

Linear models: Recap

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Linear models:

- ▶ Perceptron

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- ▶ Naïve Bay

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$$\log P(y|\mathbf{x}; \theta) = \log P(\mathbf{x}|y; \phi) + \log B(\mathbf{x}) + \theta \cdot \mathbf{f}(\mathbf{x}, y)$$

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- ▶ Logistic Regression

$$\log P(y|\mathbf{x}; \theta) = \theta \cdot \mathbf{f}(\mathbf{x}, y) - \log \sum_{y' \in \mathcal{Y}} \exp \theta \cdot \mathbf{f}(\mathbf{x}, y')$$

Features and weights in linear models: Recap

- Feature representation: $\mathbf{x} = [x; \underbrace{0; \dots; 0}_{(K-1) \times 1}]$

$$\mathbf{f}(\mathbf{x}; \mathbf{y} = 1) = [x; \underbrace{0; \dots; 0}_{(K-1) \times 1}]$$

$$\mathbf{f}(\mathbf{x}; \mathbf{y} = 2) = [\underbrace{0; \dots; 0}_{(K-1) \times 1}; \underbrace{x}_{1 \times V}]$$

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- Weights: $\boldsymbol{\theta}$

$$\boldsymbol{\theta} = [\underbrace{\theta_1; \theta_2; \dots; \theta_V}_{y=1}; \underbrace{\theta_1; \theta_2; \dots; \theta_V}_{y=2}; \dots; \underbrace{\theta_1; \theta_2; \dots; \theta_V}_{y=K}]$$

Rearranging the features and weights

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- Represent the features \mathbf{x} as a *column* vector of length V , and represent the weights as a Θ as K

$$\mathbf{x} = \begin{bmatrix} x_1 \\ x_2 \\ \vdots \\ x_V \end{bmatrix} \quad \Theta = \begin{bmatrix} \theta_{1,1} & \theta_{1,2} & \cdots & \theta_{1,V} \\ \vdots & \vdots & \ddots & \vdots \\ \theta_{K,1} & \theta_{K,2} & \cdots & \theta_{K,V} \end{bmatrix}$$

- What is $\Theta \mathbf{x}$?

Scores for each class

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- Verify that $\psi_1, \psi_2, \dots, \psi_K$ corresponds to each class

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$$\Psi = \Theta \mathbf{x} =$$

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Implementation in Pytorch

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Digression: Matrix multiplication

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- ▶ Matrix with m rows and n columns

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where C_{ij} <https://eduassistpro.github.io/>

- ▶ Example:

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$$\begin{bmatrix} 2 & 3 \\ 1 & 2 \end{bmatrix} \times \begin{bmatrix} 1 & 0 \\ 0 & 1 & 1 \end{bmatrix} = \begin{bmatrix} 1 & 2 & 4 \end{bmatrix}$$

Digression: 3-D matrix multiplication

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Tensor shape: (batch-size, sentence-length, embedding size)

SoftMax

- SoftMax, also known as the SoftMax function

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$$\text{SoftMax}_i(\psi) = \frac{\exp(\psi_i)}{\sum_{j=1}^K \exp(\psi_j)}$$

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- Applying SoftMax distribution

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$$\text{SoftMax}(\Psi) = \begin{bmatrix} P(y = 1) \\ P(y = 2) \\ \dots \\ P(y = K) \end{bmatrix}$$

- Verify this is exactly logistic regression

Logistic regression as a neural network

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$$\mathbf{y} = \text{SoftMax}(\mathbf{\Theta}\mathbf{x})$$

$$V = 5 \quad K = 3$$

Going deep

- There is no reason why <https://eduassistpro.github.io/>

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$$\mathbf{z} = \sigma(\Theta_1 \mathbf{x})$$

$$\mathbf{y} = \text{SoftMax}(\Theta_2 \mathbf{z})$$

Going even deeper

- There is no reason w

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$$\mathbf{z}_1 = \sigma(\Theta_1 \mathbf{x})$$

$$\mathbf{z}_2 = \sigma(\Theta_2 \mathbf{z}_1)$$

$$\mathbf{y} = \text{SoftMax}(\Theta_3 \mathbf{z}_2)$$

- But why?

Non-linear classification

Linear models like Logistic Regression work well for many NLP problems, why do we need more complex non-linear models?

- ▶ There have been rapid advances in deep learning, a family of nonlinear models that are trained through multiple layers of nonlinear transformations.
- ▶ Deep learning facilitates the incorporation of word **embeddings**, which are dense vector representations of words, that can be learned from massive amounts of data.
- ▶ It has evolved from early static embeddings (e.g., Word2vec, Glove) to recent dynamic embeddings (ELMO, BERT, XLNet).
- ▶ Rapid advances in specialized hardware called graphic processing units (GPUs). Many deep learning models can be implemented efficiently on GPUs.

Feedforward Neural networks: an intuitive justification

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- ▶ In image classification, instead of using the input (pixels) to predict the image type directly, you can imagine that you can predict the shapes of parts of an image, such as the hand, ear.
- ▶ In text processing, say we want to classify movie reviews (or more generally, any text) into a label set of {Good, Bad}. Instead of predicting these labels directly, we first predict a set of components, such as the story, acting, soundtrack, cinematography, etc. from raw input (words in the text).

Face Recognition

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Feedforward neural networks

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Formally, this is what we do:

- **Use the text x to predict the feature** Specifically, train a logistic regression classifier to compute for each $k \in \{1, 2, \dots, K_z\}$
- **Use the feature** Train a logistic regression classifier to compute for each unknown or hidden, so we will use the $P(z| \text{features})$.

Caveat: it's easy to demonstrate what this is what for image processing, but it's hard to show this is what's actually going on in language processing. Interpretability is a major issue in neural models for language processing.

The hidden layer: computing the composite features

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- If we assume each z_k is binary, that is, $z_k \in \{0, 1\}$, then $P(z_k | \mathbf{x})$ can be modeled with binary logistic regression

$$P(z_k = 1 | \mathbf{x}; \Theta^{(x \rightarrow z)}) = \sigma(\theta_k^{x \rightarrow z}) = \frac{1}{1 + \exp(-\theta_k^{x \rightarrow z})}$$

- The weight matrix $\Theta^{(x \rightarrow z)}$ is constructed by stacking (not concatenating, as in linear models) vectors for each z_k ,

$$\Theta^{(x \rightarrow z)} = [\theta_1^{x \rightarrow z}, \theta_2^{x \rightarrow z}, \dots, \theta_{K_z}^{x \rightarrow z}]$$

- We assume an offset/bias term is included in \mathbf{x} and its parameter is included in each $\theta_k^{x \rightarrow z}$

Notations: $\Theta^{(x \rightarrow z)} \in \mathbb{R}^{k_z \times V}$ is a real number matrix with a dimension of k_z rows and V columns

The output layer

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- ▶ The output layer is computed by the multiclass logistic regression probability

$$P(y = j | \mathbf{z}; \Theta^{(z \rightarrow y)}, \mathbf{b}) = \frac{e^{(\Theta^{(z \rightarrow y)} \cdot \mathbf{z} + b'_j)}}{\sum_{j'} e^{(\Theta^{(z \rightarrow y)} \cdot \mathbf{z} + b'_{j'})}}$$

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- ▶ The weight matrix $\Theta \in \mathbb{R}^{y \times z}$ is constructed by stacking weight vectors for each

$$\Theta^{(z \rightarrow y)} = [\theta_1^{z \rightarrow y}, \theta_2^{z \rightarrow y}, \dots, \theta_{K_y}^z]$$

- ▶ The vector of probabilities over each possible value of y is denoted:

$$P(\mathbf{y} | \mathbf{z}; \Theta^{(z \rightarrow y)}, \mathbf{b}) = \text{SoftMax}(\Theta^{(z \rightarrow y)} \mathbf{z} + \mathbf{b})$$

Activation functions

- Sigmoid: The range of the sigmoid activation function is $(0, 1)$.
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$$\sigma(x) = \frac{1}{1 + e^{-x}}$$

- Tanh: The range of the tanh activation function is $(-1, 1)$.
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- ReLU: The rectified linear unit (ReLU) is zero for negative inputs, and linear for positive inputs.
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$$ReLU(x) = \max(x, 0) = \begin{cases} 0 & x < 0 \\ x & \text{otherwise} \end{cases}$$

Sigmoid and tanh are sometimes described as **squashing functions**.

Activation functions in Pytorch

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```
from torch import nn  
import torch
```

```
input = torch.rand(1, 4)  
sigmoid = nn.Si  
output = sigmoid
```

```
tanh = nn.Tanh()  
output = tanh(input)
```

```
relu = nn.ReLU()  
output = relu(input)
```

Output and loss functions

In a multi-class classification task, we model the output as a probabilistic distribution over possible labels. It works well together with negative conditional likelihood (just like logistic regression)

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or cross entropy loss

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$$-\mathcal{L} = - \sum_{i=1}^N \mathbf{e}_{y^{(i)}} \cdot \log \tilde{\mathbf{y}}$$

where $\mathbf{e}_{y^{(i)}}$ is a **one-hot vector** of zeros with a value of one at the position $y^{(i)}$

Output and loss function

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- ▶ There are alternatives to SoftMax and cross-entropy loss, just as there are alternatives in linear models.
- ▶ Pairing an affine transformation (remember a margin loss:

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$$\Psi(y; \mathbf{x}^{(i)}, \Theta) = \theta_y(\mathbf{z}^{(i)} + b_y)$$

$$\ell_{\text{MARGIN}}(\Theta; \mathbf{x}^{(i)}, y^{(i)}) = \max_{y \neq y^{(i)}} \left(1 + \Psi(y; \mathbf{x}^{(i)}, \Theta) - \Psi(y^{(i)}; \mathbf{x}^{(i)}, \Theta) \right)_+$$

Inputs and Lookup layers

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- ▶ Assuming a bag-of-words model, when the input \mathbf{x} is the count of each word x_i (This can be generalized to feature count).
 - ▶ To compute the hidden unit z_k :

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- ▶ This text representation is particularly suitable for neural networks.
 - ▶ The connections from word j to each of the hidden units z_k form a vector $\theta_j^{(x \rightarrow z)}$ is sometimes described as the embedding of word j . Word embeddings can be learned from unlabeled data, using techniques such as Word2Vec and GLOVE.

Alternative text representations

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- ▶ Alternatively, a text can be represented as a sequence of word tokens $w_1, w_2, w_3, \dots, w_M$. This view is useful for models such as **Convolutional Neural Networks**, which processes text as a sequence.
- ▶ Each word token w_m is associated with a word embedding vector \mathbf{e}_{w_m} , with dimensionality V . These vectors are often represented as **one-hot** vectors: $\mathbf{W} = [\mathbf{e}_{w_1}, \mathbf{e}_{w_2}, \dots, \mathbf{e}_{w_M}]$.
- ▶ To show that this is equivalent to the bag-of-words model, we can recover the word count from the matrix-vector product $\mathbf{W}[1, 1, \dots, 1]^T \in \mathbb{R}^V$.
- ▶ The matrix product $\Theta^{x \rightarrow z} \mathbf{W} \in \mathbb{R}^{k_z \times M}$ contains horizontally concatenated embeddings of each word in the document.