

# ShOpt.jl | A Julia Library for Empirical Point Spread Function Characterization of JWST NIRCам Data

Edward Berman<sup>1</sup> and Jacqueline McCleary<sup>1</sup>

<sup>1</sup> Northeastern University, USA ¶ Corresponding author

DOI: [10.xxxxxx/draft](https://doi.org/10.xxxxxx/draft)

## Software

- [Review](#)
- [Repository](#)
- [Archive](#)

Editor: [Open Journals](#)

## Reviewers:

- [@openjournals](#)

Submitted: 01 January 1970

Published: unpublished

## License

Authors of papers retain copyright and release the work under a Creative Commons Attribution 4.0 International License ([CC BY 4.0](#)).

## In partnership with



This article and software are linked with research article DOI [10.3847/xxxxx](https://doi.org/10.3847/xxxxx), published in the *Astronomical Journal*.

## Summary

### Introduction

When astronomers capture images of the night sky, several factors – ranging from diffraction and optical aberrations to atmospheric turbulence and telescope jitter – affect the incoming light. The resulting distortions are summarized in the image's point spread function (PSF), a mathematical model that describes the response of an optical system to an idealized point of light. The PSF can obscure or even mimic the astronomical signal of interest, making its accurate characterization essential. By effectively modeling the PSF, we can predict image distortions at any location and proceed to deconvolve the PSF, ultimately reconstructing distortion-free images.

The PSF characterization methods used by astronomers fall into two main classes: forward-modeling approaches, which use physical optics propagation based on models of the optics, and empirical approaches, which use stars as fixed points to model and interpolate the PSF across the rest of the image. (Stars are essentially point sources before their light passes through the atmosphere and telescope, so the shape and size of their surface brightness profiles define the PSF at that location.) Empirical PSF characterization proceeds by first cataloging the observed stars, separating the catalog into validation and training samples, and interpolating the training stars across the field of view of the camera. After training, the PSF model can be validated by comparing the reserved stars to the PSF model's prediction.

Shear Optimization with ShOpt.jl introduces modern techniques, tailored to James Webb Space Telescope (JWST) imaging, for empirical PSF characterization across the field of view. ShOpt has two modes of operation: approximating stars with analytic profiles, and a more realistic pixel-level representation. Both modes take as input a catalog with image cutouts – or “vignettes” – of the stars targeted for analysis.

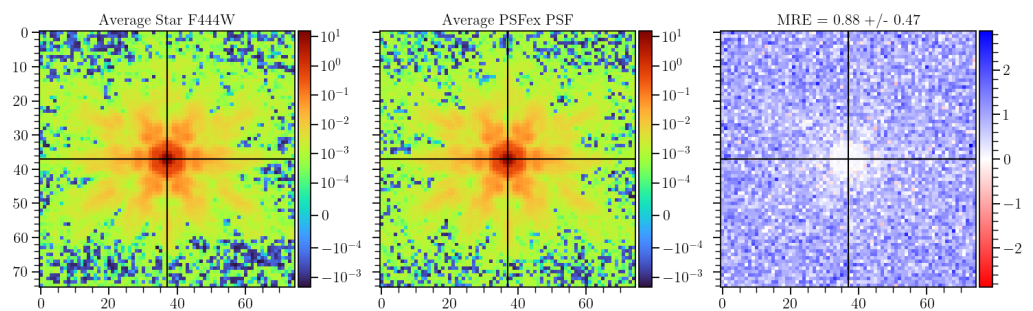
## Statement of need

Empirical PSF characterization tools like PSFEx (Bertin, 2011) and PIFF (Jarvis et al., 2020) are widely popular in astrophysics. However, the quality of PIFF and PSFEx models tends to be quite sensitive to the parameter values used to run the software, with optimization sometimes relying on brute-force guess-and-check runs. PIFF is also notably inefficient for large, well-sampled images, taking hours in the worst cases. The James Webb Space Telescope's (JWST) Near Infrared Camera (NIRCам) offers vast scientific opportunities (e.g., Casey, Kartaltepe, et al., 2023); at the same time, this unprecedented data brings new challenges for PSF modeling:

- (1) Analytic functions like Gaussians are incomplete descriptions of the NIRCам PSF, as evident from Figure 1. This calls for well-thought-out, non-parametric modeling and

diagnostic tools that can capture the full dynamic range of the NIRCam PSF. Sh0pt provides these models and diagnostics out of the box.

(2) The NIRCam detectors have pixel scales of 0.03 (short wavelength channel) and 0.06 (long wavelength channel) arcseconds per pixel (Beichman et al., 2012a; Rieke et al., 2003, 2005). At these pixel scales, star vignettes need to be at least 131 by 131 pixels across to fully capture the wings of the PSFs (4-5 arcseconds). These vignette sizes are 3-5 times larger than the ones used in surveys such as DES (Jarvis et al., 2020) and SuperBIT (McCleary et al., 2023) and force us to evaluate how well existing PSF fitters scale to this size. Sh0pt has been designed for computational efficiency and aims to meet the requirements of detectors like NIRCam.



**Figure 1:** The plot on the left shows the average cutout of all stars in a supplied catalog. The plot in the middle shows the average point spread function model for each star. The plot on the right shows the average normalized error between the observed star cutouts and the point spread function model.

Sh0pt bridges the speed of PSFex with the features of PIFF using fewer configurable hyperparameters. Sh0pt employs a myriad of techniques to optimize the speed of the program. First and foremost, Sh0pt is equipped with support for multithreading. Polynomial interpolation is used for handling PSF variations across the field of view. The polynomials given to each basis element of the PSF are independent of one another and therefore can be distributed to different CPU threads to be run in parallel. Sh0pt also introduces new methods for fitting both analytic profiles and pixel based profiles. If an analytic profile is used to model the PSF, then there are 3 basis elements parameterizing the model. We use the Limited Memory Broyden–Fletcher–Goldfarb–Shanno algorithm (LBFGS) to find these parameters. This is faster but more memory intensive than Conjugate Gradient, the algorithm used in PIFF. Moreover, the 3 basis elements are constrained to the manifold  $B_2(r) \times \mathbb{R}_+$ . We constructed a function that maps any point in  $\mathbb{R}^3$  into  $B_2(r) \times \mathbb{R}_+$ . The LBFGS algorithm uses successive iterations to converge to a solution for the 3 basis elements, and so we use this function to ensure that our update steps in LBFGS do not leave the constraint. For the pixel basis, both PIFF and PSFex approximate the PSF by minimizing the reduced  $\chi^2$  between a grid of pixels and a star vignette. PCA can quickly achieve the same purpose of approximating the input vignette without overfitting to background noise. We also provide autoencoder mode, which uses deep learning to reconstruct the image. The weights and biases are not reset between stars, so the knowledge of how to reconstruct one star is transferred to the next. This in turn leads to less training iterations. Finally, Sh0pt is written in Julia. Julia uses a just in time compiler which makes it faster than interpreted languages such as Python and has shown to be a good choice for performance critical code (Stanitzki & Strube, 2021).

## 72 State of the Field

73 The JWST captures images at high resolution and at wavelengths of light that have been  
74 previously unexplored (Gardner et al., 2006). With these images we are seeing farther into the  
75 early universe than we ever have before. The difficulties of producing good PSF models for  
76 the JWST are emblematic of a larger problem: Our data sets are getting bigger and existing  
77 software was not built to scale. That is to say, the advancements in software are falling  
78 behind the advances in instrumentation. Not only does ShOpt produces PSF models for JWST  
79 NIRCam images, it also sets the precedent for designing software that scales.

80 There are several existing empirical PSF fitters, in addition to a forward model of the JWST  
81 PSFs developed by STScI (Jarvis et al., 2020 ; Bertin, 2011; Perrin et al., 2014 ; Perrin et  
82 al., 2012). We describe them here and draw attention to their strengths and weaknesses to  
83 further motivate the development of ShOpt.jl. As described in the statement of need, PSFex  
84 was one of the first precise and general purpose tools used for empirical PSF fitting. However,  
85 the Dark Energy Survey collaboration reported small but noticeable discrepancies between the  
86 sizes of PSFex models and the sizes of observed stars. They also reported ripple-like patterns  
87 in the spatial variation of star-PSF residuals across the field of view (Jarvis et al., 2020), which  
88 they attributed to the astrometric distortion solutions for the Dark Energy Camera.

89 These findings motivated the Dark Energy Survey's development of PIFF (Point Spread  
90 Functions in the Full Field of View). PIFF works in sky coordinates on the focal plane, as  
91 opposed to image pixel coordinates used in PSFex, which minimized the ripple patterns in  
92 the star-PSF residuals and the PSF model size bias. (Based on the DES findings, ShOpt  
93 also works directly in sky coordinates.) PIFF is written in Python, a language with a large  
94 infrastructure for astronomical data analysis, for example Astropy (Astropy Collaboration et  
95 al., 2022) and Galsim (Rowe et al., 2015). The choice of language makes PIFF software more  
96 accessible to programmers in the astrophysics community than PSFex, which was first written in  
97 C twenty-five years ago and much less approachable for a community of open source developers.  
98 One of the motivations of ShOpt was to write astrophysics specific software in Julia, because  
99 Julia provides a nice balance of readability and speed with its high-level functional paradigm  
100 and just-in-time compiler (Stanitzki & Strube, 2021). Julia ranks behind Python, IDL, Matlab,  
101 and Fortran in full-text mentions in astronomical literature (Collaboration et al., 2022). We  
102 are optimistic that ShOpt will demonstrate that Julia is an appealing choice for programming  
103 in astronomy despite its low adoption to date.

104 While WebbPSF provides highly precise forward models of the JWST PSF, these models  
105 are defined for single-epoch exposures (Perrin et al., 2014, 2012). Much of the NIRCam  
106 science is accomplished with image mosaics – essentially, the combination of single exposure  
107 detector images into a larger, deeper image. The rotation of the camera between exposures,  
108 the astrometric transformations and resampling of images before their combination into a  
109 mosaic, and the mosaic's large area all make the application of WebbPSF models to mosaics  
110 a non-trivial procedure. Additionally, some recent work being done to generate hybrid PSF  
111 models, which add an empirical correction to forward-model PSFs, for single-epoch exposures  
112 (Lin et al., 2023). At the time of writing, there is no widely available software to do this.

113 The COMOS-Web survey is the largest JWST extragalactic survey according to area and prime  
114 time allocation (Casey, Kartaltepe, et al., 2023), and takes up  $0.54 \text{ deg}^2$  (Beichman et al.,  
115 2012b; Rieke et al., 2023). This is a large enough portion of the sky that we should prepare  
116 to see significant PSF variation across the field of view because of astrometric distortions.  
117 Thus, COSMOS-Web data provides ShOpt with an opportunity to validate PIFF's correction  
118 for handling PSF variations and test how impactful (or not impactful) PSFex's size bias is.  
119 Additionally, the COMOS-Web survey hopes to use the JWST to detect of thousands of  
120 galaxies in the Epoch of Reionization ( $6 \sim z \sim 11$ ) to create one of the highest resolution  
121 mass maps of the early universe ever (Casey, Kartaltepe, et al., 2023). JWST data has  
122 also been used to pick out active galactic nuclei from host galaxies (Zhuang & Shen, 2023)  
123 and indentify 15 candidate galxies whose luminosities push the limits of our  $\Lambda$ CDM galaxy

formation models (Casey, Akins, et al., 2023). These science cases necessitate good PSF modeling and underscore the importance of ShOpt.

## Acknowledgements

This material is based upon work supported by a Northeastern University Undergraduate Research and Fellowships PEAK Experiences Award. E.B. was also supported by a Northeastern University Physics Department Co-op Research Fellowship. Support for COSMOS-Web was provided by NASA through grant JWST-GO-01727 and HST-AR-15802 awarded by the Space Telescope Science Institute, which is operated by the Association of Universities for Research in Astronomy, Inc., under NASA contract NAS 5-26555. This work was made possible by utilizing the CANDIDE cluster at the Institut d'Astrophysique de Paris. Further support was provided by Research Computers at Northeastern University. Additionally, E.B. thanks Professor David Rosen for giving some valuable insights during the early stages of this work. The authors gratefully acknowledge the use of simulated and real data from the COSMOS-Web survey in developing ShOpt, as well as many conversations with COSMOS-Web scientists.

## References

- Astropy Collaboration, Price-Whelan, A. M., Lim, P. L., Earl, N., Starkman, N., Bradley, L., Shupe, D. L., Patil, A. A., Corrales, L., Brasseur, C. E., Nöthe, M., Donath, A., Tollerud, E., Morris, B. M., Ginsburg, A., Vaher, E., Weaver, B. A., Tocknell, J., Jamieson, W., ... Astropy Project Contributors. (2022). The Astropy Project: Sustaining and Growing a Community-oriented Open-source Project and the Latest Major Release (v5.0) of the Core Package. 935(2), 167. <https://doi.org/10.3847/1538-4357/ac7c74>
- Beichman, C. A., Rieke, M., Eisenstein, D., Greene, T. P., Krist, J., McCarthy, D., Meyer, M., & Stansberry, J. (2012b). Science opportunities with the near-IR camera (NIRCam) on the James Webb Space Telescope (JWST). In M. C. Clampin, G. G. Fazio, H. A. MacEwen, & J. M. O. Jr. (Eds.), *Space telescopes and instrumentation 2012: Optical, infrared, and millimeter wave* (Vol. 8442, p. 84422N). International Society for Optics; Photonics; SPIE. <https://doi.org/10.1117/12.925447>
- Beichman, C. A., Rieke, M., Eisenstein, D., Greene, T. P., Krist, J., McCarthy, D., Meyer, M., & Stansberry, J. (2012a). Science opportunities with the near-IR camera (NIRCam) on the James Webb Space Telescope (JWST). In M. C. Clampin, G. G. Fazio, H. A. MacEwen, & J. O. Schmitt (Eds.), *Space telescopes and instrumentation 2012: Optical, infrared, and millimeter wave* (Vol. 8442, p. 84422N). <https://doi.org/10.1117/12.925447>
- Bertin, E. (2011). Automated Morphometry with SExtractor and PSFEx. In I. N. Evans, A. Accomazzi, D. J. Mink, & A. H. Rots (Eds.), *Astronomical data analysis software and systems XX* (Vol. 442, p. 435).
- Casey, C. M., Akins, H. B., Shuntov, M., Ilbert, O., Paquereau, L., Franco, M., Hayward, C. C., Finkelstein, S. L., Boylan-Kolchin, M., Robertson, B. E., Allen, N., Brinch, M., Cooper, O. R., Ding, X., Drakos, N. E., Faisst, A. L., Fujimoto, S., Gillman, S., Harish, S., ... Zavala, J. A. (2023). *COSMOS-web: Intrinsically luminous  $z \gtrsim 10$  galaxy candidates test early stellar mass assembly*. <https://arxiv.org/abs/2308.10932>
- Casey, C. M., Kartaltepe, J. S., Drakos, N. E., Franco, M., Harish, S., Paquereau, L., Ilbert, O., Rose, C., Cox, I. G., Nightingale, J. W., Robertson, B. E., Silverman, J. D., Koekemoer, A. M., Massey, R., McCracken, H. J., Rhodes, J., Akins, H. B., Amvrosiadis, A., Arango-Toro, R. C., ... Zavala, J. A. (2023). *COSMOS-web: An overview of the JWST cosmic origins survey*. <https://arxiv.org/abs/2211.07865>

- 169 Collaboration, T. A., Price-Whelan, A. M., Lim, P. L., Earl, N., Starkman, N., Bradley, L.,  
170 Shupe, D. L., Patil, A. A., Corrales, L., Brasseur, C. E., Nöthe, M., Donath, A., Tollerud,  
171 E., Morris, B. M., Ginsburg, A., Vaher, E., Weaver, B. A., Tocknell, J., Jamieson, W., ...  
172 Contributors, A. P. (2022). The astropy project: Sustaining and growing a community-  
173 oriented open-source project and the latest major release (v5.0) of the core package\*. *The*  
174 *Astrophysical Journal*, 935(2), 167. <https://doi.org/10.3847/1538-4357/ac7c74>
- 175 Gardner, J. P., Mather, J. C., Clampin, M., Doyon, R., Greenhouse, M. A., Hammel, H. B.,  
176 Hutchings, J. B., Jakobsen, P., Lilly, S. J., Long, K. S., Lunine, J. I., Mccaughrean, M. J.,  
177 Mountain, M., Nella, J., Rieke, G. H., Rieke, M. J., Rix, H.-W., Smith, E. P., Sonneborn,  
178 G., ... Wright, G. S. (2006). The james webb space telescope. *Space Science Reviews*,  
179 123(4), 485–606. <https://doi.org/10.1007/s11214-006-8315-7>
- 180 Jarvis, M., Bernstein, G. M., Amon, A., Davis, C., Lé get, P. F., Bechtol, K., Harrison, I., Gatti,  
181 M., Roodman, A., Chang, C., Chen, R., Choi, A., Desai, S., Drlica-Wagner, A., Gruen, D.,  
182 Gruendl, R. A., Hernandez, A., MacCrann, N., Meyers, J., ... and, R. D. W. (2020). Dark  
183 energy survey year 3 results: Point spread function modelling. *Monthly Notices of the*  
184 *Royal Astronomical Society*, 501(1), 1282–1299. <https://doi.org/10.1093/mnras/staa3679>
- 185 Lin, Nie, Huanyuan, Shan, Guoliang, Li, Lei, Wang, Charling, Tao, Qifan, Cui, Yushan, Xie,  
186 Dezi, Liu, Zekang, & Zhang. (2023). *HybPSF: Hybrid PSF reconstruction for the observed*  
187 *JWST NIRCам image*. <https://arxiv.org/abs/2308.14065>
- 188 McCleary, J. E., Everett, S. W., Shaaban, M. M., Gill, A. S., Vassilakis, G. N., Huff, E. M.,  
189 Massey, R. J., Benton, S. J., Brown, A. M., Clark, P., & others. (2023). Lensing in the  
190 blue II: Estimating the sensitivity of stratospheric balloons to weak gravitational lensing.  
191 *arXiv Preprint arXiv:2307.03295*.
- 192 Perrin, M. D., Sivaramakrishnan, A., Lajoie, C.-P., Elliott, E., Pueyo, L., Ravindranath, S., &  
193 Albert, Loic. (2014). Updated point spread function simulations for JWST with WebbPSF.  
194 In Jr. Oschmann Jacobus M., M. Clampin, G. G. Fazio, & H. A. MacEwen (Eds.), *Space*  
195 *telescopes and instrumentation 2014: Optical, infrared, and millimeter wave* (Vol. 9143, p.  
196 91433X). <https://doi.org/10.1117/12.2056689>
- 197 Perrin, M. D., Soummer, R., Elliott, E. M., Lallo, M. D., & Sivaramakrishnan, A. (2012).  
198 Simulating point spread functions for the James Webb Space Telescope with WebbPSF. In  
199 M. C. Clampin, G. G. Fazio, H. A. MacEwen, & Jr. Oschmann Jacobus M. (Eds.), *Space*  
200 *telescopes and instrumentation 2012: Optical, infrared, and millimeter wave* (Vol. 8442, p.  
201 84423D). <https://doi.org/10.1117/12.925230>
- 202 Rieke, M. J., Baum, S. A., Beichman, C. A., Crampton, D., Doyon, R., Eisenstein, D., Greene,  
203 T. P., Hodapp, K.-W., Horner, S. D., Johnstone, D., Lesyna, L., Lilly, S., Meyer, M.,  
204 Martin, P., Jr., D. W. M., Rieke, G. H., Roellig, T. L., Stauffer, J., Trauger, J. T., & Young,  
205 E. T. (2003). NGST NIRCам scientific program and design concept. In J. C. Mather (Ed.),  
206 *IR space telescopes and instruments* (Vol. 4850, pp. 478–485). International Society for  
207 Optics; Photonics; SPIE. <https://doi.org/10.1117/12.489103>
- 208 Rieke, M. J., Kelly, D. M., Misselt, K., Stansberry, J., Boyer, M., Beatty, T., Egami, E., Florian,  
209 M., Greene, T. P., Hainline, K., Leisenring, J., Roellig, T., Schlawin, E., Sun, F., Tinnin,  
210 L., Williams, C. C., Willmer, C. N. A., Wilson, D., Clark, C. R., ... Young, E. T. (2023).  
211 Performance of NIRCам on JWST in flight. *Publications of the Astronomical Society of*  
212 *the Pacific*, 135(1044), 028001. <https://doi.org/10.1088/1538-3873/acac53>
- 213 Rieke, M. J., Kelly, D., & Horner, S. (2005). Overview of James Webb Space Telescope and  
214 NIRCам's Role. In J. B. Heaney & L. G. Burriesci (Eds.), *Cryogenic optical systems and*  
215 *instruments XI* (Vol. 5904, pp. 1–8). <https://doi.org/10.1117/12.615554>
- 216 Rowe, B., Jarvis, M., Mandelbaum, R., Bernstein, G. M., Bosch, J., Simet, M., Meyers, J.  
217 E., Kacprzak, T., Nakajima, R., Zuntz, J., Miyatake, H., Dietrich, J. P., Armstrong, R.,



- 218 Melchior, P., & Gill, M. S. S. (2015). *GalSim: The modular galaxy image simulation*  
219 *toolkit*. <https://arxiv.org/abs/1407.7676>
- 220 Stanitzki, M., & Strube, J. (2021). Performance of julia for high energy physics analyses. *Com-*  
221 *puting and Software for Big Science*, 5(1). <https://doi.org/10.1007/s41781-021-00053-3>
- 222 Zhuang, M.-Y., & Shen, Y. (2023). *Characterization of JWST NIRCам PSFs and implications*  
223 *for AGN+host image decomposition*. <https://arxiv.org/abs/2304.13776>

DRAFT