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# Functional Dependency

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A “good” database schema should not lead to *update anomalies*.

- update anomalies,
- functional dependencies,
- Armstrong Axioms,

- closures. <https://eduassistpro.github.io/>

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# Update Anomalies

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Redundancy in a database means storing a piece of data more than once.

Redundancy is often useful for efficiency and semantic reasons, but creates the potential for consistency problems.

A poor *redundancy* <https://eduassistpro.github.io/>

Consider the example relation below (from “An Introduction to Database Systems” by Desai):

STUDENTS					
Name	Course	Phone_no	Major	Prof	Grade
Jones	353	237-4539	Comp Sci	Smith	A
Ng	329	427-7390	Chemistry	Turner	B
Jones	328	237-4539	Comp Sci	Clark	B
Martin	456	388-5183	Physics	James	A
Dulles	293	371-6259	Decision Sci	Cook	C
Duke	491			Lamb	B
Duke	356			Bond	UN
Jones	492	237-4539		Cross	UN
<del>Baxter</del>	<del>379</del>	<del>839-0827</del>		<del>Broes</del>	<del>C</del>

*Modification anomalies:* e.g. Jones's phone number appears 3 times. When a phone number is changed, it must be changed in all 3 places, or the data will be inconsistent.

# Update Anomalies

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*Insertion anomalies:*

- If Jones enrolls in another course, and a different phone number is entered, again the data will be inconsistent.

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- Also, if the association between course and professor is stored in this relation, we lose the association when someone enrolls in the course.

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*Deletion anomalies:* If the last student in a course is deleted, the association between professor and course is lost.

# Functional dependencies

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A function  $f$  from  $S_1$  to  $S_2$  has the property

*if  $x, y \in S_1$  and  $x = y$ , then  $f(x) = f(y)$ .*

A generalization of keys to avoid design flaws violating the above rule.

Let  $X$  and  $Y$  be sets of

$X$  (*functionally*) determines  $Y$   $X \rightarrow Y$ , iff  $t_1[X] = t_2[X] \implies t_1[Y] = t_2[Y]$ .

i.e.,  $f(t(X)) = t[Y]$

We also say  $X \rightarrow Y$  is a *functional* dependency, and that  $Y$  is *functionally* dependent on  $X$ .

$X$  is called the *left side*,  $Y$  the *right side* of the dependency.

# Examples

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- For every Name, there is a unique Phone\_no and Major, assume Name is unique;
- For every Course, there is a unique Prof;
- For every Name and Course, there is a unique Grade.

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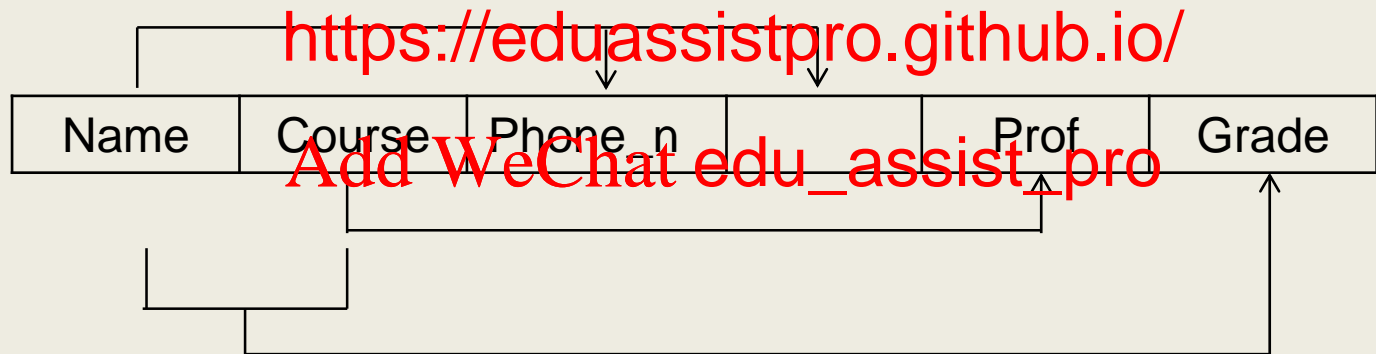
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In this example:

$$\{Name\} \rightarrow \{Phone\_no, Major\}$$
$$\{Course\} \rightarrow \{Prof\}$$
$$\{Name, Course\} \rightarrow \{Grade\}$$

We can also show these in a diagram like this one:



Notice that other FD's follow from these:

$$\{Name\} \rightarrow \{Major\}$$
$$\{Course, Grade\} \rightarrow \{Prof, Grade\}$$



# Functional dependencies

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Let  $F$  be a set of FD's.

**Definition 1:**  $X \rightarrow Y$  is inferred from  $F$  (or that  $F$  infers  $X \rightarrow Y$ ), written in

$F \models X \rightarrow Y$   
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if any relation inst

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Impossible to list every relation to ver

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inferred from  $F$ .

A set  $\rho$  of derivation rules are required, such that:

a  $X \rightarrow Y$  is inferred from  $F$  according to Definition 1 iff it can be derived using  $\rho$ .

# Armstrong's axioms (1974)

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*Notation:* If  $X$  and  $Y$  are sets of attributes, we write  $XY$  for their union.

e.g.  $X = \{A, B\}$ ,  $Y = \{B, C\}$ ,  $XY = \{A, B, C\}$

F1 (Reflexivity) ~~Assignment Project Exam Help~~

F2 (Augmentation) <https://eduassistpro.github.io/>

F3 (Transitivity) ~~Add WeChat~~ [edu\\_assist\\_pro](#)

F4 (Additivity)  $\{X \rightarrow Y, X \rightarrow Z\} \models X \rightarrow YZ$ .

F5 (Projectivity)  $\{X \rightarrow YZ\} \models X \rightarrow Y$ .

F6 (Pseudotransitivity)  $\{X \rightarrow Y, YZ \rightarrow W\} \models XZ \rightarrow W$ .

*Example:* Given  $F = \{A \rightarrow B, A \rightarrow C, BC \rightarrow D\}$ , derive  $A \rightarrow D$ :

1.  $A \rightarrow B$  (given)

2.  $A \rightarrow C$  (given)

3.  $A \rightarrow BC$  (by F4, from 1 and 2)

4.  $B$  <https://eduassistpro.github.io/>

5.  $A \rightarrow D$  (by F3, from 3 and 4)

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F6 (Pseudotransitivity)  $\{X \rightarrow Y, YZ \rightarrow W\} \models XZ \rightarrow W$ .

In fact, F4, F5, and F6 can be derived from F1-F3.

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*Example:* Prove  $\{X$

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1)  $X \rightarrow Y$  is given.

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2)  $XX \rightarrow XY$  (by F2); that is,  $X \rightarrow XY$

3)  $X \rightarrow Z$  is given.

4)  $XY \rightarrow YZ$  (by F2)

5)  $X \rightarrow YZ$  (by F3, 2) and 4))

# Armstrong's axioms

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We can prove that Armstrong's axioms are sound and complete:

Sound: if  $F$  derives  $A \rightarrow B$  by using Armstrong's axioms, then  $F \models A \rightarrow B$  by Definition 1.

Complete: if  $F \models M \rightarrow N$  by using Armstrong's

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# Algorithm to Check a FD

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Given  $F$ , how do we check if  $X \rightarrow Y$  is in  $F^+$ ?

$F^+$  denotes the smallest set of FD's that

- contains  $F$ ,
  - is *closed* under
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$F^+$  is the *closure* of  $F$ .

$$F = \{ A \rightarrow B, B \rightarrow C, A \rightarrow C \}$$

$$F^+ = \{ AB \rightarrow A, AB \rightarrow B, AB \rightarrow C, AC \rightarrow A, AC \rightarrow B, AC \rightarrow C, ABC \rightarrow A, ABC \rightarrow B, ABC \rightarrow C, AB \rightarrow AB, AB \rightarrow BC, AB \rightarrow AC, \dots \}$$

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$F^+$  always has an  $|F|$ .

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Too expensive to compute  $F^+$  to verify a membership.

Instead we can compute the *closure*  $X^+$  of  $X$  under  $F$ ,  $X^+$  is the largest set of attributes functionally determined by  $X$ .

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It can be proven (using

S1:



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S2:  $X \rightarrow Y \in F^+$  iff (if and only if)  $Y \subseteq X^+$ .



*Example:*

$F = \{ A \rightarrow B, BC \rightarrow D, A \rightarrow C \}$ , compute  $\{A\}^+$

1<sup>st</sup> scan of F:

$X^+ := \{A\}$

$X^+ := \{A, B\}$

$X^+ := \{A, B, C\}$

2<sup>nd</sup> scan of F:

$X^+ := \{A, B, C\}$ ,

3<sup>rd</sup> scan of F: no change, therefore t

$\{A\}^+ := \{A, B, C, D\}$

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terminates.

# Algorithm to compute $X^+$

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$X^+ := X;$

change := true;

while change do

begin

change := false;

for each F

b

if  $(W \subseteq X^+) \text{ and } (Z \not\subseteq$

begin

$X^+ := X^+ \cup Z;$

change := true;

end

end

end

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# Algorithm to Compute a Candidate Key

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Given a relational schema  $R$  and a set  $F$  of functional dependencies on  $R$ .

A key  $X$  of  $R$  must have the property that  $X^+ = R$ .

**Assignment Project Exam Help**  
Algorithm to compute a candidate key

Step 1: Assign <https://eduassistpro.github.io/>

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Step 2: Iteratively remove attributes retaining the property  $X^+ =$

$R$  till no reduction on  $X$ .

The remaining  $X$  is a key.

*Example:*

$R = \{A, B, C, D\}$  and  $F = \{A \rightarrow B, BC \rightarrow D, A \rightarrow C\}$

$X = \{A, B, C\}$  if the left hand side of  $F$  is a super key.

A cannot be removed because  $\{BC\}^+ = \{B, C, D\} \neq R$

B can be removed  $\{AC\}^+ = \{A, B, C, D\} = R$

→  $X = \{A, C\}$

C can be further removed because  $\{A\}^+ = \{A, B, C, D\}$

→  $X = \{A\}$