



THE UNIVERSITY OF
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COMP90038

Algorithms and Complexity

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Lecture 12: Monotonicity and Greedy Algorithms
(with thanks to Hara Ergaard)

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Toby Murray



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DMD 8.17 (Level 8, Doug McDonnell Bldg)



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@tobycmurray

Divide and Conquer

- In the last lecture we studied the archetypal divide-and-conquer sorting algorithms: mergesort and quicksort.
- We also introduced the powerful **master theorem**, providing solution of recurrence relations, for free.
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- allows us to quickly determine the complexity of these divide-and-conquer algorithms
- Now we shall look at tree traversal, and then a final example of divide-and-conquer, giving a better solution to the closest-pair problem.

Binary Trees

- An example of a **binary tree**, with empty subtrees marked as \square

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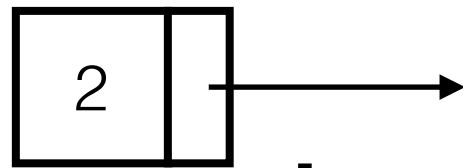
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- This tree has **height** 4, the empty tree having height -1

Review of Linked List Terminology



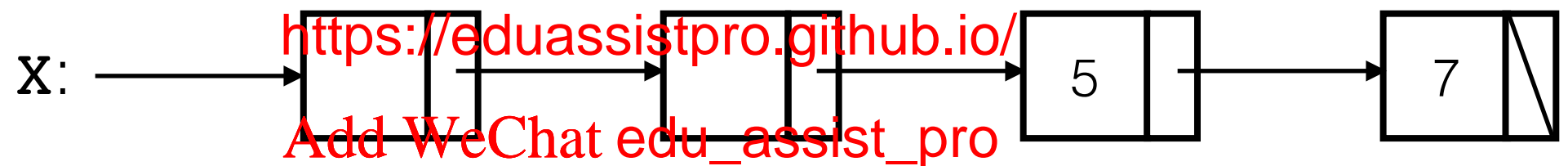
node



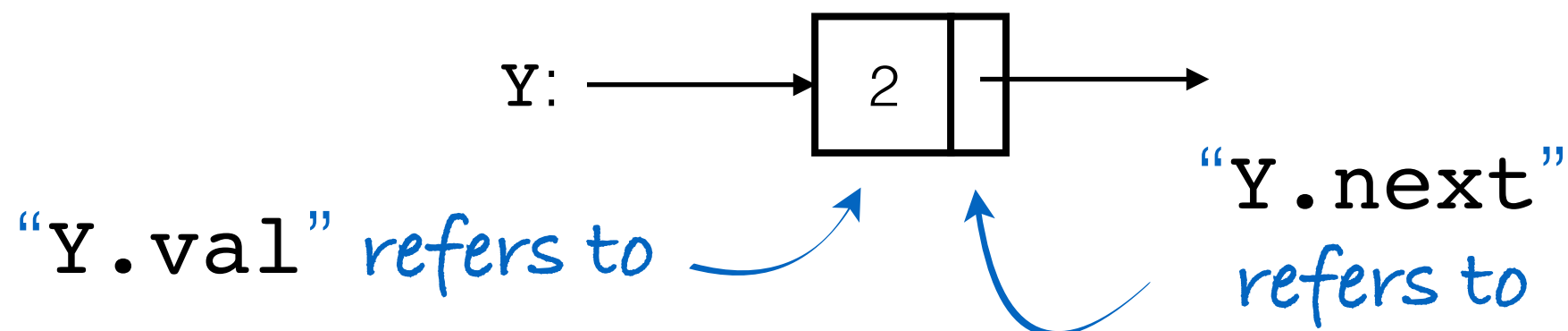
pointer

(in Java: “reference”)

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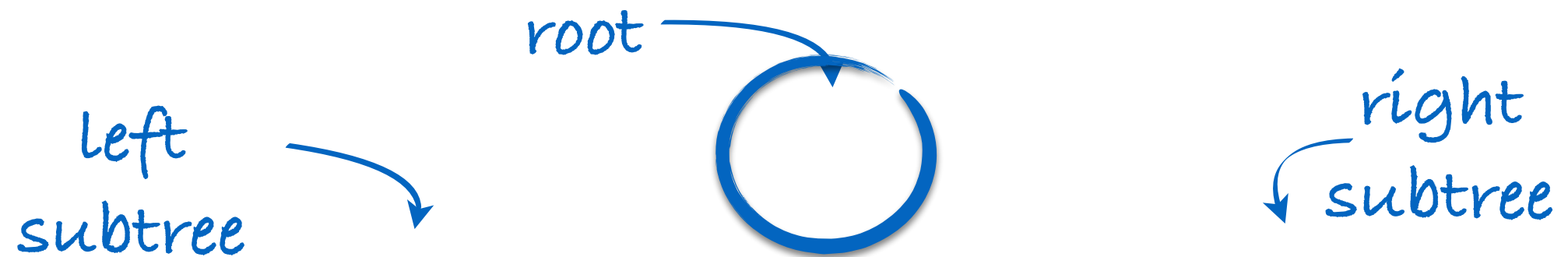
x is (a pointer to) the **head node** of the list



Tree Terminology



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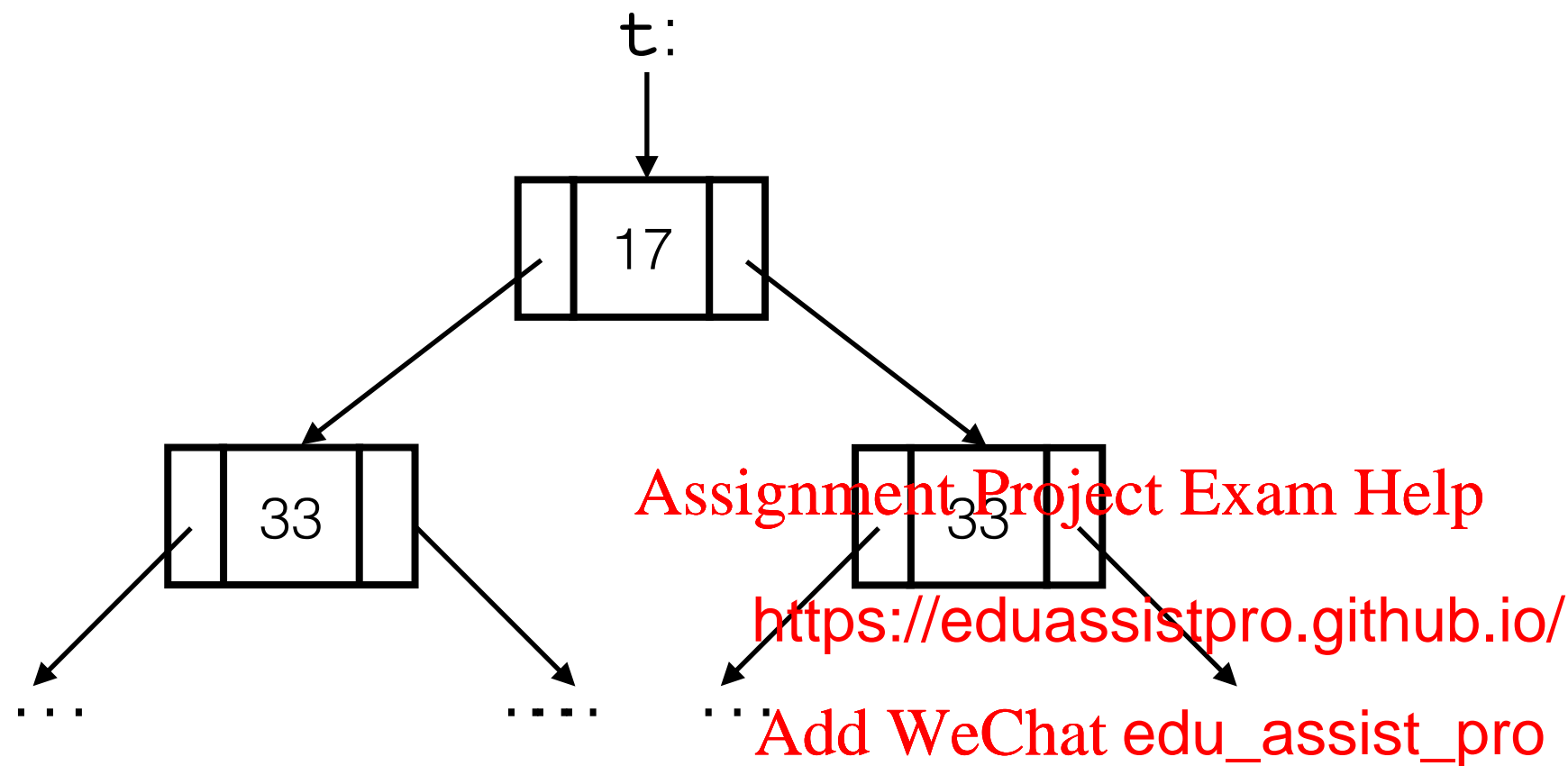


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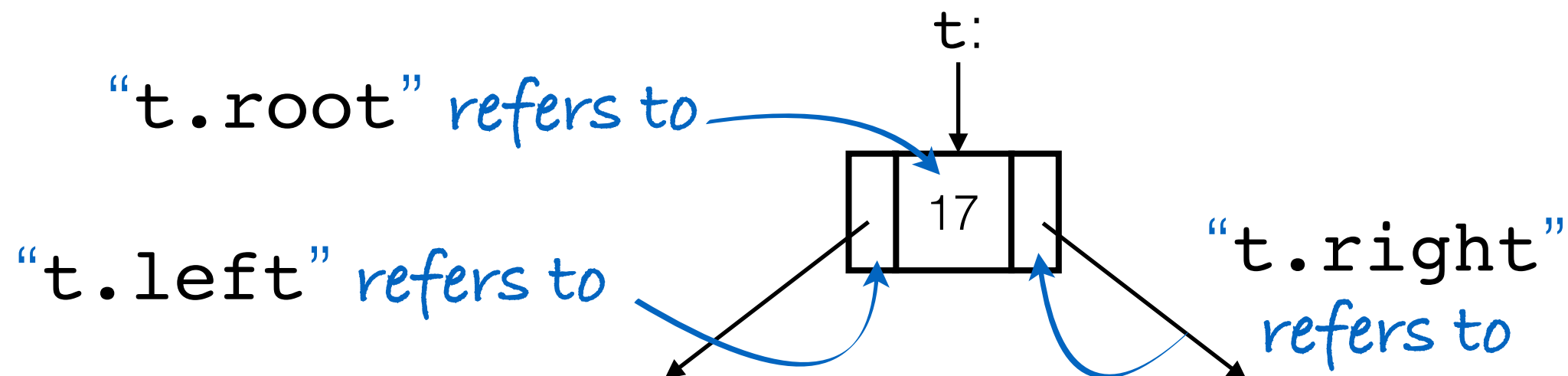
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Tree Terminology



t is (a pointer to) the **root node** of the tree



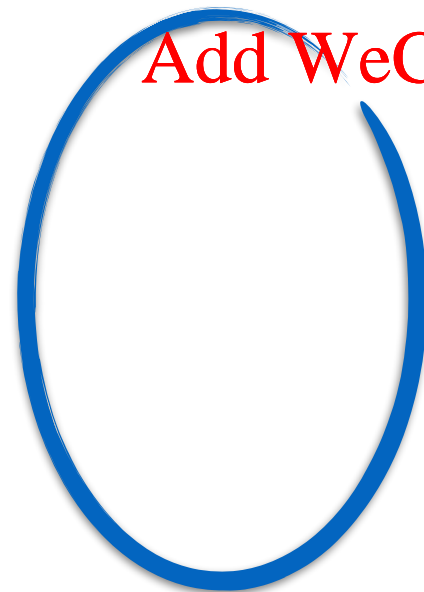
Binary Trees

- An example of a **binary tree**, with empty subtrees marked as \square

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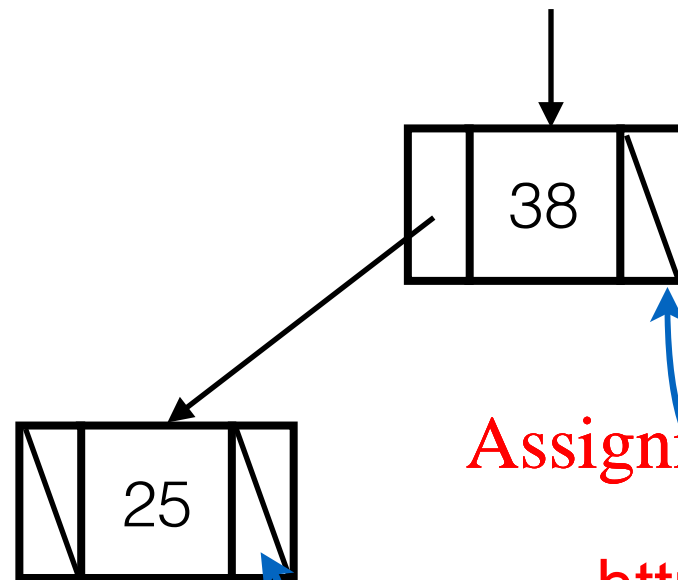
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- This tree has **height** 4, the empty tree having height -1

Empty Nodes



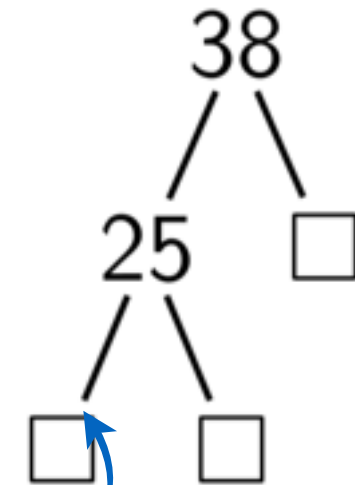
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null pointer

empty trees are
just null pointers



Levels and Height

Level 0 — — — — — — — — —

Level 1 — — — — —

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Level 2 — — .

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Level 3 —

Level 4 — — — — — —

So the tree has **height** 4 (its **maximum level**)

Binary Tree Concepts

- Special trees have their **external nodes** \square only at level h and $h+1$ for some h :

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A **full** binary tree:
Each node has 0 or 2
(non-empty) children.

A **complete** tree: Each level
filled left to right.
(Every level except perhaps the
last is completely filled.)

Calculating the Height

- Recursion is the natural way of calculating the **height**:

T:
↓

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Height Complexity

- Input size: number n of (internal) nodes (e.g. for T n is 13)
- Number of external nodes **always** $n+1$ (e.g. for T x is 14)
- The function HEIGHT makes one tree comparison (is T null/empty?) per node (internal and external), so altogether $2n + 1$ comparisons.

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Binary Tree Traversal

- **Preorder** traversal visits the root, then the left subtree, and finally the right subtree.
- **Inorder** traversal visits the left subtree, then the root, and finally the right subtree.
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- **Postorder** traversal visits the left subtree, then the right subtree, and finally the root.
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- **Level-order** traversal visits the nodes, level by level, starting from the root.

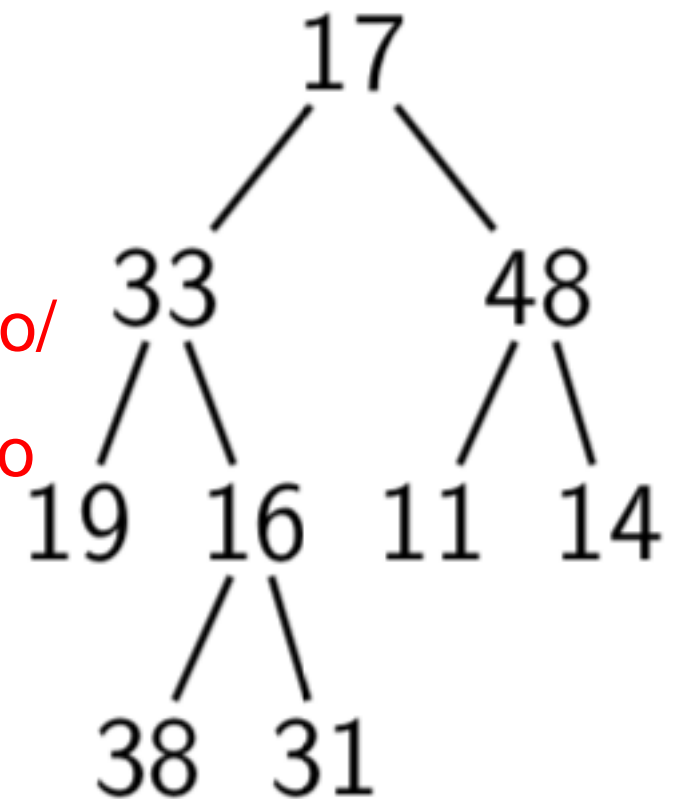
Preorder Traversal

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PREORDERTRAVERSE(17)

Call Stack

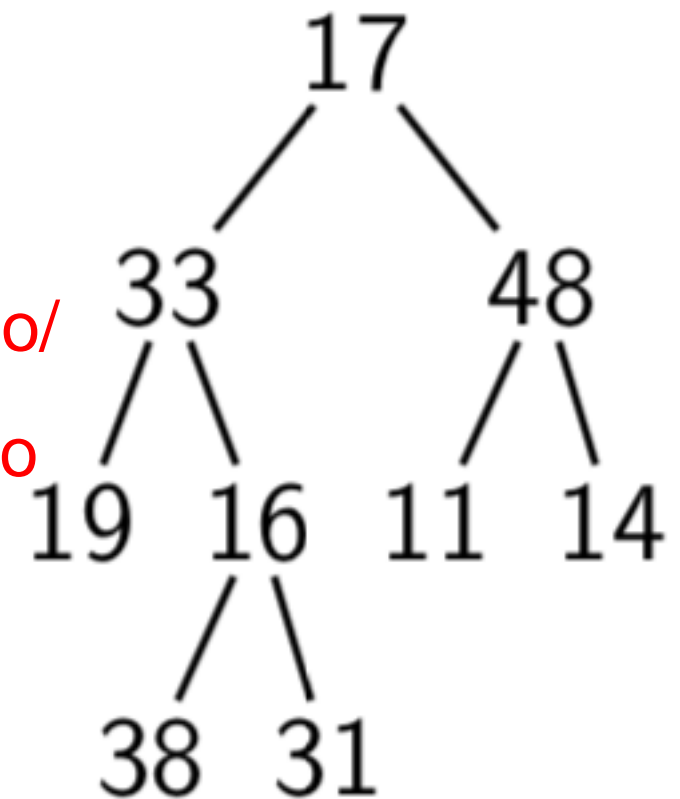
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Call Stack

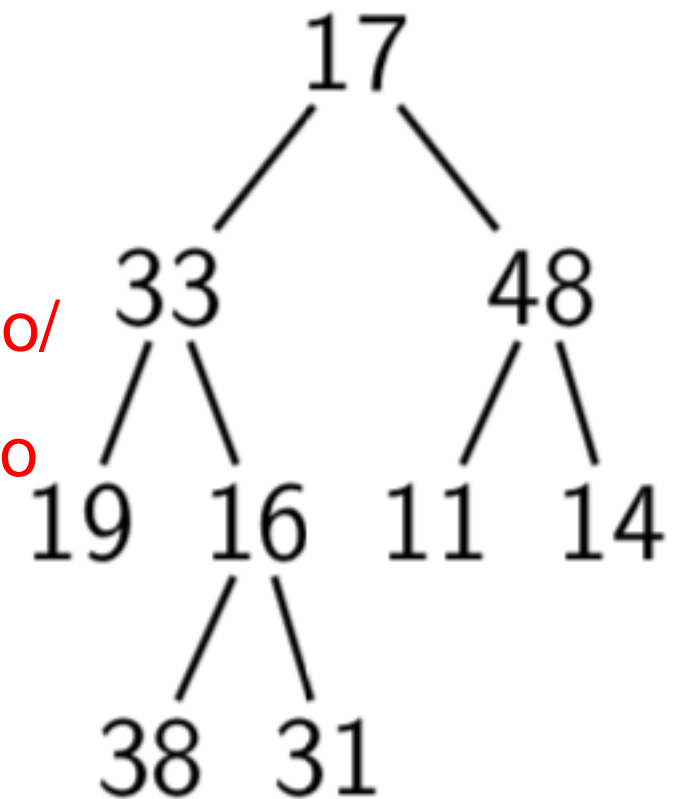
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PREORDERTRAVERSE(33)

PREORDERTRAVERSE(17)

Call Stack

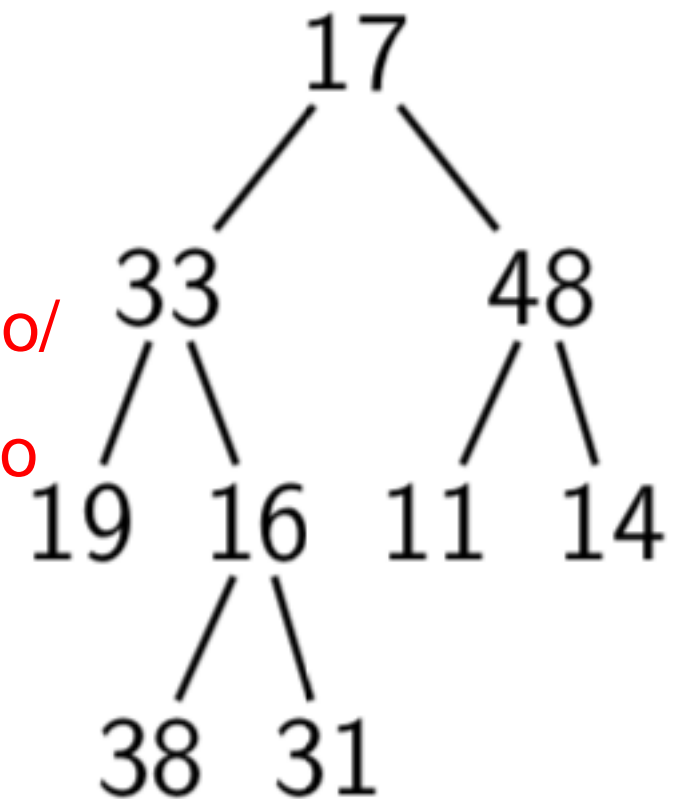
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PREORDERTRAVERSE(33)

PREORDERTRAVERSE(17)

Call Stack

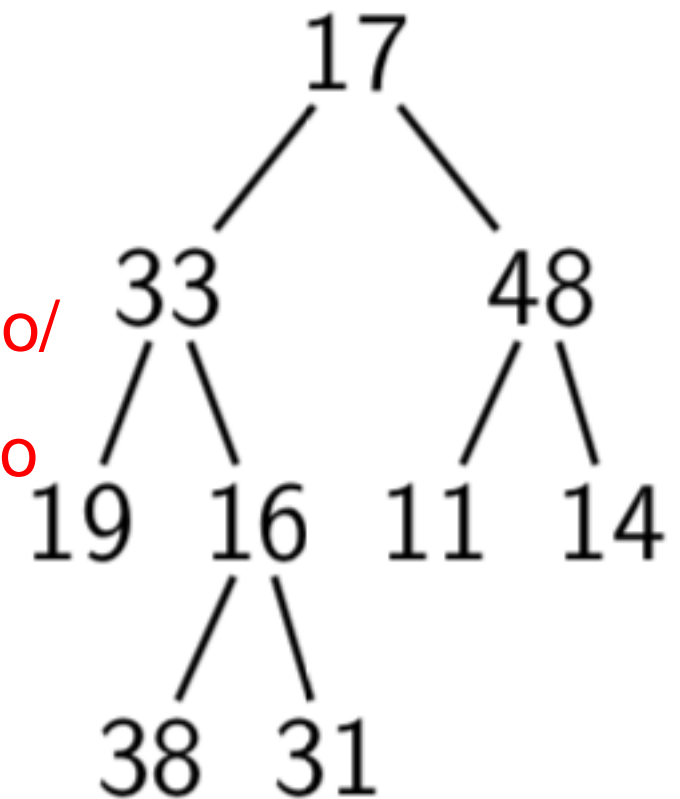
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PREORDERTRAVERSE(19)

PREORDERTRAVERSE(33)

PREORDERTRAVERSE(17)

Call Stack

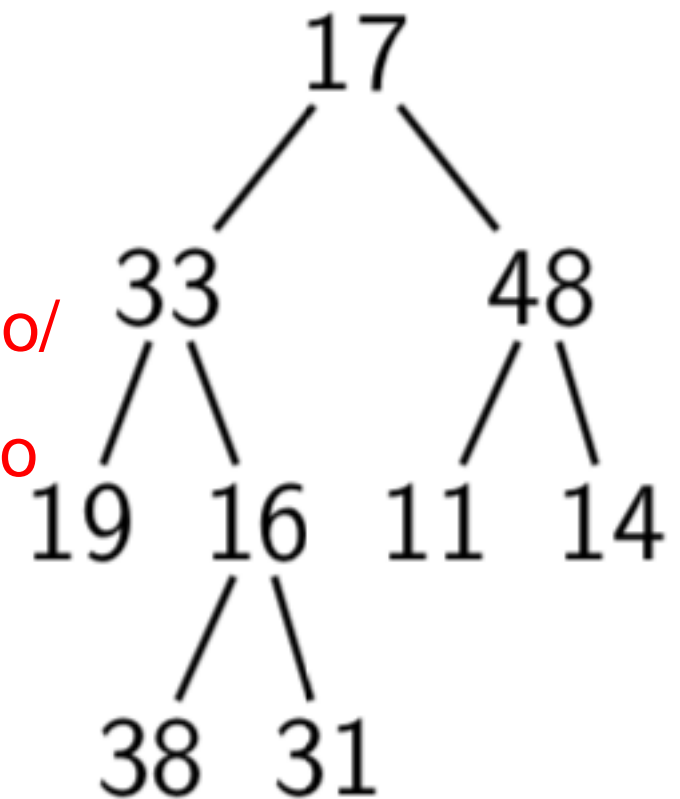
Preorder Traversal

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PREORDERTRAVERSE(19)

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Call Stack

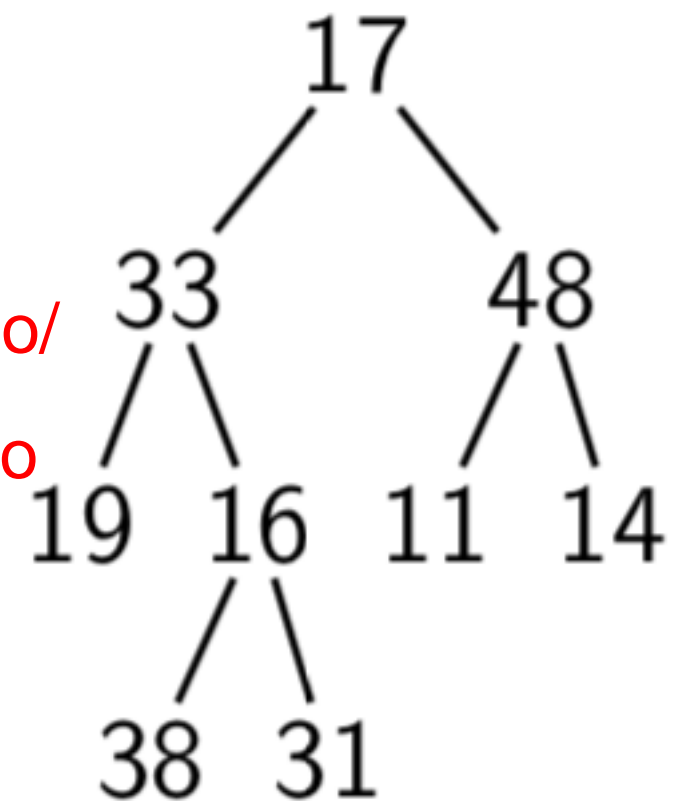
Preorder Traversal

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PREORDERTRAVERSE(null)

PREORDERTRAVERSE(19)

PREORDERTRAVERSE(33)

PREORDERTRAVERSE(17)

Call Stack

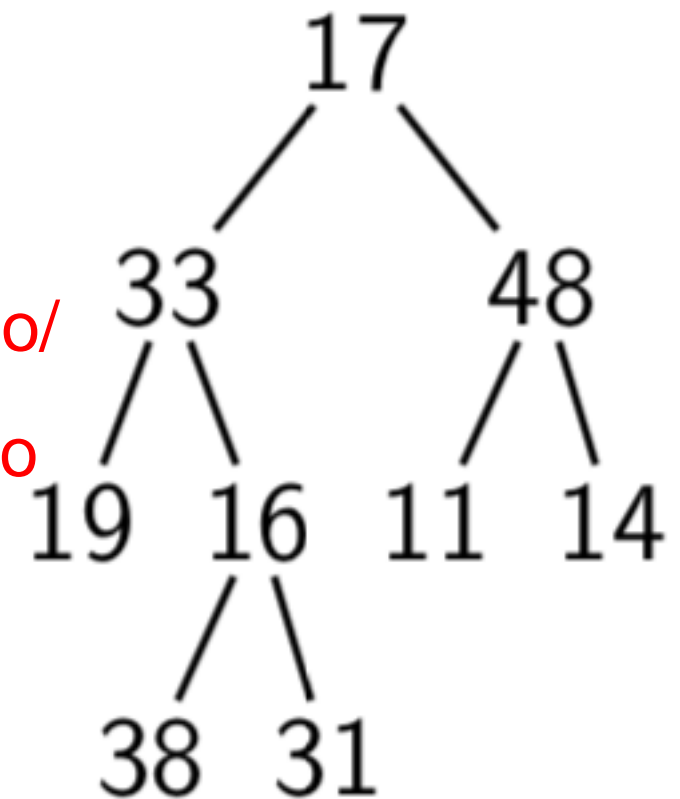
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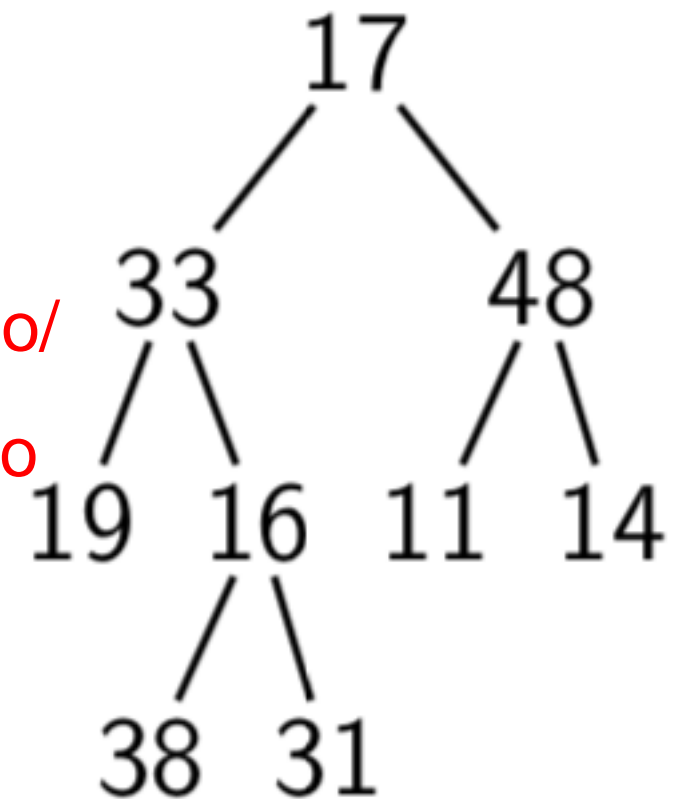
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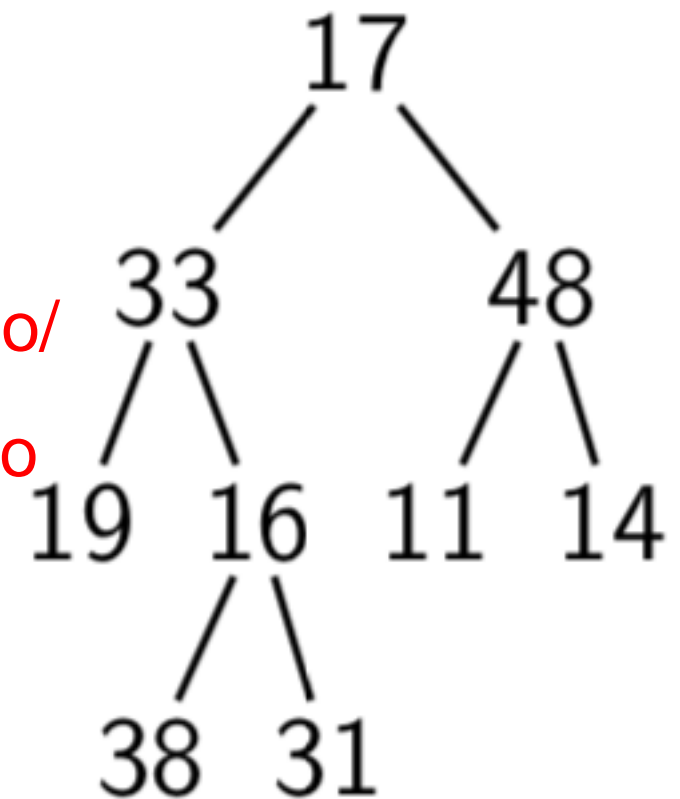
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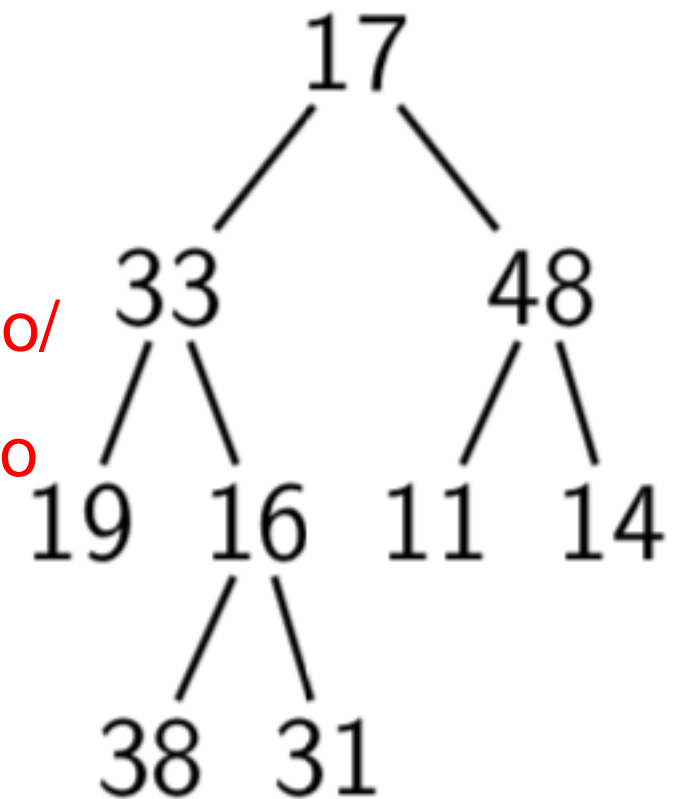
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Call Stack

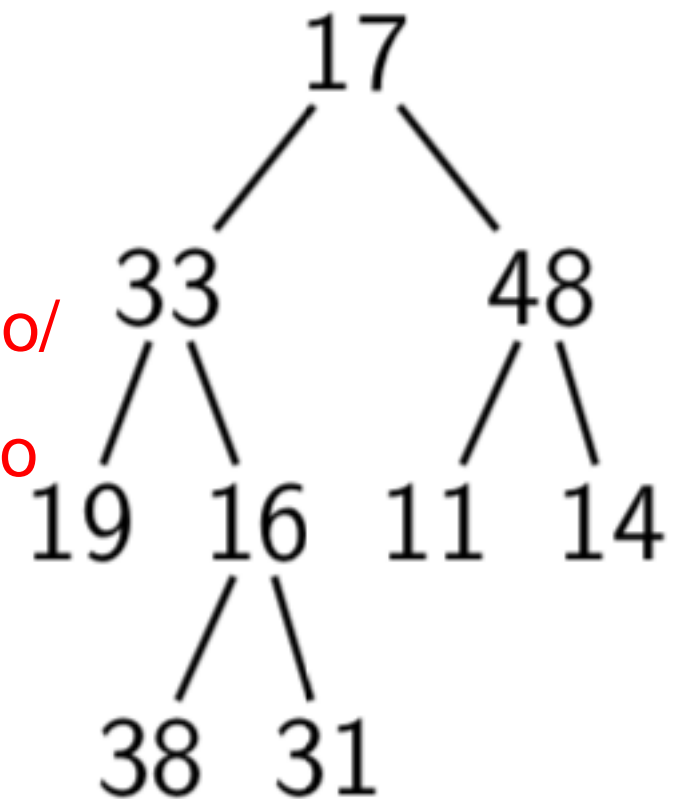
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PREORDERTRAVERSE(16)

PREORDERTRAVERSE(33)

PREORDERTRAVERSE(17)

Call Stack

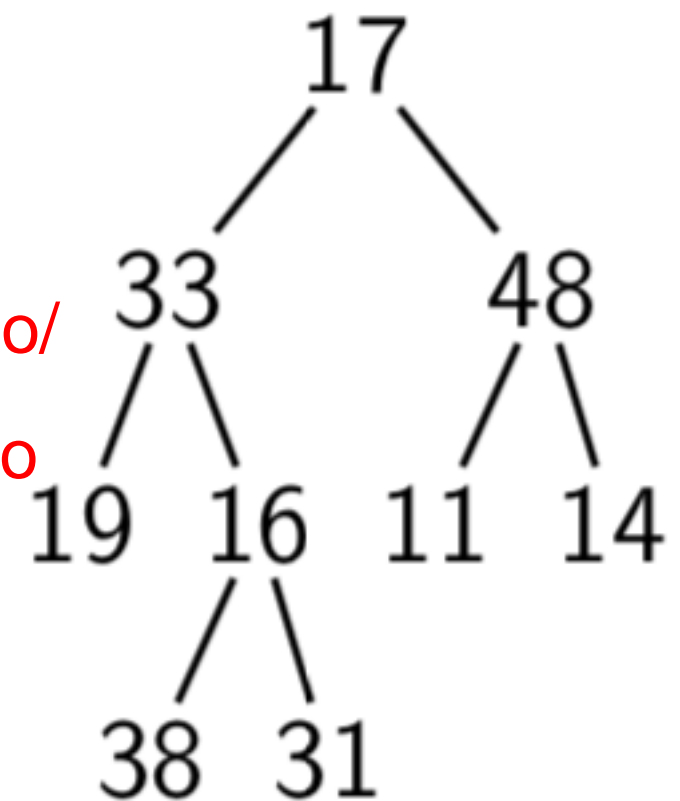
Preorder Traversal

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PREORDERTRAVERSE(16)

PREORDERTRAVERSE(33)

PREORDERTRAVERSE(17)

Call Stack

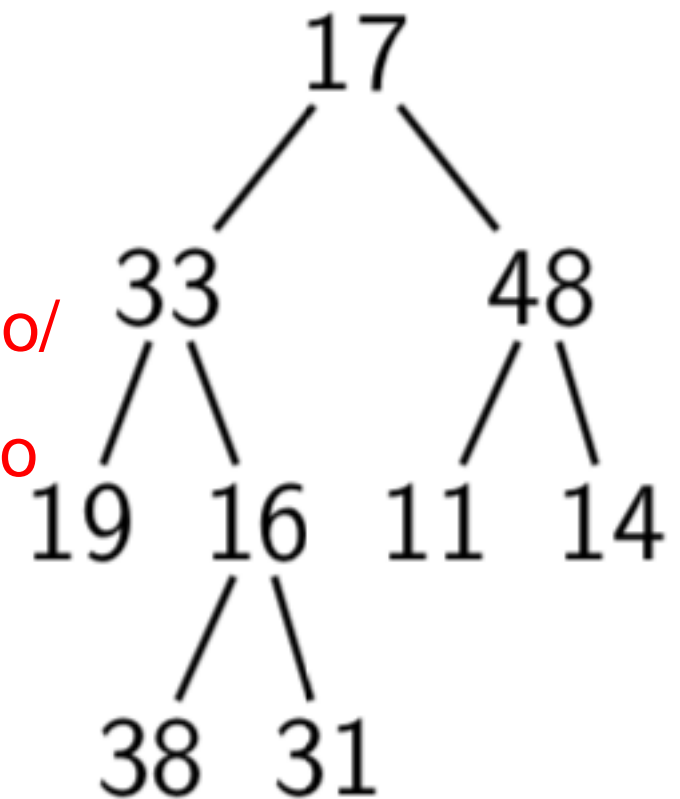
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PREORDERTRAVERSE(38)

PREORDERTRAVERSE(16)

PREORDERTRAVERSE(33)

PREORDERTRAVERSE(17)

Call Stack

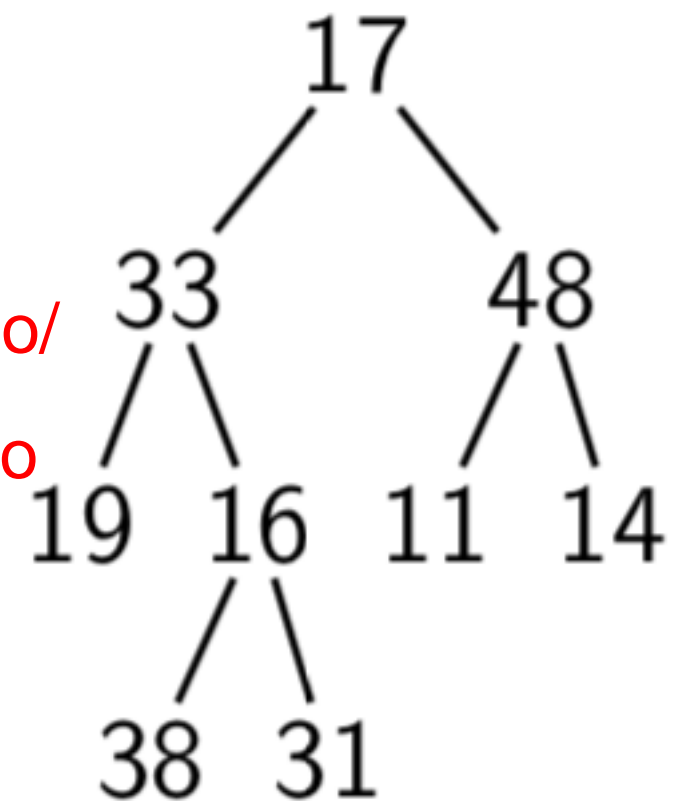
Preorder Traversal

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PREORDERTRAVERSE(38)

PREORDERTRAVERSE(16)

PREORDERTRAVERSE(33)

PREORDERTRAVERSE(17)

Call Stack

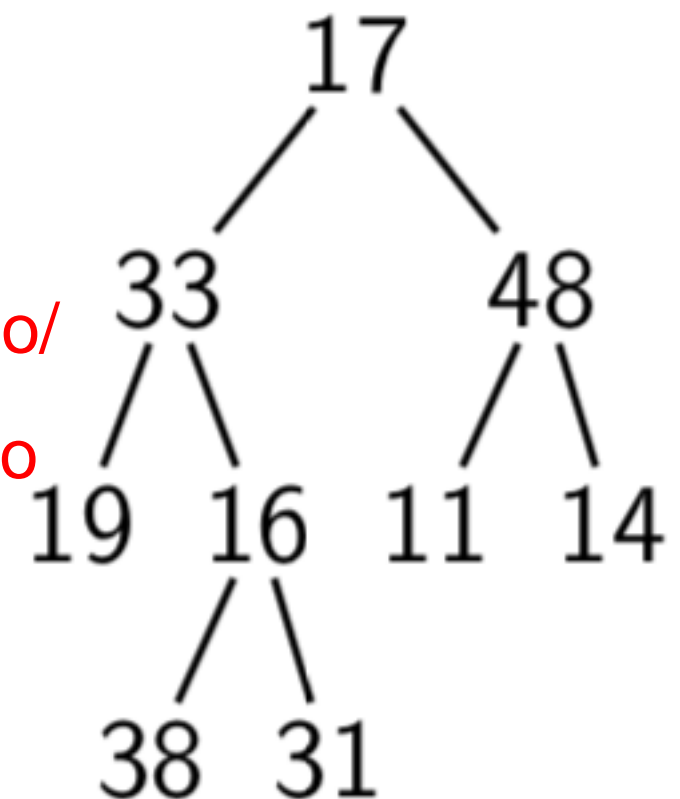
Preorder Traversal

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PREORDERTRAVERSE(38)

PREORDERTRAVERSE(16)

PREORDERTRAVERSE(33)

PREORDERTRAVERSE(17)

Call Stack

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PREORDERTRAVERSE(null)...)

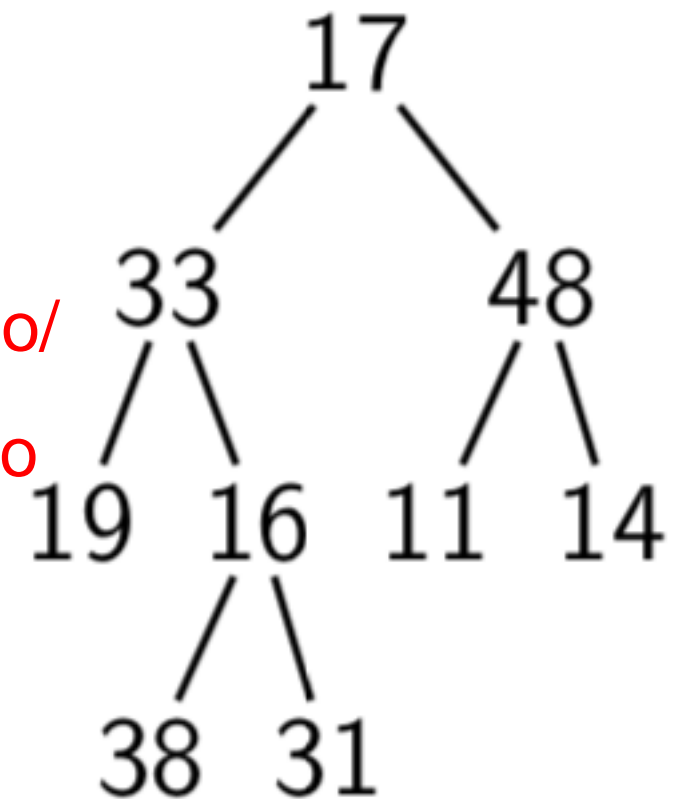
Preorder Traversal

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PREORDERTRAVERSE(16)

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PREORDERTRAVERSE(17)

Call Stack

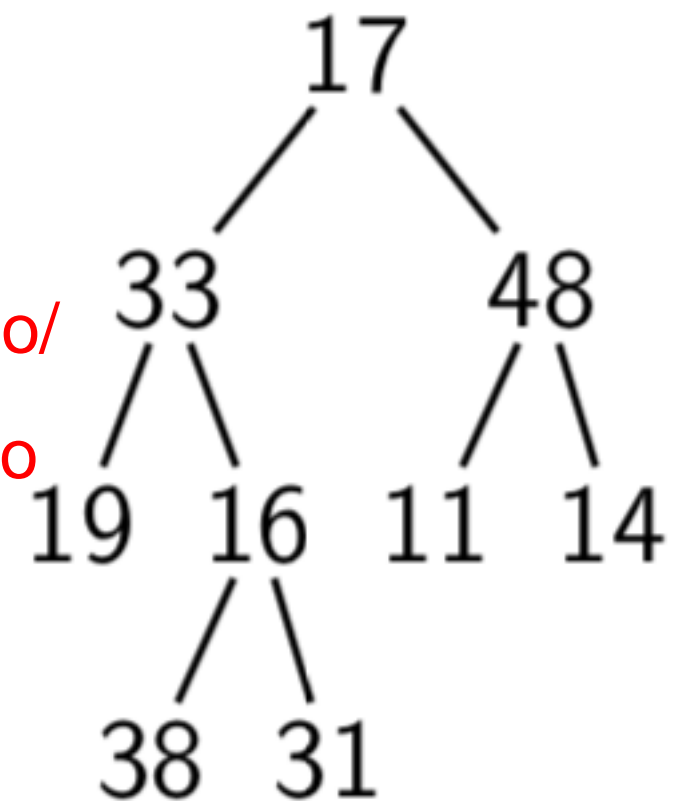
Preorder Traversal

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PREORDERTRAVERSE(31)

PREORDERTRAVERSE(16)

PREORDERTRAVERSE(33)

PREORDERTRAVERSE(17)

Call Stack

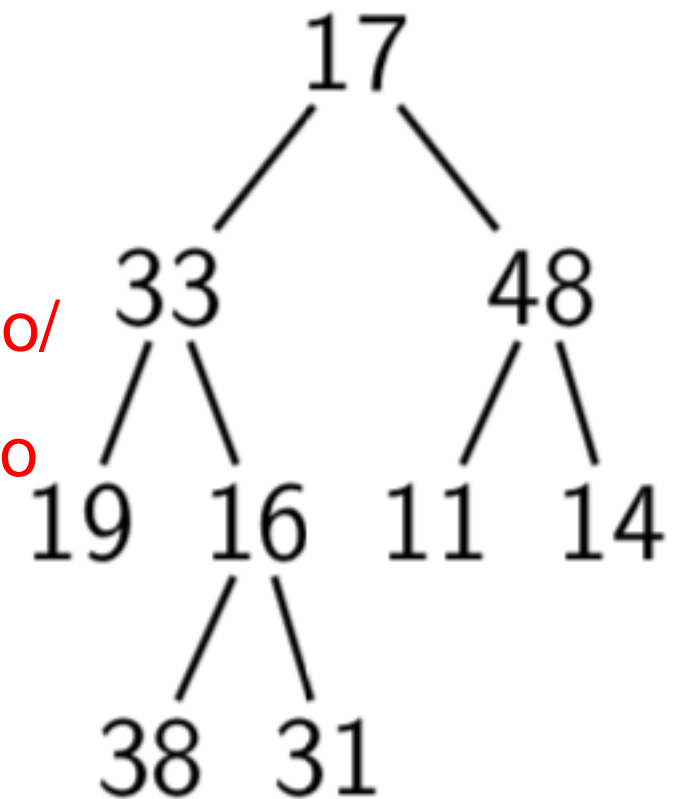
Preorder Traversal

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PREORDERTRAVERSE(31)

PREORDERTRAVERSE(16)

PREORDERTRAVERSE(33)

PREORDERTRAVERSE(17)

Call Stack

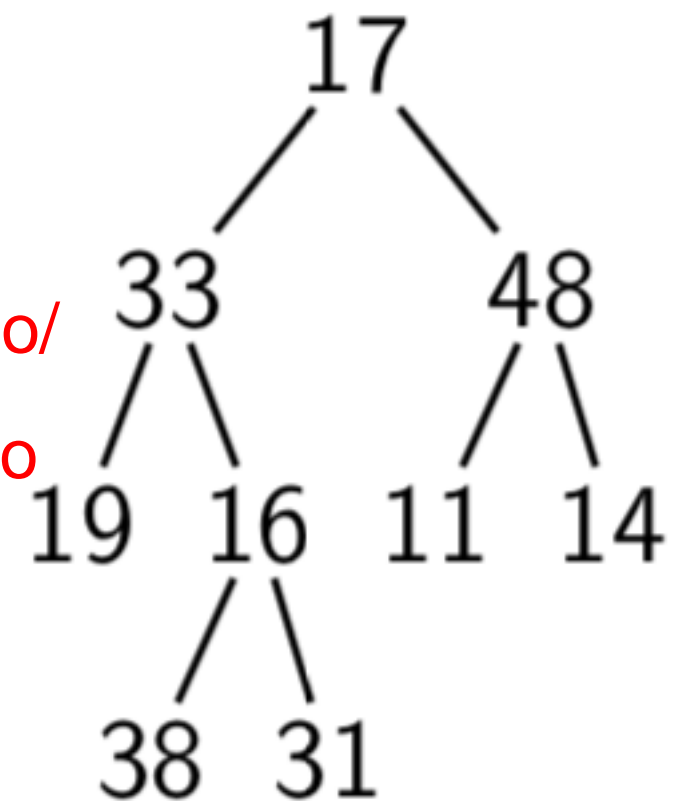
Preorder Traversal

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PREORDERTRAVERSE(31)

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PREORDERTRAVERSE(33)

PREORDERTRAVERSE(17)

Call Stack

(...skipping the calls to
PREORDERTRAVERSE(null)...)

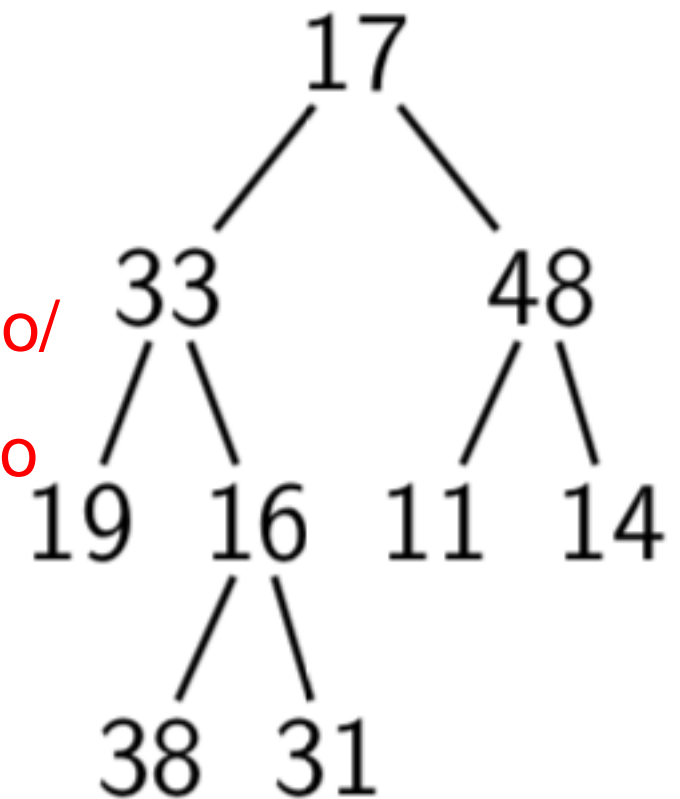
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PREORDERTRAVERSE(16)

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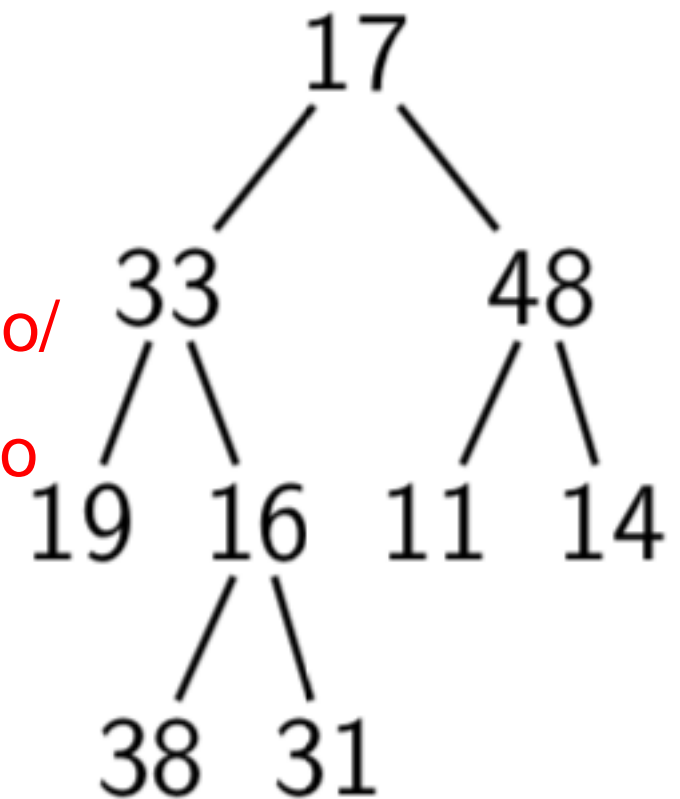
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PREORDERTRAVERSE(33)

PREORDERTRAVERSE(17)

Call Stack

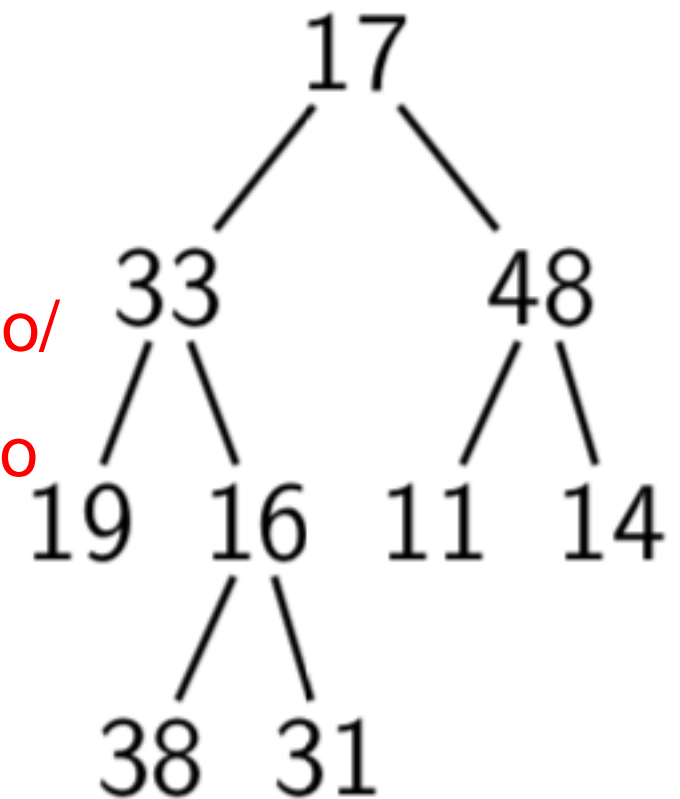
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PREORDERTRAVERSE(17)

Call Stack

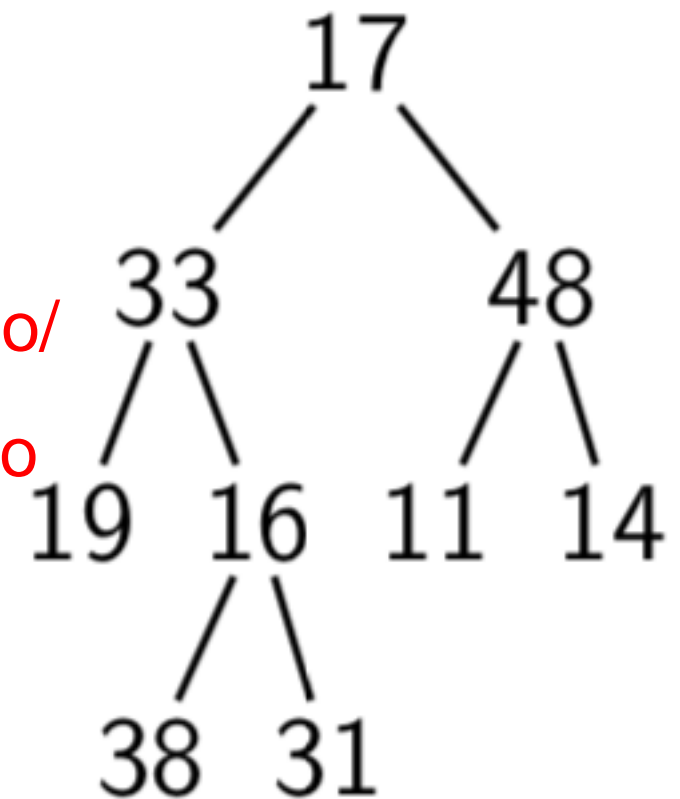
Preorder Traversal

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PREORDERTRAVERSE(48)

PREORDERTRAVERSE(17)

Call Stack

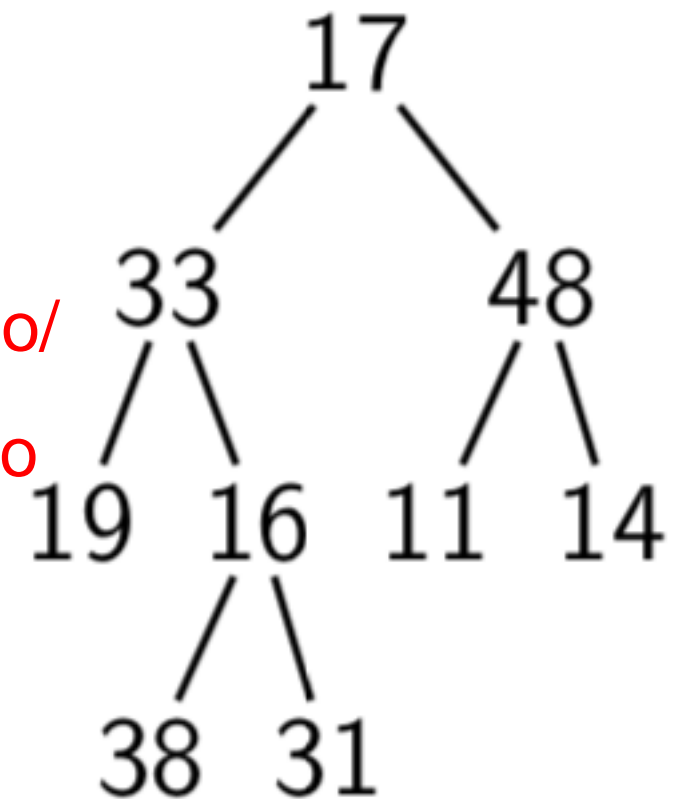
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PREORDERTRAVERSE(48)

PREORDERTRAVERSE(17)

Call Stack

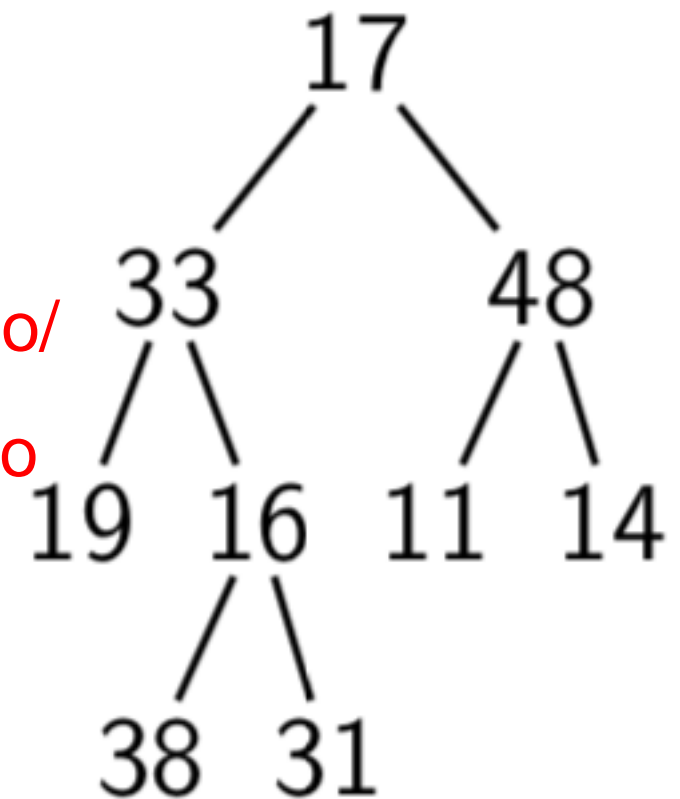
Preorder Traversal

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PREORDERTRAVERSE(11)

PREORDERTRAVERSE(48)

PREORDERTRAVERSE(17)

Call Stack

Preorder Traversal



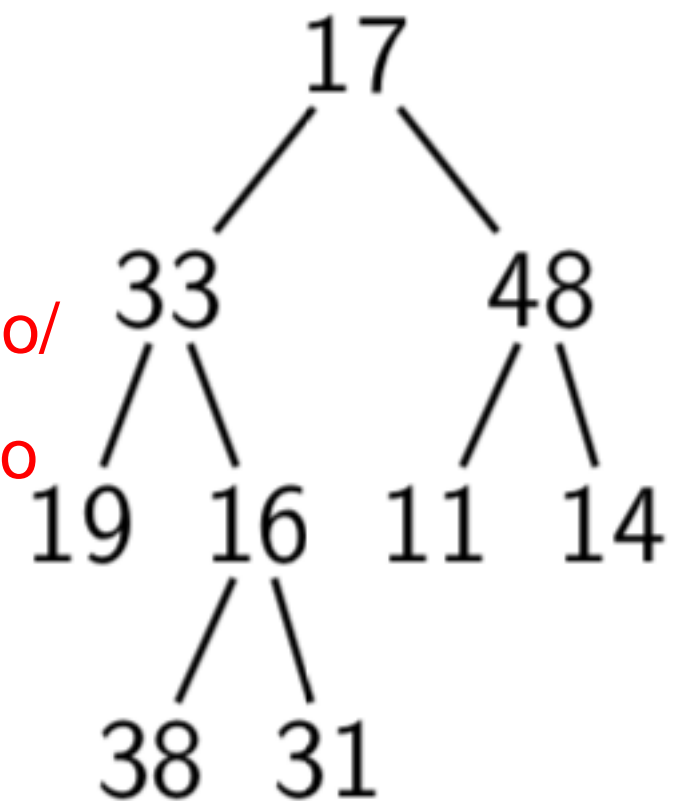
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PREORDERTRAVERSE(11)

PREORDERTRAVERSE(48)

PREORDERTRAVERSE(17)

Call Stack

Preorder Traversal



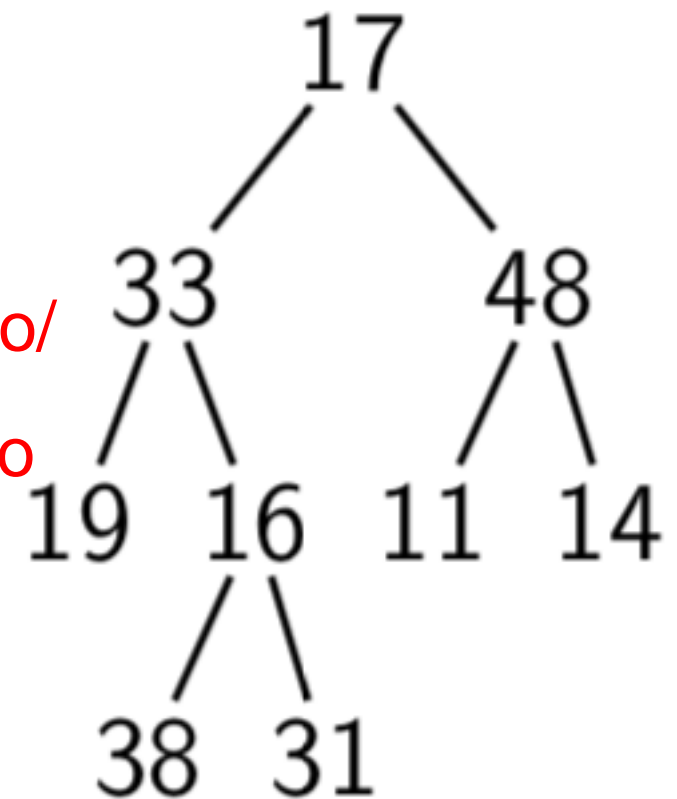
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PREORDERTRAVERSE(11)

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PREORDERTRAVERSE(17)

Call Stack

(...skipping the calls to
PREORDERTRAVERSE(null)...)

Preorder Traversal



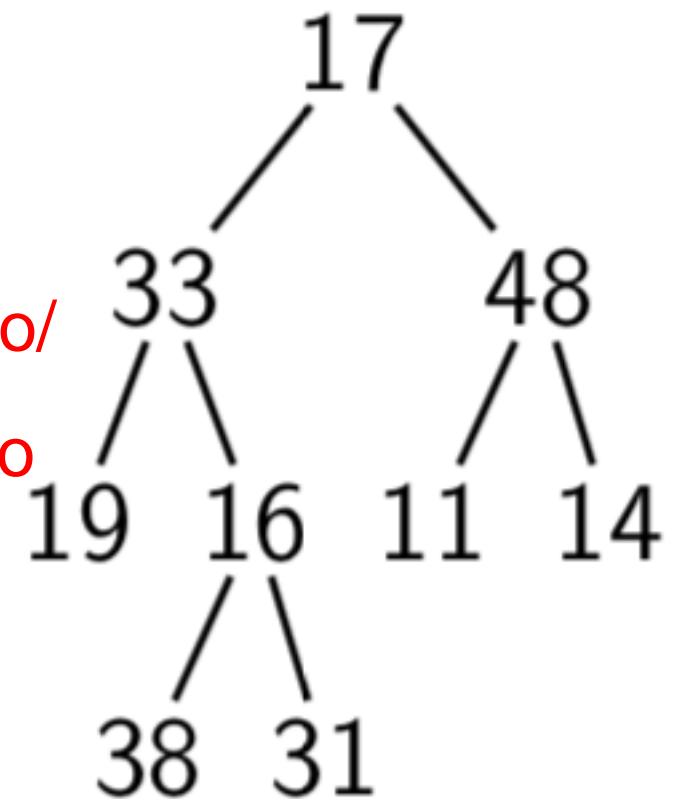
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PREORDERTRAVERSE(48)

PREORDERTRAVERSE(17)

Call Stack

Preorder Traversal



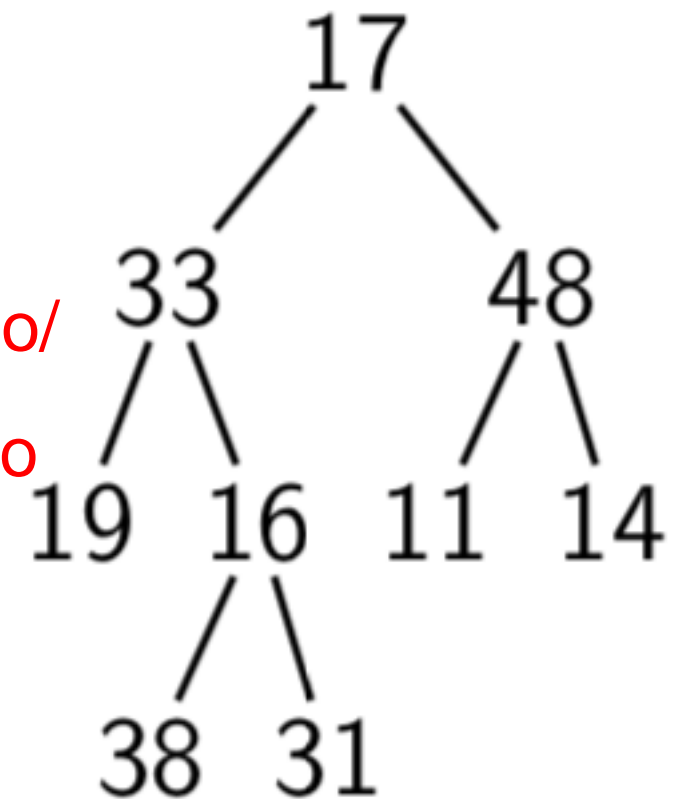
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PREORDERTRAVERSE(14)

PREORDERTRAVERSE(48)

PREORDERTRAVERSE(17)

Call Stack

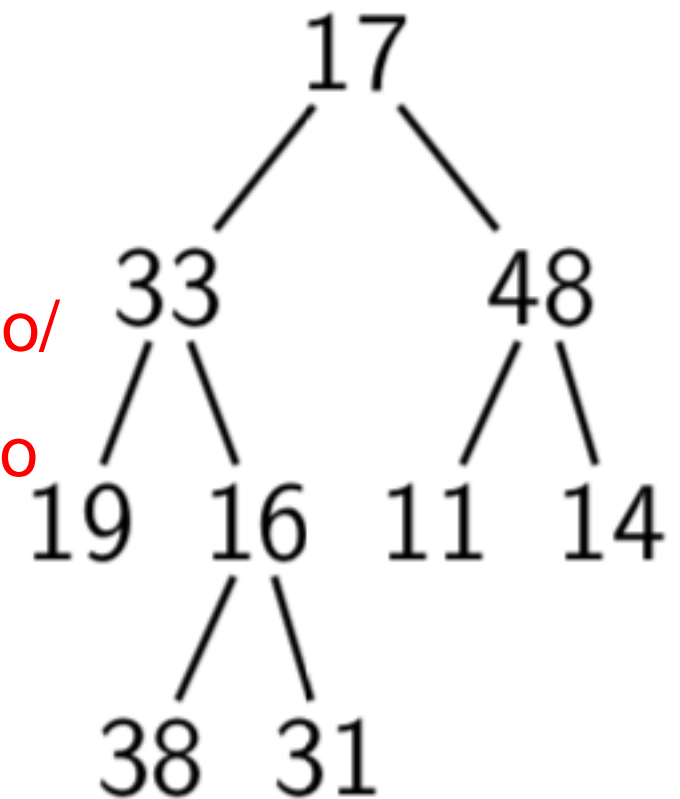
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PREORDERTRAVERSE(14)

PREORDERTRAVERSE(48)

PREORDERTRAVERSE(17)

Call Stack

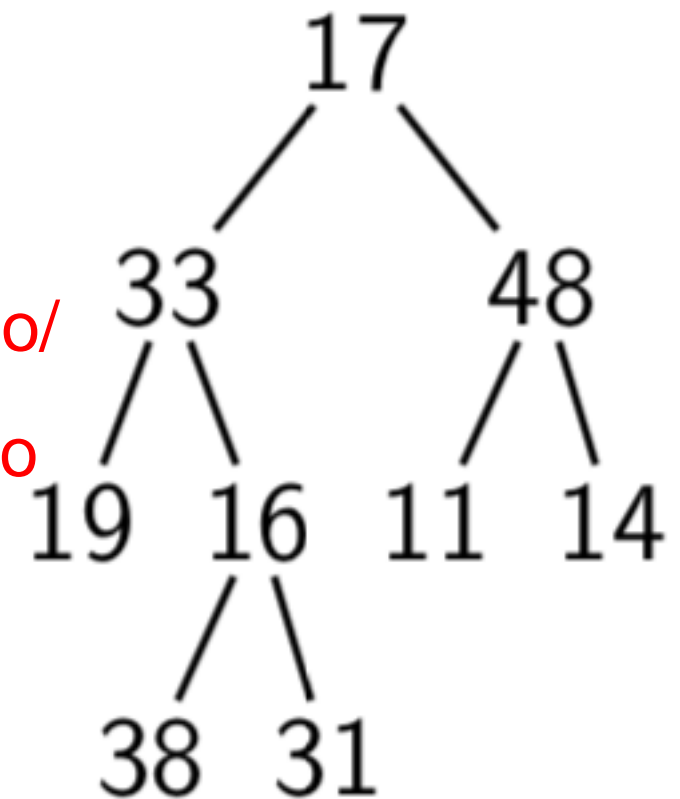
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PREORDERTRAVERSE(14)

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PREORDERTRAVERSE(17)

Call Stack

(...skipping the calls to
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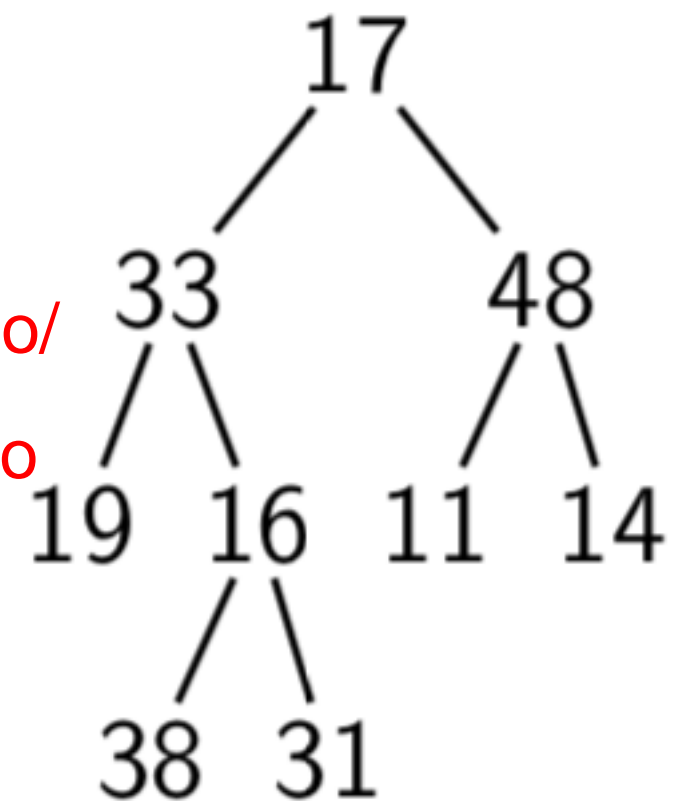
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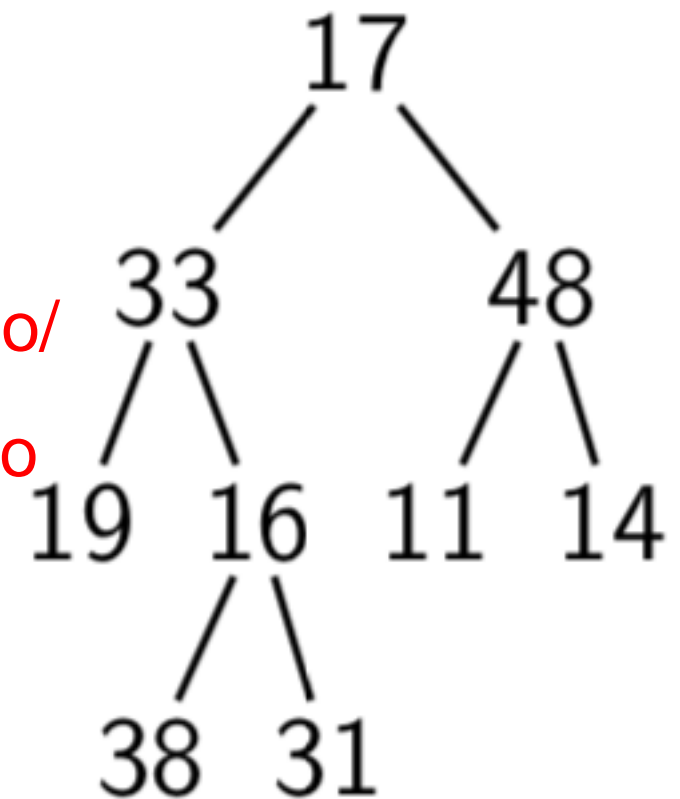
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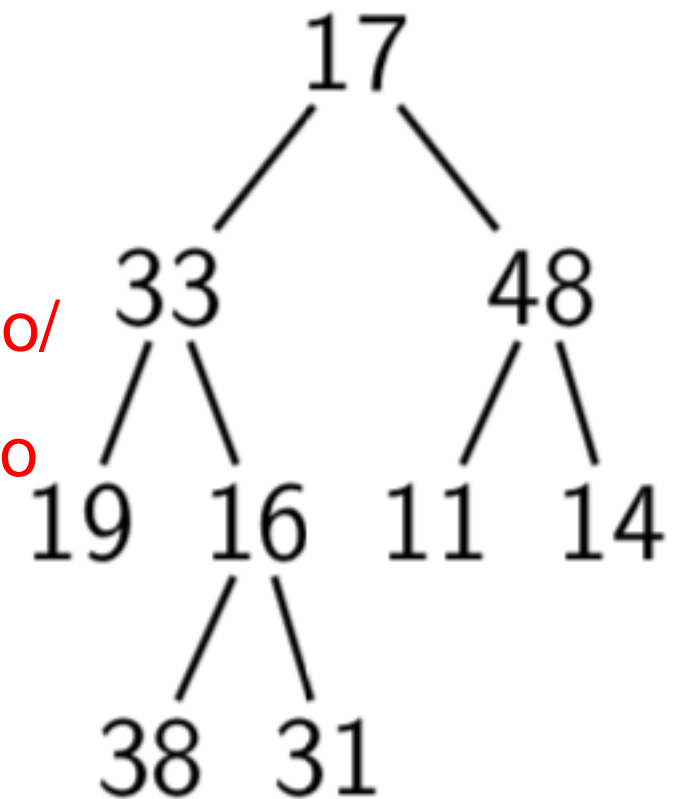
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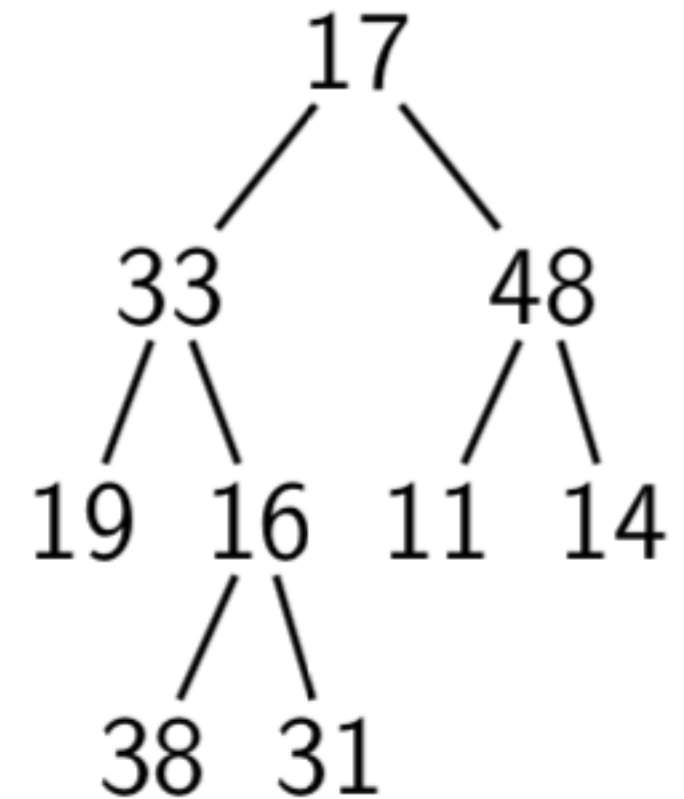
Inorder Traversal

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INORDERTRAVERSE(17)

Call Stack

Inorder Traversal



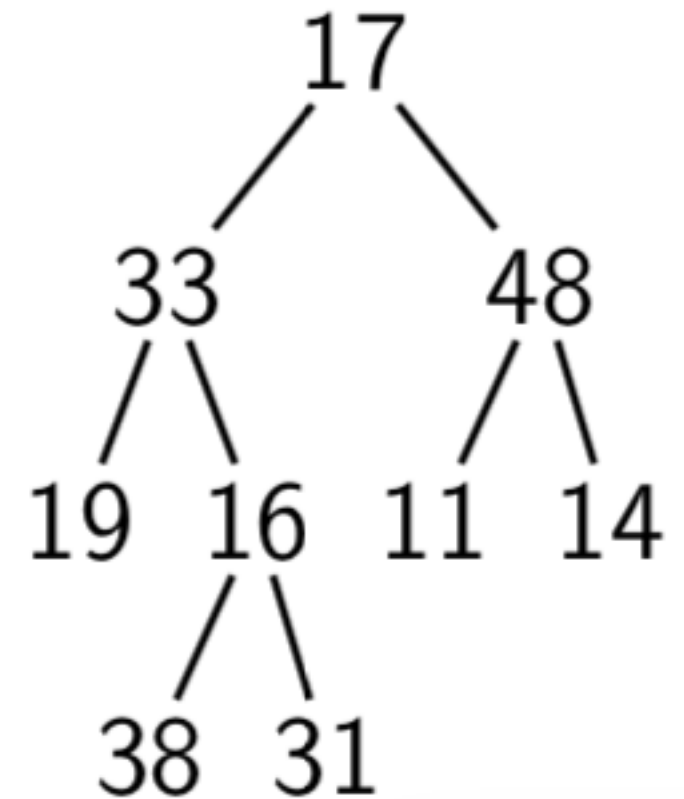
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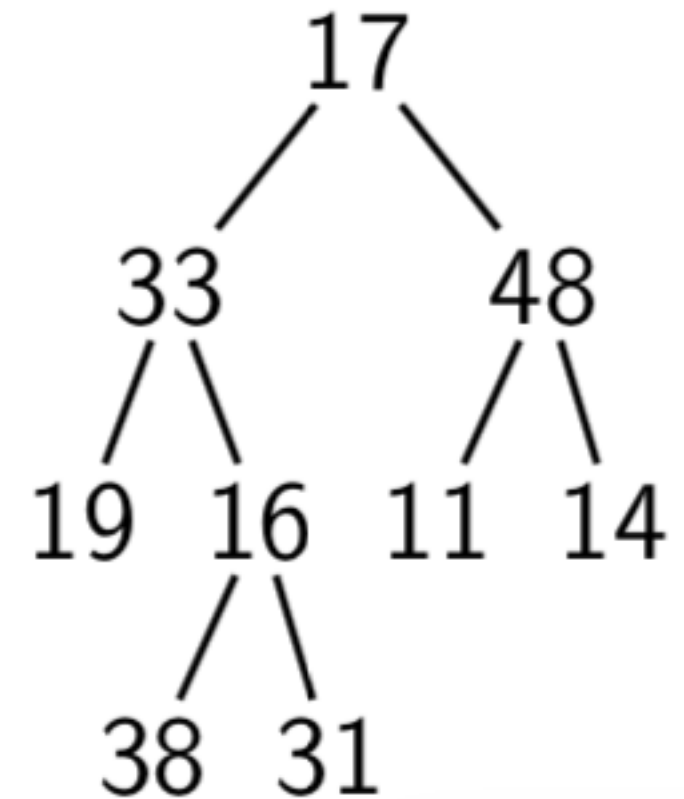
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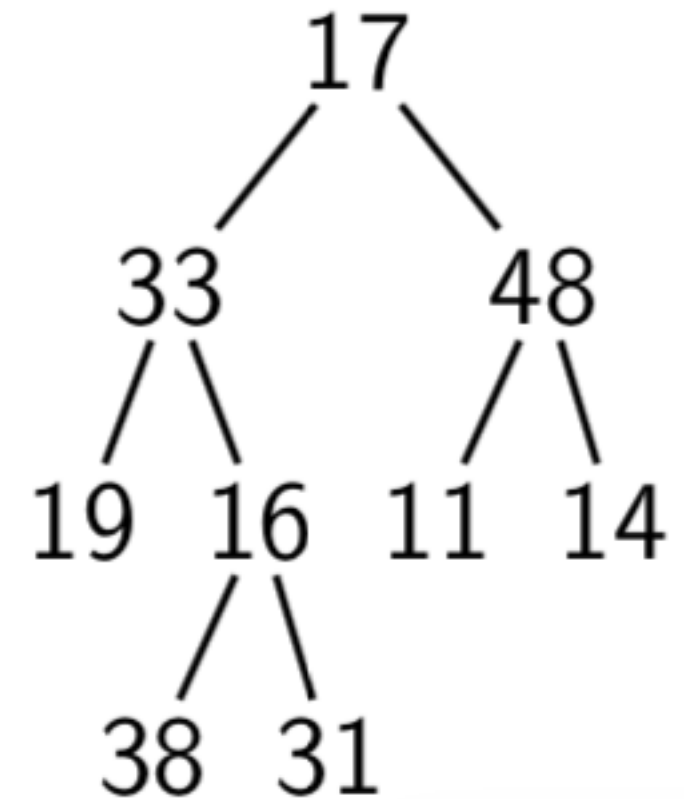
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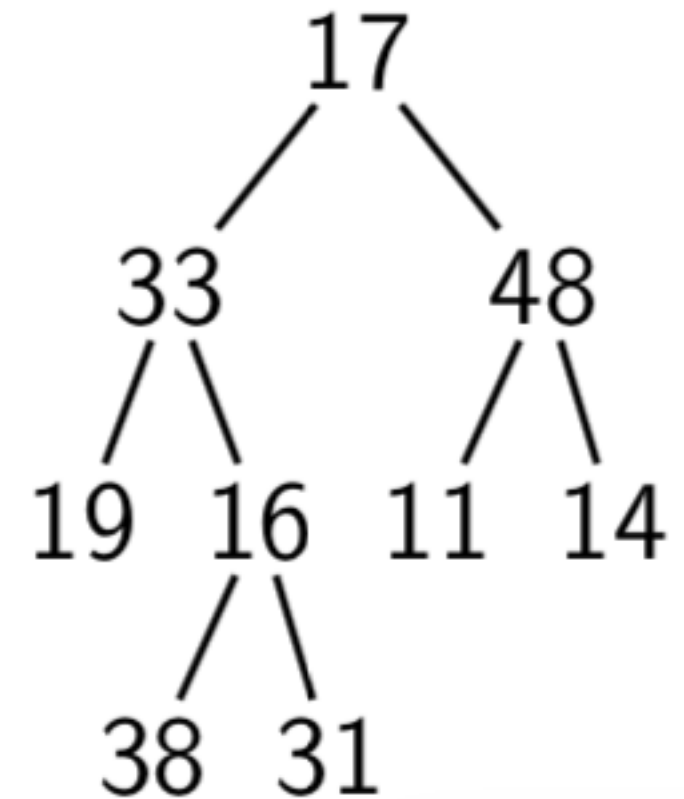
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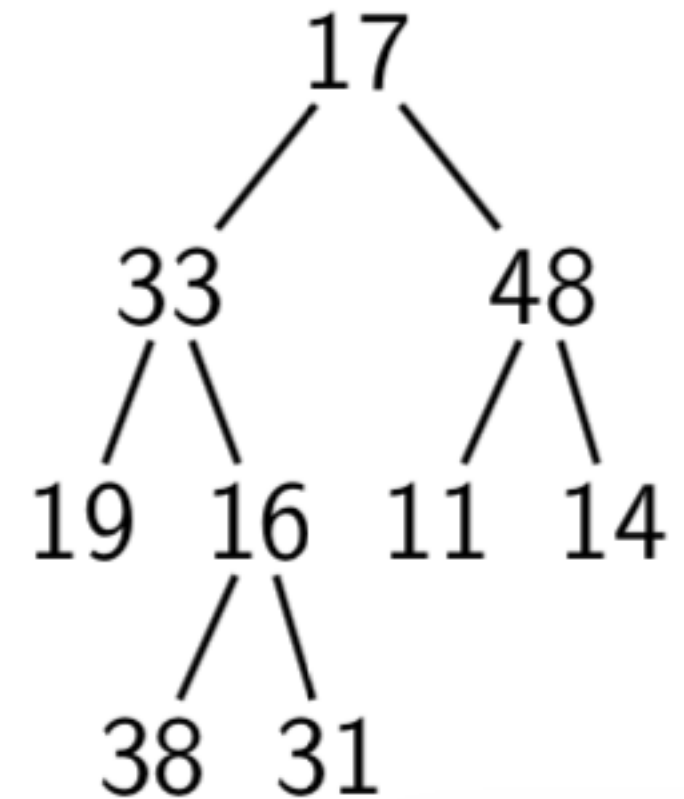
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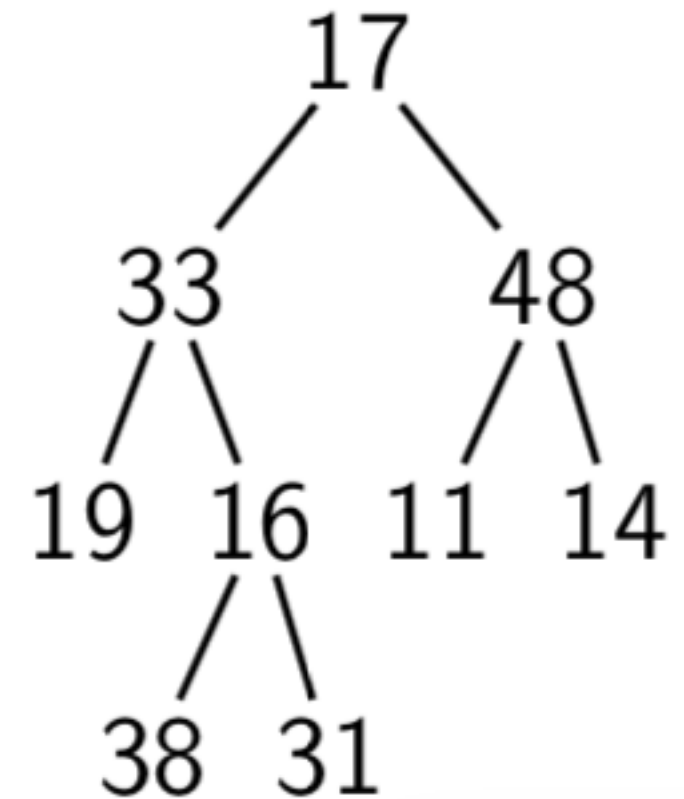
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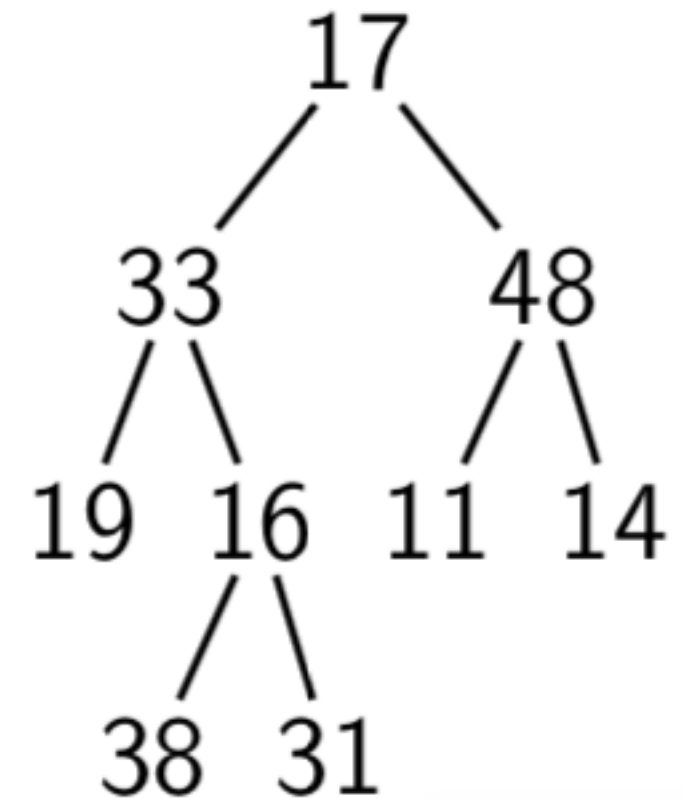
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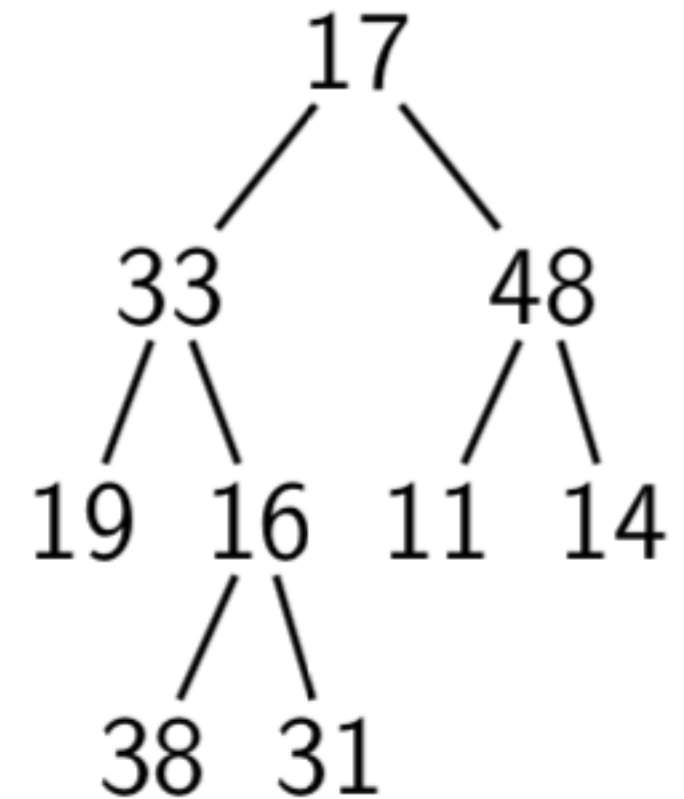
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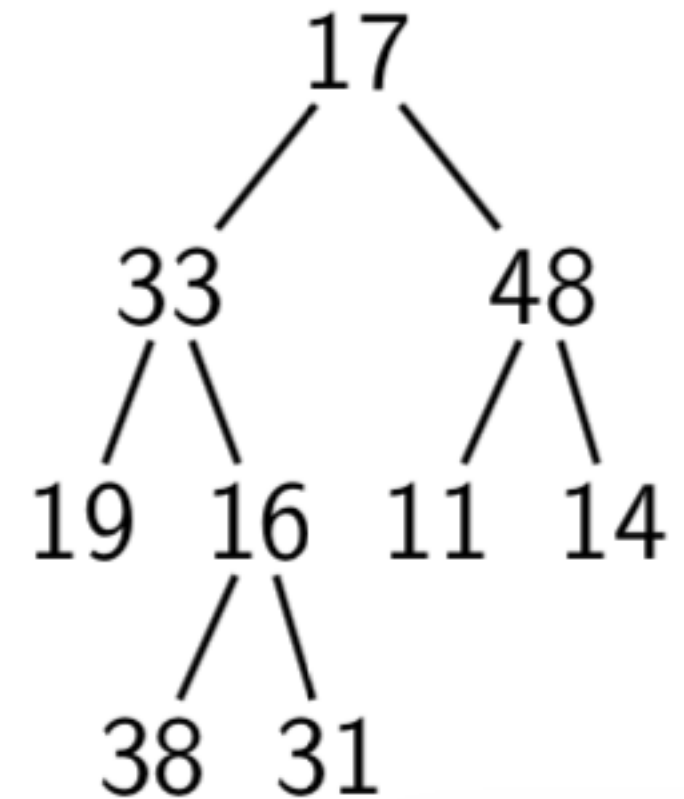
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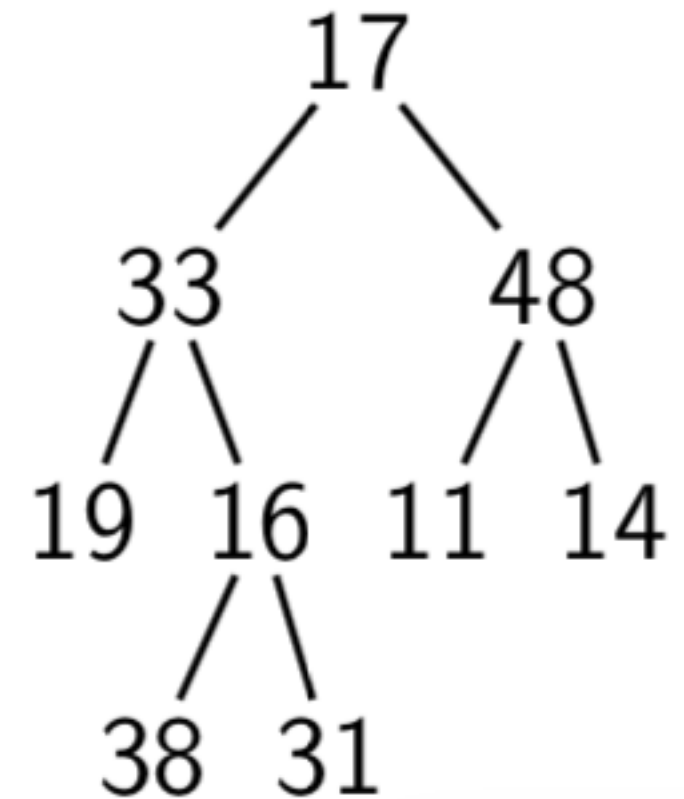
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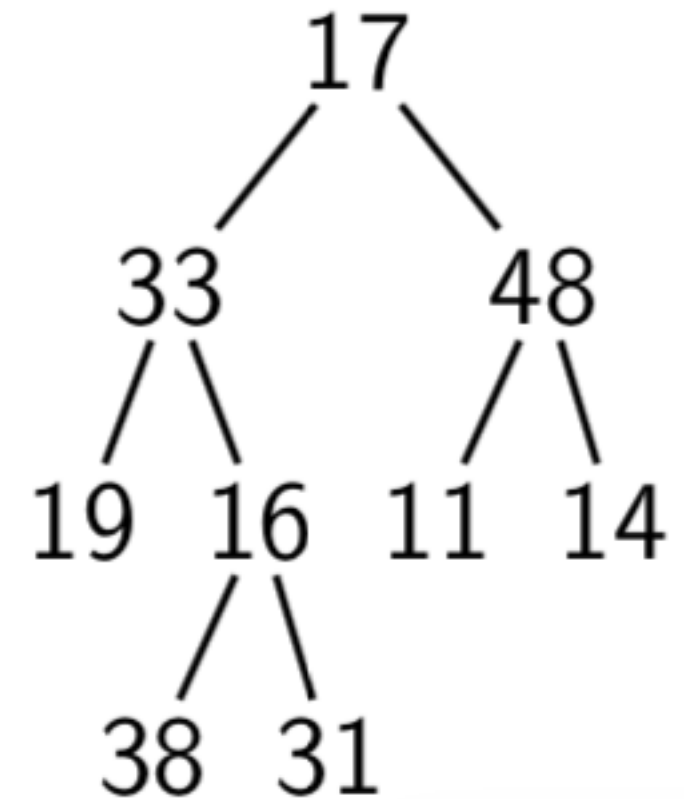
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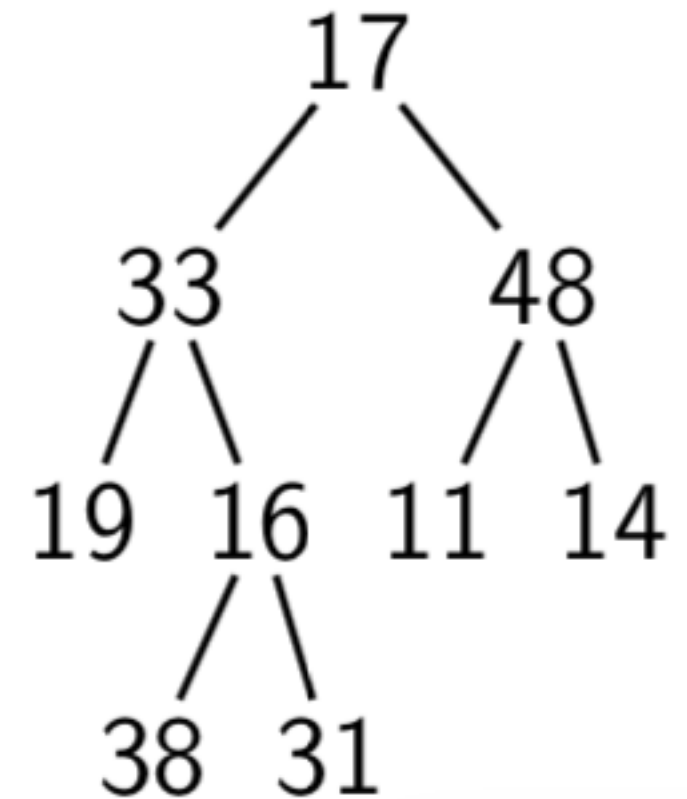
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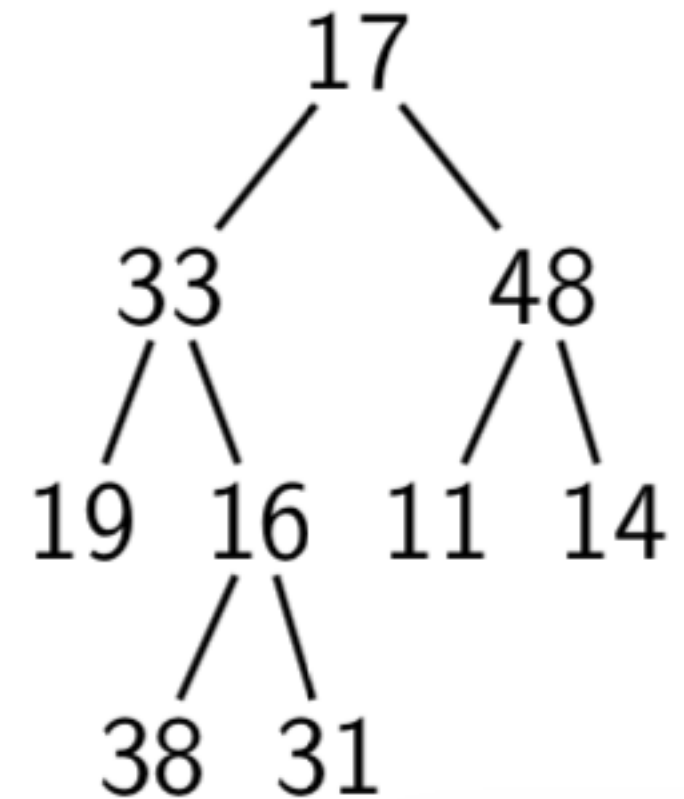
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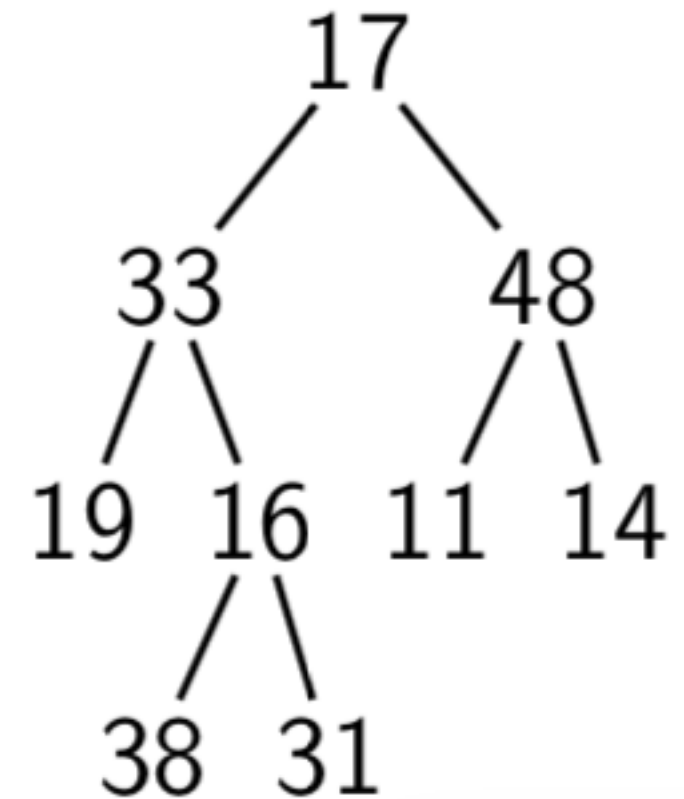
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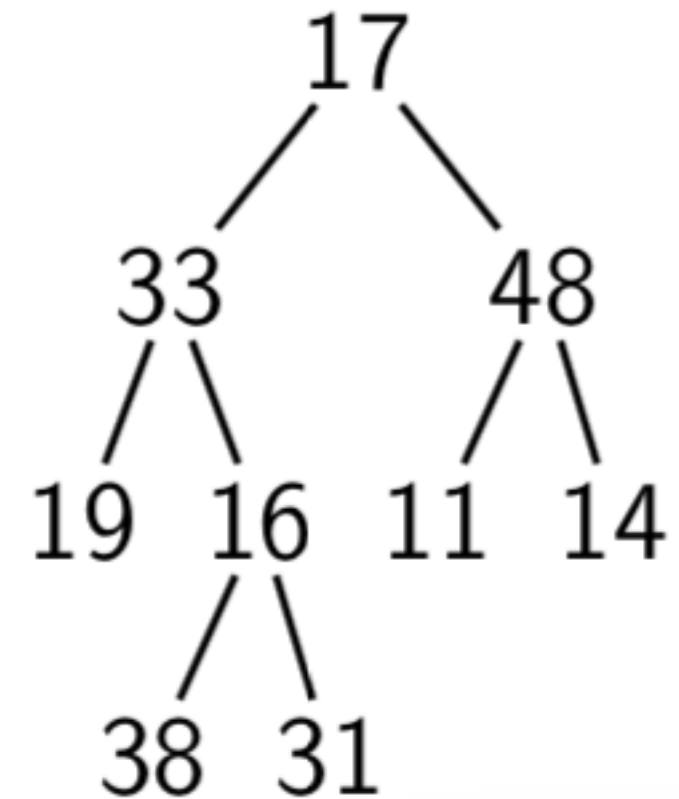
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Inorder Traversal



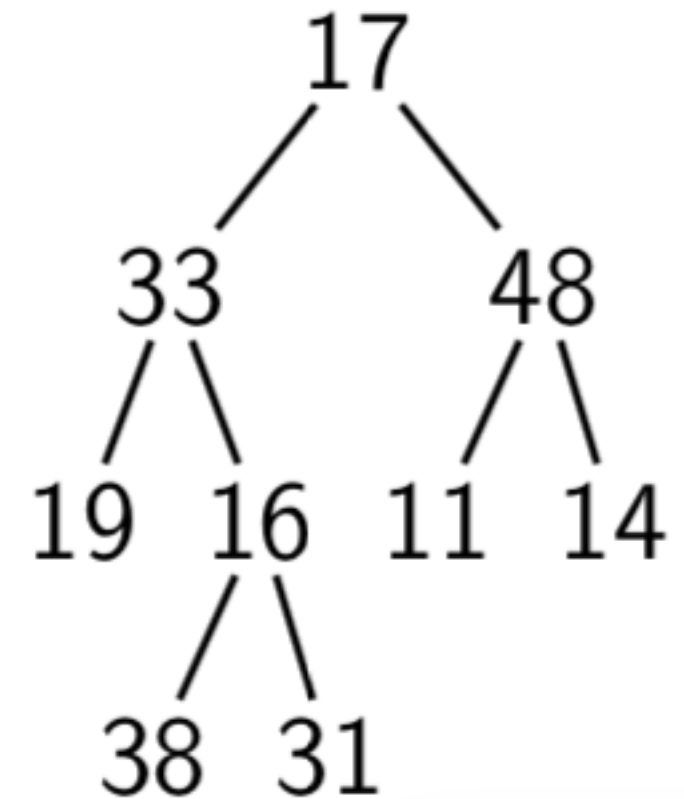
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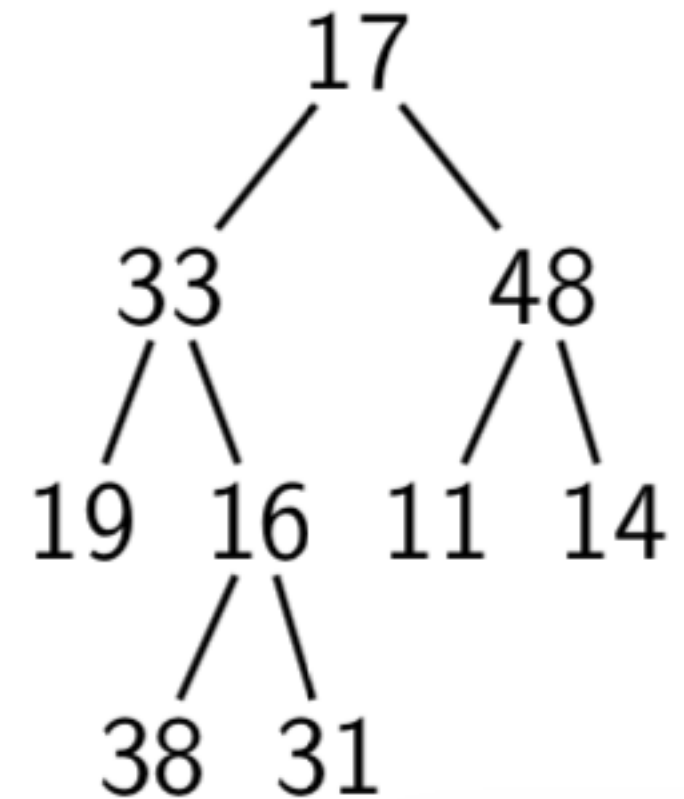
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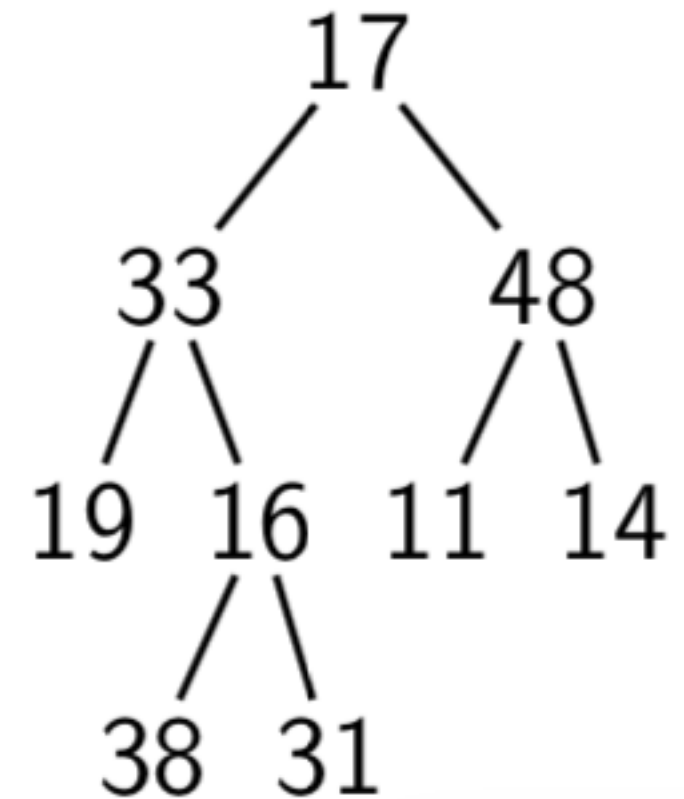
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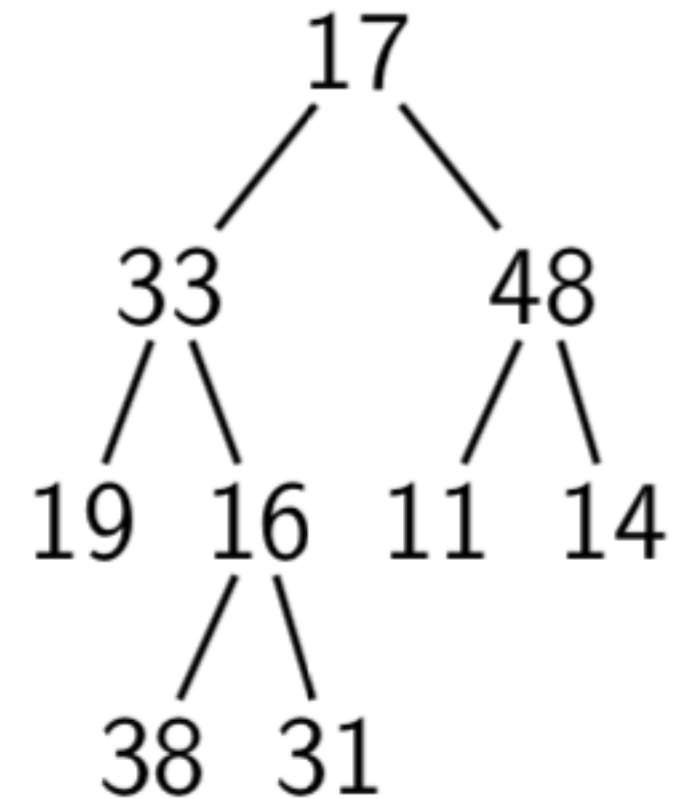
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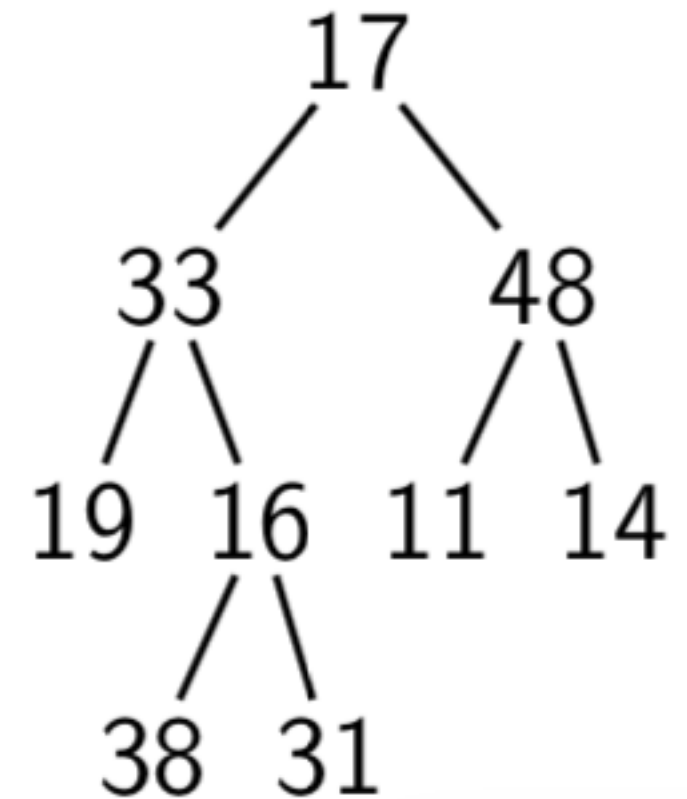
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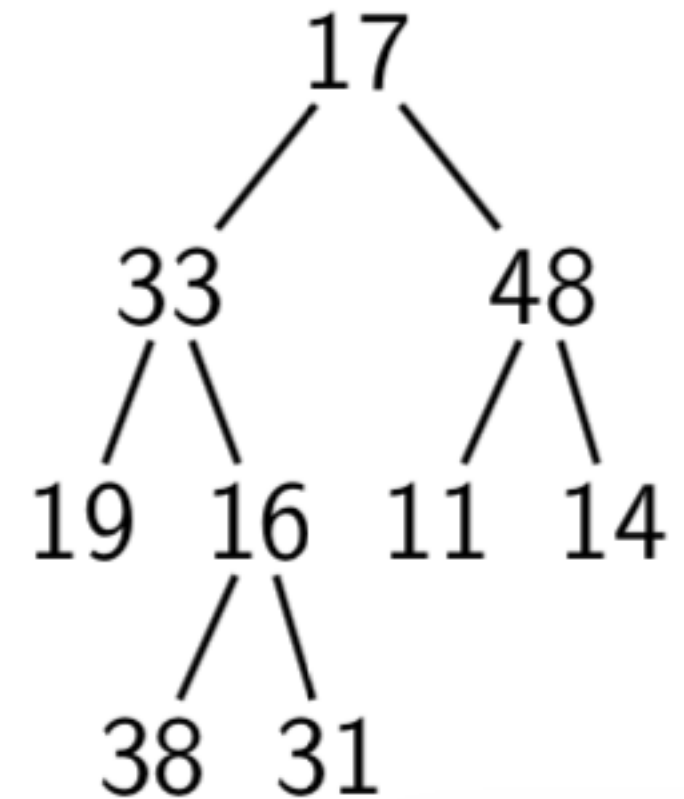
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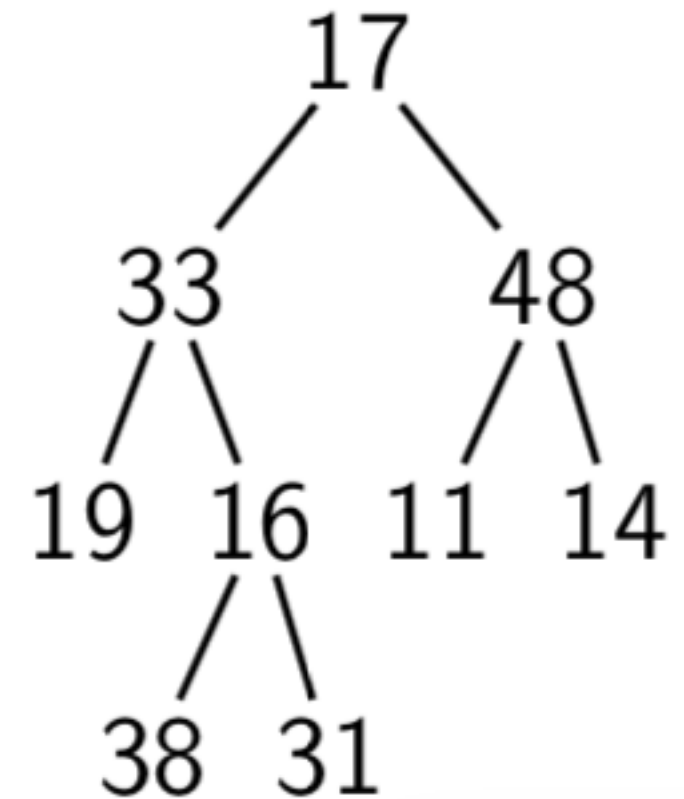
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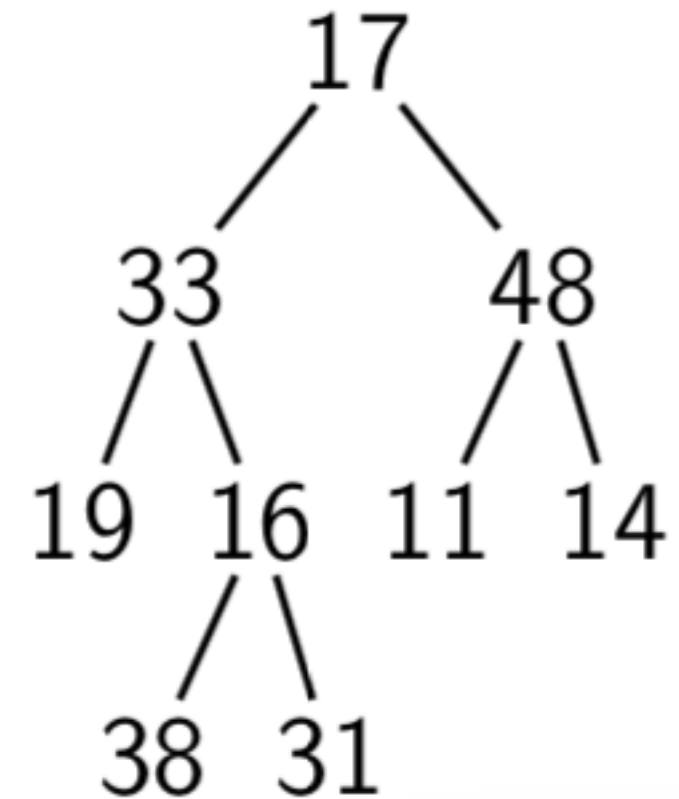
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Call Stack



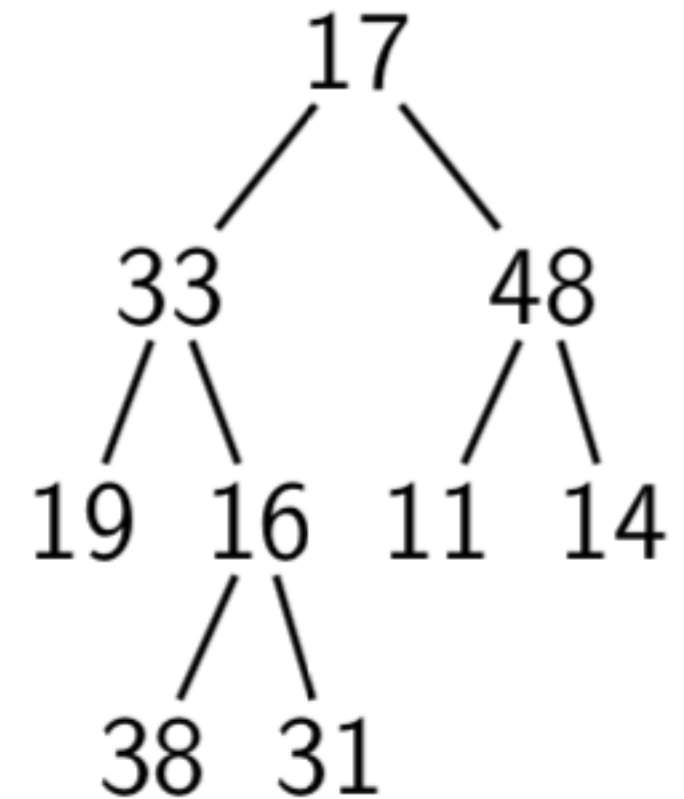
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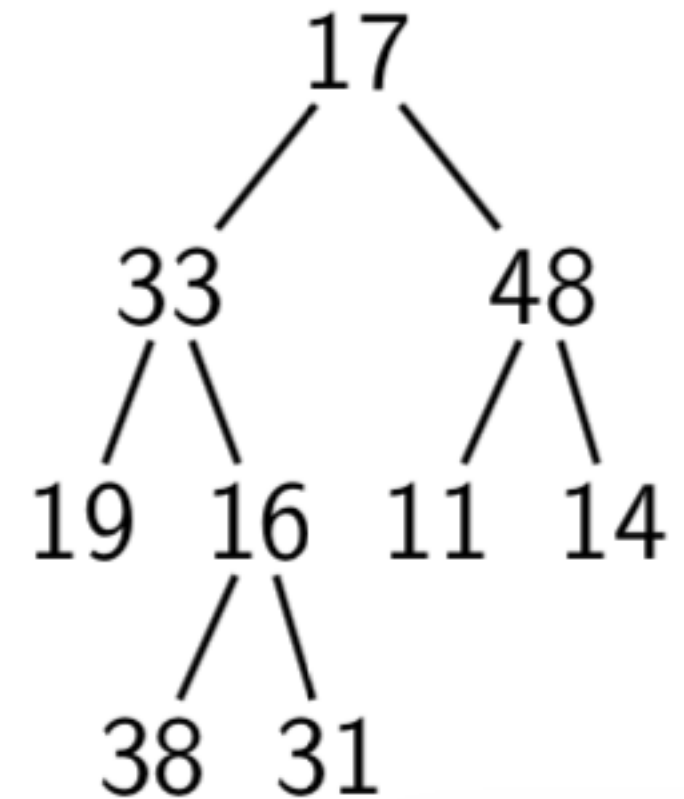
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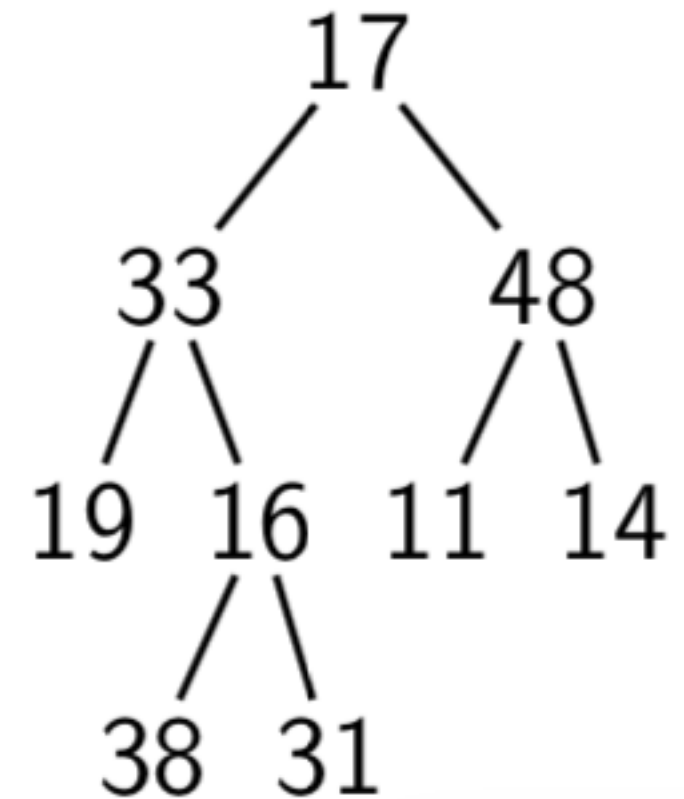
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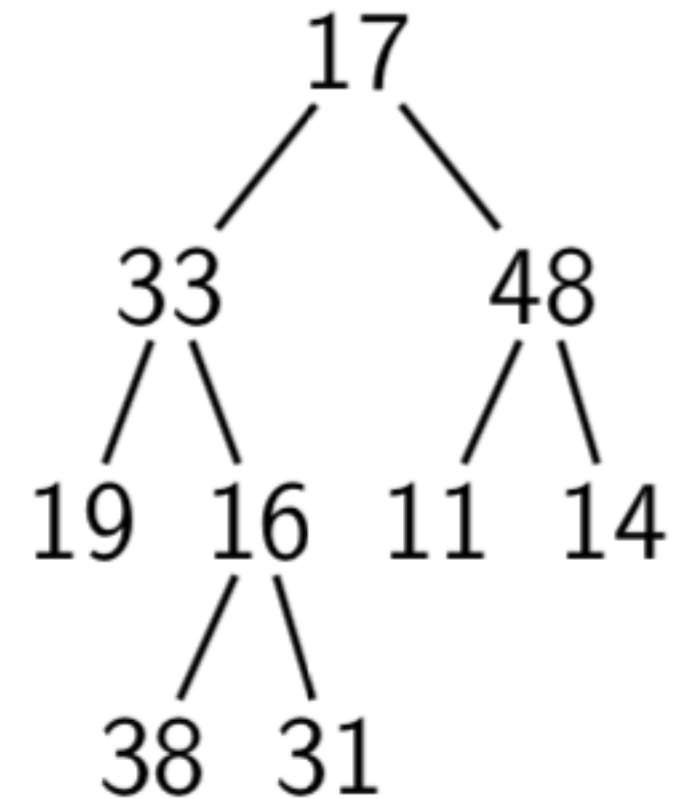
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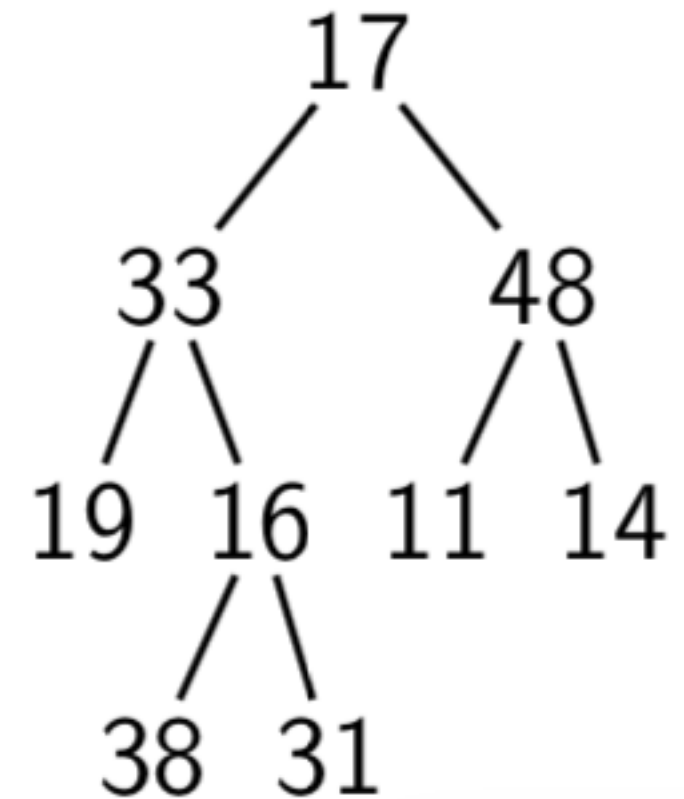
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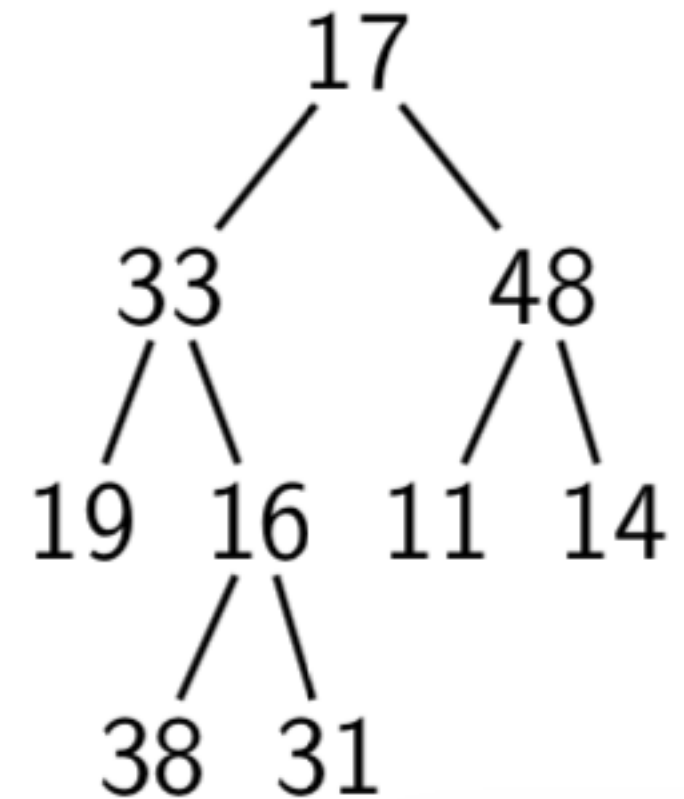
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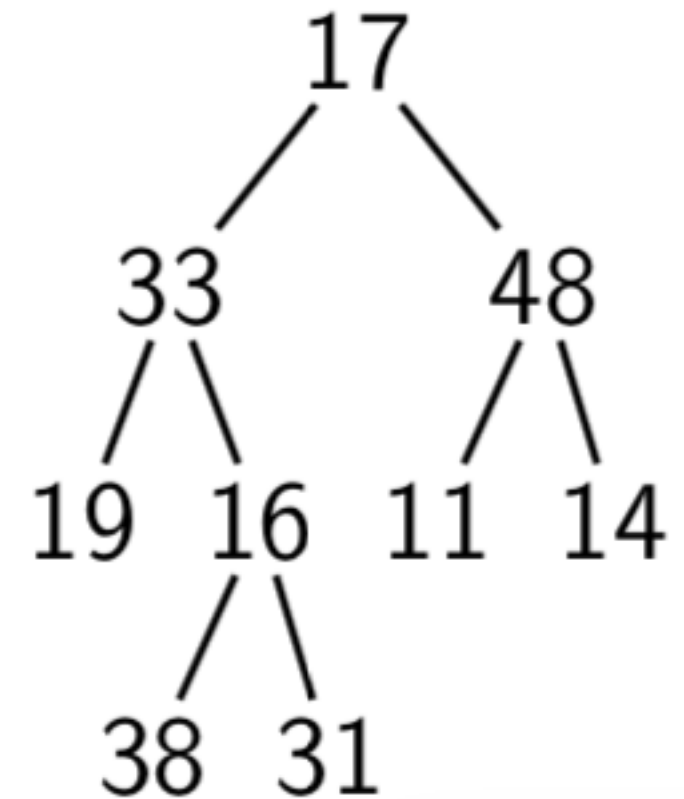
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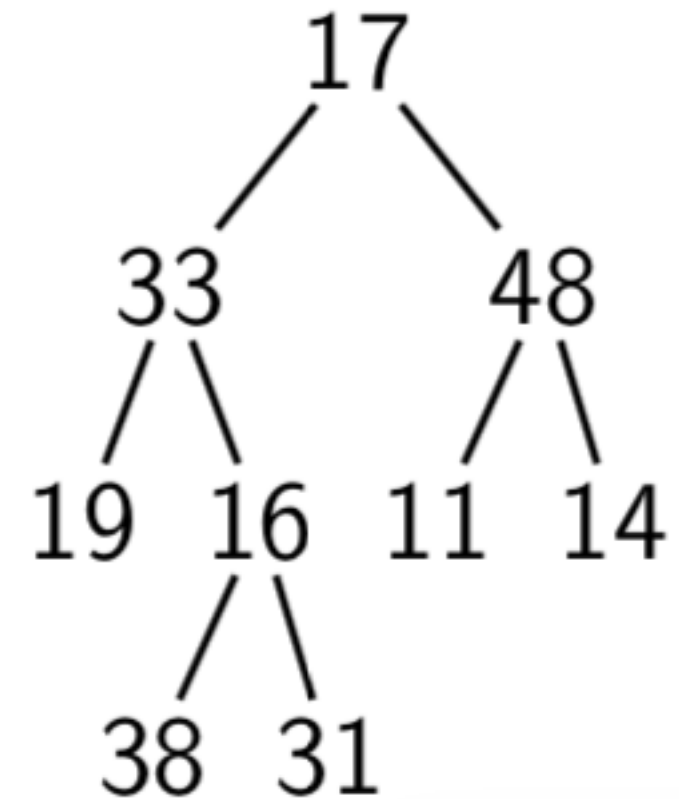
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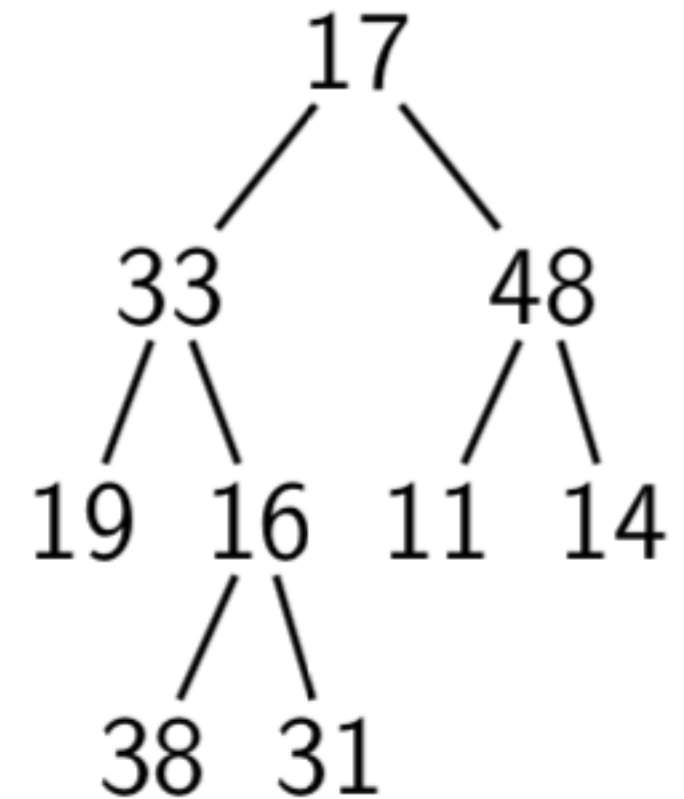
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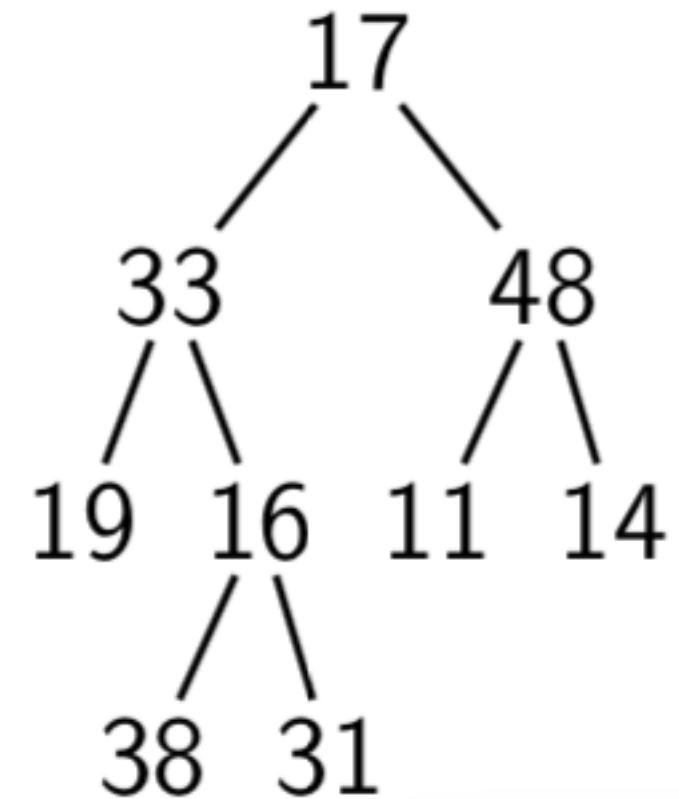
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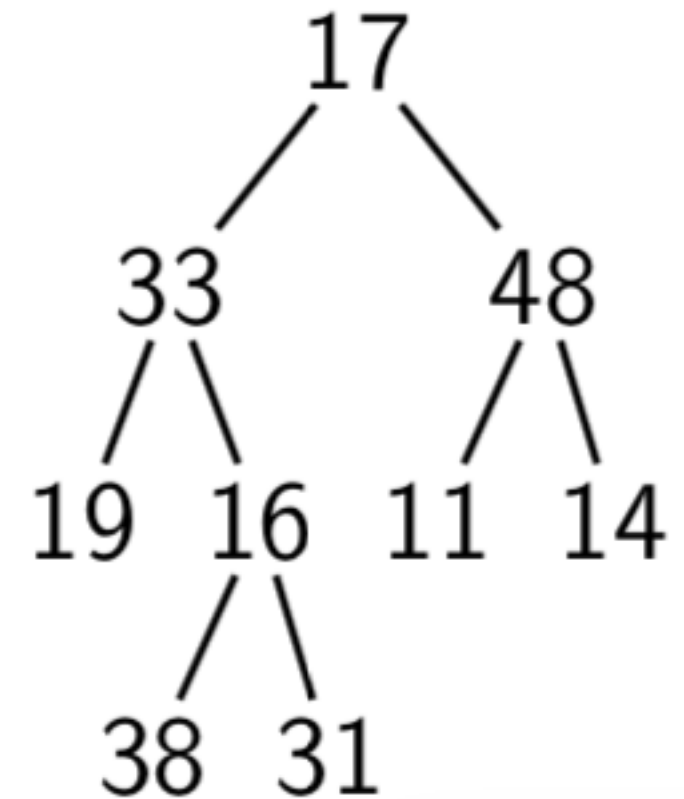
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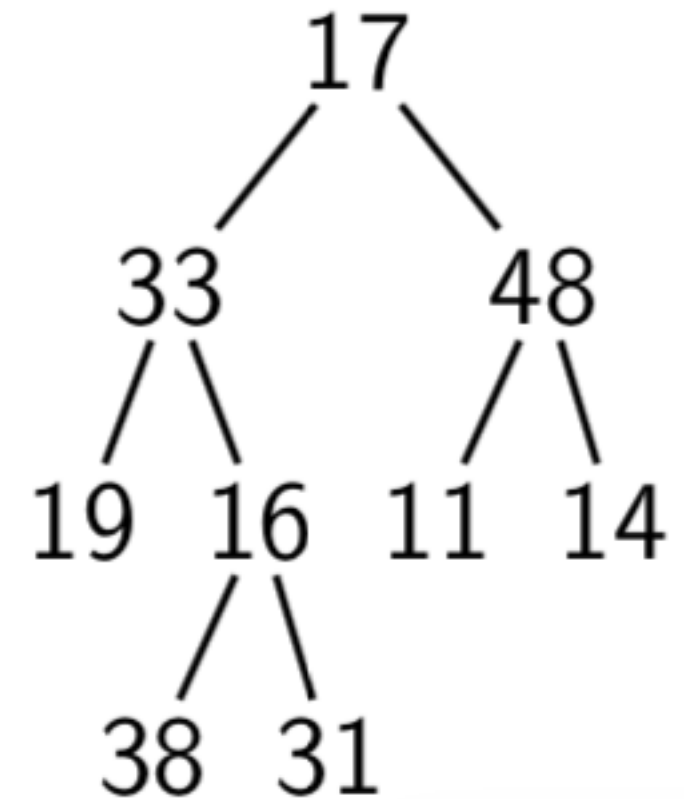
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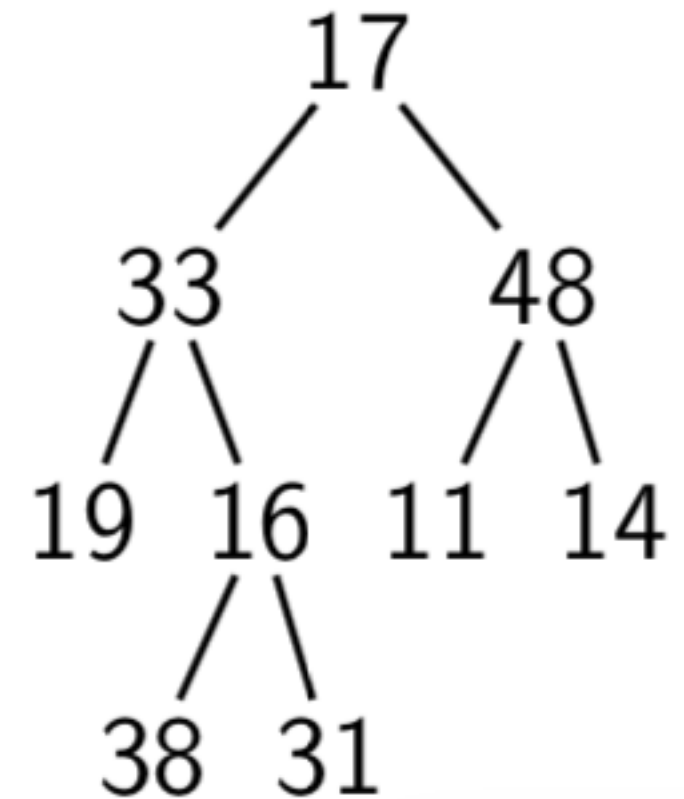
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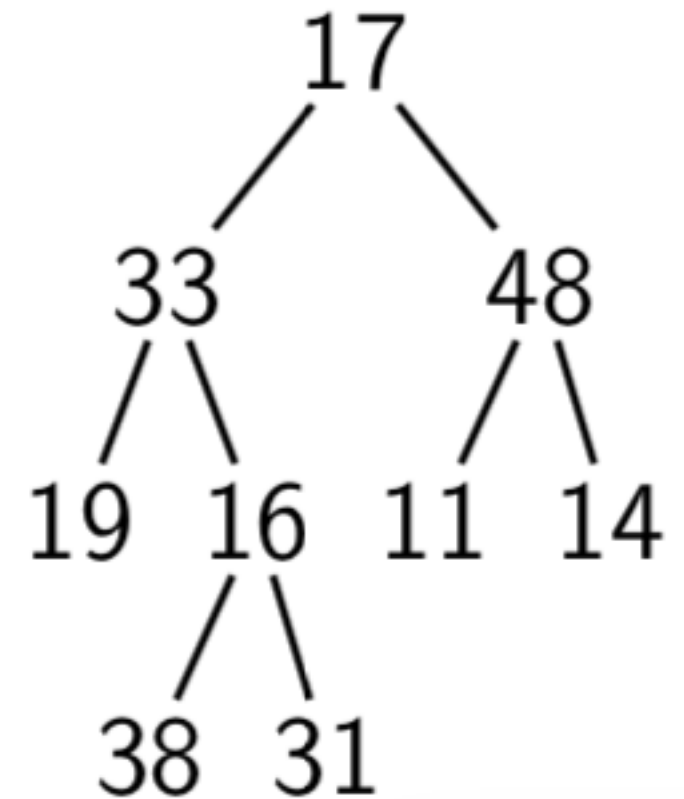
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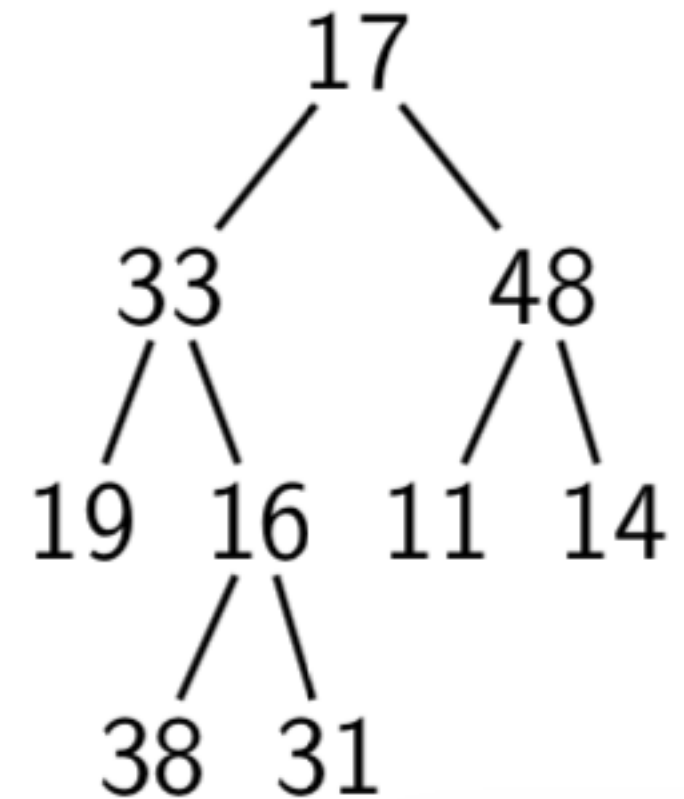
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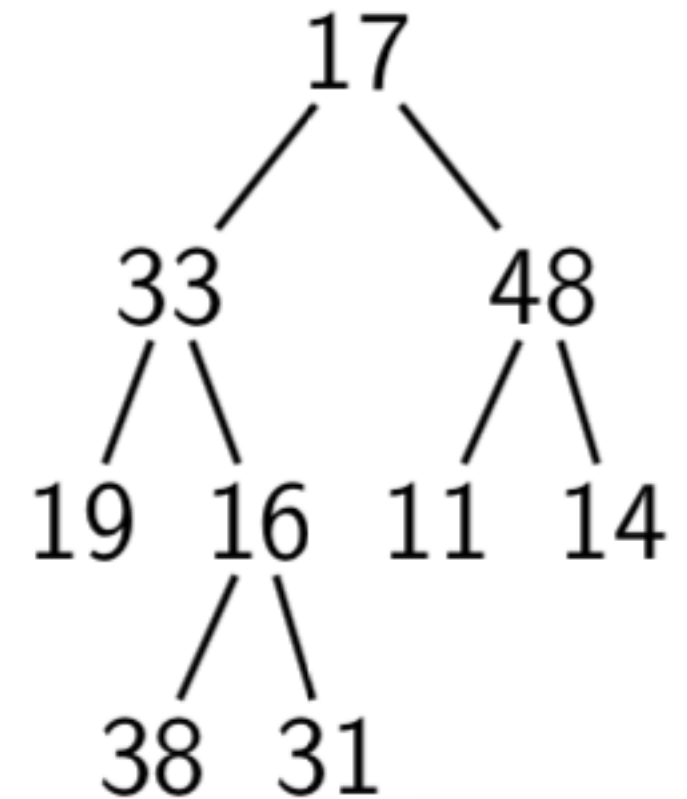
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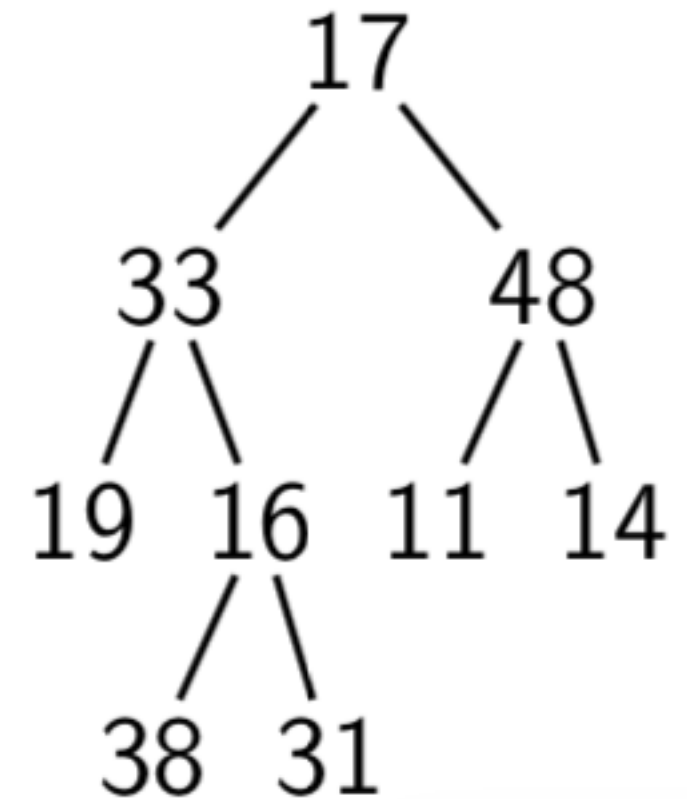
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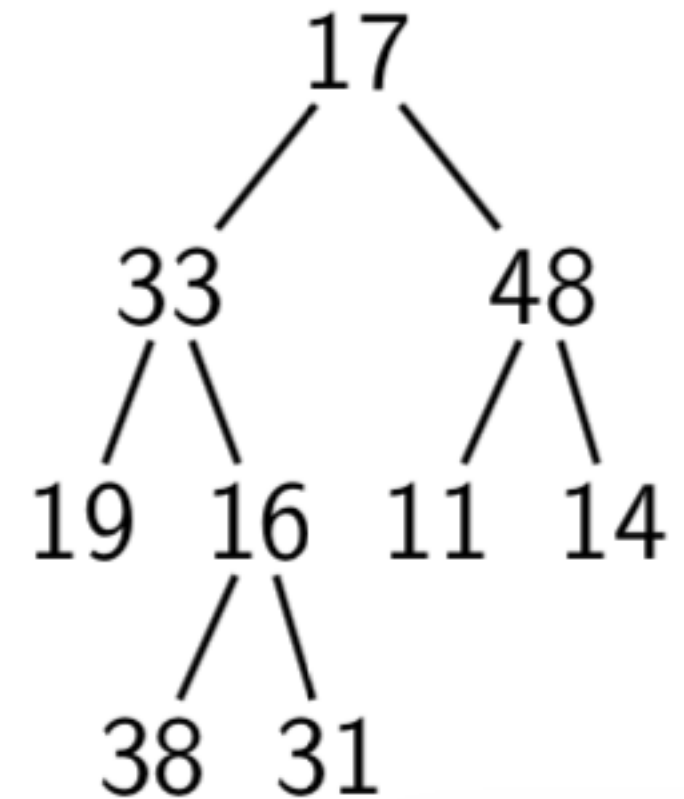
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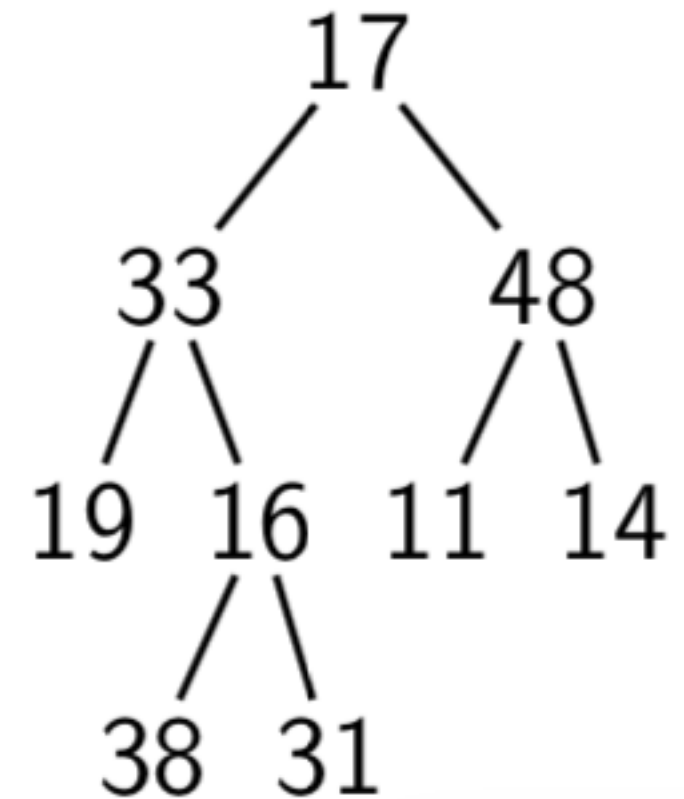
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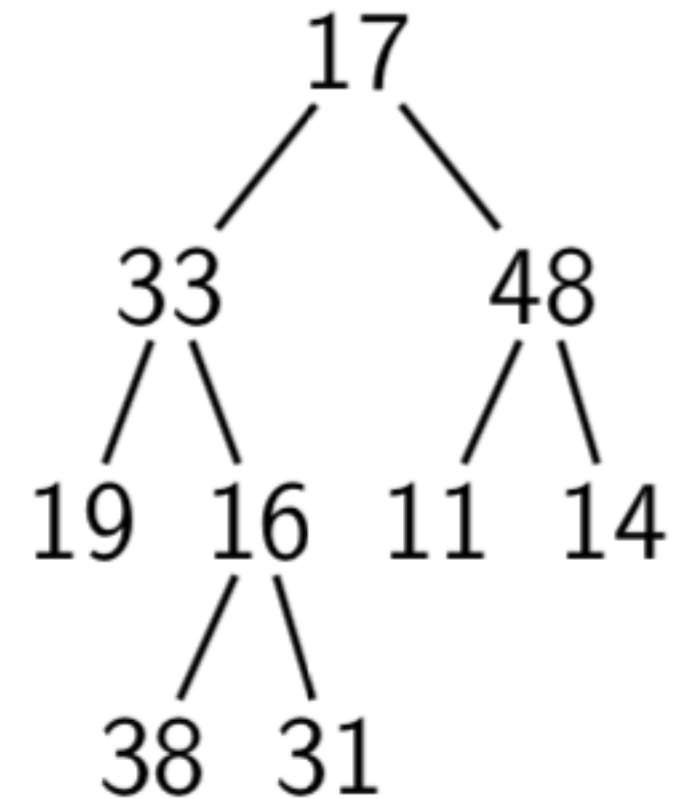
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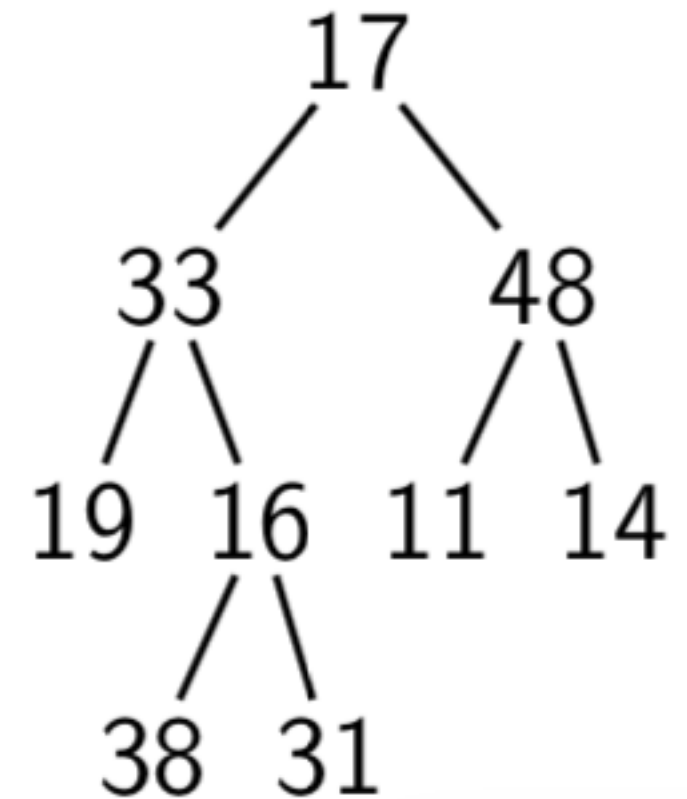
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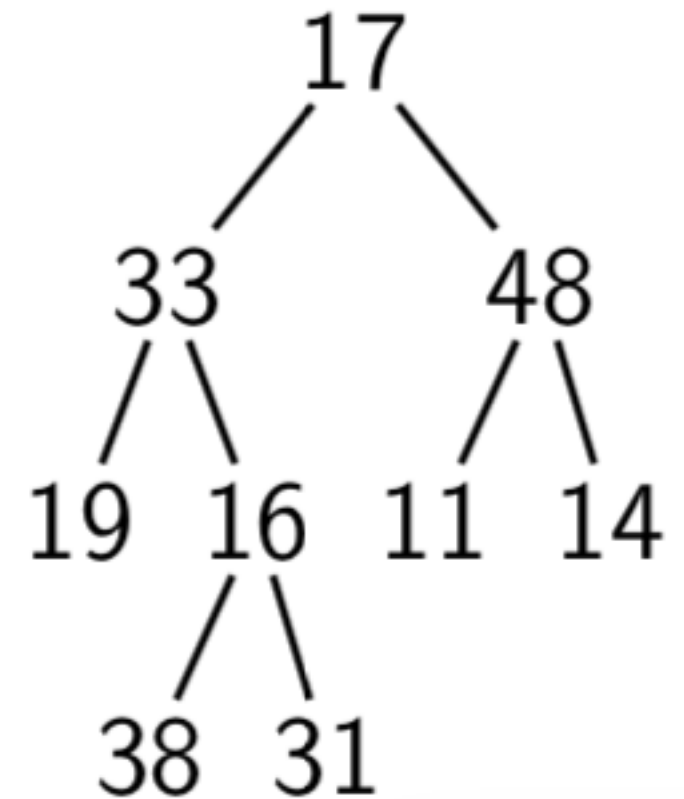
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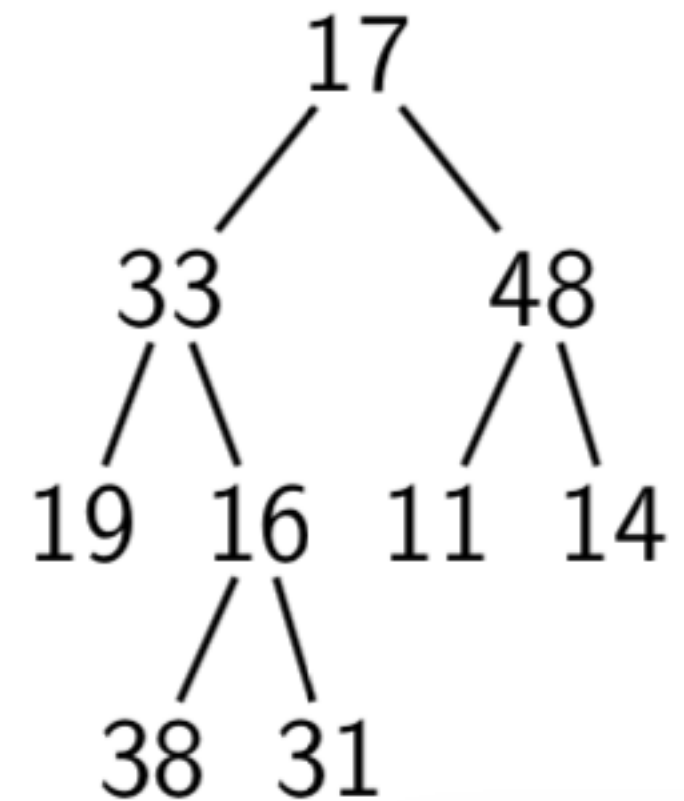
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Call Stack

Postorder Traversal



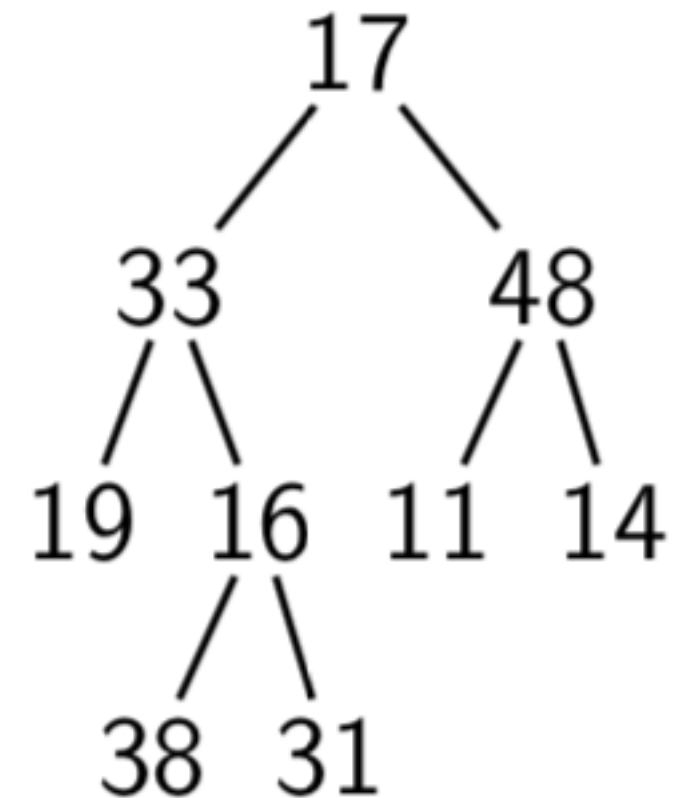
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POSTORDERTRAVERSE(17)

Call Stack

Postorder Traversal



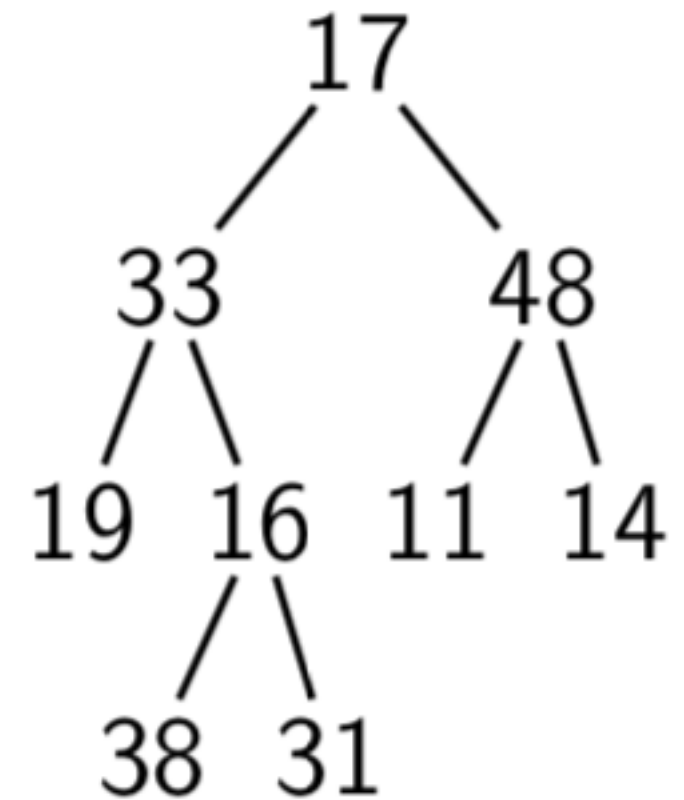
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POSTORDERTRAVERSE(33)

POSTORDERTRAVERSE(17)

Call Stack

Postorder Traversal



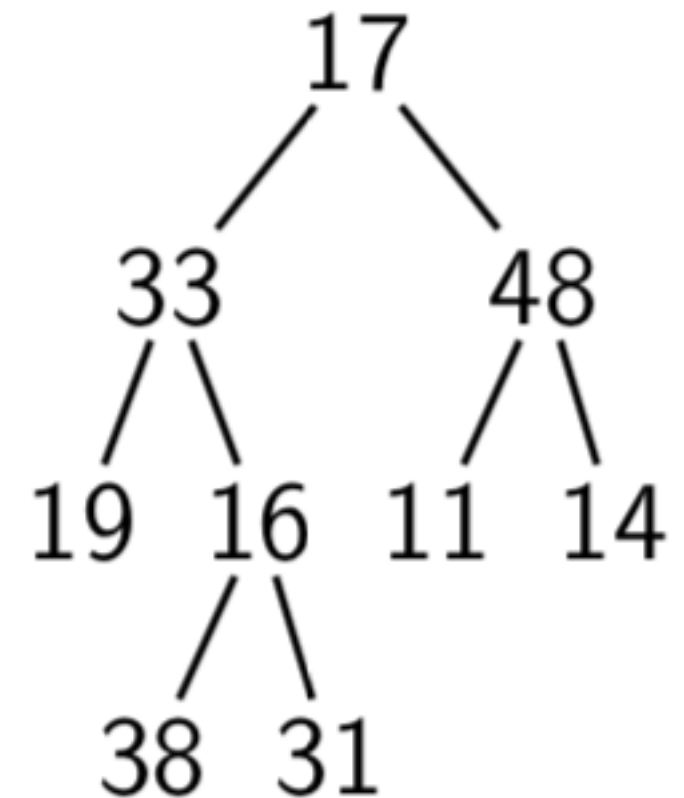
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POSTORDERTRAVERSE(19)

POSTORDERTRAVERSE(33)

POSTORDERTRAVERSE(17)

Call Stack

Postorder Traversal



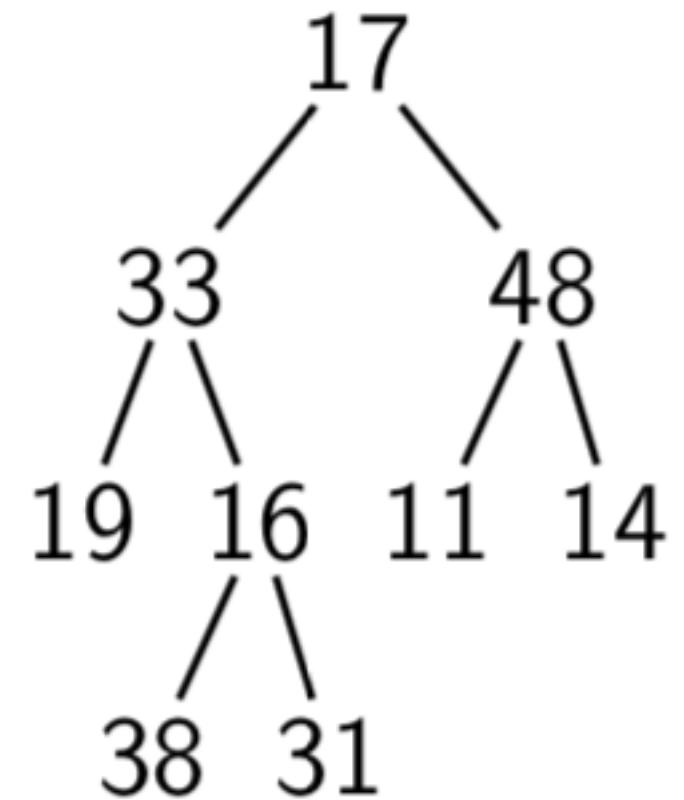
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POSTORDERTRAVERSE(null)

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POSTORDERTRAVERSE(33)

POSTORDERTRAVERSE(17)

Call Stack

Postorder Traversal



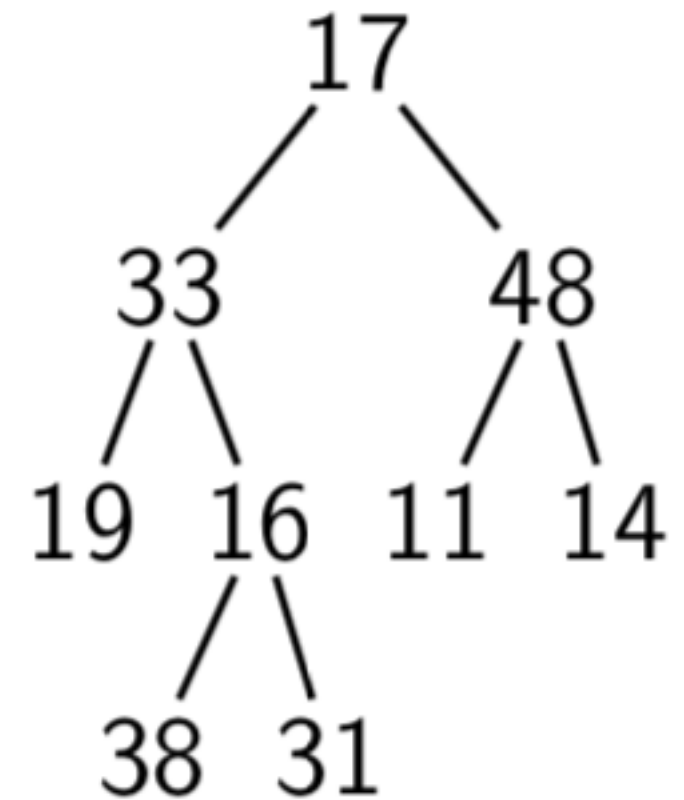
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Call Stack

Postorder Traversal



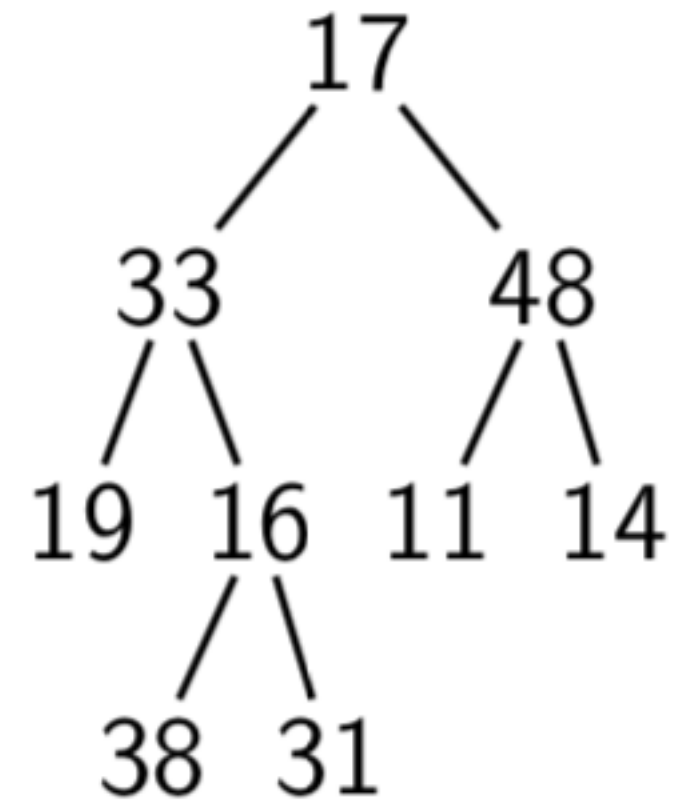
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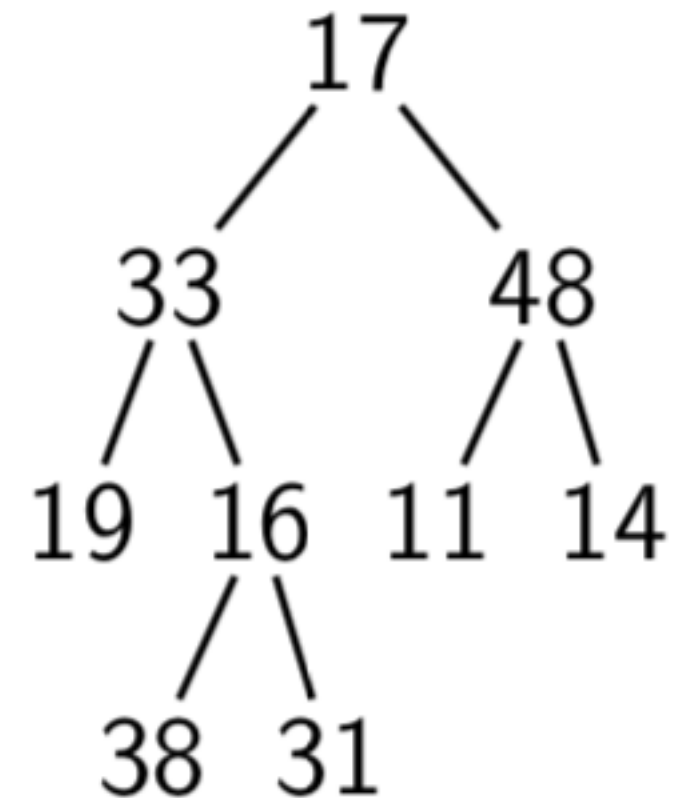
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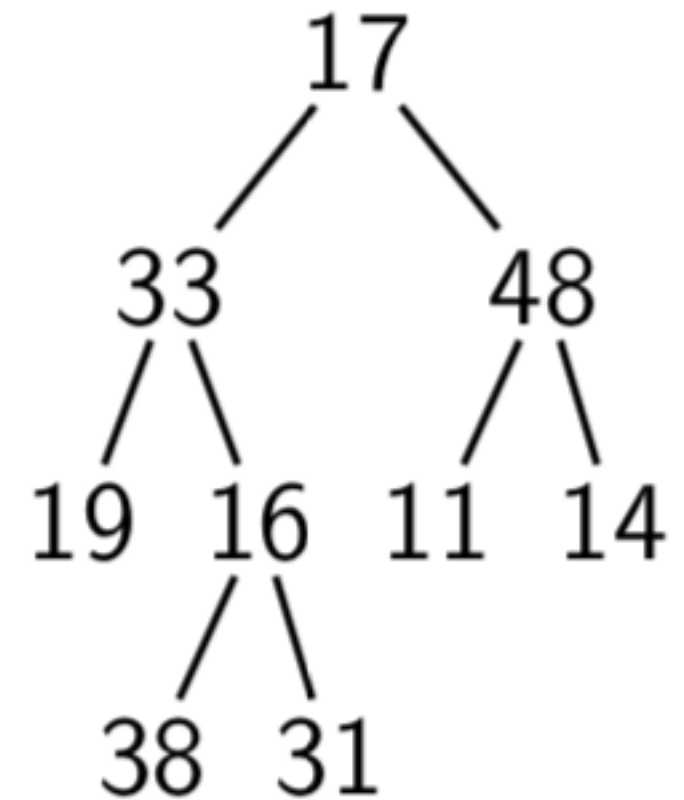
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POSTORDERTRAVERSE(19)

POSTORDERTRAVERSE(33)

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Call Stack

Postorder Traversal



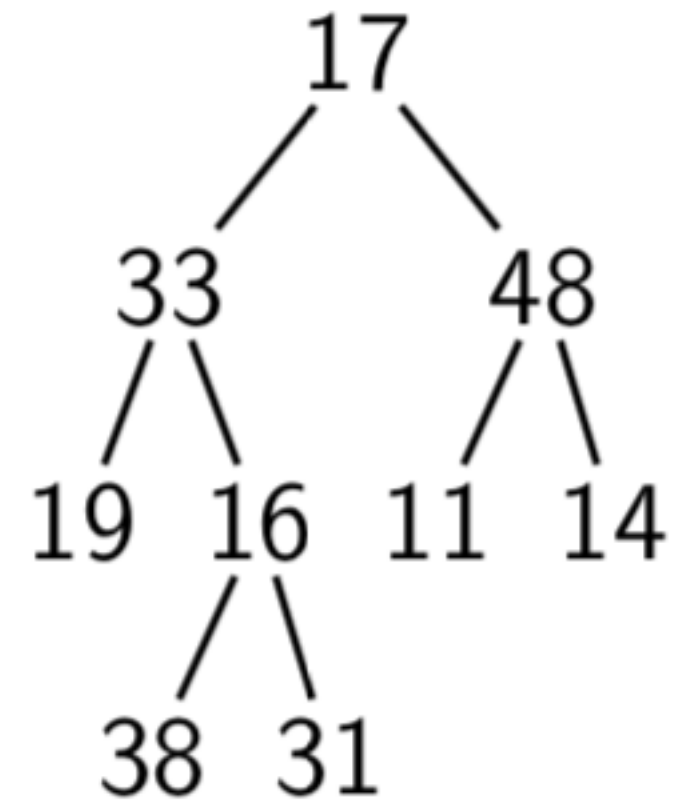
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POSTORDERTRAVERSE(33)

POSTORDERTRAVERSE(17)

Call Stack

Postorder Traversal



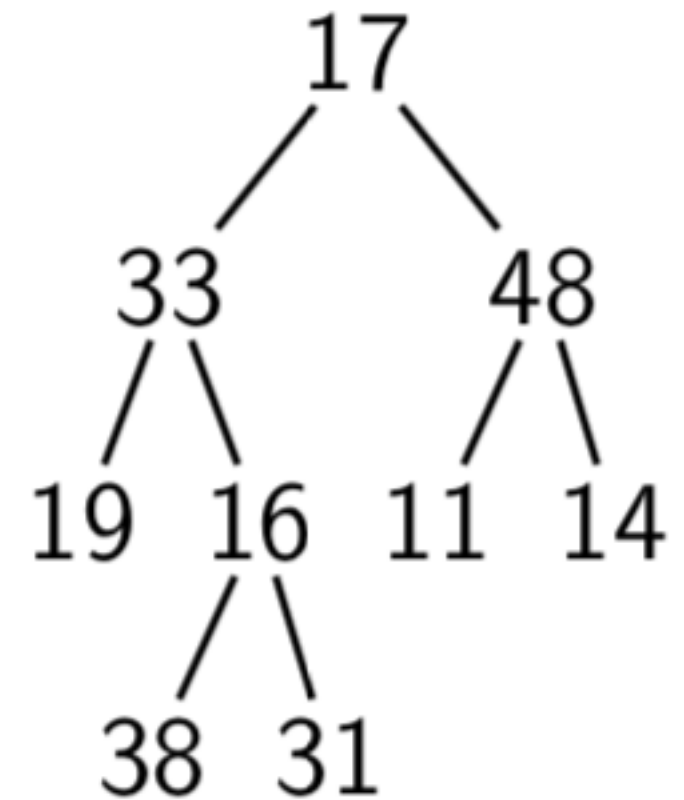
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POSTORDERTRAVERSE(16)

POSTORDERTRAVERSE(33)

POSTORDERTRAVERSE(17)

Call Stack

Postorder Traversal



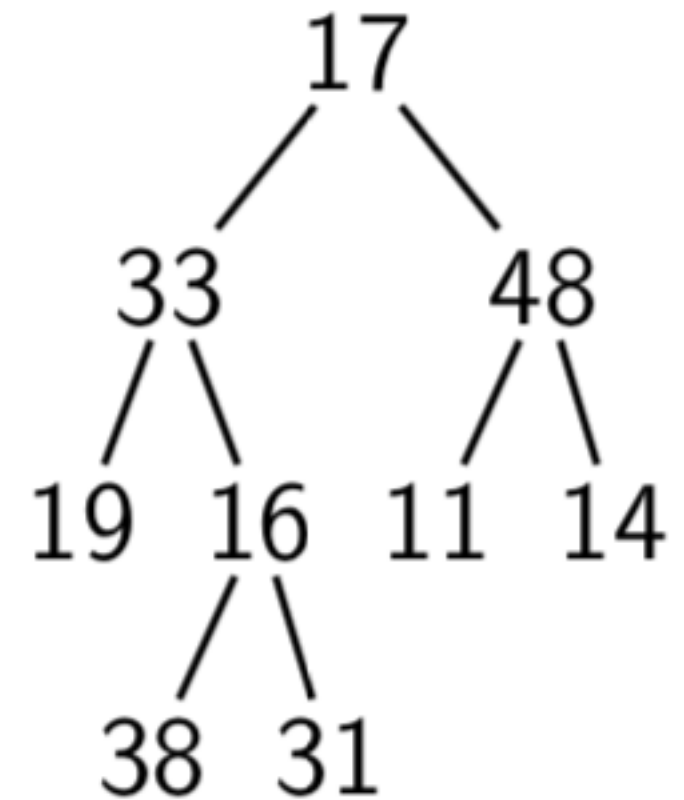
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POSTORDERTRAVERSE(38)

POSTORDERTRAVERSE(16)

POSTORDERTRAVERSE(33)

POSTORDERTRAVERSE(17)

Call Stack

Postorder Traversal



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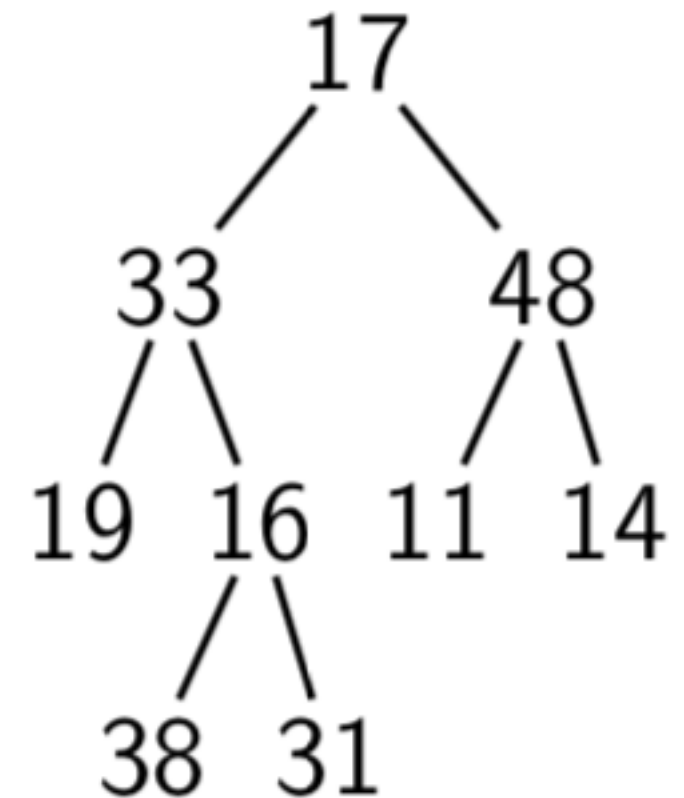
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```
POSTORDERTRAVERSE(null)
POSTORDERTRAVERSE(38)
POSTORDERTRAVERSE(16)
POSTORDERTRAVERSE(33)
POSTORDERTRAVERSE(17)
```

Call Stack



Postorder Traversal



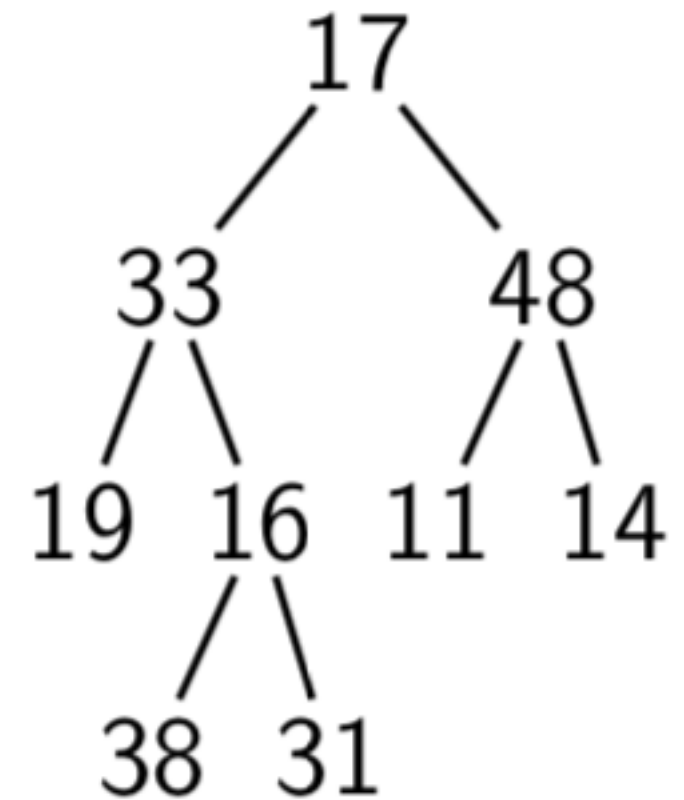
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POSTORDERTRAVERSE(38)

POSTORDERTRAVERSE(16)

POSTORDERTRAVERSE(33)

POSTORDERTRAVERSE(17)

Call Stack

Postorder Traversal



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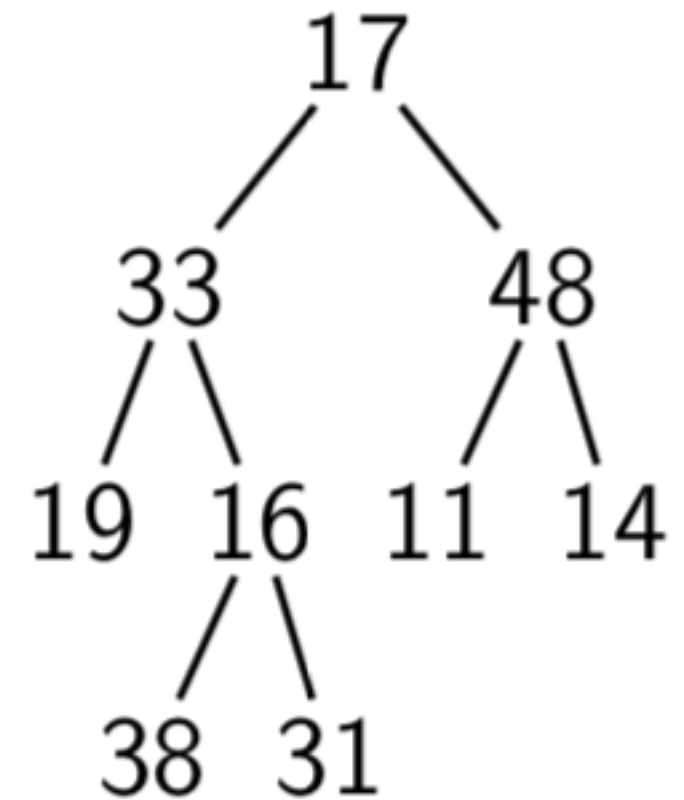
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```
POSTORDERTRAVERSE(null)
POSTORDERTRAVERSE(38)
POSTORDERTRAVERSE(16)
POSTORDERTRAVERSE(33)
POSTORDERTRAVERSE(17)
```

Call Stack



Postorder Traversal



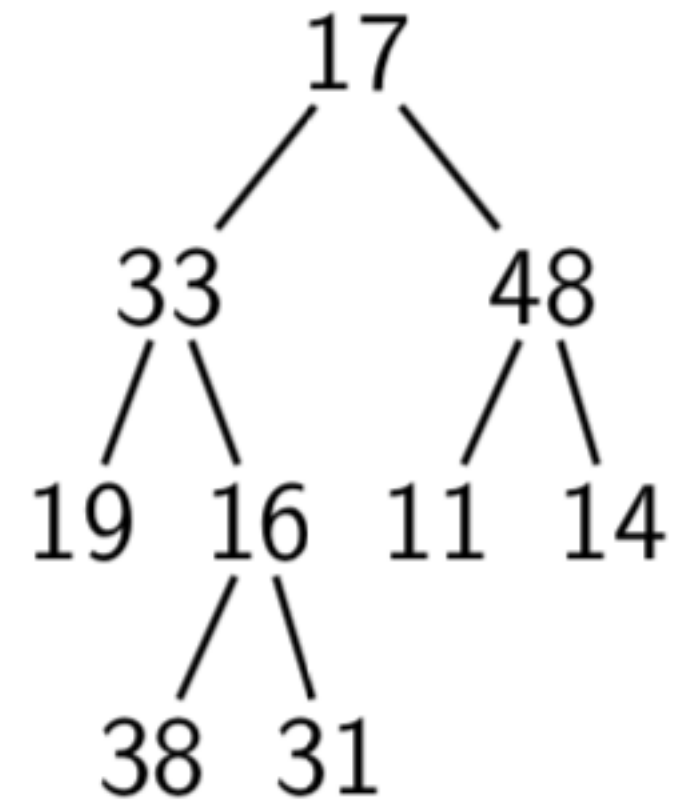
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POSTORDERTRAVERSE(38)

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POSTORDERTRAVERSE(17)

Call Stack

Postorder Traversal



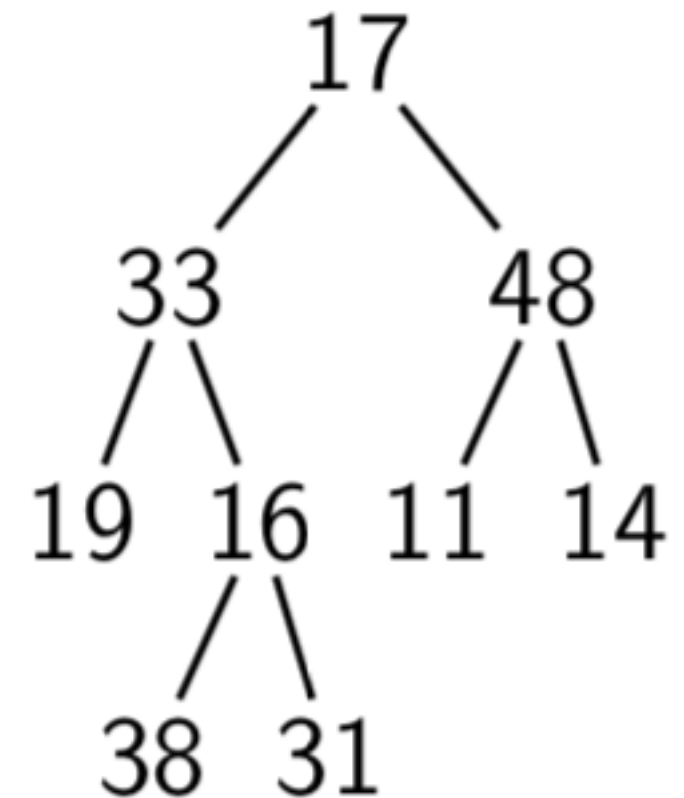
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POSTORDERTRAVERSE(38)

POSTORDERTRAVERSE(16)

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POSTORDERTRAVERSE(17)

Call Stack

Postorder Traversal



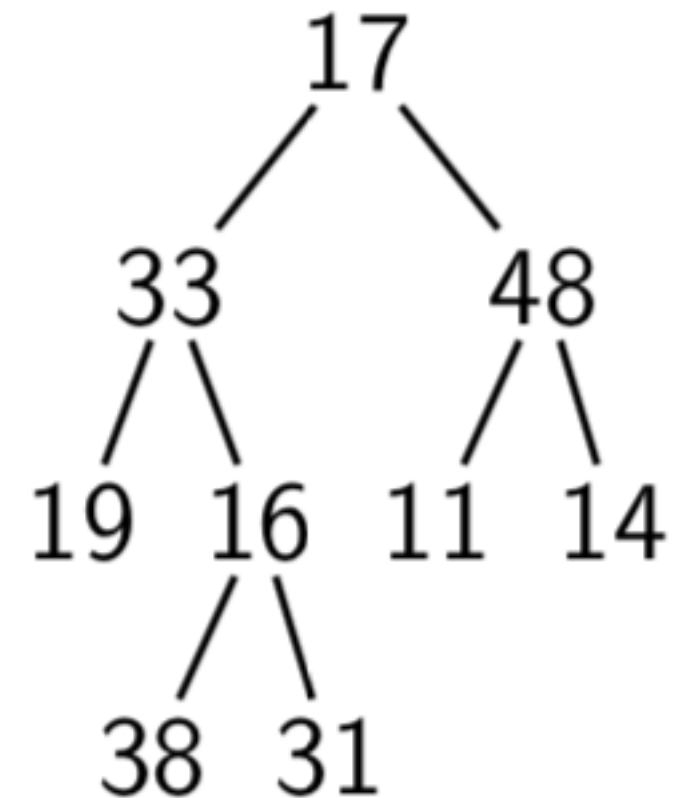
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POSTORDERTRAVERSE(16)

POSTORDERTRAVERSE(33)

POSTORDERTRAVERSE(17)

Call Stack

Postorder Traversal



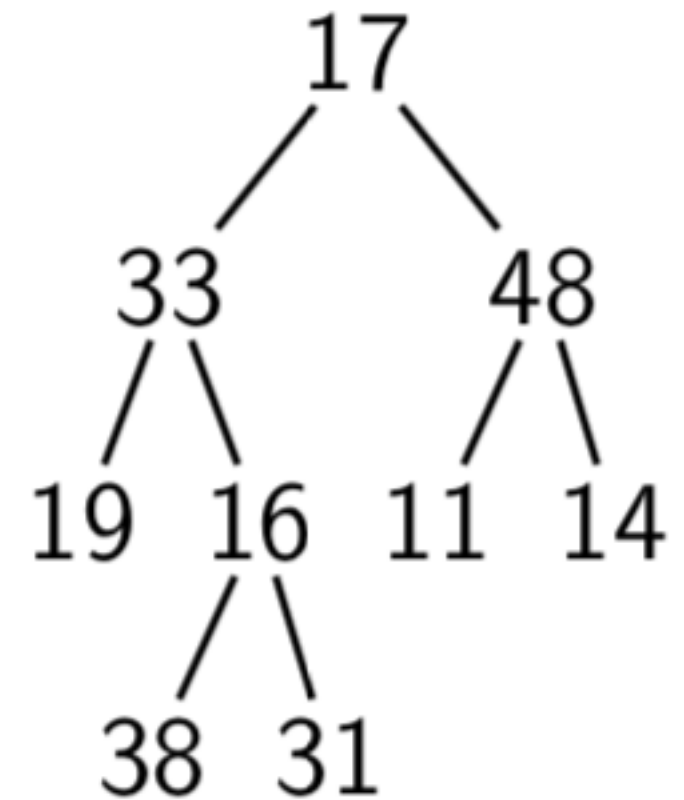
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POSTORDERTRAVERSE(31)

POSTORDERTRAVERSE(16)

POSTORDERTRAVERSE(33)

POSTORDERTRAVERSE(17)

Call Stack

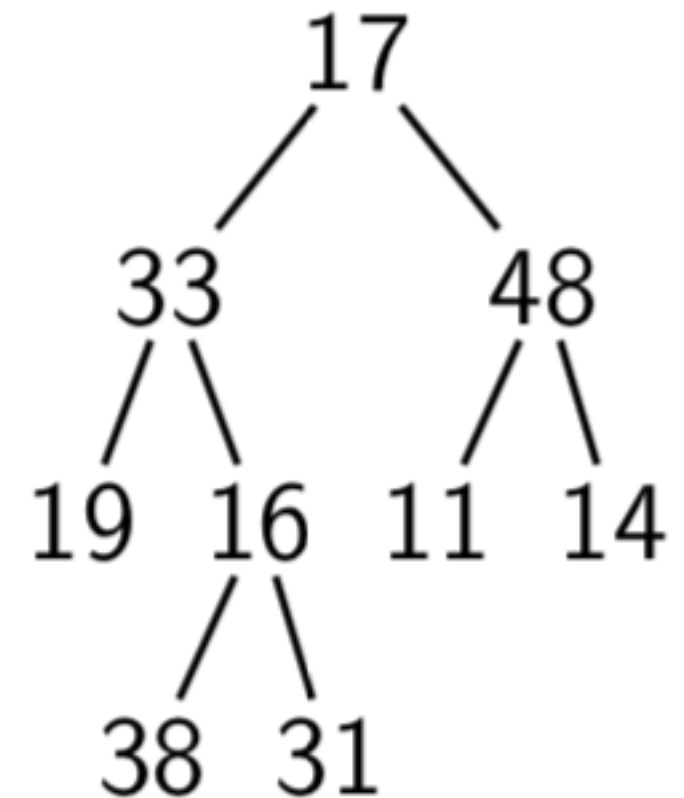
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POSTORDERTRAVERSE(31)

POSTORDERTRAVERSE(16)

POSTORDERTRAVERSE(33)

POSTORDERTRAVERSE(17)

Call Stack

(...skipping the calls to
POSTORDERTRAVERSE(null)...)

Postorder Traversal



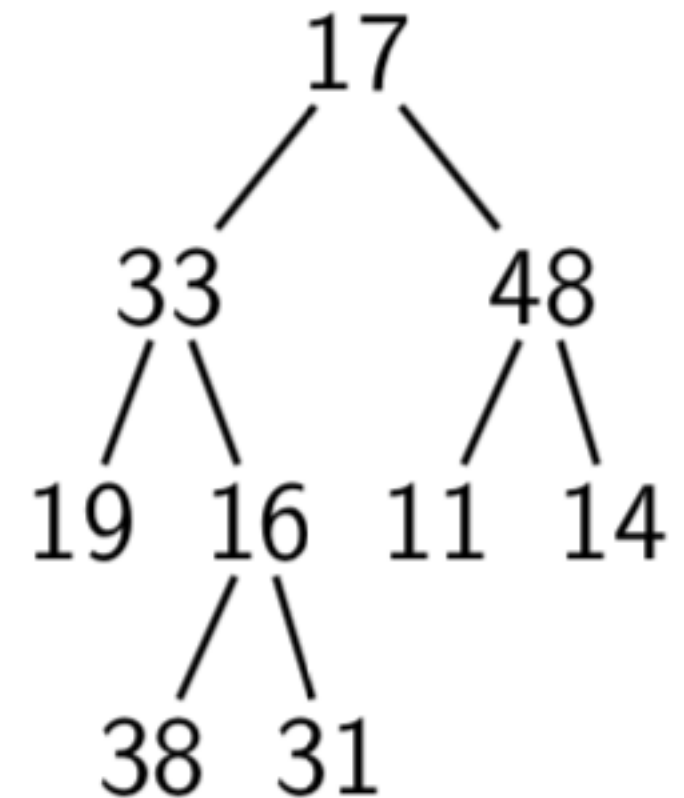
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POSTORDERTRAVERSE(31)

POSTORDERTRAVERSE(16)

POSTORDERTRAVERSE(33)

POSTORDERTRAVERSE(17)

Call Stack

Postorder Traversal



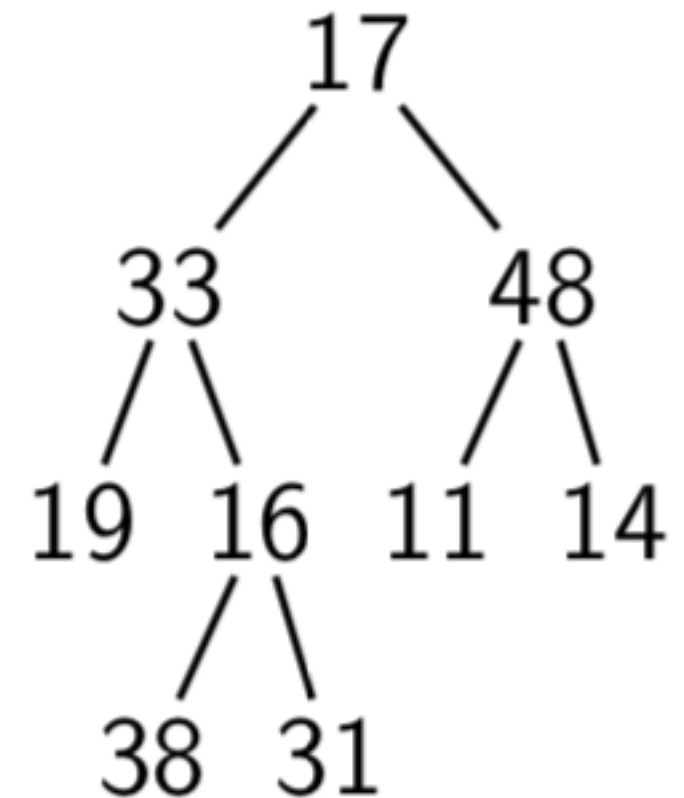
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POSTORDERTRAVERSE(16)

POSTORDERTRAVERSE(33)

POSTORDERTRAVERSE(17)

Call Stack

Postorder Traversal



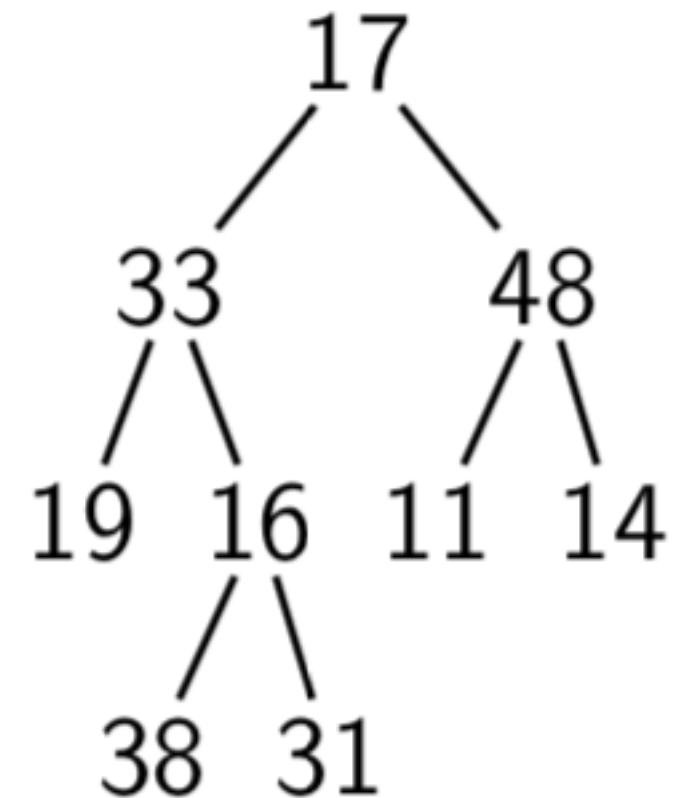
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POSTORDERTRAVERSE(16)

POSTORDERTRAVERSE(33)

POSTORDERTRAVERSE(17)

Call Stack

Postorder Traversal



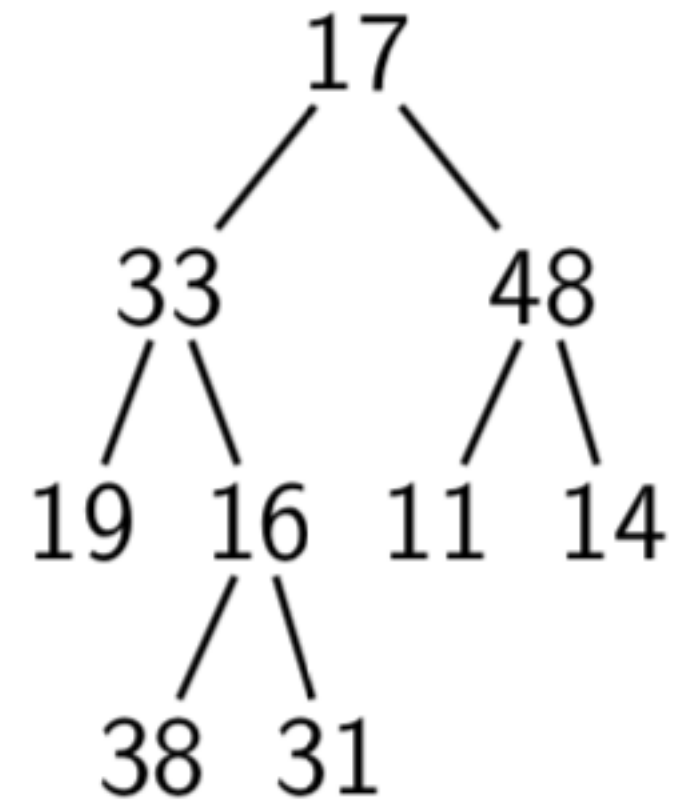
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POSTORDERTRAVERSE(33)

POSTORDERTRAVERSE(17)

Call Stack

Postorder Traversal



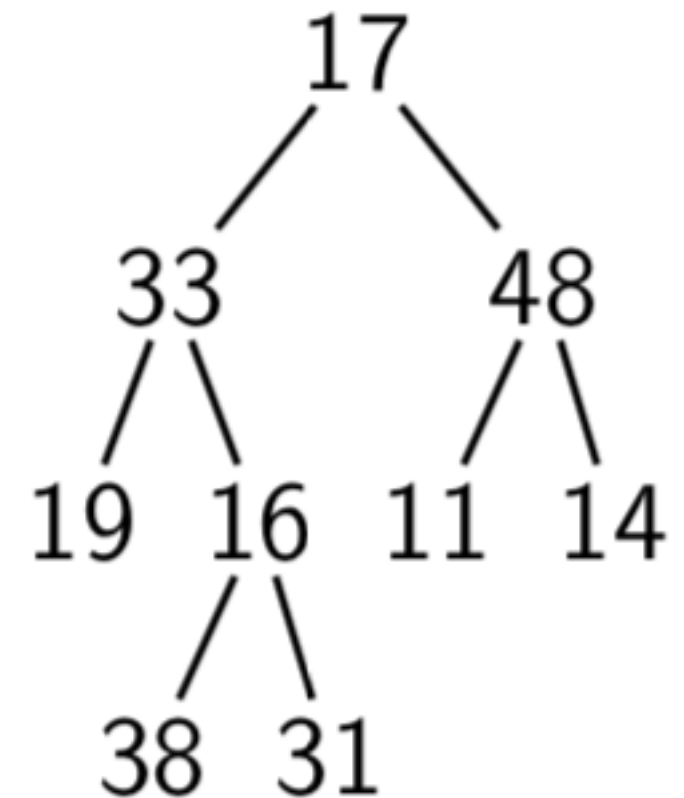
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POSTORDERTRAVERSE(33)

POSTORDERTRAVERSE(17)

Call Stack

Postorder Traversal



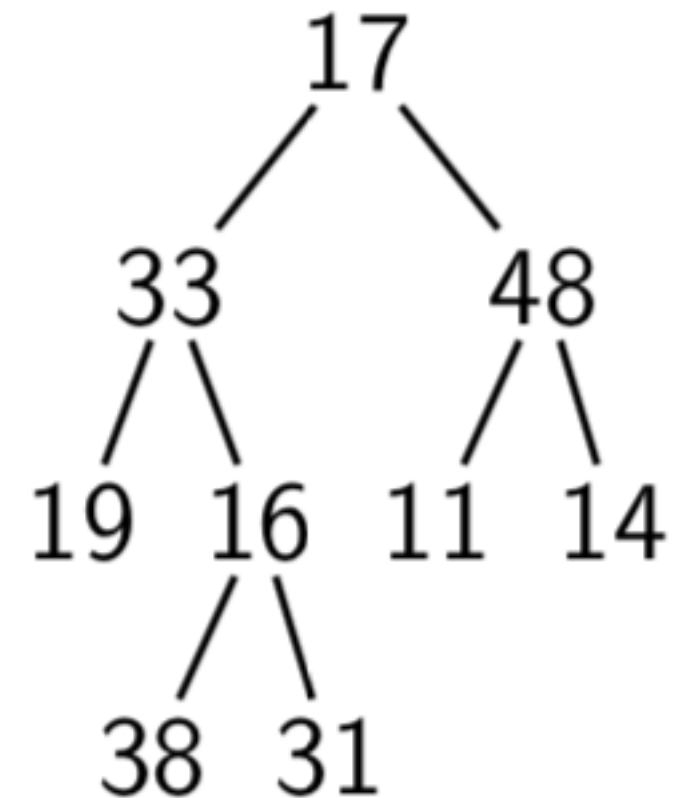
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POSTORDERTRAVERSE(17)

Call Stack

Postorder Traversal



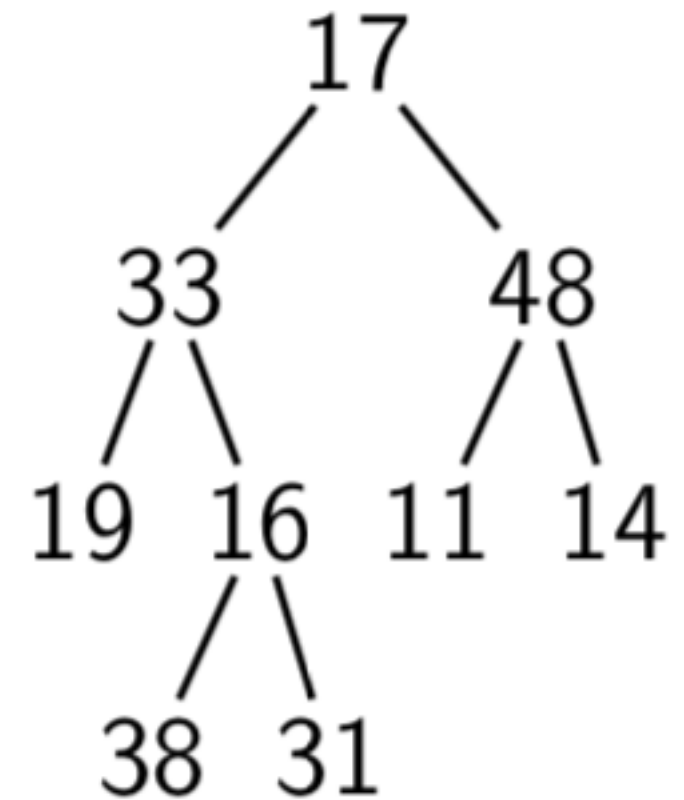
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POSTORDERTRAVERSE(48)

POSTORDERTRAVERSE(17)

Call Stack

Postorder Traversal



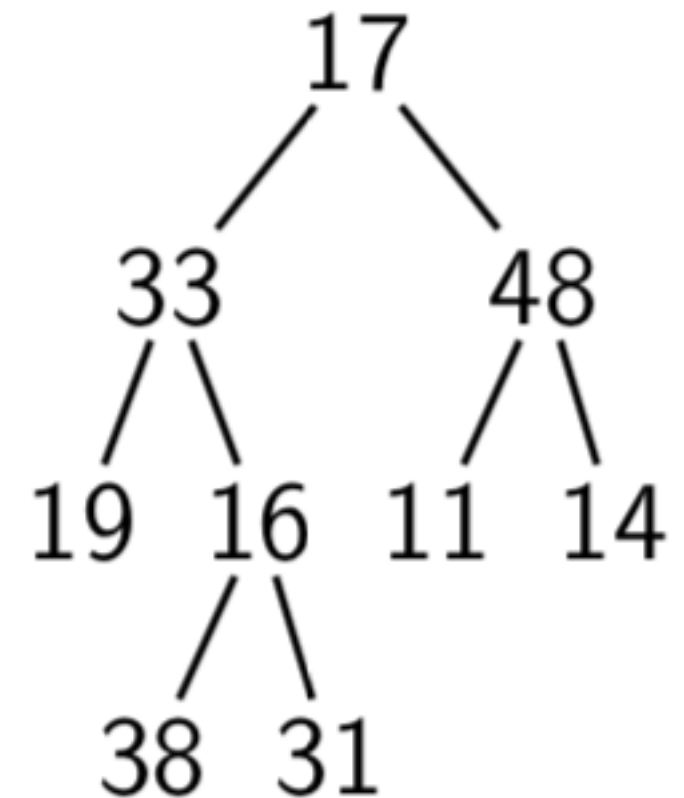
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POSTORDERTRAVERSE(11)

POSTORDERTRAVERSE(48)

POSTORDERTRAVERSE(17)

Call Stack

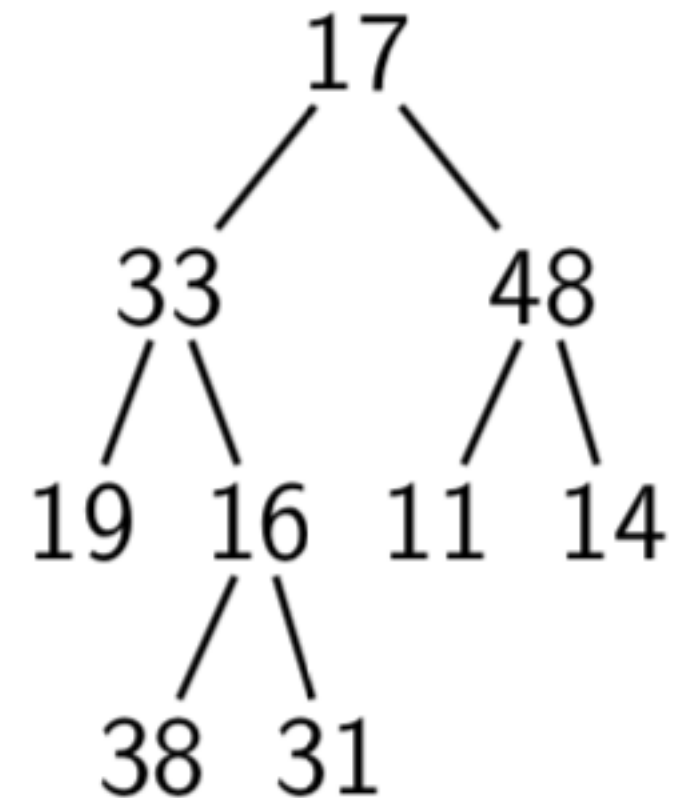
Postorder Traversal

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POSTORDERTRAVERSE(11)

POSTORDERTRAVERSE(48)

POSTORDERTRAVERSE(17)

Call Stack

(...skipping the calls to
POSTORDERTRAVERSE(null)...)

Postorder Traversal



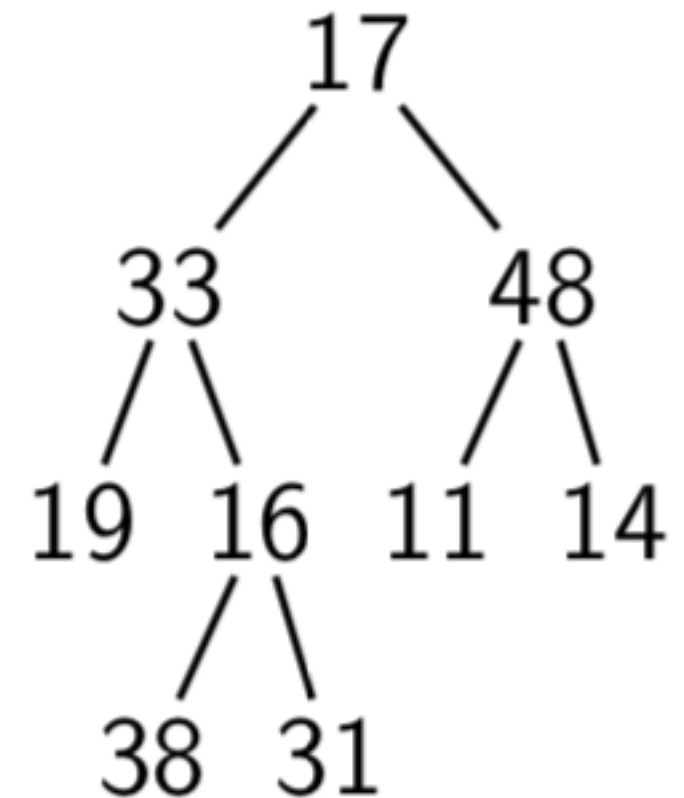
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POSTORDERTRAVERSE(11)

POSTORDERTRAVERSE(48)

POSTORDERTRAVERSE(17)

Call Stack

Postorder Traversal



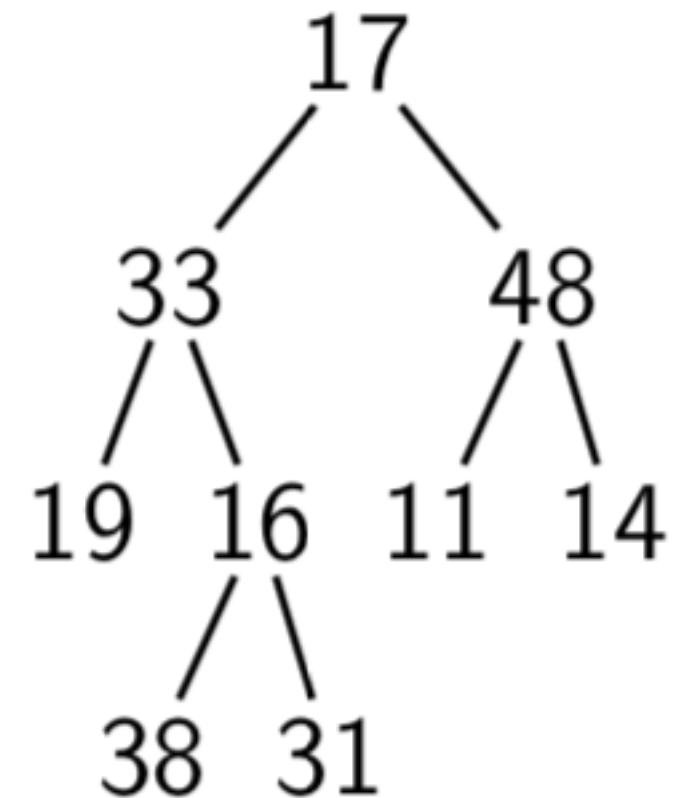
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POSTORDERTRAVERSE(48)

POSTORDERTRAVERSE(17)

Call Stack

Postorder Traversal



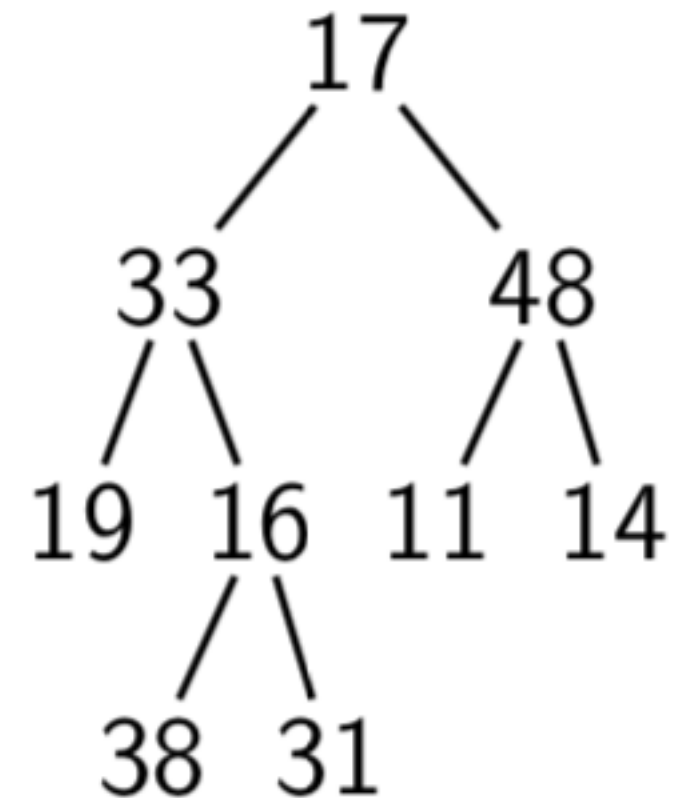
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POSTORDERTRAVERSE(14)

POSTORDERTRAVERSE(48)

POSTORDERTRAVERSE(17)

Call Stack

Postorder Traversal



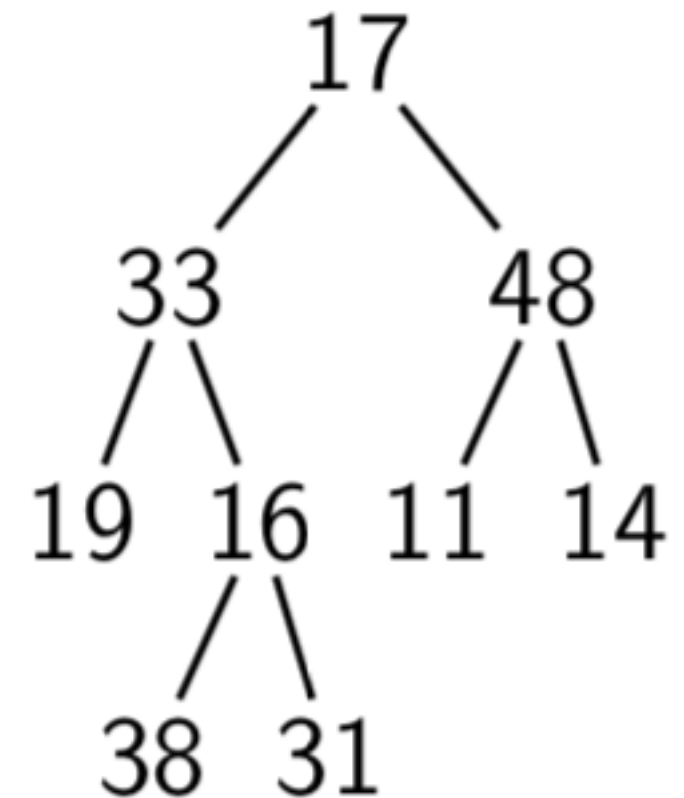
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POSTORDERTRAVERSE(14)

POSTORDERTRAVERSE(48)

POSTORDERTRAVERSE(17)

Call Stack

(...skipping the calls to
POSTORDERTRAVERSE(null)...)

Postorder Traversal



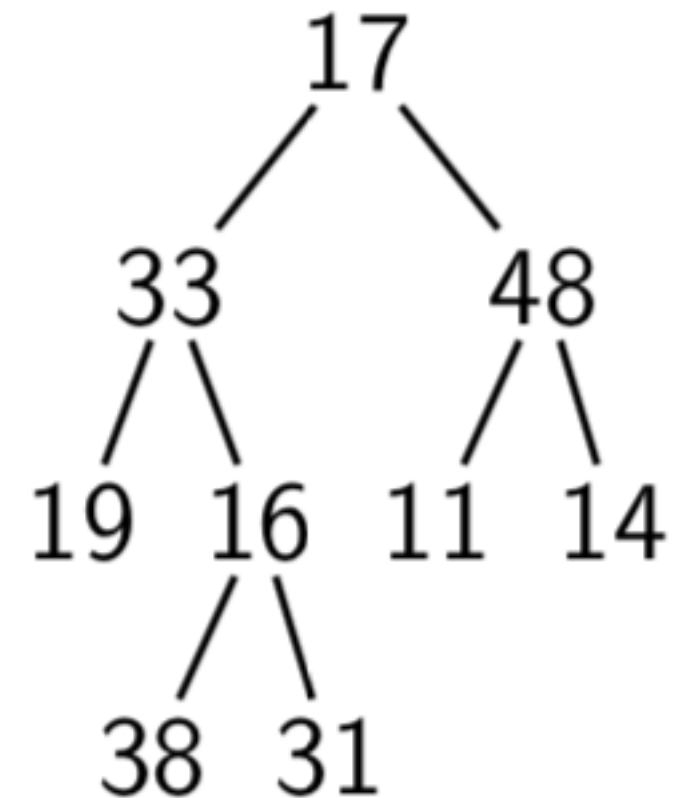
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POSTORDERTRAVERSE(14)

POSTORDERTRAVERSE(48)

POSTORDERTRAVERSE(17)

Call Stack

Postorder Traversal



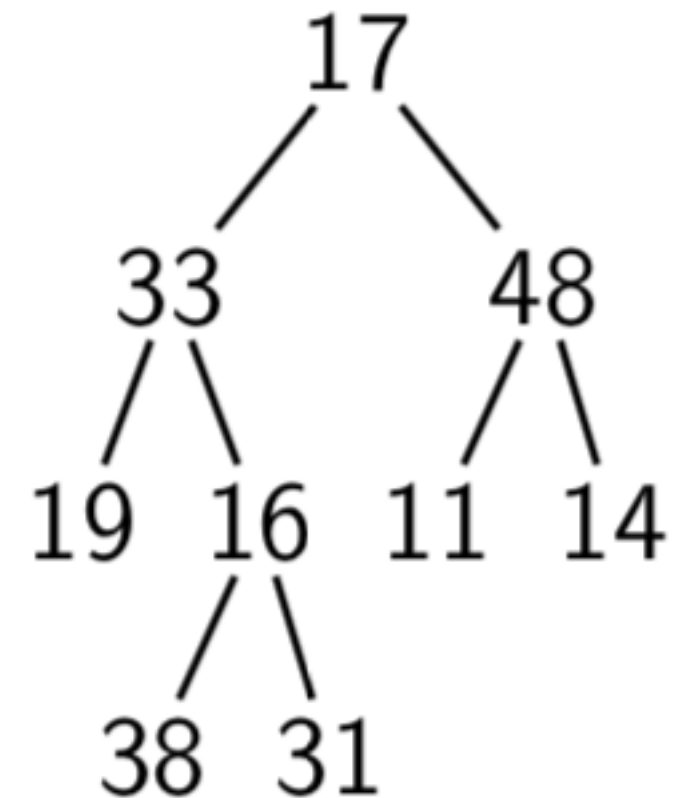
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POSTORDERTRAVERSE(48)

POSTORDERTRAVERSE(17)

Call Stack

Postorder Traversal



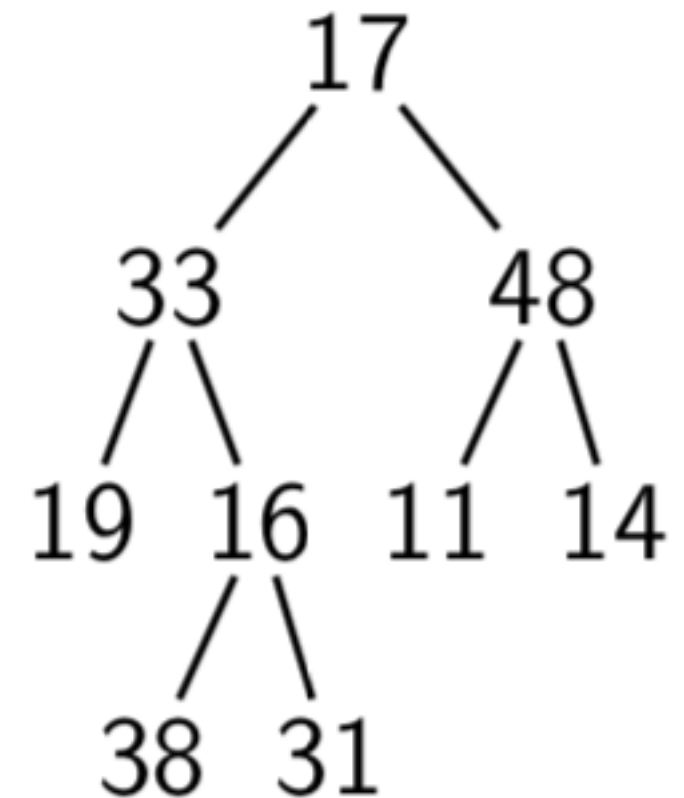
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POSTORDERTRAVERSE(48)

POSTORDERTRAVERSE(17)

Call Stack

Postorder Traversal



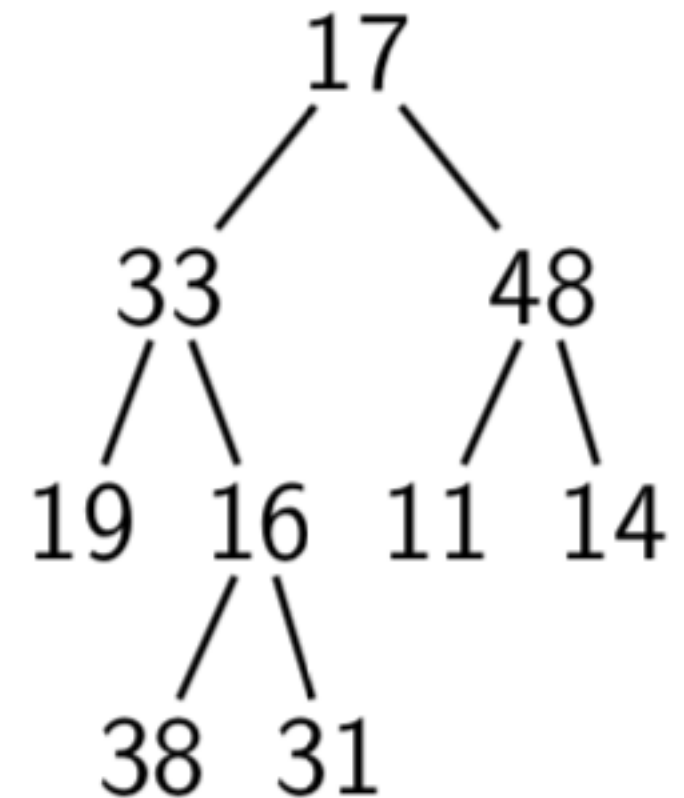
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POSTORDERTRAVERSE(17)

Call Stack

Postorder Traversal



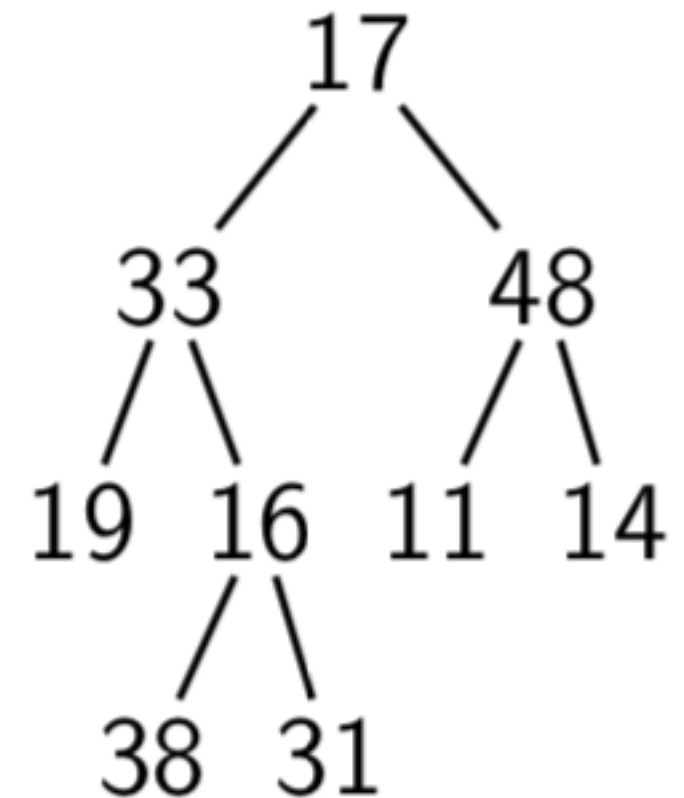
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POSTORDERTRAVERSE(17)

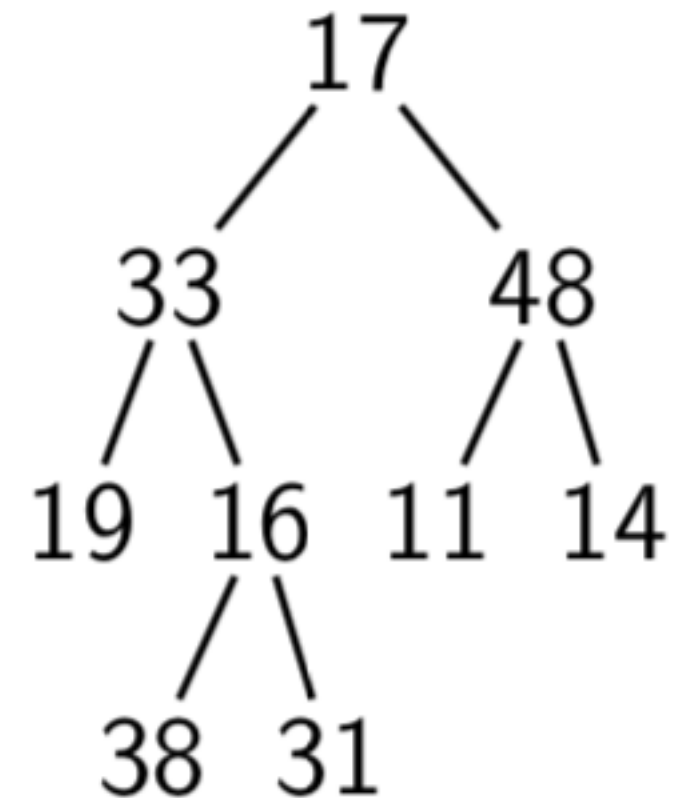
Call Stack

Postorder Traversal



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Call Stack

Preorder Traversal Using a Stack

- Explicitly maintain a stack of nodes

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- In an implementation, the elements placed onto the stack would not be whole trees, but **pointers** to the corresponding internal nodes

Level-Order Traversal Using a Queue



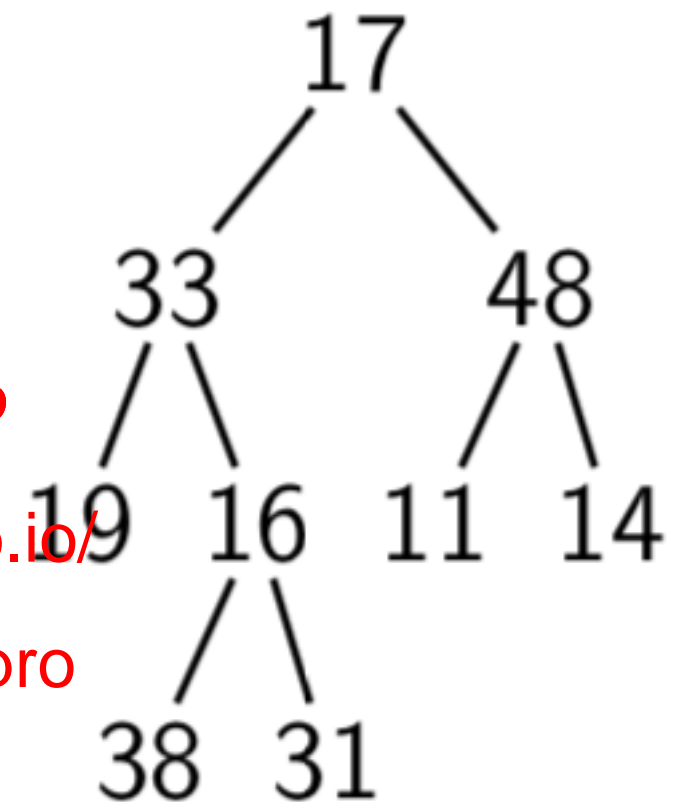
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- Replace the stack with a **queue**

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Queue:

Traversal order:

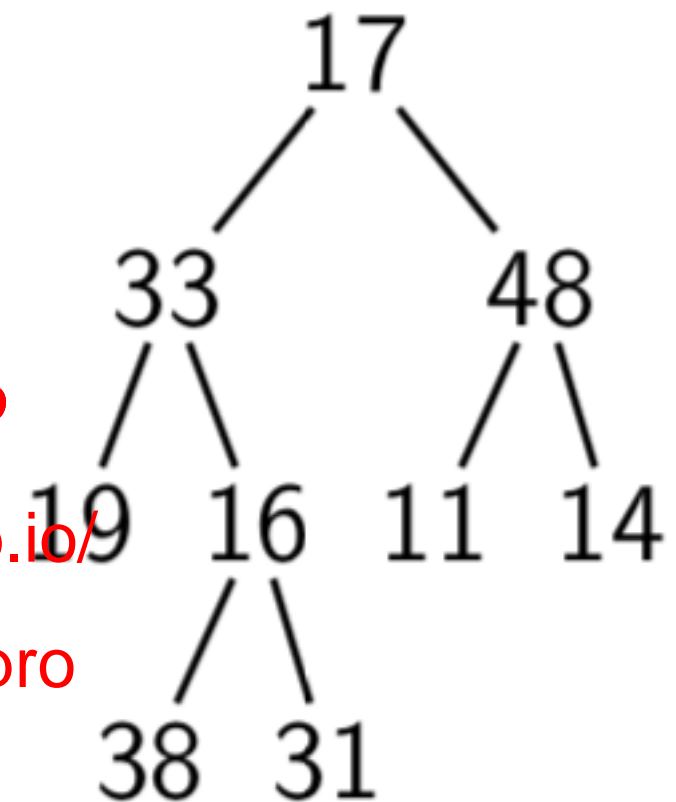
Level-Order Traversal Using a Queue

- Replace the stack with a **queue**

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Queue: 17

Traversal order:

Level-Order Traversal Using a Queue

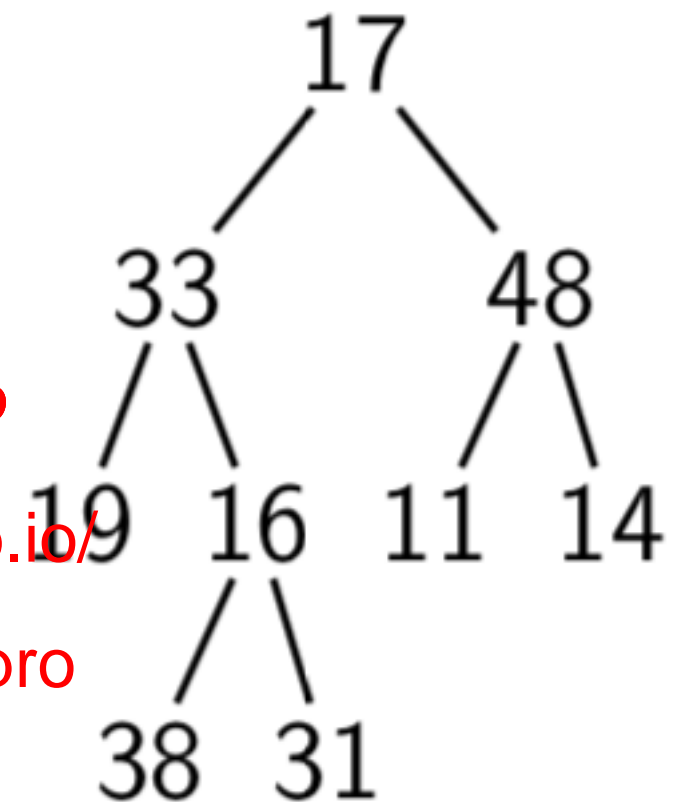


- Replace the stack with a **queue**

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Queue:

Traversal order:

Level-Order Traversal Using a Queue

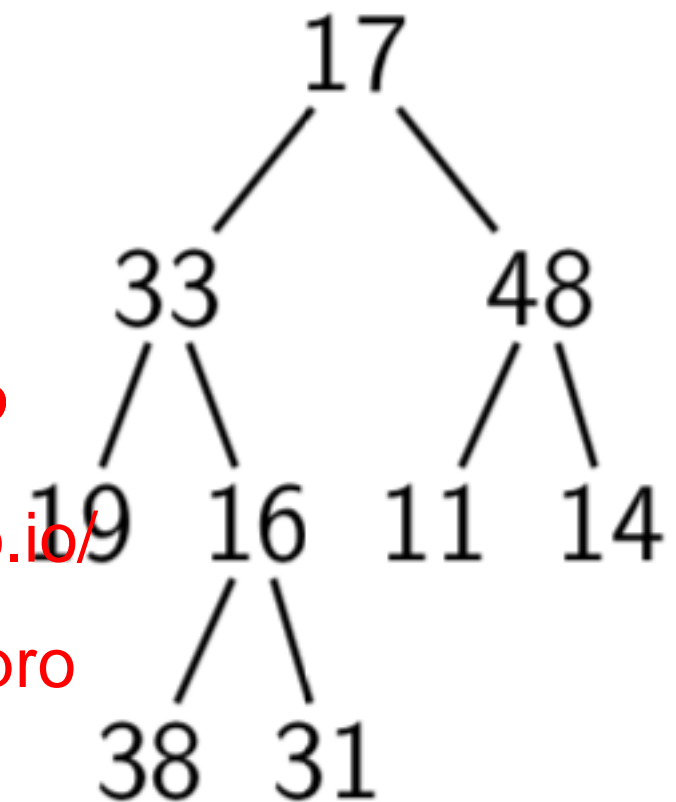


- Replace the stack with a **queue**

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Queue:

Traversal order: 17

Level-Order Traversal Using a Queue

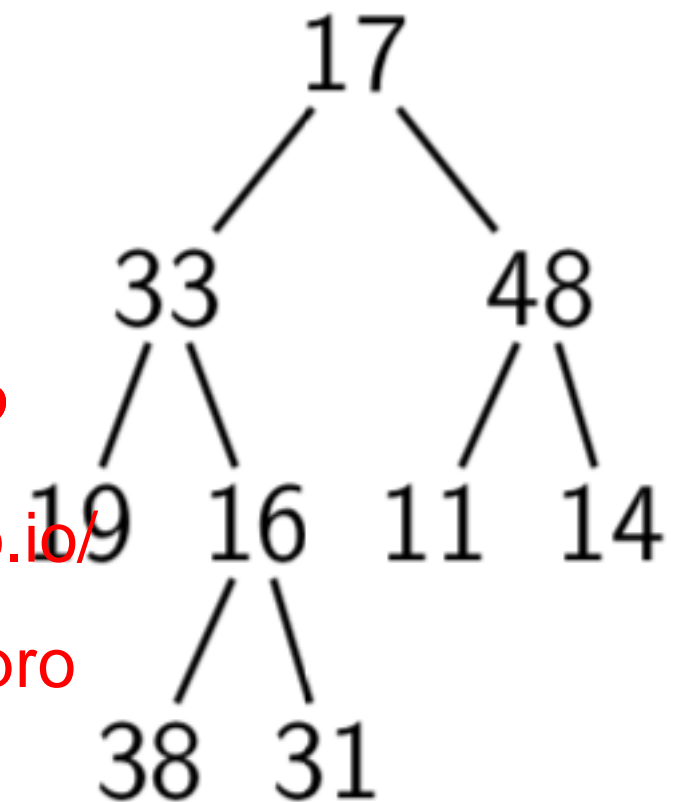


- Replace the stack with a **queue**

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Queue: 33

Traversal order: 17

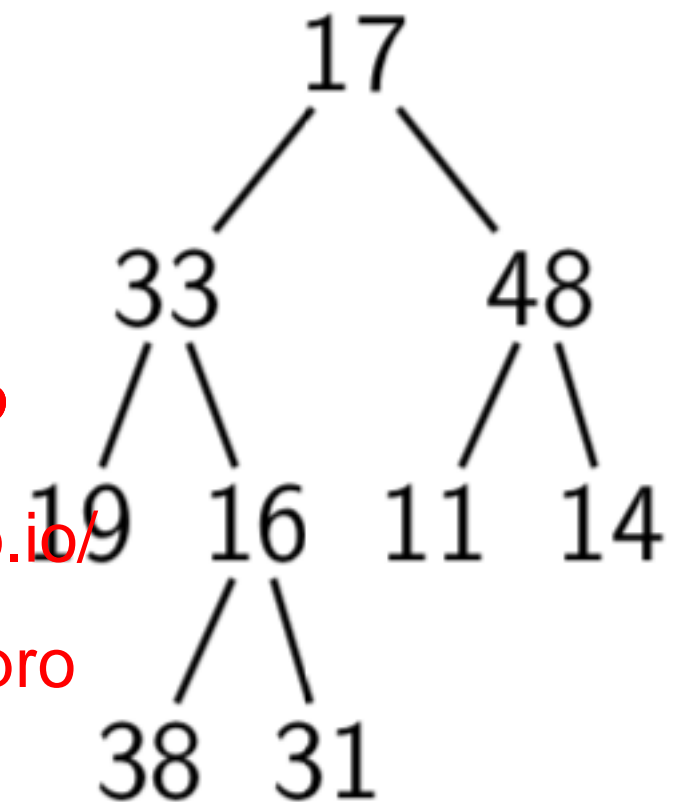
Level-Order Traversal Using a Queue

- Replace the stack with a **queue**

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Queue: 33 48

Traversal order: 17

Level-Order Traversal Using a Queue



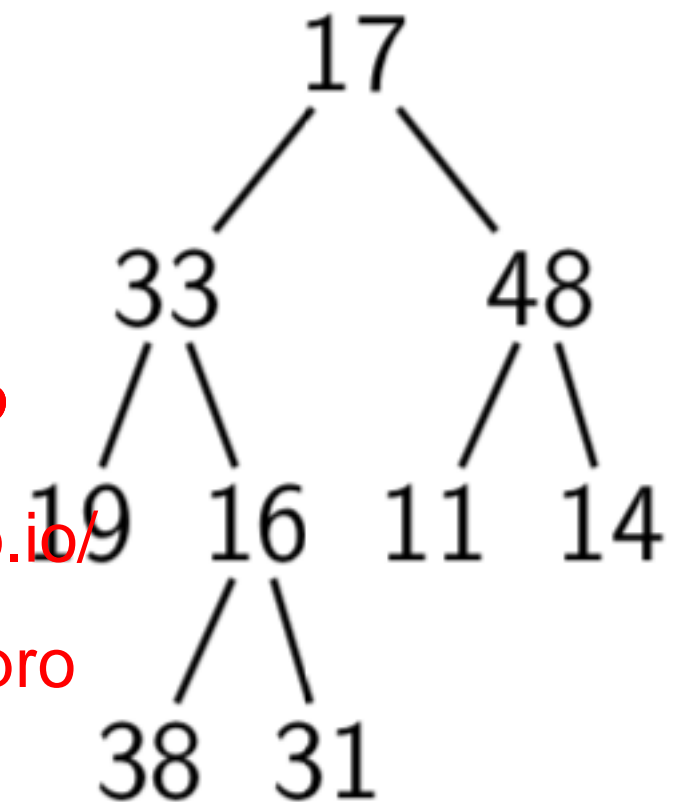
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- Replace the stack with a **queue**

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Queue: 48

Traversal order: 17

Level-Order Traversal Using a Queue

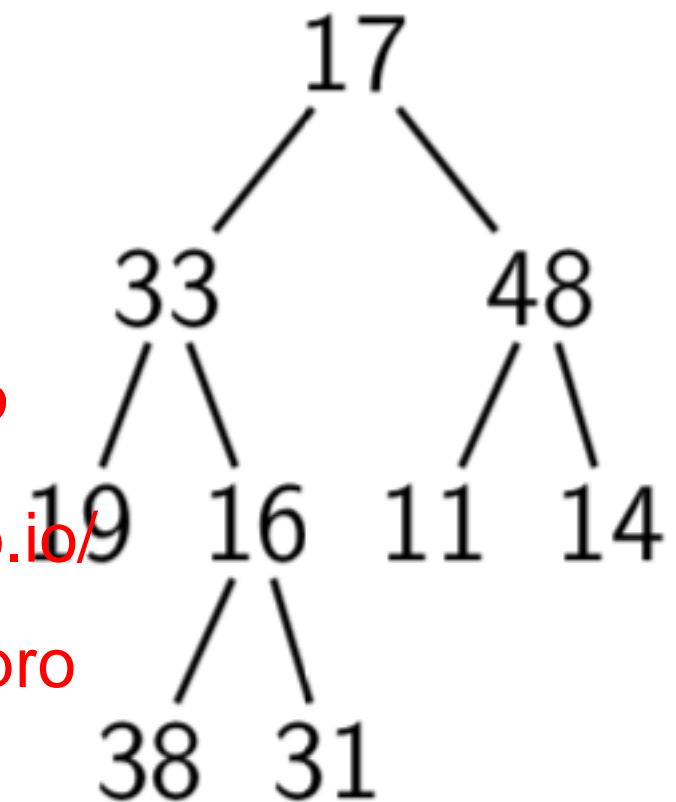


- Replace the stack with a **queue**

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Queue: 48

Traversal order: 17 33

Level-Order Traversal Using a Queue

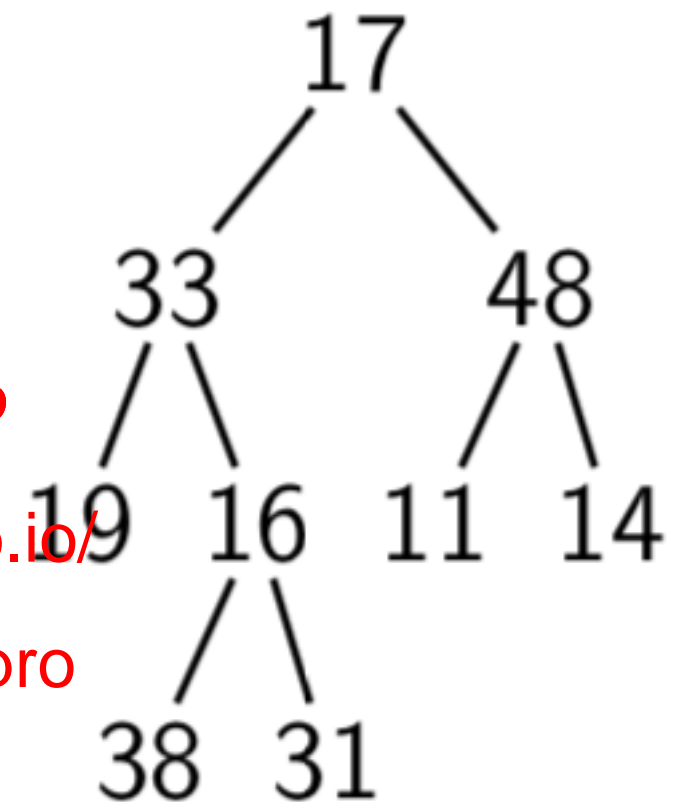


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Queue: 48 19

Traversal order: 17 33

Level-Order Traversal Using a Queue

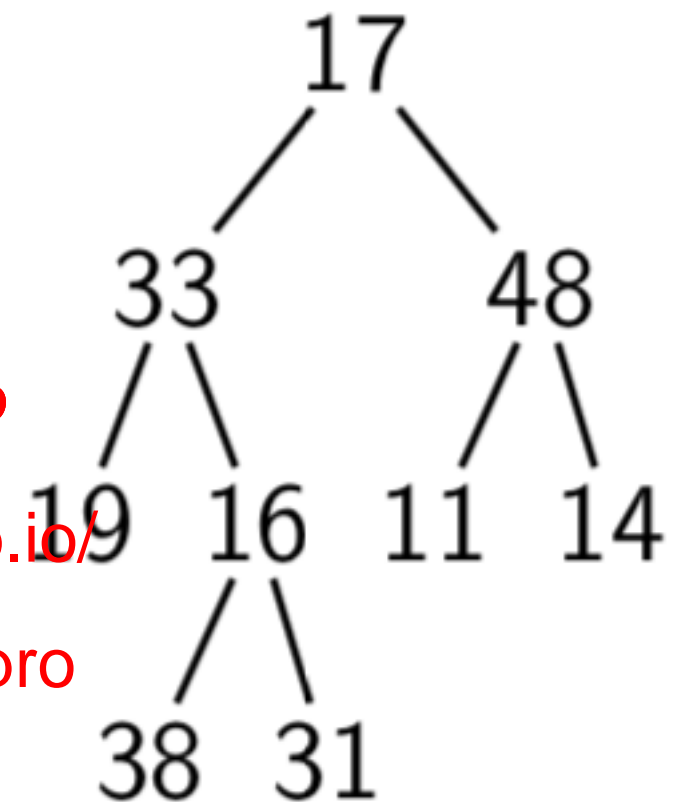


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Queue: 48 19 16

Traversal order: 17 33

Level-Order Traversal Using a Queue

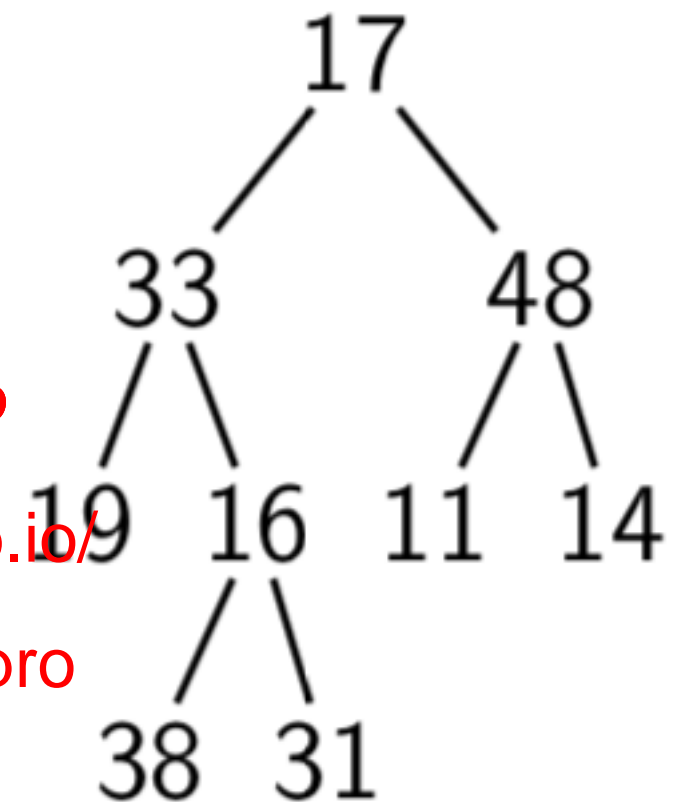


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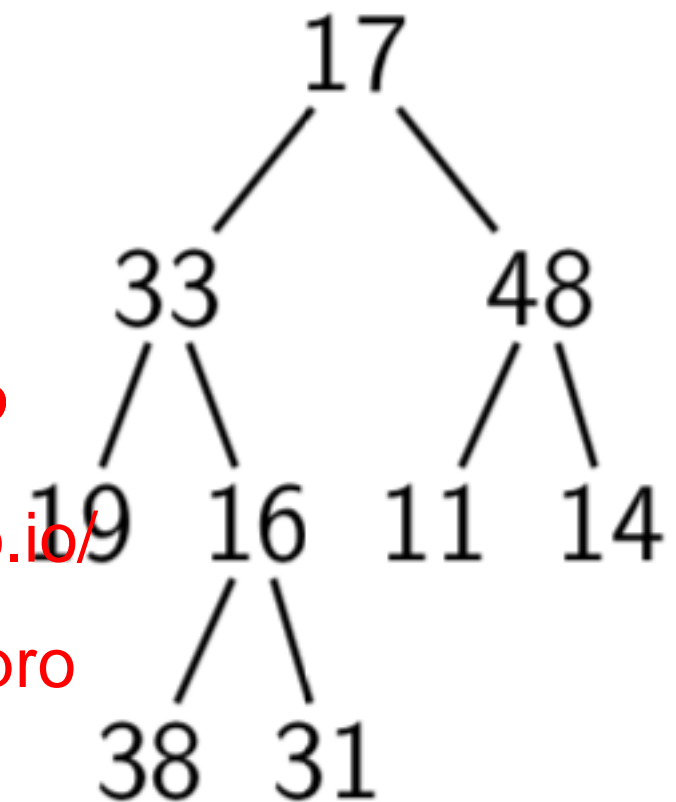


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Queue: 19 16

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Level-Order Traversal Using a Queue

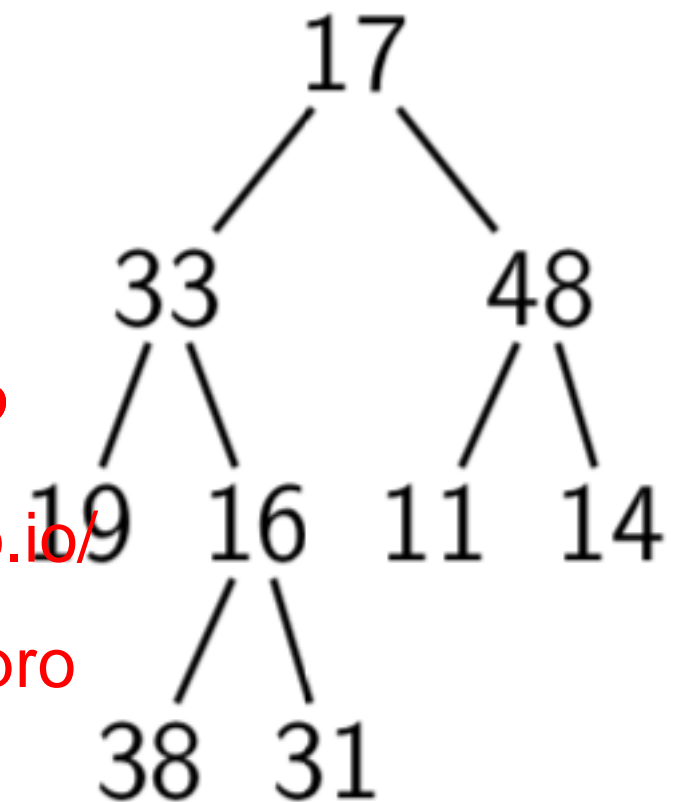


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Queue: 19 16 11

Traversal order: 17 33 48

Level-Order Traversal Using a Queue

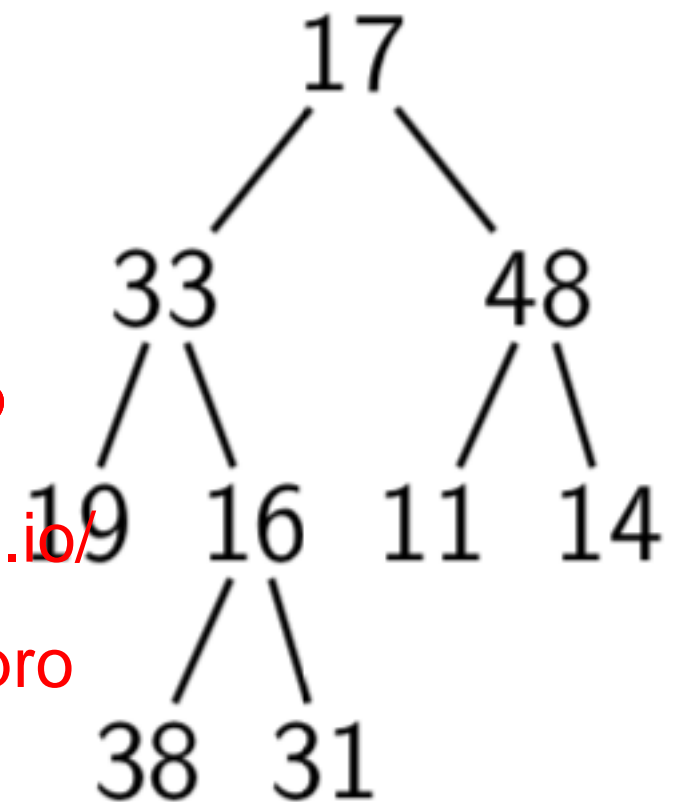


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Queue: 19 16 11 14

Traversal order: 17 33 48

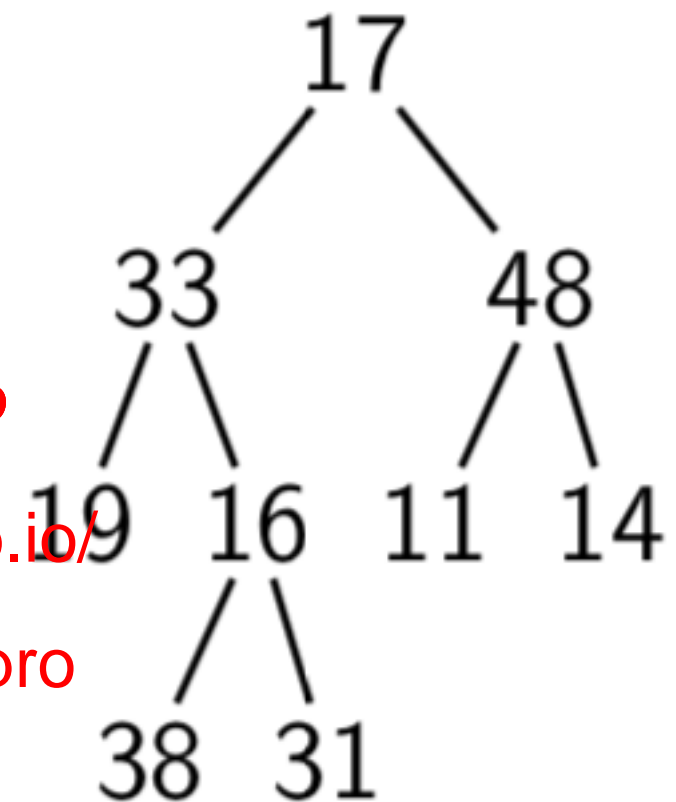
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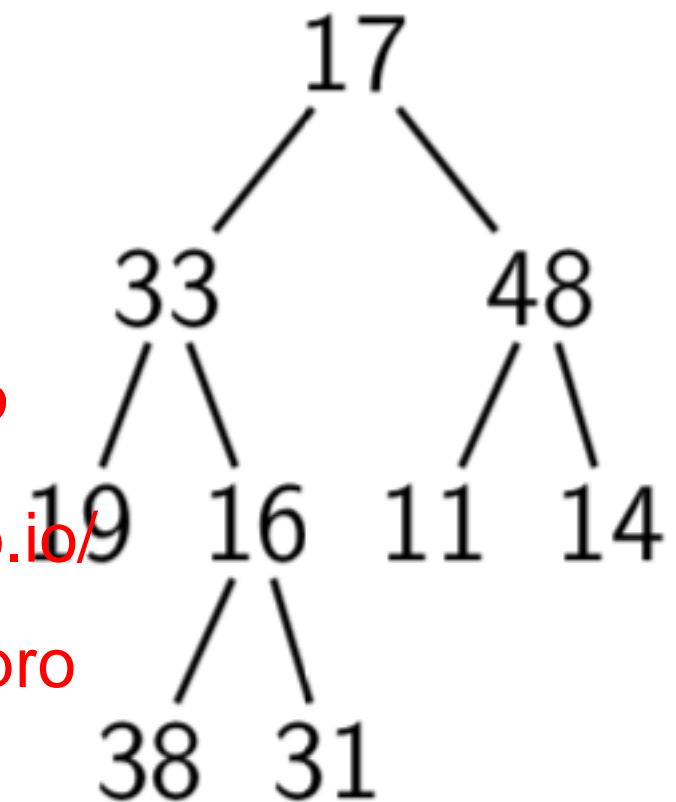


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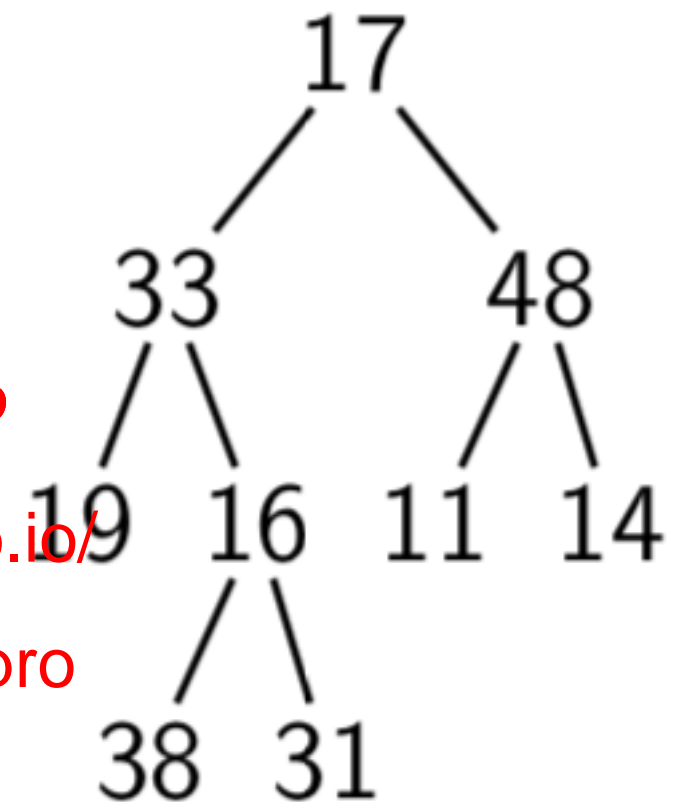
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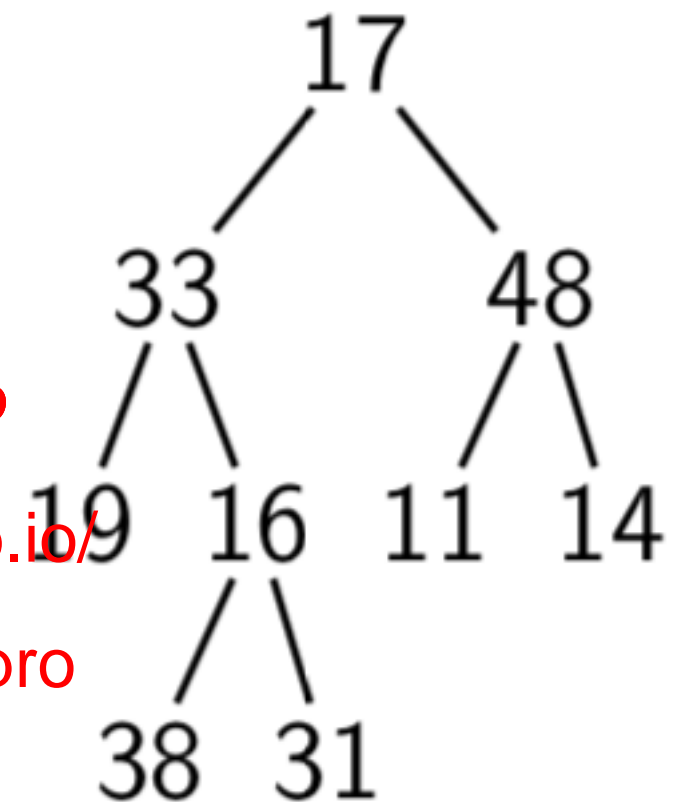
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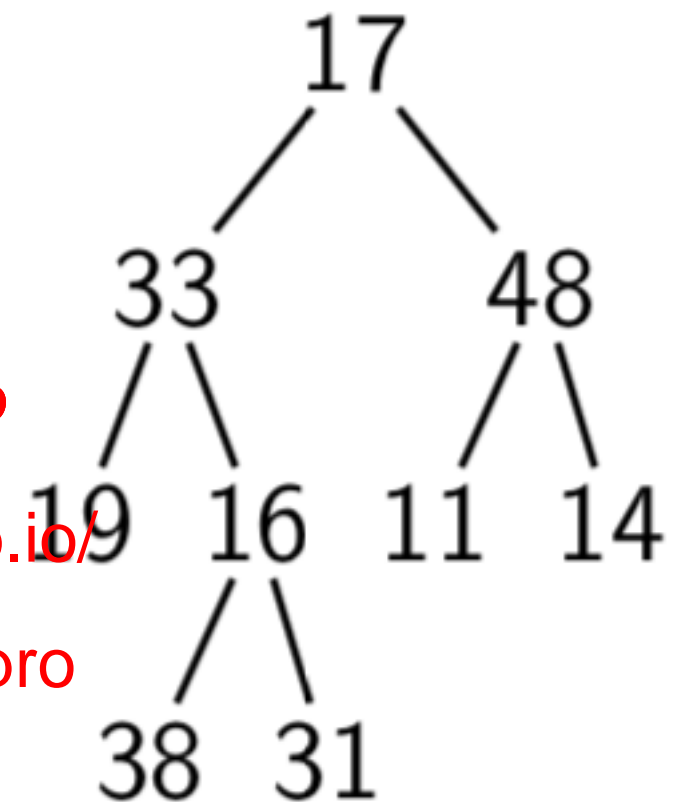


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Queue: 11 14 38

Traversal order: 17 33 48 19 16

Level-Order Traversal Using a Queue

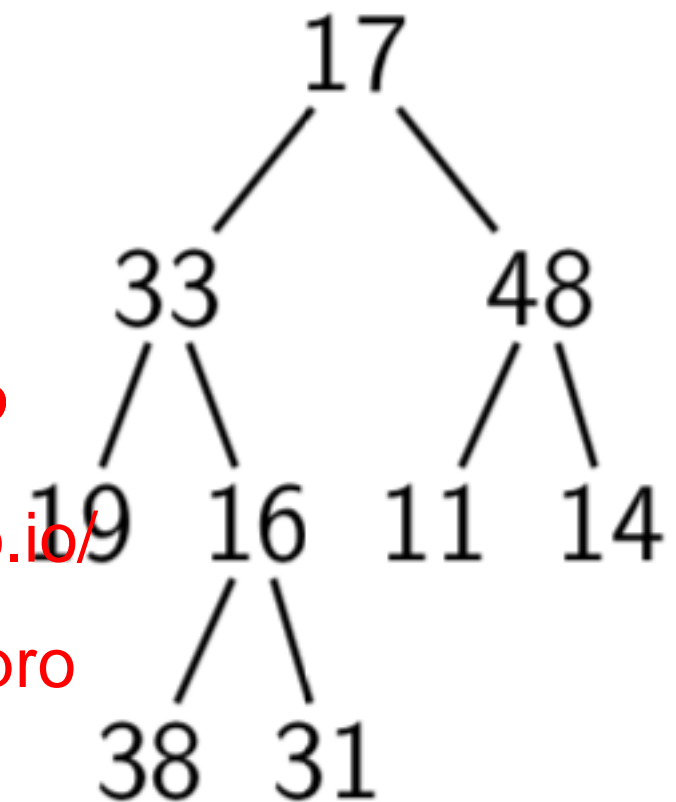


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Queue: 11 14 38 31

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Level-Order Traversal Using a Queue



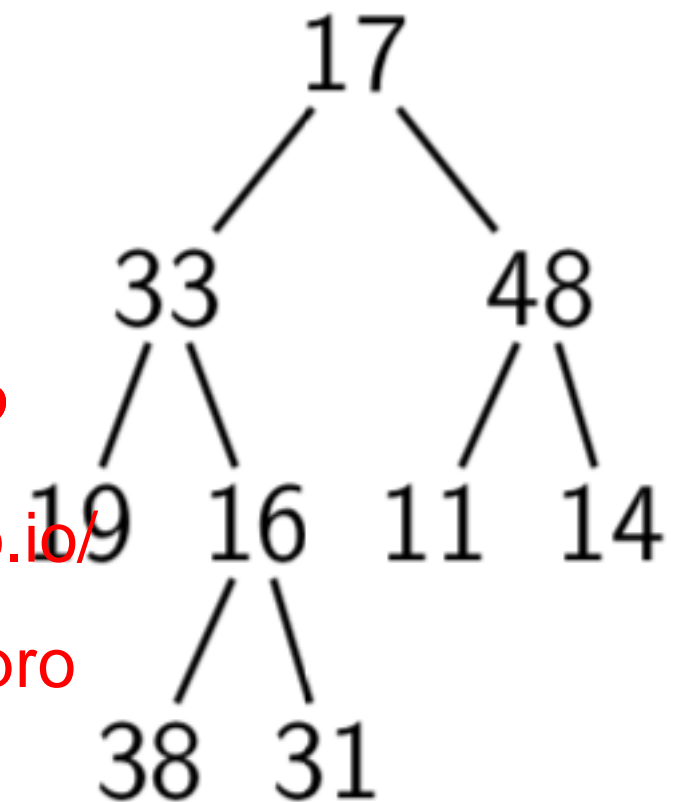
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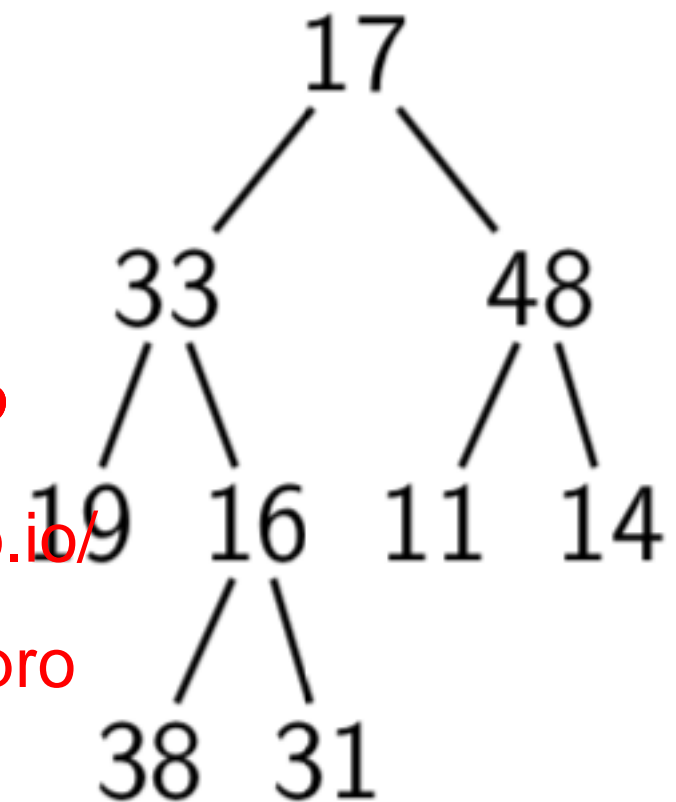


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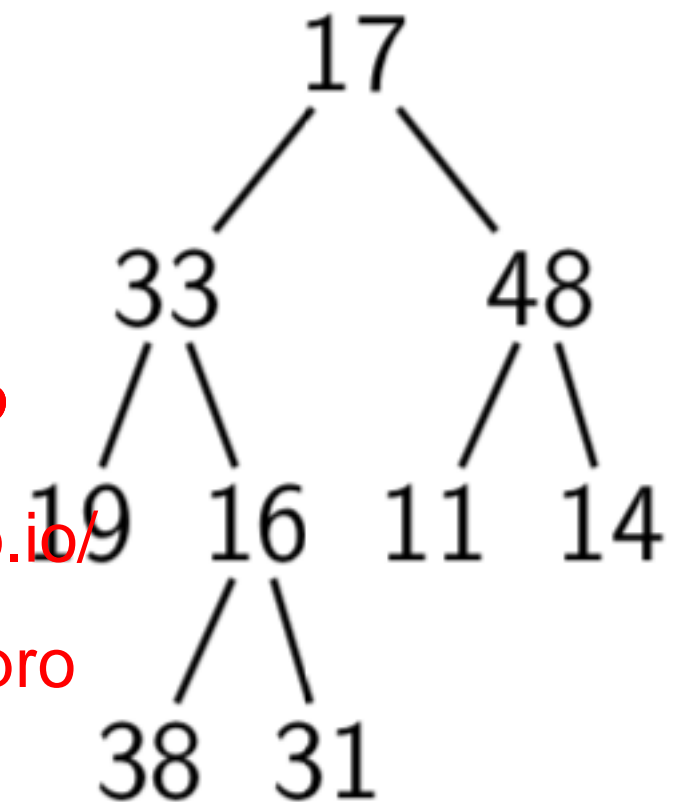


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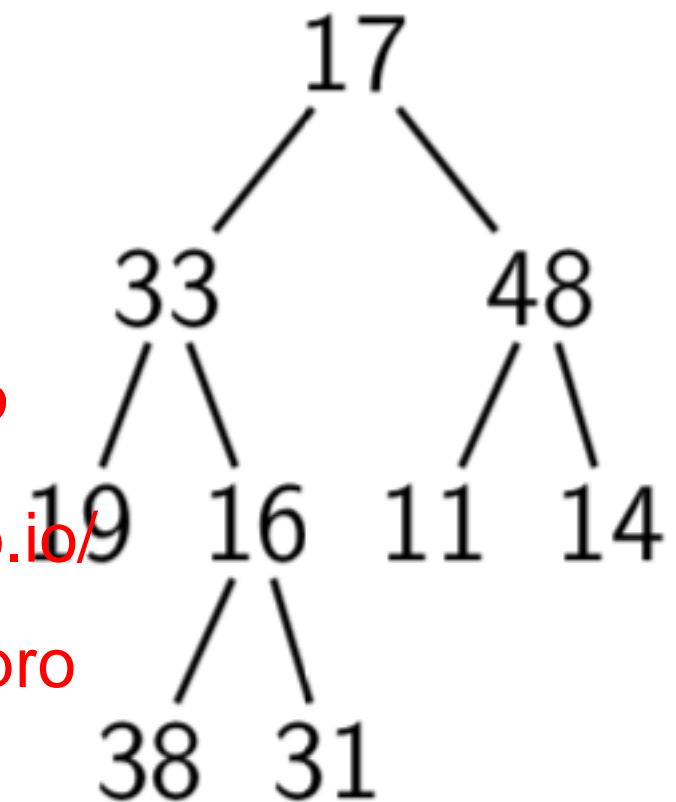


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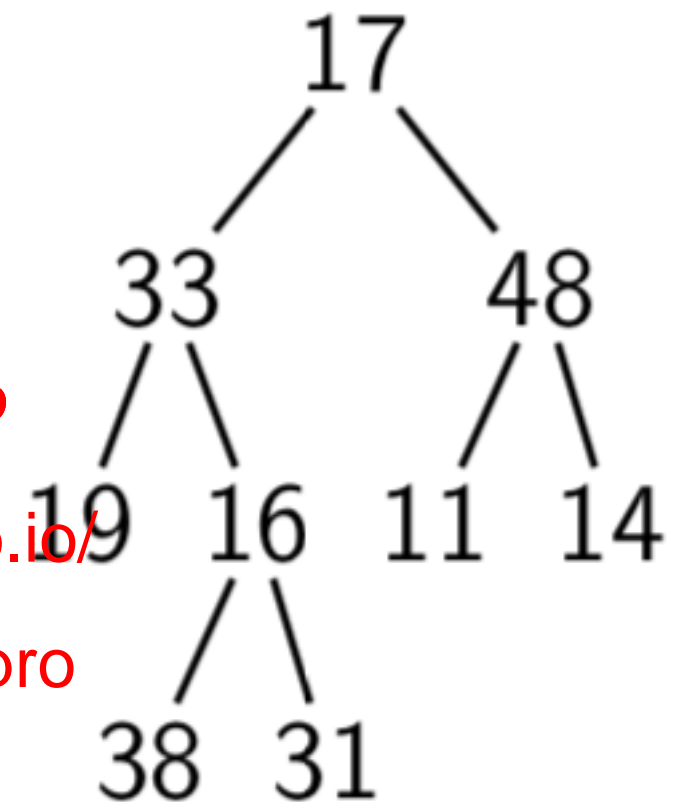


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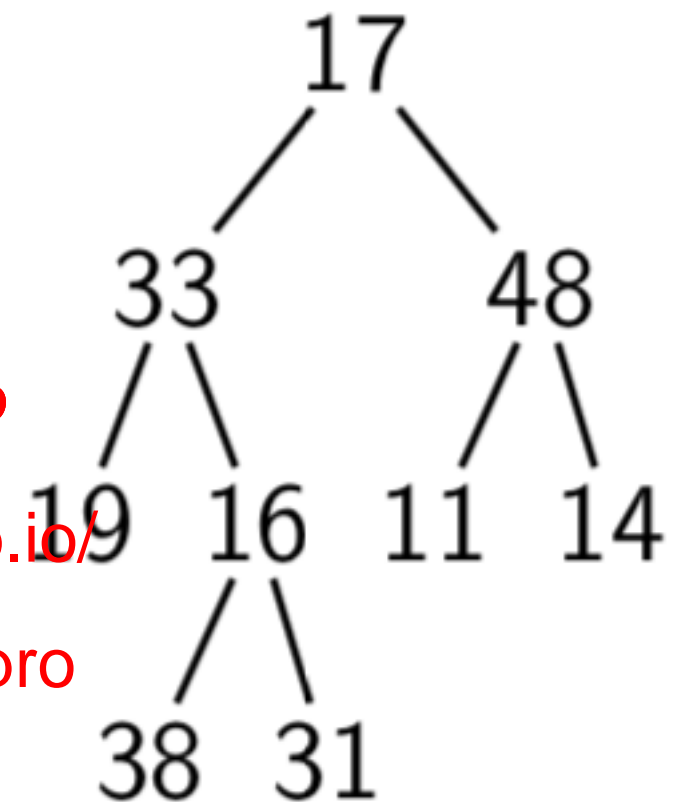


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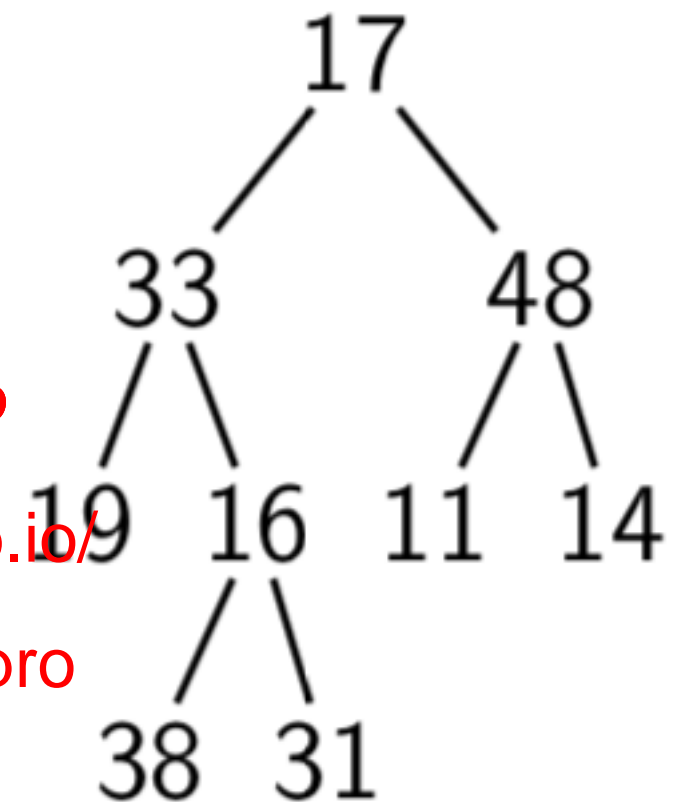


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Level-Order Traversal Using a Queue



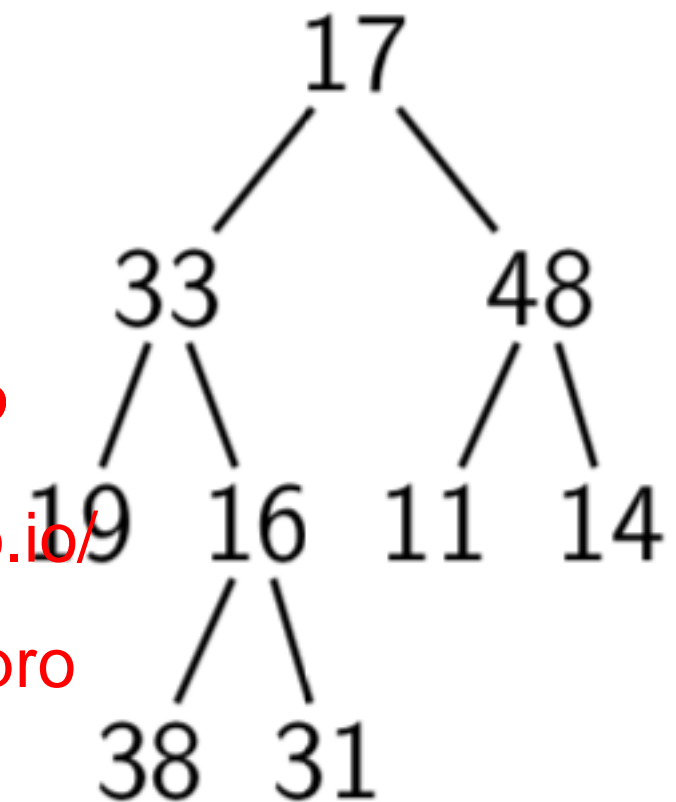
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Queue:

Traversal order: 17 33 48 19 16 11 14 38 31

Closest Pair Problem (2D) Revisited (see Lecture 5)



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Closest Pair Problem Revisited

- In Lecture 5 we gave a brute-force algorithm for the closest pair problem: Given n points in the Cartesian plane, find a pair with minimal distance.
- The brute-force method had complexity $\Theta(n^2)$. We can use divide-and-conquer, namely $\Theta(n \log n)$.
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- First, sort the points by x value and store the result in array **byX**. Also sort the points by y value and store the result in array **byY**.
- Now we can identify the x median, and recursively process the set P_L of points with lower x values, as well as the set P_R with higher x values.

Closest Pair Problem Revisited



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Closest Pair Problem Revisited



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Closest Pair Problem Revisited



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Closest Pair Problem Revisited



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Closest Pair Problem Revisited



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Closest Pair Problem Revisited



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Closest Pair Problem Revisited



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Closest Pair Problem Revisited

- The recursive calls will identify d_L , the shortest distance for pairs in P_L , and d_R , the shortest distance for pairs in P_R .
- Let m be the x median and let $d = \min(d_L, d_R)$. This d is a candidate for the smallest distance.

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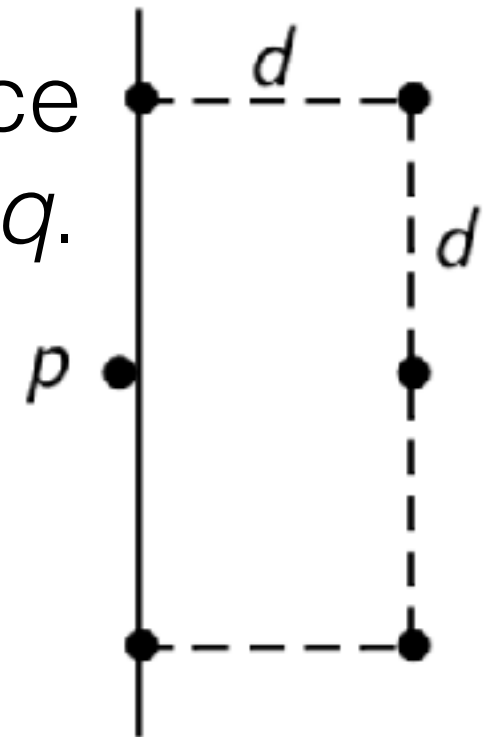
- But d may not be the global minimum. There could be some closer pair whose points are on opposite sides of the median line $x = m$.

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- For candidates that may improve on d we only need to look at those in the band $m - d \leq x \leq m + d$.
- So pick out, from array byY , each point p with x -coordinate between $m-d$ and $m+d$, and keep these in array S .
- For each point in S , consider just its “close” neighbours in S .

Closest Pair Problem Revisited

- The following calculates the smallest distance and leaves the (square of the) result in *minsq*.
- It can be shown that the while loop can execute **at most 5 times** for each i value—see diagram.



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You're Learning Heaps!



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- Next up: Priority <https://eduassistpro.github.io/> and heapsort.
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