



**QUANTUM  
FORMALISM**

## Finite dimensional Hilbert spaces - Part 2

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# Lecture Agenda Summary

1. Pre-Lecture Comments
2. Axiom #1 Recap
3. Normalised vectors
4. Orthogonal Vectors
5. Orthonormal Bases
6. Separable Hilbert space

## Part A

1. LFs Induced by the Inner Product (Bras!)
2. The Dual Space
3. Riesz Representation Theorem
4. Study Material Comments

## Part B

# Foundation Module Review

Rings and Fields 101  
#1

Matrix Algebra  
#2

Quantum Operators + Composite Systems  
#3

Group Theory 101  
#1

Linear Operators 101  
#2

Finite dim. Hilbert Spaces  
#2




Naive Set Theory Overview  
#1

Complex Vector spaces 101  
#2

Matrix Groups 101:  $U(2) + SU(2)$   
#2

Completed | Ongoing | #n is the number of live lectures

# January 2021 Calendar

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
					1	2
3	4	5	6	7	8 	9
10	11	12	13	14	15 	16
17	18	19	20	21	22 	23
24	25	26 Australia Day	27	28	29	30
31						



Lecture dates

# PART A

## Complex Hilbert space

### Definition (1.0)

A complex Hilbert space is vector space over  $\mathbb{C}$  denoted  $\mathcal{H}$  with an inner product  $\langle \cdot, \cdot \rangle$  that induces a complete norm  $\| \cdot \|$ .

- ▶ Hence, by definition a Hilbert space is a Banach space in respect to the induced norm.
- ▶  $\mathbb{C}^n$  is obvious examples of complex Hilbert spaces! Indeed any finite dimensional complex Hilbert space  $\mathcal{H}$  of dimension  $n$  is unitarily equivalent (isomorphic) to  $\mathbb{C}^n$ !
- ▶ Hilbert spaces are often presented as the rock stars of quantum formalism. In reality, Banach spaces also play a very important role in the formalism e.g. the  $\mathbb{C}^*$ -algebra of bounded operators is a Banach space.
- ▶ Is a Banach space necessarily a Hilbert space?

# The Axioms of Quantum Mechanics

**Axiom 1:** The states of quantum systems are modelled by **normalised vectors** on **separable complex Hilbert spaces**.

- ▶ So to understand what the axiom is telling us mathematically, we need to know the meaning of the following Jargons:
  1. Normalised vectors
  2. Separable Hilbert space
- ▶ As previously mentioned, the axiom is actually referring to what physicists call 'pure states'. There are also the so-called mixed states!
- ▶ These normalised vectors representing the states of physical systems are called 'state vectors'.



## Normalised Vectors

### Definition (1.1)

A vector  $\psi \in \mathcal{H}$  is said to be normalised if  $\|\psi\| = 1$  where the norm  $\|\cdot\|$  is of course induced by the inner product  $\langle \cdot, \cdot \rangle$  on  $\mathcal{H}$ .

- ▶ Hence, definition demystifies one of the jargons in axiom 1!
- ▶ Why the requirement for normalised state vectors? As course break challenge, try research or ask a physicist why the normalised state vectors matter!
- ▶  $\mathcal{H} = \mathbb{C}^2$  then  $|0\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$  and  $|1\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$  are both normalised?
- ▶ Which of the following vectors are normalised? Are they all normalised?

$$\psi_1 = \begin{pmatrix} \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} \end{pmatrix}, \psi_2 = \begin{pmatrix} \frac{1}{\sqrt{2}} \\ i \end{pmatrix}, \psi_3 = \begin{pmatrix} \frac{1}{\sqrt{2}} \\ -1 \end{pmatrix} \text{ and } \psi_4 = \begin{pmatrix} \frac{1}{\sqrt{2}} \\ -i \end{pmatrix}.$$



## Orthogonal Vectors

### Definition (1.2)

Two vectors  $\psi_1, \psi_2 \in \mathcal{H}$  are said to be orthogonal if  $\langle \psi_1, \psi_2 \rangle = 0$ .

- ▶ Authors often write  $\psi_1 \perp \psi_2$  to denote that  $\psi_1$  and  $\psi_2$  are orthogonal.
- ▶ If  $\mathcal{H} = \mathbb{C}^2$  then  $|0\rangle = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$  and  $|1\rangle = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$  are orthogonal?

### Definition (1.3)

Let  $W$  be a linear subspace of  $\mathcal{H}$ . The orthogonal complement of  $W$  is defined as  $W^\perp = \{\psi \in \mathcal{H} \mid \langle \psi, \phi \rangle = 0 \text{ for all } \phi \in W\}$ .

### Proposition (1.0)

Let  $W$  be a linear subspace of  $\mathcal{H}$ . Then the following is true:

1.  $W^\perp$  is a linear subspace of  $\mathcal{H}$ .
  2.  $(W^\perp)^\perp = W$ .
- ▶ Why do physicists want state vectors that are orthogonal? As course break challenge, try research or ask a physicist why orthogonal state vectors matter!

## Orthonormal Bases

### Definition (1.4)

A basis  $B = \{e_1, e_2, \dots, e_n\}$  of  $\mathcal{H}$  is said to be an orthonormal basis in  $\mathcal{H}$  if  $\|e_i\| = 1$  for all  $i \in \{1, \dots, n\}$  and  $\langle e_i, e_j \rangle = 0$  for all  $i \neq j$  i.e. any two distinct elements of  $B$  are orthogonal.

- ▶ Often authors write 'ON' as abbreviation for the word 'orthonormal' and so you may hear the term 'ON basis'!
- ▶ Let  $\mathcal{H} = \mathbb{C}^2$  and  $B = \left\{ |0\rangle, |1\rangle \right\}$ . Then  $B$  is an orthonormal basis in  $\mathbb{C}^2$  right?
- ▶ Are there more orthonormal bases in  $\mathbb{C}^2$  other than  $B$ ? As homework challenge, you are encouraged to find them!
- ▶ Since a Hilbert space has more than just the linear structure, the actual underlying bases are technically known as 'Schauder' bases as oppose to 'Hamel' bases!

## Separable Hilbert Space

### Definition (1.5)

A Hilbert space  $\mathcal{H}$  is said to be separable if it has a countable ON basis  $B = \{e_1, e_2, \dots, e_n\}$ .

- ▶ Hence, it's trivial to prove that  $\mathbb{C}^n$  is a separable Hilbert space!
- ▶ It can be proved that if  $B = \{e_1, e_2, \dots, e_n\}$  is an ON basis in  $\mathcal{H}$ , then the following are equivalent:
  1.  $\psi = \sum_{i=1}^n \langle e_i, \psi \rangle e_i$  for all  $\psi \in \mathcal{H}$ .
  2.  $\langle e_i, \psi \rangle = 0$  for all  $i$  iff  $\psi = 0_{\mathcal{H}}$ .
  3.  $\|\psi\|^2 = \sum_{i=1}^n \|\langle e_i, \psi \rangle\|^2$  for all  $\psi \in \mathcal{H}$ .
- ▶ This marks the end of the axiom 1 jargons that we needed to decode right:
  1. Normalised vectors
  2. Separable Hilbert space
- ▶ As homework challenge, you are encouraged to research why separable Hilbert spaces are required for the quantum formalism.
- ▶ Interestingly, just like any finite dimensional Hilbert space is isomorphic to  $\mathbb{C}^n$ . It turns out that any infinite dimensional separable complex Hilbert space is unitarily equivalent to  $l^2(\mathbb{N})$ !

# PART B



## Linear Functionals Induced by the Inner Product

### Definition (1.6)

For any  $\psi \in \mathcal{H}$  we can construct a map  $L_\psi : \mathcal{H} \rightarrow \mathbb{C}$  induced by the inner product as  $L_\psi \Phi = \langle \psi, \Phi \rangle$  for all  $\Phi \in \mathcal{H}$ .

### Proposition (1.1)

For all  $\psi \in \mathcal{H}$ ,  $L_\psi : \mathcal{H} \rightarrow \mathbb{C}$  is a linear map (aka linear functional).

*Proof* : Homework challenge?

- ▶ Physicists normally write  $\langle \psi |$  to denote  $L_\psi$  and call it 'bra'!
- ▶ Physicists also use the Dirac notation e.g. place  $\Phi$  inside the ket to get  $|\Phi\rangle$ . Then the action of the bra  $\langle \psi |$  on the ket  $|\Phi\rangle$  becomes  $\langle \psi || \Phi \rangle$ . This is the standard inner product notation for physicists i.e. they replace  $\langle \psi, \Phi \rangle$  with  $\langle \psi || \Phi \rangle$  which they further abbreviate as  $\langle \psi | \Phi \rangle$ !
- ▶ We know that given an ON basis  $B = \{e_1, e_2, \dots, e_n\}$  in  $\mathcal{H}$ , then  $\psi = \sum_{i=1}^n \langle e_i, \psi \rangle e_i$  for all  $\psi \in \mathcal{H}$ . Using the Dirac notation, the expression becomes:  $|\psi\rangle = \sum_{i=1}^n \langle e_i | \psi \rangle |e_i\rangle$
- ▶ Which of the above expressions is cleaner for you?
- ▶ What is the Kernel of  $\langle \psi |$ ? It's a linear subspace of  $\mathcal{H}$  of course. But do you notice anything interesting about  $\text{Ker}(\langle \psi |)$ ?

## Concrete Representation of Bras

- ▶ If  $\mathcal{H} = \mathbb{C}^n$  and  $|\psi\rangle = \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{pmatrix}$ . Then the concrete representation of its bra becomes the row matrix  $\langle\psi| = (x_1^* \ x_2^* \ \dots \ x_n^*)$ .
- ▶ The action  $\langle\psi||\psi\rangle$  is then just the matrix multiplication i.e. multiplication of the row matrix (bra) with the column matrix (ket).

## The Dual Space

### Definition (1.7)

The set of all linear functionals  $L : \mathcal{H} \rightarrow \mathbb{C}$  is called the dual space of  $\mathcal{H}$  and it's usually denoted  $\mathcal{H}^*$ .

Check out the previous session's study material recommendation on dual spaces: **3F: Duality (pages 101 - 113)**.

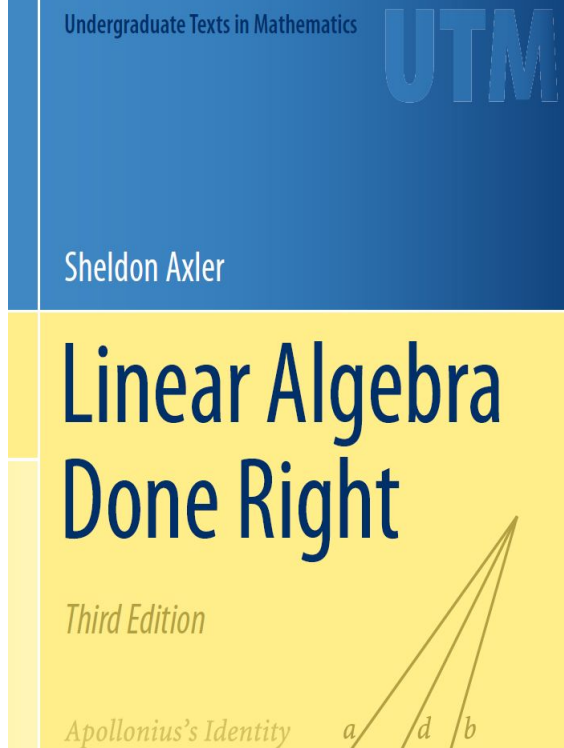
### Theorem (Riesz Representation)

*Let  $\mathcal{H}$  be a Hilbert space and  $L \in \mathcal{H}^*$ . Then there exists a unique  $\psi \in \mathcal{H}$  such that  $L = \langle \psi, \cdot \rangle$  i.e.  $L\Phi = \langle \psi, \Phi \rangle$  for all  $\Phi \in \mathcal{H}$ .*

*Proof* : See study material (188)!

- ▶ The theorem above is very handy as it establishes a linear isomorphism  $\mathcal{H}^* \simeq \mathcal{H}$  and so  $\dim(\mathcal{H}^*) = \dim(\mathcal{H})$ !





Prof. Sheldon Axler

## Where should you focus?

6.B: Orthonormal Bases (180 - 192)

6.C: Orthogonal Complements (193 - 202)



# QUANTUM FORMALISM

- **GitHub (Curated study materials):** [github.com/quantumformalism](https://github.com/quantumformalism)
- **YouTube:** [youtube.com/zaikugroup](https://youtube.com/zaikugroup)
- **Twitter:** [@ZaikuGroup](https://twitter.com/ZaikuGroup)
- **Gitter:** [gitter.im/quantumformalism/community](https://gitter.im/quantumformalism/community)



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