

Exceptional Engineering Research That Has Taken Longer
Than Everyone Thought It Would

Emma J. Student

A dissertation submitted to the faculty of
Brigham Young University
in partial fulfillment of the requirements for the degree of
Doctor of Philosophy

Norman D. Smith, Chair
Mary Q. Scott
Steven R. Jones
Anna B. Hanna

Mechanical Engineering
Brigham Young University

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Mechanical Engineering
Doctor of Philosophy

BYU ENGINEERING

Abstract

The abstract is summary of the work with emphasis on the findings of the study. It is not intended to be an *introduction* to the research. Instead, it should concisely state the problem addressed, the methods developed and used, and the results obtained. It must be single spaced and no more than one page in length. It must use the same font and font size as the rest of the work. The abstract precedes the acknowledgment page and the body of the work. Lorem ipsum dolor sit amet, consectetur adipiscing elit. Etiam lobortis facilisis sem. Nullam nec mi et neque pharetra sollicitudin. Praesent imperdiet mi nec ante. Donec ullamcorper, felis non sodales commodo, lectus velit ultrices augue, a dignissim nibh lectus placerat pede. Vivamus nunc nunc, molestie ut, ultricies vel, semper in, velit. Ut porttitor. Praesent in sapien. Lorem ipsum dolor sit amet, consectetur adipiscing elit. Duis fringilla tristique neque. Sed interdum libero ut metus. Pellentesque placerat. Nam rutrum augue a leo. Morbi sed elit sit amet ante lobortis sollicitudin. Praesent blandit blandit mauris. Praesent lectus tellus, aliquet aliquam, luctus a, egestas a, turpis. Mauris lacinia lorem sit amet ipsum. Nunc quis urna dictum turpis accumsan semper.

Keywords: awesome stuff, killer app, buzz words

Acknowledgments

Students should acknowledge funding sources. They may also use the acknowledgment page to express appreciation for the committee members, friends or family who aided in the research, writing or technical aspects of the dissertation, thesis or selected project. Acknowledgments should be simple and in good taste. Lorem ipsum dolor sit amet, consectetur adipiscing elit. Etiam lobortis facilisis sem. Nullam nec mi et neque pharetra sollicitudin. Praesent imperdiet mi nec ante. Donec ullamcorper, felis non sodales commodo, lectus velit ultrices augue, a dignissim nibh lectus placerat pede. Vivamus nunc nunc, molestie ut, ultricies vel, semper in, velit. Ut porttitor. Praesent in sapien. Lorem ipsum dolor sit amet, consectetur adipiscing elit. Duis fringilla tristique neque. Sed interdum libero ut metus. Pellentesque placerat. Nam rutrum augue a leo. Morbi sed elit sit amet ante lobortis sollicitudin. Praesent blandit blandit mauris. Praesent lectus tellus, aliquet aliquam, luctus a, egestas a, turpis. Mauris lacinia lorem sit amet ipsum. Nunc quis urna dictum turpis accumsan semper.

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Nomenclature

α	Angle of attack
β	Sideslip angle
γ	Climb angle
\mathbf{x}	State vector
Re	Reynolds number
c	Speed of light in a vacuum inertial frame
h	Planck constant
p	Roll rate
q	Pitch rate
r	Yaw rate

Introduction

The opening chapter of a thesis or dissertation will typically provide an introduction to the body of research. At the beginning of a chapter, it is common to provide some introductory text. Instead of discussing research, this template document will highlight how the `byuthesis.cls` and `byuthesisfancy.cls` L^AT_EX classes can be used to prepare a thesis or dissertation document for submission in the College of Engineering at BYU.

1.1 Styles

The formatting and L^AT_EX features that you will use to prepare your thesis are outlined briefly in the next several chapters. The narrow, single column format of this document is based on long-standing principles of typography.¹ This formatting is easy to read compared to the wide-column, double-spaced format used previously. The format of the document is defined in `byuthesis.cls`, a L^AT_EX class defined specifically for theses and dissertations in the College of Engineering at BYU. To give a better sense of the format of the document, we will occasionally throw in some random Latin text to take up white space. We set it apart from the text requiring your attention with a grey font color.

¹ Bringhurst19.

Lorem ipsum dolor sit amet, consectetur adipiscing elit. Etiam lobortis facilisis sem. Nullam nec mi et neque pharetra sollicitudin. Praesent imperdiet mi nec ante. Donec ullamcorper, felis non sodales commodo, lectus velit ultrices augue, a dignissim nibh lectus placerat pede. Vivamus nunc nunc, molestie ut, ultricies vel, semper in, velit.

When using the `byuthesisfancy.cls`, footnotes appear as sidenotes in the right margin.* You can make a reference to a section by using its label, such as Section 1.1. You can reference a chapter in this way, for example Chapter 1. Here is an example of a citation of a master's thesis.² References for this template document are held in a file called `references.bib`.

*This is a sidenote created with the `\sidenote` command.

² masters1.

1.1.1 Including Figures and Tables

The syntax above provides an example for declaring a subsection. This subsection will include some text and give an example of a figure and a table. Let's start with with a figure. Figure 1.1 shows the gradient of a function and the halfspace where the function is decreasing. Notice how the `\ref` command automatically references the correct figure number.

Notice also that the `~` inserts a non-breaking space, so that the label Figure and the figure number are never separated by a line break.

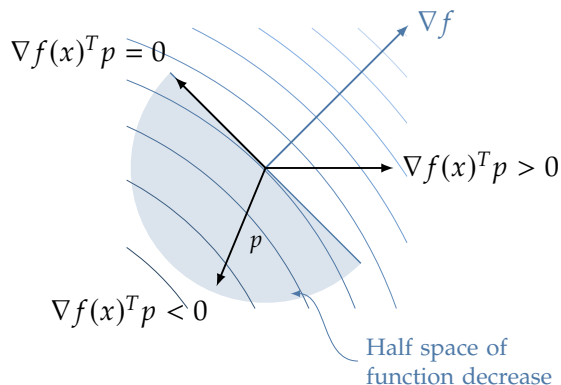


Figure 1.1: This is a regular figure with a centered bottom caption.

Lorem ipsum dolor sit amet, consectetur adipiscing elit. Etiam lobortis facilisis sem. Nullam nec mi et neque pharetra sollicitudin. Praesent imperdiet mi nec ante. Donec ullamcorper, felis non sodales commodo, lectus velit ultrices augue, a dignissim nibh lectus placerat pede. Vivamus nunc nunc, molestie ut, ultricies vel, semper in, velit.

With the `byuthesis.cls` document class, we can have small figures that are set in the sidemargin. Figure 1.2 is an example of a margin figure.

Lorem ipsum dolor sit amet, consectetur adipiscing elit. Etiam lobortis facilisis sem. Nullam nec mi et neque pharetra sollicitudin. Praesent imperdiet mi nec ante. Donec ullamcorper, felis non sodales commodo, lectus velit ultrices augue, a dignissim nibh lectus placerat pede. Vivamus nunc nunc, molestie ut, ultricies vel, semper in, velit.

We can also create figures that place the caption in the side margin. This is a matter of personal preference.

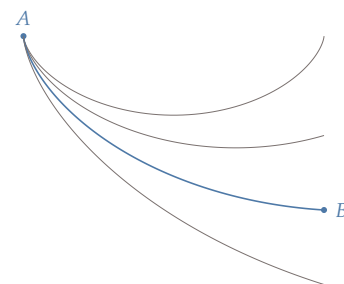


Figure 1.2: This is an example of a margin figure.

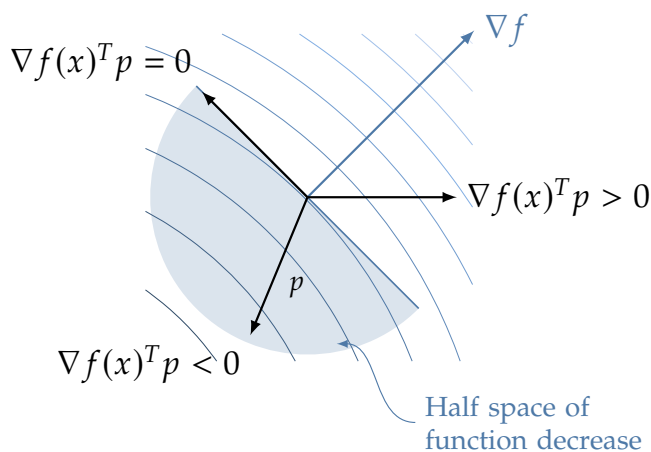


Figure 1.3: This is a figure with a side caption that is not short, but not that long either.

Finally, we can create a figure that spans the width of the text column and the side margin. This option should not be used frequently as it

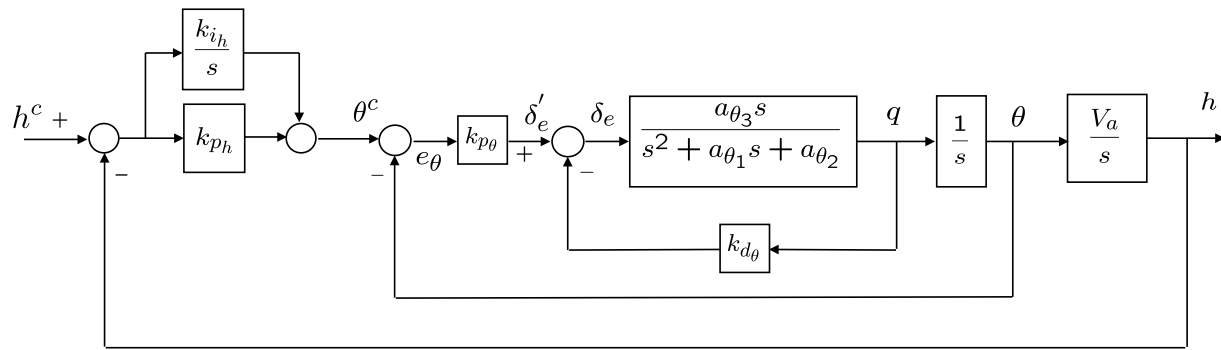


Figure 1.4: The caption for a full-width figure appears in the margin below it.

requires some tweaking of the vertical distance of the caption and follow-on text below the figure. It will work robustly when the figure appears at the top or middle of a page, but may push the caption onto the next page when it appears at the bottom. There may be some instances with wide images where the additional manual adjusting is worth the effort.

Subsubsection Example

The syntax above provides an example of how to include a subsubsection. In this thesis template, the document has four primary division levels: `\chapter`, `\section`, `\subsection`, and `\subsubsection`. The command `\subsubsection` is used to define the lowest level of division. Notice that subsubsection titles are not numbered.

Including Tables

Tables are also fairly straightforward to include in a \LaTeX document. Table 1.1 shows a simple table. \LaTeX refers to figures and tables as floats and often tries to locate figures at the top or bottom of a page. The user has some control over this, but \LaTeX can behave like it has a mind of its own sometimes. In reality it is placing figures according to internal algorithms and parameters that you can adjust. If you are interested in digging into this level of detail, an internet search on “ \LaTeX float parameters” will provide ample reading. In creating the table, we have used the command `\begin{table}[hbt]`. The parameters `[hbt]` allow us to specify some preference on the location of the table. In this case, first preference is given to *here* – the current location, the second preference is given to the *bottom* of the page, and the third preference is given to the *top* of the page.

Table 1.1: This is a standard table with a top caption.

basin name	curve number	minimum	maximum
1B	68.5	49.2	84.1
2B	66.2	46.8	82.7
3B	65.4	45.5	82.3
average	66.7	47.2	83.0

Table 1.2 shows an example of the same table using a side caption. We have used the placement preferences [thb] and you can see that $\text{\LaTeX}()$ sometimes overrides our preferences.

basin name	curve number	minimum	maximum
1B	68.5	49.2	84.1
2B	66.2	46.8	82.7
3B	65.4	45.5	82.3
average	66.7	47.2	83.0

Table 1.2: This is a standard table with a side caption.

1.1.2 Formatting Equations

Equation formatting is one of \LaTeX 's most useful features and a good reason why it is often used for theses and dissertations in the College of Engineering. It is easy to format equations within a sentence, such as $c = 2\pi r$ to describe the circumference of a circle. Equations should be treated as part of the text. As an example, the surface area of a cylinder is given by

$$S = 2\pi r(r + h), \quad (1.1)$$

where r is the radius of the cylinder and h is its height. The area of a circle can be written in terms of its diameter d as

$$A = \frac{\pi}{4}d^2. \quad (1.2)$$

Often, it is desirable to align a sequence of equations. Again, \LaTeX makes this pretty easy. The roots of the polynomial function $f(x)$ can be found by factoring

$$f(x) = x^3 + 5x^2 + 6x \quad (1.3)$$

$$= x(x^2 + 5x + 6) \quad (1.4)$$

$$= x(x + 2)(x + 3), \quad (1.5)$$

setting each of the factors to zero, and solving for x . Equations without numbers can be typeset like this

$$x = a + b$$

or like this

$$y = c + d.$$

Just as we did with sections, figures, and tables, we can reference a specific equation by using its declared label. In (1.1), the surface area of a cylinder is defined. Another format would be to say Equation 1.2 defines the area of a circle. Yet another format is Eq. (1.2). Any of these formats is acceptable. Use just one of them and be consistent.

\LaTeX can do so much with the typesetting of equations. These few examples are just a small sampling of its impressive capabilities.

Additional \LaTeX Formatting

In this chapter, we'll provide some additional guidelines and useful \LaTeX commands for formatting your document.

2.1 More \LaTeX Usage Examples

In Figure 2.1 below, we show some pretty blue and grey lines. This second figure in the document and the first in Chapter 2. Notice that the `\cref` command automatically provides the label for the item being referenced. In the case of figures and equations, the labels are abbreviated. Either `\ref`, with label provided by you, or `\cref`, with the label provided automatically, can be used.

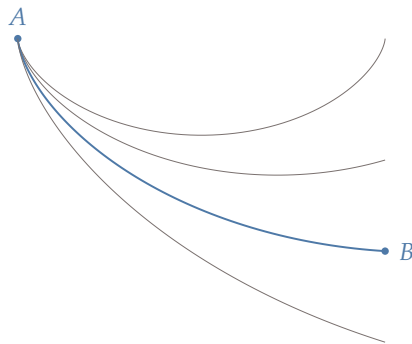


Figure 2.1: This is another figure. Some authors have paragraph-like descriptions of their figures that they put into the caption. This is acceptable, but readers don't really want or need a super long caption showing up in their list of figures. The `\caption` command provides a nice solution.

2.2 Bibliography

Another great feature of \LaTeX is that it allows you to create a list or database of books, papers, and other documents that you can easily cite in your document. In engineering, it is common to give your bibliography list the title References and we will follow that convention. \LaTeX automatically numbers the citations and builds a bibliography for you.* The bibliography is typeset according to the style you specify. We recommend the *IEEEtran* bibliography style that produces a numbered list in order of citation. For this document, the file `references.bib` contains

*The building of your bibliography is actually done by the `biblatex` package. If you use an IDE to work with \LaTeX , this may not be obvious to you.

the bibliographic reference information that's available for citation in the thesis document. Each reference is given a cite key (a label) that is used to cite the reference. For example, here is a reference to a book³ and a reference to a doctoral dissertation.⁴ Journal articles,⁵ are treated differently than conference papers⁶ since their references require slightly different information. For each reference entry in your .bib file, different information fields will have to be populated. Examples of these fields include, *author*, *title*, *year*, and so on. Different types of publications will have different required fields for you to fill out. There are other types of citations that you may use, such as book chapters and websites. You can manually edit your .bib file with a text editor, or you can use one of the several popular apps that are available for editing and organizing your bibliography information.

3 book1.

4 doctoral1.

5 journal1.

6 conference1.

2.3 Use of Units

Units should be appropriately used for all measurements and data presented in the document. Standard abbreviations for units (e.g., m for meters, N for newtons, in. for inches) should be used whenever data is presented. For example, the shaft was 1.23 cm in diameter. Notice that there is a space between the number and the unit, and the unit is typeset in vertical (roman) text and is *not italicized*. Italics is reserved for emphasis and for mathematical variables. Periods are not used after abbreviations except in the special case of the abbreviation for inches as in. to avoid confusion with the word in. Units are typically spelled out when they are used without data. For example, newtons are a measure of force, while kilograms are a measure of mass.

2.4 Capitalization of Reference Labels

Throughout your document, you will refer to figures, tables, equations, chapters, appendices, and sections by name (e.g., Figure 2.1, Section 3.4, Equation 2.1, and so on). You may choose to capitalize these reference labels, or to leave them uncapitalized (e.g., figure 2.1, section 3.4, equation 2.1). The choice is yours, just be consistent – all reference labels should be capitalized, or uncapitalized.

2.5 Algorithms

Software and algorithm development can play an important role in graduate research. Rather than including software code, particularly in the body of the thesis, presenting your algorithms in the form of pseudo-code may be more desirable. The `algorithm` package in L^AT_EX provides tools for clearly presenting your algorithms. A simple example of the use of this package is presented in Algorithm 2.1.

Algorithm 2.1 Continuous-discrete extended Kalman filter.

```

1: Initialize:  $\hat{x} = 0$ .
2: Pick an output sample rate  $T_{out}$  that is much less than the sample
   rates of the sensors.
3: At each sample time  $T_{out}$ :
4: for  $i = 1$  to  $N$  do
5:    $\hat{x} = \hat{x} + \left(\frac{T_{out}}{N}\right) (f(\hat{x}, u))$ 
6:    $A = \frac{\partial f}{\partial x}$ 
7:    $P = P + \left(\frac{T_{out}}{N}\right) (AP + PA^T + GQG^T)$ 
8: end for
9: if a measurement has been received from sensor  $i$  then
10:   $C_i = \frac{\partial c_i}{\partial x}$ 
11:   $L_i = PC_i^T(R_i + C_iPC_i^T)^{-1}$ 
12:   $P = (I - L_iC_i)P$ 
13:   $\hat{x} = \hat{x} + L_i(y_i - c_i(\hat{x}))$ .
14: end if

```

2.6 Landscape Drawings and Tables

If you have a figure or table that is best presented in landscape format on a full page, the rotating package in L^AT_EX provides a convenient way to do this. Figure 2.2 on the following page shows an example a landscape-format drawing.

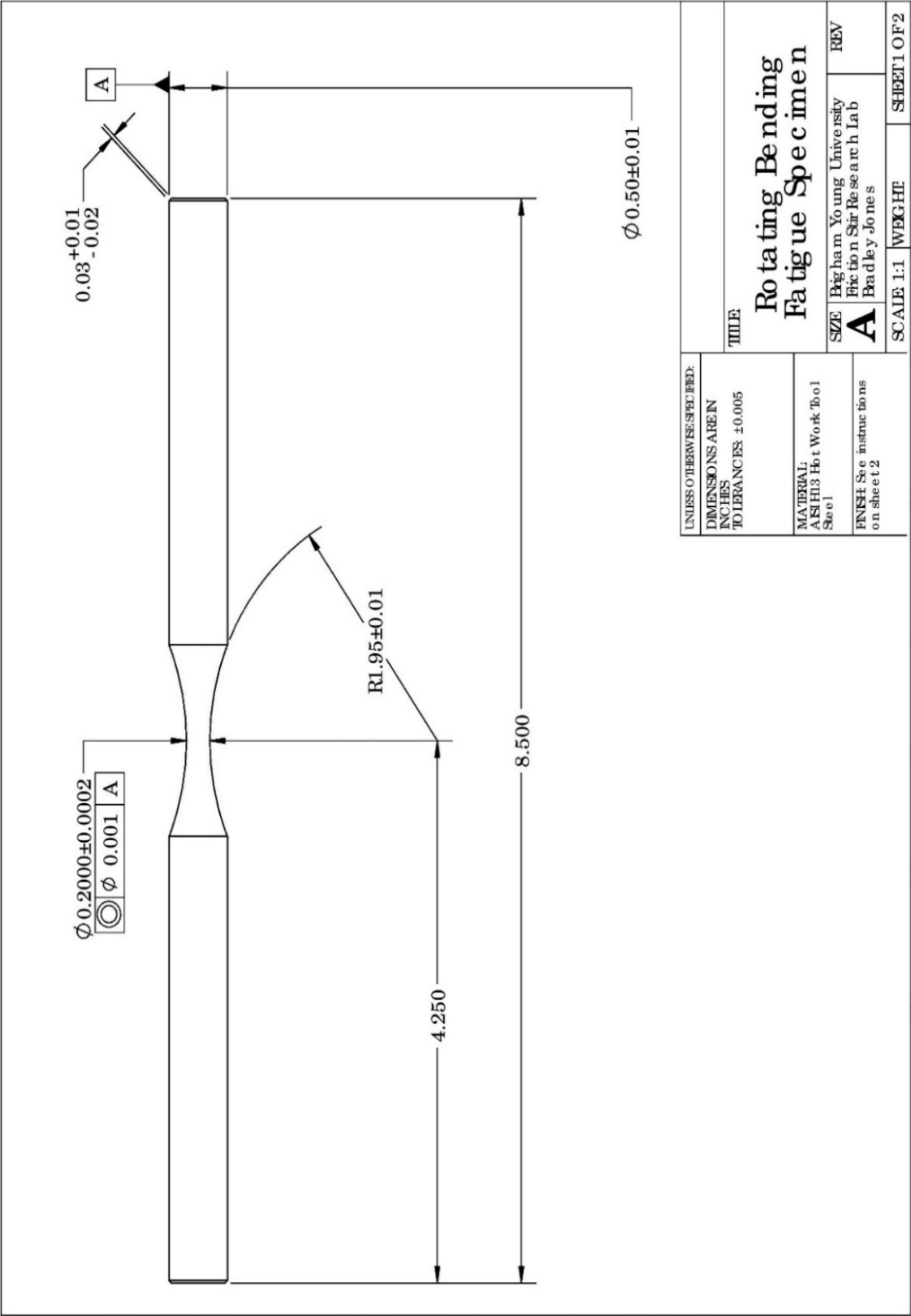


Figure 2.2: Example of full-page landscape drawing.

Article-based Chapters

With the allowed changes in thesis and dissertation formatting, BYU Graduate Studies now allows students to insert journal or conference articles as chapters into their thesis/dissertation document. To do so, the student must be a primary author. The formatting of an article-based chapter must be fully consistent with the formatting defined in this document with chapter, section, equation, figure, and table numbering integrated accordingly. Article-based chapters must include a complete citation and the following statement: “I hereby confirm that the use of this article is compliant with all publishing agreements.” The paragraph below is an example of how this could be done. It should appear immediately following the chapter title.

This chapter is composed from a paper entitled “Really great research from a BYU engineering student” published in the journal *Awesome Engineering*.⁷ I hereby confirm that the use of this article is compliant with all publishing agreements.

⁷ StudentRP20.

3.1 Some Additional Comments

The publication of a conference or journal article is a significant milestone for a graduate student and should be an objective for all students pursuing graduate research in the College of Engineering. We encourage the use of article-based chapters in theses and dissertations provided that it aligns with the goals and objectives of the research. Articles, however, are often constrained in length forcing the exposition to be more concise or narrow in scope than may be desired for the intended audience of the thesis/dissertation. For example, if an objective is to guide the learning of subsequent graduate-student researchers, it may be beneficial to include additional details or a broader discussion that may be more tutorial in nature. Often more results from a wider variety of cases would be included in a dissertation or thesis than would be possible in a journal or conference publication. Your graduate committee will help guide your efforts in these matters.

Conclusions

The purpose of this template is to provide basic instructions in creating your dissertation/thesis document. If you need assistance with writing, please visit the Writing Center in the JKB or consult with your advisor. If you need assistance with L^AT_EX, there are tutorials and ample documentation online. You may want to consult with your graduate-student peers who use L^AT_EX. If you discover something that would make this template more useful, please feel free to make recommendations.

Regardless of whether this template or some other method of formatting is employed, you (the student) are responsible for following the guidelines found in Appendix B. Below is a brief checklist of things to look for as you review your thesis for formatting:

- Check numbering of sections, figures, tables, equations to make sure they are consistent. This is where you will be really glad that you are using L^AT_EX.
- Ensure that your table of contents, list of figures, and list of tables are up to date and that page numbers are correct. (Hurray for L^AT_EX!)
- Make sure all pages are numbered, beginning with the table of contents.
- Be sure there is no more than 1 inch of extra white space at the bottom of any page (in addition to the 1-inch margin) except for the final page of a chapter.
- Make sure there are no widows or orphans.*

*A *widow* occurs when the last line of a paragraph ends up on the first line of a page. An *orphan* occurs when the first line of a paragraph appears on the last line of a page. Your document may require manual tweaking when it is in final form to get rid of widows and orphans.

Appendices



Electronic Document Submission

The university requires all dissertations and theses to be submitted electronically as a PDF document. All required fonts should be embedded in the PDF document to ensure that your document will appear as intended wherever it is viewed. You can verify that all fonts are appropriately embedded by opening your PDF document in Adobe Acrobat Reader and selecting File->Properties. Under the Font tab, you should see a list of the fonts used in your document. To ensure that all fonts are embedded, they should be designated as "Embedded" or "Embedded Subset" in the list.

A.1 PDF Bookmarks

The PDF document must contain bookmarks for preliminary pages plus chapter headings and subheadings, as listed in the Table of Contents. In the PDF document, bookmarks should be displayed in a panel to the left of the document pages as seen in Figure A.1.

If assistance is needed with embedding, bookmarks, or other aspects of submitting the ETD, you may obtain assistance at the Multi-media lab in the HBLL. Please note that keywords for your research, as listed at the bottom of Figure A.1, will be required at the time you submit your document. Keywords must be in lower case, unless they are acronyms or proper nouns. In addition, a copy of the abstract must be inserted.

Tables and figures appearing in appendices should be numbered A.1, B.1, etc. and should be included in the lists of tables and figures.

A.2 Miscellaneous Filler

Most appendices will be longer than 3/4 of a page. We'll use some Latin to fill this one out. Lorem ipsum dolor sit amet, consectetur adipiscing elit. Etiam lobortis facilisis sem. Nullam nec mi et neque pharetra sollicitudin. Praesent imperdiet mi nec ante. Donec ullamcorper, felis non sodales commodo, lectus velit ultrices augue, a dignissim nibh lectus placerat pede. Vivamus nunc nunc, molestie ut, ultricies vel, semper in, velit. Ut porttitor. Praesent in sapien. Lorem ipsum dolor sit amet, consectetur adipiscing elit. Duis fringilla tristique neque. Sed interdum libero ut metus. Pellentesque placerat. Nam rutrum augue a leo. Morbi sed elit sit amet ante lobortis sollicitudin. Praesent blandit blandit mauris. Praesent lectus tellus, aliquet aliquam, luctus a, egestas

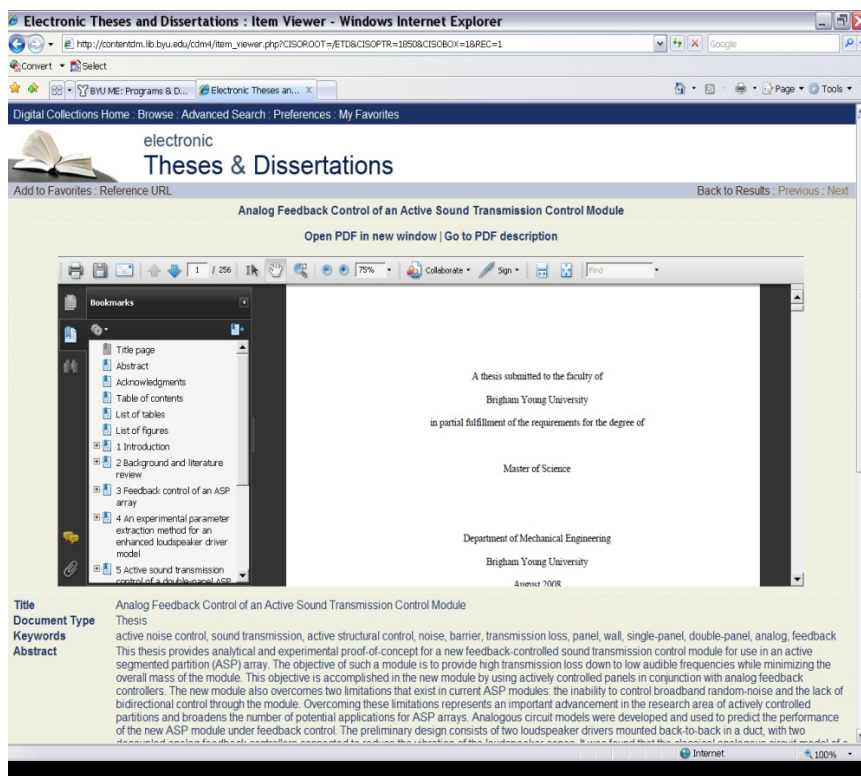


Figure A.1: PDF thesis document showing ETD bookmarks.

a, turpis. Mauris lacinia lorem sit amet ipsum. Nunc quis urna dictum turpis accumsan semper.



Document Formatting

B.1 Font Selection

Font must be a conservative serif-styled font (e.g., Palatino, Times New Roman, Garamond), size 11 pt. The font style and size must be consistent throughout the text. 10 pt. font is allowed for figure and text captions. The font size for figures should be no smaller than 8 pt. and easily legible.

B.2 Document Margins

Front matter pages (title page, abstract page, acknowledgment page)

- 1-inch top and bottom margins
- 2-inch left and right margins

Table of contents, list of figures, list of tables, body pages

- 1-inch top and bottom margins
- 2-inch left and right margins

Chapter title pages, reference title page, appendix title pages

- 2-inch top margin
- 1-inch bottom margin
- 2-inch left and right margins

B.3 Printing Instructions

Not all departments require a printed and bound copy of the thesis document. If a bound copy of the document is required, it should be printed double-sided. Please note that following pages must begin on recto page: title, abstract, acknowledgment, table of contents, list of figures, list of tables, chapter title, references, and appendix title.

B.4 Page Numbering

- Page numbers are centered at the bottom of the page.
- Counting begins with the title page
- Page numbers do not appear until after the table of contents.
- Use Roman numerals (e.g., i, ii, iii, etc.) for the table of contents and the pages thereafter until Chapter 1 begins.
- Use Arabic numbers (e.g., 1, 2, 3 etc.) beginning with Chapter 1. Be sure numbers appear on *all* non-blank pages once numbering begins.

B.5 Line Spacing

The document should be single spaced with the exception of space around titles, headings, subheadings, figures, tables, and equations as described below. Please note that the document style of this template has been defined to conform to these requirements.

- Two-inch margin above chapter titles (144 pts).
- One inch of space after chapter titles (72 pts).
- 18 pts before level 2 subheadings.
- 6 pts after level 2 subheadings.
- 12 pts before level 3 subheadings.
- 3 pts after level 3 subheadings.
- 12 pts (1 carriage return with 11-pt font) before figures
- 9 pts between figure and caption, 15 pts between figure and following text.
- 9 pts before table caption, 3 pts between caption and table.
- 12 pts between table and following text.
- 6 pts before and after equations.
- Do not leave a single line of text or a subheading alone on the top (widow) or bottom (orphan) of a page.
- Do not leave more than 1 inch of extra white space remaining on the bottom of a page unless it is at the end of a chapter. An exception to this rule is for pages containing no text and only figures and tables.

B.6 Figure Formatting

- Figures are typically diagrams, graphs, pictures, maps, or charts.
- Center figures on the page.
- Center captions below the figure. If multiple lines are needed, the caption should be left/right justified at the margins.
- A figure should be placed after the paragraph in which it is first referenced. If it will not fit on the same page, continue the text and place the figure at the top of the next page.

B.7 Table Formatting

- Tables typically contain numerical or statistical information.
- Center tables on the page.
- Center captions above the table, not to exceed the width of the table. If multiple lines are needed, the caption should be left/right justified at the margins, such as

Table 6.3: Comparison of roll rotation plots when spatial node was displaced, and an X-direction force was applied.

- If placed in the landscape position, the top of the table should be on the left side of the page, with the caption above the table. The page number is placed underneath the table.