

David A. Huffman

David Huffman is best known for the invention of [Huffman code](#), a highly important [compression](#) scheme for [lossless](#) variable length [encoding](#). It was the result of a term paper he wrote while a graduate student at the [Massachusetts Institute of Technology](#) (MIT)...

From: Wikipedia

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Huffman coding algorithm

1. Take the two least probable symbols in the alphabet
(longest code words, equal length, differing in last digit)
2. Combine these two symbols into a single symbol
3. Repeat

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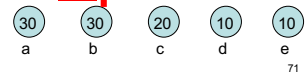
Example: Huffman coding

S	Fr
a	30
b	30
c	20
d	10
e	10

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Example

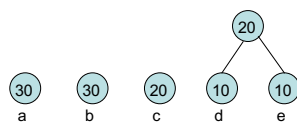
S	Freq	Huffman



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Example

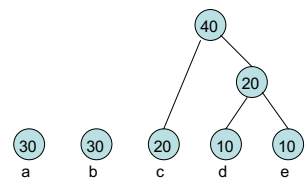
S	Freq	Huffman
a	30	
b	30	
c	20	
d	10	
e	10	



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Example

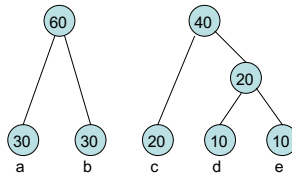
S	Freq	Huffman
a	30	
b	30	
c	20	
d	10	
e	10	



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Example

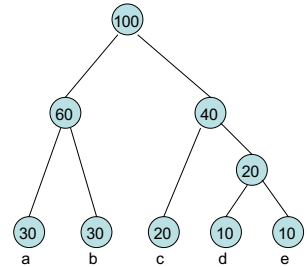
S	Freq	Huffman
a	30	
b	30	
c	20	
d	10	
e	10	



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Example

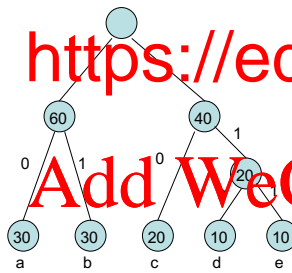
S	Freq	Huffman
a	30	
b	30	
c	20	
d	10	
e	10	



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Example

S	Freq	Huffman
a	30	00
b	30	01
c	20	10
d	10	110
e	10	111



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Average length L

$$= (30 \cdot 2 + 30 \cdot 2 + 20 \cdot 2 + 10 \cdot 3 + 10 \cdot 3) / 100$$

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Average length L

$$= (30 \cdot 2 + 30 \cdot 2 + 20 \cdot 2 + 10 \cdot 3 + 10 \cdot 3) / 100$$

$$= 220 / 100$$

$$= \underline{2.2}$$

Better than using fixed length 3 bits for 5 symbols.

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Entropy

$$H = -0.3 \cdot \log 0.3 - 0.3 \cdot \log 0.3 - 0.2 \cdot \log 0.2 - 0.1 \cdot \log 0.1 - 0.1 \cdot \log 0.1$$

$$= -0.3 \cdot (-1.737) - 0.3 \cdot (-1.737) - 0.2 \cdot (-2.322) - 0.1 \cdot (-3.322) - 0.1 \cdot (-3.322)$$

$$= 0.3 \log 10/3 + 0.3 \log 10/3 + 0.2 \log 5 + 0.1 \log 10 + 0.1 \log 10$$

$$= 0.3 \cdot 1.737 + 0.3 \cdot 1.737 + 0.2 \cdot 2.322 + 0.1 \cdot 3.322 + 0.1 \cdot 3.322$$

$$= \underline{2.17}$$

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Another example

- $S=\{a, b, c, d\}$ with freq $\{4, 2, 1, 1\}$
- $H = 4/8 \log_2 2 + 2/8 \log_2 4 + 1/8 \log_2 8 + 1/8 \log_2 8$
- $H = 1/2 + 1/2 + 3/8 + 3/8 = 1.75$
- $a \Rightarrow 0 \quad b \Rightarrow 10 \quad c \Rightarrow 110 \quad d \Rightarrow 111$
- Message: $\{abcdabaa\} \Rightarrow \{0 \ 10 \ 110 \ 111 \ 0 \ 10 \ 0 \ 0\}$
- Average length $L = 14 \text{ bits} / 8 \text{ chars} = 1.75$
- If equal probability, i.e. fixed length, need $\log_2 4 = 2 \text{ bits}$

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Huffman coding

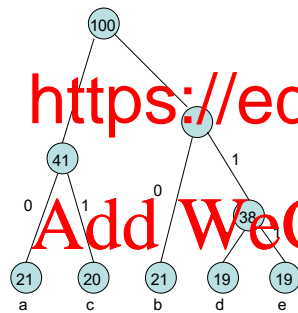
S	Freq	Huffman
a	30 21	
b	30 21	
c	20 20	
d	10 19	
e	10 19	

Total: 100

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Huffman coding

S	Freq	Huffman
a	21	00
b	21	10
c	20	01
d	19	110
e	19	111



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Huffman optimal?

$$H = 0.21 \log 100/21 + 0.21 \log 100/21 + 0.2 \log 100/19 + 0.19 \log 100/19$$

$$= 0.21 \cdot 2.252 + 0.2 \cdot 2.322 + 0.19 \cdot 2.396$$

$$L = (21 \cdot 2 + 21 \cdot 2 + 20 \cdot 2 + 19 \cdot 3 + 19 \cdot 3) / 100$$

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Huffman coding

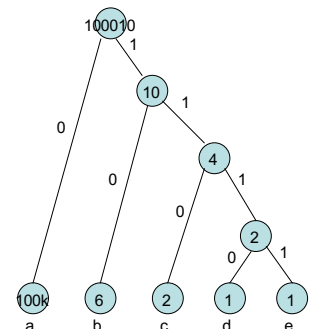
S	Freq	Huffman
a	30 100000	
b	30 6	
c	20 2	
d	10 1	
e	10 1	

Total: 100010

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Huffman coding

S	Freq	Huffman
a	100000	0
b	6	10
c	2	110
d	1	1110
e	1	1111



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Huffman optimal?

$$H = 0.9999 \log 1.0001 + 0.00006 \log 16668.333 \\ + \dots + 1/100010 \log 100010 \\ \approx 0.00$$

$$L = (100000*1 + \dots)/100010 \\ \approx 1$$

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Problems of Huffman coding

- Huffman codes have an integral # of bits.
 - E.g., $\log(3) = 1.585$ while Huffman may need 2 bits
- Noticeable non-optimality when prob of a symbol is high.

=> Arithmetic coding

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Arithmetic coding

Message to encode:
BILL GATES

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Example extracted from February, 1991 issue of Dr. Dobbs's Journal

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Arithmetic coding

<https://eduassistpro.github.io/>
Add WeChat edu_assist_pro

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Arithmetic coding

Character	Probability	Range
SPACE	1/10	0.00 - 0.10
A	1/10	0.10 - 0.20
B	1/10	0.20 - 0.30
E	1/10	0.30 - 0.40
G	1/10	0.40 - 0.50
I	1/10	0.50 - 0.60
L	2/10	0.60 - 0.80
S	1/10	0.80 - 0.90
T	1/10	0.90 - 1.00

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Arithmetic coding algorithm

Set low to 0.0

Set high to 1.0

While there are still input symbols do

 get an input symbol

 code_range = high - low.

 high = low + range*high_range(symbol)

 low = low + range*low_range(symbol)

End of While

output low or a number within the range

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Arithmetic coding

New Character	Low value	High Value
-----	-----	-----
	0.0	1.0
B	0.2	0.3
I	0.25	0.26
L	0.256	0.258
L	0.2572	0.2576
SPACE	0.25720	0.25724
G	0.257216	0.257220
A	0.2572164	0.2572168
T	0.25721676	0.2572168
E	0.257216772	0.257216776
S	<u>0.2572167752</u>	0.2572167756

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Example

Consider the second L as new char:

$$\text{code_range} = 0.258 - 0.256 = 0.002$$

$$\text{high} = 0.256 + 0.002 \times 0.8 = 0.2576$$

$$\text{low} = 0.256 + 0.002 \times 0.6 = 0.2572$$

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Decoding algorithm

get encoded number

Do

find symbol whose range
number

output the symbol

range = symbol high value - symbol low value

subtract symbol low value from encoded number

divide encoded number by range

until no more symbols

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Arithmetic coding

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Example

At the first L, encoded number is 0.72167752.

output the first L

$$\text{range} = 0.8 - 0.6 = 0.2$$

$$\begin{aligned} \text{encoded number} &= (0.72167752 - 0.6) / 0.2 \\ &= 0.6083876 \end{aligned}$$

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Advantage of arithmetic coding

Assume: A 90% END 10%

To encode: AAAAAAA

New Character	Low value	High Value
-----	-----	-----
	0.0	1.0
A	0.0	0.9
A	0.0	0.81
A	0.0	0.729
A	0.0	0.6561
A	0.0	0.59049
A	0.0	0.531441
A	0.0	0.4782969
END	0.43046721	0.4782969

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Advantage of arithmetic coding

Assume: A 90% END 10%

To encode: AAAAAAA

New Character	Low value	High Value
-----	-----	-----
	0.0	1.0
A	0.0	0.9
A	0.0	0.81
A	0.0	0.729
A	0.0	0.6561
A	0.0	0.59049
A	0.0	0.531441
A	0.0	0.4782969
END	0.43046721	0.4782969

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e.g., 0.45

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Patents on AC

- Bzip2 and JPG use Huffman as AC protected by patents
- PackJPG using AC shows 25% of size saving

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Some AC patents (expiring)

[U.S. Patent 4,122,440](#) — (IBM) Filed 4 March 77, Granted 24 October 78 (Now expired)
[U.S. Patent 4,286,256](#) — (IBM) Granted 25 August 81 (Now expired)
[U.S. Patent 4,467,317](#) — (IBM) Granted 21 Au
[U.S. Patent 4,652,856](#) — (IBM) Granted 4 Feb
[U.S. Patent 4,891,643](#) — (IBM) Filed 15 Septe
expired)
[U.S. Patent 4,905,297](#) — (IBM) Filed 18 Nove
expired)
[U.S. Patent 4,933,883](#) — (IBM) Filed 3 May 88, granted 12 June 90 (Now expired)
[U.S. Patent 4,935,882](#) — (IBM) Filed 20 July 88, granted 19 June 90 (Now expired)
[U.S. Patent 4,989,000](#) — Filed 19 June 89, granted 29 January 91 (Now expired)
[U.S. Patent 5,099,440](#) — (IBM) Filed 5 January 90, granted 24 March 92 (Now expired)
[U.S. Patent 5,272,478](#) — (Ricoh) Filed 17 August 92, granted 21 December 93 (Now expired)

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