

COMP30026 Models of Computation

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Induction Principles

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Lecture Week 5 Part 2 (Zo

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Semester 2, 2021

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"Mathematical" induction is always a proof about the natural numbers, \mathbb{N} .

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- 1 In the basis step, we show $S(0)$.
- 2 In the inductive step, we take $S(n)$ and use it to establish $S(n+1)$.

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Proof by Induction

Theorem: For all $n \geq 0$,

$$\sum_{i=1}^n i^2 = \frac{n(n+1)(2n+1)}{6}$$

Proof: <https://eduassistpro.github.io>

For the inductive step, assume the statement is true for fixed n ,
and we shall show that it also holds true with $n+1$.

So the statement to prove is

$$\sum_{i=1}^{n+1} i^2 = \frac{(n+1)(n+2)(2n+3)}{6}$$

Proof by Induction

But the claim

$$\sum_{i=1}^{n+1} i^2 = \frac{(n+1)(n+2)(2n+3)}{6}$$

is the same

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By the induction hypothesis it suffices to show that

$$\frac{n(n+1)(2n+1)}{6} + (n+1)^2 = \frac{(n+1)(n+2)(2n+3)}{6}$$

This is done by simple polynomial algebra.

More General Induction

Sometimes more base cases may be needed.

Sometimes we need to use several statements: $S(i), \dots, S(n)$ to establish $S(n+1)$.

Theore

Proof:

are true.

For the inductive step, assume that n are true. Since $S(n-2)$ is true, also $n+1$ ca and $5s -$ just add 3 to the sum we had for $n-2$. Hence we have established $S(n+1)$.

We conclude that $S(n)$ holds for all $n \geq 8$.

Course-of-Values Induction

We can take the generality of “general induction” all the way:

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To prove some claim $P(n)$, we are allowed to take the entire conjunction

as our induction hypothesis. <https://eduassistpro.github.io>

This variant is called **course-of-values** induction.

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At first it looks like performing induction without a base case.

But the base case is implicitly included in the inductive step, because we have to prove $P(0)$ from nothing, that is, from *true*, the empty conjunction.

Recursive Structure and Induction

We often deal with recursively defined objects. Lists and trees are examples.

The set of words w is the smallest set such that w is her example

We will later meet context-free grammars; the language defined by such a grammar is a third example.

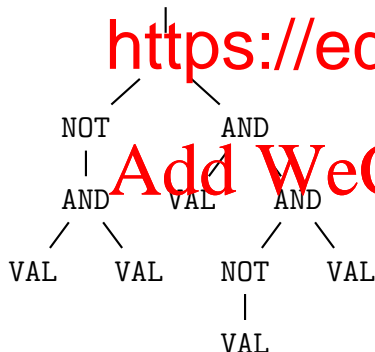
Induction is the natural way of proving assertions about recursive objects.

In many cases we then rely on **structural induction**.

Structural Induction: An Example

Consider the Haskell type `Exp` defined like so:

```
data Exp = AND Exp Exp | NOT Exp | VAL
```



• a be the number of `AND` nodes,
• b be the number of `NOT` nodes,
• v be the number of `VAL` nodes.

I claim that $v = a + 1$, **always**.

Structural Induction: An Example

The claim $v = a + 1$ applies to all trees that are inhabitants of Exp .

The definition of Exp told us that there are only three possible forms we need to deal with:

- 1 the constant 1
- 2 a tree of form $\text{VAL } t$, where t is a tree
- 3 a tree of form $\text{NOT } t$, where t is a tree

The first is a **base** case for induction.

It is straight-forward to prove $v = a + 1$ for the base case, since for VAL , a is 0 and v is 1.

Structural Induction: An Inductive Case

For the **inductive case** AND t_1 t_2 , we proceed by assuming that the inductive hypothesis holds for t_1 and t_2 .

That is, if the number of AND nodes in t_1 and t_2 is a_1 and a_2 , respectively, then we have

To get the number a of AND nodes in A t t number of AND nodes in t_1 and t_2 , and the

To get the number of VAL nodes, we just have VAL nodes in t_1 and t_2 : $v = v_1 + v_2$.

So $v = v_1 + v_2 = a_1 + 1 + a_2 + 1 = a + 1$. Just as we claimed!

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The case of NOT is even simpler.

Clearly there is a unique t such that t is the root of t , and since t is a leaf, it must be the root of t .

So again we

Since we have established that $y = a +$, we conclude that it really is an invariant; it must hold for all possible expressions. Exp trees.

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Structural and Mathematical Induction

Structural induction is a natural generalisation of course-of-values mathematical induction.

In Haskell

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da <https://eduassistpro.github.io>

Then structural induction over this type corresponds to course-of-values induction.

Conversely, if you prefer mathematical induction, you can show $v = a + 1$ for the Exp trees, by doing induction on the height of the trees.

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