



CSE332: Data Abstractions

Lecture 2: Math Review; Algorithm Analysis

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Winter 2013

Announcements

- Project 1 posted
 - Section materials on Eclipse will be very useful if you have never used it
 - (Could also start in a different environment if necessary)
 - Section materials on generics will be very useful for Phase B
- Homework 1 coming soon (due next Friday)
- Bring info sheet to section tomorrow or lecture on Friday
- Fill out catalyst survey by Thursday evening

- Midterm ~ Mon Feb 11

Today

- Finish discussing queues
- Review math essential to algorithm analysis
 - Proof by induction
 - Bit patterns
 - Powers of 2
 - Exponents and logarithms
- Begin analyzing algorithms
 - Using asymptotic analysis (continue next time)

Mathematical induction

Suppose $P(n)$ is some predicate (involving integer n)

– Example: $n \geq n/2 + 1$ (for all $n \geq 2$)

To prove $P(n)$ for all integers $n \geq c$, it suffices to prove

1. $P(c)$ – called the “basis” or “base case”
2. If $P(k)$ then $P(k+1)$ – called the “induction step” or “inductive case”

We will use induction:

To show an algorithm is correct or has a certain running time
no matter how big a data structure or input value is
(Our “ n ” will be the data structure or input size.)

$P(n)$ = “ the sum of the first n powers of 2 (starting at 2^0) is $2^n - 1$ ”

Inductive Proof Example

Theorem: $P(n)$ holds for all $n \geq 1$

Proof: By induction on n

- Base case, $n=1$: Sum of first power of 2 is 2^0 , which equals 1.
And for $n=1$, $2^n - 1$ equals 1.
- Inductive case:
 - Inductive hypothesis: Assume the sum of the first k powers of 2 is $2^k - 1$
 - Show, given the hypothesis, that the sum of the first $(k+1)$ powers of 2 is $2^{k+1} - 1$

From our inductive hypothesis we know:

$$1 + 2 + 4 + \dots + 2^{k-1} = 2^k - 1$$

Add the next power of 2 to both sides...

$$1 + 2 + 4 + \dots + 2^{k-1} + 2^k = 2^k - 1 + 2^k$$

We have what we want on the left; massage the right a bit

$$1 + 2 + 4 + \dots + 2^{k-1} + 2^k = 2(2^k) - 1 = 2^{k+1} - 1$$

Note for homework

Proofs by induction will come up a fair amount on the homework

When doing them, be sure to state each part clearly:

- What you're trying to prove
- The base case
- The inductive case
- The inductive hypothesis
 - In many inductive proofs, you'll prove the inductive case by just starting with your inductive hypothesis, and playing with it a bit, as shown above

N bits can represent how many things?

<u># Bits</u>	<u>Patterns</u>	<u># of patterns</u>
1	0, 1	2
2	00, 01, 10, 11	4
3	000, 001, 010, 011 100, 101, 110, 111	8
N		2^N

Powers of 2

- A bit is 0 or 1
- A sequence of n bits can represent 2^n distinct things
 - For example, the numbers 0 through 2^n-1
- 2^{10} is 1024 (“about a thousand”, kilo in CSE speak)
- 2^{20} is “about a million”, mega in CSE speak
- 2^{30} is “about a billion”, giga in CSE speak

Java: an `int` is 32 bits and signed, so “max int” is “about 2 billion”
a `long` is 64 bits and signed, so “max long” is $2^{63}-1$

Therefore...

Could give a unique id to...

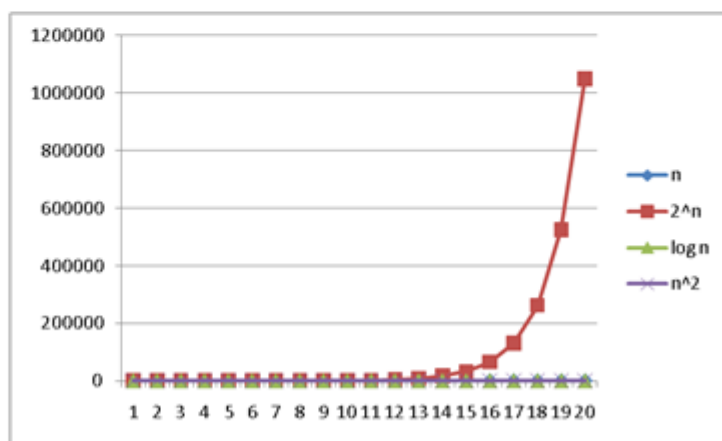
- Every person in the U.S. with 29 bits
- Every person in the world with 33 bits
- Every person to have ever lived with 38 bits (estimate)
- Every atom in the universe with 250-300 bits

So if a password is 128 bits long and randomly generated,
do you think you could guess it?

Logarithms and Exponents

- Since so much is binary in CS, \log almost always means \log_2
- Definition: $\log_2 x = y$ if $x = 2^y$
- So, $\log_2 1,000,000 = \text{"a little under 20"}$
- Just as exponents grow very quickly, logarithms grow very slowly

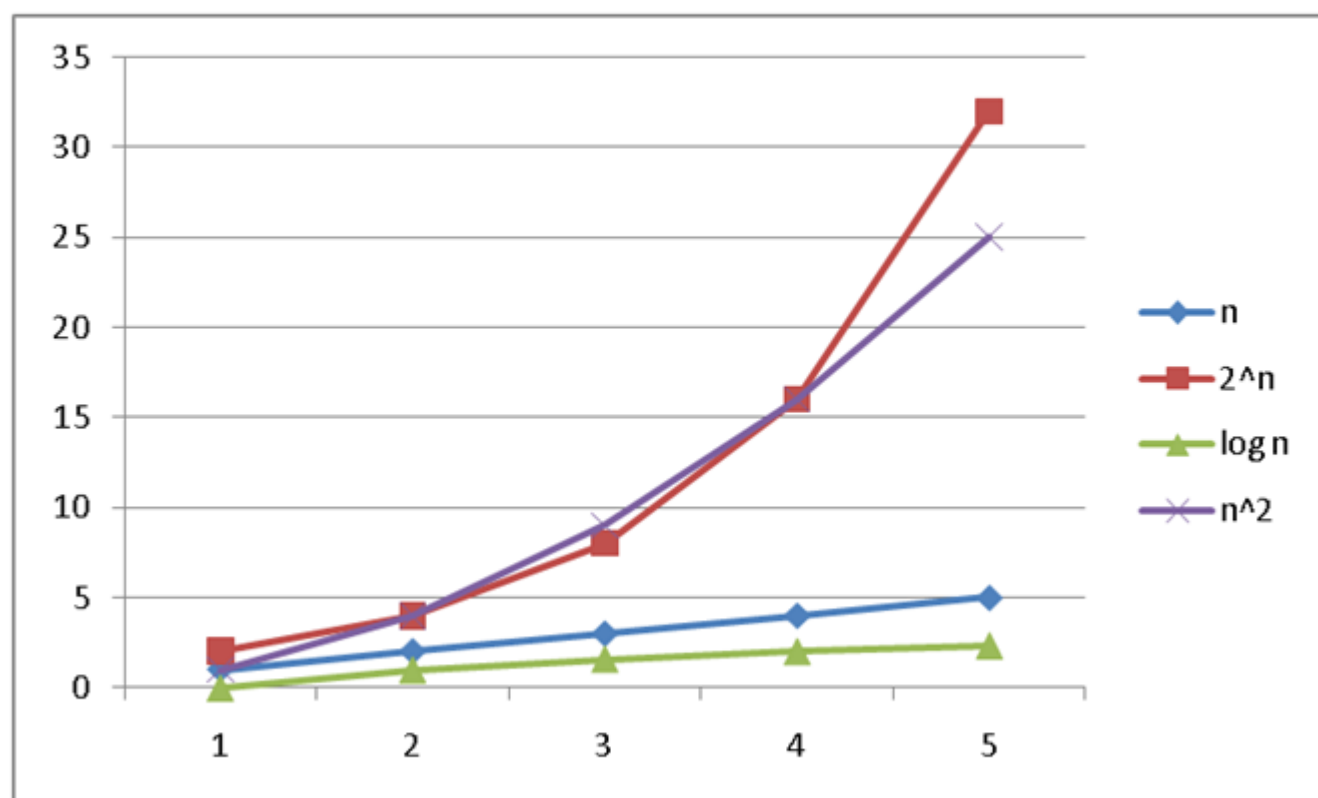
See Excel file
for plot data –
play with it!



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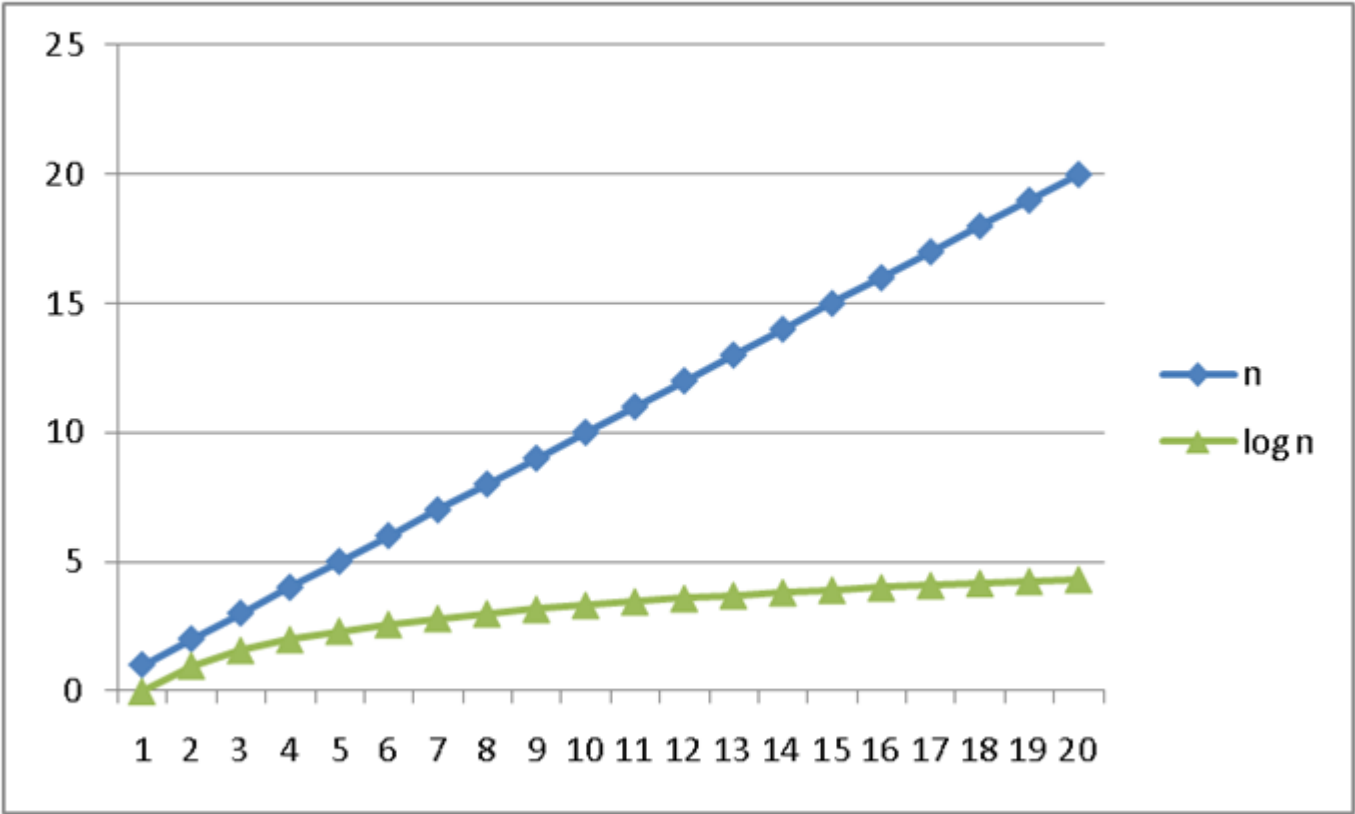
Logarithms and Exponents



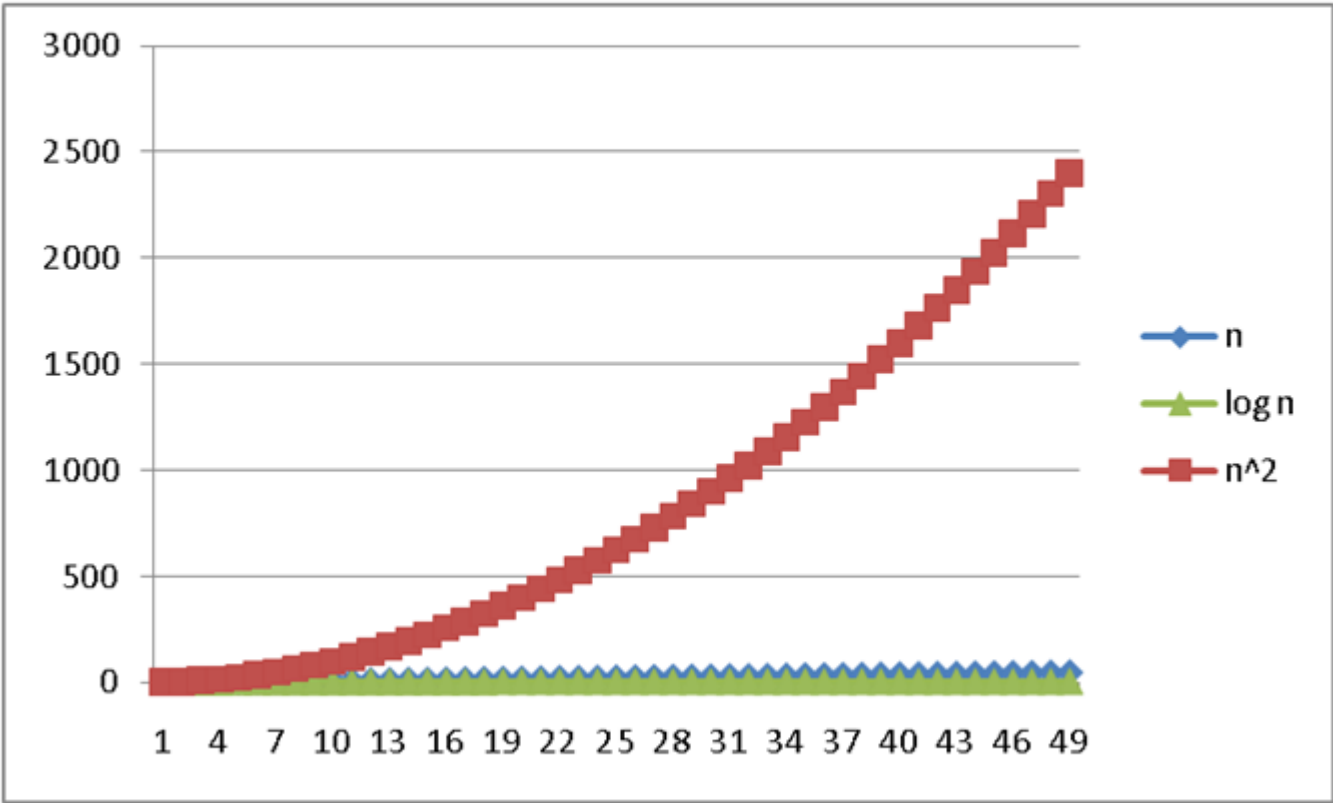
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Logarithms and Exponents



Logarithms and Exponents



Properties of logarithms

- $\log(A*B) = \log A + \log B$
– So $\log(N^k) = k \log N$

- $\log(A/B) = \log A - \log B$

- $x = \log_2 2^x$

- $\log(\log x)$ is written $\log \log x$

– Grows as slowly as 2^y grows fast

– Ex:

$$\log_2 \log_2 4\text{billion} \sim \log_2 \log_2 2^{32} = \log_2 32 = 5$$

- $(\log x)(\log x)$ is written $\log^2 x$

– It is greater than $\log x$ for all $x > 2$

Log base doesn't matter (much)

“Any base B log is equivalent to base 2 log within a constant factor”

- And we are about to stop worrying about constant factors!
- In particular, $\log_2 x = 3.22 \log_{10} x$
- In general, we can convert log bases via a constant multiplier
- Say, to convert from base A to base B :

$$\log_B x = (\log_A x) / (\log_A B)$$

Algorithm Analysis

As the “size” of an algorithm’s input grows

(integer, length of array, size of queue, etc.):

- How much longer does the algorithm take (time)
- How much more memory does the algorithm need (space)

Because the curves we saw are so different, we often only care about “which curve we are like”

Separate issue: Algorithm *correctness* – does it produce the right answer for all inputs

- Usually more important, naturally

Example

- What does this pseudocode return?

```
x := 0;  
for i=1 to N do  
  for j=1 to i do  
    x := x + 3;  
return x;
```

- Correctness: For any $N \geq 0$, it returns...

Example

- What does this pseudocode return?

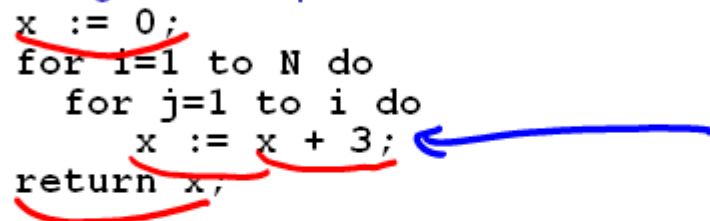
```
x := 0;
for i=1 to N do
  for j=1 to i do
    x := x + 3;
return x;
```

- Correctness: For any $N \geq 0$, it returns $3N(N+1)/2$
- Proof: By induction on n
 - $P(n)$ = after outer for-loop executes n times, x holds $3n(n+1)/2$
 - Base: $n=0$, returns 0
 - Inductive: From $P(k)$, x holds $3k(k+1)/2$ after k iterations. Next iteration adds $3(k+1)$, for total of $3k(k+1)/2 + 3(k+1) = (3k(k+1) + 6(k+1))/2 = (k+1)(3k+6)/2 = 3(k+1)(k+2)/2$

Example

- How long does this pseudocode run?

```
x := 0;  
for i=1 to N do  
  for j=1 to i do  
    x := x + 3;  
return x;
```



- Running time: For any $N \geq 0$,
 - Assignments, additions, returns take “1 unit time”
 - Loops take the sum of the time for their iterations
- So: $2 + 2 * (\text{number of times inner loop runs})$
 - And how many times is that?

Example

- How long does this pseudocode run?

```
x := 0;  
for i=1 to N do  
  for j=1 to i do  
    x := x + 3;  
return x;
```

- How many times does the inner loop run?

$$\begin{matrix} i=1 & 1 \\ =2 & 2 \\ =3 & 3 \\ \vdots & \\ =N & N \end{matrix}$$

$$\left. \begin{matrix} 1 \\ 2 \\ 3 \\ \vdots \\ N \end{matrix} \right\} \text{Sum}$$

$$\sum_{i=1}^N i = \frac{N(N+1)}{2}$$
$$= \frac{N^2+N}{2}$$

Example

- How long does this pseudocode run?

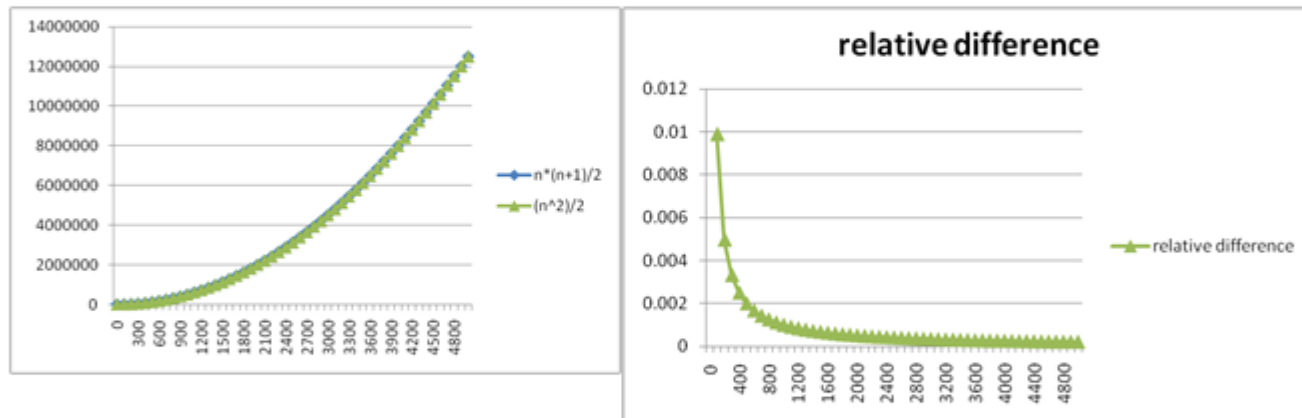
```
x := 0;
for i=1 to N do
  for j=1 to i do
    x := x + 3;
return x;
```

$$2 \times 2 \left(\frac{N^2 + N}{2} \right)$$

- The total number of loop iterations is $N*(N+1)/2$
 - This is a very common loop structure, worth memorizing
 - This is *proportional* to N^2 , and we say $O(N^2)$, “big-Oh of”
 - For large enough N , the N and constant terms are irrelevant, as are the first assignment and return
 - See plot... $N*(N+1)/2$ vs. just $N^2/2$

Lower-order terms don't matter

$N*(N+1)/2$ vs. just $N^2/2$



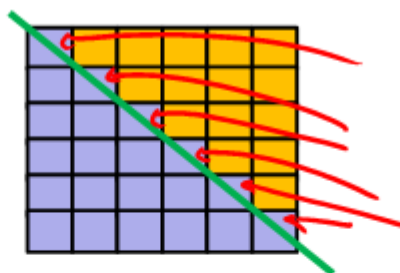
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Geometric interpretation

$$\sum_{i=1}^N i = N*N/2 + N/2$$

```
for i=1 to N do
  for j=1 to i do
    // small work
```



- Area of square: $N*N$
- Area of lower triangle of square: $N*N/2$
- Extra area from squares crossing the diagonal: $N*1/2$
- As N grows, fraction of "extra area" compared to lower triangle goes to zero (becomes insignificant)

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Recurrence Equations

- For running time, what the loops did was irrelevant, it was how many times they executed.
- Running time as a function of input size n (here loop bound):
$$T(n) = n + T(n-1)$$

(and $T(0) = 2$ ish, but usually implicit that $T(0)$ is some constant)
- Any algorithm with running time described by this formula is $O(n^2)$
- “Big-Oh” notation also ignores the constant factor on the high-order term, so $3N^2$ and $17N^2$ and $(1/1000) N^2$ are all $O(N^2)$
 - As N grows large enough, no smaller term matters
 - Next time: Many more examples + formal definitions

Big-O: Common Names

$O(1)$	constant (same as $O(k)$ for constant k)
$O(\log n)$	logarithmic
$O(n)$	linear
$O(n \log n)$	" $n \log n$ "
$O(n^2)$	quadratic
$O(n^3)$	cubic
$O(n^k)$	polynomial (where k is an constant)
$O(k^n)$	<u>exponential</u> (where k is any constant > 1)

$O(\log \log n)$
 $O(\log^c n) \rightarrow$ e.g. $O(\log^2 n)$
 for $c > 1$

"exponential" does not mean "grows really fast", it means "grows at rate proportional to k^n for some $k > 1$ "