

Formal Languages and Compilers

Lex and Yacc

Lex and Yacc

- They are done to work in harmony
- Lex recognizes token
- Yacc builds the intermediate structure given the specifications
- Yacc calls iteratively Lex to parse the input
- We will build a simple calculator today by putting together lex and yacc

Define the grammar

- We define the grammar we would like to parse, thus giving the structure to our input file.
- This is a simple calc, so we want to do arithmetic operations, eg:
19 - 7 + 4

(ideally something like $E - E + E$)

- Keep it simple for the while

Define yacc specification

- What can we do with the calculator?
- What kind of production can we have?
- We need a grammar that is compliant with Yacc
- Any idea?
- S:

Define yacc specification

- We need a way to define:
- NUMBERS
- SUM
- SUBTRACTION
- MULTIPLICATION
- DIVISION
- A starting symbol that reduces everything

Define yacc specification

expr:

????

| ???? '+' ????

| ???? '-' ????

;

Starting symbol...

Program: ????

| ????

;

Define yacc specification

expr:

INTEGER

| expr '+' expr

| expr '-' expr

;

An expression is either a number or $E + E$ or $E - E$.

program:

program: expr '\n'

|

;

Define yacc specification

- Now that we have the grammar... what should we do?

expr:

```
INTEGER          ?????
| expr '+' expr   ?????
| expr '-' expr   ?????
;
```


Define yacc specification

- We have to bind some actions to the production.
- Actions are executed when the production are **REDUCED**

expr:

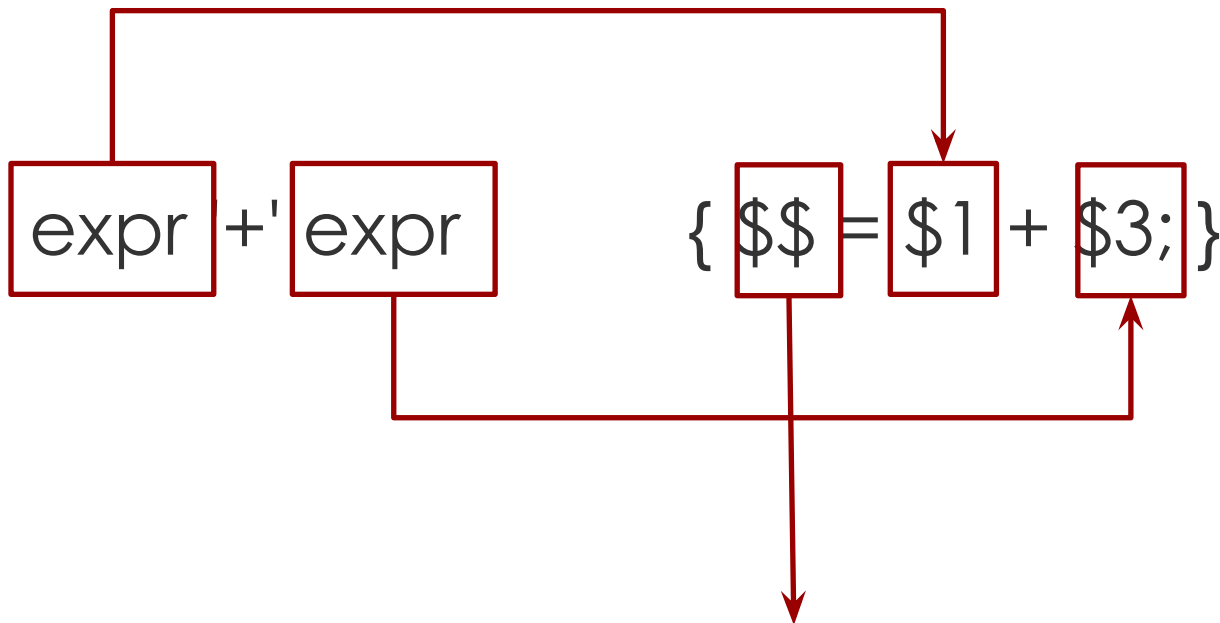
```

INTEGER          ?????
| expr '+' expr   ?????
| expr '-' expr   ?????
;

```

- We are working with a stack, Yacc take care of managing it for us with the use of \$.. So...
Let's see how do we bind items on the stack

Define yacc specification



What does `$$` identify?
Any guess?

Define yacc specification

expr:

INTEGER

| expr '+' expr { \$\$ = \$1 + \$3; }

| expr '-' expr { \$\$ = \$1 - \$3; }

;

An expression is either a number or $E + E$ or $E - E$.

program:

program expr '\n' { printf("%d\n", \$2); }

|

;

Define lexer rules

- Is time to build the Lexer
- So far we have just an INTEGER token, already specified in the Yacc file
- We will include y.tab.h in the lexer file, thus compiling at the first step the Yacc file
- We need a way to recognize integers and all the other symbols for the operation we defined previously

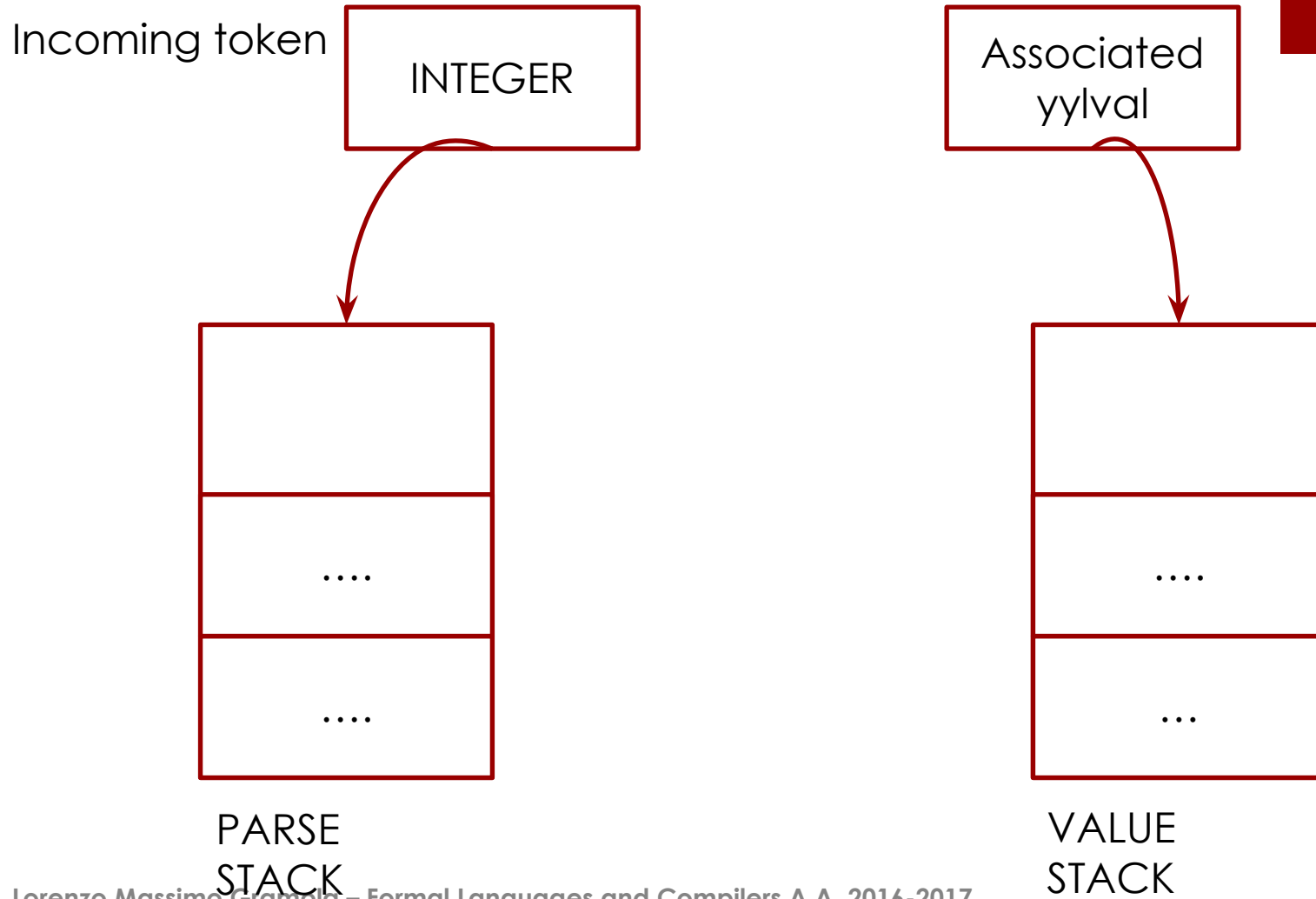
Lexer rules

```
[0-9]+    {  
            yyval = atoi(yytext);  
            return INTEGER;  
        }  
[-+\n]    { return *yytext; }  
  
[ \t]     ;    /* skip whitespace */  
  
.         yyerror("Unknown character");
```

Yacc's double stack

- Yacc keeps track of two stacks
- Parse stack → terminals and non terminals
- Value stack → array of **YYSTYPE** elements
YYSTYPE typedef int YYSTYPE; in y.tab.h..
- When Lex returns INTEGER as token → yacc undertakes some actions: it shifts the token in the parse stack, and at the same time the corresponding yylval is pushed into the value stack.

Yacc's double stack



Stack management example

- $E : E1 + E2 \quad \{ \$\$ = \$1 + \$3; \}$
- You know that $\$1$ represents $E1$, while $\$3$ is the third term of the production, thus $E2$
- $\$\$$ is the top of the stack after the REDUCTION has taken place
- Action : sum the two values – pop off the values from the stack – pushes back the single sum
- Value and parse stack remain synchronized

Action and stack

- What does happen when we have a terminal symbol...?
- $E : \text{INTEGER} \quad \{\dots\}$
- Best guess?

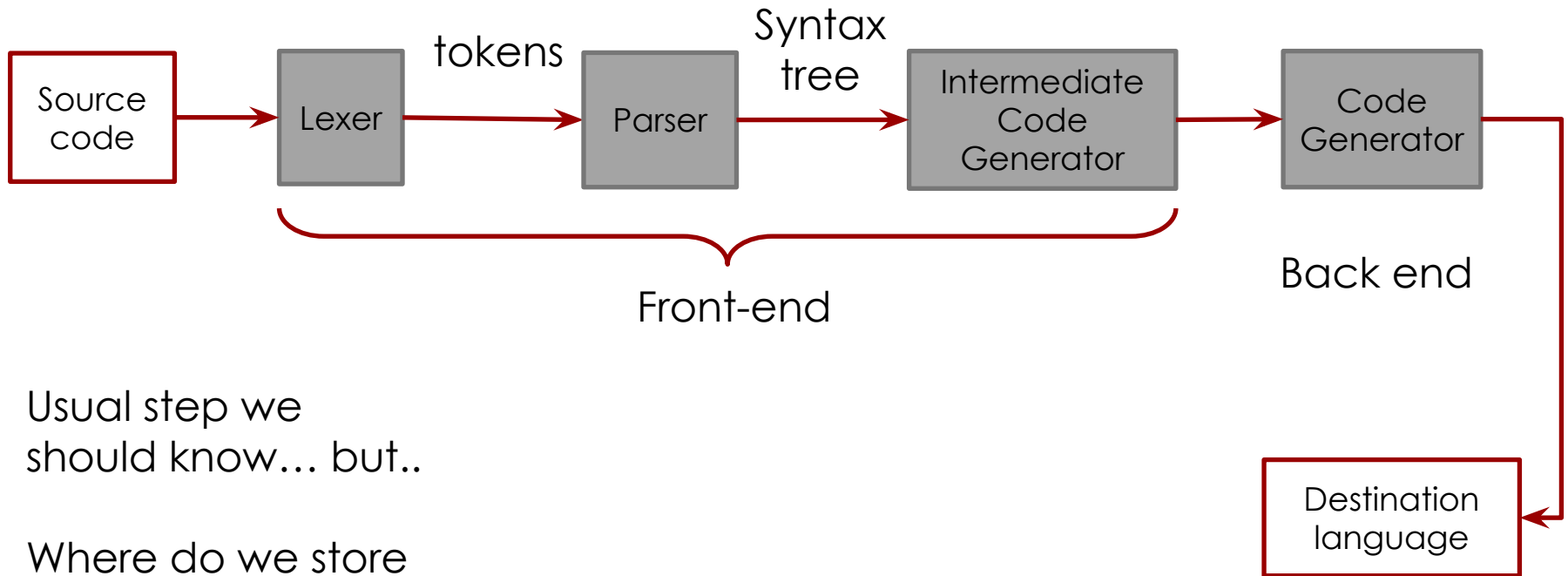
Action and stack

- We just, simply, assign such value to the top of the stack
- `E : INTEGER { $$ = $1; }`
- This action is so common that is the default action. If we omit the action Yacc will try to do such assignment.
- Let's spend some time by looking at a complete example.

Expand example above

- We want to use simple variable (say 1 char variable)
- We want to maintain variables values
- We want to add division, multiplication, parenthesis and assignation

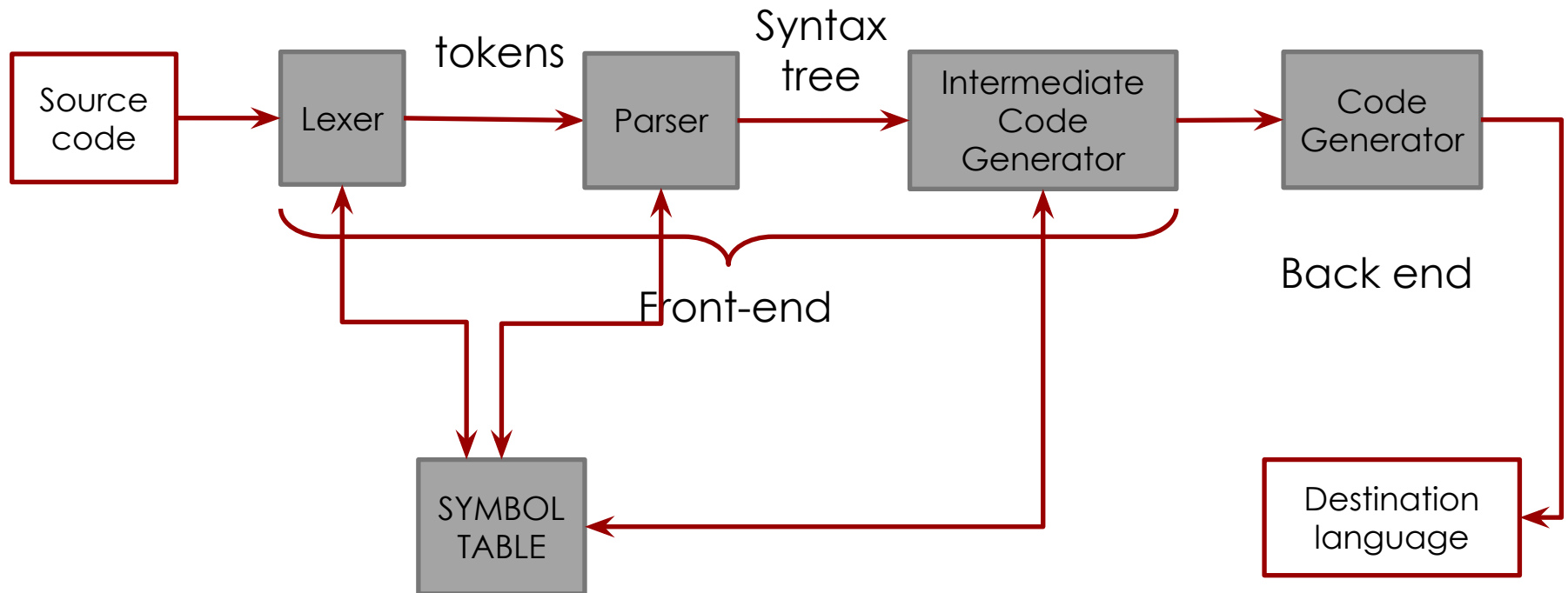
Let's do a step back



Usual step we should know... but..

Where do we store variables???

Let's do a step back



Expand example above

- We want to use simple variable (say 1 char variable)
- We use a table to maintain the value of the variables
- We declare a ***symbol table***
(int sym[26], we exploit C ansi char representation to store values)
- We want to add division, multiplication, parenthesis and value assignation

Lexer and parser

- Lexer add on:
A way to recognize single char variables
What kind of regexp can we use?

Lexer and parser

- Lexer add on:
A way to recognize single char variables
- [a-z] will suffice
- We need some structure for the parser now, in order to assign variable's values
- We need to manage the new operations (/ * and ())
- Any guess how to do it?

Lexer and parser

- Lexer add on:
A way to recognize single char variables
- [a-z] will suffice
- We need structure for the parser now, to assign variables a value and to manage the new operations (/ * and ())
S: E | var = E;
E: ... | variable | | E * E | E / E | (E) ;
Do we need anything more?

Lexer and parser (cont.)

- In order to proceed we will introduce a more complex example which will drive us through
- Interpreter
- Compiler
- A graphical representation of the parsing tree
- Adding more stuff

Interpreter vs Compiler

- An interpreter is able to execute the specified operation - in the program source file – directly onto the input data provided by the user (eg. Perl v5 and minor)
- A compiler take the program source file and transforms it into an equivalent program written in another language (destination language) (eg C, C++)
- Hybrid compilers: in the first step it compiles the source code to an intermediate code, such code is the interpreted together with the user input data (in a second step). (eg. Java – the bytecode and the virtual machine, some version of python)

A full calculator example

- Let's introduce some flow control in our program, we start by adding construct such as if-then-else and while loop.

EG:

```
x = 0;  
while (x < 3) {  
  print x;  
  x = x + 1;  
}
```

- We will start by taking a look at the interpreter. The interpreted version of the above program produces the following output:

```
0  
1  
2
```

The aim

- We want to have some control over the flow of the program, and we want as well a way to parse complex operations
- (statements)
 $S \rightarrow \text{????}$
- (statements list)
 $\text{.....} \rightarrow \text{.....} \mid \text{.....}$
- Any idea?

```
x = 0;  
while (x < 3) {  
    print x;  
    x = x + 1;  
}
```

The aim

- We want to have some control over the flow of the program, and we want as well a way to parse complex operations
- The following is a draft of our grammar
- (statements)
$$S \rightarrow ' ; '$$
$$| E ' ; ' | \text{print } E ' ; ' | \text{var} = E ' ; ' | \text{while } (E) S$$
$$| \text{IF } (E) S | \text{IF } (E) S \text{ ELSE } S | S_list$$
- (S_list)
$$S_list \rightarrow S | S_list S$$

- (expressions)

$E : \text{int} \mid \text{var} \mid - E$
 $\mid E + E \mid E - E \mid E * E \mid E / E$
 $\mid E < E \mid E > E$
 $\mid E \geq E \mid E \leq E \mid E \neq E \mid E == E$
 $\mid (E) ;$

- How to manage this situation? We have a $E < E$ and $E \leq E$... can Yacc manage this situation?

Or $E < E$ and $E \leq E$?

Or $E (\text{var}) = E$ and $E == E$?

Or $-E$ and $E - E$

What should we do?

- Starting the file structure:

Program \rightarrow ???

- (expressions)

$E : \text{int} \mid \text{var} \mid - E$
 $\mid E + E \mid E - E \mid E * E \mid E / E$
 $\mid E < E \mid E > E$
 $\mid E \geq E \mid E \leq E \mid E \neq E \mid E == E$
 $\mid (E) ;$

- How to manage this situation? We have a $E < E$ and $E \leq E$... can Yacc manage this situation?

Or $E < E$ and $E \leq E$?

Or $E (\text{var}) = E$ and $E == E$?

Or $-E$ and $E - E$

What should we do?

- Starting the file structure:

Program \rightarrow function ;

function \rightarrow function statement $\mid \epsilon$;

Interpreter – time to code

- We will divide our code in 4 files
- Calc.h → header file
- Calc.l → the lexer as usual
- Calc.y → the grammar
- calcXYZ.c → the evaluation procedure
this will be our interpreter..

Header for common decl.

- We need some data structure to hold some “things”...
- What kind of things?
- In our “concept” we have
- Constants → 1, 3, 35... (numbers)
- Variables → identifiers
- Operations

Calc.h

```
typedef enum { typeCon, typeId, typeOpr } nodeEnum;
```

```
typedef struct {  
    int value;  
} conNodeType;
```

Constant type

```
typedef struct {  
    int l;  
} idNodeType;
```

Identifiers type

```
typedef struct {  
    int oper;  
    int nops;  
    struct nodeTypeTag **op;  
} oprNodeType;
```

Operation type

Let's define some useful structures in the header file. We will use such structures also for the compiler and the graph builder.

Calc.h (cont.)

```
typedef struct nodeTypeTag {  
    nodeEnum type;  
    union {  
        conNodeType con;  
        idNodeType id;  
        oprNodeType opr;  
    };  
} nodeType;  
  
extern int sym[26];    → you know what that's for
```

Calc.I – our lexer

- So far we defined the structures we need to manage the parsing action. We have still to define the lexer, the parser and the evaluation function.
- Lexer works at the same way already saw
We need just some add-on to its rules.

0 if you find zero, just give back zero

$[1-9][0-9]^*$ any other number, return such number

Calc.I (cont.)

- We need to recognize the token that act as *keywords* in our program such as ***while***, ***print*** or ***if*** ***else....***

">="	return GE;	}
"<="	return LE;	
"=="	return EQ;	
"!="	return NE;	
"while"	return WHILE;	
"if"	return IF;	
"else"	return ELSE;	
"print"	return PRINT;	

This does the trick for us, we recognize the token in the input using Lex and we give to Yacc a token to play with – which does not bring conflict in the parsing actions.

Calc.y – the grammar

- This time we will use some user subroutines

```
nodeType *opr(int oper, int nops, ...);  
nodeType *id(int i);  
nodeType *con(int value);  
void freeNode(nodeType *p);  
int ex(nodeType *p);  
int yylex(void);  
void yyerror(char *s);  
int sym[26];
```

- Just define them so that we can use such functions in the parsing action

Calc.y (cont.)

- this cause a new type def to be generated, which is a union of the above and is called YYSTYPE. Then there is the declaration `extern YYSTYPE yylval` which declares `yylval` as an external variable (we have to look at `y.tab.h`)
- ```
%union {
 int iValue; /* integer value */
 char sIndex; /* symbol table index */
 nodeType *nPtr; /* node pointer */
};
```
- Take a look at `y.tab.h` and look at what you find there  
token type + our newly defined union of type YYSTYPE



# Advanced yylval

- Integer iValue
- Char sIndex
- nodeType pointer \*nPtr
- Extended token syntax  
%token <iValue> INTEGER  
  
%token <sIndex> VARIABLE
- When reference with \$ is used in the action, Yacc *auto magically* access the right member of the union for us.  
(he just takes care for us to do the job)  
thanks to the previous specification

# Subroutines

- The rest of the file contains the grammar and the user routines.
- We will proceed by analysing them..
- `nodeType *id(int i){...}` → returns a node that contains the identifier name
- `nodeType *con(int value) {...}` → return a node that holds the constant value – when we find an integer

## Subroutines (cont.)

```
nodeType *opr(int oper, int nops, ...){...}
```

this function builds and returns a node that represents the operation that we are tackling during the parsing action.

We save information about the operation and its 'argument'

# The interpreter

- Finally last step.  
The 4<sup>th</sup> file will do the job for us.
- We need the calc.h and y.tab.h → token and structures definitions
- One function called ex(ecute) – you can call it eval if it sounds more familiar with your previous knowledge
- What should this function do?

## The interpreter (cont.)

Take the node  $p$ , which is the top of our tree so far..

IF is a constant  $\rightarrow$  return constant value

IF is a identifier  $\rightarrow$  return the value in the symbol table

IF is an operation  $\rightarrow$  switch on the operation type

case WHILE execute the while by evaluating the guard

case IF check if has and else and its guard

case PRINT  $\rightarrow$  print the expression..

Let's take a look at the file and let's understand how does it work.

# Bibliography

- Tom Niemann – Lex and Yacc tutorial  
[epaperpress.com/lexandyacc](http://epaperpress.com/lexandyacc)
- Compilers 2<sup>nd</sup> edition – Aho, Lam, Sethi, Ullman
- The linux documentation project  
<http://tldp.org/HOWTO/Lex-YACC-HOWTO-6.html>