

# CS/ECE 374 A (Spring 2022)

## Homework 9 Solutions

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**Problem 9.1:** We are given a weighted DAG (directed acyclic graph)  $G$  with  $n$  vertices and  $m$  edges with  $m \geq n$ , where each edge weight may be positive or negative (you may assume that no edge has weight zero). We are also given two vertices  $s, t \in V$ .

- (a) (35 points) Describe an efficient algorithm to determine whether there exists a path from  $s$  to  $t$  such that the number of positive-weight edges is strictly more than the number of negative-weight edges in the path.

[Hint: there is an  $O(m + n)$ -time solution (but some partial credit will still be given for an  $O(mn)$ -time solution). One approach is to use dynamic programming, but a simpler approach is to just run a known algorithm from class on a new weighted graph.]

- (b) (65 points) Describe an efficient algorithm for determining whether there exists a path from  $s$  to  $t$  such that the number of positive-weight edges is strictly more than the number of negative-weight edges in the path *and* the total weight of the path is negative.

[Hint: there is an  $O(mn)$ -time solution. One approach is to use dynamic programming; another approach is to run a known algorithm on a new graph.]

### Solution:

- (a) Define a new weighted DAG  $G'$  as follows:

- The vertices and the edges are the same as the given DAG  $G$ .
- For each edge  $(u, v) \in E$ , if  $(u, v)$  has positive weight in  $G$ , define the weight of  $(u, v)$  in  $G'$  to be  $-1$ , otherwise define the weight of  $(u, v)$  in  $G'$  to be  $1$ .

We compute the shortest path from  $s$  to  $t$  in  $G'$ . The answer is yes iff the shortest path has strictly negative weight.

*Justification.* The weight of any path in  $G'$  is equal to the number of negative-weight edges minus the number of positive-weight edges along the corresponding path in  $G$ . Thus, the shortest path weight in  $G'$  is strictly negative iff there is a path where the number of negative-weight edges is strictly less than the number of positive-weight edges.

*Run time analysis.* The graph  $G'$  has  $n$  vertices and  $m$  edges, and can obviously be constructed in  $O(m + n)$  time. As explained in class, there is a single-source shortest path algorithm for DAGs which works even when there are negative weights and run in  $O(m + n)$  time.

- (b) Let  $G = (V, E)$  be the given weighted DAG. Define a new weighted DAG  $G'$  as follows:

- For each vertex  $v \in V$  and for each number  $i \in \{-(n-1), \dots, n-1\}$ , create a vertex  $(v, i)$  in  $G'$ .
- For each edge  $(u, v) \in E$  with weight  $w(u, v)$  and for each number  $i \in \{-(n-1), \dots, n+1\}$ , create an edge  $((u, i), (v, i+1))$  in  $G'$  with weight  $w(u, v)$  if  $w(u, v) > 0$ , and an edge  $((u, i), (v, i-1))$  in  $G'$  with weight  $w(u, v)$  if  $w(u, v) < 0$ .
- Create a new vertex  $t'$  in  $G'$  and add an edge from  $(t, i)$  to  $t'$  of weight 0 for every  $i \in \{1, \dots, n-1\}$ .

We compute the shortest path from  $(s, 0)$  to  $t'$  in  $G'$ . We return true iff the shortest path has strictly negative weight.

*Justification.* A path  $\pi$  from  $s$  to  $u$  in  $G$  corresponds to a path from  $(s, 0)$  to  $(u, i)$  of the same total weight in  $G'$ , where  $i$  equals the number of positive-weight edges minus the number of negative-weight edges along  $\pi$  in  $G$  (this can be formally proved by induction). Thus, the shortest path from  $(s, 0)$  to  $t'$  in  $G'$  corresponds to the shortest path from  $s$  to  $t$  in  $G$  such that the number of positive-weight edges is strictly greater than the number of negative-weight edges. This shortest path has negative weight iff there exists a path from  $s$  to  $t$  in  $G$  such that the number of positive-weight edges is strictly greater than the number of negative-weight edges and the total weight of the path is negative.

*Run time analysis.* The graph  $G'$  has  $N = O(n^2)$  vertices and  $M = O(mn)$  edges, and can be constructed in  $O(mn)$  time. As explained in class, there is a single-source shortest paths algorithm for DAGs that run in  $O(M + N) = O(n^2 + mn) = O(mn)$  time.

(*Note.* Alternatively, the extra vertex  $t'$  could be avoided since the DAG shortest path algorithm computes the shortest paths from  $(s, 0)$  to all  $(u, i)$ .)

**Problem 9.2:** We are given a weighted directed graph  $G = (V, E)$  with  $n$  vertices, where all edge weights are positive. Each edge is colored red or blue. We are also given an integer  $k \leq n$ .

We want to compute the shortest closed walk that *contains at least one blue edge and does not have  $k$  consecutive red edges*. Describe an efficient algorithm to solve this problem.

(For example, if  $k = 4$ , a walk with color sequence blue-red-red-blue-red-red-red-blue-red-red-blue is allowed, but not blue-red-red-blue-red-red-red-red-blue. For motivation, imagine that traveling along blue edges lets you recharge. We don't want to travel too long without using a blue edge.)

[Hint: it might be helpful to solve the following all-pairs variant of the problem first: for every pair  $u, v \in V$ , find the shortest walk from  $u$  to  $v$  that does not have  $k$  consecutive red edges. One approach is to define a new graph and run a known algorithm on the graph.]

[Note: a correct solution with  $O(k^2n^3)$  time will get you 90 points; a correct solution with  $O(kn^3 \log n)$  or  $O(kn^3)$  time will get you 100 points (full credit); and a solution with  $O(n^3 \log n)$  time or better will receive 15 more bonus points!]

**Solution:** Let  $G = (V, E)$  be the given weighted directed graph with  $n$  vertices and  $m \leq n^2$  edges. Let  $w(u, v)$  denote the weight of the edge  $(u, v)$  in  $G$ . Define a new weighted directed graph  $G'$  as follows:

- For each  $v \in V$  and  $i \in \{0, \dots, k-1\}$ , create a vertex  $(v, i)$  in  $G'$ .
- For each red edge  $(u, v) \in E$  and each  $i \in \{0, \dots, k-2\}$ , create an edge  $((u, i), (v, i+1))$  in  $G'$  with weight  $w(u, v)$ .
- For each blue edge  $(u, v) \in E$  and each  $i \in \{0, \dots, k-1\}$ , create an edge  $((u, i), (v, 0))$  in  $G'$  with weight  $w(u, v)$ .

For every  $u \in V$ , find the shortest path weight  $d_{G'}((u, 0), (v, i))$  from  $(u, 0)$  to all vertices  $(v, i)$  in  $G'$ .

We find the blue edge  $(u^*, v^*) \in G$  and an index  $i^* \in \{0, \dots, k-1\}$  that minimizes  $d_{G'}((v^*, 0), (u^*, i^*)) + w(u^*, v^*)$ . We return the closed walk formed by concatenating the edge  $(u^*, v^*)$  with the walk from  $v^*$  to  $u^*$  that corresponds to the shortest path from  $(v^*, 0)$  to  $(u^*, i^*)$  in  $G'$ .

*Justification.* A walk from  $(u, 0)$  to  $(v, i)$  in  $G'$  corresponds to a walk from  $u$  to  $v$  in  $G$  that does not have  $k$  consecutive red edges and ends with  $i$  consecutive red edges, for any  $i \leq k-1$  (this can be formally proved by induction). We want a shortest closed walk that contains a blue edge and does not contain  $k$  consecutive red edges. We can guess a blue edge  $(u^*, v^*)$  in the solution, and the rest of the walk must then be a shortest walk from  $v^*$  to  $u^*$  without  $k$  consecutive red edges, which has weight  $\min_{i^*} d_{G'}((v^*, 0), (u^*, i^*))$ .

*Run time analysis.* The graph  $G'$  has  $N = O(kn)$  vertices and  $M = O(km)$  edges. For each  $u \in V$ , all shortest paths from  $(u, 0)$  can be found by running Dijkstra's single-source shortest paths algorithm, which takes  $O(N \log N + M) = O(kn \log n + km)$  (since  $\log(kn) \leq \log(n^2) = O(\log n)$ ). Since we run Dijkstra's algorithm  $n$  times (one for each  $(u, 0)$ ), the total time is  $O(kn^2 \log n + kmn)$ .

In the final step of computing  $u^*, v^*, i^*$ , we loop through  $O(n^2)$  choices for  $(u^*, v^*)$  and  $k$  choices for  $i^*$ . This step takes  $O(kn^2)$  additional time.

Overall run time:  $O(kn^2 \log n + kmn)$ , which is at most  $O(kn^3)$ .

(*Note.* if instead of Dijkstra's algorithm we run Floyd and Warshall's all-pairs shortest paths algorithm, the running time would be  $O(N^3) = O(k^3 n^3)$ , which is slower. If we run Dijkstra's algorithm from all sources, the running time would be  $O(N \cdot (N \log N + M)) = O(k^2 n^2 \log n + k^2 mn) \leq O(k^2 n^3)$ , which is also slower.)

**Sketch of a Better Solution (worth bonus points):** Define  $R(u, v, \ell)$  to be the weight of the shortest path from  $u$  to  $v$  that uses only red edges and have length at most  $\ell$ .

*First stage.* We first use dynamic programming to compute  $R(u, v, k-1)$  for all  $u, v \in V$ . (This part is similar to the “repeated squaring” method for APSP, on the subgraph formed by the red edges.) For the base case, we have  $R(u, v, 0) = \infty$  if  $u \neq v$ , and  $R(u, v, 0) = 0$  if  $u = v$ , for each  $u, v \in V$ . For the recursive formula, we have

$$R(u, v, \ell) = \begin{cases} \min_{x \in V} (R(u, x, \ell/2) + R(x, v, \ell/2)) & \text{if } \ell \text{ is even} \\ \min_{x \in V: (x, v) \text{ is a red edge}} (R(u, x, \ell-1) + w(x, v)) & \text{if } \ell \text{ is odd} \end{cases}$$

for each  $u, v \in V$  and each  $\ell \geq 1$ .

Observe that in computing  $R(u, v, k - 1)$ , we only need to generate  $R(\cdot, \cdot, \ell)$  for a sequence of  $O(\log k)$  many  $\ell$ 's. Thus, the number of subproblems needed is  $O(n^2 \log k)$ , and each subproblem takes  $O(n)$  time. The total time of the first stage is  $O(n^3 \log k)$ .

*Second stage.* We now solve the original problem. Define a new weighted directed graph  $G'$  as follows:

- For each  $v \in V$ , create vertices  $(v, 0)$  and  $(v, 1)$  in  $G'$ .
- For each  $u, v \in V$ , create an edge  $((u, 0), (v, 1))$  in  $G'$  with weight  $R(u, v, k - 1)$ . (Note that in particular, there will be an edge from  $((u, 0), (u, 1))$  with weight 0.)
- For each blue edge  $(u, v) \in E$ , create an edge  $((u, 1), (v, 0))$  in  $G'$  with weight  $w(u, v)$ .

Note that  $G'$  has  $2n$  vertices and  $O(n^2)$  edges, and can be constructed in  $O(n^2)$  time using the  $R(\cdot, \cdot, k - 1)$  values computed from the first stage.

We run Floyd and Warshall's all-pairs shortest paths algorithm on  $G'$ . This takes  $O(n^3)$  time.

To finish, we find the blue edge  $(u^*, v^*) \in G$  that minimizes  $d_{G'}((v^*, 0), (u^*, 1)) + w(u^*, v^*)$ . This takes  $O(n^2)$  additional time.

The total run time over both stages is  $O(n^3 \log k)$ .