

COMP9319 Web Data Compression and Search

Regular expressions and basic indexed search

Including some slides modified from comp3402 at cs.ucf.edu, haimk, Tel-Aviv U. and the slides from Andrew Davison.

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Regular Expressions

- Notation to specify a language
 - Declarative
 - Sort of like a programming language.
 - Fundamental in some languages like Perl and applications like grep or lex
 - Capable of describing the same thing as an NFA
 - The two are actually equivalent, so RE = NFA = DFA
 - We can define an algebra for regular expressions

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Definition of a Regular Expression

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- R is a regular expression if it is:
 - a for some a in the alphabet Σ , standing for the language $\{a\}$
 - ϵ , standing for the language
 - \emptyset , standing for the empty language
 - $R_1 + R_2$ where R_1 and R_2 are regular expressions and this signifies union (sometimes written $R_1 \cup R_2$)
 - $R_1 R_2$ where R_1 and R_2 are regular expressions and this signifies concatenation
 - R^* where R is a regular expression and signifies closure
 - (R) where R is a regular expression, then a parenthesized R is also a regular expression

This definition may seem circular, but 1-3 form the basis
Precedence: Parentheses have the highest precedence,
followed by *, concatenation, and then union.

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Using Regular Expressions

- Regular expressions are a standard language.

Perl, Unix, Python, ...

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RE Examples

- $L(001) = \{001\}$
- $L(0+10^*) = \{0, 1, 10, 100, 1000, 10000, \dots\}$
- $L(0^*10^*) = \{1, 01, 10, 010, 0010, \dots\}$ i.e. $\{w \mid w \text{ has exactly a single } 1\}$
- $L(\Sigma\Sigma)^* = \{w \mid w \text{ is a string of even length}\}$
- $L((0(0+1))^*) = \{\epsilon, 00, 01, 0000, 0001, 0100, 0101, \dots\}$
- $L((0+\epsilon)(1+\epsilon)) = \{\epsilon, 0, 1, 01\}$
- $L(1\emptyset) = \emptyset$; concatenating the empty set to any set yields the empty set.
- $R\epsilon = R$
- $R+\emptyset = R$
- Note that $R+\epsilon$ may or may not equal R (we are adding ϵ to the language)
- Note that $R\emptyset$ will only equal R if R itself is the empty set.

Exercise 1

- Let Σ be a finite set of symbols
- $\Sigma = \{10, 11\}$, $\Sigma^* = ?$

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Answer

Answer: $\Sigma^* = \{\epsilon, 10, 11, 1010, 1011, 1110, 1111, \dots\}$

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Exercise 2

- $L1 = \{10, 1\}, L2 = \{011, 11\}, L1L2 = ?$

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Answer

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- $L1L2 = \{10011, 1011, 111\}$

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Exercise 3

- Write RE for

and 1's
and 1's with at least 2
– All strings of 0's and 1's beginning with 1 and
consecutive 0's

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Answer

- $(0|1)^*$
All strings of 0's and 1's.
- $(0|1)^*00(0|1)^*$
All strings of 0's and 1's with at least 2 consecutive 0's
- $(1+10)^*$
All strings of 0's and 1's beginning with 1 and not having two consecutive 0's

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More Exercises

- 1) $(0|1)^*011$
- 2) $0^*1^*2^*$
- 3) $00^*11^*22^*$

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More Exercises (Answers)

1) $(0|1)^*011$

Answer: all strings of 0's and 1's ending in 011

2) $0^*1^*2^*$

- Answer: any number of 0's followed by any number of 1's followed by any number of 2's

• 3) $00^*11^*22^*$

Answer: strings in $0^*1^*2^*$ with at least one of each symbol

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Deterministic Finite Automata (DFA)

- Simple machine with N states.
- Begin in start state.
- Read first input symbol.
- Move to new state, depending on current state and input symbol.
- Repeat until last input symbol read.
- Accept or reject string depending on label of last state.

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DFA Assignment Project Exam Help

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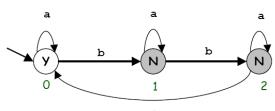
Theory of DFAs and REs

- RE. Concise way to describe a set of strings that recognize whether a given set
- **Duality:** for any DFA, there exists a regular expression that describes the same set. A regular expression that recognizes the same set.

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Duality Example

- DFA for multiple of 3 b's:



- RE for multiple of 3 b's:

$(a^*ba^*ba^*ba^*)^* a^*$

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Fundamental Questions

- Which languages CANNOT be described by any RE?
- Set of all bit strings with equal number of 0s and 1s.
- Set of all decimal strings that represent prime numbers.
- Many more. . .

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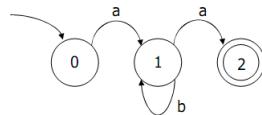
Problem 1

- Make a DFA that accepts the strings in the language denoted by regular expression ab^*a

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Solution

- ab^*a :

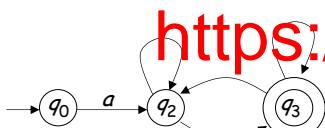


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Problem 2

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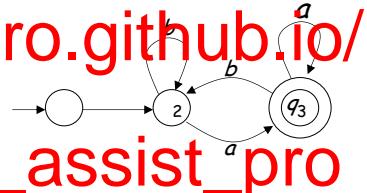
- Write the RE for the following automata:



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Solution

- $a(a|b)^*a$



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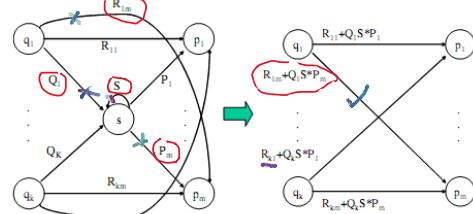
DFA to RE: State Elimination

- Eliminates states of the automaton and replaces the edges with regular expressions that includes the behavior of the eliminated states.
- Eventually we get down to the situation with just a start and final node, and this is easy to express as a RE

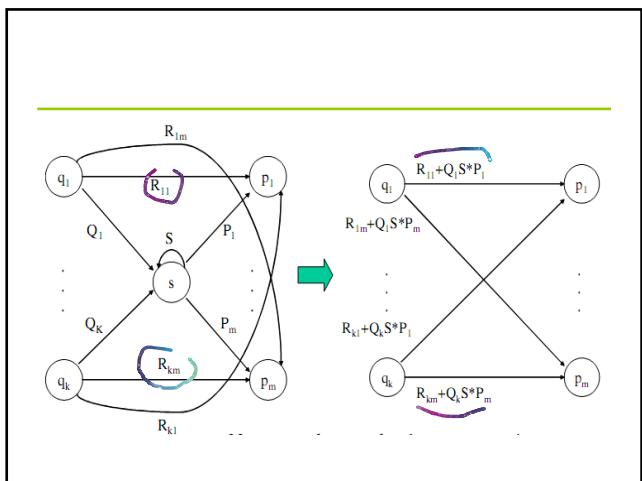
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State Elimination

- Consider the figure below, which shows a generic state s about to be eliminated.
- The labels on all edges are regular expressions.
- To remove s , we must make labels from each q_i to p_j up to p_m that include the paths we could have made through s .



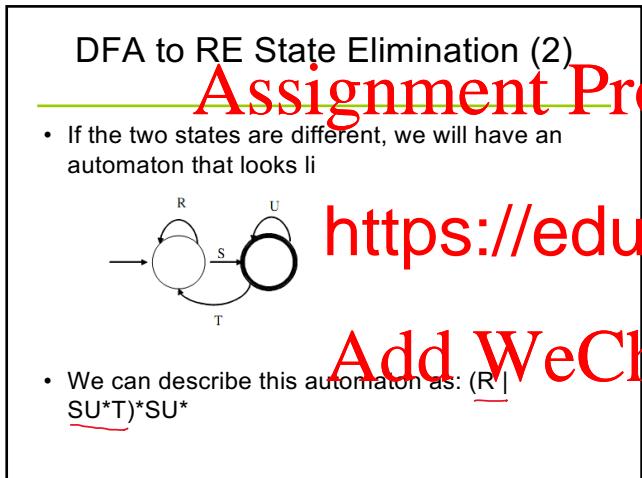
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- ### DFA to RE via State Elimination (1)
- Starting with intermediate states and then moving to accepting states, apply the state elimination process to produce an equivalent automaton with regular expression labels on the edges.
 - The result will be a one or two state automaton with a start state and accepting state.

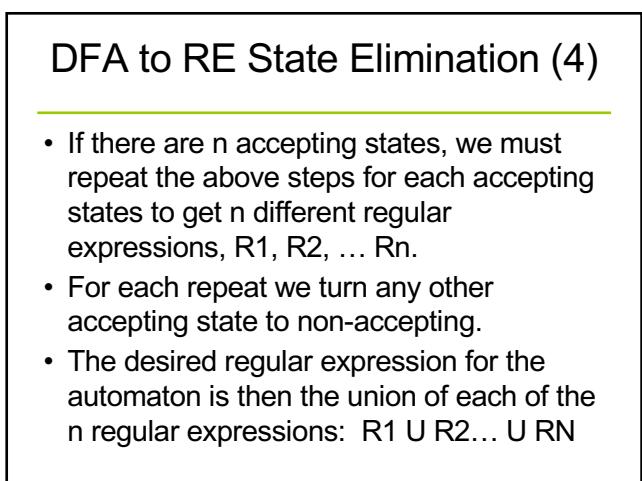
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- ### DFA to RE State Elimination (3)
- If the start state is also an accepting state, then remove a state elimination from that gets rid of every state. This leaves the following:
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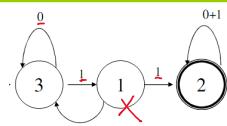
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- ### DFA->RE Example
- Convert the following to a RE:
-
- First convert the edges to RE's:
-

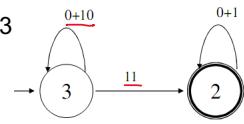
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DFA -> RE Example (2)

- Eliminate State 1:



- Note edge from 3->3

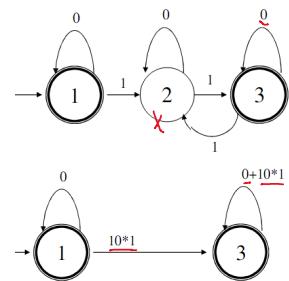


• Answer: $(0+10)^*11(0+1)^*$

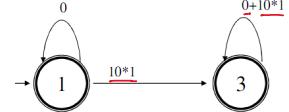
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Second Example

- Automata that accepts even number of 1's



- Eliminate state 2:

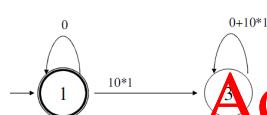


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Second Example (2)

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- Two accepting states,



- This is just 0^* ; can ignore going to state 3 since we would "die"

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Second Example (3)

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- This is just $0^*10^*(0|10^*)^*$
- Combine from previous slide to get $0^* | 0^*10^*(0|10^*)^*$

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Text search

- Pattern matching directly
 - Brute force
 - BM
 - KMP
- Regular expressions
- Indices for pattern matching
 - Inverted files
 - **Signature files**
 - **Suffix trees** and **Suffix arrays**

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Inverted Index

For each term t , we store a list of all documents that contain t .

BRUTUS	→	1	2	4	11	31	45	173	174	
CAESAR	→	1	2	4	5	6	16	57	132	
CALPURNIA	→	2	31	54	101	...				
⋮										
dictionary					postings					

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Create postings lists, determine document frequency

term	docID	term	doc.	freq.	postings lists
ambitious	2	ambitious	1	1	→ [2]
be	2	be	1	1	→ [2]
brutus	2	brutus	2	1	→ [1] → [2]
brutus	1	brutus	1	1	→ [1]
capitol	2	capitol	1	1	→ [1]
caesar	1	caesar	2	1	→ [1] → [2]
caesar	2	caesar	2	1	→ [1]
caesar	2	did	1	1	→ [1]
caesar	2	did	1	1	→ [1]
caesar	2	enact	1	1	→ [1]
caesar	2	hath	1	1	→ [1]
caesar	2	i	1	1	→ [1]
caesar	2	i	1	1	→ [1]
caesar	2	is	1	1	→ [1]
caesar	2	julius	1	1	→ [1]
caesar	2	killed	1	1	→ [1]
caesar	2	killed	1	1	→ [1]
caesar	2	let	1	1	→ [1]
caesar	2	me	1	1	→ [1]
caesar	2	noble	1	1	→ [1]
caesar	2	so	1	1	→ [1]
caesar	2	to	2	1	→ [1] → [2]
caesar	2	the	2	1	→ [1]
caesar	2	told	1	1	→ [1]
caesar	2	the	2	1	→ [1]
caesar	2	told	1	1	→ [1]
caesar	2	you	1	1	→ [1]
caesar	2	was	2	1	→ [1]
caesar	2	was	2	1	→ [1]
caesar	2	with	1	1	→ [1]

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Positional indexes

- Postings lists in a **nonpositional** index: each posting is just a docID
- Postings lists in a **positional** index: each posting is a docID and a **list of positions**

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Positional indexes: Example

Query: "to₁ be₂ or₃ not₄ to₅ be₆"

TO, 993427:

```
< 1: <7, 18, 33, 72, 86, 231>;
  2: <1, 17, 74, 222, 255>;
  4: <8, 16, 190, 429, 433>;
  5: <363, 367>;
  7: <13, 23, 191>; ...
BE, 178239:
< 1: <17, 25>;
  4: <17, 191, 291, 430, 434>;
  5: <14, 19, 101>; ...
Document 4 is a match!
```

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Signature files

- Definition
 - Word-oriented index structure based on hashing.

try large texts

function that maps words to bit masks.

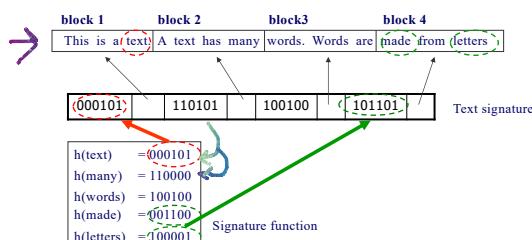
cks.

ined by bitwise ORing the words in the text block. ch between all 1 bits in the ck mask.

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Signature files

- Example:



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Signature files

- False drop Problem

The corresponding **bits** are **set** even though the word is **not there!**

The **design** should **insure** that the **probability** of false drop is **low**.

Also the Signature file should be as short as possible.

Enhance the hashing function to minimize the error probability.

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Signature files

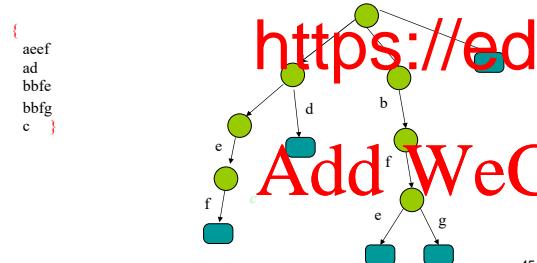
- Searching
 1. For a single word, Hash word to a bit mask W.
 2. For phrases,
 - 1) Hash words in query to a bit mask.
 - 2) Bitwise OR of all the query masks to a bit mask W.
 3. Compare W to the bit masks B_i of all the text blocks.
 - If all the bits set in W are also in B_i , then text block may contain the word.
 4. For all candidate text blocks, an online traversal must be performed to verify if the actual matches are there.
- Construction
 1. Cut the text in blocks.
 2. Generate an entry of the signature file for each block.
 - This entry is the bitwise OR of the signatures of all the words in the block.

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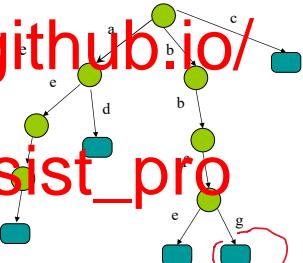
Suffix trees and suffix arrays

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- A tree representing a set of strings.

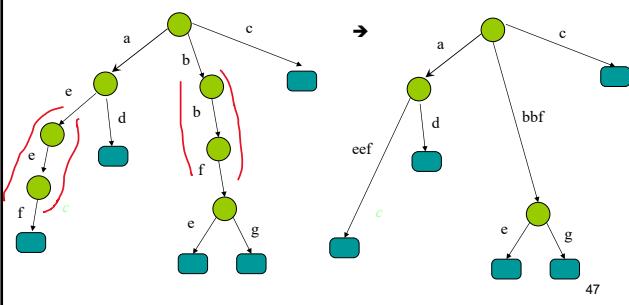


- Assume no string is a prefix of another



Compressed Trie

- Compress unary nodes, label edges by strings



Suffix tree

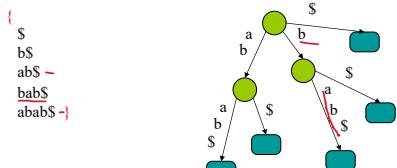
Given a string s a suffix tree of s is a compressed trie of all suffixes of s

To make these suffixes prefix-free we add a special character, say $\$$, at the end of s

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Suffix tree (Example)

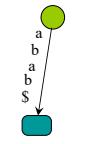
Let $s=abab$, a suffix tree of s is a compressed trie of all suffixes of $s=abab\$$



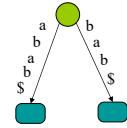
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Trivial algorithm to build a Suffix tree

Put the largest suffix in



Put the suffix $bab\$$ in

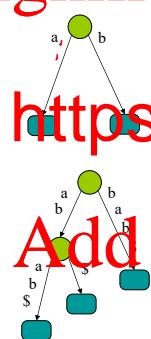


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Put the suffix $ab\$$ in

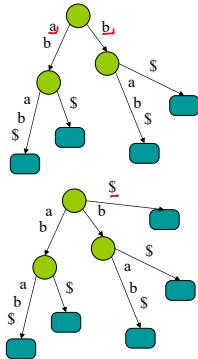


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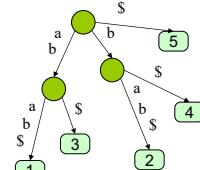
Put the suffix $\$$ in



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We will also label each leaf with the starting point of the corresponding suffix.

$abab\$$
1 2 3 4 5



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Analysis

Takes $O(n^2)$ time to build.

*It can also be constructed in $O(n)$ time.

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What can we do with it ?

Exact string matching:

Given a Text T, $|T| = n$, preprocess it such that when a pattern P, $|P|=m$, arrives you can quickly decide when it occurs in T.

We may also want to find all occurrences of P in T

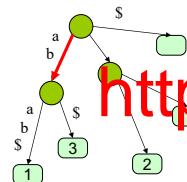
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Exact string matching

In preprocessing we just build a suffix tree in $O(n)$ time



Given a pattern P = ab we traverse the tree according to the pattern.

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Generalized suffix tree

Given a set of strings S a generalized suffix tree of S is a compressed trie of all suffixes of $s \in S$

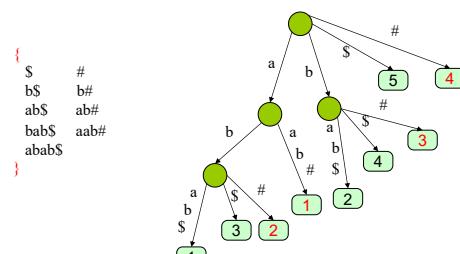
To make these suffixes prefix-free we add a special char, say \$, at the end of s

To associate each suffix with a unique string in S add a different special char to each s

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Generalized suffix tree (Example)

Let $s_1=abab$ and $s_2=aab$ here is a generalized suffix tree for s_1 and s_2



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So what can we do with it ?

Matching a pattern against a database of strings

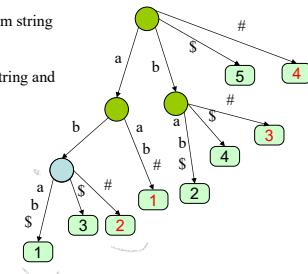
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Longest common substring (of two strings)

Every node with a leaf descendant from string S_1 and a leaf descendant from string S_2 represents a maximal common substring and vice versa.

Find such node with largest “string depth”



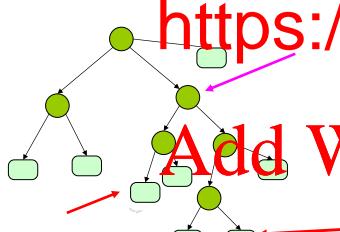
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Lowest common ancestor

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A lot more can be gained from the suffix tree if we preprocess it so
LCA queries on it

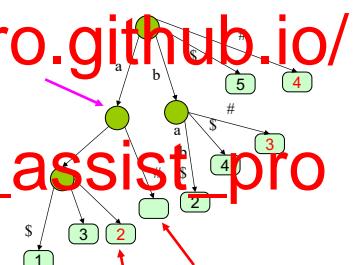


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Why?

The LCA of two leaves represents the longest P) of these 2 suffixes



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Finding maximal palindromes

- A palindrome: caabaac, cbaabc
- Want to find all maximal palindromes in a string s

Let $s = cbaaba$

The maximal palindrome with center between $i-1$ and i is the LCP of the suffix at position i of s and the suffix at position $m-i+1$ of s^r

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Maximal palindromes algorithm

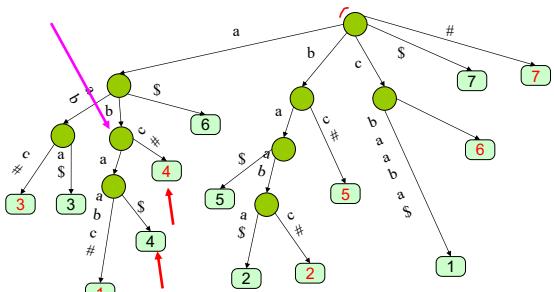
Prepare a generalized suffix tree for $s = cbaaba\$$ and $s^r = abaabct\#$

For every i find the LCA of suffix i of s and suffix $m-i+1$ of s^r

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Let $s = cbaaba\$$ then $s' = abaabc#\#$



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Analysis

$O(n)$ time to identify all palindromes

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Drawbacks

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- Suffix trees consume a lot of space
- It is $O(n)$ but the co
- Notice that if we indeed want to traverse an edge in $O(1)$ time then we need an array of ptrs. of size $|T|$ in each node

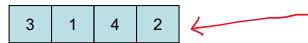
Suffix array

- We loose some of the functionality but we

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xicographically:

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How do we build it ?

- Build a suffix tree
- Traverse the tree in DFS, lexicographically picking edges outgoing from each node and fill the suffix array.
- $O(n)$ time

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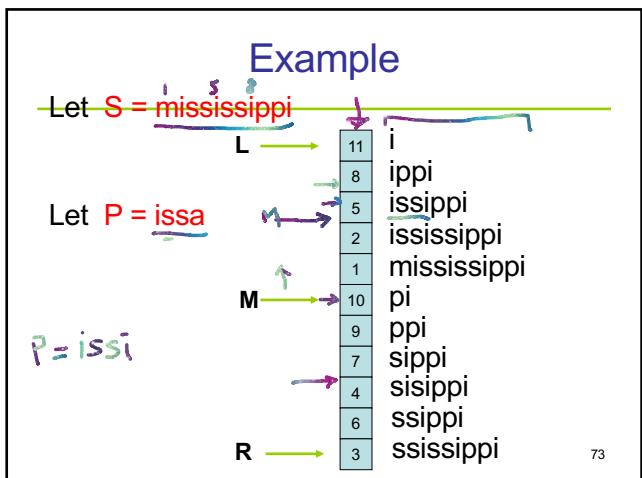
How do we search for a pattern ?

- If P occurs in T then all its occurrences are consecutive in the suffix array.
- Do a binary search on the suffix array
- Takes $O(m \log n)$ time

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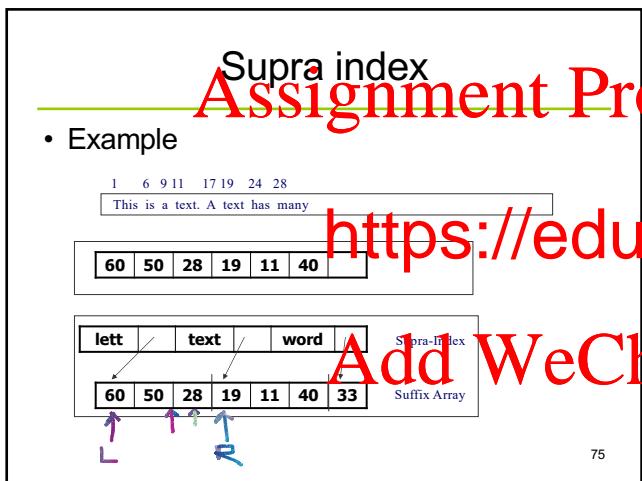
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- ## Supra index
- Structure
 - Suffix arrays are **space efficient** implementation of **suffix trees**.
 - Simply an array **containing all the pointers** to the text suffixes listed in lexicographical order.
 - Supra-indices:**
 - If the suffix array is **large**, this binary search can perform **poorly** because of the number of random disk accesses.
 - Suffix arrays are designed to allow **binary searches** done by comparing the contents of each pointer.
 - To remedy this situation, the use of **supra-indices** over the suffix array has been proposed.

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