

COMP30026 Models of Computation

Regular Expressions and Non-Regular Languages

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Lecture Week 8 Part

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Subset Construction Again...

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Adding new state to DFA:

- 1 Step 1: Move on a symbol
- 2 Step 2: Build ϵ -closure

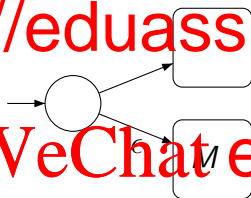
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$C^* = \{3\}$	D	C^*
$D = \emptyset$	D	D

Closure Results for Regular Languages

Theorem: The class of regular languages is closed under union.

Proof: Let A and B be regular languages, with DFAs M_A and M_B as recognisers.

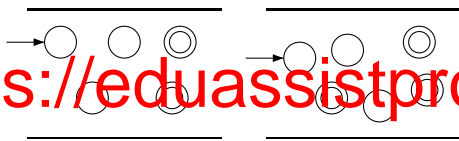


The ϵ -transitions go to the start states of M_A and M_B .

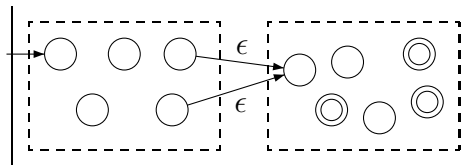
Closure Results for Regular Languages

Theorem: The class of regular languages is closed under \circ .

Proof: Let A and B be regular, with these NFAs as recognisers



From these we can easily construct an NFA that recognises $A \circ B$:



That Last Construction, Formally

Let recognisers for A and B be these DFAs, respectively:

- $M_A = (Q, \Sigma, \delta, q_0, F)$
- M_B

A recogni

0), where

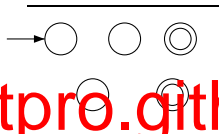
$$\delta'(q, v) = \begin{cases} \{\delta'(q, v)\} & \text{if } v \in \Sigma \\ \{\delta(q, v)\} & \text{if } v = \epsilon \\ \{q'_0\} & \text{if } q \in F \text{ and } v = \epsilon \end{cases}$$

Closure Results for Regular Languages

Theorem: The class of regular languages is closed under Kleene star.

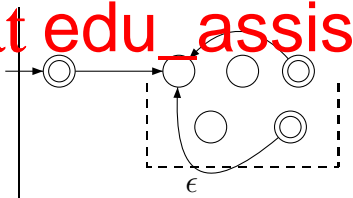
Proof:

language
on the right



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Here is how we construct an
NFA to recognise A^* :



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Regular languages have several other closure properties.

They are c

- int
- complement, A^c
- difference (this follows, as $A \setminus B =$
- reversal.

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For some of these closure results, we will use the tutorials to develop useful DF

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You will see, for example, how to systematically build languages $A \cap B$, out of DFAs for A and B .

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We can always find a **minimal** DFA for a given regular language (by minimization algorithms).

Since a DFA is unique (up to isomorphism),

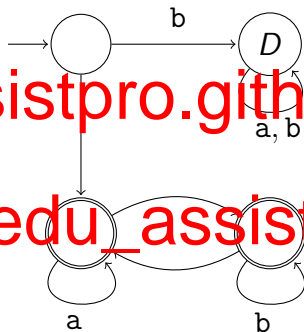
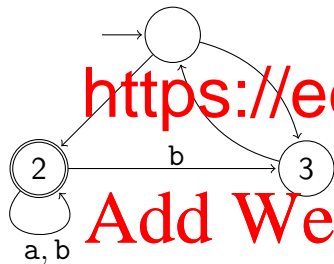
if two DFAs are equivalent, we can test two DFAs for equivalence (modulo the names used for their states) by minimizing them.

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Minimizing DFAs

There is no guarantee that DFAs that are produced by the various

algorithms, such as the subset construction method, will be minimal.



$A = \{1, 3\}$, $B^* = \{1, 2, 3\}$, $C^* = \{2, 3\}$, and $D = \emptyset$.

Generating a Minimal DFA

The following algorithm takes an NFA and produces an equivalent **minimal** DFA. Of course the input can also be a DFA.

- 1 Re
- 2 De
- 3 Re
- 4 Determinize.

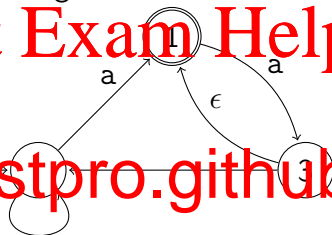
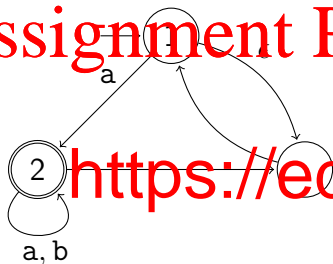
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To reverse an NFA A with start states I and final states F , simply reverse every transition in A and swap I and F .

Minimization Example

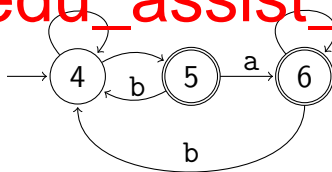
Consider again the NFA that we determinized two slides ago.
Here it is on the left, with its reversal on the right:



Now make the reversed NFA deterministic

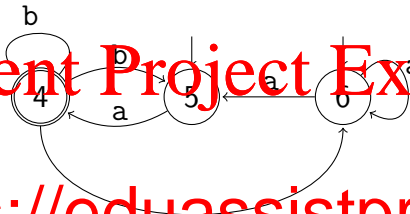
(we have renamed the states to avoid later confusion:

4 corresponds to $\{2\}$, 5 to $\{1, 2\}$,
and 6 to $\{1, 2, 3\}$).

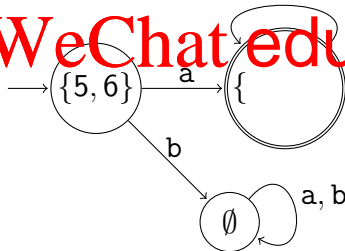


Minimization Example

Now reverse the result:



Finally m



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Regular Expressions

Regular expressions is a notation for languages.

You are probably familiar with similar notation in Unix, Python or JavaScript. There are different things to do.

Example:

$(0 \cup 1)(0 \cup 1)(0 \cup 1)((0 \cup 1)(0 \cup 1)(0$

non-empty strings with the lengths that are multiple of 6.

The star binds tighter than concatenation, which in turn binds tighter than union.

Regular Expressions

Syntax:

The **regular expressions** over an alphabet $\Sigma = \{a_1, \dots, a_n\}$ are given

by the grammar

regex

regex^{*}

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Semantics:

$$L(a) = \{a\}$$

$$L(\epsilon) = \{\epsilon\}$$

$$L(\emptyset) = \emptyset$$

$$L(R_1 \cup R_2) = L(R_1) \cup L(R_2)$$

$$L(R_1 R_2) = L(R_1) \circ L(R_2)$$

$$L(R^*) = L(R)^*$$

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$\epsilon : \{\epsilon\}$

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$(0 \cup \epsilon)(\epsilon \cup 1) : \{\epsilon, 0, 1\}$

$1^* : \text{all finite sequence of 1s}$

$\epsilon \cup 1 \cup (\epsilon \cup 1)^*(\epsilon \cup 1) : \text{all finite sequence of 0s and 1s}$

$(1^*0^*)^* : ?$

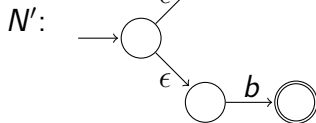
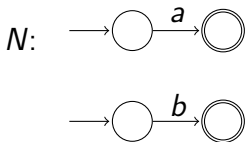
Regular Expressions vs Automata

Theorem: L is regular iff L can be described by a regular expression.

First note that, given NFA $N = (Q, \Sigma, \delta, I, F)$, we can build an equivalent NFA with at most one start state, like so: if $|I| \leq 1$, do nothing. Otherwise transform N to $N' = (Q \cup \{q_i\}, \Sigma, \delta', \{q_i\}, F)$ by adding a

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 $\delta(q, v)$ otherwise

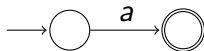
Example:



NFAs from Regular Expressions

We now show the 'if' direction of the theorem, by showing how to convert a regular expression R into an NFA that recognises $L(R)$. The proof is by structural induction over the form of R .

Case $R = a$



Case $R = \epsilon$



Case $R = \emptyset$: Construct



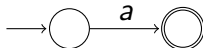
Case $R = R_1 \cup R_2$, $R = R_1 R_2$, or $R = R^*$

We already gave the constructions when we showed that regular languages are closed under the regular operations! They work because we can assume each NFA involved has a single start state.

NFAs from Regular Expressions: Example

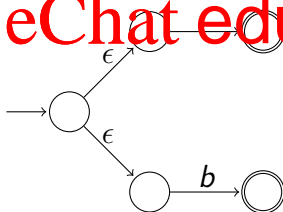
Let us construct, in the proposed systematic way, an NFA for $(a \cup b)^*bc$.

Start from innermost expressions and work out:



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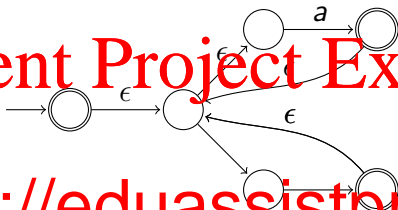
So a single-start state NFA for $a \cup b$ is:



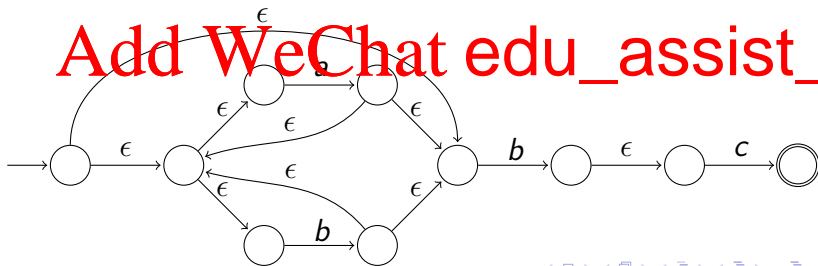
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NFAs from Regular Expressions

Then $(a \cup b)^*$ yields:



Finally (



Regular Expressions from NFAs

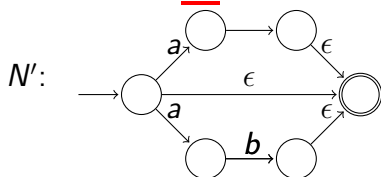
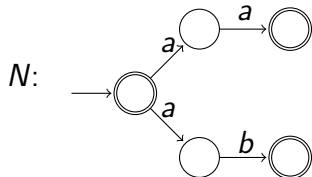
We now show the 'only if' direction of the theorem.

First note that, given an NFA N , we can build an equivalent NFA with at most one accept state. We transform $N = (Q, \Sigma, \delta, I, F)$ to $N' = (Q \cup \{q_f\}, \Sigma, \delta', I, \{q_f\})$ like so: If $|F| \leq 1$, do nothing.

Otherwise, let $f \in F$ be the state in F .

q_f becomes

$$\delta'(q, v) = \begin{cases} \delta(q, v) & \text{if } f \neq \delta(q, v) \\ q_f & \text{otherwise} \end{cases}$$



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Regular Expressions from NFAs

We sketch how an NFA can be turned into a regular expression in a systematic process of “state elimination”.

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In the process, arcs get labelled with regular expressions.

Start by m

gle

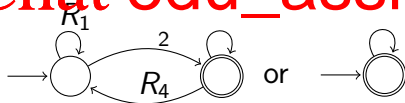
start state

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Repeatedly eliminate states that are neither start nor accept states.

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The process produces either



We get $(R_1 \cup R_2 R_3^* R_4)^* R_2 R_3^*$ in the first case; R^* in the second.

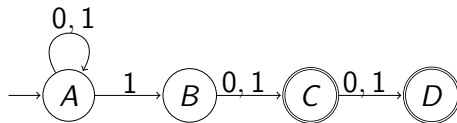
The State Elimination Process



Any such pair of incoming/outgoing arcs get replaced by a single arc that by

If there are $m \times n$ bypassing arcs when the node is removed

Let us illustrate the process on this example:



State Elimination Example

Create a single accept state:



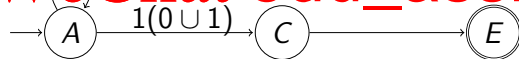
Eliminate

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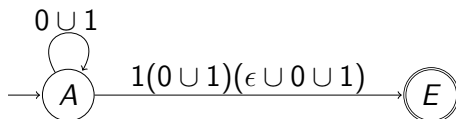


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Now eliminate B :



and then C :



State Elimination Example



with

- R_1
- R_2
- $R_3 = R_4 = \emptyset$

Hence the instance of the general “recipe” (

$$(0 \cup 1)^*1(0 \cup 1)(\epsilon \cup 0 \cup 1)$$

Sipser (see “Readings Online” on Canvas) provides more details of this kind of translation.

Some Useful Laws for Regular Expressions

$$A \cup A = A$$

$$A \cup B = B \cup A$$

$$(A \cup B)$$

$$(A B)$$

$$\emptyset \cup A = A \cup \emptyset = A$$

$$\epsilon A = A \epsilon = A$$

$$\emptyset A = A \emptyset = \emptyset$$

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$$(A \cup B) C \equiv AC \cup BC$$

$$A(B \cup C)$$

$$(A^*)^* = A^*$$

$$\emptyset^* = \epsilon^* = \epsilon$$

$$(\epsilon \cup A)^* = A^*$$

$$(A \cup B)^* = (A^* B^*)^*$$

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Consider the language

Intuitive because
a DFA has n

Pumping lemma gives the formal proof.

Exercise: Is the language $L_1 = \{0^n 1^n \mid 0 \leq n \leq 999999999\}$ regular?

The Pumping Lemma for Regular Languages

This is the standard tool for proving languages non-regular.

Loosely, it says that if we have a regular language A and consider a sufficiently long string $s \in A$, then a recogniser for A must traverse some **loop** to accept s .

So A must contain some substring of the form xy^iz for some x, y, z such that $|y| > 0$ and $|xy| \leq p$.

Pumping Lemma: If A is regular then there exists a constant p such that for any string $s \in A$ with $|s| \geq p$, s can be written as xyz , satisfying

- 1 $xy^iz \in A$ for all $i \geq 0$
- 2 $y \neq \epsilon$
- 3 $|xy| \leq p$

Proving the Pumping Lemma

Let DFA $M = (Q, \Sigma, \delta, q_0, F)$ recognise A .

Let $p = |Q|$ and consider s with $|s| \geq p$. Let the number of states of

M be p , let $|s| \geq p$.

In an accepting run for s ,
some stat

Let q_i be

At the first v

consumed, at the second, xy ,
(strictly longer than x).

Consider the first time a state (q_i) is re-vi

of splitting s into x , y and z such that $xz, xyz, xyyz, \dots$ are all in A .

Notice that $y \neq \epsilon$. Let $m + 1$ be the number of state visits when
reading xy , then $|xy| = m \leq p$, because $m + 1$ is the number of state
visits with only one repetition.

Using the Pumping Lemma

The pumping lemma says:

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s can be written

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regular:

$\forall s \in A : \begin{cases} s \text{ can't be written} \\ xyz \text{ such that } \dots \end{cases}$

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Coming up with such an s is sometimes easy, sometimes difficult.

Pumping Example 1

We show that $B = \{0^n 1^n \mid n \geq 0\}$ is not regular.

Assume it is, and let p be the pumping length.

Consider

By the pumping lemma, for any $n \geq 0$,
if $xy^iz \in B$, then $|y| \leq p$, $|y| \geq 1$, and $xy^iz \in B$.

Since $|xy| \leq p$, y consists entirely of 0s.

But then $xy^2z \notin B$, a contradiction.

So we inevitably arrive at a contradiction if we assume that B is regular.

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$C = \{w \mid w \text{ is a string of } n \text{ 'a's' and } m \text{ 'b's' such that } n = m^2\}$

A simple pumping lemma argument shows that C is not regular, so C is not the intersection of two regular languages.

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Pumping Example 3

We show that $D = \{ww \mid w \in \{0,1\}^*\}$ is not regular.

Assume it is, and let p be the pumping length.

Consider

By the pu

$y \neq \epsilon$, and $|xy| \leq p$.

Since $|xy| \leq p$, y consists entirely of 0s.

But then $xyyz \notin D$, a contradiction.

Example 4 – Pumping Down

We show that $E = \{0^i1^j \mid i \geq j\}$ is not regular.

Assume it is, and let p be the pumping length.

Consider

By the pu

$y \neq \epsilon$, and $|xy| \leq p$.

Since $|xy| \leq p$, y consists entirely of 0s.

But then $xz \notin E$, a contradiction.