

COMP30026 Models of Computation

Nondeterministic Finite Automata

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Lecture Week 7 Part

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# Nondeterminism

The type of machine we have seen so far is called a **deterministic** finite automaton, or **DFA**.

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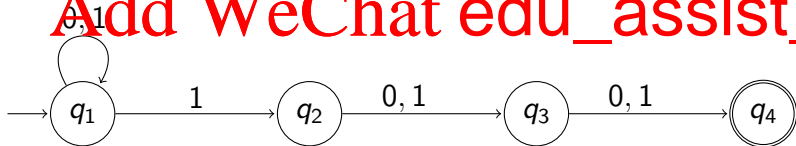
We now turn to non-deterministic finite automata, or **NFAs**.

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| and the third last symbol in

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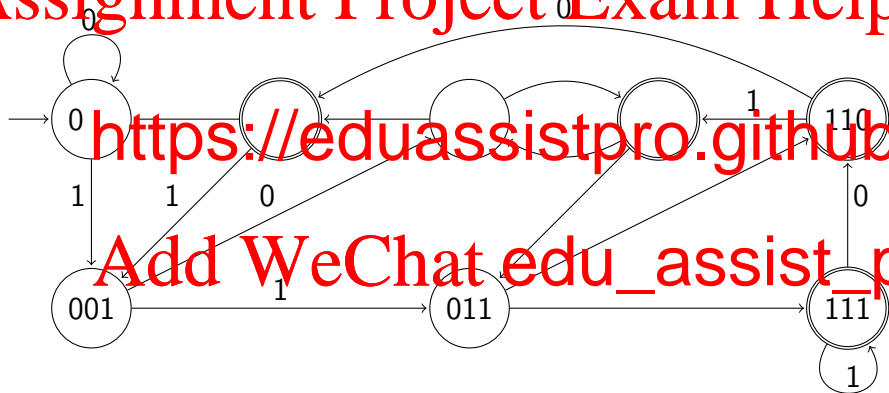


Note: **No** transitions from  $q_4$ , and **two** possible transitions when we meet a 1 in state  $q_1$ .

# Nondeterminism

The NFA is more intelligible than a DFA for the same language:

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This is the simplest DFA that will do the job!

# Epsilon Transitions

NFAs may also be allowed to move from one state to another without consuming input.

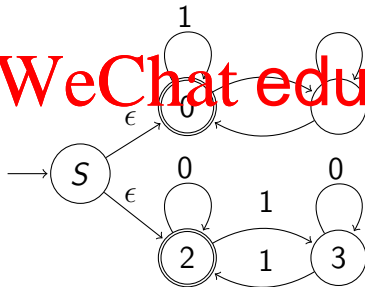
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Such a transition is an  $\epsilon$  transition.

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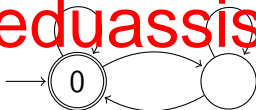
# Multiple Possible Start States

Epsilon transitions are often useful, but in the previous example we actually did not need them, because an NFA is also allowed to have multiple start states.

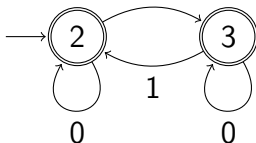
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This NFA is equivalent to the previous one, but it has only four states:

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# Formal Definition of NFA

For any alphabet  $\Sigma$  let  $\Sigma_\epsilon$  denote  $\Sigma \cup \{\epsilon\}$ .

An NFA is a 5-tuple  $(Q, \Sigma, \delta, I, F)$ , where

- $Q$
- $\Sigma$  is a finite alphabet
- $\delta : Q \times \Sigma_\epsilon \rightarrow \mathcal{P}(Q)$  is the transition function,
- $I \subseteq Q$  are the start states, and
- $F \subseteq Q$  are the accept states.

Note that, unlike a DFA, an NFA can have several “start” states—it can start executing from any one of those.

# NFA Acceptance and Recognition, Formally

The definition of what it means for an NFA  $N$  to accept a string says that it has to be possible to make the necessary transitions.

Let  $N = (Q, \Sigma, \delta, I, F)$  be an NFA and let  $w = v_1 v_2 \cdots v_n$  where each  $v_i$  is a me

$N$  accepts  $w$  iff there is a sequence of states  $r_0, r_1, \dots, r_n$  with each  $r_i \in Q$ , s

1.  $r_0 \in I$
2.  $r_{i+1} \in \delta(r_i, v_{i+1})$  for  $i = 0, \dots, n - 1$
3.  $r_n \in F$

$N$  recognises language  $A$  iff  $A = \{w \mid N \text{ accepts } w\}$ .



The class of languages recognised by NFAs is exactly the class of regular languages.

## Theorem

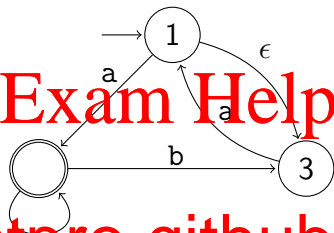
The proof

Given NFA  $N$ , we construct DFA  $M$ , each state of  $M$  corresponds to a set of  $N$ -states.

If  $N$  has  $k$  states then  $M$  may have up to  $2^k$  states (but it will often have far fewer than that).

# DFAs vs NFAs

Consider the NFA on the right. We can systematically construct an equivalent DFA from the NFA.



The DFA'

From {1

From {1

{1, 2, 3}, b takes us to {2, 3}.

Any state  $S$  which contains an accept state from the NFA will be an accept state for the DFA. Here we mark accept states with a star.

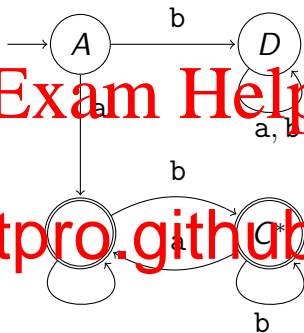
		b
		$C^*$
$C^* = \{2, 3\}$	$B^*$	$C^*$

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Here is the equivalent DFA that we derive.

Any state  
state from  
has just one, namely state 2) becomes an  
accept state for the DFA.

We add (dead) state  $D$  that corresponds  
to the empty set.



	D	
$B^* = \{1, 2, 3\}$	$B^*$	$C^*$
$C^* = \{2, 3\}$	$B^*$	$C^*$
$D = \emptyset$	$D$	$D$

## More Formally ...

Let  $N = (Q, \Sigma, \delta, I, F)$ . Let  $\rightarrow_{\epsilon}^*$  be the reflexive transitive closure of  $\rightarrow_{\epsilon}$ , which in turn is defined by  $s \rightarrow_{\epsilon}^* s'$  iff  $s' \in \delta(s, \epsilon)$ .

Let  $E(S)$  be the “ $\epsilon$  closure” of  $S \subseteq Q$ , that is,  $S$  together with all states reachable from  $S$  via  $\epsilon$  transitions.

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We construct  $M = (Q', \Sigma, \delta', q_0, F')$

- $q_0 = E(I)$
- $\delta'(S, v) = \bigcup_{s \in S} E(\delta(s, v))$
- $F' = \{S \subseteq Q \mid S \cap F \neq \emptyset\}$

Note: This construction may include unreachable states.