



**QUANTUM  
FORMALISM**

## Quantum Axioms & Operators - Part 3

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# Lecture Agenda Summary

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# Thank you!

Amir

Claudia

Diego

CJ Volz



Giri

Nicolas

Soham


Vesselin

# Foundation Module Review



■ Completed | ■ Ongoing | #n is the number of live lectures

# PART A



“A good deal of my research work in physics has consisted in not setting out to solve some particular problems, but simply examining mathematical quantities of a kind that physicists use and trying to get them together in an interesting way regardless of any application that the work may have. It is simply a search for pretty mathematics. It may turn out later that the work does have an application. Then one has had good luck. ”

**Paul M. Dirac**

## Pre- Session Key Remarks

- ▶ In the previous session we learned that Hermitian operators are diagonalizable i.e for any Hermitian  $A$  there exists a unitary operator  $U \in M_n(\mathbb{C})$  and real diagonal operator  $D \in M_n(\mathbb{C})$  such that  $A = UDU^\dagger$ . In particular, we commented that:
  1. The eigenvectors of  $A$  coincide with the columns of  $U$ !
  2. The eigenvalues of  $A$  coincide with the diagonal entries of operator  $D$ !
- ▶ We also commented that for any Hermitian  $A \in M_n(\mathbb{C})$  encoding a physical observable, it can be proved that the only possible values of measurement are the eigenvalues of  $A$ .
- ▶ In particular, the eigenvectors of a Hermitian operator  $A$  encode physical states of the system in which the observable encoded in  $A$  can be measured without uncertainty?
- ▶ We defined  $U \in M_n(\mathbb{C})$  being unitary if  $UU^\dagger = U^\dagger U = \mathbb{I}$ . But we can alternatively say  $U$  is unitary if  $\langle U\psi_1, U\psi_2 \rangle = \langle \psi_1, \psi_2 \rangle$  for all  $|\psi_1\rangle, |\psi_2\rangle \in \mathcal{H} = \mathbb{C}^n$  where  $\langle \cdot, \cdot \rangle$  is obviously the inner product in  $\mathcal{H}$ . Hence,  $U$  preserves the inner product and so the induced norm too!



## The Axioms of QM (Non Relativistic QM)

**Axiom 1:** The states of quantum systems are modelled by **normalised vectors** on **separable complex Hilbert spaces**. [✓]

- ▶ Recall that the axiom above is actually referring to what physicists call 'pure states'. There are also the so-called mixed states!

**Axiom 2:** The observables of quantum systems are modelled by **self-adjoint operators** on separable complex Hilbert spaces. [✓]

- ▶ Recall that self-adjoint operators  $\Leftrightarrow$  Hermitian matrices iff  $\mathcal{H} = \mathbb{C}^n$ !

**Axiom 3:** Given a state vector  $|\psi\rangle \in \mathcal{H}$  that encodes a particular state of a quantum system, and a self-adjoint operator  $A : \mathcal{H} \longrightarrow \mathcal{H}$  that encodes a particular observable of the same system. The measurement expectation value of the observable encoded in  $A$  in the state encoded with  $|\psi\rangle$  is computed as  $\langle A \rangle_\psi = \langle \psi, A\psi \rangle$ . [✓]



**Axiom 4:** Provided a quantum system is undisturbed (e.g. no measurement made) then the system evolves smoothly over time  $t \in \mathbb{R}$  according to the equation  $\frac{d|\psi(t)\rangle}{dt} = \frac{-i}{\hbar} H |\psi(t)\rangle$ , where  $H \in M_n(\mathbb{C})$  is the Hermitian operator encoding the energy of the system (aka the Hamiltonian).

- ▶ The equation above is known as the time-dependent Schrodinger equation.
- ▶ For the curious, you are encouraged to ask a physicist or do research about the difference between time-dependent and time independent Schrodinger equations! It's something that we'll cover in the advanced module!
- ▶ Physicists often use the convention that  $\hbar = 1$  and so the Schrodinger equation becomes  $\frac{d|\psi(t)\rangle}{dt} = -iH |\psi(t)\rangle$
- ▶ Now, if we are given an initial state  $|\psi(0)\rangle$ , how do we find out the state at any later time  $|\psi(t)\rangle$ ? Part B of this session will be about helping find out!

## Commutators Refresh

### Definition (1.0)

Let  $A, B \in M_n(\mathbb{C})$ . The commutator of  $A$  and  $B$  is defined as  $[A, B] = AB - BA$ .

The following properties hold for commutators:

1. For all  $A, B \in M_n(\mathbb{C})$ ,  $[A, B] = 0$  iff  $A$  and  $B$  commute.
  2.  $[A, B] = -[B, A]$  for all  $A, B \in M_n(\mathbb{C})$ .
  3.  $[A, B + C] = [A, B] + [A, C]$  for all  $A, B, C \in M_n(\mathbb{C})$ .
  4.  $[AB, C] = A[B, C] + [A, C]B$  for all  $A, B, C \in M_n(\mathbb{C})$ .
  5.  $[A, BC] = B[A, C] + [A, B]C$  for all  $A, B, C \in M_n(\mathbb{C})$ .
  6.  $[\alpha A, B] = [A, \alpha B] = \alpha[A, B]$  for all  $A, B, C \in M_n(\mathbb{C})$  and  $\forall \alpha \in \mathbb{C}$ .
- Let  $A, B \in M_n(\mathbb{C})$  be Hermitian. Is  $[A, B]$  Hermitian? What about  $i[A, B]$ ?

## A Brief Comment On CCR

Let  $X$  be a self-adjoint operator encoding position and  $P$  a self-adjoint operator encoding momentum.

- ▶ In physics textbooks the Heisenberg canonical commutation relation is written as  $[X, P] = i\hbar$  or in a cleaner unambiguous mathematical fashion  $[X, P] = i\hbar\mathbb{I}$  where  $\mathbb{I}$  is the identity operator acting on the Hilbert space  $\mathcal{H}$  under consideration.
- ▶ You should be aware that the above commutation cannot be satisfied for operators acting on a finite dimensional Hilbert space  $\mathcal{H}$  i.e. if  $\mathcal{H} = \mathbb{C}^n$ !
- ▶ Hence, the canonical commutation relation only holds for operators acting on infinite dimensional Hilbert spaces!



# Simultaneous Diagonalization

## Definition (1.1)

Let  $A, B \in M_n(\mathbb{C})$  be diagonalizable. We say  $A$  and  $B$  are simultaneously diagonalizable if there exists  $S \in M_n(\mathbb{C})$  such that  $S^{-1}AS$  and  $S^{-1}BS$  are diagonal matrices.

- ▶ The above essentially means that there is a basis of  $\mathcal{H}$  whose elements are eigenvectors of both  $A$  and  $B$ !
- ▶ Is it true that if  $A$  is diagonalizable then  $A$  and  $\lambda\mathbb{I}$  are simultaneously diagonalizable for all  $\lambda \neq 0$ ?

## Theorem (1.0)

*Let  $A, B \in M_n(\mathbb{C})$  be two diagonalizable matrices.  $A$  and  $B$  are simultaneously diagonalizable iff  $[A, B] = 0$ .*

*Proof* : Homework challenge for at least  $M_2(\mathbb{C})$ !

- ▶ Hence, if  $A$  and  $B$  are Hermitian and  $[A, B] = 0$ . Then  $A$  and  $B$  are simultaneously diagonalizable.

# Commuting Family of Operators

## Definition (1.2)

Let  $C = \{C_1, C_2, \dots, C_n\} \subseteq M_n(\mathbb{C})$  such that  $[C_i, C_j] = 0$  for all  $i \neq j$ .  $C$  is called a commuting family of operators.

- Physicists will be mostly be interested when the family above is made of Hermitian operators i.e. if  $C_i$  is Hermitian  $\forall i$ .

## Theorem (1.1)

*Let  $C = \{C_1, C_2, \dots, C_n\} \subseteq M_n(\mathbb{C})$  be a commuting family of operators. Then there exists a  $|\psi\rangle \in \mathcal{H}$  such that  $|\psi\rangle$  is an eigenvector of  $C_i \forall i$ .*

*Proof* : See study material!

- Hence, the operators in  $C$  have an eigenvalue in common!
- What could be the implication if  $C$  comprises of Hermitian operators that encode observables of a particular system?

# Simultaneous Diagonalizable Family

## Definition (1.3)

$F = \{F_1, F_2, \dots, F_n\} \subseteq M_n(\mathbb{C})$  is simultaneously diagonalizable if there exists  $S \in M_n(\mathbb{C})$  such that  $S^{-1}F_iS$  is diagonal for all  $F_i \in F$

- ▶ Hence, the notion of simultaneously diagonalization can be extended to an arbitrary number of operators.

## Theorem (1.2)

*Let  $D = \{D_1, D_2, \dots, D_n\} \subseteq M_n(\mathbb{C})$  be a family of diagonalizable operators. Then  $D$  is a commuting family iff it's also simultaneously diagonalizable family.*

*Proof* : See study material!

- ▶ Hence, there is a strong relationship between commuting families and diagonalizable families!
- ▶ You're challenged to go think about the possible implications of the above in the modelling aspects of quantum observables.





# PART B

# Operator Exponential

## Definition (1.4)

Given  $A \in M_n(\mathbb{C})$  its exponential is defined as the series  $\sum_{k=0}^{\infty} \frac{1}{k!} A^k$ .

- ▶ The series expansion  $\sum_{k=0}^{\infty} \frac{1}{k!} A^k = \mathbb{I} + A + \frac{A^2}{2!} + \frac{A^3}{3!} \dots$
- ▶ It can be proved that this series converges for all  $A \in M_n(\mathbb{C})$ .
- ▶ The matrix exponentiation has the following properties:
  1.  $e^0 = \mathbb{I}$  where in this context  $0 \in M_n(\mathbb{C})$ .
  2.  $e^{-A} = (e^A)^{-1}$  for all  $A \in M_n(\mathbb{C})$ .
  3.  $e^{\alpha A} e^{\beta A} = e^{(\alpha+\beta)A}$  for all  $A \in M_n(\mathbb{C})$  and  $\alpha, \beta \in \mathbb{C}$ .
  4.  $e^{A^\dagger} = (e^A)^\dagger$  for all  $A \in M_n(\mathbb{C})$ .
  5.  $e^{BAB^{-1}} = B e^A B^{-1}$  for all  $A, B \in M_n(\mathbb{C})$  with  $B$  being invertible of course i.e.  $B \in GL(n, \mathbb{C})$ .
  6.  $e^A e^B = e^B e^A = e^{(A+B)}$  iff  $[A, B] = 0$  for all  $A, B \in M_n(\mathbb{C})$ .
  7. If  $A \in M_n(\mathbb{C})$  is diagonalizable then  $\det(e^A) = e^{\text{Tr}(A)}$ .

## Diagonal Operator Exponential

If  $D = \begin{pmatrix} \lambda_1 & 0 & \dots & 0 \\ 0 & \lambda_2 & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & \lambda_n \end{pmatrix} \in M_n(\mathbb{C})$ . Then it turns out that  $e^D = \begin{pmatrix} e^{\lambda_1} & 0 & \dots & 0 \\ 0 & e^{\lambda_2} & \dots & 0 \\ \vdots & \vdots & \ddots & \vdots \\ 0 & 0 & \dots & e^{\lambda_n} \end{pmatrix}$ .

- ▶ Computing the exponential of diagonal operators is very easy!
- ▶ If  $A \in M_n(\mathbb{C})$  is diagonalizable i.e. there exists  $G \in GL(n, \mathbb{C})$  and a diagonal  $D \in M_n(\mathbb{C})$  such that  $A = GDG^{-1}$ . Then it turns out that  $e^A = Ge^D G^{-1}$ !
- ▶ Hence, if  $A \in M_n(\mathbb{C})$  is Hermitian then  $e^A = Ue^D U^\dagger$ ?!

# Hamiltonian Exponential

## Theorem (1.3)

Let  $H \in M_n(\mathbb{C})$  be the Hermitian encoding the Hamiltonian and  $U(t) = e^{\frac{-i}{\hbar}Ht}$  where  $t \in \mathbb{R}$ . Then  $U(t)$  is unitary for all  $t \in \mathbb{R}$ .

*Proof* : You're welcome to try proving this for at least  $H \in M_2(\mathbb{C})$ !

- ▶ With a little bit of thinking we can conclude that  $U(t) = e^{\frac{-i}{\hbar}Ht}$  is a solution to the Schrodinger's differential equation  $\frac{d|\psi(t)\rangle}{dt} = \frac{-i}{\hbar}H|\psi(t)\rangle$ ?
- ▶ This is why it's called 'unitary evolution'!
- ▶ Hence, given an initial state  $|\psi(0)\rangle$ , the state at later time  $t$  is  $|\psi(t)\rangle = U(t)|\psi(0)\rangle = e^{\frac{-i}{\hbar}Ht}|\psi(0)\rangle$ .
- ▶ Although we expressed the theorem above in terms of the Hamiltonian. There is actually a general version where it can be proved that  $e^{iA}$  is unitary for any Hermitian operator  $A$ !

## Shut Up and Calculate Challenge

Consider a system  $S$  modelled on the Hilbert space  $\mathbb{C}^2$  with three observables encoded with the following Hermitian operators in  $\mathbb{C}^2$ :

$$\sigma_X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, \sigma_Y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix} \text{ and } \sigma_Z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}.$$

1. Compute  $e^{\sigma_X}$ ,  $e^{\sigma_Y}$  and  $e^{\sigma_Z}$ .
2. Apply  $e^{\sigma_X}$ ,  $e^{\sigma_Y}$  and  $e^{\sigma_Z}$  to the following kets:

$$|\psi_0\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, |\psi_1\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}, |\psi_2\rangle = \begin{pmatrix} \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} \end{pmatrix}, |\psi_3\rangle = \begin{pmatrix} \frac{1}{\sqrt{2}} \\ \frac{i}{\sqrt{2}} \end{pmatrix},$$

$$|\psi_4\rangle = \begin{pmatrix} \frac{1}{\sqrt{2}} \\ \frac{-1}{\sqrt{2}} \end{pmatrix} \text{ and } |\psi_5\rangle = \begin{pmatrix} \frac{1}{\sqrt{2}} \\ \frac{-i}{\sqrt{2}} \end{pmatrix}.$$

3. Suppose that the Hamiltonian of the system is encoded as  $H = \mathbb{I} + \frac{1}{2}\sigma_Y$  where  $\mathbb{I} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ .

If the initial state is  $|\psi(0)\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ , what is the state  $|\psi(t)\rangle$  at a later time  $t$ ?



SECOND EDITION

# MATRIX ANALYSIS



ROGER A. HORN ■ CHARLES R. JOHNSON

CAMBRIDGE





# Advanced Linear Algebra: Foundations to Frontiers

Robert van de Geijn, Margaret Myers

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Orthogonal Vectors and

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## Foundations to Frontiers

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Colophon





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