



# QUANTUM FORMALISM

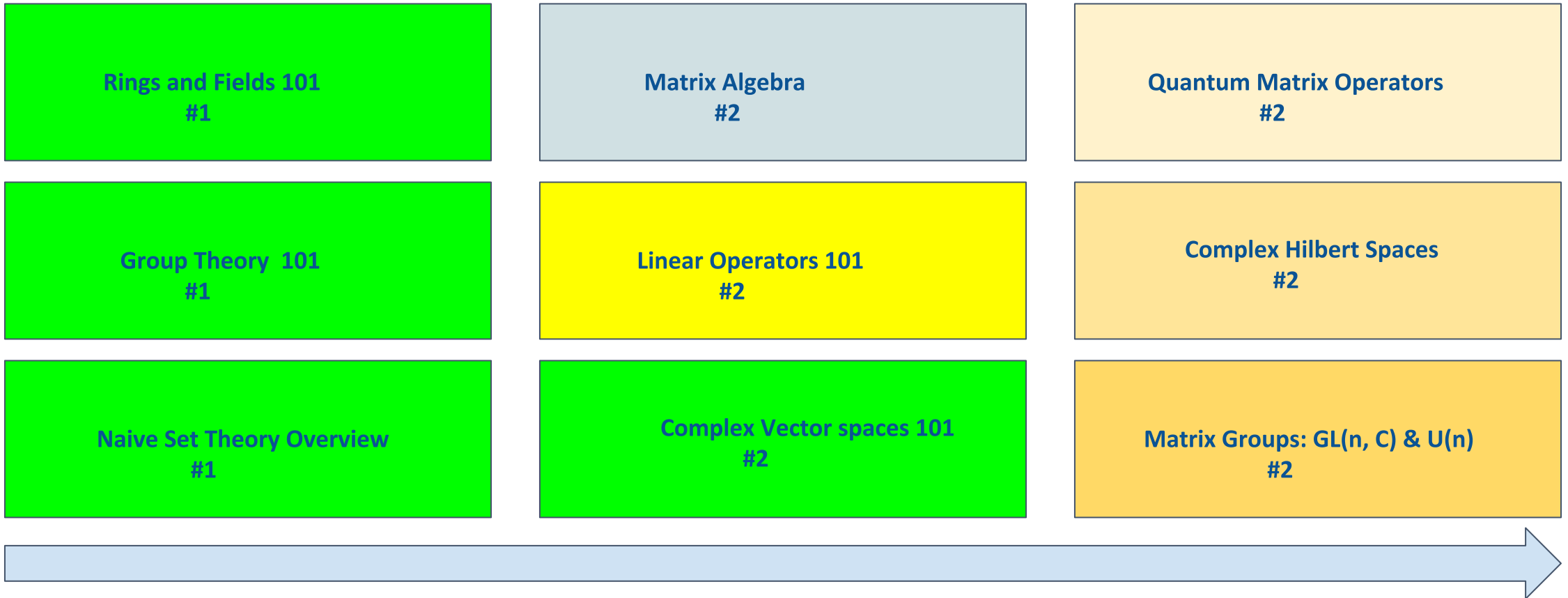
## Linear Operators 101 - Part 2

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# Lecture Agenda Summary

1. Pre-Lecture Comments
2. Lecture 07 Recap
3. Vector Space Isomorphisms
4. Product of Operators
5. Invertible Operators
6. Abstract General Linear Group
7. Abstract Eigenvectors & Eigenvalues
8. Study Materials Comments

# Foundation Module Review



■ Completed | ■ Ongoing | #n is the number of live lectures

## Canonical Example of Linear Operator

- ▶ Let  $V = W = \mathbb{C}^2$  and let  $M_2(\mathbb{C})$  be the ring of all  $2 \times 2$  matrices over  $\mathbb{C}$  i.e.  $M_2(\mathbb{C}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid a, b, c, d \in \mathbb{C} \right\}$ .

Then for each  $A = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \in M_2(\mathbb{C})$  we can construct a linear operator  $T_A : \mathbb{C}^2 \rightarrow \mathbb{C}^2$  as follows:

For any  $|\psi\rangle = \begin{pmatrix} \alpha \\ \beta \end{pmatrix} \in \mathbb{C}^2$ , let  $T_A$  act on  $|\psi\rangle$  as  $T_A|\psi\rangle = A|\psi\rangle = \begin{pmatrix} a & b \\ c & d \end{pmatrix} \begin{pmatrix} \alpha \\ \beta \end{pmatrix} = \begin{pmatrix} a \\ c \end{pmatrix} \alpha + \begin{pmatrix} b \\ d \end{pmatrix} \beta = \begin{pmatrix} a\alpha + b\beta \\ c\alpha + d\beta \end{pmatrix}$ .

- ▶ Hence, each matrix  $A \in M_2(\mathbb{C})$  generates a linear operator for  $\mathbb{C}^2$ .
- ▶ Can we generalise this to  $\mathbb{C}^n$  such that any  $A \in M_n(\mathbb{C})$  generates a linear operator  $T_A$  for  $\mathbb{C}^n$ ?
- ▶ Can we also generate a matrix linear operator  $A_T \in M_n(\mathbb{C})$  for  $\mathbb{C}^n$  given any linear operator  $T : \mathbb{C}^n \rightarrow \mathbb{C}^n$ ?
- ▶ By convention we just write  $A$  to denote the linear operator generated from  $A \in M_n(\mathbb{C})$  instead of writing  $T_A$ .

## Definition (Lecture 06)

If  $T : V \rightarrow W$  is a linear operator then the range of  $T$  is defined as  $\text{Ran}(T) = \{T|\psi\rangle \mid |\psi\rangle \in V\}$ .

## Proposition (Lecture 06)

$\text{Ran}(T)$  is a linear subspace of  $W$ .

*Proof* : Homework 1.3!

- The dimension of  $\text{Ran}(T)$  is called the rank of  $T$ .

### Definition (Lecture 06)

Let  $T : V \rightarrow W$  be a linear operator. The kernel of  $T$  is defined as  $\text{Ker}(T) = \{|\psi\rangle \in V \mid T|\psi\rangle = |0_W\rangle\}$ .

- ▶  $\text{Ker}(T)$  is also often called the null-space of  $T$  in the literature.

### Proposition (Lecture 06)

$\text{Ker}(T)$  is a subspace of  $V$ .

*Proof* : Homework 1.4!

### Theorem (Lecture 06)

Let  $T : V \rightarrow W$  be a linear operator. Then  $\dim(V) = \dim \text{Ker}(T) + \dim \text{Ran}(T)$ .

*Proof* : Homework challenge?

- ▶ The theorem above is often called 'the dimension theorem' or sometimes 'the rank-nullity theorem'.



## Vector Space Isomorphisms

### Definition (1.0)

A linear operator  $T : V \longrightarrow W$  is called:

1. **Surjective** (onto) if  $\text{Ran}(T) = W$  i.e. for all  $|\psi'\rangle \in W$  there exists a vector  $|\psi\rangle \in V$  such that  $|\psi'\rangle = T|\psi\rangle$ .
2. **Injective** (one-to-one) if for all  $|\psi_1\rangle, |\psi_2\rangle \in V$ ,  $T|\psi_1\rangle = T|\psi_2\rangle$  if only if  $|\psi_1\rangle = |\psi_2\rangle$ .
3. **Bijjective** if it's both surjective and injective.

### Definition (1.1)

We say that  $V$  is isomorphic to  $W$  ( $V \simeq W$ ) if there is at least a bijective linear operator  $T : V \longrightarrow W$ .

- Because of the injectivity of  $T$ , it follows that  $T$  is an isomorphism iff  $\text{Ker}(T) = \{0_V\}$ .

## Isomorphism between $\mathbb{C}^4$ and $M_2(\mathbb{C})$

Lets first recall that  $\mathbb{C}^4 = \left\{ \begin{pmatrix} a \\ b \\ c \\ d \end{pmatrix} \mid a, b, c, d \in \mathbb{C} \right\}$  and  $M_2(\mathbb{C}) = \left\{ \begin{pmatrix} a & b \\ c & d \end{pmatrix} \mid a, b, c, d \in \mathbb{C} \right\}$ .

We can define a linear operator  $T : \mathbb{C}^4 \longrightarrow M_2(\mathbb{C})$  naturally as follows:

For each  $|\psi\rangle = \begin{pmatrix} a \\ b \\ c \\ d \end{pmatrix} \in \mathbb{C}^4$  define  $T|\psi\rangle = \begin{pmatrix} a & b \\ c & d \end{pmatrix}$ .

► The definition above clearly makes  $T$  into an isomorphism!



## Isomorphism Theorems

### Theorem (1.0)

*Let  $V$  and  $W$  be vector spaces over  $\mathbb{C}$ . Then  $V \simeq W$  if and only if  $\dim(V) = \dim(W)$ .*

*Proof* : Homework challenge?

- ▶ A more elegant way of stating the above theorem is to say that the following statements are equivalent:
  1.  $V \simeq W$
  2.  $\dim(V) = \dim(W)$

### Theorem (1.1)

*If  $V$  is a vector space over  $\mathbb{C}$  and  $\dim(V) = n$ . Then  $V \simeq \mathbb{C}^n$ .*

- ▶ So for example, take  $V = \mathbb{C}^2 \otimes \mathbb{C}^2$ . Because  $\dim(\mathbb{C}^2 \otimes \mathbb{C}^2) = 4$  it then follows that  $\mathbb{C}^2 \otimes \mathbb{C}^2 \simeq \mathbb{C}^4$ .

## Identity Operator

### Definition (1.2)

The identity operator  $\mathbb{I}_V : V \rightarrow V$  is defined as  $\mathbb{I}_V|\psi\rangle = |\psi\rangle$  for all  $|\psi\rangle \in V$ .

- ▶  $\mathbb{I}_V$  is of course a linear operator. What can we say about  $\text{Ker}(\mathbb{I}_V)$ ?
- ▶ For  $V = \mathbb{C}^2$ , the identity operator is of course  $\mathbb{I}_V = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$ .
- ▶ Obviously the example above can be generalised for any  $\mathbb{C}^n$ , where  $\mathbb{I}_V$  is identified as the  $n \times n$  identity matrix of the ring  $M_n(\mathbb{C})$ .

**Convention:** Whenever  $V$  is understood from the context, we shall just write  $\mathbb{I}$  instead of  $\mathbb{I}_V$ !

## Product of Operators

### Definition (1.3)

Let  $T_1 : V \longrightarrow V$  and  $T_2 : V \longrightarrow V$  be linear operators. Their composition  $T_2 \circ T_1 : V \longrightarrow V$  is defined as  $T_2 \circ T_1 |\psi\rangle = T_2 T_1 |\psi\rangle$  for all  $|\psi\rangle \in V$ .

- We can prove that  $T_2 \circ T_1$  is indeed a linear operator.

**Convention:** We'll just write  $T_2 T_1$  instead of  $T_2 \circ T_1$  and rename it as 'operator product'!

### Definition (1.4)

A linear operator  $T : V \longrightarrow V$  is invertible if there exists a linear operator denoted  $T^{-1} : V \longrightarrow V$  such that  $TT^{-1} = T^{-1}T = \mathbb{I}_V$ .

- So not every  $T$  is invertible. But, is there a necessary and sufficient condition for  $T$  to be invertible?

### Theorem (1.2)

*A linear operator  $T : V \longrightarrow V$  is invertible if and only if  $\text{Ker}(T) = \{0\}$ .*

*Proof* : Homework challenge?

Hence, the theorem above implies  $T$  is invertible iff  $T$  is an isomorphism from  $V$  to  $V$ ?

### Theorem (1.3)

*Let  $T_1 : V \longrightarrow V$  and  $T_2 : V \longrightarrow V$  be invertible linear operators. Then  $T_2 T_1$  is invertible.*

*Proof* : Homework challenge?

- ▶ If  $V = \mathbb{C}^2$  then the following linear operators are invertible:

$$X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, Y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, Z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, H = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \end{pmatrix}.$$

- ▶ Do the set of all invertible linear operators acting on  $V$  form a group?



## Abstract General Linear Group

### Definition (1.5)

The set  $GL(V) = \{T : V \longrightarrow V \mid T \text{ is invertible} \}$  is called the general linear group of  $V$  i.e.  $GL(V)$  is the set of all invertible linear operators acting on  $V$ .

- ▶ As home challenge, verify that  $GL(V)$  is a group under the operator product defined previously. Is it abelian or non abelian?
- ▶ In the matrix group section, we'll see that if  $\dim(V) = n$  then  $GL(V) \simeq GL(n, \mathbb{C})$ !
- ▶ As you'll see,  $GL(n, \mathbb{C})$  contains some interesting subgroups such as the unitary group  $U(n)$  and the special unitary group  $SU(n)$ .
- ▶ As the name suggests, in quantum mechanics  $U(n)$  is mathematically behind the so-called 'unitary evolution'!

## Abstract Eigenvectors and Eigenvalues

### Definition (1.6)

Let  $T : V \longrightarrow V$ . A vector  $|\psi\rangle \in V$  is said to be an eigenvector of  $T$  if there exists a  $\lambda \in \mathbb{C}$  such that  $T|\psi\rangle = \lambda|\psi\rangle$ . The scalar  $\lambda$  is called an eigenvalue of  $T$ .

- ▶ The set of all eigenvalues of  $T$  is called the spectrum of  $T$  and often denoted  $\text{Spec}(T)$  i.e  $\text{Spec}(T) = \{\lambda \in \mathbb{C} \mid T|\psi\rangle = \lambda|\psi\rangle\}$  where  $|\psi\rangle \in V$  is obviously an eigenvector of  $T$ .

### Theorem (1.4)

*Let  $T : V \longrightarrow V$  be a linear operator with distinct set of eigenvalues  $\lambda_1, \lambda_2, \dots, \lambda_n \in \mathbb{C}$  with corresponding eigenvectors  $|\psi_1\rangle, |\psi_2\rangle, \dots, |\psi_n\rangle \in V$ . Then the vectors  $|\psi_1\rangle, |\psi_2\rangle, \dots, |\psi_n\rangle$  are linearly independent.*

- ▶ Can the eigenvectors of the distinct eigenvalues above form a basis for  $V$  if  $\dim(V) = n$ ?
- ▶ In quantum mechanics, the eigenvalues and eigenvectors of linear operators encoding physical observables such as the energy (aka the Hamiltonian) are very important!
- ▶ Be aware that physicists often write  $|\lambda_1\rangle, |\lambda_2\rangle, \dots, |\lambda_n\rangle$  to denote the eigenvectors of  $\lambda_1, \lambda_2, \dots, \lambda_n$ !



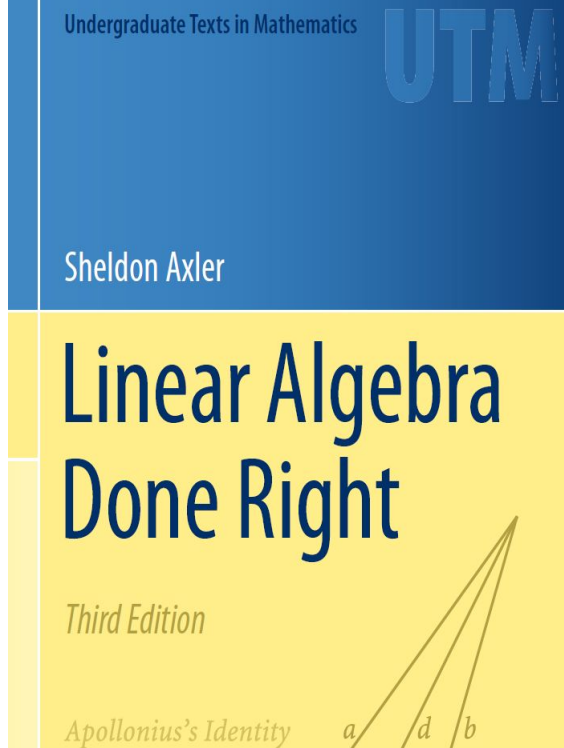
## Home Challenge

Let  $V = \mathbb{C}^2$  and consider the following linear operators:

$$X = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}, Y = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}, Z = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}, H = \begin{pmatrix} \frac{1}{\sqrt{2}} & \frac{1}{\sqrt{2}} \\ \frac{1}{\sqrt{2}} & -\frac{1}{\sqrt{2}} \end{pmatrix}.$$

- ▶ Try find the eigenvalues and eigenvectors of each operator above!
- ▶ Do their eigenvectors form a basis for  $\mathbb{C}^2$ ?

**Software aid:** Feel free to use any suitable software to help you find the answers!



Prof. Sheldon Axler

## Where should you focus?

3.D Invertible Linear Maps (*Pages 80 - 88*)

5.A Eigenvalues & Eigenvectors (*131 - 133*)



# QUANTUM FORMALISM

- **GitHub (Curated study materials):** [github.com/quantumformalism](https://github.com/quantumformalism)
- **YouTube:** [youtube.com/zaikugroup](https://youtube.com/zaikugroup)
- **Twitter:** [@ZaikuGroup](https://twitter.com/ZaikuGroup)
- **Gitter:** [gitter.im/quantumformalism/community](https://gitter.im/quantumformalism/community)