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Language modeling

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- ▶ Language modeling is the task of assigning a probability to a sequence of words (for evaluating translation and generation).
- ▶ It is also the task of predicting the next word in a sequence of words.
- ▶ Perfect performance in language modeling is to predict the next word in the sentence with a probability that is fewer or less than that required by a human participant.

Language Modeling

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Formally, the language model can be formulated as

$$P(w_{1:M}) = P(w_1)P(w_2|w_1)P(w_3|w_{1:2}) \dots P(w_M|w_{1:M-1})$$

With Markov assumption

$$P(w_{1:M}) \approx \prod_{m=1}^M P(w_m|w_{m-1})$$

Text generated by GPT-2

The fact that I work at the university is the only ability to find more people. T

My university is a very small community. Those are things that are going to have the effect, that go all these years and have the impact that it has on my life." That's one of the things that makes me really ne

being a student. If you're a computer programmer, there's somebody who you want to study. This is not how I work. Bec

learning, I have to be ver

want to get a career. I don

Now, you know, I think if I don't get the job, then I just don't

time. Maybe I feel like I can't do much, and all of these thin

to be my own business and I want to be able to get a job. I'd cer

be looking at that. I'm at a different time. I'm going to write about my

business, my business, my business and I want to talk to you about the

life that I've been working for the last 30 years or so. So that's why I've

been able to be here for the last 15 years through the university. My life

has been extremely productive and I've had a lot of great things, so I love

it."

Try it yourself: <https://gpt2.ai-demo.xyz>

Noisy Channel Model for MT

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- ▶ The Noisy channel model is the general framework for Statistical Machine Translation, with ma
- ▶ Language model is a crucial component of the that is used to “select” the best translation:

$$P_e|$$

$$= P_{s|e}(\mathbf{w}^{(s)} | \mathbf{w}^{(e)})$$

where $\mathbf{w}^{(e)}$ is generated from a language model, $\mathbf{w}^{(s)}$ is a Spanish sentence generated from a translation model
 $P_{s|e}(\mathbf{w}^{(s)} | \mathbf{w}^{(e)})$

Perplexity: a metric for evaluating language models

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- ▶ Given a text corpus of M words (where M could be in the millions) $w_1, w_2, w_3, \dots, w_M$, a language model function LM assigns a probability to a word based on its history

$\ell(w_1, \dots, w_M)$

- ▶ The perplexity of the LM with respect to the corpus is:

$$Perplex(w) = 2^{-\frac{\ell(w)}{M}}$$

What counts as a good language model?

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- ▶ Good language models will assign high probability to events in the corpus.
- ▶ Perplexities of language models are only comparable with same evaluation corpus.

Extreme Cases of Perplexity

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- In the limit of a perfect language model, probability 1 is assigned to the held-out corpus, with

$$\text{Perplex}(\mathbf{w}) = 2^{-\frac{1}{M} \log_2 1} = 2^0 = 1$$

- In the opposite limit, probability zero is assigned to the held-out corpus, which responds to an infinite perplexity:

$$\text{Perplex}(\mathbf{w}) = \infty$$

- Assume a uniform distribution $P(w_i) = 1/V$ for all words in the vocabulary. Then

$$\log_2(\mathbf{w}) = \sum_{m=1}^M \log_2 \frac{1}{V} = - \sum_{m=1}^M \log_2 V = -M \log_2 V$$

$$\text{Perplex}(\mathbf{w}) = 2^{\frac{1}{M} M \log_2 V} = 2^{\log_2 V} = V$$

Traditional approaches to language modeling

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- ▶ Based on a n -order markov property
 $P(w_{m+1} | \mathbf{w}_{1:m}) \approx P(w_{m+1} | \mathbf{w}_{m-n:m})$
- ▶ The estimates are usually derived from corp
- ▶ The role of the la
of $\hat{P}(w_{m+1}$
- ▶ The maximum
 $\hat{P}(w_{m+1} | \mathbf{w}_{m-n:m})$ is then

$$\hat{P}_{MLE}(w_{m+1} | \mathbf{w}_{m-n:m}) = \frac{\#(\mathbf{w}_{m-n:m+1})}{\#(\mathbf{w}_{m-n:m})}$$

Addressing the zero count problem

- ▶ Zero count for any v for the entire corpus, meaning infinite perplexity!
- ▶ Add- α smoothing:

$$\hat{p}_{ad}(v) = \frac{\text{count}(v) + \alpha}{|V| + \alpha|V|}$$

- ▶ Another technique is to back off to a lower n -gram: The Jelinek-Mercer interpolation:

$$\begin{aligned} \hat{P}_{int}(w_{m+1} | \mathbf{w}_{m-n:m}) \\ = \lambda_{m-n:m} \frac{\#(\mathbf{w}_{m-n:m+1})}{\#(\mathbf{w}_{m-n:m})} + (1 - \lambda_{m-n:m}) \hat{P}_{int}(w_{m+1} | \mathbf{w}_{m-(n-1):m}) \end{aligned}$$

Notice this is a recursive formulation.

Limitations of smoothed MLE based models

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- ▶ Smoothing based on backoff to lower-order sequential na rd
large n-gram <https://eduassistpro.github.io/>
- ▶ MLE-based language models suffer from tion
across contexts [Add WeChat edu_assist_pro](#)

Neural language models

- ▶ Treat word prediction as the goal of computing the probability $P(w|u)$, where $w \in V$ is a word, and u is the context that depends on previous words
- ▶ Parametrize the probability $P(w|u)$ using K -dimensional dense vectors, $\beta_w \in \mathbb{R}^K$:

$$P(w|u) = \text{SoftMax}(\beta_w \cdot \mathbf{v}_u)$$

The vector of probabilities can be computed by applying a SoftMax transformation to the vector \mathbf{o}

$$P(\cdot|u) = \text{SoftMax}([\beta_{w_1} \cdot \mathbf{v}_u, \beta_{w_2} \cdot \mathbf{v}_u, \dots, \beta_{w_V} \cdot \mathbf{v}_u])$$

- ▶ The word vectors β_w are parameters of the model and can be estimated directly, e.g., using the negative log likelihood of the training corpus as the objective

Computing the context vector

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- There are different ways to compute the context vector \mathbf{v} , and one effective way is to use a Recurrent Neural Network or RNN. The basic idea is to recurrently update the vector while moving through a sequence
- Let \mathbf{h}_m represent the contextual information at time m in the sequence

$$\mathbf{x}_m \triangleq \phi_{w_m}$$

$$\mathbf{h}_m = \text{RNN}(\mathbf{x}_m, \mathbf{h}_{m-1})$$

$$P(w_{m+1} | w_1, w_2, \dots, w_m) = \frac{\exp(\beta_{m+1} \cdot \mathbf{h}_m)}{\sum_{w' \in V} \exp(\beta_{w'} \cdot \mathbf{h}_m)}$$

where ϕ is a matrix of word embeddings, and \mathbf{x}_m is the word embedding for w_m

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Sequence-to-sequence models

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- ▶ Sequence goes in, sequence comes out
- ▶ Sequence-to-sequence models are a powerful framework that have found success in a wide range of applications
 - ▶ Automatic translation: source language goes in, target language sentence comes out
 - ▶ Machine image captioning: Image goes in, caption comes out
 - ▶ Image captioning: Image goes in, caption comes out
 - ▶ text summarization: whole text goes in, summary comes out
 - ▶ Automatic email responses: Generating automatic responses to incoming emails
 - ▶ etc. etc.

The encoder decoder architecture

- ▶ The encoder network converts the input sentence in the source language into a vector or a matrix representation; the decoder network then converts the encoding into a sentence in the target language

$$z = \text{ENCODE}(x)$$

where the second line means the decoder d generates the output word $w^{(t)}$ conditional probability $P(w^{(t)} | z, w^{(1:t-1)})$

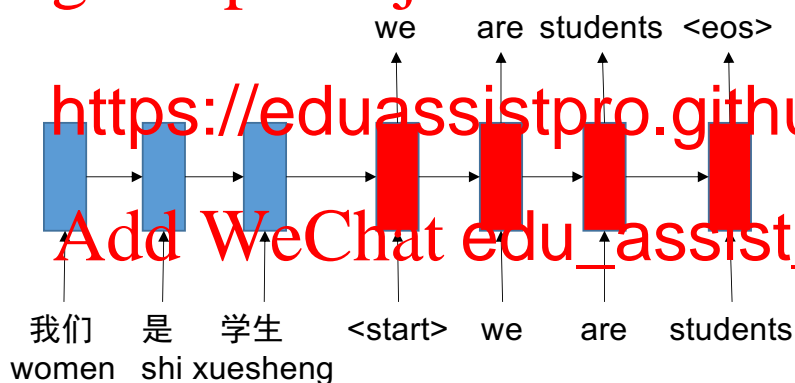
- ▶ The decoder is typically a recurrent neural network (e.g., LSTM) that generates one word at a time, while recurrently updating a hidden state.
- ▶ The encoder decoder networks are trained end-to-end from parallel sentences.

Encoder decoder

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Training objective

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If the output layer of the decoder is a logistic function, then the entire network can be trained to maximize the conditional log-likelihood (or minimize the negative log-likelihood):

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$\log \sum_{s \in V^{(t)}} \exp(\mathbf{w}_{1:m-1}^{(t)} \cdot \mathbf{z})$

$\mathbf{w}_m^{(t)} | \mathbf{w}_{1:m-1}^{(t)}, \mathbf{w}^{(s)} \sim \text{Softmax}(\beta \cdot \mathbf{w}_{1:m-1}^{(t)} \cdot \mathbf{z})$

where $\mathbf{h}_{m-1}^{(t)}$ is a recurrent function of the previously generated text $\mathbf{w}_{1:m-1}^{(t)}$ and the encoding \mathbf{z} , and $\beta \in \mathbb{R}^{(V^{(t)} \times K)}$ is the matrix of output word vectors for the $V^{(t)}$ words in the target language vocabulary

The LSTM variant

- In the LSTM variant, the initial hidden state is set to the final hidden state of the source sentence:

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$$h_0^{(s)} = \text{LSTM}_{\text{enc}}(x_m^{(s)})$$

where $\mathbf{x}^{(s)}$ is the embedding of the source word $w_m^{(s)}$.

- The encoding then provides the initial hidden state for the decoder LSTM:

$$h_0^{(t)} = \mathbf{z}$$
$$h_m^{(t)} = \text{LSTM}(\mathbf{x}_m^{(t)}, h_{m-1}^{(t)})$$

where $\mathbf{x}_m^{(t)}$ is the embedding of the target language word $w_m^{(t)}$

Tweaking the encoder decoder network

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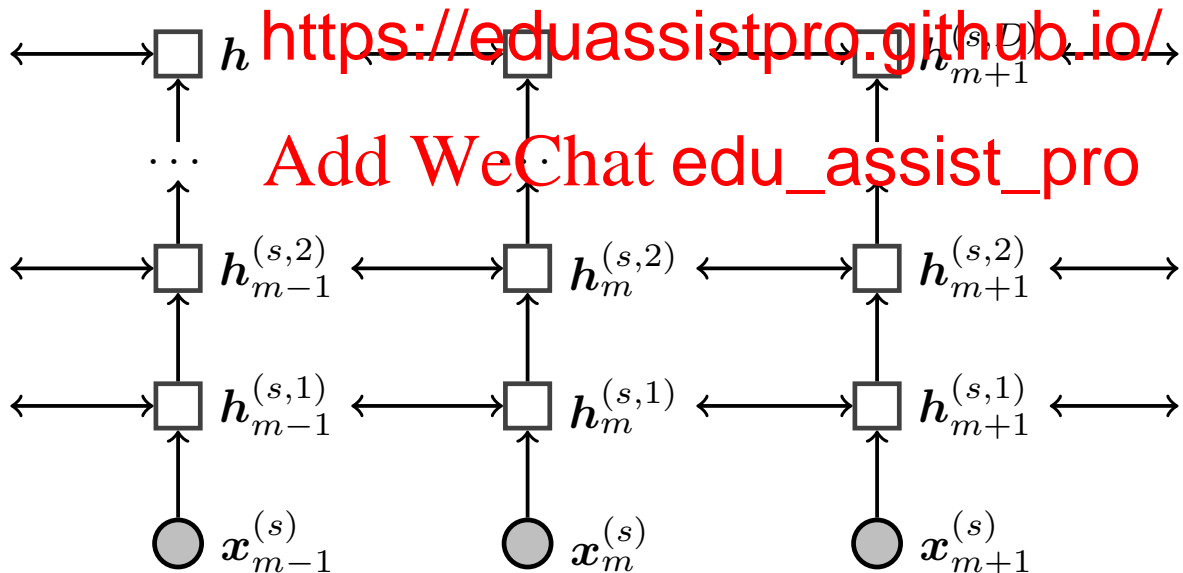
- ▶ Adding layers: The encoder and decoder net
implemented as **deep LSTMs** with den
state
- ▶ Adding **att** <https://eduassistpro.github.io/>
words in the source language when gener
target language **Add WeChat edu_assist_pro**

Multi-layered LSTMs

Each hidden state
LSTM at layer $i + 1$

$$h_m^{(s,1)} = \text{LSTM}(x_m^{(s)}, h_{m-1}^{(s)})$$

$$h_m^{(s,i+1)} = \text{LSTM}(h_m^{(s,i)}, h^{(s,i+1)})$$



Neural attention

- ▶ Attention can be thought of as a weighted sum of memory of key-value pairs, with the keys, values and queries all being vectors
- ▶ For each key n in the memory, we compute a score $\alpha_{m \rightarrow n}$ with respect to the query m , which measures the similarity between them
- ▶ The scores are passed through a softmax, which results in a vector of non-negative values of length N , which equal to the size of the memory: $[\alpha_{m \rightarrow 1}, \alpha_{m \rightarrow 2}, \dots, \alpha_{m \rightarrow N}]$
- ▶ Multiply each value in the memory v_n by the attention $\alpha_{m \rightarrow n}$, and sum them up, we get the output of the attention.
- ▶ The attention is typically concatenated with the decoding hidden state to output the target word

“Querying”

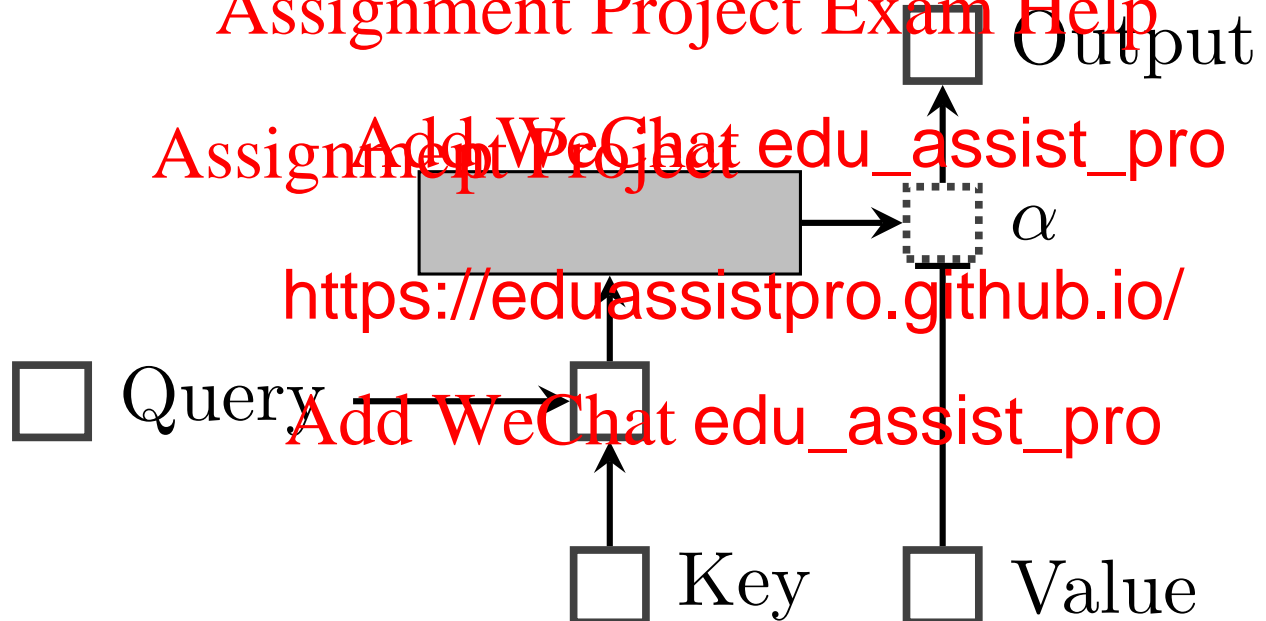
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Step by step computation of attention

- ▶ Computing compatibility scores: <https://eduassistpro.github.io/>

$$\psi_{\alpha}(m, n) = \mathbf{v}_{\alpha} \cdot \tanh(\Theta_{\alpha}[\mathbf{h}_m; \mathbf{h}_n])$$

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- ▶ Softmax attention: <https://eduassistpro.github.io/>

$$\alpha_{m \rightarrow n} = \frac{\exp \psi_{\alpha}(m, n)}{\sum_n \exp \psi_{\alpha}(m, n)}$$

- ▶ Compute the context vector: <https://eduassistpro.github.io/>

$$\mathbf{c}_m = \sum_{n=1}^{M^{(s)}} \alpha_{m \rightarrow n} \mathbf{h}_n$$

- ▶ incorporate the context vector into the decoding model:

$$\tilde{\mathbf{h}}_m^{(t)} = \tanh(\Theta_c[\mathbf{h}_m^{(t)}; \mathbf{c}_m])$$

$$P(w_{m+1}^{(t)} | \mathbf{w}_{1:m}^{(t)}, \mathbf{w}^{(s)}) \propto \exp \left(\beta_{w_{m+1}^{(t)}} \cdot \tilde{\mathbf{h}}_m^{(t)} \right)$$

Seq2seq: Initialization

- Word embedding

$$E = \text{embed} \left(\begin{bmatrix} \text{women} \\ \text{shi} \\ \text{xuesheng} \\ \text{Start} \\ \text{EOS} \end{bmatrix} \right) = \begin{bmatrix} 0.5 & 0.5 \\ 0.5 & 0.5 \\ .5 & 0 \\ 1 & 0.2 \\ 2 & 0.1 \\ 0.2 & 1 \end{bmatrix}$$

- RNN parameters

$$\begin{aligned} \mathbf{W}^{(s)} &= \begin{bmatrix} 0.3 & 0 \\ 0 & 0.3 \end{bmatrix} & \mathbf{U}^{(s)} &= \begin{bmatrix} 0.1 & 0.1 \\ 0.1 & 0.1 \end{bmatrix} & \mathbf{b}^{(s)} &= \begin{bmatrix} 0.2 \\ 0.8 \end{bmatrix} \\ \mathbf{W}^{(t)} &= \begin{bmatrix} 0.3 & 0 \\ 0 & 0.3 \end{bmatrix} & \mathbf{U}^{(t)} &= \begin{bmatrix} 0.1 & 0.1 \\ 0.1 & 0.1 \end{bmatrix} & \mathbf{b}^{(t)} &= \begin{bmatrix} 0.8 \\ 0.2 \end{bmatrix} \end{aligned}$$

Encoder

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$$\mathbf{z} \triangleq \mathbf{h}^{(s)}$$

$$\mathbf{h}_n^{(s)} = \tanh(\mathbf{W}^{(s)} \times \mathbf{x} + \mathbf{U}^{(s)} \times \mathbf{h} + \mathbf{b})$$

$$\mathbf{h}_1^{(s)} = \tanh(\mathbf{W}^{(s)} \times \mathbf{E}[\text{women}] + \mathbf{U}^{(s)} \times \mathbf{h}_0^{(s)} + \mathbf{b}) = \begin{bmatrix} -0.3364 \\ 0.5717 \end{bmatrix}$$

$$\mathbf{h}_2^{(s)} = \tanh(\mathbf{W}^{(s)} \times \mathbf{E}[\text{women}] + \mathbf{U}^{(s)} \times \mathbf{h}_1^{(s)} + \mathbf{b}) = \begin{bmatrix} -0.3153 \\ 0.7289 \end{bmatrix}$$

$$\mathbf{h}_3^{(s)} = \tanh(\mathbf{W}^{(s)} \times \mathbf{E}[\text{xuesheng}] + \mathbf{U}^{(s)} \times \mathbf{h}_2^{(s)} + \mathbf{b}) = \begin{bmatrix} 0.0086 \\ 0.5432 \end{bmatrix}$$

$$\mathbf{C} = \mathbf{h}_3^{(s)} = \begin{bmatrix} 0.0086 \\ 0.5432 \end{bmatrix}$$

where \mathbf{C} is a context vector

Decoder

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$$\mathbf{h}_m^{(t)} = \tanh(\mathbf{W}^{(t)} \times \mathbf{x} + \mathbf{U}^{(t)} \times \mathbf{h} + \mathbf{b})$$

$$\mathbf{h}_1^{(t)} = \tanh(\mathbf{W}^{(t)} \times \mathbf{E}[\text{SAP}] + \mathbf{U}^{(t)} \times \mathbf{h}_0^{(t)} + \mathbf{b}^{(t)}) = \begin{bmatrix} 0.6929 \\ 0.2482 \end{bmatrix}$$

$$\mathbf{h}_2^{(t)} = \tanh(\mathbf{W}^{(t)} \times \mathbf{E}[\text{are}] + \mathbf{U}^{(t)} \times \mathbf{h}_1^{(t)} + \mathbf{b}^{(t)}) = \begin{bmatrix} 0.6203 \\ 0.2123 \end{bmatrix}$$

$$\mathbf{h}_3^{(t)} = \tanh(\mathbf{W}^{(t)} \times \mathbf{E}[\text{are}] + \mathbf{U}^{(t)} \times \mathbf{h}_2^{(t)} + \mathbf{b}^{(t)}) = \begin{bmatrix} 0.6039 \\ 0.1870 \end{bmatrix}$$

$$\mathbf{h}_4^{(t)} = \tanh(\mathbf{W}^{(t)} \times \mathbf{E}[\text{students}] + \mathbf{U}^{(t)} \times \mathbf{h}_3^{(t)} + \mathbf{b}^{(t)}) = \begin{bmatrix} 0.6220 \\ 0.0980 \end{bmatrix}$$

Softmax over similarities between hidden layers and target embeddings

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$$\begin{aligned}
 \text{score}_1 & \left(\begin{bmatrix} \text{we} \\ \text{are} \\ \text{students} \\ \text{EOS} \end{bmatrix} \right) = \text{softmax} \left(\begin{bmatrix} h_1^{(t)} \times E[\text{we}] \\ h_1^{(t)} \times E[\text{are}] \\ h_1^{(t)} \times E[\text{students}] \\ h_1^{(t)} \times E[\text{EOS}] \end{bmatrix} \right) = \begin{bmatrix} 0.1913 \\ 0.2000 \\ 0.1733 \\ 0.4354 \end{bmatrix} \\
 \text{score}_2 & \left(\begin{bmatrix} \text{we} \\ \text{a} \\ \text{stu} \\ \text{E} \end{bmatrix} \right) = \text{softmax} \left(\begin{bmatrix} h_2^{(t)} \times E[\text{we}] \\ h_2^{(t)} \times E[\text{a}] \\ h_2^{(t)} \times E[\text{stu}] \\ h_2^{(t)} \times E[\text{E}] \end{bmatrix} \right) = \begin{bmatrix} 0.1999 \\ 0.2070 \\ 0.1826 \\ 0.4116 \end{bmatrix} \\
 \text{score}_3 & \left(\begin{bmatrix} \text{we} \\ \text{are} \\ \text{students} \\ \text{EOS} \end{bmatrix} \right) = \text{softmax} \left(\begin{bmatrix} h_3^{(t)} \times E[\text{we}] \\ h_3^{(t)} \times E[\text{are}] \\ h_3^{(t)} \times E[\text{students}] \\ h_3^{(t)} \times E[\text{EOS}] \end{bmatrix} \right) = \begin{bmatrix} 0.2012 \\ 0.2098 \\ 0.1867 \\ 0.4023 \end{bmatrix} \\
 \text{score}_4 & \left(\begin{bmatrix} \text{we} \\ \text{are} \\ \text{students} \\ \text{EOS} \end{bmatrix} \right) = \text{softmax} \left(\begin{bmatrix} h_4^{(t)} \times E[\text{we}] \\ h_4^{(t)} \times E[\text{are}] \\ h_4^{(t)} \times E[\text{students}] \\ h_4^{(t)} \times E[\text{EOS}] \end{bmatrix} \right) = \begin{bmatrix} 0.2037 \\ 0.2147 \\ 0.1959 \\ 0.3857 \end{bmatrix} \\
 P(Y|X) &= \text{score}_1 \times \text{score}_2 \times \text{score}_3 \times \text{score}_4
 \end{aligned}$$

Attention

- The idea: Different weights used when generating target

$$C_1 = 0.98 \times h_1^{(s)} + 0.01 \times h_2^{(s)} + 0.01 \times h_3^{(s)}$$

$$C_2 = 0.01 \times h_1^{(s)} + 0.98 \times h_2^{(s)} + 0.01 \times h_3^{(s)}$$

$$C_3 = 0.98 \times h_3^{(s)}$$

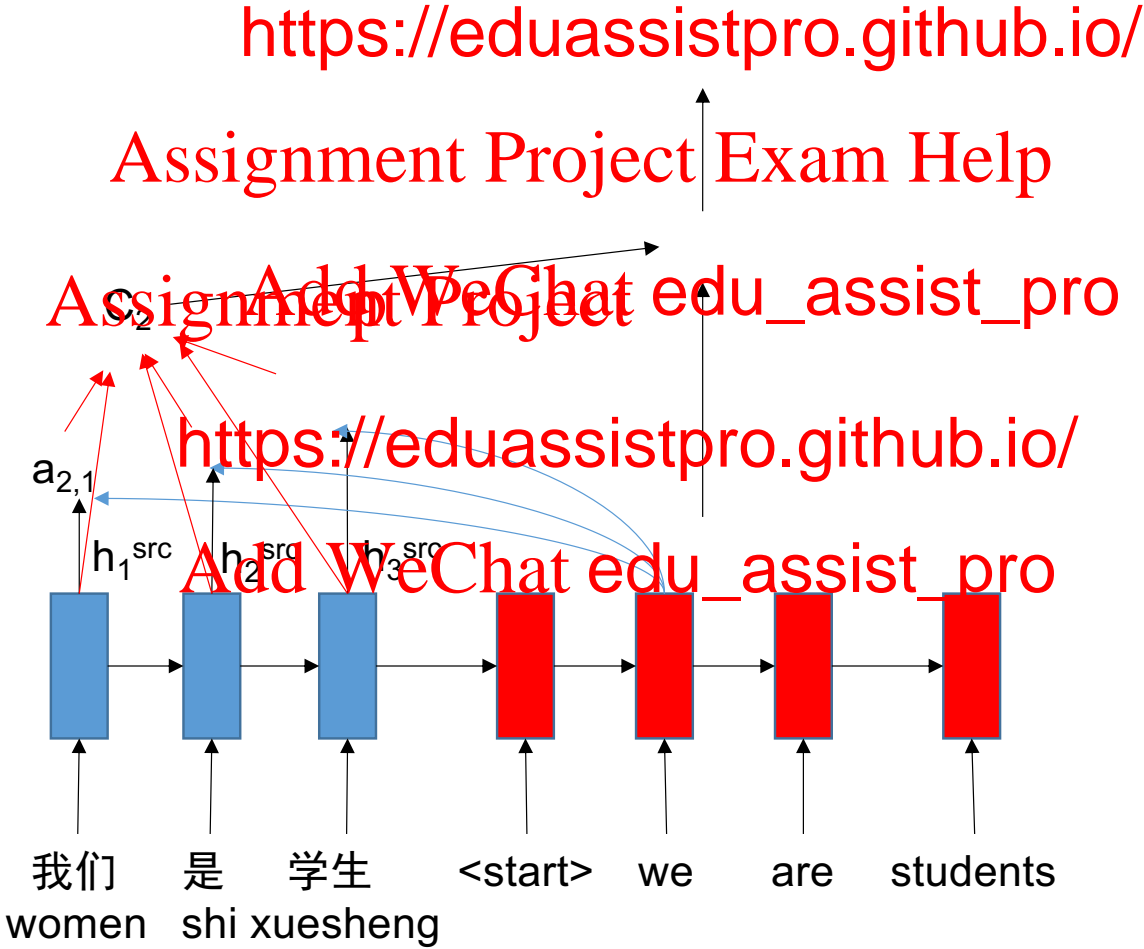
$$C_m = \sum_n \alpha_{m \rightarrow n} \times$$

$$\alpha_{m \rightarrow n} = \frac{\exp(\text{score}(\mathbf{h}_m^{(t)}, \mathbf{h}_n^{(s)}))}{\sum_{n'=1} \exp(\text{score}(\mathbf{h}_m^{(t)}, \mathbf{h}_{n'}^{(s)}))}$$

$$\text{score}(\mathbf{h}_m^{(t)}, \mathbf{h}_n^{(s)}) = \mathbf{h}_m^{(t)\top} \mathbf{h}_n^{(s)}$$

- Other scoring variants exist

Computing attention



Other attention variants

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- Additive attention:

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$$\psi_{\alpha}(m, n) = v_{\alpha} \tanh(\alpha \mathbf{h}_m \mathbf{h}_n^T)$$

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- Multiplicative attention

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$$\psi_{\alpha}(m, n) = \mathbf{h}_m^T \Theta_{\alpha} \mathbf{h}_n$$

Drawbacks of RNNs

- ▶ For RNNs, input x_i of each state (h_i) depends on the previous state h_{i-1} .
- ▶ This prevents parallel computation for all tokens in the input sequence simultaneously, making it difficult to take advantage of modern computation architecture for speed.
- ▶ We can imagine a sequence input as a directed graph. Conceptually, this can be viewed as a fully connected graph where each token is a node in the graph, and the hidden state of each token depends on all other tokens in the graph.
- ▶ With this approach, the computation of the hidden state of a token h_i does not depend on the computation of another hidden state. It only depends on the input sequence.
- ▶ With this approach, the order information would have to be captured separately, with position encoding.