state [[-0.19609265 -1.75761556 0.0939392 1.92538951]]
mask 1
```



#### **Train model**

Update critic - MSE Loss

$$0 J_V(\phi) = (\underline{r + \gamma V_{\phi}(s')} - \underline{V_{\phi}(s)})^2$$
Target Prediction

```
# update critic
33
          criterion = torch.nn.MSELoss()
34
35
          value = critic(torch.Tensor(state)).squeeze(1)
37
          next_value = critic(torch.Tensor(next_state)).squeeze(1)
          target = reward + mask * args.gamma * next_value
39
40
41
          critic_loss = criterion(value, target.detach())
42
          critic_optimizer.zero_grad()
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          critic_loss.backward()
          critic_optimizer.step()
```



#### **Train model**

Update actor

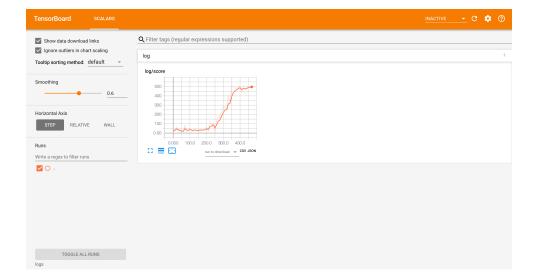
$$O J_{\pi}(\theta) = -\log \pi_{\theta}(a|s) \left(r + \gamma V_{\phi}(s') - V_{\phi}(s)\right) + \alpha \left(-\sum_{i} \pi_{\theta_{i}} \log \pi_{\theta_{i}}\right)$$
Entropy coefficient: 0,1

```
46
          # update actor
47
          categorical = Categorical(policies)
          log policy = categorical.log prob(torch.Tensor([action]))
49
          entropy = categorical.entropy()
50
51
          advantage = target - value
52
53
          actor_loss = -log_policy * advantage.item() + args.ent_coef * entropy
          actor_optimizer.zero_grad()
54
55
          actor_loss.backward()
56
          actor_optimizer.step()
```



### **Train & TensorboardX**

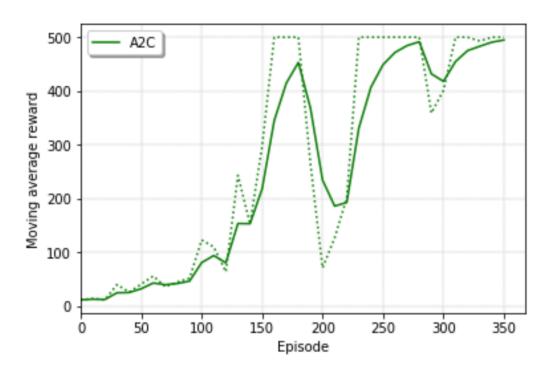
- Terminal A train 실행
  - conda activate env\_name
  - python train.py
- Terminal B tensorboardX 실행
  - conda activate env\_name
  - tensorboard --logdir logs
  - ➤ (웹에서) localhost:6006





## **Learning curve & Test**

Learning curve

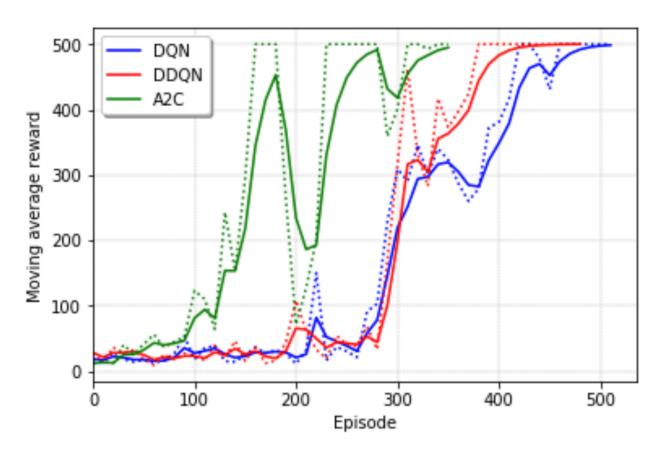


- Test
  - python test.py



## Comparison of algorithms for discrete action

Learning curve





## Comparison of algorithms for discrete action

Discrete action일 때는 어떤 알고리즘이 제일 좋을까요? 그리고 그 알고리즘이 왜 좋을까요?



## Comparison of algorithms for discrete action

#### Discrete action일 때는 어떤 알고리즘이 제일 좋을까요? 그리고 그 알고리즘이 왜 좋을까요?

- DQN, DDQN (Off-policy algorithms)
  - Replay buffer의 크기 → 컴퓨터의 메모리를 많이 차지하며 느린 학습 속도
  - Replay buffer를 통해 학습을 진행
     → 지금 policy가 아닌 이전 policies를 통해 모은 sample로 학습
  - $\circ$   $\epsilon$ -greedy policy를 통한 action 선택
- A2C (On-policy algorithm)
  - o Replay buffer가 **필요하지 않음**
  - o **현재 policy**를 통해 학습
  - Actor에서 나오는 policy 자체가 action에 대한 확률(stochastic policy)이므로 따로 action에
     대한 exploration을 정해주지 않아도 됨
  - o Actor와 Critic 나눠서 업데이트



# Thank you

