

## 6. DYNAMIC PROGRAMMING I

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- ▶ *weighted interval scheduling*
- ▶ *segmented least squares*

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▶ *knapsack problem*

*data structure*  
<https://eduassistpro.github.io/>

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Lecture slides by Kevin Wayne

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<http://www.cs.princeton.edu/~wayne/kleinberg-tardos>

# Algorithmic paradigms

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**Greed.** Process the input in some order, myopically making irrevocable decisions.

**Divide-and-conquer.** Break up a problem into **independent** subproblems; solve each subproblem; combine solutions to subproblems to form solution to original problem.

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**Dynamical programming.** B <https://eduassistpro.github.io/> overlapping subproblems; combine solutions to small problems to form solution to large subproblem.

fancy name for  
caching intermediate results  
in a table for later reuse

# Dynamic programming history

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Bellman. Pioneered the systematic study of dynamic programming in 1950s.

## Etyymology.

- Dynamic programming = planning over time.
- Secretary of Defense had pathological fear of mathematical research.
- Bellman sought a “dynamic” adjective to avoid conflict.  
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# Dynamic programming applications

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## Application areas.

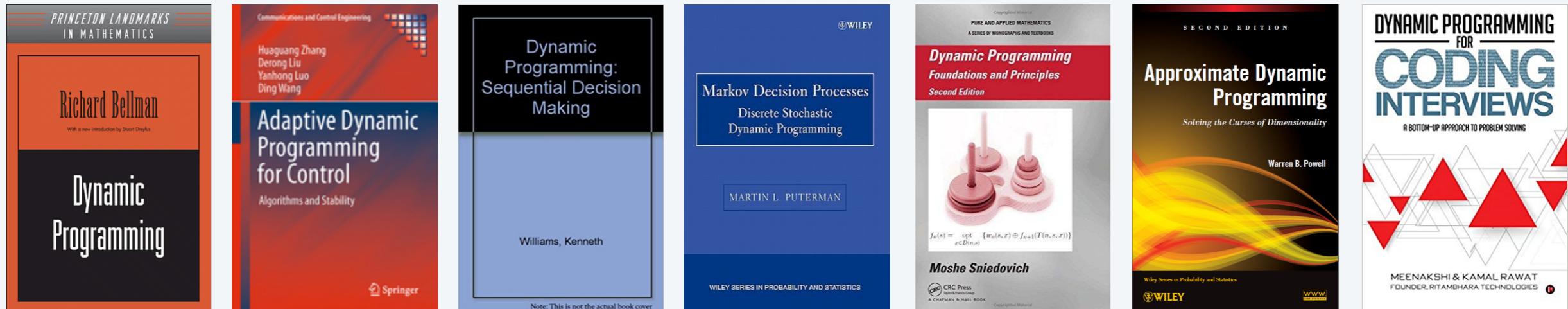
- Computer science: AI, compilers, systems, graphics, theory, ....
- Operations research.
- Information theory.
- Control theory.
- Bioinformatics.

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Some famous dynamic pro <https://eduassistpro.github.io/>

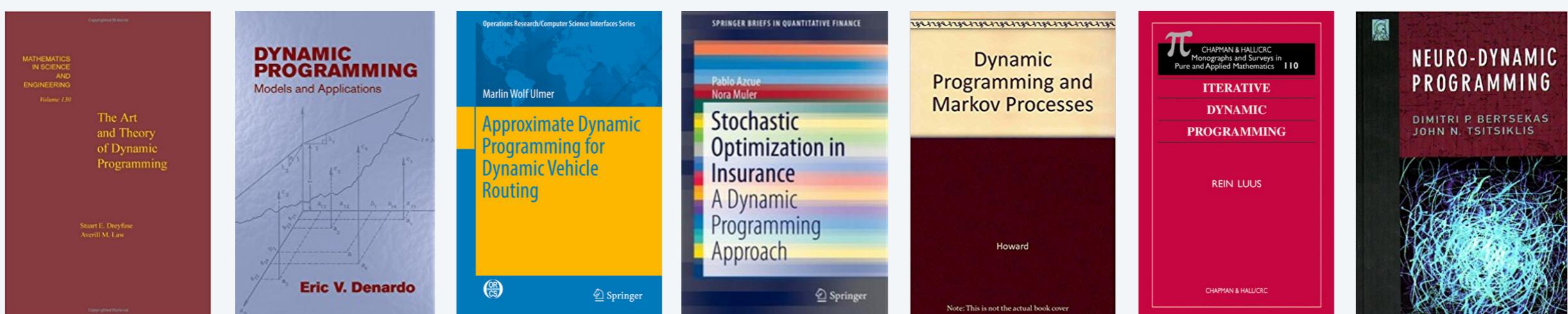
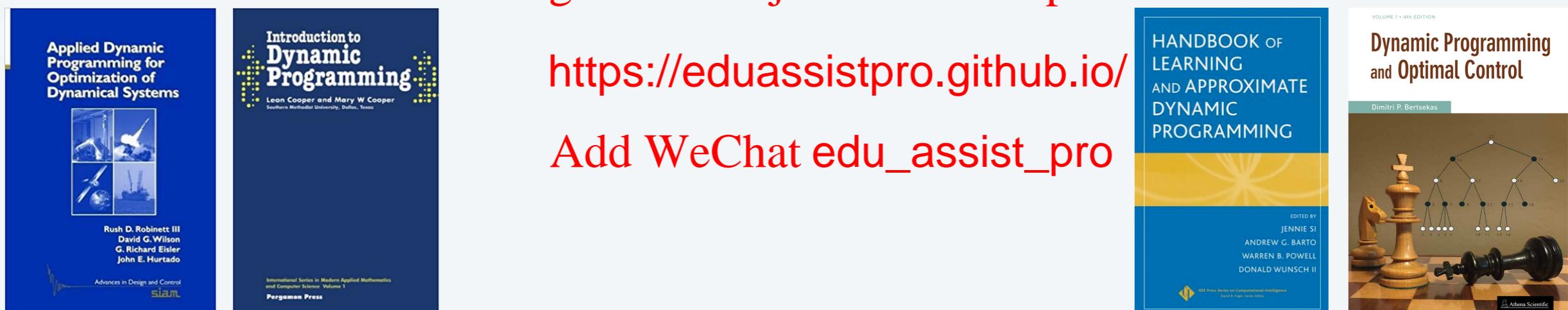
- Avidan–Shamir for seam [AddWeChat edu\\_assist\\_pro](#)
- Unix diff for comparing two files.
- Viterbi for hidden Markov models.
- De Boor for evaluating spline curves.
- Bellman–Ford–Moore for shortest path.
- Knuth–Plass for word wrapping text in *T<sub>E</sub>X*.
- Cocke–Kasami–Younger for parsing context-free grammars.
- Needleman–Wunsch/Smith–Waterman for sequence alignment.

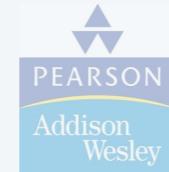
# Dynamic programming books



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→ Knapsack problem

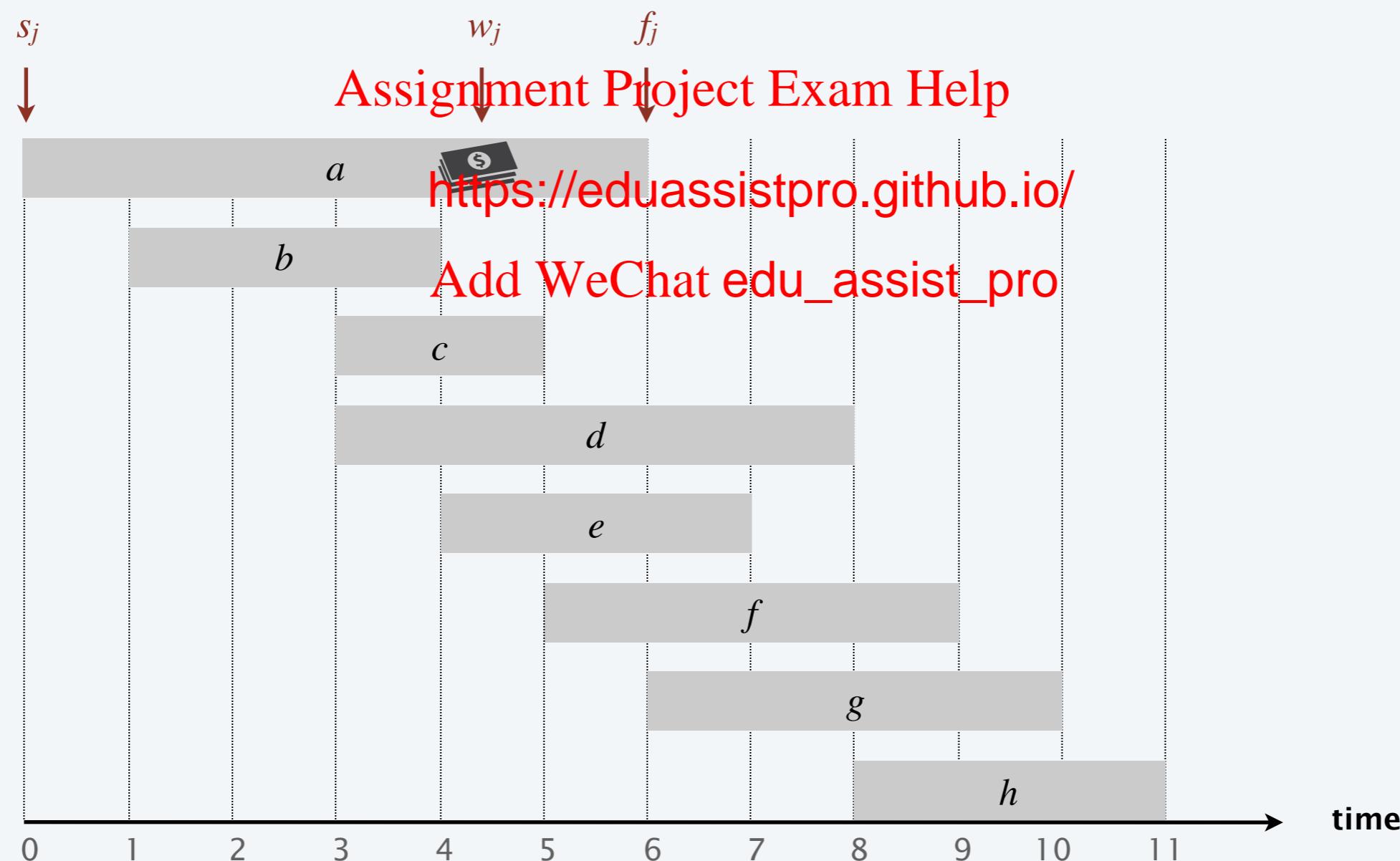
*data structure*  
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SECTIONS 6.1-6.2

# Weighted interval scheduling

- Job  $j$  starts at  $s_j$ , finishes at  $f_j$ , and has weight  $w_j > 0$ .
- Two jobs are **compatible** if they don't overlap.
- Goal: find max-weight subset of mutually compatible jobs.



# Earliest-finish-time first algorithm

Earliest finish-time first.

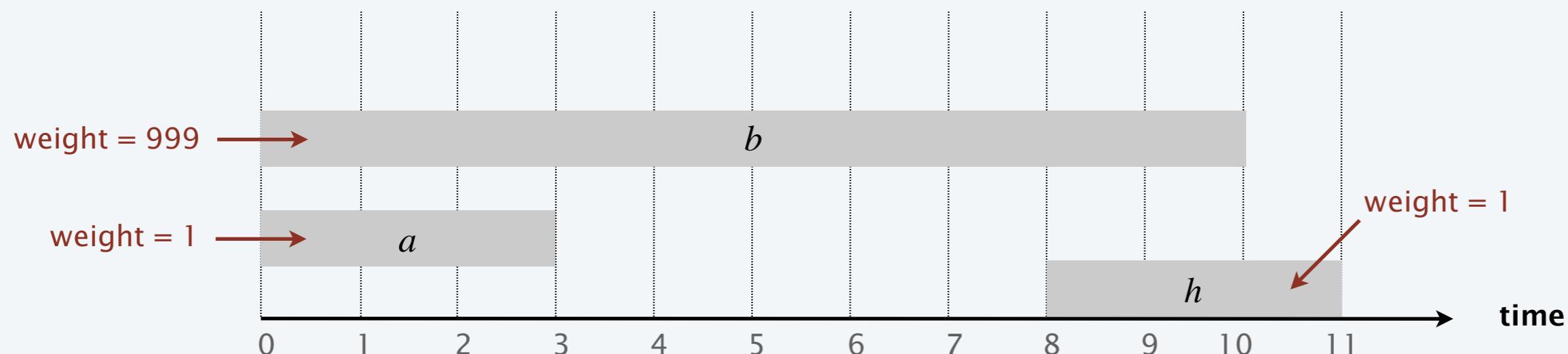
- Consider jobs in ascending order of finish time.
- Add job to subset if it is compatible with previously chosen jobs.

Recall. Greedy algorithm is correct if all weights are 1.

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Observation for weighted version. <https://eduassistpro.github.io/>

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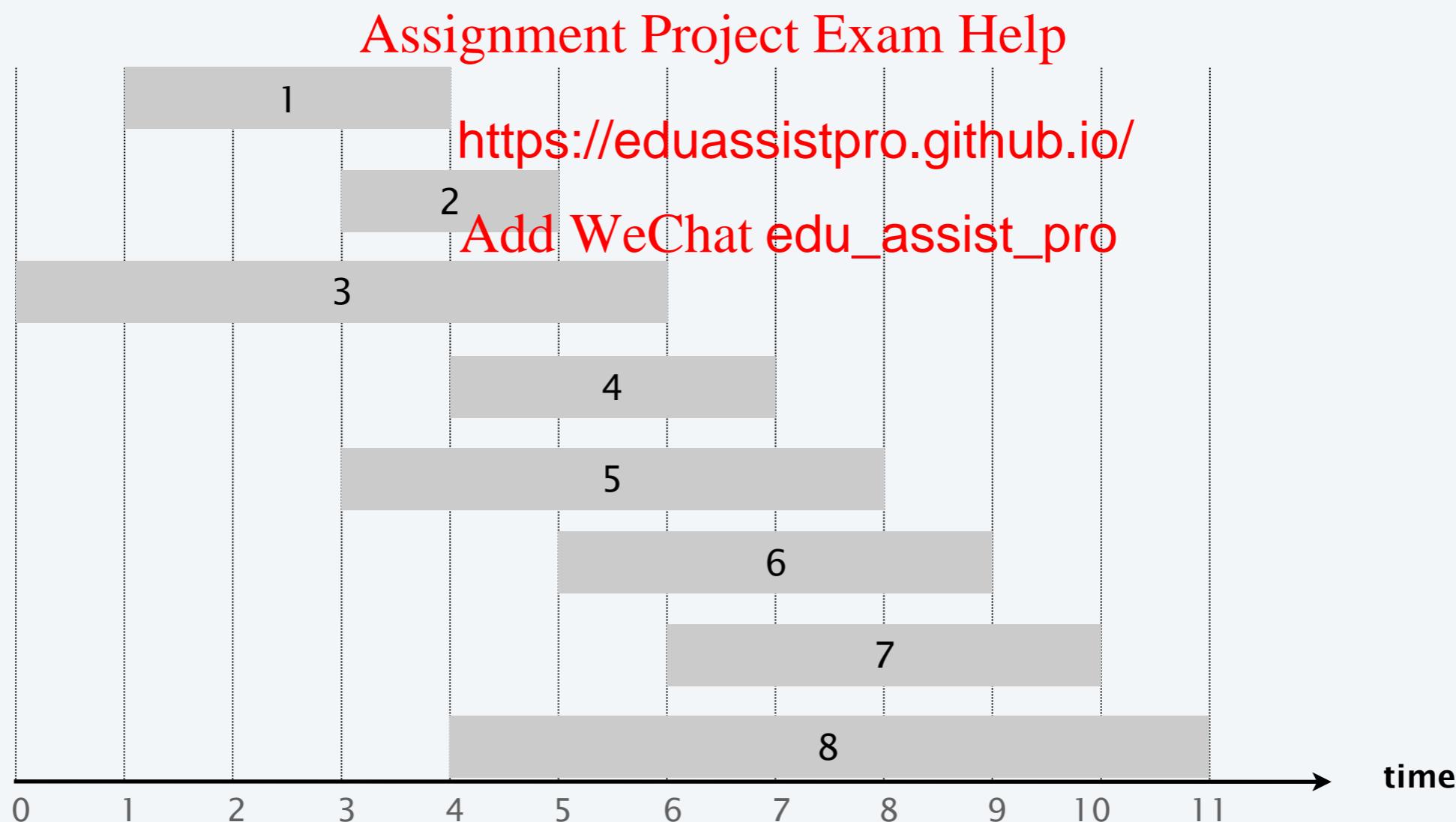
# Weighted interval scheduling

Convention. Jobs are in ascending order of finish time:  $f_1 \leq f_2 \leq \dots \leq f_n$ .

Def.  $p(j)$  = largest index  $i < j$  such that job  $i$  is compatible with  $j$ .

Ex.  $p(8) = 1, p(7) = 3, p(2) = 0$ .

*i is leftmost interval  
that ends before j begins*



# Dynamic programming: binary choice

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**Def.**  $OPT(j)$  = max weight of any subset of mutually compatible jobs for subproblem consisting only of jobs  $1, 2, \dots, j$ .

**Goal.**  $OPT(n)$  = max weight of any subset of mutually compatible jobs.

**Case 1.**  $OPT(j)$  does not select job  $j$

- Missing optimal sol  
jobs  $1, 2, \dots, j - 1$ .

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**Case 2.**  $OPT(j)$  selects job  $j$ .

- Collect profit  $w_j$ .
- Can't use incompatible jobs  $\{ p(j) + 1, p(j) + 2, \dots, j - 1 \}$ .
- Must include optimal solution to problem consisting of remaining compatible jobs  $1, 2, \dots, p(j)$ .

optimal substructure property  
(proof via exchange argument)

**Bellman equation.**  $OPT(j) = \begin{cases} 0 & \text{if } j = 0 \\ \max \{ OPT(j - 1), w_j + OPT(p(j)) \} & \text{if } j > 0 \end{cases}$

# Weighted interval scheduling: brute force

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**BRUTE-FORCE** ( $n, s_1, \dots, s_n, f_1, \dots, f_n, w_1, \dots, w_n$ )

Sort jobs by finish time and renumber so that  $f_1 \leq f_2 \leq \dots \leq f_n$ .

Compute  $p[1], p[2], \dots, p[n]$  via binary search.

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**RETURN** COMPUTE-O

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**COMPUTE-OPT( $j$ )**

**IF** ( $j = 0$ )

**RETURN** 0.

**ELSE**

**RETURN** max {COMPUTE-OPT( $j - 1$ ),  $w_j + \text{COMPUTE-OPT}(p[j])$  }.



What is running time of COMPUTE-OPT( $n$ ) in the worst case?

A.  $\Theta(n \log n)$

B.  $\Theta(n^2)$

C.  $\Theta(1.618^n)$

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D.  $\Theta(2^n)$

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COMPUTE-OPT( $j$ )

IF ( $j = 0$ )

RETURN 0.

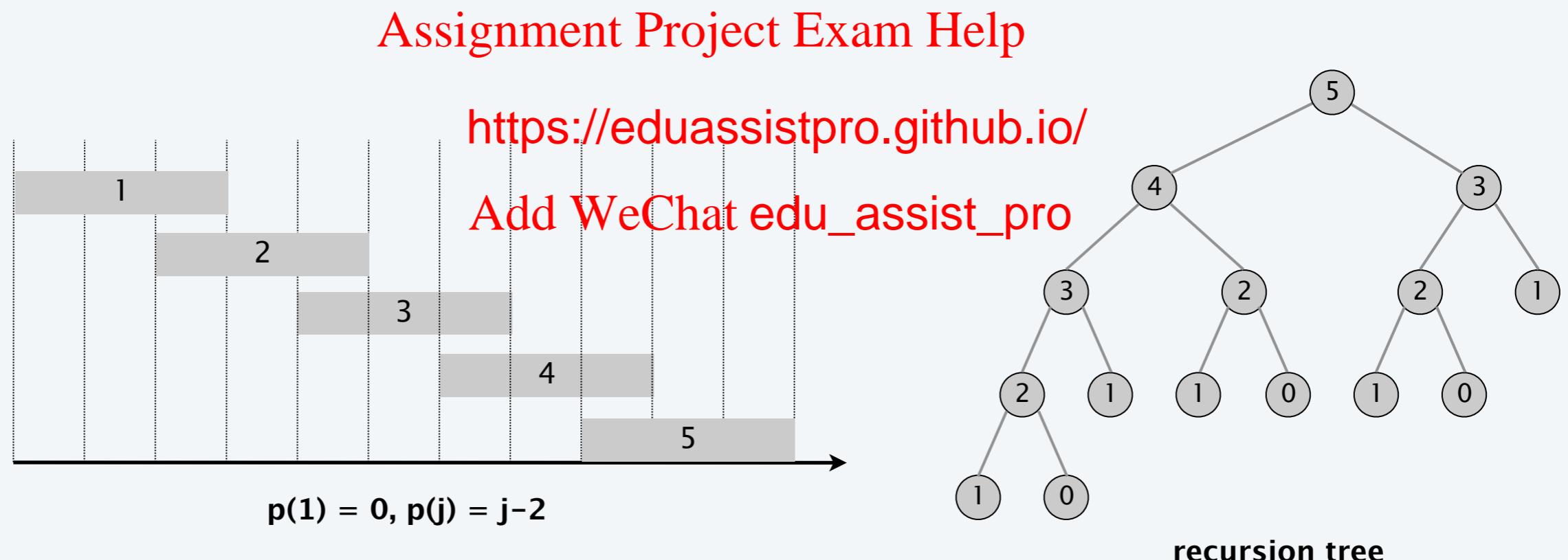
ELSE

RETURN max {COMPUTE-OPT( $j - 1$ ),  $w_j + \text{COMPUTE-OPT}(p[j])$  }.

# Weighted interval scheduling: brute force

**Observation.** Recursive algorithm is spectacularly slow because of overlapping subproblems  $\Rightarrow$  exponential-time algorithm.

**Ex.** Number of recursive calls for family of “layered” instances grows like Fibonacci sequence.



# Weighted interval scheduling: memoization

Top-down dynamic programming (memoization).

- Cache result of subproblem  $j$  in  $M[j]$ .
- Use  $M[j]$  to avoid solving subproblem  $j$  more than once.

**TOP-DOWN**( $n, s_1, \dots, s_n, f_1, \dots, f_n, w_1, \dots, w_n$ )

Sort jobs by finish time and renumber so that  $f_1 \leq f_2 \leq \dots \leq f_n$ .

Compute  $p[1], p[2], \dots, p[$  <https://eduassistpro.github.io/>

$M[0] \leftarrow 0.$   $\longleftarrow$  global array  
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RETURN M-COMPUTE-OPT( $n$ ).

**M-COMPUTE-OPT**( $j$ )

IF ( $M[j]$  is uninitialized)

$M[j] \leftarrow \max \{ \text{M-COMPUTE-OPT}(j-1), w_j + \text{M-COMPUTE-OPT}(p[j]) \}.$

RETURN  $M[j]$ .

## Weighted interval scheduling: running time

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**Claim.** Memoized version of algorithm takes  $O(n \log n)$  time.

Pf.

- Sort by finish time:  $O(n \log n)$  via mergesort.
- Compute  $p[j]$  for each  $j$ :  $O(n \log n)$  via binary search.
- M-COMPUTE-OPT( $j$ ): each invocation takes  $O(1)$  time and either
  - (1) returns an initial
  - (2) initializes  $M[j]$  an <https://eduassistpro.github.io/>  
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- Progress measure  $\Phi = \#$  initialized entries among  $M[1..n]$ .
  - initially  $\Phi = 0$ ; throughout  $\Phi \leq n$ .
  - (2) increases  $\Phi$  by 1  $\Rightarrow \leq 2n$  recursive calls.
- Overall running time of M-COMPUTE-OPT( $n$ ) is  $O(n)$ . ■

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## Weighted interval scheduling: finding a solution

- Q. DP algorithm computes optimal value. How to find optimal solution?  
A. Make a second pass by calling FIND-SOLUTION( $n$ ).

FIND-SOLUTION( $j$ )

IF ( $j = 0$ )

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RETURN

ELSE IF ( $w_j$

RETURN Add WeChat edu\_assist[j] pro

ELSE

RETURN FIND-SOLUTION( $j - 1$ ).

$$M[j] = \max \{ M[j-1], w_j + M[p[j]] \}.$$

Analysis. # of recursive calls  $\leq n \Rightarrow O(n)$ .

# Weighted interval scheduling: bottom-up dynamic programming

Bottom-up dynamic programming. Unwind recursion.

BOTTOM-UP( $n, s_1, \dots, s_n, f_1, \dots, f_n, w_1, \dots, w_n$ )

Sort jobs by finish time and renumber so that  $f_1 \leq f_2 \leq \dots \leq f_n$ .

Compute  $p[1], p[2], \dots$ , <https://eduassistpro.github.io/>

$M[0] \leftarrow 0$ .

FOR  $j = 1$  TO  $n$

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$M[j] \leftarrow \max \{ M[j-1], w_j + M[p[j]] \}$ .

Running time. The bottom-up version takes  $O(n \log n)$  time.

# MAXIMUM SUBARRAY PROBLEM



**Goal.** Given an array  $x$  of  $n$  integer (positive or negative), find a contiguous subarray whose sum is maximum.

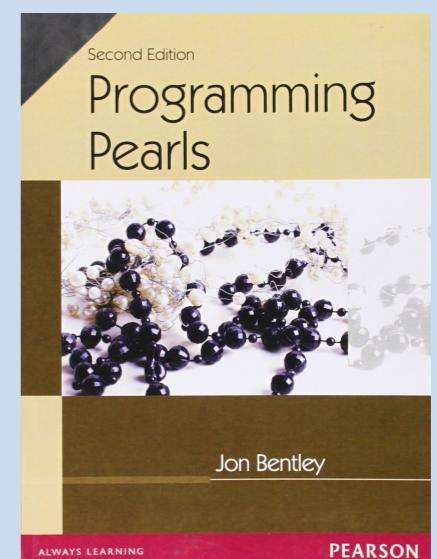


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**Applications.** Computer vision, data mining, genomic sequence analysis, technical job interviews, ....



# MAXIMUM RECTANGLE PROBLEM

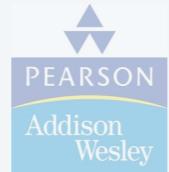


Goal. Given an  $n$ -by- $n$  matrix  $A$ , find a rectangle whose sum is maximum.

$$A = \begin{bmatrix} -2 & 5 & 0 & -5 & -2 & 2 & -3 \\ 4 & -3 & -1 & 3 & 2 & 1 & -1 \\ -5 & 6 & 3 & 5 & 1 & 4 & -2 \\ -1 & \text{Assignment Project Exam Help} \\ 3 & \text{https://eduassistpro.github.io/} \\ -2 & \text{Add WeChat edu_assist_pro} \\ 2 & -4 & 0 & 1 & 0 & -3 & -1 \end{bmatrix}$$

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Applications. Databases, image processing, maximum likelihood estimation, technical job interviews, ...



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SECTION 6.3

# Least squares

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**Least squares.** Foundational problem in statistics.

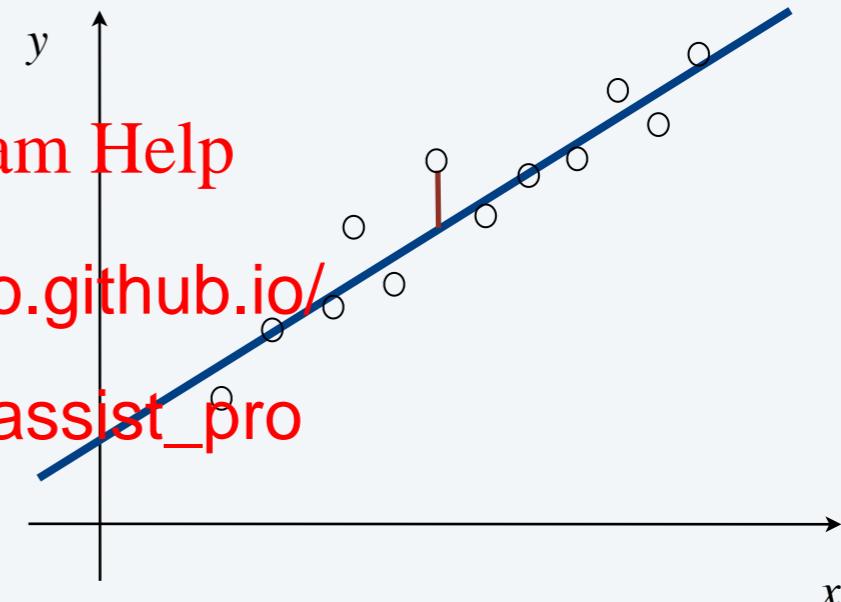
- Given  $n$  points in the plane:  $(x_1, y_1), (x_2, y_2), \dots, (x_n, y_n)$ .
- Find a line  $y = ax + b$  that minimizes the sum of the squared error:

$$SSE = \sum_{i=1}^n (y_i - ax_i - b)^2$$

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**Solution.** Calculus  $\Rightarrow$  min error is achieved when

$$a = \frac{n \sum_i x_i y_i - (\sum_i x_i)(\sum_i y_i)}{n \sum_i x_i^2 - (\sum_i x_i)^2}, \quad b = \frac{\sum_i y_i - a \sum_i x_i}{n}$$

# Segmented least squares

## Segmented least squares.

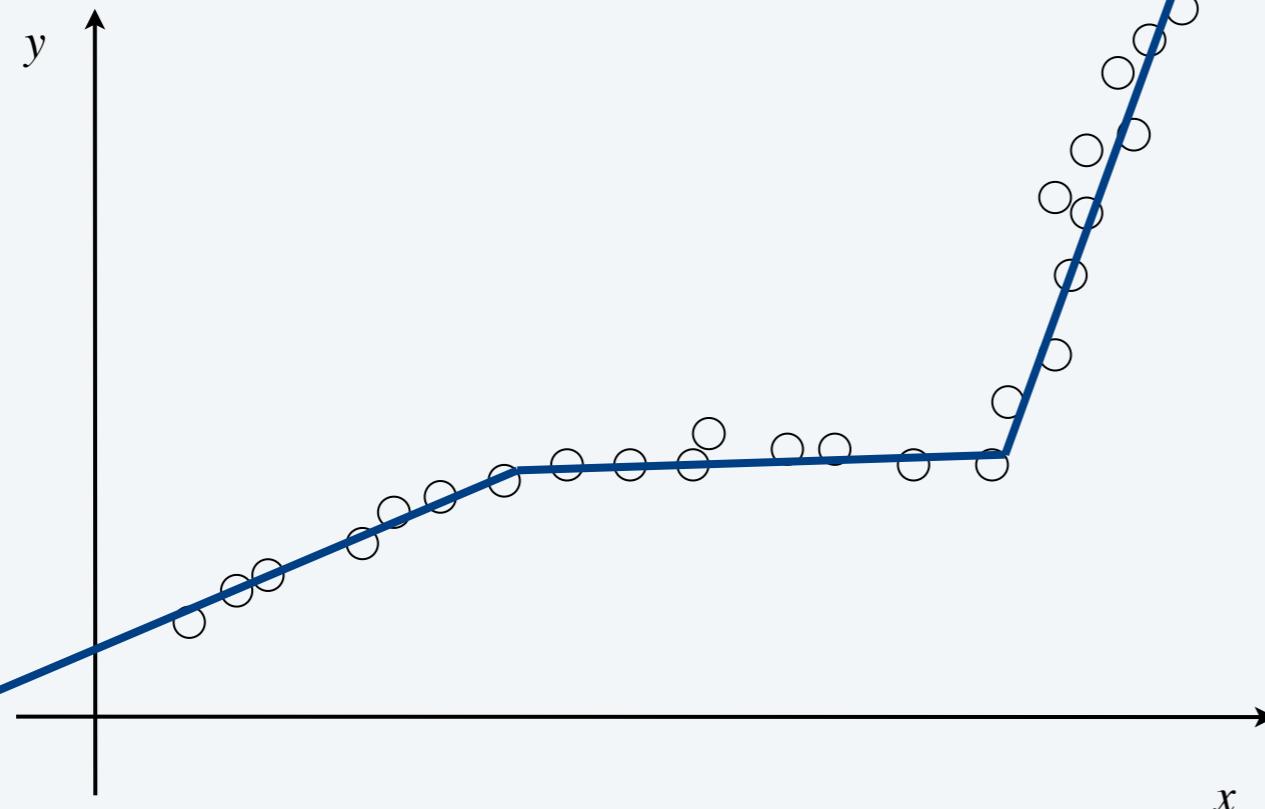
- Points lie roughly on a sequence of several line segments.
- Given  $n$  points in the plane:  $(x_1, y_1), (x_2, y_2), \dots, (x_n, y_n)$  with  $x_1 < x_2 < \dots < x_n$ , find a sequence of lines that minimizes  $f(x)$ .

Q. What is a reasonable choice for  $f(x)$  to balance accuracy and parsimony?

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# Segmented least squares

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## Segmented least squares.

- Points lie roughly on a sequence of several line segments.
- Given  $n$  points in the plane:  $(x_1, y_1), (x_2, y_2), \dots, (x_n, y_n)$  with  $x_1 < x_2 < \dots < x_n$ , find a sequence of lines that minimizes  $f(x)$ .

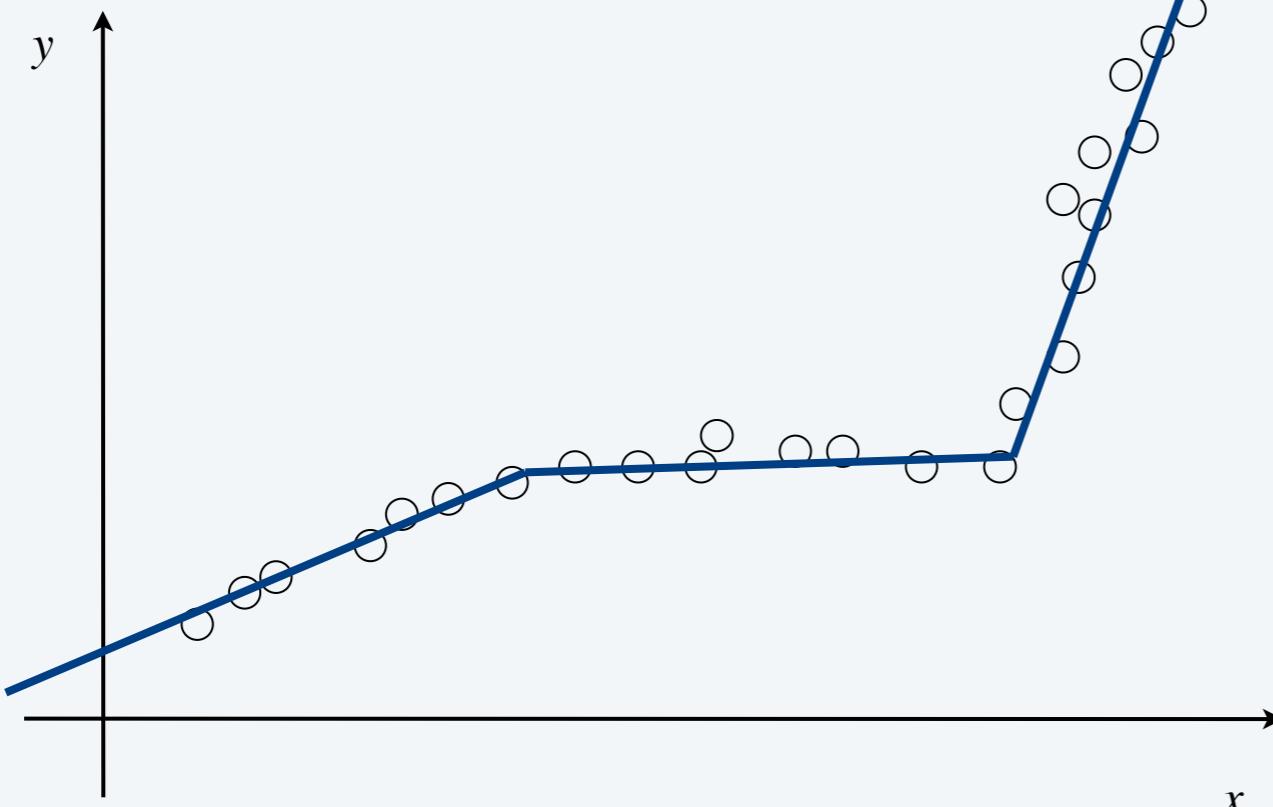
Goal. Minimize  $f(x) = E + cL$  for some constant  $c > 0$ , where

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- $E$  = sum of squared residuals
- $L$  = number of lines.

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# Dynamic programming: multiway choice

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## Notation.

- $OPT(j)$  = minimum cost for points  $p_1, p_2, \dots, p_j$ .
- $e_{ij}$  = SSE for points  $p_i, p_{i+1}, \dots, p_j$ .

To compute  $OPT(j)$ :

- Last segment uses points  $p_i, p_{i+1}, \dots, p_j$  for some  $i \leq j$ .  
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- Cost =  $e_{ij} + c + OPT(i - 1)$       ← party  
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## Bellman equation.

$$OPT(j) = \begin{cases} 0 & \text{if } j = 0 \\ \min_{1 \leq i \leq j} \{ e_{ij} + c + OPT(i - 1) \} & \text{if } j > 0 \end{cases}$$

# Segmented least squares algorithm

**SEGMENTED-LEAST-SQUARES**( $n, p_1, \dots, p_n, c$ )

FOR  $j = 1$  TO  $n$

# FOR i = 1 TO j Assignment Project Exam Help

Compute the SSE  $e_{ij}$  <https://eduassistpro.github.io/>

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$$M[0] \leftarrow 0.$$

FOR  $j = 1$  TO  $n$

previously computed value

$$M[j] \leftarrow \min_{1 \leq i \leq j} \{ e_{ij} + c + M[i-1] \}.$$

RETURN  $M[n]$ .

## Segmented least squares analysis

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**Theorem.** [Bellman 1961] DP algorithm solves the segmented least squares problem in  $O(n^3)$  time and  $O(n^2)$  space.

Pf.

- Bottleneck = computing SSE  $e_{ij}$  for each  $i$  and  $j$ .

$$a_{ij} = \frac{n \sum_k x_k y_k - (\sum_k y_k - a_{ij} \sum_k x_k)}{n \sum_k x_k^2}$$

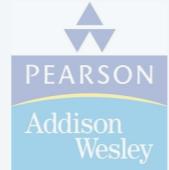
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- $O(n)$  to compute  $e_{ij}$ . ■

**Remark.** Can be improved to  $O(n^2)$  time.

- For each  $i$ : precompute cumulative sums  $\sum_{k=1}^i x_k, \sum_{k=1}^i y_k, \sum_{k=1}^i x_k^2, \sum_{k=1}^i x_k y_k$ .
- Using cumulative sums, can compute  $e_{ij}$  in  $O(1)$  time.



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▶ **knapsack problem**

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SECTION 6.4

# Knapsack problem

**Goal.** Pack knapsack so as to maximize total value of items taken.

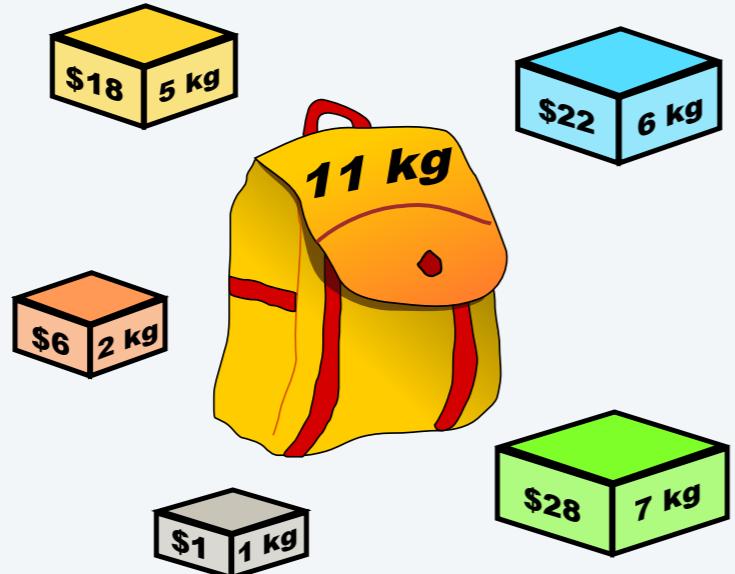
- There are  $n$  items: item  $i$  provides value  $v_i > 0$  and weighs  $w_i > 0$ .
- Value of a subset of items = sum of values of individual items.
- Knapsack has weight limit of  $W$ .

**Ex.** The subset { 1, 2, 5 } has value \$35 (and weight 10).  
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**Ex.** The subset { 3, 4 } has

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**Assumption.** All values and weights are positive integers



$i$	$v_i$	$w_i$
1	\$1	1 kg
2	\$6	2 kg
3	\$18	5 kg
4	\$22	6 kg
5	\$28	7 kg

weights and values  
can be arbitrary  
positive integers

knapsack instance  
(weight limit  $W = 11$ )

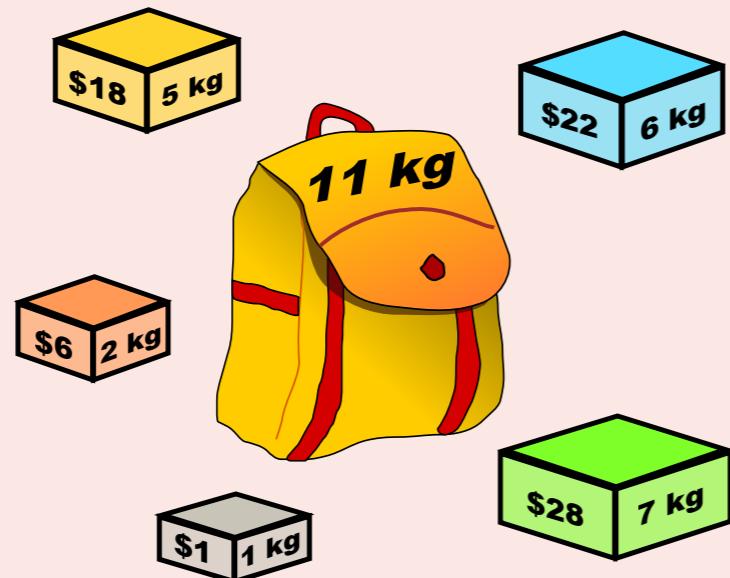


## Which algorithm solves knapsack problem?

- A. Greedy-by-value: repeatedly add item with maximum  $v_i$ .
- B. Greedy-by-weight: repeatedly add item with minimum  $w_i$ .
- C. Greedy-by-ratio; repeatedly add item with maximum ratio  $v_i / w_i$ .  
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- D. None of the above.

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$i$	$v_i$	$w_i$
1	\$1	1 kg
2	\$6	2 kg
3	\$18	5 kg
4	\$22	6 kg
5	\$28	7 kg

**knapsack instance  
(weight limit  $W = 11$ )**



## Which subproblems?

- A.  $OPT(w)$  = optimal value of knapsack problem with weight limit  $w$ .
- B.  $OPT(i)$  = optimal value of knapsack problem with items  $1, \dots, i$ .
- C.  $OPT(i, w)$  = optimal value of knapsack problem with items  $1, \dots, i$   
subject to weight  $l_i$
- D. Any of the above.

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## Dynamic programming: two variables

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**Def.**  $OPT(i, w)$  = optimal value of knapsack problem with items  $1, \dots, i$ , subject to weight limit  $w$ .

**Goal.**  $OPT(n, W)$ .

**Case 1.**  $OPT(i, w)$  does not select item  $i$ .

- $OPT(i, w)$  selects best of  $\{1, 2, \dots, i-1\}$  subject to weight limit  $w$ .

possibly because  $w_i > w$

**Case 2.**  $OPT(i, w)$  selects it

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optimal substructure property

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- Collect value  $v_i$ .
- New weight limit =  $w - w_i$ .
- $OPT(i, w)$  selects best of  $\{1, 2, \dots, i-1\}$  subject to new weight limit.

**Bellman equation.**

$$OPT(i, w) = \begin{cases} 0 & \text{if } i = 0 \\ OPT(i-1, w) & \text{if } w_i > w \\ \max \{ OPT(i-1, w), v_i + OPT(i-1, w - w_i) \} & \text{otherwise} \end{cases}$$

# Knapsack problem: bottom-up dynamic programming

**KNAPSACK**( $n, W, w_1, \dots, w_n, v_1, \dots, v_n$ )

FOR  $w = 0$  TO  $W$

$M[0, w] \leftarrow 0.$

FOR  $i = 1$  TO  $n$

FOR  $w = 0$  TO  $W$

IF  $(w_i > w)$   $M[i, w] \leftarrow M[i-1, w]$

ELSE

$M[i, w] \leftarrow \max \{ M[i-1, w], v_i + M[i-1, w - w_i] \}$ .

RETURN  $M[n, W]$ .

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$$OPT(i, w) = \begin{cases} 0 & \text{if } i = 0 \\ OPT(i - 1, w) & \text{if } w_i > w \\ \max \{ OPT(i - 1, w), v_i + OPT(i - 1, w - w_i) \} & \text{otherwise} \end{cases}$$

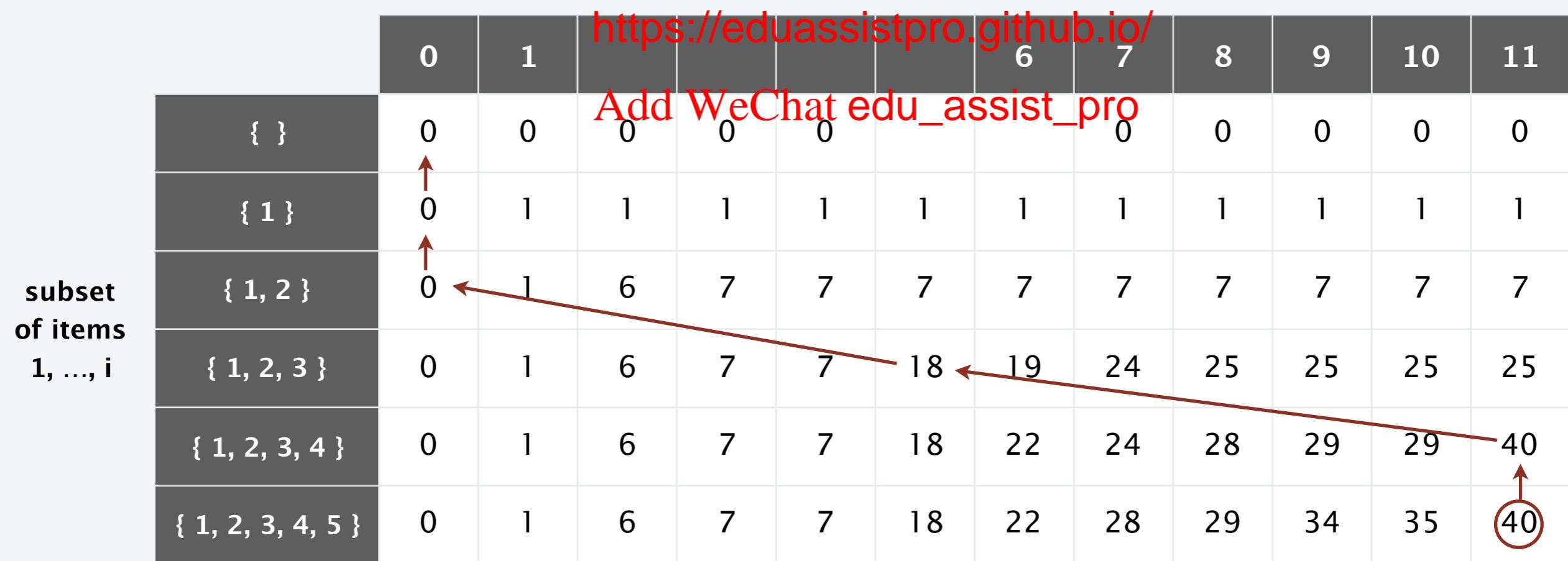
# Knapsack problem: bottom-up dynamic programming demo

$i$	$v_i$	$w_i$
1	\$1	1 kg
2	\$6	2 kg
3	\$18	5 kg
4	\$22	6 kg
5	\$28	7 kg

$$OPT(i, w) = \begin{cases} 0 & \text{if } i = 0 \\ OPT(i - 1, w) & \text{if } w_i > w \\ \max \{OPT(i - 1, w), v_i + OPT(i - 1, w - w_i)\} & \text{otherwise} \end{cases}$$

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limit w



$OPT(i, w)$  = optimal value of knapsack problem with items 1, ..., i, subject to weight limit w

## Knapsack problem: running time

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**Theorem.** The DP algorithm solves the knapsack problem with  $n$  items and maximum weight  $W$  in  $\Theta(n W)$  time and  $\Theta(n W)$  space.

Pf.

- Takes  $O(1)$  time per table entry.
- There are  $\Theta(n W)$  table entries.
- After computing optimal values, can trace back to find solution:  
 $OPT(i, w)$  takes item  $i$  iff

weights are integers  
between 1 and  $W$

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### Remarks.

- Algorithm depends critically on assumption that weights are integral.
- Assumption that values are integral was not used.



Does there exist a poly-time algorithm for the knapsack problem?

- A. Yes, because the DP algorithm takes  $\Theta(n W)$  time.
- B. No, because  $\Theta(n W)$  is not a polynomial function of the input size.
- C. No, because the problem is NP-hard.
- D. Unknown.

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# COIN CHANGING



**Problem.** Given  $n$  coin denominations  $\{ c_1, c_2, \dots, c_n \}$  and a target value  $V$ , find the fewest coins needed to make change for  $V$  (or report impossible).

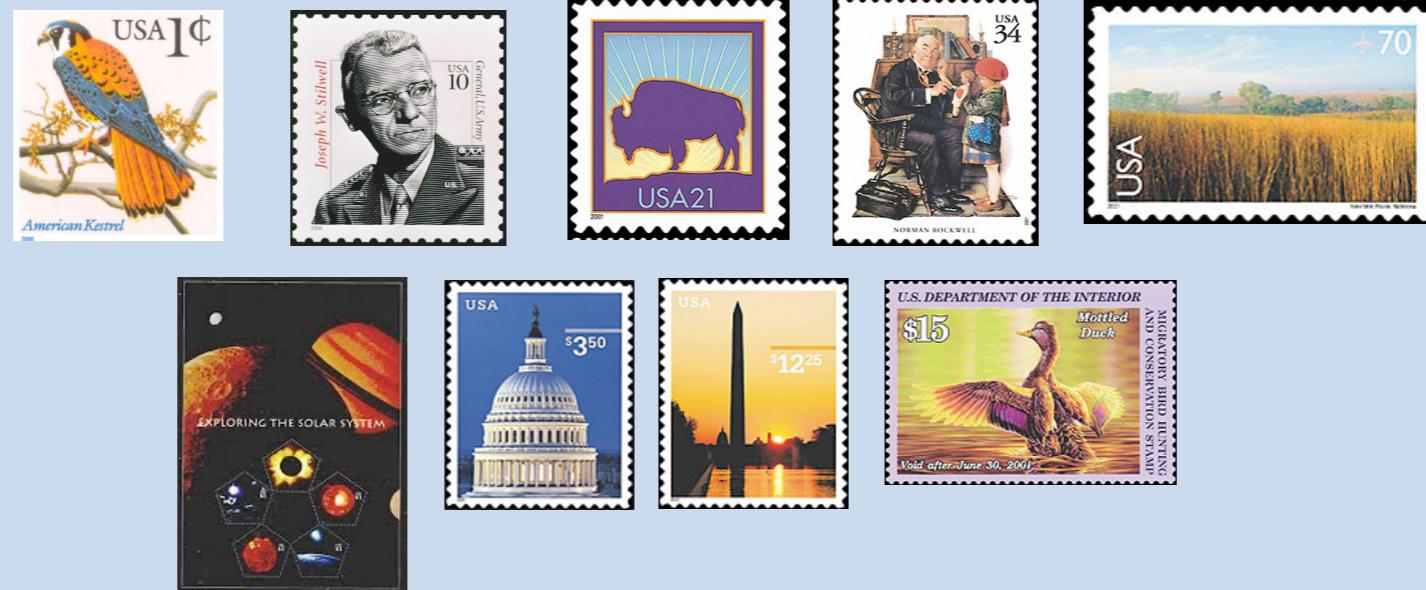
**Recall.** Greedy cashier's algorithm is optimal for U.S. coin denominations, but not for arbitrary coin denominations.

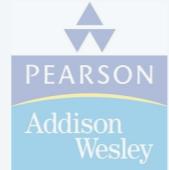
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**Ex.**  $\{ 1, 10, 21, 34, 70, 10 \}$

**Optimal.**  $140\text{¢} = 70 + 70$ .

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## 6. DYNAMIC PROGRAMMING I

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- ▶ *weighted interval scheduling*
- ▶ *segmented least squares*

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→ Knapsack problem

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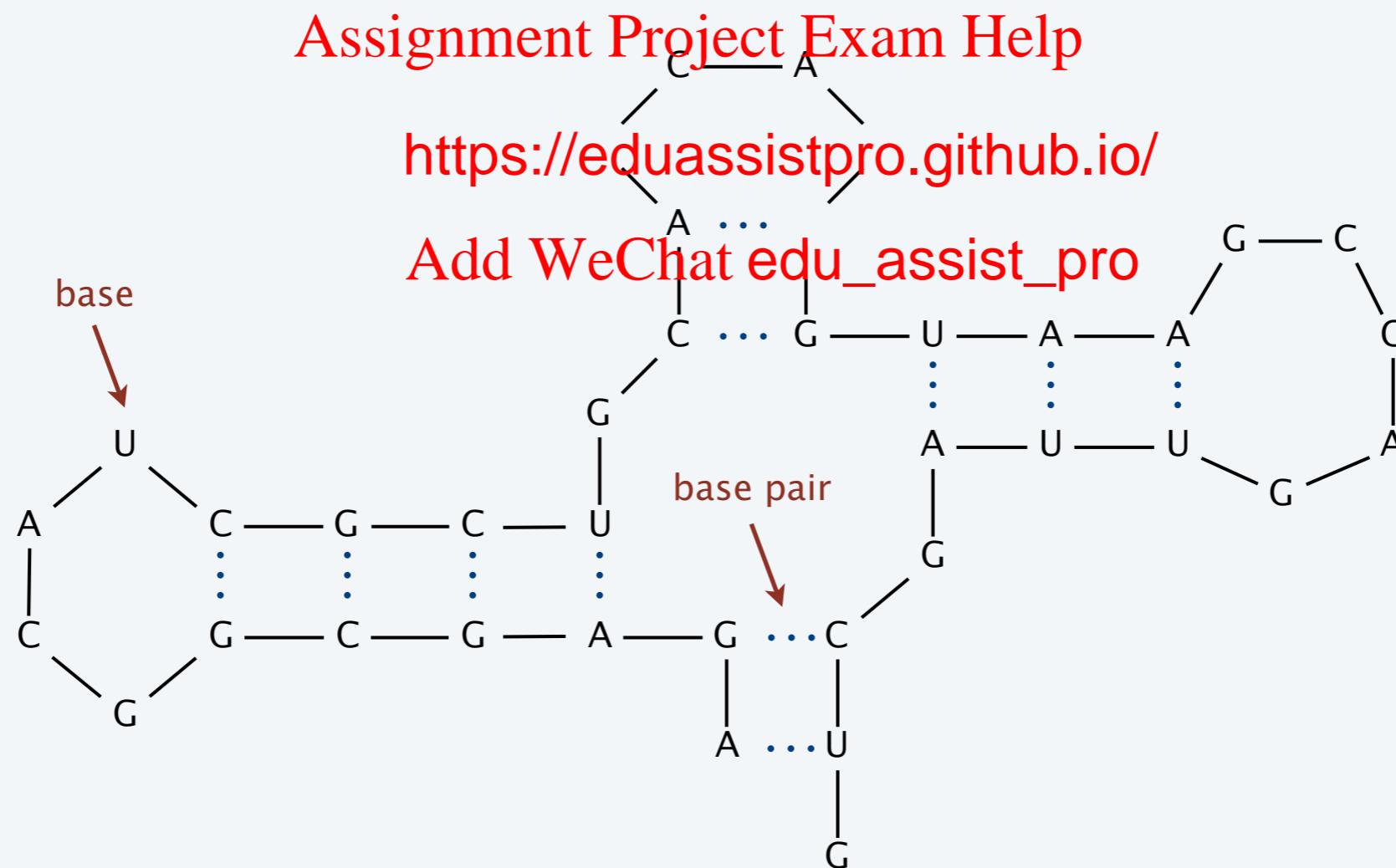
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SECTION 6.5

# RNA secondary structure

RNA. String  $B = b_1b_2\dots b_n$  over alphabet { A, C, G, U }.

Secondary structure. RNA is single-stranded so it tends to loop back and form base pairs with itself. This structure is essential for understanding behavior of molecule.



RNA secondary structure for GUCGAUUGAGCGAAUGUAACAAACGUGGUACGGCGAGA

# RNA secondary structure

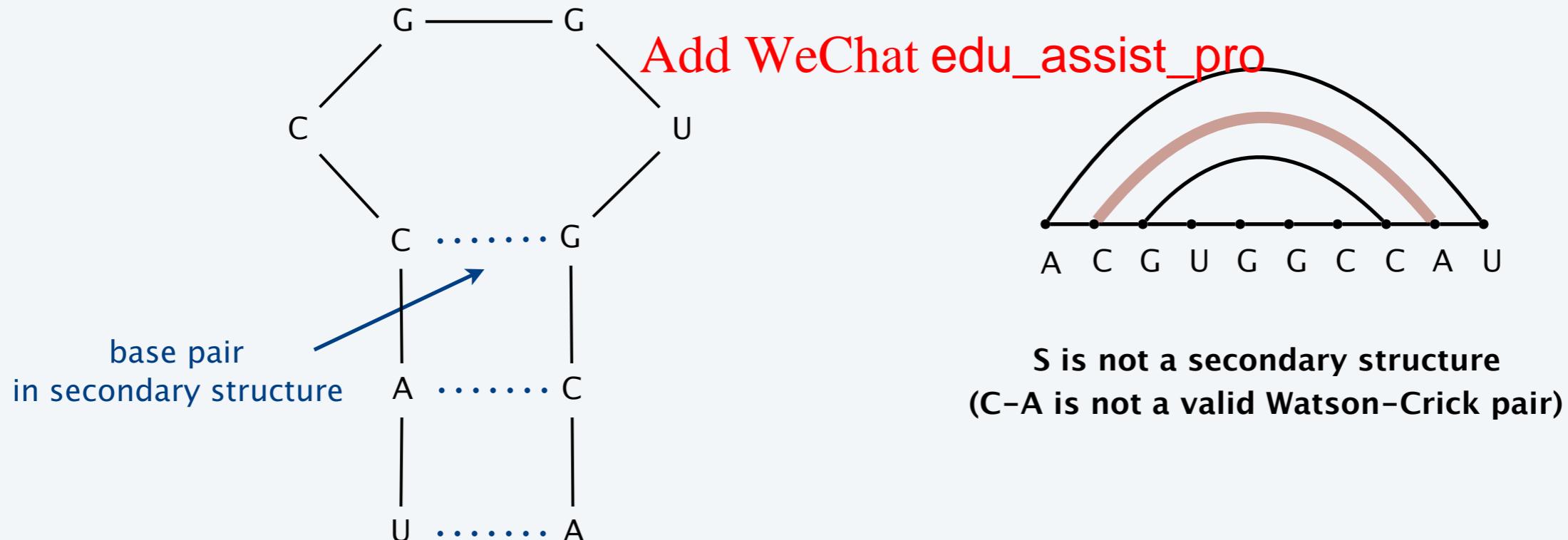
**Secondary structure.** A set of pairs  $S = \{ (b_i, b_j) \}$  that satisfy:

- [Watson–Crick]  $S$  is a matching and each pair in  $S$  is a Watson–Crick complement: A–U, U–A, C–G, or G–C.

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**$S$  is not a secondary structure  
(C–A is not a valid Watson–Crick pair)**

$$B = \text{ACGUGGCCCCAU}$$

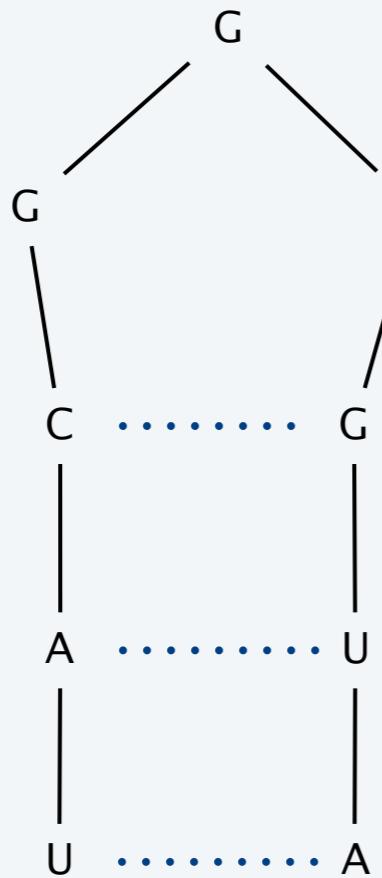
$$S = \{ (b_1, b_{10}), (b_2, b_9), (b_3, b_8) \}$$

# RNA secondary structure

**Secondary structure.** A set of pairs  $S = \{ (b_i, b_j) \}$  that satisfy:

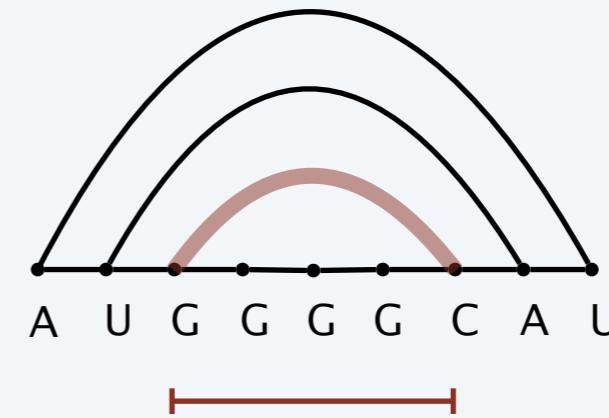
- [Watson–Crick]  $S$  is a matching and each pair in  $S$  is a Watson–Crick complement: A–U, U–A, C–G, or G–C.
- [No sharp turns] The ends of each pair are separated by at least 4 intervening bases. If  $(b_i, b_j) \in S$ , then  $i < j - 4$ .

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**S is not a secondary structure  
(≤4 intervening bases between G and C)**

$$B = \text{AUGGGGCAU}$$

$$S = \{ (b_1, b_9), (b_2, b_8), (b_3, b_7) \}$$

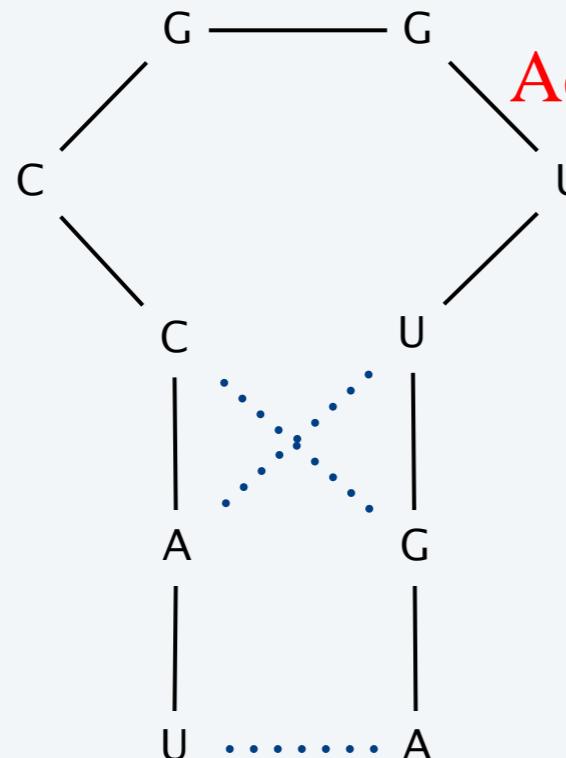
# RNA secondary structure

**Secondary structure.** A set of pairs  $S = \{ (b_i, b_j) \}$  that satisfy:

- [Watson–Crick]  $S$  is a matching and each pair in  $S$  is a Watson–Crick complement: A–U, U–A, C–G, or G–C.
- [No sharp turns] The ends of each pair are separated by at least 4 intervening bases. If  $(b_i, b_j) \in S$ , then  $i < j - 4$ .
- [Non-crossing] If  $(b_k, b_l)$  and  $(b_\ell, b_\rho)$  are two pairs in  $S$ , then we cannot have  $i < k < j < \ell$ .

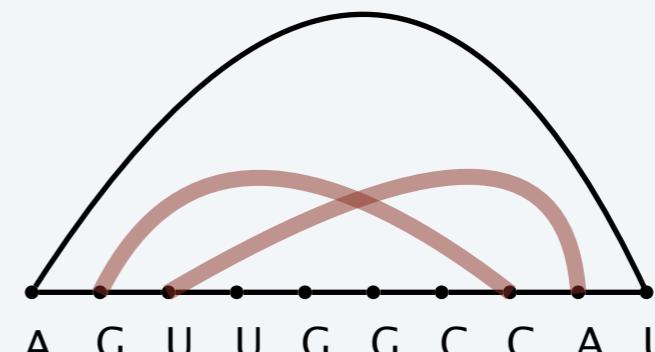
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$B = \text{ACUUGGCCAU}$

$$S = \{ (b_1, b_{10}), (b_2, b_8), (b_3, b_9) \}$$



**$S$  is not a secondary structure  
(G–C and U–A cross)**

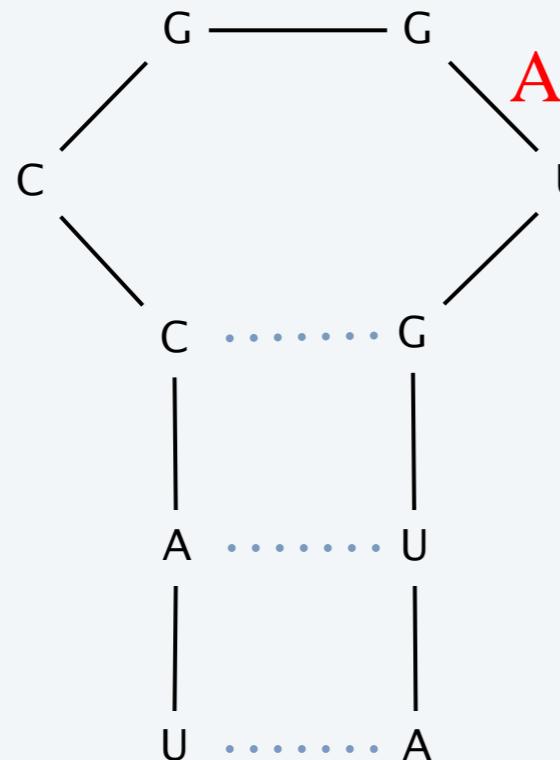
# RNA secondary structure

**Secondary structure.** A set of pairs  $S = \{ (b_i, b_j) \}$  that satisfy:

- [Watson–Crick]  $S$  is a matching and each pair in  $S$  is a Watson–Crick complement: A–U, U–A, C–G, or G–C.
- [No sharp turns] The ends of each pair are separated by at least 4 intervening bases. If  $(b_i, b_j) \in S$ , then  $i < j - 4$ .
- [Non-crossing] If  $(b_i, b_j)$  and  $(b_k, b_\ell)$  are two pairs in  $S$ , then we cannot have  $i < k < j < \ell$ .

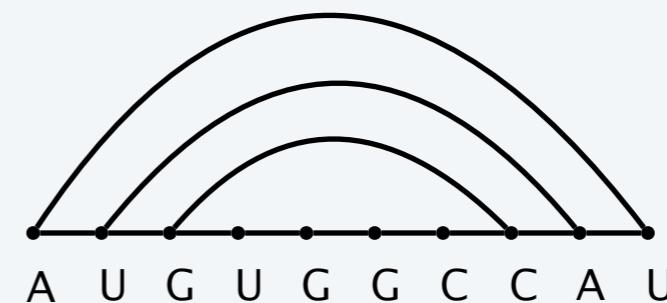
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$B = \text{AUGUGGGCCAU}$

$S = \{ (b_1, b_{10}), (b_2, b_9), (b_3, b_8) \}$



$S$  is a secondary structure  
(with 3 base pairs)

# RNA secondary structure

---

**Secondary structure.** A set of pairs  $S = \{ (b_i, b_j) \}$  that satisfy:

- [Watson–Crick]  $S$  is a matching and each pair in  $S$  is a Watson–Crick complement: A–U, U–A, C–G, or G–C.
- [No sharp turns] The ends of each pair are separated by at least 4 intervening bases. If  $(b_i, b_j) \in S$ , then  $i < j - 4$ .
- [Non-crossing] If  $(b_i, b_j)$  and  $(b_k, b_\ell)$  are two pairs in  $S$ , then we cannot have  $i < k < j < \ell$ .

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**Free-energy hypothesis.** RNA molecule will form the secondary structure with the minimum total free energy.



approximate by number of base pairs  
(more base pairs  $\Rightarrow$  lower free energy)

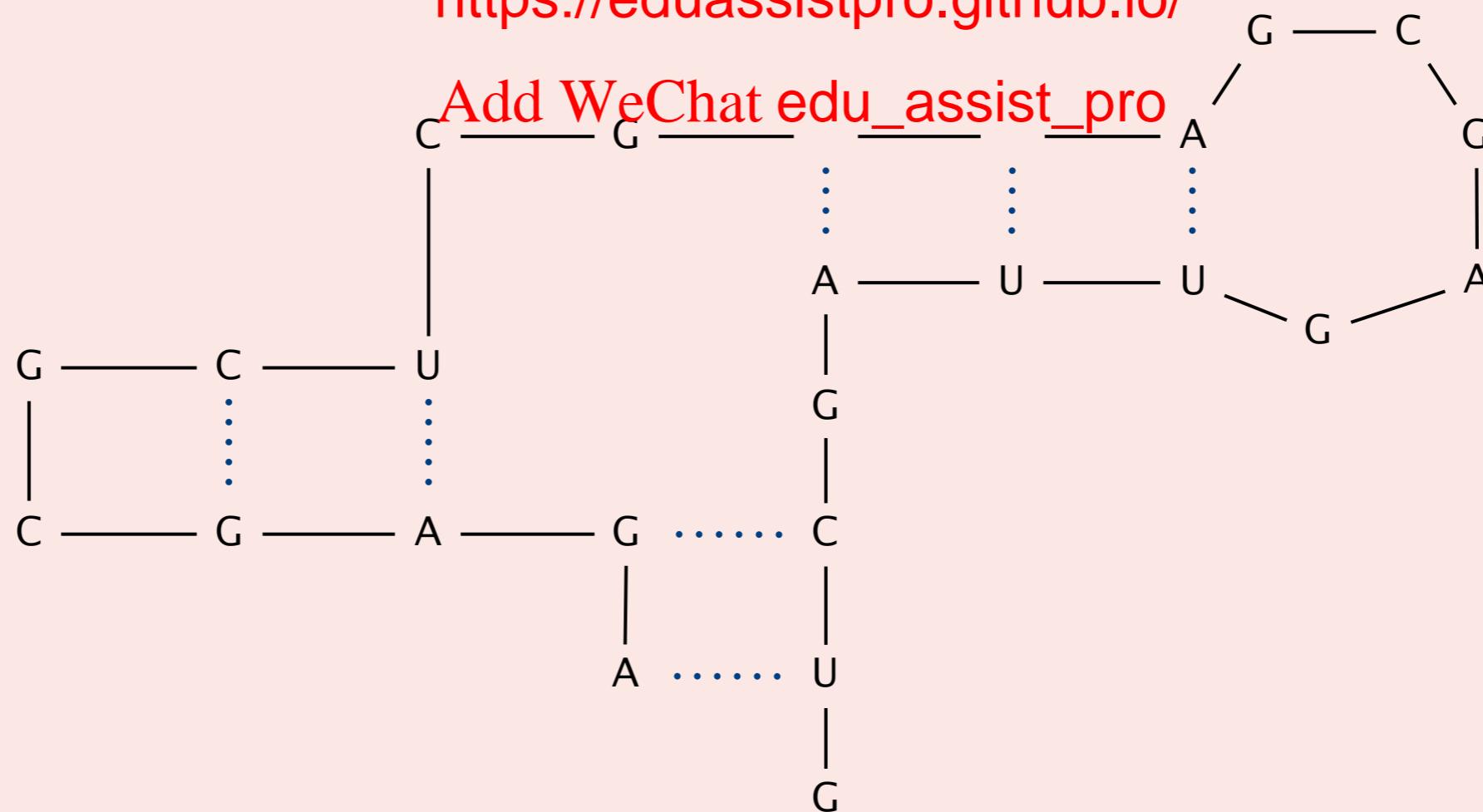
**Goal.** Given an RNA molecule  $B = b_1 b_2 \dots b_n$ , find a secondary structure  $S$  that maximizes the number of base pairs.



Is the following a secondary structure?

- A. Yes.
- B. No, violates Watson-Crick condition.
- C. No, violates no-sharp-turns condition.
- D. No, violates no-crossing condition.

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## Which subproblems?

- A.  $OPT(j) = \max$  number of base pairs in secondary structure of the substring  $b_1 b_2 \dots b_j$ .
- B.  $OPT(j) = \max$  number of base pairs in secondary structure of the substring  $b_j b_{j+1} \dots b_n$ .
- C. Either A or B.
- D. Neither A nor B.

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## RNA secondary structure: subproblems

First attempt.  $OPT(j)$  = maximum number of base pairs in a secondary structure of the substring  $b_1 b_2 \dots b_j$ .

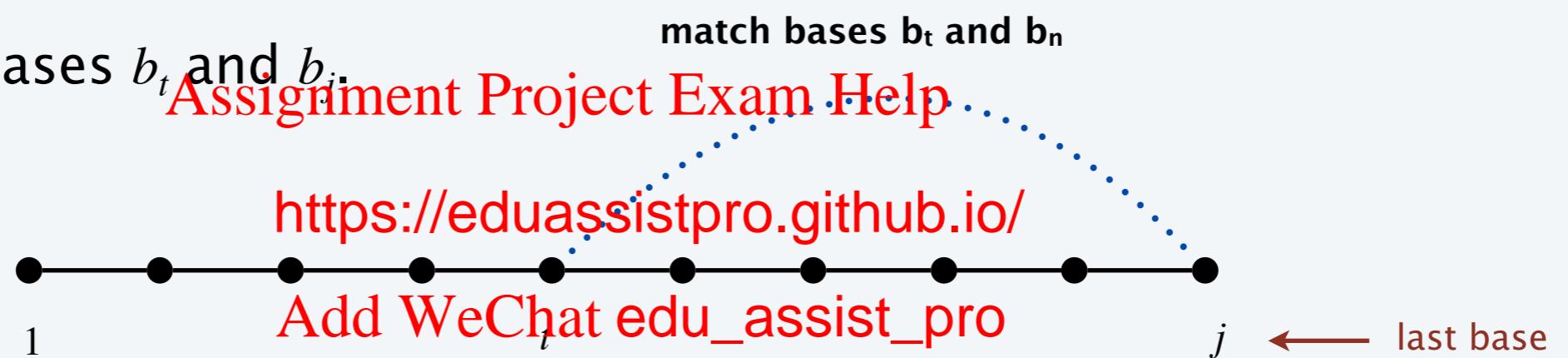
Goal.  $OPT(n)$ .

Choice. Match bases  $b_t$  and  $b_i$ .

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Difficulty. Results in two subproblems (but one of wrong form).

- Find secondary structure in  $b_1 b_2 \dots b_{t-1}$ .  $\leftarrow OPT(t-1)$
- Find secondary structure in  $b_{t+1} b_{t+2} \dots b_{j-1}$ .  $\leftarrow$  need more subproblems  
(first base no longer  $b_1$ )

# Dynamic programming over intervals

**Def.**  $OPT(i, j)$  = maximum number of base pairs in a secondary structure of the substring  $b_i b_{i+1} \dots b_j$ .

**Case 1.** If  $i \geq j - 4$ .

- $OPT(i, j) = 0$  by no-sharp-turns condition.

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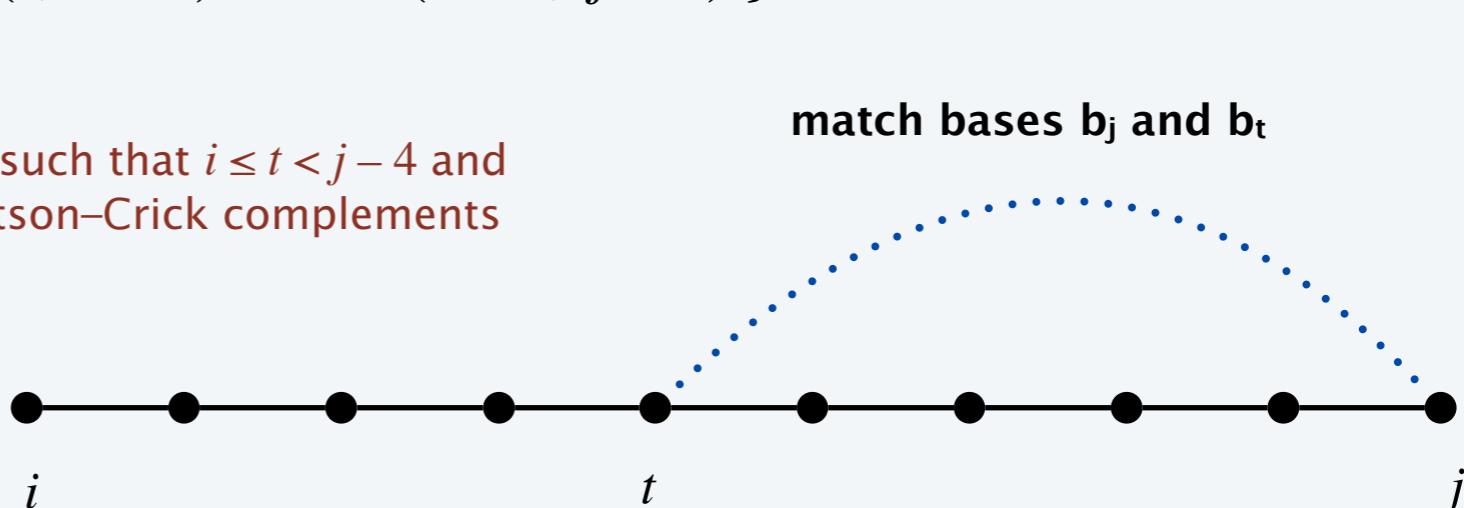
**Case 2.** Base  $b_j$  is not involved

- $OPT(i, j) = OPT(i, j - 1)$ . <https://eduassistpro.github.io/>  
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**Case 3.** Base  $b_j$  pairs with  $b_t$  for some  $i \leq t < j - 4$ .

- Non-crossing condition decouples resulting two subproblems.
- $OPT(i, j) = 1 + \max_t \{ OPT(i, t - 1) + OPT(t + 1, j - 1) \}$ .

*take max over  $t$  such that  $i \leq t < j - 4$  and  $b_t$  and  $b_j$  are Watson–Crick complements*





In which order to compute  $OPT(i, j)$  ?

- A. Increasing  $i$ , then  $j$ .
- B. Increasing  $j$ , then  $i$ .

C. Either A or B.

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- D. Neither A nor B.

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## Bottom-up dynamic programming over intervals

Q. In which order to solve the subproblems?

A. Do shortest intervals first—increasing order of  $|j - i|$ .

RNA-SECONDARY-STRUCTURE( $n, b_1, \dots, b_n$ )

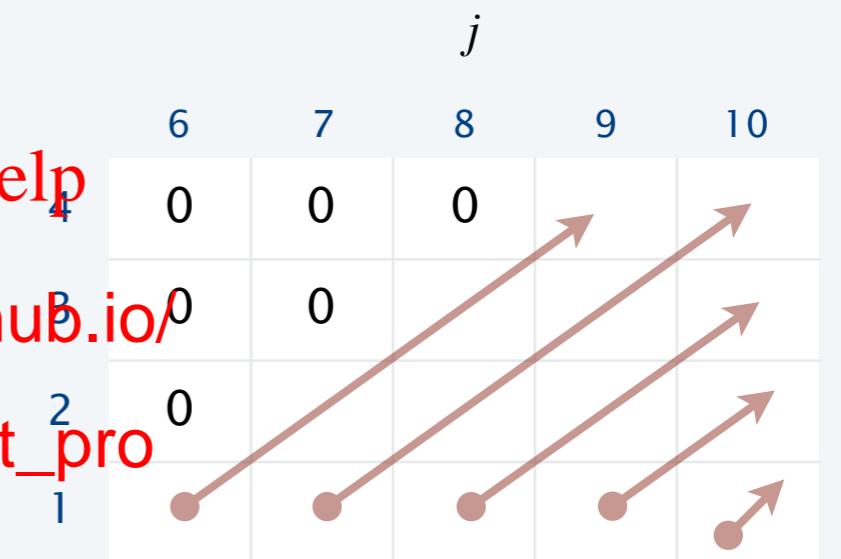
FOR  $k = 5$  TO  $n - 1$  Assignment Project Exam Help

FOR  $i = 1$  TO  $n - k$   
 $j \leftarrow i + k.$

Compute  $M[i, j]$  using formula.

RETURN  $M[1, n]$ .

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order in which to solve subproblems

Theorem. The DP algorithm solves the RNA secondary structure problem in  $O(n^3)$  time and  $O(n^2)$  space.

# Dynamic programming summary

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## Outline.

- Define a collection of subproblems.
- Solution to original problem can be computed from subproblems.
- Natural ordering of subproblems from “smallest” to “largest” that enables determining a solution to a subproblem from solutions to smaller subproblems.

typically, only a polynomial number of subproblems

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## Techniques.

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- Binary choice: weighted ~~Add We Chat~~ edu\_assist\_pro
- Multiway choice: segmented least squares.
- Adding a new variable: knapsack problem.
- Intervals: RNA secondary structure.

Top-down vs. bottom-up dynamic programming. Opinions differ.