

## COMS 4236: Introduction to Computational Complexity, Spring 2018

### Problem Set 4, due Thursday March 29, 11:59pm on Courseworks

Please follow the homework submission guidelines posted on Courseworks.

**Problem 1.** [15 points] Recall the *Subset Sum* problem:

Input: A collection  $S$  of positive integers  $s_1, s_2, \dots, s_m$  and another positive integer  $t$ .

Question: Is there a subcollection of  $S$  whose sum is equal to  $t$ ?

As we said in class, the Subset Sum problem can be solved in pseudopolynomial time, but the problem is NP-complete if the numbers are represented in binary as usual.

Use the NP-completeness of the Subset Sum problem to show the NP-completeness of the following *Partition Problem*:

Input: A collection  $S$  of positive integers  $s_1, s_2, \dots, s_m$

Question: Is there a partition of the collection  $S$  into two subcollections  $S_1, S_2$  (where

$S_1 \cap S_2 = \emptyset, S_1 \cup S_2 = S$ ) whose sums are equal:  $\sum_{s_i \in S_1} s_i = \sum_{s_i \in S_2} s_i$ ?

**Problem 2.** [20 points] A *kernel* of  $G$  is a subset  $K$  of nodes of  $G$  such that (1)  $K$  is an independent set of nodes, and (2) for every node  $u \in V(G)$ , either  $u \in K$  or  $u$  is adjacent to some node in  $K$ . Note that some graphs do not have a kernel.

a. Show that if  $u, v$  are two nodes of  $G$  such that there are no other edges entering the nodes  $u, v$ , then any kernel of  $G$  must include exactly one of the two nodes  $u, v$ .

b. Show that if three nodes  $u, v, w$  form a cycle  $u \rightarrow v \rightarrow w \rightarrow u$  in  $G$ , then any kernel of  $G$  must contain some node  $x$  (distinct from  $u, v, w$ ) that has an edge to at least one of the three nodes  $u, v, w$ .

c. Show that it is NP-complete to determine whether a given directed graph has a kernel. (Hint: You can reduce from 3SAT. You can use parts a and b for your variable and clause gadgets respectively.)

**Problem 3.** [25 points] In the *Steiner Tree* problem, we are given a set  $N = \{1, \dots, n\}$  of  $n$  cities, a subset  $M \subseteq N$  of *mandatory* cities (the rest are optional), and the pairwise distances  $d(i, j) > 0$ ,  $1 \leq i, j \leq n$  between the cities, which are assumed to be positive integers and symmetric (i.e.  $d(i, j) = d(j, i)$  for all  $i, j$ ). The problem is to find a connected graph  $H = (V, E)$  that includes all the mandatory cities (and any number of optional cities), i.e.  $M \subseteq V$ , and which has minimum total distance  $d(H) = \sum \{d(i, j) \mid (i, j) \in E\}$ .

1. Show that the optimal graph is a tree (i.e. has no cycles).

2. Formulate the decision version of the Steiner Tree problem.
3. Suppose that we are given a subroutine that solves the decision version in polynomial time. Give a polynomial time algorithm that uses this subroutine to solve the optimization problem, i.e. which returns a Steiner tree with minimum total distance.  
(Hint: First compute the value of the optimal Steiner tree; keep in mind that the distances are given in binary. Then compute the optimal Steiner tree itself.)
4. Show that the decision version of the Steiner tree problem is NP-complete even if all the distances are 1 or  $\infty$ .  
(Hint: You can reduce if you want from the Node Cover or from the Set Cover problem.)

**Problem 4.** [20 points] A Boolean formula (expression) is in *Disjunctive Normal Form* (DNF) if it is the disjunction (the OR) of a set of conjunctions (AND's) of literals. For example the formula  $(x_1 \wedge \bar{x}_2) \vee (\bar{x}_2 \wedge \bar{x}_3 \wedge x_4) \vee (\bar{x}_1 \wedge x_3 \wedge \bar{x}_4)$  is in DNF.

- (a) Show that the Satisfiability problem for Boolean formulas in DNF is in P.
- (b) A Boolean formula is called a *tautology* if every truth assignment satisfies it. Show that the problem  $\text{DNF-TAUT} = \{ \text{DNF formula } F \mid F \text{ is a tautology} \}$  is coNP-complete.

## Assignment Project Exam Help

**Problem 5.** [20 points] Consider optimization problems  $\Pi$  with solutions that are polynomially bounded integer values. More formally, let  $S$  be a polynomially verifiable binary relation  $S$  that relates instances  $x, y$  iff  $y$  is a solution for the instance  $x$  of  $\Pi$  (i.e. means that  $S(x, y)$  implies that  $|y| \leq p(|x|)$  for some polynomial  $p$ ). We say that  $S$  is "polynomially balanced" means that there is a polynomial-time computable integer-valued function  $f(x, y)$  that gives the value of solution  $y$  for the instance  $x$ .

Let  $\Pi_1$  be a minimization problem and  $\Pi_2$  a maximization problem as above, with the same set of instances; the two problems have their own sets of solutions specified by relations  $S_1, S_2$ , and their own value functions  $f_1, f_2$ . We say that  $\Pi_1$  and  $\Pi_2$  are *dual* of each other if for every instance  $x$  they have equal optimal values, i.e.  $\min\{f_1(x, y) \mid y \text{ is a solution of instance } x \text{ of } \Pi_1\} = \max\{f_2(x, y) \mid y \text{ is a solution of instance } x \text{ of } \Pi_2\}$ .

Suppose that  $\Pi_1, \Pi_2$  are *dual* problems as above.

1. Show that the following *Optimality Testing problem* is in  $\text{NP} \cap \text{coNP}$  for both  $\Pi_1, \Pi_2$ :  
Input: Instance  $x$ , solution  $y$ .  
Question: Is  $y$  an optimal solution for  $x$ ?
2. Show that the decision version of both optimization problems is in  $\text{NP} \cap \text{coNP}$ .

(Note: Duality is an important property of many optimization problems. Examples include Linear Programming, Max Flow-Min Cut and a number of others.)