

# COMP3161/COMP9164

## Preliminaries Exercises

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1. **Strange Loops:** The following system, based on a system called MIU, is perhaps famously mentioned in Douglas Hofstadter's book, *Gödel, Escher, Bach*.

- (a)  $\frac{\overline{MI} \overline{MI}}{[★] \text{ Is } MUII \text{ MIU derivable}}$

**Solution:**

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- (b)  $\frac{xIU \text{ MIU}}{xI \text{ MIU}}$  admissible? Is it derivable? Justify your ans

**Solution:** It is not derivable, but it is admissible. It is not derivable because we cannot construct a tree that looks like this:

$$\frac{xIU \text{ MIU}}{\vdots} \frac{}{xI \text{ MIU}}$$

I believe it is, however, *admissible* because it does not change the language MIU. I think there is no string  $x$  that could be judged  $x \text{ MIU}$  with this rule that could not be so judged without it, although I welcome any counterexample or proof.

- (c)  $[★★★★]$  Perhaps famously,  $MU \text{ MIU}$  is not admissible. Prove this using rule induction. *Hint:* Try proving something related to the number of Is in the string.

**Solution:** We will prove that the number of Is in any string in MIU is not divisible by three. Seeing as  $MU$  has zero Is (a multiple of three), if we prove the above, we prove that  $MU$  is not admissible.

*Base Case (From rule 1).* We see that the string  $MI$  has only one I, which is not a multiple of three, hence we have shown our goal.

*Inductive case (From rule 2).* Given that the number of Is in  $xI$  is not divisible by three (our inductive hypothesis), we can easily see that the number of Is in  $xIU$  is identical and therefore is similarly not divisible by three.

*Inductive case (From rule 3).* Let  $n$  be the number of Is in  $Mx$ . Our inductive hypothesis is that  $3 \nmid n$ . The number of Is in  $Mxx$ , clearly  $2n$ , is similarly indivisible, i.e  $3 \nmid n \implies 3 \nmid 2n$ .

*Inductive case (From rule 4).* Let  $n$  be the number of Is in  $xIIIy$ . Our inductive hypothesis is that  $3 \nmid n$ . The number of Is in  $xUy$ , clearly  $n - 3$ , is similarly indivisible, i.e.  $3 \nmid n \implies 3 \nmid (n - 3)$

*Inductive case (From rule 5).* Given that the number of Is in  $xUUy$  is the same as the number of Is in  $xy$ , our inductive hypothesis trivially proves our goal.

Thus, by induction, no string in MIU has a number of Is divisible by three. Therefore, MU MIU is not admissible.  $\square$

(d) Here is another language, which we'll call Mi:

$$\frac{}{MI \text{ MI}} A \quad \frac{Mx \text{ MI}}{Mxx \text{ MI}} B \quad \frac{xIIIIIIy \text{ MI}}{xy \text{ MI}} C$$

- i. [\*\*\*] Prove using rule induction that all strings in MI could be expressed as follows, for some  $k$  and some  $i$ , where  $2^k - 6i > 0$  (where  $C^n$  is the character C repeated  $n$  times):

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**Solution:**

*Base case (From rule A).*  $MI = I^{2^0-6 \cdot 0}$  when  $2^0 - 6 \cdot 0 = 1$ , i.e. when  $k = 0$  and  $i = 0$ .

*Inductive case (From rule B)* Given that  $Mx = MI^{2^a-6b}$  (our inductive hypothesis), we must show that  $Mxx = MI^{2^{a+1}-6(2b)}$  for some  $k$  and some  $i$ . As  $x = I^{2^a-6b}$  (from MI), it is easy to see that  $xx = I^{2(2^a-6b)} = I^{2^{a+1}-6(2b)} = I^{2^k-6i}$  for  $k = a + 1$  and  $i = 2b$ .

*Inductive case (From rule C)* Given that  $xy = MI^{2^a-6b}$  (our inductive hypothesis), we must show that  $xUUy = MI^{2^{a+1}-6(b+1)}$  for some  $k$  and some  $i$ . This rule simply subtracts six from the number of Is, so  $2^{a+1} - 6(b+1) = 2^a - 6b - 6$ . We can take  $k = a + 1$  and  $i = b + 1$ .

Thus, all strings in MI can be expressed as  $MI^{2^k-6i}$   $\square$

- ii. We will now prove the opposite claim that, for all  $k, i$  with  $2^k - 6i > 0$ :

$$MI^{2^k-6i} \text{ MI}$$

To prove this we will need a few lemmas which we will prove separately.

- $\alpha$ ) [\*\*] Prove, using induction on the natural number  $k$  (i.e. when  $k = 0$  and when  $k = k' + 1$ ), that  $MI^{2^k} \text{ MI}$

**Solution:**

*Base case (when  $k = 0$ ).* We have to show  $MI \text{ MI}$ , which is true by rule A.

*Inductive case (when  $k = k' + 1$ )* We have to show  $MI^{2^{k'+1}} \text{ MI}$ , with the inductive hypothesis that  $MI^{2^{k'}} \text{ MI}$ . Equivalently, we have to show  $MI^{2^{k'}} I^{2^{k'}} \text{ MI}$ , as follows:

$$\frac{\frac{}{MI^{2^{k'}} \text{ MI}} I.H}{MI^{2^{k'}} I^{2^{k'}} \text{ MI}} B$$

Therefore, by induction on the natural number  $k$ , we have shown  $\forall k. MI^{2^k} \text{ MI}$ .  $\square$

- $\beta$ ) [\*\*] Prove, using induction on the natural number  $i$ , that  $MI^k \text{ MI}$  implies  $MI^{k-6i} \text{ MI}$ , assuming  $k - 6i > 0$ .

**Solution:**

*Base case (when  $i = 0$ ).* We must show that  $MI^k \text{ MI}$  implies  $MI^{k-0} \text{ MI}$ , which is obviously a tautology.

*Inductive case (when  $i = i' + 1$ )* We must show that  $M I^k MI$  implies  $M I^{k-6(i'+1)} MI$ , given the inductive hypothesis that  $M I^{k-6i'}$   $MI$ . Note that our I.H can be restated as  $M I^{k-6(i'+1)} MI$  due to our assumption that  $k - 6(i' + 1) > 0$ . With this, we can prove our goal as shown:

$$\frac{\frac{M I^{k-6(i'+1)} MI}{M I^{k-6(i'+1)} MI} I.H}{M I^{k-6(i'+1)} MI} C$$

□

Therefore, our goal is shown by induction.

Hence, as we know  $M I^{2^k} MI$  for all  $k$  from lemma  $\alpha$ , we can conclude from lemma  $\beta$  that  $M I^{2^k-6i} MI$  for all  $k$  and all  $i$  where  $2^k - 6i > 0$  by modus ponens.

These two parts prove that the language  $MI$  is exactly characterised by the formulation  $M I^{2^k-6i}$  where  $2^k - 6i > 0$ . A very useful res

iii. [★] Hence prove or disprove

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$$\frac{}{Mx MI} LEM_1$$

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**Solution:** We know from part i that  $Mx MI \Rightarrow x^2 = I^{2^k-6i}$  for some  $k$  and some  $i$  where  $2^k - 6i > 0$ . This rule is *not admissible* as it adds strings to the language. As  $2^4 - 6 = 10$ , we know  $MI^{10}$  is in the language. ch it is not as there is no  $k$  and  $i$  such that  $2^k - 6i = 10$ .

iv. [★] Why is the foll

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**Solution:** The rule is not admissible as it adds strings to the language. This allows us to *add* six  $I$  characters to any string in  $MI$  and judge it in  $MI$ , which results in additional strings. For example, applying the rule to  $MI$  (which is in  $MI$ ), gives us  $MI^7$ , when our existing formulation of  $MI$  ( $M I^{2^k-6i}$ ) clearly only allows for even amounts of  $I$ s.

v. [★★] Prove that, for all  $s$ ,  $s MI \Rightarrow s MIU$ . Note that using straightforward rule induction appears to necessitate  $LEM_2$  above, which we know is not admissible. Try proving using the characterisation we have already developed.

**Solution:** We shall show that all strings in  $MI$ , characterised by  $M I^{2^k-6i}$  where  $2^k - 6i > 0$ , are also in  $MIU$ . That is, we shall show that  $M I^{2^k-6i} MIU$ .

To start, we shall prove inductively on  $k$  that  $M I^{2^k} MIU$  for all  $k$ .

*Base case (Where  $k = 0$ ).* We must show  $MI MIU$ , which we know trivially from rule 1.

*Inductive case (where  $k = k' + 1$ ).* We must show  $M I^{2^{k'+1}} MIU$ , given the inductive hypothesis that  $M I^{2^{k'}} MIU$ . Note we can restate our proof goal as  $M I^{2^{k'}} I^{2^{k'}} MIU$

$$\frac{\frac{M I^{2^{k'}} MIU}{M I^{2^{k'}} I^{2^{k'}} MIU} I.H}{M I^{2^{k'}} I^{2^{k'}} MIU} B$$

Thus we have shown by induction that  $M I^{2^k} MIU$  for all  $k$ .

Next we must prove that  $M I^k MIU$  implies  $M I^{k-6i} MIU$  for all  $i$ , assuming  $k - 6i > 0$ .

*Inductive case* (where  $i = i' + 1$ ) we must show that  $\text{MI}^{k-6(i'+1)} \text{MIU}$  given the inductive hypothesis  $\text{MI}^{k-6i'} \text{MIU}$ . As we know  $k - 6(i' + 1) > 0$ , we can restate our inductive hypothesis as  $\text{MIIIIII I}^{k-6(i'+1)} \text{MIU}$ , and easily prove our goal:

$$\begin{array}{r} \overline{\text{MIIIIII I}^{k-6(i'+1)} \text{MIU}} \quad I.H \\ \text{MUIII I}^{k-6(i'+1)} \text{MIU} \quad 4 \\ \overline{\text{MUU I}^{k-6(i'+1)} \text{MIU}} \quad 4 \\ \text{M I}^{k-6(i'+1)} \text{MIU} \quad 5 \end{array}$$

## 2. Counting Sticks: The follo

the original invention is not his) is called  
language is not comprised of a single ju  
and  $z$  are strings of hyphens (i.e.  $z$

discussed above, this  
 $\Psi z$ , where  $x/y$   
 S.

$$\frac{\epsilon \Phi x \Psi x}{B} \quad \frac{x \Phi y \Psi z}{-x \Phi y \Psi - z} I$$

- (a)  $[\star]$  Prove that  $\vdash \Phi \rightarrow \Psi$ .

**Solution:**

$$\frac{\epsilon \Phi \text{ --- } \Psi \text{ ---}}{I} B$$

- (b)  $[\star]$  Is the following rule

$$\frac{-x \Phi y}{x \Phi y}$$

**Solution:** It is not derivable (as it cannot be shown with a proof tree), but it is admissible. We know this because the language definition for  $\Phi\Psi$  is unambiguous, so the only way for  $\neg x \Phi y \Psi \neg z$  to hold is if this was established by rule  $I$ . Therefore, we can deduce that  $x \Phi y \Psi z$ , as this is the premise of rule  $I$ . We can often “flip” or invert rules in this way, but *only if the language definition is unambiguous*.

- (c) [ $\star\star$ ] Show that  $x \Phi \in \Psi x$ , for all hyphen strings  $x$ , by doing induction on the length of the hyphen string (where  $x = \epsilon$  and  $x = -x'$ ).

**Solution:**

*Base case (where  $x = \epsilon$ ).* We must show that  $\epsilon \Phi \epsilon \Psi \epsilon$ , which is trivially true by rule  $B$ .

*Inductive case (where  $x = -x'$ )* We have the inductive hypothesis  $x' \Phi \in \Psi \ x'$ , and must show that  $-x' \Phi \in \Psi \ -x'$ . Our goal trivially reduces to our induction hypothesis by rule *I*.

Therefore we have shown  $x \Phi \in \Psi \ x$  for all  $x$  by induction on  $x$ .

- (d) [\*\*\*] Show that if  $-x \Phi y \Psi z$  then  $x \Phi -y \Psi z$ , for all hyphen strings  $x, y$  and  $z$ , by doing induction on the size of  $x$ .

**Solution:** We shall do induction on  $x$  where we keep  $y$  and  $z$  as arbitrary.

*Base case.* (where  $x = \epsilon$ ). We must show that if  $\neg \Phi \ y \ \Psi \ z$  then  $\epsilon \ \Phi \ \neg y \ \Psi \ z$ . Observe that the only way for  $\neg \Phi \ y \ \Psi \ z$  to hold is if  $z = \neg y$ . Therefore we must show that  $\epsilon \ \Phi \ \neg y \ \Psi \ \neg y$  which is true by rule  $B$ .

We must show that  $--x' \Phi y \Psi z$  implies  $-x' \Phi -y \Psi z$ . Observe that the only way that  $--x' \Phi y \Psi z$  could hold is if  $z = -k$  for some  $k$ , then by the rule  $I'$  which we have already shown to be admissible, we know that  $-x' \Phi y \Psi k$ . Using our induction hypothesis where  $y' = y$  and  $z' = k$ , we can establish that  $x' \Phi -y \Psi k$ , and therefore by rule  $I$  we can finally conclude that  $-x' \Phi -y \Psi -k$  as required.  $\square$

- Solution:** We show this by rule induction on the premise with the rules of  $\Phi\Psi$ .

*Inductive case.* (From ru is that

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Thus we have shown by induction that  $x \Phi y \Psi z$  implies  $y \Phi x \Psi z$ .

- Solution:** We proceed by rule induction on the premise.

*Inductive case* (From rule  $I$ , where  $-x'+1 \vdash \Phi -y$  hypothesis that  $z' = x' + y$ . We must show that  $z' + 1 = (x' + 1) + y$ , or, equivalently, that  $z' = x' + y$ , which is just our I.H.

Thus we have shown by rule induction that the  $\Phi\Psi$  system is in fact unary addition.

- $$\frac{x \in \mathbb{N}}{x \text{ Expr}} \text{VAR.} \quad \frac{e_1 \text{ Expr} \quad e_2 \text{ Expr}}{e_1 e_2 \text{ Expr}} \text{APPL.} \quad \frac{e \text{ Expr}}{\lambda e \text{ Expr}} \text{ABST.} \quad \frac{e \text{ Expr}}{(e) \text{ Expr}} \text{PAREN.}$$

- Solution:** Yes, the expression 1 2 3 could be parsed two different ways, i.e:

$$\frac{\frac{1}{Expr} \text{VAR.}}{1} \frac{\frac{2}{Expr} \text{VAR.}}{2} \text{APPL.} \frac{\frac{3}{Expr} \text{VAR.}}{3} \text{APPL.}$$

Or:

$$\frac{\frac{\overline{1 Expr} \text{VAR.}}{\overline{1 Expr} \text{VAR.}} \frac{\overline{2 Expr} \text{VAR.}}{2 \overline{Expr} \text{VAR.}} \frac{\overline{3 Expr} \text{VAR.}}{3 \overline{Expr} \text{VAR.}} \text{APPL.}}{1 \overline{Expr} \text{VAR.}} \text{APPL.}$$

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- (b) [★★] Develop a new (unambiguous) grammar that encodes the left associativity of application, that is 1 2 3 4 should be parsed as ((1 2) 3) 4 (modulo parentheses). Furthermore, lambda expressions should extend as far as possible, i.e.  $\lambda 1\ 2$  is equivalent to  $\lambda(1\ 2)$  not  $(\lambda 1)2$ .

**Solution:**

$$\frac{x \in \mathbb{N}}{x\ AExpr} AVar. \quad \frac{e_1\ PExpr \quad e_2\ AExpr}{e_1 e_2\ PExpr} AAPPL. \quad \frac{e\ LExpr}{\lambda e\ LExpr} AAbs. \\ \frac{e\ LExpr}{(e)\ AExpr} APAREN.y \quad \frac{e\ PExpr}{e\ LExpr} SHUNT_1 \quad \frac{e\ AExpr}{e\ PExpr} SHUNT_2$$

- (c) [★★★] Prove that all expressions in your grammar are representable in  $Expr$ , that is, that your grammar describes only strings that are in  $Expr$ .

**Solution:** We shall prove the following simultaneously:

- $x\ LExpr \Rightarrow x\ Expr$
- $x\ PExpr \Rightarrow x\ Expr$
- $x\ AExpr \Rightarrow x\ Expr$

*Proof.* Base case (From rule  $AVar$ , where  $x\ AExpr$  for some  $x \in \mathbb{N}$ ). We must show  $x\ Expr$ , trivial by rule  $VAR$ .

*Inductive case.* (From rule  $AAPPL$ , where  $e_1 e_2\ PExpr$ ). We know  $e_1\ PExpr$ , and  $e_2\ AExpr$  which give rise to inductive hypotheses  $e_1\ Expr$  (I.H.<sub>1</sub>) and  $e_2\ Expr$  (I.H.<sub>2</sub>). We must show that  $e_1 e_2\ Expr$ .

*Inductive case.* (From rule  $AAbs$ , where  $\lambda x\ LExpr$ ), giving inductive hypothesis  $x\ Expr$ . The rule  $ABS$  then proves our goal.

*Inductive case.* (From rule  $APAREN$ , where  $(x)\ AExpr$ ), giving inductive hypothesis  $x\ Expr$ . Then by rule  $PAREN$  we show our goal  $(x)\ Expr$ .

The inductive case for the rules  $SHUNT_1$  and  $SHUNT_2$  are trivial as they do not alter the expression.

Thus, by induction,  $s\ LExpr \vee s\ PExpr \vee s\ AExpr \implies s\ Expr$ . We can state this more succinctly thanks to the  $SHUNT$  rules as  $s\ LExpr \implies s\ Expr$ .

□

4. **Regular Expressions:** Consider this language used to describe regular expressions consisting of:

- single characters, written  $c$
- Sequential composition, written  $R; R$
- Nondeterministic choice, written  $R \mid R$ .
- Kleene star, written  $R^*$ .
- Grouping parentheses.

$$\frac{c\ Char}{c\ R} \quad \frac{a\ R \quad b\ R}{a; b\ R} \quad \frac{a\ R \quad b\ R}{a \mid b\ R} \quad \frac{a\ R}{a^* R} \quad \frac{a\ R}{(a)\ R}$$

- (a) [★] In what way is this grammar *ambiguous*? Identify an expression with multiple parse trees.

**Solution:** Precedence between choice and sequential composition is not enforced, and the associativity of the two binary operators is not clarified either.

$a; b \mid c \mid d$  encounters both the precedence and the associativity issue.

- (b) [★] Devise an alternative grammar that is unambiguous, order of operations should be such that

$a; b; c \star \mid a; d \mid e$

is parsed with the grouping indicated by the parentheses in:

$(a; (b; (c \star))) \mid ((a; d) \mid e)$

**Solution:**

$$\frac{c \text{ Char}}{c \text{ RAtom}} \quad \frac{a \text{ RA}}{a \text{ RSeq}} \quad \frac{a \text{ RChoice}}{a \text{ RChoice}} \quad \frac{c \text{ RAtom}}{(c) \text{ RAtom}}$$

5. **Key Combinations:** Consider the language used to document key combinations

$$\frac{x \in \{a, b, \dots, \text{Shift}\}}{\boxed{x}}_{\text{Key}} \quad \frac{c_1 \text{ K} \quad c_2 \text{ K}}{c_1 \text{ K} \star c_2 \text{ K}}_{\text{Hold}} \quad \frac{c_1 \text{ K} \quad c_2 \text{ K}}{c_1 \text{ K} \star c_2 \text{ K}}_{\text{Then}} \quad \frac{c \text{ K}}{(c) \text{ K}}_{\text{Paren}}$$

For example  $\boxed{\text{Ctrl}} \star \boxed{\text{Q}}$

- (a) [★] Find an example of a

**Solution:** The very next question offers an example:

$\boxed{q} \star \boxed{w} \star \boxed{e} \star \boxed{r} \star \boxed{t}$

This can be parsed using *Hold* first or using *Then* first. It also shows an associativity issue with the *Then* rule.

- (b) [★] Eliminate ambiguity such that

$\boxed{q} \star \boxed{w} \star \boxed{e} \star \boxed{r} \star \boxed{t}$

is parsed with this grouping:

$(\boxed{q} ((\boxed{w} \star \boxed{e})(\boxed{r} \star \boxed{t})))$

and such that

$\boxed{\text{Ctrl}} \star \boxed{\text{Shift} \uparrow} \star \boxed{\text{Q}}$

is parsed with the following grouping:

$(\boxed{\text{Ctrl}} \star \boxed{\text{Shift} \uparrow}) \star \boxed{\text{Q}}$

**Solution:**

$$\frac{x \in \{a, b, \dots, \text{Shift}\}}{\boxed{x} \text{ KA}}_{\text{Key}} \quad \frac{c_1 \text{ KH} \quad c_2 \text{ KA}}{c_1 \star c_2 \text{ KH}}_{\text{Hold}} \quad \frac{c_1 \text{ KH} \quad c_2 \text{ KT}}{c_1 c_2 \text{ KT}}_{\text{Then}} \quad \frac{c \text{ KT}}{(c) \text{ KA}}_{\text{Paren}}$$

$$\frac{a \text{ KA}}{a \text{ KH}} \quad \frac{a \text{ KH}}{a \text{ KT}}$$