

A Generic Survey Tool with xAPI Support

Bachelor Thesis

Noah Hummel (5966916)

Informatik B.Sc.

J.W. Goethe University Frankfurt

Supervisor: Prof. H. Drachsler

31st October, 2018

1 Abstract

Learning analytics is a fast-growing branch of data science, which is enabled by the increasing use of educational technologies and thus the availability of large-scale data on the subject. Combining this data with self-reported data from psychometrical surveys is a research topic of interest to Prof. H. Drachlser's work group. Existing survey tools used for this application do not provide the xAPI capabilities needed for integration with the trusted learning analytics infrastructure developed by Prof. H. Drachsler's group. This thesis describes the development of a web-based survey tool with xAPI support. A requirements analysis is performed on the basis of an already existing prototype and a concept for meeting these requirements presented. Some implementational details, including a data model for content sharing between researchers, are discussed. The resulting software is then analyzed for conceptual and implementational issues.

Declaration of Originality

I hereby confirm that the content of this thesis is my own work. The work contained in this thesis has not, neither partially nor in its entirety, previously been submitted for any other purposes or to any other higher education institution. To the best of my knowledge and belief, this thesis contains no material previously published or written by any other person, except where due references are made.

Noah Hummel

Signature

Date

Contents

1 Abstract	2
2 Foreword	7
3 Introduction	7
3.1 Previous Work	7
3.1.1 Data Model	7
3.1.2 Architecture	9
3.2 Terminology	9
3.3 The TLA Ecosystem	10
3.4 Motivation	10
3.4.1 Trusted Learning Analytics: Cloud Versus On-Premise	10
3.5 Research question	12
4 Requirements Analysis	12
4.1 Use Cases	12
4.1.1 Accessing Students' Self-Regulated Learning Behavior	12
4.1.2 Embedding in Moodle	12
4.1.3 Stand-Alone Survey Platform	12
4.1.4 Data Provider as Part of the TLA Ecosystem	12
4.2 Refactoring & Restructuring	13
4.2.1 Database Abstraction	13
4.3 Survey Content	13
4.4 Template Management	14
4.5 Support for xAPI	14
4.6 Embedding & LTI Launch	15
4.7 Privacy Considerations	15
5 Concept	15
5.1 Architecture	15
5.2 Survey Content	16
5.2.1 Questionnaire	16
5.2.2 Dimension	17
5.2.3 Question	17
5.3 Internationalisation	17
5.4 Ownership, Parties, Roles	17
5.5 Modification Tracking	18
5.6 Template Management	18
5.7 xAPI Support	19
5.7.1 Introduction to xAPI	19
5.7.2 xAPI Statement Design	19
5.8 Support for LTI	22
5.9 Email validation	22
5.10 Privacy Considerations	22

6	Implementation	23
6.1	Architecture	23
6.1.1	The Backend Service	23
6.1.2	The Frontend service	24
6.1.3	The xAPI-Publisher Service	24
6.2	Data Model of the backend service	25
6.2.1	Class Hierarchy	25
6.2.2	Inheritance Mapping via SQLAlchemy	25
6.2.3	Template Management - The Template Triad	26
6.3	Template Management	28
6.3.1	Creation of Shadow Instances	28
6.3.2	Modification of Templates	29
6.3.3	Deletion of Templates	29
6.3.4	Modification Tracking for Templates	30
6.4	API	30
6.5	Authentication	31
6.5.1	Data Client Authentication	31
6.5.2	Session Management	31
6.6	Support for xAPI	32
6.6.1	xAPI in the Backend Service	32
6.6.2	The xAPI-Publisher Service	32
6.7	LTI Middleware	33
7	Analysis & Discussion	33
7.1	Known Issues	33
7.1.1	Lack of Automated Tests	33
7.1.2	Internationalisation of the User Interface	33
7.1.3	Edge Cases of the Template Management	33
7.1.4	xAPI Statement Design	35
7.2	Conceptual Issues	35
7.2.1	xAPI Statement Design	35
7.2.2	OAuth & The LTI Launch Protocol	36
7.3	Implementational Issues	36
7.3.1	ORM Inheritance Mapping	36
7.3.2	Data Model	36
7.3.3	Duplication of Functionality: Redis & Memcached	36
7.4	Conclusion	37
8	Outlook	37
8.1	Beta-Testing and Productive Use	37
8.2	Future Features	38
8.2.1	Configurable Authentication Mechanisms for xAPI Consumers	38
8.2.2	Additional Response Types	38
8.2.3	Additional Formatting Options for Data Clients	38
8.2.4	A/B Questionnaires	38
8.2.5	Version Control for Templates	39

9 Appendix	39
9.1 Additional Contents	39
9.1.1 Source Code	39
9.1.2 Documentation	39
9.1.3 API Reference	39
9.2 List of xAPI Statements	40
9.3 Abbreviations	49

2 Foreword

I would like to thank Hannes Leutloff, who was involved in developing the previous version of the survey tool and has spent countless hours building the user interface for the version presented here.

To avoid confusion about which parts were developed by Hannes Leutloff, the author would like to unequivocally state that all parts of the survey tool discussed in this thesis, which equates to the entire server-side software, were designed and implemented by Noah Hummel. Hannes Leutloff designed and implemented the majority of the client-side user interface. The reader may assure themselves of this by examining the change history of the publicly accessible git repository, which is listed in the appendix of this work.

3 Introduction

3.1 Previous Work

As part of the computational humanities seminar, conducted by Prof. H. Drachsler in the 2017/18 winter semester, Hannes Leutloff and I were tasked to digitize the evaluation framework for learning analytics (EFLA) (Sch17a) and to develop an online platform where the survey could be taken.

The result is an online survey platform, where surveys similar to the EFLA can be created and hosted. While it is possible to create arbitrary survey items, some restrictions specific to the EFLA use-case apply (for a full list of features see Table 2). The original version of the survey tool is written in Python 3 and JavaScript for the server and user interface respectively. To be able to re-use code, the choice of language did not change with the new version.

3.1.1 Data Model

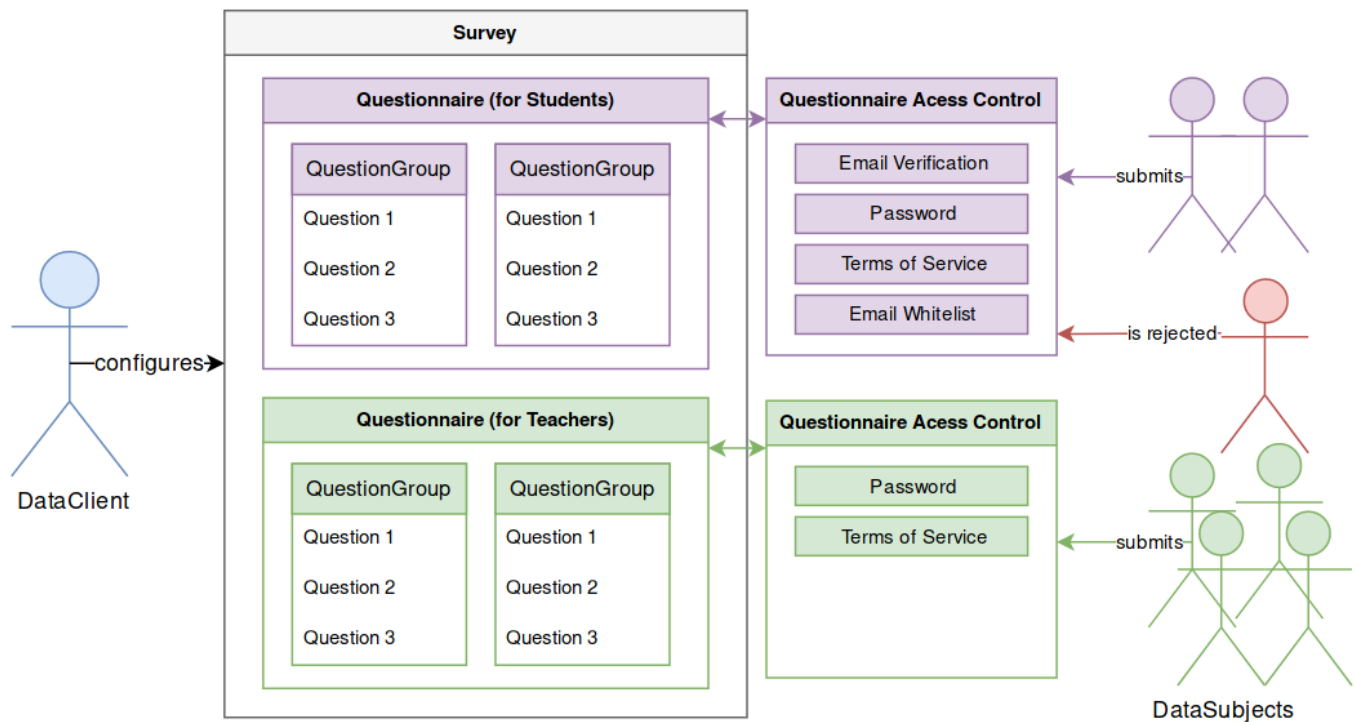


Figure 3: Data model of the previous version

The Evaluation Framework for Learning Analytics
E F L A

for
LEARNERS

for
TEACHERS

DATA

For this LA tool it is clear what data is being collected

strongly disagree 1 2 3 4 5 6 7 8 9 10 strongly agree

○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○

For this LA tool it is clear why the data is being collected

strongly disagree 1 2 3 4 5 6 7 8 9 10 strongly agree

○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○

DATA

For this LA tool it is clear what data is being collected

strongly disagree 1 2 3 4 5 6 7 8 9 10 strongly agree

○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○

For this LA tool it is clear why the data is being collected

strongly disagree 1 2 3 4 5 6 7 8 9 10 strongly agree

○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○

AWARENESS & REFLECTION

This LA tool makes me aware of my current learning situation

strongly disagree 1 2 3 4 5 6 7 8 9 10 strongly agree

○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○

This LA tool makes me forecast my possible future learning situation given my (un)changed behaviour

strongly disagree 1 2 3 4 5 6 7 8 9 10 strongly agree

○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○

This LA tool stimulates me to reflect on my past learning behaviour

strongly disagree 1 2 3 4 5 6 7 8 9 10 strongly agree

○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○

This LA tool stimulates me to adapt my learning behaviour if necessary

strongly disagree 1 2 3 4 5 6 7 8 9 10 strongly agree

○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○

AWARENESS & REFLECTION

This LA tool makes me aware of my students' current learning situation

strongly disagree 1 2 3 4 5 6 7 8 9 10 strongly agree

○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○

This LA tool makes me forecast my students' possible future learning situation given their (un)changed behaviour

strongly disagree 1 2 3 4 5 6 7 8 9 10 strongly agree

○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○

This LA tool stimulates me to reflect on my past teaching behaviour

strongly disagree 1 2 3 4 5 6 7 8 9 10 strongly agree

○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○

This LA tool stimulates me to adapt my teaching behaviour if necessary

strongly disagree 1 2 3 4 5 6 7 8 9 10 strongly agree

○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○

IMPACT

This LA tool stimulates me to study more efficiently

strongly disagree 1 2 3 4 5 6 7 8 9 10 strongly agree

○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○

This LA tool stimulates me to study more effectively

strongly disagree 1 2 3 4 5 6 7 8 9 10 strongly agree

○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○

IMPACT

This LA tool stimulates me to teach more efficiently

strongly disagree 1 2 3 4 5 6 7 8 9 10 strongly agree

○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○

This LA tool stimulates me to teach more effectively

strongly disagree 1 2 3 4 5 6 7 8 9 10 strongly agree

○ ○ ○ ○ ○ ○ ○ ○ ○ ○ ○

Figure 1: Template for the EFLA survey (Sch17b)

Feature	Description
Editable surveys	Edit titles, question texts and colors.
Export to CSV	Export all results of a questionnaire to as CSV file
Challenges	Validate data subject's email addresses. White- and Blacklist email addresses. Protect surveys by password.
Internationalisation	Survey items may have multiple translations.
User accounts	Users may sign up and host surveys.
Template surveys	Choose from a fixed set of template surveys.
Visualization	View survey results as a box plot.

Figure 2: Features of the previous version of the survey tool

The data model for the previous version is closely coupled to the specific needs of the EFLA survey, where a single survey consists of two questionnaires, targeting learners and teachers respectively. Each questionnaire controls the submission right of data subjects by its own set of access control modules, so that audience groups can be differentiated. Each questionnaire contains one or more question groups, which consists of one or more questions.

3.1.2 Architecture

The architecture for the previous version follows a simple client-server paradigm, where a web browser assumes the role of the client. The server software consists of an application server, responding to API requests, a database and an in-memory key-value store for storing session data. There are two different user interfaces, one for data clients and one for data subjects. The data subjects' user interface is the page, where the survey is filled out and submitted. This page is rendered on the server using a templating engine and sent to the browser as a static HTML document. The user interface for data clients presents the user with an editor, where survey content can be created and modified. This user interface is realized as a one-page JavaScript application and was developed by Hannes Leutloff. Deployment is handled through Docker, with the help of the docker-compose tool.

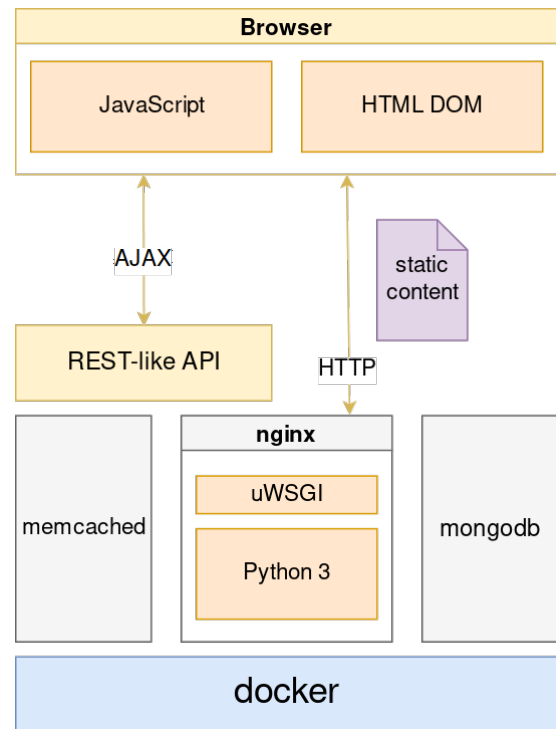


Figure 4: Architecture overview of the previous version

3.2 Terminology

Block access An access to a block of secondary storage. Since accessing secondary storage presents itself as the bottleneck in database latency, the time complexity of database operations is often represented by the number of block accesses rather than the number of RAM operations.

Data client A person or party collecting data for the purposes of learning analytics.

Data subject A person who contributes data to or is the subject of a learning analytics application. In the context of the survey tool, this is the person who responds to a survey.

Learning management system A content management system, specifically designed for e-learning applications. Examples of LMSs are Moodle and OLAT.

Learning record store A database system, possibly including analysis and visualization capabilities, for storing data of interest to learning analytics applications. In the context of this thesis, LRS particularly refers to a system for storing and analyzing xAPI statements. Examples for LRS systems are the TLA facts engine and HT2Labs's Learning Locker (HT2).

Survey item An organizational unit of a survey. In the context of this thesis, a survey item may either be an entire questionnaire, a dimension of a questionnaire or a single question.

Tool provider In the context of LTI, the term *tool provider* is used to describe a system which provides an external tool to an LMS, thereby extending the capabilities of the LMS.

3.3 The TLA Ecosystem

The current efforts of Prof. H. Drachsler's work group are targeted towards the implementation of a trusted learning analytics (TLA) infrastructure. This infrastructure will provide big data storage and analysis capabilities while complying with the European General Data Protection Regulation (GDPR). Data providers present a central part of this infrastructure. These data providers are tools used for collecting data and publishing it to the TLA facts store, using the xAPI statement format as common data representation (CDR). The aim here is not only to create the infrastructure for collecting and analyzing data, but also to build an ecosystem of external tools and data providers to interface with the TLA core's components.

3.4 Motivation

By no stretch of the imagination are online survey tools a new invention. An online search yields dozens of already existing survey platforms, both commercial and free to use. Some of the biggest competitors in this business are compared in Table 1. For most use cases, one of the existing solutions will be sufficient. The survey tool described here aims at solving a unique challenge not solved by any competitor that the author is aware of. This challenge is integration with Moodle and the TLA infrastructure for use at the Goethe University in Frankfurt. Features needed for this integration are single sign-on, i.e. automated authentication of survey participants between Moodle and the survey tool, support for embedding via the LTI protocol, as well as support for xAPI as a data exchange protocol. These requirements are discussed in greater detail in the Section "Requirements Analysis". While most survey providers provide single sign-on features, these capabilities are generally only provided to enterprise customers. Furthermore, only one of the competitors examined in this thesis supports CAS as a mechanism for this. None of the competitors support data export as xAPI statements out of the box and require either manual programming for every survey or data conversion after export. Of the listed competitors, only one supports the LTI protocol for embedding the survey into Moodle and the only documentation of this feature is an online video (quac).

3.4.1 Trusted Learning Analytics: Cloud Versus On-Premise

Another motivation for developing a new software instead of customizing one of the existing solutions is the amount of control this approach allows over the collected data. The competitors provide cloud-based software-as-a-service solutions, which do not allow for full control over the data collected on data subjects and their usage of the site. This is a serious disadvantage for applications in trusted learning analytics, as data clients cannot provide data subjects with autonomy over their data. One of the great challenges in learning analytics is fostering trust in the used data analysis methods and the ethical use of collected data. Ethical boundaries differ from person to person, and data subjects may not be comfortable with specific parts of their personal data being collected and analyzed. For these reasons, providing transparency and allowing data subjects agency over the treatment of their personal data are cornerstones of the trusted learning analytics approach. With cloud-based providers, there are usually no guarantees about where the data is being stored and how long it is being stored for. Some providers, e.g. SoSci Survey, have already recognized this market niche and advertise server hosting in Germany and encrypted data backups (sos). This does, however, not satisfy the requirements for transparency trusted learning analytics strives to achieve, as the infrastructure remains a black box. In addition, using on-premise software allows for greater agility, should requirements on data storage and handling change in the future.

Provider Feature	Google Forms	SurveyMonkey	QuestionPro
SSO	For managed accounts in G-Suite via SAML and LDAP (gofa)	Supported, but further information is only provided to potential customers. (suma)	Through SAML and HMAC-SHA1 (qupa)
xAPI	Not supported, but has limited support for programmable event handlers. (gofb)	Not supported, but supports polling of survey results through an API. (sumb)	Not supported, but has support for web hooks. (qupb)
LTI	Unsupported	Unsupported	Unsupported

Provider Feature	qualtrics	survey gizmo
SSO	Through CAS, LDAP or SAML (quaa)	Through SAML (suga) (sugb)
xAPI	Not supported, but supports polling of survey results through an API. (quab)	Not supported, but has limited support for web hooks. (sugc)
LTI	Alledged experimental support, no official documentation exists.	Unsupported

Table 1: Comparison of survey providers

3.5 Research question

In the light of the need for an on-premise survey solution, which integrates with existing and emerging educational and learning analytics technologies, this thesis aims at answering the following research questions:

- 1) How can the xAPI specification be applied to data collection in the context of an online survey tool? More specifically, how may the data be represented using the xAPI statement format and what technical difficulties arise when integrating the specification?
- 2) Using the survey tool, how can data clients provide their survey items to others and how can existing surveys be re-used?
- 3) How can interoperability between existing educational technologies, more specifically learning management systems like Moodle, and the survey tool be achieved?

4 Requirements Analysis

Requirements analysis was performed on the basis of previously collected use cases. Required features and parts of the existing software that had to be refactored were identified.

4.1 Use Cases

4.1.1 Accessing Students' Self-Regulated Learning Behavior

In the context of TLA, the survey tool will be used to access students' self-regulated learning behaviour. Several psychometrical instruments exist for this, but for the purpose of this thesis only instruments based on or resembling the motivated strategies for learning questionnaire (MSLQ) were considered. One such instrument described in the 1994 paper "Lernstrategien im Studium: Ergebnisse zur Faktorenstruktur und Reliabilität eines neuen Fragebogens" (Learning Strategies in Studies: Findings on the Factor Structure and Reliability of a new Questionnaire) (SW94) was particularly used as a guide to define new requirements for the platform.

4.1.2 Embedding in Moodle

Moodle is an LMS used at Goethe University. The platform supports embedding of external tools inside a course context. Because previous and simultaneous efforts by Prof. Drachsler's work group and collaborators already target Moodle, the new survey tool should also integrate with it. Surveys are still created using the survey tool's own user interface. Once a survey is created, it may be embedded into Moodle's course context via the LTI protocol. Data subjects already using the LMS may then directly participate in the survey without leaving the website.

4.1.3 Stand-Alone Survey Platform

In addition to directly embedding the survey tool into an LMS, it should be possible for a data subject to participate in a survey, if no LMS is used. This use case was carried over from the previous version.

4.1.4 Data Provider as Part of the TLA Ecosystem

As mentioned above, the survey tool will contribute to the TLA ecosystem by providing data to TLA's facts engine, using xAPI to communicate information about responses and events on the platform.

ORM Feature	SQLAlchemy	PeeWee	PonyORM	SQLObject
Declarative API	Yes	Yes	Yes	Yes
Eager loading of relationships	Configurable	No	Configurable	No
Lazy loading of relationships	Configurable	Yes	Configurable	Yes
Query caching	Yes	Yes	Yes	Yes
Cascades	update, delete	update, delete	delete	delete
Inheritance mapping	Yes	No	Yes	No
JSON columns	Using Post-greSQL	No	Yes	Using Post-greSQL
First released	2005	2010	2014	2003

Table 2: Comparison of python ORM libraries

4.2 Refactoring & Restructuring

4.2.1 Database Abstraction

The previous version of the survey tool uses a self-authored database abstraction library, the object-document-mapper (ODM). This library is capable of persisting python objects and relationships between them. It does this by serializing objects to JSON and storing them using MongoDB. Runtime interactions with these objects are intercepted and converted into database queries using the descriptor pattern (Het). This approach worked well for the limited use case, but it proved difficult to implement a transaction model that follows ACID properties. To achieve transaction safety without compromising API transparency, an existing object-relational mapper (ORM) was chosen to replace the ODM. The key factors for the choice of ORM were:

Maturity of the project. Data integrity is critical to the application. There is an increasing chance of bugs being found and resolved with increasing project age.

Support for inheritance mapping. Not being able to effectively use class hierarchies had proven itself to be a major burden on development speed during development of the previous version. Attributes of related classes had to be explicitly duplicated. This was not only confusing to read but also difficult to maintain.

Support for JSON or hash map columns. JSON columns provide a convenient way to store multiple translations of a text column, without producing additional joins between the main table and supplemental translation tables. Storing multiple representations of a string inside a relational database usually involves a 1-to-n relationship between the internationalized entity and its translations. With the use of JSON columns, multiple translations of a string can be stored as a dictionary inside a single column of the entity itself.

In Table 2 a comparison between the some of the most popular ORM libraries available for Python 3 is presented. Because of the project age, previous experience with the library and it's reliability in production environments and the large feature set, SQLAlchemy was chosen to replace the ODM.

4.3 Survey Content

To allow other surveys apart from the EFLA survey to work well with the platform, the following requirements were established:

Response ranges should be adjustable. Responses will still be on a discrete scale with a step size of 1. The start and end of the scale should be adjustable.

Labels for the response ranges should be adjustable. The EFLA survey uses the phrases “Strongly disagree” and “Strongly agree” to describe the lower and upper ends of the response scale respectively. As other surveys may use different descriptions, these descriptions should therefore be adjustable.

Question order should be configurable and optionally randomizable.

4.4 Template Management

The set of questionnaires which are of interest is changing over time. Initially, the MSLQ was considered to be the main questionnaire of interest for accessing self-regulated learning behavior. Because of the questionnaire’s size, alternatives were researched by Prof. H. Drachsler and his associates. As new research is published and questionnaires become better statistically validated, the number of survey items needed to access a certain research question may decrease. For this reason, more current questionnaires may be preferred over older ones. It should be easy to integrate new survey items in the future. At the same time, once a questionnaire is reasonably well verified, multiple users may be interested in using the same questionnaire for different groups of participants. The previous version has rudimentary template support, but templates are supplied as static YAML files on build and can not be updated from the user interface. To overcome this limitation, the following requirements were established:

Templates should be user-contributed. Data clients with special privileges, called contributors, should be able to publish new templates using only the user interface.

Individual survey items may be templates. To create slightly modified versions of a questionnaire, it is useful to re-use existing survey items and not only entire questionnaires.

Templates should be modifiable after creation. Otherwise, editing errors would cause the template to become unusable and the entire template has to be created again.

Changes should be traceable. When the maintainer of a template modifies the template, other users who own copies of it should be able to review the changes.

4.5 Support for xAPI

The previous version only supports limited data analysis capabilities. The new version should interface directly with the TLA infrastructure to allow for further data processing by TLA’s analysis engine. TLA uses the xAPI statement format as it’s common data representation. To provide data using xAPI to TLA, the following requirements were established:

Survey results should be published to an LRS via xAPI. A suiting xAPI representation of survey results has to be defined and should be transmitted to the LRS via HTTP as defined by the xAPI specification (Adv16).

The destination LRS should be configurable. This allows the location of TLA to change in the future. It also decouples the survey tool from TLA and allows interoperability with other LRSs.

xAPI statements must not be lost due to failure. When publishing data to an external storage, data integrity is no longer only determined by database integrity. Appropriate measures must be taken to ensure re-transmission in case of network failure. If transmission is not possible due to misconfiguration, an offline fallback method has to be provided.

4.6 Embedding & LTI Launch

To achieve compatibility between Moodle and the survey tool described in 4.1.2, the following requirements were established:

The survey tool has to implement the LTI launch protocol. The LTI launch protocol is used to embed and launch external tools by the Moodle LMS. The survey tool has to recognize LTI launch requests and respond with an embeddable version of the survey.

Data subjects should not have to authenticate. When using the survey tool from within Moodle, data subjects have already authenticated with CAS in order to log in to the LMS. Requiring another form of user authentication after this point would break the seamless user experience.

LTI uses OAuth 1 to sign requests. This provides a way for the tool provider to verify the identity of the LMS and the authenticity of the LTI request. The requirement to implement OAuth as part of this work was explicitly not required.

4.7 Privacy Considerations

Since May 25th 2018, the General Data Protection Regulation has applied to EU member states (The16). The author is not in any way qualified to provide a legal interpretation of the regulation. However, in consultation with Prof. H. Drachsler, the following requirements were identified to conform to the regulation:

Data deletion for data subjects. Some personal data has to be stored on the server in order to identify data subjects between requests. Because of the right to be forgotten, there has to be a way to delete personal data stored for a specific data subject. It is sufficient if this is not automated and can be performed by the site's administrator.

No user accounts are available to external data clients. If third parties are allowed to use the service, it can not be guaranteed that they will follow GDPR guidelines. To avoid responsibility for third parties' actions on the site, there will be no way to sign up as a data client that does not involve contacting the administrator.

Other rights covered by the GDPR include the right to view and export personal data. Since all personal data collected is published to the TLA infrastructure, this will be implemented as part of the TLA infrastructure and is not part of the survey tool.

5 Concept

5.1 Architecture

The overall architecture is the same as for the previous version. The survey tool uses a classical client-server approach, where the client is a JavaScript application running inside a web browser. This approach enables everyone with a modern web browser to use the platform without having to install any additional software locally. Notable exceptions are Internet Explorer, Opera Mini and the Blackberry Browser, as they do not fully support the CSS grid property. The server-side software stack is deployed by using Docker and exposed through a containerized web server. Communication between different containers on the server takes place on a virtual network which is not exposed to the internet. Communication between client and server is handled by a RESTful API provided by the server.

5.2 Survey Content

During refactoring, the top-level organizational unit “survey” was removed and replaced by “questionnaire”. Grouping multiple questionnaires inside a single survey only makes sense in a small set of use cases. For other use cases, this has proven to be confusing to users. Most of the time, a survey contains only a single questionnaire. Use cases, where grouping of multiple questionnaires into a single survey is appropriate, can still be achieved by creating multiple questionnaires without any explicit grouping. Apart from that, the overall survey structure did not change significantly.

5.2.1 Questionnaire

A questionnaire is a collection of dimensions, which also contains administrative information. A questionnaire’s life cycle is modeled using three distinct states. The questionnaire starts out as ‘not published’, which means that it is only accessible to its owner via the API (with the exception of templates, which will be accessible to other authenticated data clients) and will not display when accessed by data subjects via its public URL. This state is meant for questionnaires which are incomplete and being worked on. Once a questionnaire reaches its ‘published’ state, it will be visible to the public via its public URL. It will not accept submissions via the API at this point. The publicly accessible representation of the questionnaire will not display form controls to submit and display an information page before accessing the survey content. This page informs the data subject, that submissions are not accepted at this point. Once data subjects are allowed to submit answers, the questionnaire enters the ‘accepts submissions’ state. The public page will now display form controls to submit, and new submissions are accepted by the API. Once the survey has concluded, the questionnaire returns to the ‘published’ state and can be viewed for future reference. If this is not wanted, the data client has the option to retract the survey and return it to its ‘not published’ state. This life-cycle model is illustrated in Figure 5.

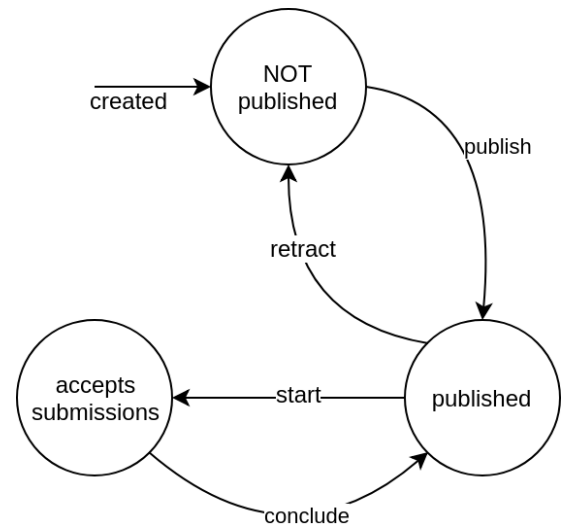


Figure 5: Questionnaire life-cycle

Along with information about its life cycle, the questionnaire also stores information about any access control challenges presented to data subjects. As in the previous version, challenges are presented as additional form inputs when submitting. In contrast to the previous version, email addresses are now always validated when submitting by sending a one-time-use token to the entered email address. Data clients may choose from these challenges:

Password: A password has to be entered in order to submit. The password is chosen by the data client.

Email whitelist: Only emails that are present in a list of email addresses are allowed to submit. The email whitelist also supports wildcard expressions to allow all email addresses following a certain schema.

Email blacklist: The same as email whitelist, but instead of allowing certain email addresses, this blocks certain addresses from participating.

5.2.2 Dimension

A dimension is a collection of questions usually pertaining to a specific topic. The only additional piece of information handled by the dimension is whether the order of its questions should be randomized when the survey is taken.

5.2.3 Question

A question is a single statement to which the data subject may respond on a numerical scale. The lower and upper bounds of this scale, as well as the descriptions for these bounds may be adjusted by the data client. Individual questions may be used as templates, which is why the information on the lower and upper bounds and scale labels is stored on a per-question basis. Convenience workflows for updating this information for an entire dimension allow easy editing despite the large granularity of this approach.

5.3 Internationalisation

Every time an API request is made, a request language is determined by the server and the request is handled in this language. For human-readable attributes of survey items, multiple translations may exist. When a survey item is created, the language it is created in becomes the item's original language. Translations in different languages may be added later by updating the survey item using the desired language as the request language. When no translations for the requested language exist, survey items are instead served in their original language. To communicate information about existing translations and what translation was served, the API includes the item's current language, the original language and a list of available languages in the JSON representation of the item.

5.4 Ownership, Parties, Roles

To control API access to survey items, these had a single owner in the previous version. 'Owner' refers to a data client with access to the resource. In the next version, the ownership model was expanded to allow n-to-n relationships between owning parties and owned resources. In addition, ownership is no longer restricted to data clients. These changes became necessary for two reasons. Survey items are no longer the only resource with access restrictions, as will become clear in Section 5.5. While survey items usually only have a single owner, i.e. the author, tracking information has to be accessible to all parties sharing read access to the tracked resource. Because of the template management, data clients may have read access to survey items, of which they're not the author (templates). This requires a single resource to have multiple owners. Of course, a party may own more than a single resource, hence the n-to-n cardinality of this relationship. The reason for expanding ownership to data subjects is that this mechanism makes it easy to identify personal data. Data subjects own all resources which contain personal data. The "right to be forgotten" may then be implemented by simply retrieving all owned objects for a given data subject and deleting them from the database.

For some privileged users, access control should not be enforced. Also, the right to publish templates is reserved for a select group of users. To accomplish different levels of privilege, roles were introduced to all parties. A party may have a number of different roles, depending on the actions they're allowed to take and the data they're allowed to access. There are currently five different roles:

Role	ID	Description
Root	0	All available access control methods grant access to users with this role.
Admin	10	An administrative user, who may view and modify other user's data in order to ensure proper operation of the platform.
Contributor	20	A user who may make survey items available to other users as templates.
User	30	A regular user who may create and modify their own survey items.
Unprivileged	40	A user who may view and participate in published surveys, but not create or modify survey items. This role is used for all data subjects.

Access control may then be enforced by checking whether the role required for a certain action is held by the user in question.

5.5 Modification Tracking

To track modifications of survey items, every time a modification is made to an item, a record of this modification is stored in the database. To keep storage space needed for this feature to a minimum, only the most recent modification for each attribute is stored. In the course of development, it was discovered that different kinds of modifications require different information to be stored in order to create a meaningful record of the change, which can also be presented to the data client. Such information includes the modified item, the modifying data client, previous and new values, as well as the point in time when the modification occurred. Five different types of records were identified:

#	Description	Stored information
1	An attribute was updated	Modified item, modifying data client, attribute name, previous value, new value, timestamp
2	A language map was updated	Modified item, modifying data client, attribute name, modified language name, previous value, new value, timestamp
3	A child item was added	Parent item, modifying data client, child item, timestamp
4	A child item was removed	Parent item, modifying data client, child item name, timestamp
5	A questionnaire was removed	Name of the questionnaire, modifying data client, timestamp

The modified item is stored as a reference to the actual record of the item in the database. This makes it possible to quickly find the modified item based on a tracking record. In the user interface, this is used to display the modified item as a clickable link, which will instantly show the modified item. For types of modifications, where an item was deleted, this kind of data model is not applicable, as the referenced record will no longer exist in the database. In these instances, only the name of the item is stored. A special case exists for the deletion of questionnaires, as they do not have the parent item needed to construct the tracking record. In this case, only the questionnaire name is stored. To deliver a personalized stream of modifications to data clients, including only those modifications which are of interest to them, tracking records also use the ownership model. Tracking records are owned by all parties interested in the tracked item.

5.6 Template Management

A template refers to a survey item, from which other survey items may be created as copies. A copy of a template should always mirror the template's content, including its relationships to its children, as the children are part of the item's content. This is true, since all parent-child relationships of survey items are aggregations; a dimension has no purpose without questions and a questionnaire has no purpose without dimensions. Every survey item may optionally also be a template. Survey items may

be made available as a template by any data client who has at least the Contributor role. Templates are visible to all data clients.

5.7 xAPI Support

5.7.1 Introduction to xAPI

xAPI, formerly known as TinCanAPI, is a data exchange standard closely resembling activity streams (Wor17). It was developed by the Advanced Distributed Learning (ADL) Initiative as a successor to SCORM (Adva, Advb) and allows the exchange of experiential information in the form of statements (Advc). These statements use JSON as their data format and include a minimum of three semantic objects, “actor”, “verb” and “object”. The data is transmitted using HTTP, following REST principles.

```

1 {
2   "actor": {...},
3   "context": {
4     "contextActivities": {
5       "grouping": [
6         {...}
7       ],
8       "parent": [
9         {...}
10      ]
11    },
12    "extensions": {
13      "http://activitystrea.ms/schema/1.0/place": {...}
14    },
15    "language": "en",
16    "platform": "st3k101 via localhost"
17  },
18  "id": "896ef7f1-8d5c-4729-b028-e9d72df47fe8",
19  "object": {...},
20  "result": [
21    {...}
22  ],
23  "timestamp": "2018-09-27T14:32:15.009513",
24  "verb": {...}
25 }

```

Figure 6: Anatomy of an xAPI statement

An xAPI statement may also include a “timestamp” stating the issue date and time of the statement, a “context”, providing additional information about the event and a “result”, detailing the outcome or outcomes of the event. The format is also extensible – additional information can be provided in the “extensions” object inside the “context”.

5.7.2 xAPI Statement Design

There are several actions which will trigger the sending of an xAPI statement:

- 1) A data client logs in into the survey platform.
- 2) A data subject launches an embedded survey.
- 3) A data subject answers a single question.
- 4) A data subject answers a known survey item (whole questionnaire or dimension)
- 5) A data client updates the xAPI activity ID of a survey item.

Figure 7: List of cases where xAPI statements are emitted.

For each of these cases, an xAPI statement had to be designed. All of these statements share at least some of their structure. The context object of all xAPI statements emitted by the survey tool includes the platform, language and extensions attributes. The language attribute always contains an RFC 5646 (PD09) compliant representation of the language the action was performed in. The platform attribute always starts with the string `st3k101`, identifying the origin of the statement. The platform attribute may also include the URL of the source LMS in the case that LTI was used. In this case, the URL is appended as `st3k101` via `SOURCE_LMS_URL`. The extensions object of the context also includes a geolocation for the client in RFC 7946 compliant GeoJSON format (BDD⁺16). Below is a concept for what additional data should be included in the statements listed in 7.

#	Actor	Verb	Object	Result	Context
1	data client	logged in	login page	-	-
2	data subject	accessed	questionnaire	-	-
3	data subject	answered	question	response value	parent dimension AND questionnaire parent item, if any
4	data subject	answered	questionnaire OR di- mension	response value	
5	data client	updated	questionnaire OR di- mension OR question	new activity ID	-

Table 3: Concept for information included in emitted xAPI statements.

Objects are identified by their `objectType`, `type` and `id` in xAPI, whereas verbs are only identified by their `id`. For the purpose of the survey tool, all objects share the same `objectType`, the `Activity`. It is common practice to use a URL as an identifier or type, which will return a human-readable description of the item via HTTP GET. In theory, there is no correct identifier to use when designing xAPI statements, as the standard does not prescribe the use of any specific verbs or objects. In practice, several registries with commonly used verbs and objects exist and should be consulted when choosing which identifier or type to use. This avoids re-definitions of already existing items and increases homogeneity among statements by different adopters of the standard. Examples for these registries are `xapi.vocab.pub` and `registry.tincanapi.com`. The verbs and objects used in Table 3 had to be translated into already existing verbs and objects. The results are detailed in Table 4. For some of the objects, no suitable definitions existed. For those objects, dummy identifiers or types were used, which follow the URL format, but use `http://fantasy.land/` as a prefix.

In order to correlate objects in emitted xAPI statements with survey items in the survey tool, all survey items have a user-modifiable xAPI activity ID associated with them. This identifier is used as the object's `id` in xAPI statements. These identifiers are not modifiable in copies of templates, which means that all instances of a copy will use the same xAPI activity ID. This is useful for conducting meta-analyses, where all results for a certain template could be included in the analysis, regardless

Verb	Identifier
logged in	https://brindlewaye.com/xAPITerms/verbs/loggedin
accessed	https://w3id.org/xapi/dod-isd/verbs/accessed
answered	http://adlnet.gov/expapi/verbs/answered
updated	http://activitystrea.ms/schema/1.0/update
Object	Type
login page	http://activitystrea.ms/schema/1.0/page
questionnaire	http://id.tincanapi.com/activitytype/survey
dimension	http://fantasy.land/dimension
question	http://adlnet.gov/expapi/activities/question

Table 4: Used xAPI verb identifiers and object types

```

1 "object": {
2   "definition": {
3     "description": {
4       "en-US": "This is a particular scale of a survey, it usually contains
5         multiple questions."
6     },
7     "name": {
8       "de": "5. Anstrengung"
9     },
10    "type": "http://fantasy.land/dimension"
11  },
12  "id": "<bla@blubl.net>:lernstrategien_wild_schiefele--5_anstrengung",
13  "objectType": "Activity"

```

Figure 8: Example of how a dimension is represented as an xAPI activity object

of who conducted the survey. During testing, this became an issue, because the results for the same template, which were collected by different data clients, would not be distinguishable, as they all used the same identifier. For this reason, the email address of the data client conducting the survey is added as a prefix to all xAPI activity IDs before sending. In Figure 8, an example of how survey items are represented in xAPI is given.

Actors may be represented in four different ways using xAPI. The identifying feature is either the person's email address in the case of the mbox and mbox-shalsum actor types, an account ID in combination with a URL where the account is located in the case of the account actor type or an OpenID identity in the case of the openid actor type. Data clients are represented as mbox actor types, while data subjects may be represented by as mbox-shalsum actor types or, in the embedded use-case, as an account actor type using their LTI user identifier. The latter is necessary, because the email address might not be available through LTI. Contrary to prior expectations, the LTI user ID is not useful for data analysis, as Moodle will use the user entity's database ID. Moodle does, however, communicate a username via LTI, which for the specific Moodle instance at Goethe University is the same as the data subject's CAS ID. To retain compatibility with other LMSs while taking this finding into account, the username will take precedence over the LTI ID, if present in the LTI request. The LTI ID is also not globally unique, as there may be separate LMSs which use the same IDs for different users. For this reason, the LTI user ID is prefixed with the identifier of the source LMS, which is always present in LTI

requests.

The recipient of the statements is determined by the object which is acted upon. This makes intuitive sense, as the person owning a specific survey item is the one interested in collecting data on it. At the highest level, all survey items are organized into one questionnaire and there is no use-case for different data consumers for individual parts of the questionnaire. For this reason, recipients are configured on a per-questionnaire basis. For objects which do not have an owner, e.g. the survey tool's login page, a default recipient is configured system-wide. To recover from failure in the case that an xAPI statement can not be transmitted over a prolonged period of time, the statement as well as the recipient and timestamp of the failure are logged to file and may then periodically be recovered.

5.8 Support for LTI

The embedded user interface is launched by the LMS using the LTI protocol. To identify the source LMS, a random token, the consumer key, is generated in the back-end for every questionnaire. To embed a questionnaire within the course context, an LTI request with the correct combination of request URL and consumer key has to be made by the LMS. Information about the user is already present in the request body and is used to identify the data subject. Since there's some time between the LTI launch request and survey submission, user information has to be stored on the server for this period of time. To achieve this, the required data is stored in the data subject's account. If a data subject accesses the service through LTI for the first time, a new account is provisioned for them. When launching the survey tool via LTI, a session is created for the data subject and a session token embedded into the user interface. Actions by the data client in the embedded user interface will use the embedded session token for authentication with the API. This mechanism allows the API to identify the data subject on every subsequent request. A valid LTI request is treated as sufficient authentication for the data subject, as the source LMS already authenticated the user prior to them accessing the questionnaire.

5.9 Email validation

When data subjects participate in a standalone survey, it is difficult to recognize repeated submission by the same person. Most features used for identification, e.g. the client's IP address or cookies present in the browser, can easily be modified by the data subject. Even more advanced measures, such as browser fingerprinting, are ultimately controlled by the client, as communication with the server is handled by a publicly available API. For this reason, a third party has to be involved in validating the user's identity. The survey tool achieves this validation through email. When submitting responses, the data subject has to enter their email address. At this point, the responses are stored on the server, but no xAPI statements have been emitted and the responses do not yet count towards the generated statistics. A randomly generated token is embedded into a URL pointing back to the survey tool. This URL is then sent to the submitted email address. Once the data subject follows the URL, the server will associate the token with the user's responses in order to validate them. After validation, the appropriate xAPI statements are emitted.

5.10 Privacy Considerations

As mentioned in Section 5.8, when a data subject participates in a survey, a user account is provisioned for them. Removal of this account and all associated personal data may be performed via the API when authenticated as an admin user. In order for the admin to know which account to communicate to the API, the API allows admin users to query for existing user accounts. Data removal is limited to admin users, as removal of accounts by data subjects themselves would require some sort of authentication mechanism for data subjects. When an email address is present for the data subject, authorization

via email is a possible solution for this. In this scenario, the data subject would receive an email with a randomly generated token, which can be used to remove their personal data. If there is no email present for the data subject, say, when the account was provisioned using LTI, or if the data subject does not have access to their email account, this mechanism fails. For this reason, email as the sole mechanism for data removal is not a viable option at the moment.

6 Implementation

6.1 Architecture

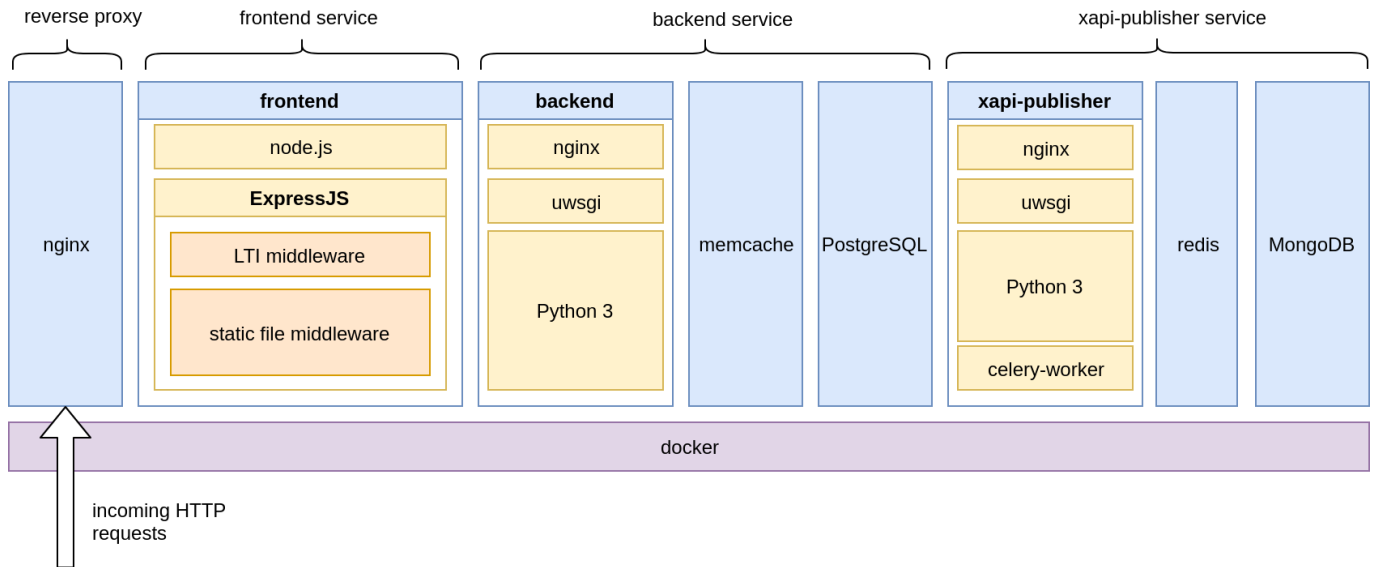


Figure 9: Server-side software stack

The server-side architecture is composed of multiple services. The “frontend” service is responsible for serving the user interface statically and intercepting LTI requests. The “backend” service is responsible for serving the API, user and session management and communication with the database. The “xapi-publisher” service is responsible for transmission of xAPI statements generated by the backend service. Each service uses slightly different technologies, depending on the requirements of the service. The API and “frontend” service are exposed through an `nginx` web server, which acts as a reverse proxy, forwarding incoming HTTP traffic to the appropriate docker containers.

6.1.1 The Backend Service

The backend service is implemented using the Flask library, which allows Python to interface with a web server using the web server gateway interface (Eby03). Similar to PHP or CGI extensions, the `nginx` web server will accept incoming requests. It will then, using `uWSGI`, fork a python interpreter which will respond to the request. This means that no data can be persisted in the Python application itself, as the Python context will be discarded for every request. To persist data, a PostgreSQL database and a memcached in-memory key-value store are used. Persistent data, such as survey content, is stored in the database, whereas semi-persistent data, such as user sessions, is stored in memcached. This design allows for horizontal scalability, as multiple instances of the backend container can be used with the same database. No data dependencies exist between the backend containers. The only bottleneck in this scenario is the shared database. This could be solved in the long-term by replacing the single PostgreSQL instance by a database cluster.

6.1.2 The Frontend service

The frontend service consists of a simple node.js application running the ExpressJS web server. For the web server, two middlewares are provided, one for serving static files and another for intercepting LTI launches. This is necessary as the user interface has to be parameterized for each LTI launch before serving it to the data client.

6.1.3 The xAPI-Publisher Service

The xapi-publisher service closely mimics the design of the backend service. In addition to Flask and uWSGI, it also runs several worker threads, which are responsible for the asynchronous sending of xAPI statements. Since xAPI statements are JSON documents, a document based database - MongoDB - is used instead of a relational database to persist xAPI statements between requests. For inter-process communication between web server and worker threads, a task queue consisting of the Celery library and the redis in-memory database is used.

The functionality provided by the xapi-provider service is separate from the backend service, duplicating most of the architecture already present. This might at first seem less than optimal, as it violates the DRY (don't repeat yourself) principle to the extent that configuration for two separate containers has to be created and maintained. It does, however, allow for better separation of concerns. The backend container already handles business logic and user management. A unique set of challenges exists that has to be solved for publishing xAPI statements, which would unnecessarily increase the complexity of the backend service. One such challenge is that data needed to create an xAPI statement is sometimes present in a request before the data is actually valid and should be transmitted. When a data subject answers a survey using the stand-alone survey interface, their answers are submitted to the server but only become valid once they have verified their email address. The required data to build the xAPI statement, including the data subject's IP address, has to be stored on the server until this point. In order to avoid convoluting the backend service's data model and business logic to account for this, a separate service solely responsible for temporarily storing and safely transmitting the xAPI statement is used. This allows the backend service to only use minimal logic for communicating with the xapi-publisher service. It also allows maintainers of the codebase to make changes to the publishing behaviour without having to know the internal workings of the backend service.

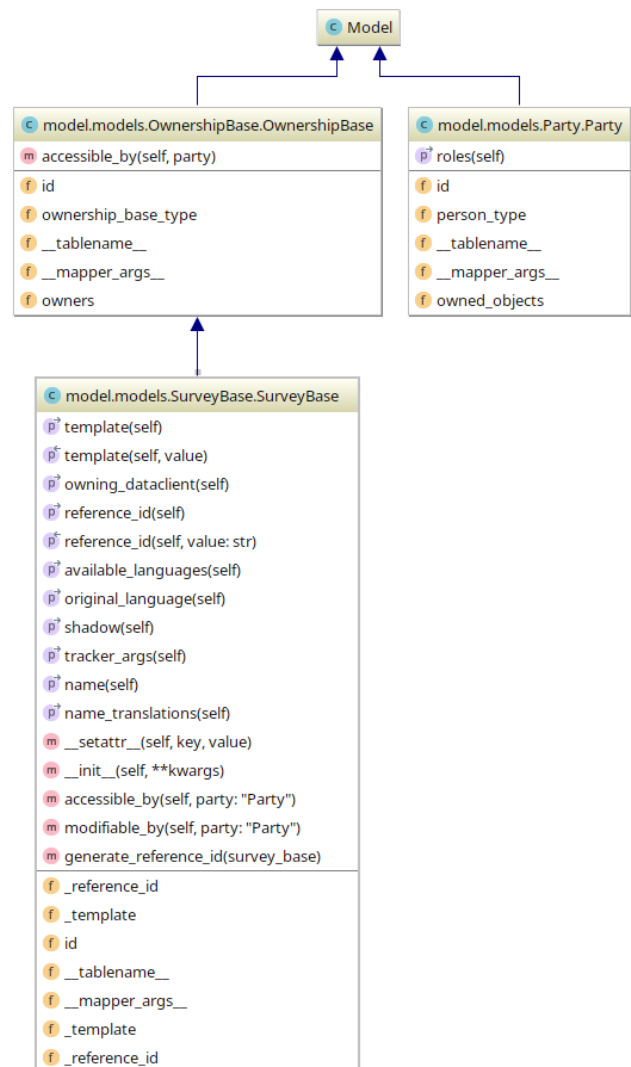


Figure 10: The three main base classes

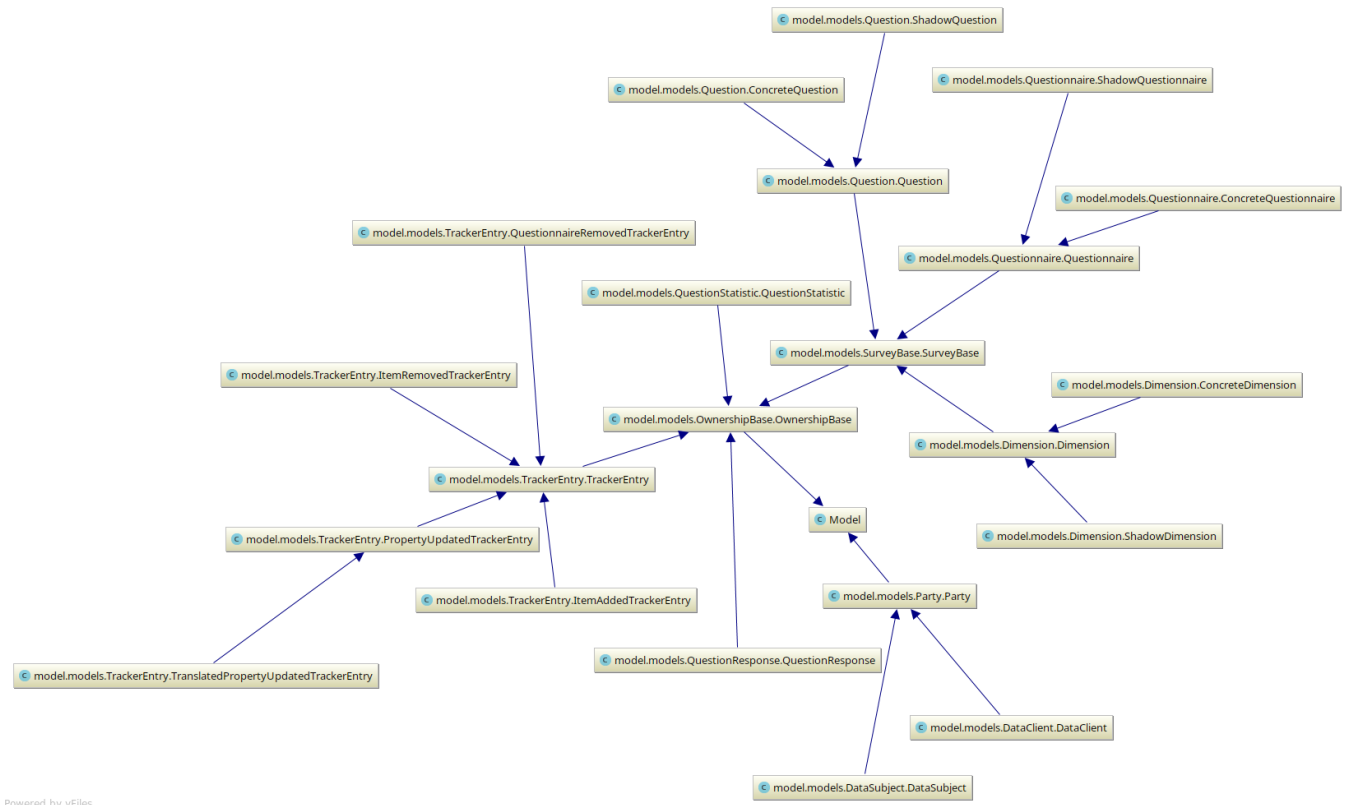


Figure 11: Model class hierarchy

6.2 Data Model of the backend service

6.2.1 Class Hierarchy

The class hierarchy is centered around three abstract base classes, `SurveyBase`, `OwnershipBase` and `Party`. `OwnershipBase` is the base class used for all classes which can be owned by a `Party`. `Party` is the base class used for all user-type classes. `SurveyBase` is the base class for used for all survey items. Each of these classes provides common functionality and interfaces to their subclasses.

6.2.2 Inheritance Mapping via SQLAlchemy

The SQLAlchemy library provides three distinct mechanisms for mapping class hierarchies to relational database schemas, *joined table inheritance*, *single table inheritance* and *concrete table inheritance*. Joined table inheritance uses a separate table for every class along the hierarchy, with each table only containing data declared by the corresponding class. Attributes inherited from super-classes are associated with subclasses by one-to-one relationships between the superclass table and subclass table. When loading an instance of a subclass, a join statement is generated, which also loads the appropriate record from the superclass table. Single table inheritance uses a single table for all subclasses of a certain class. Fields not used by a certain subclass are populated with NULL values. Concrete table inheritance uses a separate table for every class, which contains all the data needed to load an instance of the class. This means that inherited attributes will be duplicated in subclass tables. From a performance perspective, single or concrete table inheritance outperforms joined table inheritance, since no join operation is needed to load an instance. From a storage perspective, joined table inheritance is optimal. Since SQLAlchemy does not support as many operations on concrete table inheritance as for the other types of inheritance mapping (SQL), concrete inheritance mapping was not used. While single table inheritance works well for shallow class hierarchies, more complex

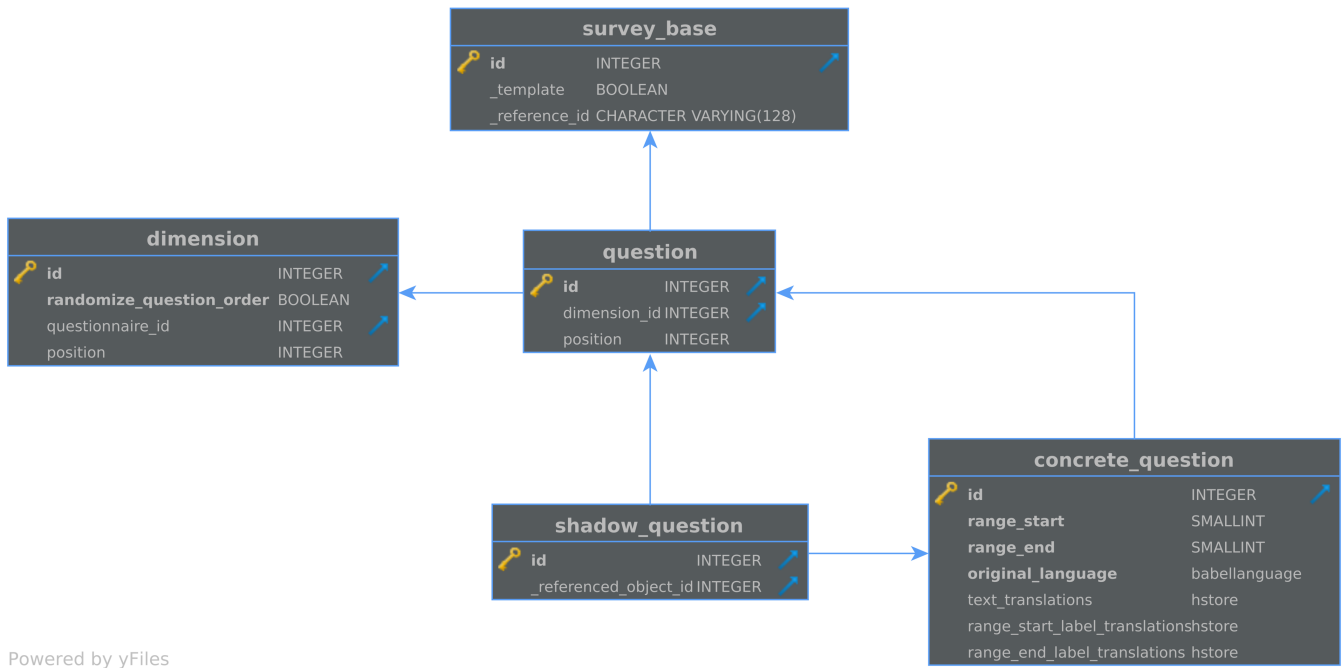


Figure 12: Database schema for a single question including foreign key constraints

hierarchies with multiple levels of inheritance produce only sparsely populated database records, as more attributes will be unique than shared for most classes. For the survey tool, this approach would only create two tables. For storage optimization, the joined inheritance approach was used.

6.2.3 Template Management - The Template Triad

To allow user-contributed templates which may also be modified after creation, the same data structures are used for templates as for regular survey content. Creating copies of these templates is done by reference instead of physically copying the template's content. This is because template content may be modified at any time, and these modifications have to be propagated to all existing copies. If copies were created by physically copying the template's content, updating a template would also cause all copies to be updated in the database. The asymptotic number of block accesses of this approach scales linearly with the number of copies present and potentially produces join operations with large result sets. It also stores large amounts of redundant data. Copies, though, are proxy objects, which delegate read operation to the referenced template. Survey items containing actual data are called concrete instances, whereas copies which do not contain the actual data are known as shadow instances. For each direct SurveyBase subclass, Questionnaire, Dimension and Question, there are two more subclasses for the concrete and shadow representations of the class. this *template triad* is depicted in Figure 13 and 12. For the sake of brevity, the direct descendents of SurveyBase will be called the *super-classes*, while its descendents will be addressed by *concrete* and *shadow* respectively. For template management, each of these classes fulfils a specific role. All actual data is stored in the concrete- and super-classes, while shadow-classes only contain a reference to a concrete. As shown in Figure 13, some data is stored in the super-class instead of the concrete class. The reason behind this design is, that some attributes of shadow classes may still be modified and should not just reflect the state of the associated concrete. An example of this is the access control configuration of the Questionnaire class. Data stored in the concrete class can be categorized as *survey content*, while data stored in the super-class can be categorized as *administrative data*. The super-class also acts as an interface for the concrete and shadow classes, specifying all accessors that should be available for

its subclasses. The shadow classes act as proxies providing transparent read access to the referenced concrete's attributes.

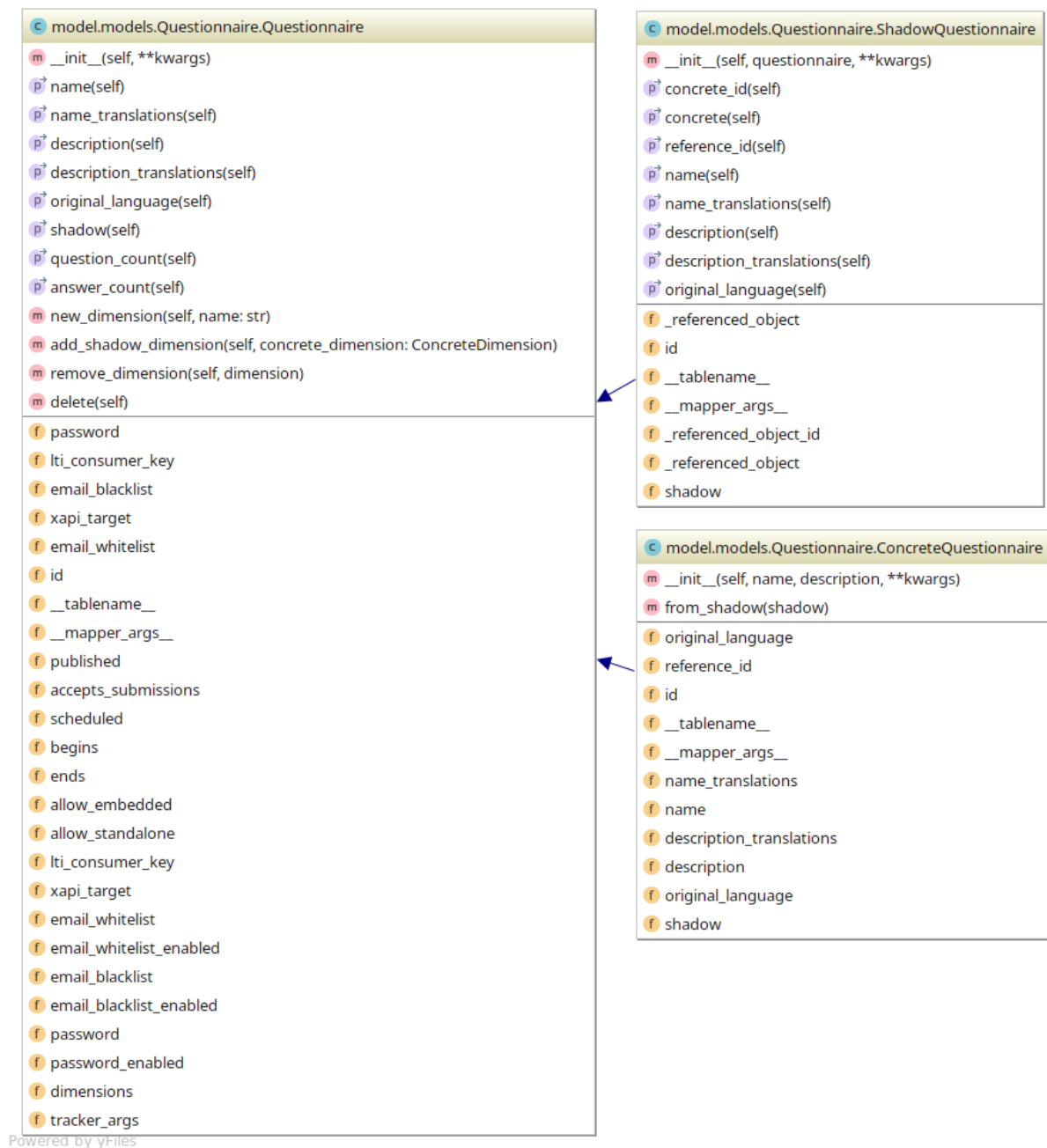


Figure 13: The template triad, exemplarily depicted by the questionnaire data model

6.3 Template Management

6.3.1 Creation of Shadow Instances

Creating a shadow instance from a concrete instance not having any relationships to other items is trivial. When creating a shadow instance from a concrete instance which has children, the resulting shadow instance should also duplicate the relationship structure of the reference concrete instance. In Figure 15, an example for this is given. In the example, Shadow A was created from Concrete A. Parent-child relationships are modeled as a directed graph with edges from parent to child for the purpose of this example. The creation of Shadow A will cause the entire subtree starting from concrete A to be duplicated with shadow instances, pointing to their corresponding concrete counterparts. The result is a copy of the concrete instance and its relationship, which is always in synchronism with its source, but can not be modified.

A special case occurs, when a shadow is created from a concrete, whose subtree also contains shadows. While it would be possible for a shadow instance to reference another shadow instance, a shadow instance should always reference a concrete instance directly. The reason for this is the asymptotic number of block accesses needed to read from shadow instance. If a shadow references another shadow, the `reference_to` relationship would have to be traversed multiple times until the concrete instance is found. Access times would then scale linearly with the degree of separation between the accessed shadow instance and the referenced concrete. To avoid this, if a shadow instance is encountered while traversing a concrete's subtree on shadow creation, the shadow's `reference_to` relationship is traversed, until a concrete instance is found. The new shadow instance is then created as a reference to this concrete instance. The example in Figure 16 depicts this case. In the example, Shadow A was created from Concrete A. Concrete A's subtree contains a Shadow instance, Shadow #1 of B, which should be duplicated in Shadow A's subtree. Instead of pointing Shadow A's right child to concrete A's left child, Shadow #1 of B's `reference_to` relationship is followed. Concrete B is encountered and becomes the referenced template for shadow #2 of B. This approach maintains the degree of separation between shadows and concretes always at one.

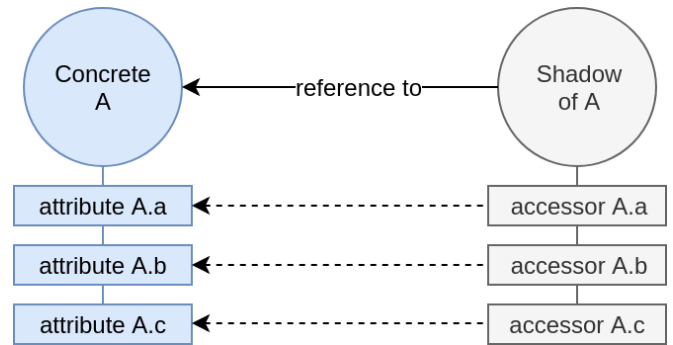


Figure 14: Schematic depiction of the relationship between concrete & shadow instances

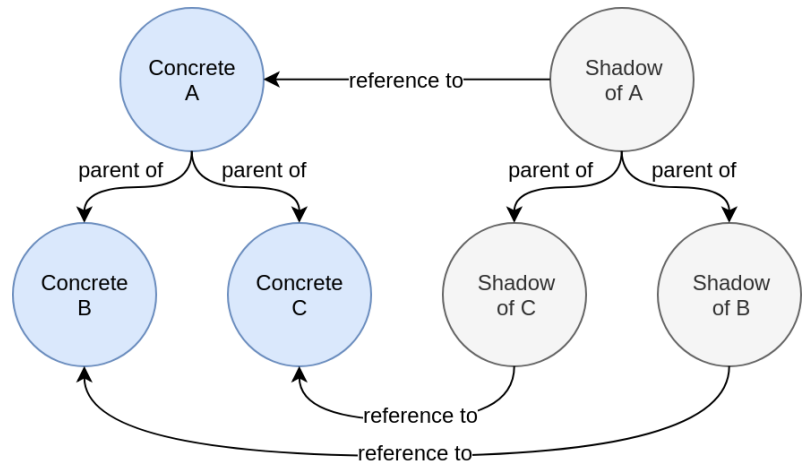


Figure 15: Shadow instances duplicate the structure of the concrete items

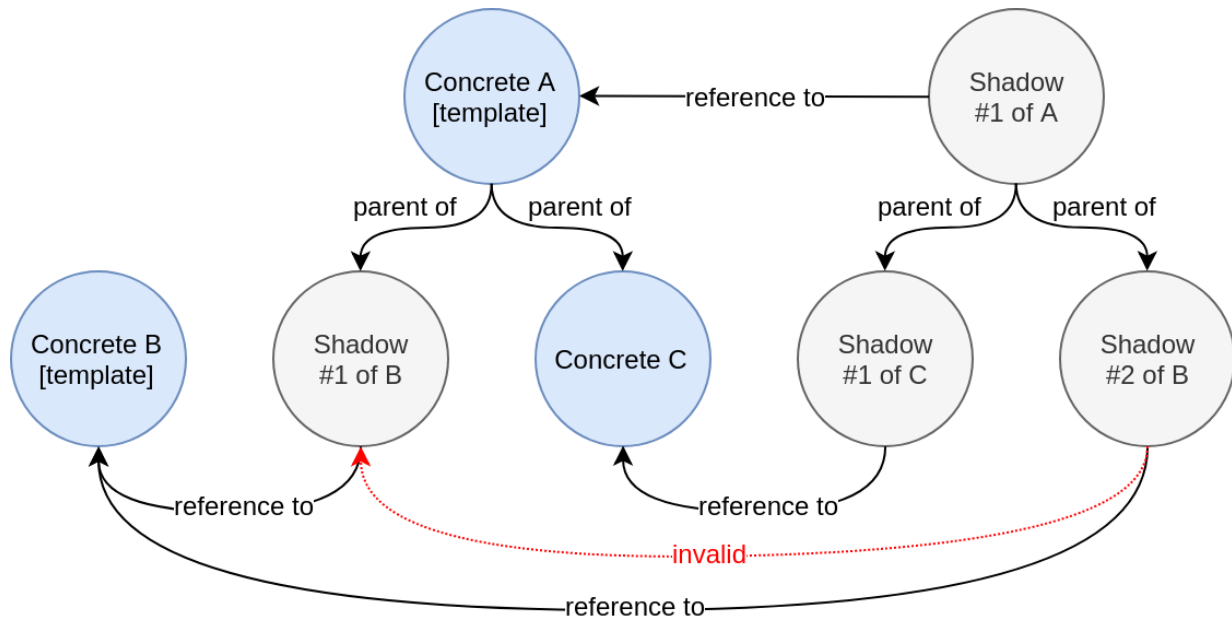


Figure 16: Duplication of a concrete structure which contains shadow instances

6.3.2 Modification of Templates

Modifications of template content is trivial, as shadow instances will always read from the referenced template. When modifying a template's relationships to it's children, the changes have to be duplicated in all copies of the template. To achieve this, the `reference_to` relationship is traversed backwards to find all copies of the template. The copies are then notified of the modification and apply it to their children as well. This operation is depicted in Figure 17.

6.3.3 Deletion of Templates

When a template is deleted, copies of it become invalid, as they no longer have any instance to point to. Two possible solutions for this are either deleting all associated shadows, or converting all associated shadows into concrete instances. The former is less complex from an implementation perspective, while the latter is more user-friendly, as it does not result in unexpected deletion of content. There are also use cases, where deleting all associated shadows might be intended, say, if the survey item is retracted and should no longer be used. There is no default behavior to satisfy all use cases. For this reason, a compromise between the two approaches was made. By default, when a template is deleted all associated shadows are also deleted. Contributors are therefore encouraged to edit existing templates instead of deleting them. Templates will also show a counter showing the number of associated shadows in the user interface, making the contributor aware of possible repercussions. If the contributor chooses to delete a template anyway, the modification tracking feature will provide accountability and transparency to the affected data clients. When deleting a template questionnaire, however, the associated shadows are converted into concrete instances, as the questionnaire might already be published and available for participation. In this case, deleting the questionnaire would interfere with another data client's survey and delete already collected results, which is not acceptable.

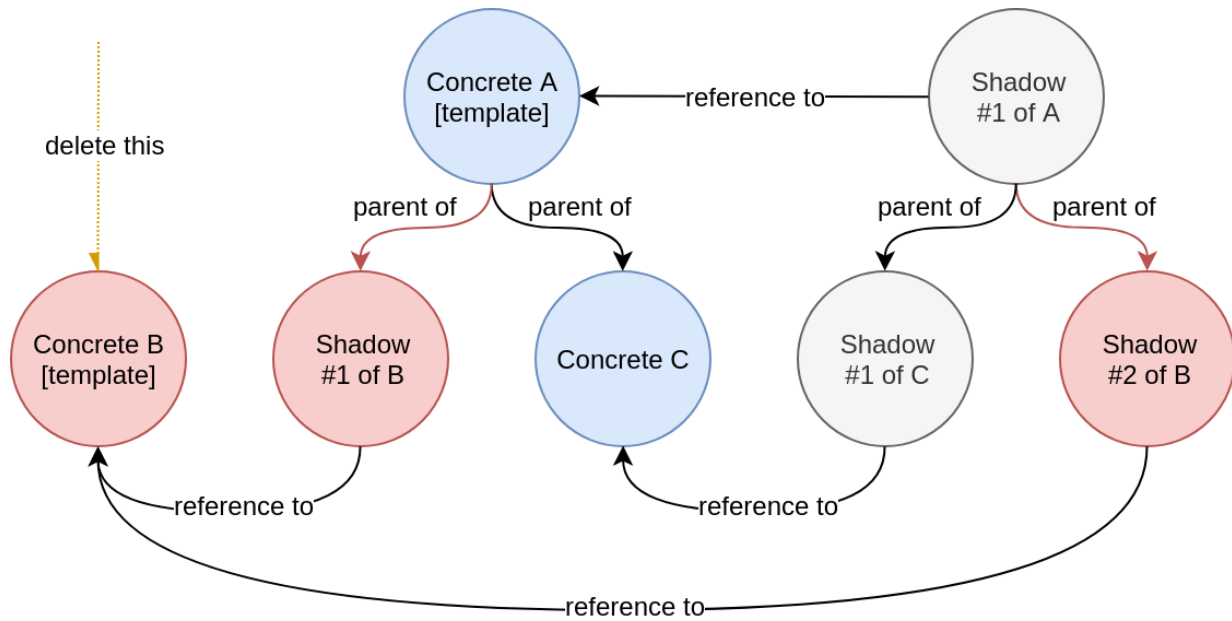


Figure 18: Deletion of a template is propagated to all associated shadow instances. Items marked in red are deleted.

6.3.4 Modification Tracking for Templates

The modification tracker for a given data client should not merely show changes to concrete instances which are owned by the data client. Rather, it should also include changes made to templates, of which the data client owns copies of. This provides accountability and transparency to data clients who choose to use templates. As changes are immediately applied to copies, this is an important feature for consistent user experience. To achieve this, every time a modification is made

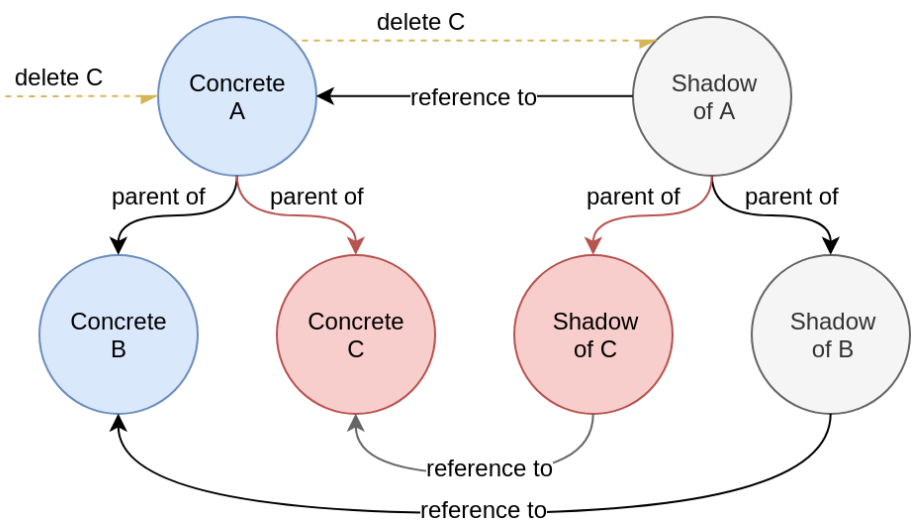


Figure 17: Modifications of templates are relayed to copies. Items marked in red are deleted.

to a template, ownership of the resulting tracking record is given not only to the template owner, but to all owners of associated shadow instances.

6.4 API

The API is organized using the Flask-RESTful library (FLS). For each type of survey item, a canonical endpoint and a set of contextual endpoints exist, all of which are mapped to the same handler. Canonical endpoints follow the `http://HOST:PORT/api/TYPE/ID` format, where TYPE is the type of survey item. Each survey item is identified by its unique ID, which may be used for accessing the item via its endpoints. If a survey item has child elements, then these may be accessed by appending

/CHILD_TYPE/ or /CHILD_TYPE/CHILD_ID to any of the parent's endpoints. The former returns a list of all children, while the latter accesses a single child. These endpoints are called contextual endpoints.

Each survey item is also associated with at least one JSON schema, which is used for serialization and deserialization of the classes instances. The schemas are implemented using the Marshmallow library. Each survey item's schema also includes a URL pointing to the canonical endpoint of the item, which may be used for fetching the item again in the future.

Before any other request handler is invoked in the backend, information about the request language and user session are parsed. The request locale is determined by three mechanisms, which take precedence over each other in the order mentioned here (the latter overrides the former). First, the HTTP headers are inspected for the Accept-Languages field and the best match is chosen from the list of available languages. If cookies were sent with the request, they are searched for a locale cookie. Lastly, the request parameters are inspected for the locale parameter. Session information is communicated using the Authentication field of the request headers, following the bearer authentication scheme. If a session token is found in the HTTP headers, the token is validated. If successful, the associated Party object is loaded and injected into the request context.

6.5 Authentication

6.5.1 Data Client Authentication

Authentication for data clients can be performed by providing a valid combination of email and password. These parameters are sent to the backend in JSON format. The request can be encrypted by the client using TLS when a valid certificate is provided for the load balancer. The load balancer will then decrypt the request and pass it unencrypted to the backend on the virtual network. When creating a new data client, a random salt is generated using the operating system's random device. The provided password and salt are then hashed using the native Python implementation of the Argon2 password hashing function (ARG). The password hash and salt are stored in the database and can be used to validate login attempts by re-applying the hashing algorithm to the salt and the provided password, and comparing the resulting hash with the stored hash.

6.5.2 Session Management

Once a Party has been successfully authenticated, a *session record* is created and published to the memcached instance. This session record includes information about the Party, a timestamp of the last performed action by the Party and a randomly generated *session token*. The session token is handed out to the client via the API and may be used by the client to identify itself in subsequent requests. Every time the session token is used in a request, the timestamp stored in the session record is updated to the current time. If the difference between the timestamp and the current time exceeds a certain limit in any request, the token is rejected and the session record is removed from memcached. This ensures the eventual removal of unused session records from the cache and protects the user against re-use of their session token if they forgot to log out. Another protection against token stealing is IP pinning. When a user is successfully authenticated, their IP address is included in the session record. If any subsequent request with the associated token uses a different IP address than was used for authenticating, the token will be rejected and the session will be removed from the cache.

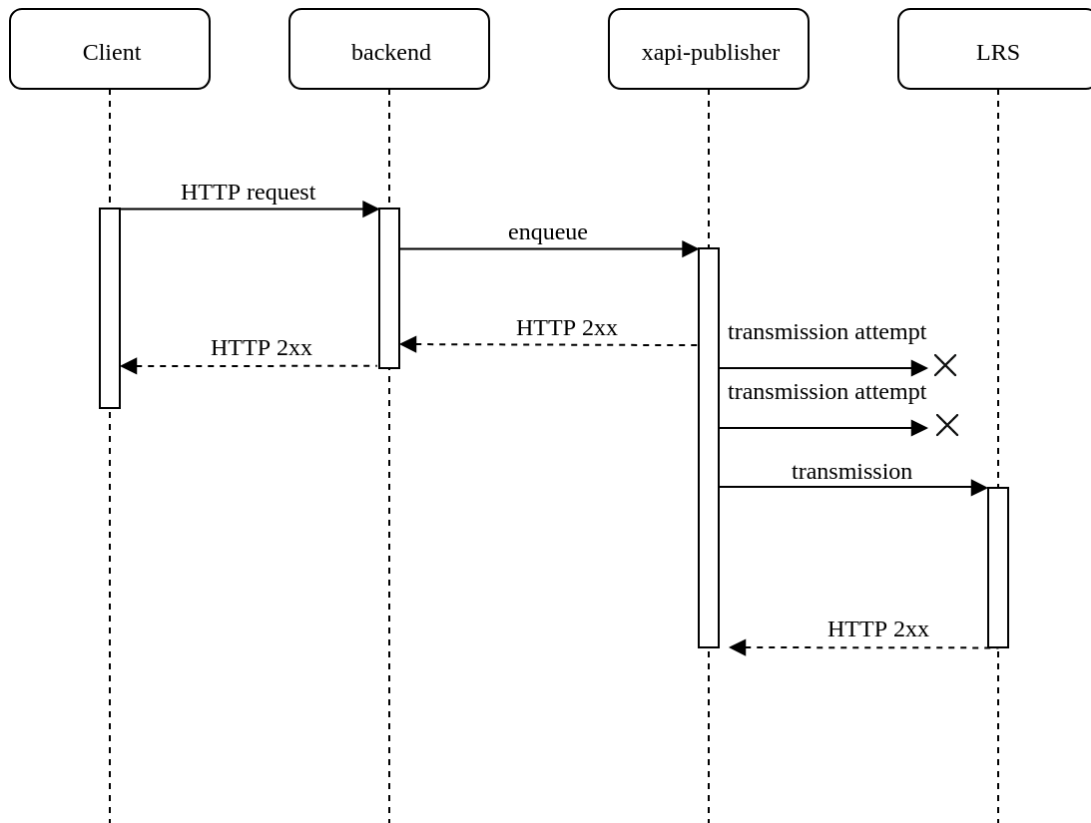


Figure 20: Asynchronous (non-blocking) transmission of xAPI statements

6.6 Support for xAPI

6.6.1 xAPI in the Backend Service

xAPI statements are created in the backend service using an object-oriented API. Statements are queued locally using the `XApiPublisher` class, which acts as a transaction manager for xAPI statements. When a request context is created by the WSGI middleware, a new transaction is started. Queued statements are only sent to the `xapi-publisher` service, when the transaction is committed at any point during handling of the request. By default, when the request was handled without raising an error, and a rollback was not explicitly executed during the request, the transaction is committed automatically at the end of the request.

6.6.2 The xAPI-Publisher Service

Sending of xAPI statements is separated from the API, as sending and possible re-transmissions should not block the API from responding to requests. This was discovered during testing, when a misconfigured xAPI recipient was used. HTTP

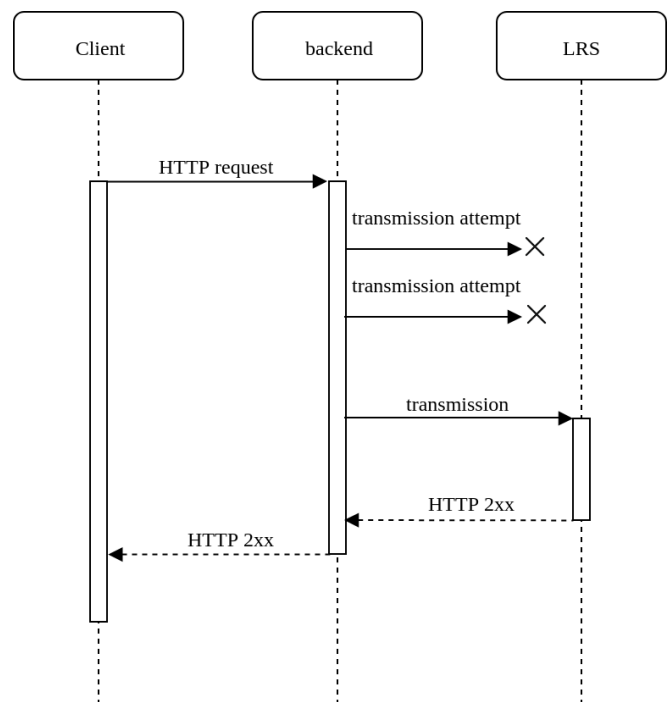


Figure 19: Synchronous (blocking) transmission of xAPI statements

timeouts are usually in the order of seconds and unsuccessful connection attempts are retried. This resulted in the site becoming unresponsive when submitting the survey, as the submission of a survey would cause a large number of xAPI statements to be sent. Figures 19 and 20 illustrate this issue.

6.7 LTI Middleware

As mentioned before, recognition of data subjects uses the same token-based mechanism that is also used for recognizing data-client sessions. Since session management is performed by the backend service, but the frontend bundle is served by the frontend service, performing an LTI launch involves both services. The frontend provides an HTTP endpoint for requesting the LTI launch. The supplied information is then forwarded to the backend service, which validates the supplied combination of consumer key and requested questionnaire. If the LTI launch is valid, the backend service starts a new session for the data subject. A session token is then returned to the frontend service. The frontend service embeds the session token as a global variable into the JavaScript bundle which is the user interface and serves the parameterized bundle to the client. The user interface's bootstrapping process may then check for the presence of the session token and switch to its embedded version. The embedded version of the user interface uses a different endpoint for submitting responses than the standalone version, as no information about the data subject has to be present in the response. A sequence diagram for the LTI launch is provided in Figure 21.

7 Analysis & Discussion

7.1 Known Issues

In this section, known issues leading to improper or unexpected behavior of the survey tool are discussed.

7.1.1 Lack of Automated Tests

No automated tests were written for this project. This proved to be a major burden on development speed as the project matured. When refactoring old code or adding new features, tests help to identify potential problems at an early stage. Without tests, bugs may be introduced into the codebase without the maintainers noticing and may ultimately affect the user in a negative way. Tests also help to identify conceptual inconsistencies with new features more quickly. The codebase of the backend is still relatively small, containing approximately 5000 lines of Python. Test coverage for the backend can still be achieved, but should be prioritized over adding new features.

7.1.2 Internationalisation of the User Interface

While all user contributed content may be internationalized, the user interface language itself is not yet dynamic. Navigation and menus are all in English at the moment. For the data client user interface, this is not as problematic as for the data subject user interface, as currently, all data clients are associates of Prof. H. Drachsler. For the data subject user interface, however, this is confusing, as translated survey content is intermixed with navigation elements in English.

7.1.3 Edge Cases of the Template Management

When a shadow instance (Shadow #1 of B) is created as a child of a concrete instance (Concrete A), which also contains the referenced concrete instance (Concrete B) for the newly created shadow (Shadow #1 of B), the following case may occur: when a shadow (Shadow of A) is created of the parent instance, the relationship structure is also duplicated. The parent's shadow will then end up with two

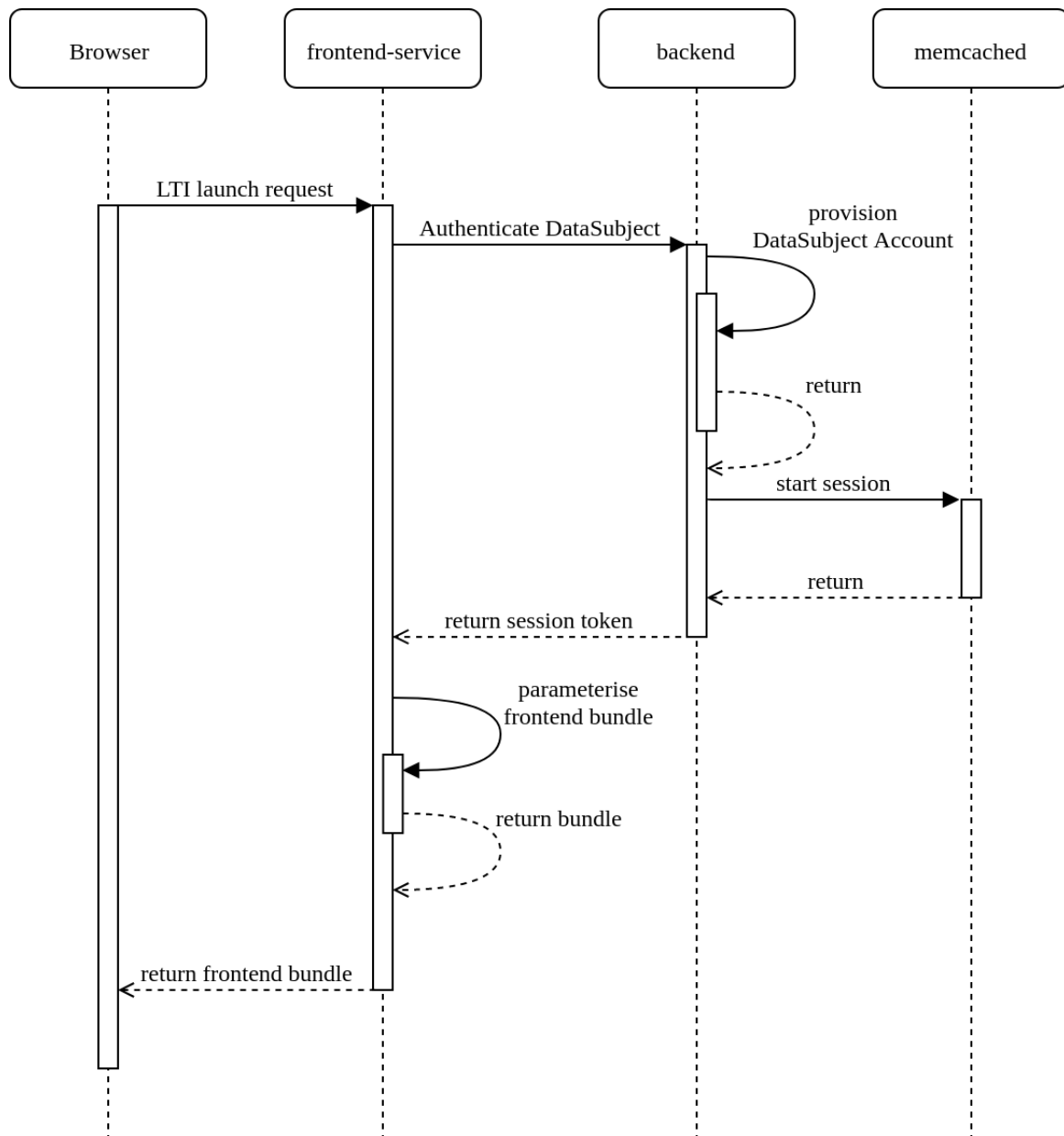


Figure 21: Sequence diagram depicting the LTI launch

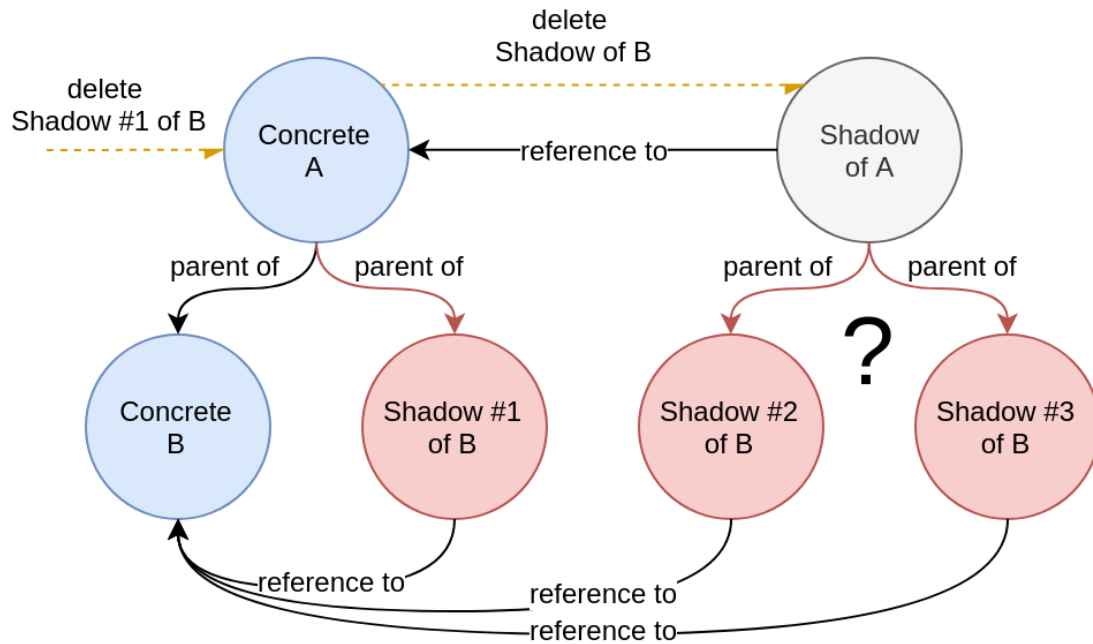


Figure 22: Using a shadow instance inside the source concrete breaks the delete action

child shadow instances (Shadow #2 of B, Shadow #3 of B), pointing to the same concrete child of the parent. When trying to delete the original shadow instance (Shadow #1 of B), the delete action is also propagated to all shadows (Shadow of A) of its parent. Since these shadows now contain two copies of the item to delete, there is no way of distinguishing which item to delete and the delete fails. This edge case is depicted in Figure 22. Since there exists no obvious use case for including the same survey item twice in a given survey, this case is unlikely to occur during day-to-day usage of the survey tool.

7.1.4 xAPI Statement Design

A questionnaire is identified by its owner and its xAPI activity ID in xAPI statements. When creating a questionnaire from a template, the shadow's xAPI activity ID will shadow the template's xAPI activity ID for reasons described in Section 5.7.2. This means that if a data client creates a shadow copy of their own questionnaire, its xAPI activity ID will not be distinguishable from the template's ID. The same case occurs, when a template is used multiple times by a data client. A potential fix for this issue would be by separating the xAPI activity ID from the user-configurable ID and then generating the xAPI activity ID by concatenating information on the owner, the user-configurable ID and the unique database ID of the questionnaire. The resulting xAPI activity ID should be presented to the data client in the user interface for reference.

7.2 Conceptual Issues

In this section, issues with the concept of the survey tool are discussed. These issues may not necessarily be critical to the operation of the tool, but provide indications for future improvements.

7.2.1 xAPI Statement Design

In some places, the format of xAPI statements emitted by the survey tool is not completely normalized. This defeats the purpose of using the JSON format in the first place, as some fields have to be parsed a second time to extract all the data. The first case of this is the `platform` string, which contains the name of the survey tool, as well as the LMS, which launched the survey tool. It would be more

appropriate to include information about the LTI launch in the extensions sections of the statement. For this, an extension for representing LTI launch information has to be drafted and specified. The second case is the activity ID used for representing survey items, which contains the owning data client's email address. This case is not as problematic, as the email address is only included to distinguish survey content owned by different data clients and not for reconstructing the data client from the ID.

7.2.2 OAuth & The LTI Launch Protocol

It was not required to implement OAuth to secure access to the survey tool's LTI endpoint. This leaves the survey tool vulnerable to malicious launches by third parties. The combination of LTI endpoint and launch key used to launch the embedded user interface may be intercepted by using common network analysis tools included in every modern web browser. This data could then be used to construct a malicious launch request, allowing the attacker to be identified as a different or non-existent user. The solution to this issue is to implement OAuth as per the LTI specification.

7.3 Implementational Issues

This section discusses cases, where the chosen implementation of a certain feature is not optimal and may be improved in the future.

7.3.1 ORM Inheritance Mapping

For mapping the survey tools class hierarchies to the database, the joined table inheritance approach described in Section 6.2.2 was used. The reason given for this choice is storage optimization. Storage, however, can easily be extended if required. Access times cannot as easily be improved by upgrading the underlying hardware. The joins produced by the joined table inheritance approach usually use at least 3 tables in the case of the survey tool. This can not be further optimized with the current class hierarchies. Also, foreign key constraints put in place by this approach require careful configuration of delete and update cascades, as modifications to one object instance might affect all the tables along the object's inheritance chain. Single table inheritance, despite lacking aesthetics, is the more optimal approach for mapping class hierarchies, if access times are prioritized over storage space used.

7.3.2 Data Model

The current data model used in the backend service does not separate administrative data from survey content in the Questionnaire, Dimension and Question classes. This makes it hard to separate data (which should be visible to non-owners) from sensitive data, which should only be visible to owners of a survey item. Although this separation is already enforced in the database as described in Section 6.2.3, it is not consistently enforced throughout the backend service's code. Separating survey content from administrative data consistently throughout the codebase would allow for better readability and – by extension – maintainability of the project.

7.3.3 Duplication of Functionality: Redis & Memcached

As described in Section 6, two different key-value stores, Redis and Memcached are used. This is a leftover from prototyping. The backend service has used Memcached since the previous version of the survey tool. With the introduction of the xapi-publisher service and the Celery library, a message broker had to be included to distribute tasks to worker threads. Since Memcached cannot be used for this purpose, Redis was included. It is possible to completely replace the Memcached instance by refactoring the backend service to use Redis instead. This would result in less external dependencies and is therefore favorable.

7.4 Conclusion

In conclusion, the research questions posed in the introduction of this thesis were answered, but additional questions for further research arise as well.

The xAPI statement format suits itself well for data collection in the context of an online survey tool. As described in Section 5.7.2, designing xAPI statements around the survey tool's use cases was straightforward. The level of detail provided by the format is more than sufficient for the application at hand. Because of the format's portability, special care needs to be taken when designing representations of objects. Adopters of the specification should be aware of the fact that a single identifier is often not sufficient for recognizing objects across systems, as no system is aware of all objects. The actor representations take this into account by combining a user identifier with an identifier of the domain which recognizes the user. The xAPI object does not yet provide a normalized representation for this. Adopters should also be aware of the fact, that depending on the source of an xAPI statement, the same person might be represented differently. To identify people in a reliable way, a list of all known representations of a person has to be maintained. How this can be achieved is a topic for future research.

From a technical perspective, adoption of the xAPI specification is not particularly challenging, as it relies on widely used technologies. Special care needs to be taken with regards to transmission integrity, adopters should be aware that re-transmissions are required in case of network failure. Aggregation of xAPI statements and asynchronous transmission using a dedicated service, as described in Sections 6.1.3 and 6.6, has worked well for this.

Sharing of survey items between data clients can be achieved through the template management system described in Sections 5.6, 6.2.3 and 6.3. While the chosen approach is superior to simple import and export capabilities in the sense that it scales better and changes can be rolled out immediately by authors of templates, it also introduced additional challenges. The biggest issue with the current system is that when a data client relies on a template, they do not have any control over changes to the content. Content might change at any time at the template author's discretion. This stifles trust in the reliability of templates. A content sharing system should therefore always include some sort of version control.

For interoperability between the survey tool and the Moodle LMS, satisfying results have been achieved using the LTI launch protocol. The launch mechanism provides sufficient information for targeting specific resources the tool provider may provide to the LMS. Adopters of the specification should be aware that implementational details vary between LMS vendors. As discussed in Section 5.7.2, some of the data provided in LTI requests might not be useful for a given application and vendor specific extensions may be in use. For these reasons, LTI launch requests may have to be handled differently by the tool provider depending on the launching LMS.

8 Outlook

8.1 Beta-Testing and Productive Use

The survey tool will be used by participants of the Educational Technologies Seminar held by Prof. H. Drachsler in the 2018/19 winter semester for the first time. In the seminar, students may choose to participate in a test-run of the TLA infrastructure. In this test-run, data is gathered from different sources, including the survey tool, to test the capabilities of the TLA infrastructure and to discover potential issues with the system. Participants will take a survey accessing motivation and self-regulation with regards to their learning behavior. This data will then be combined with activity data collected from Moodle, regularly self-reported data on goal setting and time management and physical attendance times.

8.2 Future Features

There are many additional features which could be added to the existing codebase, once the known issues have been resolved. This section describes some of these features and gives a brief outline as to how they could be integrated.

8.2.1 Configurable Authentication Mechanisms for xAPI Consumers

Some xAPI consumers only accept xAPI statements from known sources and thus require authentication before statements can be transmitted. The xapi-publisher service already supports this and bundles statements by destination and authentication mechanism, so that sessions may be re-used. This is not described in this thesis, as this was added as part of a hotfix in the last days of this thesis. The user interface and backend service do not yet support configuration by the user for this feature. In the future, the tool could provide a set of common authentication mechanisms for the data client to choose from. Depending on the chosen mechanism, different configuration forms would then be displayed in the user interface to supply credentials for the mechanism.

8.2.2 Additional Response Types

In addition to questions which can be answered on a numerical scale, other kinds of response types could be added to the survey tool. This is particularly interesting for use cases outside psychometry, say, when performing course evaluations. Examples for additional response types are free text, boolean (yes or no), date & time and date- & time-ranges. Integrating these kinds of questions would mainly involve subclassing the Question class and designing new user interface components. The overall survey logic should still work with these new types. xAPI also already supports these kinds of answer values.

8.2.3 Additional Formatting Options for Data Clients

At the moment, questions present a question text, as well as labels for the upper and lower bounds of the response range to the data subject. The question text does not support formatting. This format is not suited to longer paragraphs of text, say, when context has to be provided for the question. In the future, questions could support formatting using a markup language like markdown or textile. The benefit of using such a markup language is that no changes have to be made to the way question texts are stored, as the markup can be stored as plain text. In addition, good library support exists for converting these markup languages to HTML in a safe manner. In the user interface, the markup could either be entered by hand, if the markup language is not too complicated, or an already existing JavaScript markdown editor like SimpleMDE could be integrated. Depending on the markup, this would also allow for images and videos to be embedded into the survey, which could greatly expand the set of surveys that can be realized with the survey tool.

8.2.4 A/B Questionnaires

Some surveys study the effect of survey taking itself on it's subjects. For this reason, different versions of the same questionnaire might be presented to data subjects. One such example would be a survey, where one version uses an even number of response values and the other uses an odd number. Currently, the entire questionnaire would have to be duplicated in order to achieve this. To solve this, a special copy of a questionnaire could be created, which is also optionally modifiable. Non-modified parts of the questionnaire would then merely reflect the state of the original questionnaire, whereas modified parts would override the original questionnaire's content. Participants could then be randomly assigned one of these questionnaires when accessing the survey.

8.2.5 Version Control for Templates

Instead of immediately propagating changes to templates to all copies of it, data clients owning a copy could be notified of the change and then choose to move to the new version or keep using the old one. This feature would involve keeping track of content revisions for every survey item. Instead of overwriting content when making changes to a survey item, the changes would instead be applied to a copy of the item, the new revision. Copies of templates would then also contain information about the revision they are shadowing. Up- or downgrading a survey item to another revision would then only involve changing the copy's reference to relationship to point to the newer or older revision.

9 Appendix

9.1 Additional Contents

9.1.1 Source Code

The source code for this project is maintained at GitHub and can be found at <https://github.com/yeldiRium/st3k101>. The version submitted as part of this thesis is version 3.1.1-beta, which can be accessed at <http://bit.ly/xapi-probe-311>. There, the source code is available as a zip-compressed archive. The reader may prove the authenticity of the release by calculating the archive's SHA-1 hash sum.

```
st3k101-3.1.1-beta.tar.gz: 7b2dbd474653978331d3263c7c1f2c7c9ff27f63
st3k101-3.1.1-beta.zip: bc28878196617db812e081c5d48a9e3f689b2714
```

9.1.2 Documentation

The project's README file is the central point of access for information on deployment, dependencies and development setup. It can be accessed at <http://bit.ly/xapi-probe-readme>, and is also included in the zip-compressed archive.

9.1.3 API Reference

The API reference for the backend service can be accessed at <http://bit.ly/xapi-probe-api-v6>. This version exists for reference only and will not be updated.

9.2 List of xAPI Statements

Data Subject Launched the Embedded Survey

```
1 {
2   "actor": {
3     "account": {
4       "homePage": "http://localhost",
5       "name": "user"
6     },
7     "name": "user",
8     "objectType": "Agent"
9   },
10  "context": {
11    "extensions": {
12      "http://activitystrea.ms/schema/1.0/place": {
13        "definition": {
14          "description": {
15            "en-US": "Represents a physical location."
16          },
17          "name": {
18            "en-US": "Place"
19          },
20          "type": "http://activitystrea.ms/schema/1.0/place"
21        },
22        "geojson": {
23          "features": [
24            {
25              "geometry": {
26                "coordinates": [
27                  50.1167,
28                  8.6833
29                ],
30                "type": "Point"
31              },
32              "type": "Feature"
33            }
34          ],
35          "type": "FeatureCollection"
36        },
37        "id": "http://vocab.org/placetime/geopoint/wgs84/X50.1167Y8.6833.html",
38        "objectType": "Place"
39      }
40    },
41    "language": "en",
42    "platform": "st3k101 via localhost"
43  },
44  "id": "96433bdb-9766-40de-8a35-dc0743b3bbd6",
45  "object": {
```



```

46     "definition": {
47         "description": {
48             "en-US": "This is a survey hosted at st3k101."
49         },
50         "name": {
51             "en": "Eine Umfrage"
52         },
53         "type": "http://id.tincanapi.com/activitytype/survey"
54     },
55     "id": "<bla@blubl.net>:Eine-Umfrage-3074f53ee8",
56     "objectType": "Activity"
57 },
58 "timestamp": "2018-09-28T10:38:13.233259",
59 "verb": {
60     "display": {
61         "en-US": "Indicates someone accessed a resource at st3k101."
62     },
63     "id": "https://w3id.org/xapi/dod-isd/verbs/accessed"
64 }
65 }

```

Data Subject Answers a Question

```

1 {
2     "actor": {
3         "account": {
4             "homePage": "http://lmsng.school.edu",
5             "name": "292832126.333"
6         },
7         "name": "292832126.333",
8         "objectType": "Agent"
9     },
10    "context": {
11        "contextActivities": {
12            "grouping": [
13                {
14                    "definition": {
15                        "description": {
16                            "en-US": "This is a survey hosted at st3k101."
17                        },
18                        "name": {
19                            "agq": "Questionnaire name"
20                        },
21                        "type": "http://id.tincanapi.com/activitytype/survey"
22                    },
23                    "id": "<bla@blubl.net>:Questionnaire-n-f7f705a0c1",
24                    "objectType": "Activity"
25                }

```

```

26     ],
27     "parent": [
28         {
29             "definition": {
30                 "description": {
31                     "en-US": "This is a particular scale of a survey, it
                        usually contains multiple questions."
32                 },
33                 "name": {
34                     "de": "4.2 \u00dcberwachung"
35                 },
36                 "type": "http://fantasy.land/dimension"
37             },
38             "id": "<bla@blubl.net>:lernstrategien_wild_schiefele--4_2_&#252;
                        berwachung",
39             "objectType": "Activity"
40         }
41     ],
42 },
43 "language": "agq",
44 "platform": "st3k101 via lmsng.school.edu"
45 },
46 "id": "fcc317f7-d3ce-4597-ad88-245792ecb9c9",
47 "object": {
48     "definition": {
49         "description": {
50             "en-US": "This is a question that is part of a survey at st3k101."
51         },
52         "name": {
53             "de": "Ich bearbeite zus\u00e4tzliche Aufgaben, um festzustellen, ob
                        ich den Stoff wirklich verstanden habe."
54         },
55         "type": "http://adlnet.gov/expapi/activities/question"
56     },
57     "id": "<bla@blubl.net>:lernstrategien_wild_schiefele--4_2_&#252;berwachung--7"
58     ,
59     "objectType": "Activity"
60 },
61 "result": [
62     {
63         "score": {
64             "max": 5,
65             "min": 1,
66             "raw": 2,
67             "scaled": 1
68         }
69     }
70 ],
71 "timestamp": "2018-10-12T09:41:15.151759",

```

```

71     "verb": {
72         "display": {
73             "en-US": "Indicates the DataSubject answered something."
74         },
75         "id": "http://adlnet.gov/expapi/verbs/answered"
76     }
77 }

```

Data Subject Answered a Known Dimension

```

1  {
2      "actor": {
3          "account": {
4              "homePage": "http://localhost",
5              "name": "user"
6          },
7          "name": "user",
8          "objectType": "Agent"
9      },
10     "context": {
11         "contextActivities": {
12             "parent": [
13                 {
14                     "definition": {
15                         "description": {
16                             "en-US": "This is a survey hosted at st3k101."
17                         },
18                         "name": {
19                             "en": "Test"
20                         },
21                         "type": "http://id.tincanapi.com/activitytype/survey"
22                     },
23                     "id": "<bla@blubl.net>:Test-fc9bca7314",
24                     "objectType": "Activity"
25                 }
26             ]
27         },
28         "extensions": {
29             "http://activitystrea.ms/schema/1.0/place": {
30                 "definition": {
31                     "description": {
32                         "en-US": "Represents a physical location."
33                     },
34                     "name": {
35                         "en-US": "Place"
36                     },
37                     "type": "http://activitystrea.ms/schema/1.0/place"
38                 },

```

```

39         "geojson": {
40             "features": [
41                 {
42                     "geometry": {
43                         "coordinates": [
44                             50.1167,
45                             8.6833
46                         ],
47                         "type": "Point"
48                     },
49                     "type": "Feature"
50                 }
51             ],
52             "type": "FeatureCollection"
53         },
54         "id": "http://vocab.org/placetime/geopoint/wgs84/X50.1167Y8.6833.html",
55         "objectType": "Place"
56     },
57     },
58     "language": "en",
59     "platform": "st3k101 via localhost"
60 },
61 "id": "92ae6926-1a94-45d1-8bd4-4e15b61f9005",
62 "object": {
63     "definition": {
64         "description": {
65             "en-US": "This is a particular scale of a survey, it usually contains
66                 multiple questions."
67         },
68         "name": {
69             "de": "5. Anstrengung"
70         },
71         "type": "http://fantasy.land/dimension"
72     },
73     "id": "<bla@blubl.net>lernstrategien_wild_schiefele--5_anstrengung",
74     "objectType": "Activity"
75 },
76 "result": [
77     {
78         "score": {
79             "max": 5,
80             "min": 0,
81             "raw": 3.4,
82             "scaled": 1
83         }
84     },
85     "timestamp": "2018-09-27T14:45:43.997006",

```

```
86     "verb": {
87         "display": {
88             "en-US": "Indicates the DataSubject answered something."
89         },
90         "id": "http://adlnet.gov/expapi/verbs/answered"
91     }
92 }
```

Data Client Logged in Into the Backend

```
1  {
2      "actor": {
3          "mbox": "mailto:bla@blubl.net",
4          "name": "bla@blubl.net",
5          "objectType": "Agent"
6      },
7      "context": {
8          "extensions": {
9              "http://activitystrea.ms/schema/1.0/place": {
10                 "definition": {
11                     "description": {
12                         "en-US": "Represents a physical location."
13                     },
14                     "name": {
15                         "en-US": "Place"
16                     },
17                     "type": "http://activitystrea.ms/schema/1.0/place"
18                 },
19                 "geojson": {
20                     "features": [
21                         {
22                             "geometry": {
23                                 "coordinates": [
24                                     50.1167,
25                                     8.6833
26                                 ],
27                                 "type": "Point"
28                             },
29                             "type": "Feature"
30                         }
31                     ],
32                     "type": "FeatureCollection"
33                 },
34                 "id": "http://vocab.org/placetime/geopoint/wgs84/X50.1167Y8.6833.html"
35                 ,
36                 "objectType": "Place"
37             },

```

```

38     "language": "en",
39     "platform": "st3k101"
40 },
41 "id": "3dd10d0e-ef19-4c03-afe1-32e4a8c19f31",
42 "object": {
43     "definition": {
44         "description": {
45             "en-US": "This is the log in page of the st3k101 survey tool."
46         },
47         "name": {
48             "en-US": "St3k101 login view"
49         },
50         "type": "http://activitystrea.ms/schema/1.0/page"
51     },
52     "id": "http://localhost/#/private",
53     "objectType": "Activity"
54 },
55 "timestamp": "2018-09-28T10:41:42.106959",
56 "verb": {
57     "display": {
58         "en-US": "Indicates someone logged in at st3k101."
59     },
60     "id": "https://brindlewaye.com/xAPITerms/verbs/loggedin"
61 }
62 }

```

Data Client Updated the Activity ID of a Survey Item

```

1 {
2     "actor": {
3         "mbox": "mailto:bla@blubl.net",
4         "name": "bla@blubl.net",
5         "objectType": "Agent"
6     },
7     "context": {
8         "extensions": {
9             "http://activitystrea.ms/schema/1.0/place": {
10                 "definition": {
11                     "description": {
12                         "en-US": "Represents a physical location."
13                     },
14                     "name": {
15                         "en-US": "Place"
16                     },
17                     "type": "http://activitystrea.ms/schema/1.0/place"
18                 },
19                 "geojson": {
20                     "features": [

```

```

21         {
22             "geometry": {
23                 "coordinates": [
24                     50.1167,
25                     8.6833
26                 ],
27                 "type": "Point"
28             },
29             "type": "Feature"
30         }
31     ],
32     "type": "FeatureCollection"
33 },
34 "id": "http://vocab.org/placetime/geopoint/wgs84/X50.1167Y8.6833.html"
35 ,
36 "objectType": "Place"
37 },
38 "language": "de",
39 "platform": "st3k101"
40 },
41 "id": "18fe6ce1-5917-4039-a9f7-0aff3e04aa77",
42 "object": {
43     "definition": {
44         "description": {
45             "en-US": "This is a particular scale of a survey, it usually contains
46                 multiple questions."
47         },
48         "name": {
49             "de": "1. Organisationsstrategien"
50         },
51         "type": "http://fantasy.land/dimension"
52     },
53     "id": "<bla@blubl.net>:lernstrategien_wild_schiefele--1
54         _organisationsstrategien",
55     "objectType": "Activity"
56 },
57 "result": [
58     {
59         "response": "<bla@blubl.net>:lernstrategien_wild_schiefele--1
60             _organisationsstrategien--new-id"
61     }
62 ],
63 "timestamp": "2018-09-28T10:59:16.169476",
64 "verb": {
65     "display": {
66         "en-US": "Indicates the DataClient updated the reference id."
67     },
68     "id": "http://activitystrea.ms/schema/1.0/update"
69 }

```

```
66     }  
67 }
```


9.3 Abbreviations

API Application programming interface; a software interface for interoperability between applications.

CDR Common data representation. A transfer syntax for exchanging information between systems, which is independent from the systems' choice of programming language.

CSV Comma separated values; a plain-text data exchange format for homogenous data.

ORM Object-relational-mapper; a software library for persisting objects in object-oriented languages in a relational database.

ODM Object-document-mapper; a software library for persisting objects in object-oriented languages in a document-based database.

xAPI Experience API, formerly TinCan API; an API specification for exchanging data about learning activities.

REST Representational state transfer; a paradigm for API design.

JSON JavaScript object notation; a plain-text data exchange format.

ACID Atomicity, consistency, isolation, durability; a set of properties for database transaction, aiming to guarantee data validity in the event of failure.

SQL Structured query language; a language for interfacing with database systems.

TLA Trusted learning analytics; a big data storage and analysis infrastructure developed at Prof. H. Drachsler's work group.

LRS Learning record store; a big data store for xAPI statements.

ITI Learning technologies interoperability; a protocol for integrating third-party services with an LMS.

LMS Learning management system; a content management system specifically designed for learning environments.

CAS Central authentication service; the user authentication service provided by the HRZ at Goethe University.

HRZ Hochschulrechenzentrum; Data center and IT service provider at Goethe University.

List of Figures

3	Data model of the previous version	7
1	Template for the EFLA survey (Sch17b)	8
2	Features of the previous version of the survey tool	8
4	Architecture overview of the previous version	9
5	Questionnaire life-cycle	16
6	Anatomy of an xAPI statement	19
7	List of cases where xAPI statements are emitted.	20
8	Example of how a dimension is represented as an xAPI activity object	21
9	Server-side software stack	23
10	The three main base classes	24
11	Model class hierarchy	25
12	Database schema for a single question including foreign key constraints	26
13	The template triad, exemplarily depicted by the questionnaire data model	27
14	Schematic depiction of the relationship between concrete & shadow instances	28
15	Shadow instances duplicate the structure of the concrete items	28
16	Duplication of a concrete structure which contains shadow instances	29
18	Deletion of a template is propagated to all associated shadow instances. Items marked in red are deleted.	30
17	Modifications of templates are relayed to copies. Items marked in red are deleted.	30
20	Asynchronous (non-blocking) transmission of xAPI statements	32
19	Synchronous (blocking) transmission of xAPI statements	32
21	Sequence diagram depicting the LTI launch	34
22	Using a shadow instance inside the source concrete breaks the delete action	35

List of Tables

1	Comparison of survey providers	11
2	Comparison of python ORM libraries	13
3	Concept for information included in emitted xAPI statements.	20
4	Used xAPI verb identifiers and object types	21

References

- [Adva] Advanced Distributed Learning Initiative: *SCORM Overview*. <https://adlnet.gov/scorm>. – accessed November 2, 2018
- [Advb] Advanced Distributed Learning Initiative: *xAPI Background & History*. <https://adlnet.gov/research/performance-tracking-analysis/experience-api/xapi-background-history/>. – accessed November 2, 2018
- [Advc] Advanced Distributed Learning Initiative: *xAPI Statement Data Model*. <https://drive.google.com/file/d/0BxhK5TH2EsphZFBXeVNnSGozWEE/view>. – accessed November 2, 2018
- [Adv16] Advanced Distributed Learning Initiative: *xAPI specification*. <https://github.com/adlnet/xAPI-Spec/releases/tag/xAPI-1.0.3>. Version: 2016. – accessed: November 2, 2018
- [ARG] *Argon2*. <https://github.com/P-H-C/phc-winner-argon2>. – accessed November 2, 2018

- [BDD⁺16] Butler, H. ; Daly, M. ; Doyle, A. ; Gillies, S. ; Hagen, S. ; Schaub, T.: *RFC-7946: The GeoJSON Format*. <https://tools.ietf.org/html/rfc7946>. Version: 2016. – accessed November 2, 2018
- [Eby03] Eby, Phillip J.: *PEP 333 Python Web Server Gateway Interface v1.0*. <https://www.python.org/dev/peps/pep-0333/>. Version: 2003. – accessed November 2, 2018
- [FLS] *Flask-RESTful*. <https://pypi.org/project/Flask-RESTful/>. – accessed November 2, 2018
- [gofa] *Google Forms: SSO*. <https://support.google.com/a/answer/60224>. – accessed November 2, 2018
- [gofb] *Google Forms: Scripting Reference*. https://developers.google.com/apps-script/guides/triggers/events#form-submit_4. – accessed November 2, 2018
- [Het] Hettinger, Raymond: *Descriptor HowTo Guide*. <https://docs.python.org/3.7/howto/descriptor.html>. – accessed November 2, 2018
- [HT2] HT2Labs: *Learning Locker*. <https://www.ht2labs.com/learning-locker/>. – accessed November 2, 2018
- [PD09] Philips, Addison ; Davis, Mark: *RFC-5646: Tags for Identifying Languages*. <https://tools.ietf.org/html/rfc5646>. Version: 2009. – accessed November 2, 2018
- [quaa] *Qualtrics Support: Single Sign On*. <https://www.qualtrics.com/support/survey-platform/sp-administration/brand-customization-services/single-sign-on/>. – accessed November 2, 2018
- [quab] *Qualtrics Support: API Integration*. <https://www.qualtrics.com/support/integrations/api-integration/overview/>. – accessed November 2, 2018
- [quac] *Qualtrics: LTI Demo Video*. <https://vimeo.com/166392709/b150b208b7>. – accessed November 2, 2018
- [qupa] *QuestionPro: SSO*. <https://www.questionpro.com/sso/>. – accessed November 2, 2018
- [qupb] *QuestionPro Help: Web Hooks*. <https://www.questionpro.com/help/webhook.html>. – accessed November 2, 2018
- [Sch17a] Scheffel, Maren: *The Evaluation Framework for Learning Analytics*. <http://hdl.handle.net/1820/8259>. Version: 2017. – accessed: November 2, 2018
- [Sch17b] Scheffel, Maren: *The Evaluation Framework for Learning Analytics, greyscale template*. <http://www.laceproject.eu/evaluation-framework-for-la/>. Version: 2017. – accessed: November 2, 2018
- [sos] *SoSci Survey: Privacy*. <https://www.soscisurvey.de/de/privacy>. – accessed November 2, 2018
- [SQL] *SQLAlchemy 1.2 Documentation: Mapping Class Inheritance Hierarchies*. <https://docs.sqlalchemy.org/en/latest/orm/inheritance.html>. – accessed November 2, 2018
- [suga] *Survey Gizmo Help: SSO*. <https://help.surveygizmo.com/help/sso>. – accessed November 2, 2018

- [sugb] *Survey Gizmo Help: Survey Respondent SSO.* <https://help.surveygizmo.com/help/survey-respondent-sso>. – accessed November 2, 2018
- [sugc] *Survey Gizmo Help: Web Hooks.* <https://apihelp.surveygizmo.com/help/account-webhooks>. – accessed November 2, 2018
- [suma] *SurveyMonkey: Single Sign On.* https://help.surveymonkey.com/articles/en_US/kb/Single-Sign-On. – accessed November 2, 2018
- [sumb] *SurveyMonkey: API Reference.* <https://developer.surveymonkey.com/api/v3/#survey-responses>. – accessed November 2, 2018
- [SW94] Schiefele, Ulrich ; Wild, Klaus P.: *Lernstrategien im Studium: Ergebnisse zur Faktorenstruktur und Reliabilität eines neuen Fragebogens.* https://publishup.uni-potsdam.de/opus4-ubp/frontdoor/deliver/index/docId/3182/file/schiefele1994_15.pdf. Version: 1994. – accessed November 2, 2018
- [The16] The European Commission: *Data protection in the EU.* https://ec.europa.eu/info/law/law-topic/data-protection/data-protection-eu_en. Version: 2016. – accessed: November 2, 2018
- [Wor17] World Wide Web Consortium: *Activity Streams.* <https://www.w3.org/TR/activitystreams-core/>. Version: 2017. – accessed November 2, 2018