

COMP2610 / 6261 - Information Theory

Lecture 14: Source Coding Theorem for Symbol Codes

Assignment Project Exam Help

<https://eduassistpro.github.io>

Add WeChat  edu\_assist\_pro

17 September, 2018

Last time

# Assignment Project Exam Help

Variable-length codes

Uniquely

- Pre

<https://eduassistpro.github.io>

Kraft's inequality:

Lengths  $\{l_i\}_{i=1}^I$  can form a prefix code

Add WeChat edu\_assist\_pro

How to **generate** prefix codes?

## Prefix Codes (Recap)

A simple property of codes **guarantees** unique decodeability

Prefix property

A codeword  $\mathbf{c} \in \{0, 1\}^+$  is said to be a **prefix** of another codeword  $\mathbf{c}' \in \{0, 1\}^+$  if  $\mathbf{c}$  is a prefix of  $\mathbf{c}'$ .

Can you prove

- **Example:** 01101 has prefixes 0, 01, 011, 0110.

Prefix Codes

A code  $C = \{\mathbf{c}_1, \dots, \mathbf{c}_l\}$  is a **prefix code** if there is no prefix of  $\mathbf{c}_i$  in  $C$ .

In a stream, no confusing one codeword with another

## Prefix Codes as Trees (Recap)

$$C_2 = \{0, 10, 110, 111\}$$

			0000
	00	000	0001
		001	0010
		011	
1	10	1	
		101	1011
	11	110	1100
		111	1110
			1111

This time

Bound on expected length for a prefix code

Shannon codes

Huffman coding

# Assignment Project Exam Help

<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pr

- 1 Expected Code Length
  - Minimising Expected Code Length
  - Shannon Coding

- 2 The S <https://eduassistpro.github.io>

- 3 Huffman Coding
  - Algorithm and Examples
  - Advantages and Disadvantages

- 1 Expected Code Length
  - Minimising Expected Code Length
  - Shannon Coding

Assignment Project Exam Help

- 2 The S <https://eduassistpro.github.io>

- 3 Huffman Coding
  - Algorithm and Example
  - Advantages and Disadvantages

Add WeChat edu\_assist\_pr

## Expected Code Length

With uniform codes, the length of a message of  $N$  outcomes is trivial to compute

With variable-length codes, the length of a message of  $N$  outcomes will depend on the outcomes we observe

Outcom

- On a

<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pr



## Expected Code Length

With uniform codes, the length of a message of  $N$  outcomes is trivial to compute

With variable-length codes, the length of a message of  $N$  outcomes will depend on the outcomes we observe

Outcome

- On a

## Expected Code Length

The **expected length** for a code  $C$  for source  $X = \{a_1, \dots, a_I\}$  and  $\mathcal{P}_X = \{p_1, \dots, p_I\}$  is

$$L(C, X) = \mathbb{E}[\ell(x)] = \sum_{x \in \mathcal{A}_X} p(x) \ell(x) = \sum_{i=1}^I p_i \ell_i$$

## Expected Code Length: Examples

**Example:**  $X$  has  $\mathcal{A}_X = \{a, b, c, d\}$  and  $\mathcal{P} = \{\frac{1}{2}, \frac{1}{4}, \frac{1}{8}, \frac{1}{8}\}$

① The code  $C_1 = \{0001, 0010, 0100, 1000\}$  has

Assignment Project Exam Help

$$L(C_1, X) = \sum p_i \ell_i = 4$$

<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pr

## Expected Code Length: Examples

**Example:**  $X$  has  $\mathcal{A}_X = \{a, b, c, d\}$  and  $\mathcal{P} = \{\frac{1}{2}, \frac{1}{4}, \frac{1}{8}, \frac{1}{8}\}$

- ① The code  $C_1 = \{0001, 0010, 0100, 1000\}$  has

Assignment Project Exam Help

$$L(C_1, X) = \sum_{i=1}^4 p_i \ell_i = 4$$

- ② The <https://eduassistpro.github.io>

$$L(C_2, X) = \sum_{i=1}^4 p_i \ell_i = \frac{1}{2} \times 1 + \frac{1}{4} \times 2 + \frac{1}{8} \times 3 + \frac{1}{8} \times 3 = 1.75$$

Add WeChat edu\_assist\_pro

## Code Lengths and Probabilities

The *Kraft inequality* says that  $\{\ell_1, \dots, \ell_I\}$  are prefix code lengths **iff**

$$\sum_{i=1}^I 2^{-\ell_i} \leq 1$$

# Assignment Project Exam Help

If it were true

<https://eduassistpro.github.io>

then we could interpret

# Add WeChat edu\_assist\_pro

$$\mathbf{q} = (2^{-\ell_1}, \dots,$$

as a **probability vector** over  $I$  outcomes

General lengths  $\ell$ ?

# Code Lengths and Probabilities

## Probabilities from Code Lengths

Given code lengths  $\ell = \{\ell_1, \dots, \ell_I\}$  such that  $\sum_{i=1}^I 2^{-\ell_i} \leq 1$ , we define

$q = \{q_1, \dots, q_I\}$ , the probabilities for  $\ell$ , by

$$\frac{2^{-\ell_i}}{\sum_{j=1}^I 2^{-\ell_j}}$$

where

ensure that  $q$  satisfy  $\sum_{i=1}^I q_i = 1$ .

Note: this implies  $\ell_i = \log_2 \frac{1}{q_i}$

# Code Lengths and Probabilities

## Probabilities from Code Lengths

Given code lengths  $\ell = \{\ell_1, \dots, \ell_I\}$  such that  $\sum_{i=1}^I 2^{-\ell_i} \leq 1$ , we define

$q = \{q_1, \dots, q_I\}$ , the probabilities for  $\ell$ , by

$$\frac{2^{-\ell_i}}{z}$$

where

ensure that  $q$  satisfy  $\sum_{i=1}^I q_i = 1$ .

Note: this implies  $\ell_i = \log_2 \frac{1}{zq_i}$

### Examples:

- Lengths  $\{1, 2, 2\}$  give  $z = 1$  so  $q_1 = \frac{1}{2}$ ,  $q_2 = \frac{1}{4}$ , and  $q_3 = \frac{1}{4}$

# Code Lengths and Probabilities

## Probabilities from Code Lengths

Given code lengths  $\ell = \{\ell_1, \dots, \ell_I\}$  such that  $\sum_{i=1}^I 2^{-\ell_i} \leq 1$ , we define

$q = \{q_1, \dots, q_I\}$ , the probabilities for  $\ell$ , by

$$\frac{2^{-\ell_i}}{z}$$

where

ensure that  $q$  satisfy  $\sum_{i=1}^I q_i = 1$ .

Note: this implies  $\ell_i = \log_2 \frac{1}{zq_i}$

### Examples:

- Lengths  $\{1, 2, 2\}$  give  $z = 1$  so  $q_1 = \frac{1}{2}$ ,  $q_2 = \frac{1}{4}$ , and  $q_3 = \frac{1}{4}$
- Lengths  $\{2, 2, 3\}$  give  $z = \frac{5}{8}$  so  $q_1 = \frac{2}{5}$ ,  $q_2 = \frac{2}{5}$ , and  $q_3 = \frac{1}{5}$

## Minimising Expected Code Length

The probability view of lengths will be useful in answering:

Goal of compression

Given an ensemble  $X$  with probabilities  $P_X = \mathbf{p} = \{p_1, \dots, p_n\}$  how can we **minimise** the expected code length?

<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pr



## Minimising Expected Code Length

The probability view of lengths will be useful in answering:

### Goal of compression

Given an ensemble  $X$  with probabilities  $P_X = \mathbf{p} = \{p_1, \dots, p_n\}$  how can we **minimise** the expected code length?

In particular  
**entropy**

**active**

<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pr

## Minimising Expected Code Length

The probability view of lengths will be useful in answering:

### Goal of compression

Given an ensemble  $X$  with probabilities  $\mathbf{P}_X = \mathbf{p} = \{p_1, \dots, p_n\}$  how can we **minimise** the expected code length?

In particular

**entropy**

**active**

### Limits of c

Given an ensemble  $X$  with probabilities  $\mathbf{p}$ , a codeword length probabilities  $\mathbf{q}$  and normal

$$\begin{aligned} L(C, X) &= H(X) + D_{\text{KL}}(\mathbf{p} \parallel \mathbf{q}) + \log_2 \frac{1}{Z} \\ &\geq H(X), \end{aligned}$$

with equality only when  $\ell_i = \log_2 \frac{1}{p_i}$ .

## Minimising Expected Code Length

Suppose we use code  $C$  with lengths  $\ell = \{\ell_1, \dots, \ell_I\}$  and corresponding probabilities  $\mathbf{q} = \{q_1, \dots, q_I\}$  with  $q_i = \frac{1}{Z} 2^{-\ell_i}$ . Then,

$L(C, \mathbf{X}) = \sum_i p_i \ell_i$  Assignment Project Exam Help

<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pr

## Minimising Expected Code Length

Suppose we use code  $C$  with lengths  $\ell = \{\ell_1, \dots, \ell_I\}$  and corresponding probabilities  $\mathbf{q} = \{q_1, \dots, q_I\}$  with  $q_i = \frac{1}{Z} 2^{-\ell_i}$ . Then,

$L(C, \mathbf{x}) = \sum_i p_i \ell_i$  **Assignment Project Exam Help**

<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pr

## Minimising Expected Code Length

Suppose we use code  $C$  with lengths  $\ell = \{\ell_1, \dots, \ell_I\}$  and corresponding probabilities  $\mathbf{q} = \{q_1, \dots, q_I\}$  with  $q_i = \frac{1}{Z} 2^{-\ell_i}$ . Then,

Assignment Project Exam Help

$$L(C, \mathbf{x}) = \sum_i p_i \ell_i$$

<https://eduassistpro.github.io>

$$= \sum_i p_i \log_2 \frac{1}{Z p_i q_i}$$

Add WeChat edu\_assist\_pr

## Minimising Expected Code Length

Suppose we use code  $C$  with lengths  $\ell = \{\ell_1, \dots, \ell_I\}$  and corresponding probabilities  $\mathbf{q} = \{q_1, \dots, q_I\}$  with  $q_i = \frac{1}{Z} 2^{-\ell_i}$ . Then,

Assignment Project Exam Help

$$L(C, \mathbf{x}) = \sum_i p_i \ell_i$$

<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pro

$$= \sum_i p_i \log_2 \frac{1}{Z p_i q_i}$$
$$= \sum_i p_i \left[ \log_2 \left( \frac{1}{p_i} \right) + \log_2 \left( \frac{1}{Z q_i} \right) \right]$$

## Minimising Expected Code Length

Suppose we use code  $C$  with lengths  $\ell = \{\ell_1, \dots, \ell_I\}$  and corresponding probabilities  $\mathbf{q} = \{q_1, \dots, q_I\}$  with  $q_i = \frac{1}{z} 2^{-\ell_i}$ . Then,

Assignment Project Exam Help

<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pro

$$\begin{aligned} L(C, \mathbf{x}) &= \sum_i p_i \ell_i \\ &= \sum_i p_i \log_2 \frac{1}{z p_i q_i} \\ &= \sum_i p_i \left[ \log_2 \left( \frac{1}{p_i} \right) + \log_2 \left( \frac{1}{z q_i} \right) \right] \\ &= \sum_i p_i \log_2 \frac{1}{p_i} + \sum_i p_i \log_2 \frac{p_i}{q_i} + \log_2 \left( \frac{1}{z} \right) \sum_i p_i \end{aligned}$$

## Minimising Expected Code Length

Suppose we use code  $C$  with lengths  $\ell = \{\ell_1, \dots, \ell_I\}$  and corresponding probabilities  $\mathbf{q} = \{q_1, \dots, q_I\}$  with  $q_i = \frac{1}{z} 2^{-\ell_i}$ . Then,

Assignment Project Exam Help

<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pro

$$\begin{aligned} L(C, X) &= \sum_i p_i \ell_i \\ &= \sum_i p_i \log_2 \frac{1}{z p_i q_i} \\ &= \sum_i p_i \left[ \log_2 \left( \frac{1}{p_i} \right) + \log_2 \left( \frac{1}{q_i} \right) \right] \\ &= \sum_i p_i \log_2 \frac{1}{p_i} + \sum_i p_i \log_2 \frac{p_i}{q_i} + \log_2 \left( \frac{1}{z} \right) \sum_i p_i \\ &= H(X) + D_{\text{KL}}(\mathbf{p} \parallel \mathbf{q}) + \log_2(1/z) \cdot 1 \end{aligned}$$



## Minimising Expected Code Length

So if  $\mathbf{q} = \{q_1, \dots, q_I\}$  are the probabilities for the code lengths of  $C$  then  
under ensemble  $X$  with probabilities  $\mathbf{p} = \{p_1, \dots, p_I\}$

Assignment Project Exam Help

1

<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pr

## Minimising Expected Code Length

So if  $\mathbf{q} = \{q_1, \dots, q_I\}$  are the probabilities for the code lengths of  $C$  then  
under ensemble  $X$  with probabilities  $\mathbf{p} = \{p_1, \dots, p_I\}$

Assignment Project Exam Help

1

<https://eduassistpro.github.io>

Thus,  $L(\mathbf{p}||\mathbf{q})$

code lengths so that  $D_{KL}(\mathbf{p}||\mathbf{q}) = 0$  and  $\log_2 \frac{1}{p_i}$

choose

Add WeChat edu\_assist\_pr

## Minimising Expected Code Length

So if  $\mathbf{q} = \{q_1, \dots, q_I\}$  are the probabilities for the code lengths of  $C$  then under ensemble  $X$  with probabilities  $\mathbf{p} = \{p_1, \dots, p_I\}$

Thus,  $L(\mathbf{p}||\mathbf{q}) = \sum p_i \log \frac{p_i}{q_i}$  choose code lengths so that  $D_{KL}(\mathbf{p}||\mathbf{q}) = 0$  and  $\log \frac{1}{p_i}$

But the relative entropy  $D_{KL}(\mathbf{p}||\mathbf{q}) \geq 0$  with equality (Gibb's inequality)

## Minimising Expected Code Length

So if  $\mathbf{q} = \{q_1, \dots, q_I\}$  are the probabilities for the code lengths of  $C$  then under ensemble  $X$  with probabilities  $\mathbf{p} = \{p_1, \dots, p_I\}$

Thus,  $L(\mathbf{q})$  choose code lengths so that  $D_{KL}(\mathbf{p} \parallel \mathbf{q}) = 0$  and  $\log_2 \frac{1}{z}$

But the relative entropy  $D_{KL}(\mathbf{p} \parallel \mathbf{q}) \geq 0$  with equality (Gibb's inequality)

For  $\mathbf{q} = \mathbf{p}$ , we have  $z \stackrel{\text{def}}{=} \sum_i q_i = \sum_i p_i = 1$  and so  $\log_2 \frac{1}{z} = 0$

## Entropy as a Lower Bound on Expected Length

We have shown that for a code  $C$  with lengths corresponding to  $p_i$ ,  
**Assignment Project Exam Help**

$$L(C, X) \geq H(X)$$

with equality

<https://eduassistpro.github.io>

Once again, the entropy determines a lower bound  
compression is possible

- $L(C, X)$  refers to average compression
- Individual message length could be bigger than

**Add WeChat edu\_assist\_pro**

## Shannon Codes

If we pick lengths  $\ell_i = \log_2 \frac{1}{p_i}$ , we get optimal expected code lengths

Assignment Project Exam Help

But  $\log_2 \frac{1}{p_i}$  is not always an integer—a problem for code lengths.

<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pr

## Shannon Codes

If we pick lengths  $\ell_i = \log_2 \frac{1}{p_i}$ , we get optimal expected code lengths

But  $\log_2 \frac{1}{p_i}$  is not always an integer—a problem for code lengths.

### Shannon

Given an e

$\ell = \{\ell_1, \dots\}$

$$\ell_i = \log_2 \frac{1}{p_i} \geq \lceil \log_2 \frac{1}{p_i} \rceil$$

A code  $C$  is called a **Shannon code** if it has c

Here  $\lceil x \rceil$  is “smallest integer not smaller than  $x$ ”. e.g.,  $\lceil 2.1 \rceil = 3$ ,  $\lceil 5 \rceil = 5$ .

This gives us code lengths that are “closest” to  $\log_2 \frac{1}{p_i}$

# Assignment Project Exam Help

Examples:

- 1 If  $\mathcal{P}_X = \frac{1}{2}, \frac{1}{4}, \frac{1}{4}$  then  $\ell = 1, 2, 2$  so  $C = 0, 10, 11$  is a Shannon code

<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pro



## Shannon Codes: Examples

# Assignment Project Exam Help

Examples:

- ① If  $\mathcal{P}_X = \left\{ \frac{1}{2}, \frac{1}{4}, \frac{1}{4} \right\}$  then  $\ell = \{1, 2, 2\}$  so  $C = \{0, 10, 11\}$  is a Shannon code

<https://eduassistpro.github.io>

- ② If  $\mathcal{P}_X = \left\{ \frac{1}{3}, \frac{1}{3}, \frac{1}{3} \right\}$  then  $\ell = \{2, 2, 2\}$  w  
 $C = \{00, 10, 11\}$  (or  $C = \{01, 10, 11\}$ )

Add WeChat: edu\_assist\_pro

## Source Coding Theorem for Symbol Codes

Shannon codes let us prove the following:

Assignment Project Exam Help

<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pr

# Source Coding Theorem for Symbol Codes

Shannon codes let us prove the following:

Source Coding Theorem for Symbol Codes

For any ensemble  $X$ , there exists a prefix code  $C$  such that

In particular  
have ex

<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pr

# Source Coding Theorem for Symbol Codes

Shannon codes let us prove the following:

Source Coding Theorem for Symbol Codes

For any ensemble  $X$ , there exists a prefix code  $C$  such that

In particular  
have ex

Entropy also gives a guideline upper bound of comp

## Shannon Codes

Since  $\lceil x \rceil$  is the *smallest* integer bigger than or equal to  $x$  it must be the case that  $x \leq \lceil x \rceil < x + 1$ .

# Assignment Project Exam Help

<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pr

## Shannon Codes

Since  $\lceil x \rceil$  is the *smallest* integer bigger than or equal to  $x$  it must be the case that  $x \leq \lceil x \rceil < x + 1$ .

Assignment Project Exam Help

Therefore, if we create a Shannon code  $C$  for  $\mathbf{p} = \{p_1, \dots, p_n\}$  with  $\ell_i = \lceil \log_2 \frac{1}{p_i} \rceil < \log_2 \frac{1}{p_i} + 1$  it will satisfy

$\sum_{i=1}^n p_i \leq \sum_{i=1}^n 2^{-\ell_i}$   
<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pr

## Shannon Codes

Since  $\lceil x \rceil$  is the *smallest* integer bigger than or equal to  $x$  it must be the case that  $x \leq \lceil x \rceil < x + 1$ .

Assignment Project Exam Help

Therefore, if we create a Shannon code  $C$  for  $\mathbf{p} = \{p_1, \dots, p_n\}$  with  $\ell_i = \lceil \log_2 \frac{1}{p_i} \rceil < \log_2 \frac{1}{p_i} + 1$  it will satisfy

<https://eduassistpro.github.io>

Furthermore, since  $\ell_i \geq -\log_2 p_i$ , we have  $2^{-\ell_i} \leq p_i$ .

$\sum_i 2^{-\ell_i} \leq \sum_i p_i = 1$ . By Kraft there is a prefix code  $C$  with  $\ell_i$  as the length of the codeword for  $p_i$ .

Add WeChat: edu\_assist\_pro

## Shannon Codes

Since  $\lceil x \rceil$  is the *smallest* integer bigger than or equal to  $x$  it must be the case that  $x \leq \lceil x \rceil < x + 1$ .

Therefore, if we create a Shannon code  $C$  for  $\mathbf{p} = \{p_1, \dots, p_n\}$  with  $\ell_i = \lceil \log_2 \frac{1}{p_i} \rceil < \log_2 \frac{1}{p_i} + 1$  it will satisfy

<https://eduassistpro.github.io>

Furthermore, since  $\ell_i \geq -\log_2 p_i$ , we have  $2^{\ell_i} \geq \frac{1}{p_i}$ .

$\sum_i 2^{-\ell_i} \leq \sum_i p_i = 1$ . By Kraft there is a prefix code  $C$  with  $\ell_i$ .

### Examples:

1 If  $\mathcal{P}_X = \{\frac{1}{2}, \frac{1}{4}, \frac{1}{4}\}$  then  $\ell = \{1, 2, 2\}$  and  $H(X) = \frac{3}{2} = L(C, X)$



# Shannon Codes

Since  $\lceil x \rceil$  is the *smallest* integer bigger than or equal to  $x$  it must be the case that  $x \leq \lceil x \rceil < x + 1$ .

Therefore, if we create a Shannon code  $C$  for  $\mathbf{p} = \{p_1, \dots, p_n\}$  with  $\ell_i = \lceil \log_2 \frac{1}{p_i} \rceil < \log_2 \frac{1}{p_i} + 1$  it will satisfy

<https://eduassistpro.github.io>

Furthermore, since  $\ell_i \geq -\log_2 p_i$ , we have  $2^{-\ell_i} \leq p_i$ .

$\sum_i 2^{-\ell_i} \leq \sum_i p_i = 1$ . By Kraft there is a prefix code  $C$  with  $\ell_i$ .

## Examples:

- 1 If  $\mathcal{P}_X = \{\frac{1}{2}, \frac{1}{4}, \frac{1}{4}\}$  then  $\ell = \{1, 2, 2\}$  and  $H(X) = \frac{3}{2} = L(C, X)$
- 2 If  $\mathcal{P}_X = \{\frac{1}{3}, \frac{1}{3}, \frac{1}{3}\}$  then  $\ell = \{2, 2, 2\}$  and  $H(X) = \log_2 3 \approx 1.58 \leq L(C, X) = 2 \leq 2.58 \approx H(X) + 1$

- 1 Expected Code Length
  - Minimising Expected Code Length
  - Huffman Coding

# Assignment Project Exam Help

- 2 The S <https://eduassistpro.github.io>

- 3 Huffman Coding
  - Algorithm and Example
  - Advantages and Disadvantages

Add WeChat edu\_assist\_pr

# The Source Coding Theorem for Symbol Codes

The previous arguments have established:

Source Coding Theorem for Symbol Codes

For any  $\epsilon > 0$

<https://eduassistpro.github.io>

In particular, **Shannon codes**  $C$  — those with  
have expected code length within 1 bit of the entropy

— | —

Add WeChat edu\_assist\_pro

# The Source Coding Theorem for Symbol Codes

The previous arguments have established:

Source Coding Theorem for Symbol Codes

For any  $\epsilon$

<https://eduassistpro.github.io>

In particular, **Shannon codes**  $C$  — those with  
have expected code length within 1 bit of the entropy

Add WeChat edu\_assist\_pro

This is good, but is it

## Shannon codes are suboptimal

**Example:** Consider  $p_1 = 0.0001$  and  $p_2 = 0.9999$ . (Note  $H(X) \approx 0.0013$ )

# Assignment Project Exam Help

- The Shannon code  $C$  has lengths  $\ell_1 = \lceil \log_2 \frac{1}{0.0001} \rceil = 14$  and  $\ell_2 = \lceil \log_2 \frac{1}{0.9999} \rceil = 1$

- The  $99 = 1.0013$
- But c

<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pr

## Shannon codes are suboptimal

**Example:** Consider  $p_1 = 0.0001$  and  $p_2 = 0.9999$ . (Note  $H(X) \approx 0.0013$ )

Assignment Project Exam Help

- The Shannon code  $C$  has lengths  $\ell_1 = \lceil \log_2 10000 \rceil = 14$  and  $\ell_2 = \lceil \log_2 \frac{10000}{9999} \rceil = 1$

- The  $99 = 1.0013$
- But  $c$

<https://eduassistpro.github.io>

Shannon codes do not necessarily have

Add WeChat edu\_assist\_pro<sup>th</sup>

This is perhaps disappointing, as these codes were constructed very naturally from the theorem

- Fortunately, there is another simple code that **is** provably optimal

- 1 Expected Code Length
  - Minimising Expected Code Length
  - Huffman Coding

Assignment Project Exam Help

2 The S <https://eduassistpro.github.io>

- 3 Huffman Coding
  - Algorithm and Examples
  - Advantages and Disadvantages

Add WeChat edu\_assist\_pro

# Constructing a Huffman Code

**Huffman Coding** is a procedure for making provably optimal prefix codes

It assigns the longest codewords to least probable symbols

Basic alg

- Take
- Prepend bits 0 and 1 to current codewords of sy
- Combine these two symbols into a single "met"
- Repeat



## Huffman Coding: Example 1

Start with  $A = \{a, b, c\}$  and  $P = \{\frac{1}{2}, \frac{1}{4}, \frac{1}{4}\}$

# Assignment Project Exam Help

Step 1

<https://eduassistpro.github.io>

c      0.25

Add WeChat edu\_assist\_pr

## Huffman Coding: Example 1

Start with  $A = \{a, b, c\}$  and  $P = \{\frac{1}{2}, \frac{1}{4}, \frac{1}{4}\}$

Step 1

<https://eduassistpro.github.io>

c 0.25 1

Add WeChat edu\_assist\_pr

# Huffman Coding: Example 1

Start with  $\mathcal{A} = \{a, b, c\}$  and  $\mathcal{P} = \{\frac{1}{2}, \frac{1}{4}, \frac{1}{4}\}$

Step 1

Step 2

<https://eduassistpro.github.io>

c 0.25 1

Add WeChat edu\_assist\_pr

Now we read off the labelling implied by path from the la  
each of the original symbols:  $C = \{0, 10, 11\}$

## Huffman Coding: Example 2

$$\mathcal{A}_X = \{a, b, c, d, e\} \text{ and } \mathcal{P}_X = \{0.25, 0.25, 0.2, 0.15, 0.15\}$$

Assignment Project Exam Help

step 1 step 2 step 3 step 4

a ——— 0 0 1.0

b

c

d 0.15 0.3 0.

e 0.15 1

<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pr

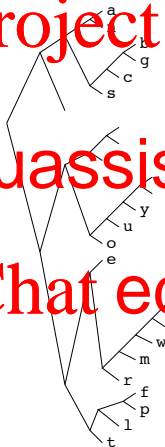
From Example 5.15 of MacKay

$$C = \{00, 10, 11, 010, 011\}$$

# Huffman Coding: Example 3

English letters – Monogram statistics

$a_i$	$p_i$	$\log_2 \frac{1}{p_i}$	$l_i$	$c(a_i)$
a	0.0771	4.0	4	0000
b	0.0125	6.3	6	001000
c	0.0263	5.2	5	00101
d	0.0285	5.1	5	10000
e				
f				
g				
h				
i				
j				
k				
l	0.0335	4.9	5	11101
m	0.0235	5.4	6	110101
n	0.0596	4.1	4	0001
o	0.0689	3.9	4	001
p	0.0192	5.7	6	11011
q	0.0008	6.8	9	111010001
r	0.0508	4.3	5	11011
s	0.0567	4.1	4	0011
t	0.0706	3.8	4	1111
u	0.0334	4.9	5	10101
v	0.0069	7.2	8	11010001
w	0.0119	6.4	7	1101001
x	0.0073	7.1	7	1010001
y	0.0164	5.9	6	101001
z	0.0007	10.4	10	1101000001
-	0.1928	2.4	2	01



$x$	$P(x)$
a	0.0771
b	0.0125
c	0.0263
d	0.0285
e	0.0913
f	0.0173
g	0.0133
h	0.0313
i	0.0399
j	0.0006
k	0.0084
l	0.0335
m	0.0235
n	0.0596
o	0.0689
p	0.0192
q	0.0008
r	0.0508
s	0.0567
t	0.0706
u	0.0334
v	0.0069
w	0.0119
x	0.0073
y	0.0164
z	0.0007
-	0.1928

# Huffman Coding: Formally

## HUFFMAN( $\mathcal{A}, \mathcal{P}$ ):

- 1 If  $|\mathcal{A}| = 2$  return  $C = \{0, 1\}$ ; else
- 2 Let  $a, a' \in \mathcal{A}$  be the two least probable symbols
- 3 Let  $c' =$  Huffman( $\mathcal{A} - \{a, a'\}, \mathcal{P}$ )
- 4 Let  $c =$
- 5 Co
- 6 Defi
  - ▶  $c(a) = c'(a)0$
  - ▶  $c(a') = c'(a')1$
  - ▶  $c(x) = c'(x)$  for  $x \in \mathcal{A} - \{a, a'\}$
- 7 Return  $C$

## Huffman Coding: Example 1

Start with  $\mathcal{A} = \{a, b, c\}$  and  $\mathcal{P} = \{\frac{1}{2}, \frac{1}{4}, \frac{1}{4}\}$

- HUFFMAN( $\mathcal{A}, \mathcal{P}$ ):

•  $b$  and  $c$  are least probable with  $p_b = p_c = \frac{1}{4}$

Assignment Project Exam Help

<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pr

## Huffman Coding: Example 1

Start with  $\mathcal{A} = \{a, b, c\}$  and  $\mathcal{P} = \{\frac{1}{2}, \frac{1}{4}, \frac{1}{4}\}$

- HUFFMAN( $\mathcal{A}, \mathcal{P}$ ):

- $b$  and  $c$  are least probable with  $p_b = p_c = \frac{1}{4}$

- ▶  $\mathcal{A}' = \{a, \mathbf{bc}\}$  and  $\mathcal{P}' = \{\frac{1}{2}, \frac{1}{2}\}$

Assignment Project Exam Help

<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pr



## Huffman Coding: Example 1

Start with  $\mathcal{A} = \{a, b, c\}$  and  $\mathcal{P} = \{\frac{1}{2}, \frac{1}{4}, \frac{1}{4}\}$

- HUFFMAN( $\mathcal{A}, \mathcal{P}$ ):

- ▶  $b$  and  $c$  are least probable with  $p_b = p_c = \frac{1}{4}$
- ▶  $\mathcal{A}' = \{a, \mathbf{bc}\}$  and  $\mathcal{P}' = \{\frac{1}{2}, \frac{1}{2}\}$

Assignment Project Exam Help

<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pr

## Huffman Coding: Example 1

Start with  $\mathcal{A} = \{a, b, c\}$  and  $\mathcal{P} = \{\frac{1}{2}, \frac{1}{4}, \frac{1}{4}\}$

- HUFFMAN( $\mathcal{A}, \mathcal{P}$ ):

- $b$  and  $c$  are least probable with  $p_b = p_c = \frac{1}{4}$

- ▶  $\mathcal{A}' = \{a, bc\}$  and  $\mathcal{P}' = \{\frac{1}{2}, \frac{1}{2}\}$

- ▶

- <https://eduassistpro.github.io>

- ▶ Define

- $c(b) = c'(bc)0 = 10$

- $c(c) = c'(bc)1 = 11$

- $c(a) = c'(a) = 0$

# Huffman Coding: Example 1

Start with  $\mathcal{A} = \{a, b, c\}$  and  $\mathcal{P} = \{\frac{1}{2}, \frac{1}{4}, \frac{1}{4}\}$

- HUFFMAN( $\mathcal{A}, \mathcal{P}$ ):

- ▶  $b$  and  $c$  are least probable with  $p_b = p_c = \frac{1}{4}$
- ▶  $\mathcal{A}' = \{a, bc\}$  and  $\mathcal{P}' = \{\frac{1}{2}, \frac{1}{2}\}$

- ▶

- ▶ <https://eduassistpro.github.io>

- ▶ Define

- $c(b) = c'(bc)0 = 10$
- $c(c) = c'(bc)1 = 11$
- $c(a) = c'(a) = 0$
- ▶ Return  $C = \{0, 10, 11\}$

# Huffman Coding: Example 1

Start with  $\mathcal{A} = \{a, b, c\}$  and  $\mathcal{P} = \{\frac{1}{2}, \frac{1}{4}, \frac{1}{4}\}$

- HUFFMAN( $\mathcal{A}, \mathcal{P}$ ):

- ▶  $b$  and  $c$  are least probable with  $p_b = p_c = \frac{1}{4}$
- ▶  $\mathcal{A}' = \{a, bc\}$  and  $\mathcal{P}' = \{\frac{1}{2}, \frac{1}{2}\}$

- ▶

- ▶ <https://eduassistpro.github.io>

- ▶ Define

- $c(b) = c'(bc)0 = 10$
- $c(c) = c'(bc)1 = 11$
- $c(a) = c'(a) = 0$
- ▶ Return  $C = \{0, 10, 11\}$

Add WeChat edu\_assist\_pro

## Huffman Coding: Example 1

Start with  $\mathcal{A} = \{a, b, c\}$  and  $\mathcal{P} = \{\frac{1}{2}, \frac{1}{4}, \frac{1}{4}\}$

- HUFFMAN( $\mathcal{A}, \mathcal{P}$ ):

- ▶  $b$  and  $c$  are least probable with  $p_b = p_c = \frac{1}{4}$
- ▶  $\mathcal{A}' = \{a, bc\}$  and  $\mathcal{P}' = \{\frac{1}{2}, \frac{1}{2}\}$

- ▶

- ▶ <https://eduassistpro.github.io>

- ▶ Define

- $c(b) = c'(bc)0 = 10$

- $c(c) = c'(bc)1 = 11$

- $c(a) = c'(a) = 0$

- ▶ Return  $C = \{0, 10, 11\}$

The constructed code has  $L(C, X) = \frac{1}{2} \times 1 + \frac{1}{4} \times (2 + 2) = 1.5$ .

The entropy is  $H(X) = 1.5$ .

## Huffman Coding: Example 2

Start with  $\mathcal{A} = \{a, b, c, d, e\}$  and  $\mathcal{P} = \{0.25, 0.25, 0.2, 0.15, 0.15\}$

- HUFFMAN( $\mathcal{A}, \mathcal{P}$ ):

# Assignment Project Exam Help

<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pr

## Huffman Coding: Example 2

Start with  $\mathcal{A} = \{a, b, c, d, e\}$  and  $\mathcal{P} = \{0.25, 0.25, 0.2, 0.15, 0.15\}$

- HUFFMAN( $\mathcal{A}, \mathcal{P}$ ):

$\mathcal{A}' = \{a, b, e, ce\}$  and  $\mathcal{P}' = \{0.25, 0.25, 0.2, 0.3\}$

Assignment Project Exam Help

<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pr

## Huffman Coding: Example 2

Start with  $\mathcal{A} = \{a, b, c, d, e\}$  and  $\mathcal{P} = \{0.25, 0.25, 0.2, 0.15, 0.15\}$

- HUFFMAN( $\mathcal{A}, \mathcal{P}$ ):

- ▶  $\mathcal{A}' = \{a, b, e, ce\}$  and  $\mathcal{P}' = \{0.25, 0.25, 0.2, 0.3\}$

- ▶ Call HUFFMAN( $\mathcal{A}', \mathcal{P}'$ ):

Assignment Project Exam Help

<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pro



## Huffman Coding: Example 2

Start with  $\mathcal{A} = \{a, b, c, d, e\}$  and  $\mathcal{P} = \{0.25, 0.25, 0.2, 0.15, 0.15\}$

- HUFFMAN( $\mathcal{A}, \mathcal{P}$ ):

- $\mathcal{A}' = \{a, b, c, d, e\}$  and  $\mathcal{P}' = \{0.25, 0.25, 0.2, 0.15, 0.15\}$

- ▶ Call HUFFMAN( $\mathcal{A}', \mathcal{P}'$ ):



<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pro

## Huffman Coding: Example 2

Start with  $\mathcal{A} = \{a, b, c, d, e\}$  and  $\mathcal{P} = \{0.25, 0.25, 0.2, 0.15, 0.15\}$

- HUFFMAN( $\mathcal{A}, \mathcal{P}$ ):

- $\mathcal{A}' = \{a, b, c, e\}$  and  $\mathcal{P}' = \{0.25, 0.25, 0.2, 0.3\}$

- ▶ Call HUFFMAN( $\mathcal{A}', \mathcal{P}'$ ):

- 

<https://eduassistpro.github.io>

- Return  $c'''(ade) = 0, c'''(bc) = 1$

Add WeChat edu\_assist\_pr

## Huffman Coding: Example 2

Start with  $\mathcal{A} = \{a, b, c, d, e\}$  and  $\mathcal{P} = \{0.25, 0.25, 0.2, 0.15, 0.15\}$

- HUFFMAN( $\mathcal{A}, \mathcal{P}$ ):

- $\mathcal{A}' = \{a, b, c, e\}$  and  $\mathcal{P}' = \{0.25, 0.25, 0.2, 0.3\}$

- ▶ Call HUFFMAN( $\mathcal{A}', \mathcal{P}'$ ):

- <https://eduassistpro.github.io>

- Return  $c'''(ade) = 0, c'''(bc) = 1$

- Return  $c''(a) = 00, c''(bc) = 1, c$

Add WeChat edu\_assist\_pr

## Huffman Coding: Example 2

Start with  $\mathcal{A} = \{a, b, c, d, e\}$  and  $\mathcal{P} = \{0.25, 0.25, 0.2, 0.15, 0.15\}$

- HUFFMAN( $\mathcal{A}, \mathcal{P}$ ):

- $\mathcal{A}' = \{a, b, c, d, e\}$  and  $\mathcal{P}' = \{0.25, 0.25, 0.2, 0.15, 0.15\}$

- ▶ Call HUFFMAN( $\mathcal{A}', \mathcal{P}'$ ):

- <https://eduassistpro.github.io>

- Return  $c'''(ade) = 0, c'''(bc) = 1$

- Return  $c''(a) = 00, c''(b) = 01, c''(c) = 10, c''(d) = 11, c''(e) = 12$
- ▶ Add WeChat: edu\_assist\_pro

## Huffman Coding: Example 2

Start with  $\mathcal{A} = \{a, b, c, d, e\}$  and  $\mathcal{P} = \{0.25, 0.25, 0.2, 0.15, 0.15\}$

- HUFFMAN( $\mathcal{A}, \mathcal{P}$ ):

- ▶  $\mathcal{A}' = \{a, b, c, d, e\}$  and  $\mathcal{P}' = \{0.25, 0.25, 0.2, 0.15, 0.15\}$

- ▶ Call HUFFMAN( $\mathcal{A}', \mathcal{P}'$ ):

- <https://eduassistpro.github.io>

- Return  $c'''(ade) = 0, c'''(bc) = 1$

- Return  $c''(a) = 00, c''(bc) = 1, c''(c) = 11$
- ▶ Return  $c'(a) = 00, c'(b) = 10, c'(c) = 11$

- Return  $c(a) = 00, c(b) = 10, c(c) = 11, c(d) = 010, c(e) = 011$

## Huffman Coding: Example 2

Start with  $\mathcal{A} = \{a, b, c, d, e\}$  and  $\mathcal{P} = \{0.25, 0.25, 0.2, 0.15, 0.15\}$

- HUFFMAN( $\mathcal{A}, \mathcal{P}$ ):

- ▶  $\mathcal{A}' = \{a, b, c, d, e\}$  and  $\mathcal{P}' = \{0.25, 0.25, 0.2, 0.15, 0.15\}$

- ▶ Call HUFFMAN( $\mathcal{A}', \mathcal{P}'$ ):

- <https://eduassistpro.github.io>

- Return  $c'''(ade) = 0, c'''(bc) = 1$

- Return  $c''(a) = 00, c''(bc) = 1, c''(c) = 11$
- ▶ Return  $c'(a) = 00, c'(b) = 10, c'(c) = 11$

- Return  $c(a) = 00, c(b) = 10, c(c) = 11, c(d) = 010, c(e) = 011$

## Huffman Coding: Example 2

Start with  $\mathcal{A} = \{a, b, c, d, e\}$  and  $\mathcal{P} = \{0.25, 0.25, 0.2, 0.15, 0.15\}$

- HUFFMAN( $\mathcal{A}, \mathcal{P}$ ):

- $\mathcal{A}' = \{a, b, c, d, e\}$  and  $\mathcal{P}' = \{0.25, 0.25, 0.2, 0.15, 0.15\}$

- ▶ Call HUFFMAN( $\mathcal{A}', \mathcal{P}'$ ):

- <https://eduassistpro.github.io>

- Return  $c'''(ade) = 0, c'''(bc) = 1$

- Return  $c''(a) = 00, c''(bc) = 1, c''(c) = 11$
- ▶ Return  $c'(a) = 00, c'(b) = 10, c'(c) = 11$

- Return  $c(a) = 00, c(b) = 10, c(c) = 11, c(d) = 010, c(e) = 011$

The constructed code is  $C = \{00, 10, 11, 010, 011\}$ .

It has  $L(C, X) = 2 \times (0.25 + 0.25 + 0.2) + 3 \times (0.15 + 0.15) = 2.3$ .

Note that  $H(X) \approx 2.29$ .

# Huffman Coding in Python

See full example code with examples at:

<https://gist.github.com/mreid/fdf6353ec39d050e972b>

```
def huffman(p):  
    '''Return a Huffman code for an ensemble with distribution p'''  
    assert(sum(p.values()) == 1.0) # Ensure probabilities sum to 1  
  
    # Base case  
    if (len(p) == 1):  
        return [0] * len(p)  
  
    # Create a new distribution  
    p_prime = p.copy()  
    a1, a2 = lowest_prob_pair(p)  
    p1, p2 = p_prime.pop(a1), p_prime.pop(a2)  
    p_prime[a1 + a2] = p1 + p2  
  
    # Recurse and construct code on new distribution  
    c = huffman(p_prime)  
    ca1a2 = c.pop(a1 + a2)  
    c[a1], c[a2] = ca1a2 + '0', ca1a2 + '1'  
  
    return c
```



## Advantages of Huffman coding

- Produces prefix codes automatically (by design)

# Assignment Project Exam Help

- Algorithm is simple and efficient

- Huf

<https://eduassistpro.github.io>

Add WeChat edu\_assist\_pr

## Advantages of Huffman coding

- Produces prefix codes automatically (by design)

- Algorithm is simple and efficient

- Huf

<https://eduassistpro.github.io>

If  $C_{\text{Huff}}$  is a Huffman code, then for any other uniquely decodable code  $C'$ ,

Add WeChat [edu\\_assist\\_pro](https://eduassistpro.github.io)

$$L(C_{\text{Huff}}, X) \leq L(C', X)$$

It follows that

$$H(X) \leq L(C_{\text{Huff}}, X) < H(X) + 1$$

## Disadvantages of Huffman coding

Assignment Project Exam Help

- Assumes a fixed distribution of symbols

- The

▶ <https://eduassistpro.github.io>  
▶ timal

Add WeChat edu\_assist\_pr

## Disadvantages of Huffman coding

- Assumes a fixed distribution of symbols

- The



<https://eduassistpro.github.io>

timal

Huffman codes are the best possible  
but symbol coding is not always the best

**Next Time:** Stream Codes!

# Summary

## Key Concepts:

① The expected code length  $L(C, X) = \sum_i p_i \ell_i$

② Probabilities and code lengths are interchangeable

$$q_i = 2^{-\ell_i} \iff \ell_i = \log_2 \frac{1}{q_i}$$

③ Rel

py

$H(\cdot)$

④ The

(Shannon) code  $C$  for ensemble  $X$  with  $\ell_i = \lceil \log_2 \frac{1}{q_i} \rceil$  so that

Add WeChat  $H(X) \leq L(C, X)$  edu\_assist\_pr

⑤ Huffman codes are optimal symbol codes

## Reading:

- §5.3-5.7 of MacKay
- §5.3-5.4, §5.6 & §5.8 of Cover & Thomas