

# Performance

What is the worst case time complexity of Binary Search?

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Binary Search(a[l, ..., N], k)

```
l = 1, r = N + 1
```

```
whi
```

```
    m = l + r // 2
```

```
    i
```

```
        return True
```

```
    else if (k < a[m])
```

```
        r = m
```

```
    else
```

```
        l = m + 1
```

```
return False
```

Cost	Executions
c1	1
c2	??
c3	??
c4	??
c5	0
c6	??
c7	??
c8	??
c9	1

Intuition: loop executes  $\log_2 N$  times.

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Alternative: analyse the recursive form of the program.

`BinSearch(a, l, r, k)`

<code>if (l &gt;= r)</code>	Cost
<code>    r</code>	$c_1$
<code>m = l + (r - l) / 2</code>	$c_2$
<code>if (k == a[m])</code>	$c_3$
<code>    return True</code>	$c_4$
<code>else if (k &lt; a[m])</code>	$c_5$
<code>    return BinSearch(a, l, m, k)</code>	$c_6$
<code>else</code>	$T(N')$
<code>    return BinSearch(a, m+1, r, k)</code>	$T(N'')$

- where  $N'$  and  $N''$  are numbers left to search
- **Exercise:** what are  $N'$  and  $N''$  in the worst case? Be **exact**.

## Worst Case Recursion

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- $m$  is always placed at  $1 + \lfloor N/2 \rfloor$
- if  $N$  is odd:  $N' = N'' = \lfloor N/2 \rfloor$
- if  $N$  is even:  $N' = \lfloor N/2 \rfloor$ ,  $N'' = \lfloor N/2 \rfloor - 1$
- So the worst case is when  $k < a[0]$ 
  - If  $N > 0$ , will have  $\lfloor N/2 \rfloor$  unsearched elements

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We can now write a recursive worst case formula for  $T(N)$

`BinSearch(a, l, r, k)`

```

if (l > r)           c1
    return -1         c2
m = l + (r-l)/2      c3
if (k == a[m])       c4
    return True       c5
else if (l < a[m])    c6
    return BinSearch(a, l, m, k)  T(floor(N/2))
else
    return BinSearch(a, m+1, r, k)  <= T(floor(N/2))

```

# Divide and Conquer

Binary Search is a **divide and conquer** algorithm

- The overall problem is divided into smaller subproblems
- Subproblems must be solved
- The s

General fo

$$T(N) = \begin{cases} \Theta(1) & \text{if } N \leq 1 \\ aT(N/b) + D(N) + C(N) & \text{otherwise} \end{cases}$$

where  $c$  is some small positive integer,  $a$  is  $N/b$  is size of a subproblem,  $D(N)$  is cost of division and  $C(N)$  is cost of combination.

- The “otherwise” formula is a **recurrence**

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## Question

What are  $a$ ,  $b \in \mathcal{O}(N)$  and  $\mathcal{C}(N)$  for Binary Search?

## BinSearc

```

if (l > r)
    return -1
m = l + (r-l) / 2
if (k == a[m])
    return True
else if (k < a[m])
    return BinSearch(a, l, m, k)
else
    return BinSearch(a, m+1, r, k)

```

$c1$   
 $c2$   
 $c3$   
 $c4$   
 $c5$   
 $c6$   
 $T(\text{floor}(N/2))$   
 $\leq T(\text{floor}(N/2))$

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For Binary Search we have

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$$T(N) = c_1 + c_2, \text{ if } N = 0$$

or

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$$T(N) = \begin{cases} \Theta(1) & , \text{ if } \\ T(\lfloor N/2 \rfloor) + \Theta(1) & , \text{ if } \end{cases}$$

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- Still need to solve the recurrence
- Either: guess answer and prove by induction (beyond this course)
- Or: apply the master method

# The Master Method

The outcome of the master method is determined by which of

- the work to solve the base cases:  $\Theta(N^{\log_b a})$
  - the work to divide and recombine at the top level:  $\Theta(f(N))$
  - (no
- is (strictly
- If the base case work is larger then  $T(N) = \Theta(N^{\log_b a})$
  - If neither is larger, then  $T(N) = \Theta(N^{\log_b a})$
  - If the divide and combine work is larger, then  $T(N) = \Theta(f(N))$

## Look Out!!!

Polynomially larger is not the same as asymptotically larger. So  $N \log_2 N \neq \Omega(N^c)$  for any  $c > 1$ .



# The Master Method [Bentley, Haken, Saxe 1980]

## Theorem (Master theorem)

Let  $a$  and  $b$  be positive real numbers with  $a \geq 1$  and  $b > 1$ . Let  $f(N)$  be a function and let  $T(N)$  be defined on the non-negative integers by the recurrence

where  $N$  has the following asymptotic bounds:

- 1 If  $f(N) = O(N^c)$  and  $c < \log_b a$ , then
- 2 If  $f(N) = \Theta(N^{\log_b a} \log^k N)$  then  $T(N) = \Theta(N^{\log_b a} \log^{k+1} N)$  for  $k \geq 0$
- 3 If  $f(N) = \Omega(N^c)$ , and  $c > \log_b a$ , and  $af(N/b) \leq cf(N)$  for some  $c < 1$  and all sufficiently large  $N$ , then  $T(N) = \Theta(f(N))$ .

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For Binary Search (worst case)

- $T($

So,  $N^{\log}$

- $f(N$

and Case 2, with  $k = 0$ , applies.

- $T(N) = \Theta(\log_2 N)$

The master method confirms the informal result.

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## Master Method Case 3

The conditions for Case 3 include an extra check:

•  $a f(N/b) \leq c f(N)$  where  $c < 1$  for all  $N > N_0$

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This is the so-called **regularity condition**. It confirms that the divide and combine

the master  
beyond w

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## Other Excluded Cases

The master method does not apply to these recurrences

- $T(N) = NT(N/2) + N$
- $T($
- $T($
- $T($

The (mostly straightforward) reasons are

- the number of subproblems in the first two
- negative divide and combine time (third example)
- negative value of  $k$  (fourth example)