

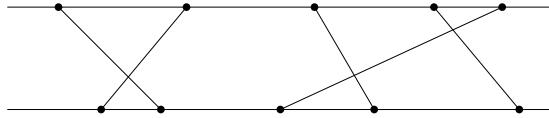
# CS/ECE 374 A (Spring 2022)

## Homework 10 Solutions

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**Problem 10.1:** Consider the following geometric matching problem: Given a set  $A$  of  $n$  points and a set  $B$  of  $n$  points in 2D, find a set of  $n$  pairs  $S = \{(a_1, b_1), \dots, (a_n, b_n)\}$ , with  $\{a_1, \dots, a_n\} = A$  and  $\{b_1, \dots, b_n\} = B$ , minimizing  $f(S) = \sum_{i=1}^n d(a_i, b_i)$ . Here,  $d(a_i, b_i)$  denotes the Euclidean distance between  $a_i$  and  $b_i$  (which you may assume can be computed in  $O(1)$  time).

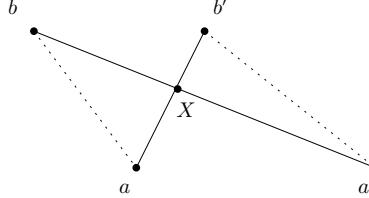
Assume that all points in  $A$  have  $y$ -coordinate equal to 0 and all points in  $B$  have  $y$ -coordinate equal to 1. (Thus, all points lie on two horizontal lines.) The points are not sorted. See the example below, which shows a solution that is definitely not optimal.



- (a) (20 pts) Consider the following greedy strategy: pick a pair  $(a, b) \in A \times B$  minimizing  $d(a, b)$ ; then remove  $a$  from  $A$  and  $b$  from  $B$ , and repeat. Give a counterexample showing that this algorithm does not always give an optimal solution.
- (b) (40 pts) Let  $a$  be the point in  $A$  with the smallest  $x$ -coordinate. Let  $b$  be the point in  $B$  with the smallest  $x$ -coordinate. Consider a solution  $S$  in which  $a$  is paired with some point  $b'$  with  $b' \neq b$ , and  $b$  is paired with some point  $a'$  with  $a' \neq a$ . Prove that the solution  $S$  can be modified to obtain a new solution  $S'$  with  $f(S') < f(S)$ .  
(Hint: the triangle inequality might be useful.)
- (c) (40 pts) Now give a correct greedy algorithm to solve the problem. (The correctness should follow from (b).) Analyze the running time.

**Solution:**

- (a) One counterexample is  $A = \{(0, 0), (1, 0)\}$  and  $B = \{(1, 1), (2, 1)\}$ . This greedy strategy would pair  $(1, 0)$  with  $(1, 1)$ , since the pair has the smallest distance 1. Then  $(0, 0)$  would be paired with  $(2, 1)$ , of distance  $\sqrt{5}$ . The total cost is  $1 + \sqrt{5} > 3.236$ . But the optimal solution is to pair  $(0, 0)$  with  $(1, 1)$ , and  $(1, 0)$  with  $(2, 1)$ , with cost  $2\sqrt{2} < 2.829$ .
- (b) By definition of  $a$  and  $b$ , we know that  $a$  is left of  $a'$  and  $b$  is right of  $b'$ , as shown in the figure below. Let  $X$  be the intersection of the lines  $ab'$  and  $a'b$ .



By the triangle inequality, we have

$$\begin{aligned}
 d(a, b) + d(a', b') &< (d(a, X) + d(X, b)) + (d(a', X) + d(X, b')) \\
 &= (d(a, X) + d(X, b')) + (d(a', X) + d(X, b)) \\
 &= d(a, b') + d(a', b).
 \end{aligned}$$

Create a new solution  $S'$  from  $S$  by deleting the pairs  $(a, b')$  and  $(a', b)$  and inserting the pairs  $(a, b)$  and  $(a', b')$ . Then

$$\begin{aligned}
 f(S') &= f(S) + (d(a, b) + d(a', b')) - (d(a, b') + d(a', b)) \\
 &< f(S)
 \end{aligned}$$

by the above inequality  $d(a, b) + d(a', b') < d(a, b') + d(a', b)$ .

- (c) The algorithm is simple: pick the smallest  $a$  in  $A$  and the smallest  $b$  in  $B$ ; output the pair  $(a, b)$ ; remove  $a$  from  $A$  and  $b$  from  $B$ ; repeat.

Correctness follows from (b), because if the optimal solution does not pair  $a$  with  $b$ , then there would be a solution with strictly smaller cost: a contradiction.

To bound the running time, note that the algorithm can be equivalently redescribed as follows: sort the points  $a_1, \dots, a_n$  of  $A$  in increasing  $x$ -order and the points  $b_1, \dots, b_n$  of  $B$  in decreasing  $x$ -order; return the pairs  $(a_1, b_1), \dots, (a_n, b_n)$ .

Since sorting takes  $O(n \log n)$  time, the total time is  $O(n \log n)$ .

**Problem 10.2:** We are given an unweighted undirected connected graph  $G = (V, E)$  with  $n$  vertices and  $m$  edges (with  $m \geq n - 1$ ). We are also given two vertices  $s, t \in V$  and an ordering of the edges  $e_1, \dots, e_m \in E$ . Suppose the edges  $e_1, \dots, e_m$  are deleted one by one in that order. We want to determine the first time when  $s$  and  $t$  become disconnected. In other words, we want to find the smallest index  $j$  such that  $s$  and  $t$  are not connected in the graph  $G_j = (V, E - \{e_1, \dots, e_j\})$ .

A naive approach to solve this problem is to run BFS/DFS on  $G_j$  for each  $j = 1, \dots, m$ , but this would require  $O(mn)$  time. You will investigate a more efficient algorithm:

- (a) (80 pts) Define a weighted graph  $G'$  with the same vertices and edges as  $G$ , where edge  $e_i$  is given weight  $-i$ . Let  $T$  be the minimum spanning tree of  $G'$ . Let  $\pi$  be the path from  $s$  to  $t$  in  $T$ . Let  $j^*$  be the smallest index such that  $e_{j^*}$  is in  $\pi$ . Prove that the answer to the above problem is exactly  $j^*$ .
- (b) (20 pts) Following the approach in (a), analyze the running time needed to compute  $j^*$ .

**Solution:**

- (a) It suffices to prove the following two claims:

**Claim 1.** *s and t are connected in  $G_{j^*-1}$ .*

**Proof:** Every edge  $e_i$  in  $\pi$  has  $i \geq j^*$ . So, the path  $\pi$  from  $s$  to  $t$  uses only edges in  $E - \{e_1, \dots, e_{j^*-1}\}$  and remains a path in  $G_{j^*-1}$ .  $\square$

**Claim 2.** *s and t are not connected in  $G_{j^*}$ .*

**Proof:**  $T - \{e_{j^*}\}$  has two connected components; call them  $S$  and  $V - S$ . We know that  $s \in S$  and  $t \in V - S$ , or vice versa. By a known fact from class, the smallest-weight edge between  $S$  and  $V - S$  must be in the MST. Since  $e_{j^*}$  is the only edge between  $S$  and  $V - S$  in  $T$ , we know that  $e_{j^*}$  must be the smallest-weight edge between  $S$  and  $V - S$ . Thus, every edge  $e_i$  between  $S$  and  $V - S$  has weight at least as large as  $e_{j^*}$ , i.e.,  $i \leq j^*$ , and so there is no edge between  $S$  and  $V - S$  in  $E - \{e_1, \dots, e_{j^*}\}$ . So,  $s$  and  $t$  are in different components in  $G_{j^*}$ .  $\square$

- (b) We can compute the MST  $T$  in  $O(n \log n + m)$  time by Prim's algorithm with Fibonacci heaps (or better with some of the more advanced MST algorithms not covered in class). The path  $\pi$  can be found in  $O(n)$  time (by following parent pointers, assuming  $s$  is made the root). The index  $j^*$  can then be found in  $O(n)$  time by a linear scan over  $\pi$ . The total time is therefore  $O(n \log n + m)$ .

(Alternatively: we can use Kruskal's algorithm and get  $O(m \log n)$  time, which is a little worse than Prim's unless the graph is sparse. But actually, in this application, the running time of Kruskal's algorithm can be improved to  $O(m\alpha(m, n))$ , which is better than as good as Prim's, where  $\alpha(\cdot)$  is the inverse Ackermann function; this is because the initial sorting step is trivial as the weights are just the negated indices from  $-m$  to  $-1$ , i.e., the edges are already given in decreasing order of weights.)

(*Note.* There is a more clever  $O(m)$ -time algorithm for this problem, which uses median finding and contractions to reduce the number of edges by a half in each round...)

**Problem 10.3:** Consider the following search problem:

MAX-DISJOINT-TRIPLES:

*Input:* a set  $S$  of  $n$  positive integers and an integer  $L$ .

*Output:* pairwise disjoint triples  $\{a_1, b_1, c_1\}, \dots, \{a_{k^*}, b_{k^*}, c_{k^*}\} \subseteq S$ , maximizing the number of triples  $k^*$ , such that  $a_i + b_i + c_i \leq L$  for each  $i$ .

For example, if  $S = \{3, 10, 29, 30, 35, 55, 70, 83, 90\}$  and  $L = 100$ , an optimal solution is  $\{3, 10, 83\}, \{29, 30, 35\}$ , with two triples (there is no solution with three triples).

Consider the following decision problem:

### DISJOINT-TRIPLES-DECISION:

*Input:* a set  $S$  of  $n$  positive integers, an integer  $L$ , and an integer  $k$ .

*Output:* True iff there exist  $k$  pairwise disjoint triples  $\{a_1, b_1, c_1\}, \dots, \{a_k, b_k, c_k\} \subseteq S$ , such that  $a_i + b_i + c_i \leq L$  for each  $i$ .

Prove that MAX-DISJOINT-TRIPLES has a polynomial-time algorithm iff DISJOINT-TRIPLES-DECISION has a polynomial-time algorithm.

(Note: One direction should be easy. For the other direction, see lab 12b for examples of this type of question. In MAX-DISJOINT-TRIPLES, the output is not the optimal value  $k^*$  but an optimal set of triples, although it may be helpful to give a subroutine to compute the optimal value  $k^*$  as a first step, as in the lab examples.)

### Solution:

If MAX-DISJOINT-TRIPLES has a polynomial-time algorithm, then we can solve DISJOINT-TRIPLE-DECISION in polynomial time easily: find an optimal solution  $\{\{a_1, b_1, c_1\}, \dots, \{a_{k^*}, b_{k^*}, c_{k^*}\}\}$ , and then just return true iff  $k \leq k^*$ .

Conversely, suppose DISJOINT-TRIPLE-DECISION has a polynomial-time algorithm  $A$ . We first find the optimal value  $k^*$ . This can be done by calling  $A$  on the input  $(S, L, k)$  for  $k = 1, 2, \dots, \lfloor n/3 \rfloor$  until the answer is false. The largest  $k$  for which the answer is true is  $k^*$ . This requires  $O(n)$  calls to  $A$  and so takes polynomial time.

(Note: alternatively, with binary search, this requires just  $O(\log n)$  calls to  $A$ .)

After finding  $k^*$ , the following algorithm outputs an optimal set of triples:

1. while  $k^* > 0$  do
2.     for every triple of three distinct elements  $a, b, c \in S$  with  $a + b + c \leq L$  do
3.         call  $A$  on the input  $(S - \{a, b, c\}, L, k^* - 1)$
4.         if  $A$  returns true then
5.             output  $\{a, b, c\}$
6.              $S \leftarrow S - \{a, b, c\}$ ,  $k^* \leftarrow k^* - 1$
7.         break (i.e., go back to line 1)

*Analysis:* There are  $k^* \leq O(n)$  iterations of the outer while loop, and in each such iteration, we are looping through  $O(n^3)$  triples  $a, b, c$ . Thus, the total number of calls to  $A$  is  $O(n^4)$ . So, if  $A$  runs in polynomial time, the whole algorithm runs in polynomial time.