

Dated:

## Algorithm vs Program.

- 1- Design      1- Implementation
- 2- Domain knowledge      2- Programmer
- 3- Any language      3- Programming lang.
- 4- H/w & OS      4- H/w & OS.
- 5- Analyze      5- Testing.

Important points for algorithms

- Time
- Space
- Network consumption rate / data transfer rate
- Power consumption
- CPU register // (Algorithm for drivers)

-- Priori Analysis

Algorithm  
Hardware independent  
Independent of language.  
Time and space function.

-- Posteriori Analysis/Testing

Program.

Hardware dependent.  
Language dependent.

Watch time & bytes (mem allocation)

## 1.2 Characteristics of an algorithm.

1- Input → 0 or more.

2- Output → Atleast 1.

3- Definiteness. → shouldn't be unclear/invalid i.e  $\sqrt{-1}$  is even

4- Finiteness. → must terminate at a point

5- Effectiveness.

Dated: Complexities

	<u>Time</u>	<u>Space</u>
swap(a, b) {		
temp = a	— 1	a — 1
a = b	— 1	b — 1
b = temp	— 1	temp — 1
}	$f(n) = 3$	$s(n) = 3$
	$O(1)$	$O(1)$

### Frequency count algorithm

	<u>Time</u>	<u>Space</u>
sum(A, n) {		
s = 0	— 1	
for (i=0 ; i < n ; i++)	— (n+1)	s — 1
}		i — 1
s = s + A[i]	— n	A — n
}		n — 1
return s;	— 1	$s(n) = n + 3$
}	$f(n) = 2n + 3$	$O(n)$

### MatrixAdd(A, B, n)

	<u>Space</u>
for (i=0 ; i < n ; i++)	— $n + 1$
{	
for (j=0 ; j < n ; j++)	— $n(n+1)$
{	
$c[i][j] = A[i][j] + B[i][j] - n \times n$	$n \rightarrow 1$
}	$j \rightarrow 1$
	$j \rightarrow 1$
	$S(n) = 3n^2 + 3$
}	$O(n^2)$
	$O(n^2)$

Dated:

## Matrix Multiply (A, B, n)

$\{$ $\text{for}(i=0; i < n; i++)$ $\{$ $\text{for}(j=0; j < n; j++)$ $\{$ $c[i, j] = 0$ $\text{for}(k=0; k < n; k++)$ $\{$ $c[i, j] = c[i, j] + A[i, k] * B[k, j]$ $\}$	$n+1$ $n(n+1)$ $n \times n$ $n \times n(n+1)$ $n \times n \times n$	$\frac{n^2}{2}$ $n^2$ $n^3+n^2$ $n^3$ $O(n^3)$
		$\frac{1}{2}n^2$ $n^2$ $n^3+n^2$ $n^3$ $O(n^3)$
		$\frac{1}{2}n^2$ $n^2$ $n^3+n^2$ $n^3$ $O(n^3)$

same result

1  
 $\text{for}(i=0; i < n; i++)$   
 $\{$   
 $\text{for}(j=0; j < n; j++)$   
 $\{$   
 $\}$

$$\frac{n+1}{2} \Rightarrow O(n)$$

4  
 $\text{for}(i=0; i < n; i++)$   
 $\{$   
 $\text{for}(j=0; j < i; j++)$   
 $\{$   
 $\}$

$$f(n) = \frac{n^2+n}{2} \Rightarrow O(n^2)$$

2  
 $\text{for}(i=0; i < n; i+=2)$  → if we  
 $\{$   
 $\text{change it to any no.}$   
 $\}$

$$\frac{n/2}{O(n)} \xrightarrow{\text{it will remain}}$$

5  $P = 0$

$\text{for}(i=1; p \leq n; p++)$   $\mathcal{O}(\sqrt{n})$

$$\{ p = p + i$$

i p

if it will stop when  $p = n$

$$p > n.$$

$$\therefore p = \frac{k(k+1)}{2}$$

$$\frac{k(k+1)}{2} > n \Rightarrow \frac{k^2+k}{2} > n$$

$$\Rightarrow k^2 > n \Rightarrow k > \sqrt{n}$$

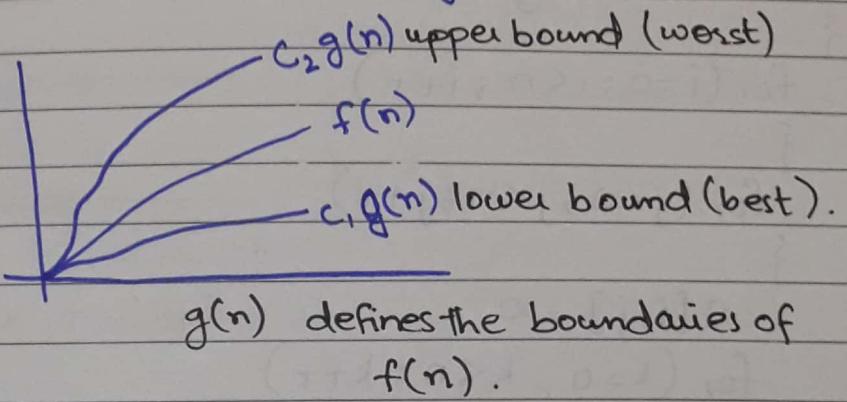
3  
 $\text{for}(i=0; i < n; i++)$   $- n+1$   
 $\text{for}(j=0; j < n; j++)$   $- n(n+1)$   
 $\{$   
 $\}$

$$\frac{n \times n}{O(n^2)}$$

Dated:  
negation price  $\rightarrow$  -ve amount of time (remaining time).

theta notation.

tell the upper & lower  
bound of  $f(n)$  any  
function.



$$c_1 =$$

$$c_2 =$$

$$\textcircled{1} \quad f(n) = 10n^3 + 5n^2 + 17.$$

Best case : when  $5n^2 + 17 = 0$ .  
 $= 10n^3$   $c_1 = 10$

Worst Case when each term has  $n^3$ .  
 $= 10n^3 + 5n^3 + 17n^3 = 42n^3$

$$\boxed{c_2 = 42}$$

$$\textcircled{2} \quad f(n) = 10n^3 + n \log n.$$

Best

$$f(n) = 10n^3 \rightarrow c_1 = 10$$

worst :

$$\begin{aligned} & 10n^3 + 1n^3 [\log(n^3)] \\ &= 10n^3 + 1n^3 \\ &= 11n^3 \rightarrow \boxed{c_2 = 11}. \end{aligned}$$

negligible as it will be  $\frac{1}{n^3}$

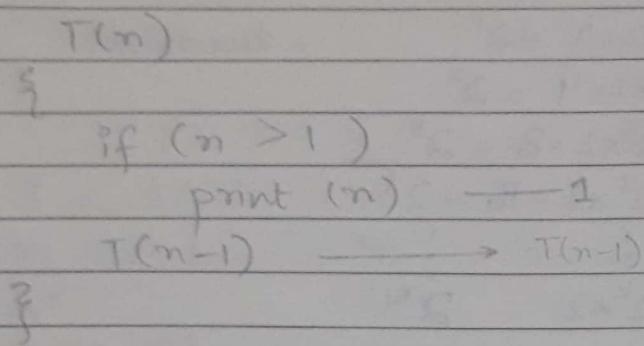
$\downarrow \quad 0 \rightarrow 1$

Dated:

recurrence relation  $\rightarrow$  recursively defined function.

$$\log_2(32) = 5$$

$$2^5 = 32$$



### Methods to solve Recursion

Iterative method

Recurrence tree

Master theorem

$$\begin{aligned} T(n) &= 2T(n/2) + n. \\ &= 2 \left( 2T(n/4) + \frac{n}{2} \right) + n. \\ &= 4T\left(\frac{n}{4}\right) + n + n. \\ &= 8\left(T\left(\frac{n}{16}\right) + \frac{n}{8}\right) \dots \end{aligned}$$

4/sep/19

Proof Using loop Invariant

Initialization:

Maintenance-

Termination

example:

insertion sort

Dated:

### 1.5.2 time complexity.

for (int i=1; i<n; i=i\*2)

{

$$\begin{aligned}i &\geq n \\2^k &\geq n \\2^k &= n\end{aligned}$$

$$k = \log_2 n$$

$$\rightarrow O(\log n)$$

it gives a decimal value so we need to take ceil or floor.

for (i=1; i<(n), i=i\*2)

{

Statement

{

n=8	if n=10
i	i
1	1
2	2
3	3
4	4
8 X	8

$$\begin{aligned}\log_2 8 &= 3 \\ \log_2 2^3 &= 3 \log_2 2 \\ &= 3\end{aligned}$$
$$\begin{aligned}16 X \\ \log_2 10 &= 3 \cdot 2 \\ \lceil \log n \rceil &= 4 \text{ (ceil)}\end{aligned}$$

here due to multiplication the time complexity will be in  $\log n$

vs for (i=1; i<=n; i++)

$$i = \underbrace{1+1+1+\dots+1}_{k=n} = n$$

$$O(n).$$

16 X

↓

$$\begin{aligned}\log_2 10 &= 3 \cdot 2 \\ \lceil \log n \rceil &= 4 \text{ (ceil)}\end{aligned}$$

$$\log_{10} 1000 = 3 \\ 10 \times 10 \times 10 = 1000 \\ 10^3 = 1000$$

Dated:

\* for ( $i=n ; i \geq 1 ; i=i/2$ )

{ statement ;  
}

$i < 1$	$n$
$\frac{n}{2^k} < 1$	$n/2^k$
$n < 2^k$	$n/2^3$
$n = 2^k$	$n/2^k$
$k = \log_2 n$	$n/2^k$
$O(\log_2 n)$	

\*  $p=0$  for ( $i=1 ; i < n ; i+=2$ )  
 {  $p++$  } for ( $j=1 ; j < p ; j=j*2$ )  
 { statement ; }  $i$   
 $\{ (\log p)$   $1 = 2^0$   
 $1 \times 2 = 2^1$   
 $2 \times 2 = 2^2$   
 $2^k = p$   $\log(\log n)$   
 $O(\log_2 p)$   $2^k$

\* for ( $i=0 ; i*i < n ; i++$ )

{ statement  $i$   
}  
 $i^2 >= n$   
 $i = \sqrt{n}$   $\Rightarrow O(\sqrt{n})$

$p = \log n$ .  
2nd loop

$\Rightarrow \log p$   
 $\Rightarrow \log(\log n)$   
 $\Rightarrow O(\log \log n)$ . Ans.

### NOTE

- ①  $y = \log_a x \Rightarrow a^y = x$
- ②  $\log(a \cdot b) \Rightarrow \log_x(a) + \log_x(b)$
- ③  $b \log(a) = \log a^b$
- ④  $\log_a a = 1$
- ⑤  $\log_a 1 = 0$
- ⑥  $\log_a(x^n) = n \log_a(x)$
- ⑦  $\log\left(\frac{a}{b}\right) = \log a - \log b$
- ⑧  $\ln(x) = \log_e(x)$

Note:  $\log_2 n = \frac{\log n}{\log 2} = \log n \times \frac{1}{\log 2} = O(\log n)$

$$\therefore \log_k n = O(\log n)$$

Dated:

for( $i=0; i < n; i++$ ) —  $n$

{

for( $j=1; j < n; j=j*2$ ) —  $n (\log n)$

{

—  $n (\log n)$

$2n \log n + n$

$\Rightarrow O(n \log n)$

for( $i=0; i < n; i++$ )  $\Rightarrow O(n)$

for( $i=n; i>0; i--$ )  $\Rightarrow O(n)$

for( $i=0; i < n; i+=2$ )  $\Rightarrow O(n)$

for( $i=1; i < n; i*=2$ )  $\Rightarrow O(\log_2 n)$

for( $i=1; i < n; i*=3$ )  $\Rightarrow O(\log_3 n)$

for( $i=n; i>1; i=i/2$ )  $\Rightarrow O(\log_2 n)$

for( $i=n; i>1; i=i/2$ )  $\Rightarrow O(\log_2 n)$

### 1.5.3 → 'while' and 'if' complexity.

$i=0$  — 1

while( $i < n$ ) —  $n+1$

{

statement —  $n$

$i++$  —  $n$

{

$$f(n) = 3n + 2$$

$O(n)$

{

$$\frac{a}{2}$$

$$1 = 2^0$$

$$1 \times 2 = 2^1$$

$$2 \times 2 = 2^2$$

$\vdots$

$2^k \rightarrow$  Terminate when  $a \geq b$ .

$$2^k > b$$

, where  $a = 2^k$

$$2^k = b$$

$$k = \log_2 b \quad \text{let } b = n$$

$$k = \log_2 n$$

$$O(\log_2 n) = O(\log n)$$

Dated:

equivalent to  
for ( $k=1$  to  $i$  ;  $k \leq n$  ;  $k++$ )  
statement  
 $k = k + i$

$i = 1$	<u><u><math>i</math></u></u>	<u><u><math>k</math></u></u>
$k = 1$	1	1
while ( $k < n$ )	2	2
{	3	$2+2 = 4$
statement :	4	$2+2+3 = 7$
$k = k + i$	5	$2+2+3+4 = 11$
$i++$	:	:
}	m	$2+2+3+4+5+\dots+m = \frac{m(m+1)}{2}$

Terminates at :

$$\cancel{k < n} \quad k = n$$

$$\frac{m(m+1)}{2} = n$$

$$m^2 = n$$

$$m = \sqrt{n} \Rightarrow O(\sqrt{n}) \text{ Avg.}$$

while ( $m \neq n$ )

{

if ( $m > n$ )

$$m = m - n$$

else

$$n = n - m$$

{

<u><u><math>m</math></u></u>	<u><u><math>n</math></u></u>	{	<u><u><math>m</math></u></u>	<u><u><math>n</math></u></u>	{
6	3	{	1 time	5	5
3	3	{	0 time	5	5

$O(1)$

7 times.

$\frac{16}{2}$  times

$O(n)$

min time =  $O(1)$

max time =  $O(n)$

Dated:

Algorithm Test(n)

{ if ( $n < 5$ )

    print n

    — 1

Best :  $O(1)$

worst :  $O(n)$

else

    for ( $i=0; i < n; i++$ )

        print i

        — n

Test(n)

Also written as : { if ( $n \geq 5$ )

    for ( $i=0; i < n; i++$ )

    { — }

}

## 1.6 : Classes of function

\*  $O(1) \rightarrow$  constant function

\*  $O(n) \rightarrow$  linear function.

$$f(n) = 1$$

$$f(n) = 20$$

$$f(n) = 5000$$

—

$O(1)$

$$f(n) = 2n + 3$$

$$f(n) = 500n + n + 2$$

$$f(n) = \frac{n}{5000} + 6$$

—

$O(n)$

\*  $O(\log n) \rightarrow$  logarithmic.

\*  $O(n^2) \rightarrow$  Quadratic

\*  $O(n^3) \rightarrow$  Cubic.

\*  $O(2^n) \rightarrow$  exponential. → e.g :  $O(n^n), O(3^n), O(10^n)$ .

$$1 < \log n < \sqrt{n} < n < n \log n < n^2 < n^3 < \dots < 2^n < 3^n < \dots n^n.$$

↓ lower bound      ↑ upper bound  
n<sup>2</sup> log n      n<sup>3</sup> log n

Dated: 1.8 Asymptotic Notations.

1.8.1

big-Oh  $O()$  upper bound.

big-Omega  $\Omega()$  lower bound.

Theta-notation  $\Theta()$  Average bound.

### Big-Oh

The function  $f(n) = O(g(n))$  iff  $\exists$  +ve constant  $c$  and  $n_0$  such that  $f(n) \leq c * g(n)$   $\forall n \geq n_0$ .

i.e  $f(n) = 2n + 3$

$2n + 3 \leq 2n + 3n$  (make all terms on R.H.S multiple of  $n$ )

$$\frac{2n + 3}{f(n)} \leq \frac{5n}{c \cdot g(n)} \quad \text{where } n \geq 1$$

$$f(n) = O(n) \checkmark$$

can this function be  $O(n^2)$ ?

Ans: Yes, as :

here  $g(n)$  is taken as  $n^2$  so,

$$f(n) = 2n + 3$$

$$2n + 3 \leq 2n^2 + 3n^2$$

$$2n + 3 \leq 5n^2 \quad \text{so, } f(n) = O(n^2) \checkmark$$

$$f(n) = O(2^n) \checkmark$$

$$(1 < \dots \sqrt{n} < \textcircled{m} < n \log n < \dots < n^n) \quad f(n) = O(\log n)$$

↓ lower bound      ↓ avg case      ↑ upper bound.

### Big-Omega

$f(n) = \Omega(g(n))$

such that  $f(n) \geq c * g(n)$ .  $n \geq n_0$ .

i.e  $2n + 3 = f(n)$

$$\frac{2n + 3}{f(n)} \geq \frac{1n}{c \cdot g(n)} \quad \forall n \geq 1 \quad \rightarrow f(n) = \Omega(n) \checkmark$$

$$f(n) = \Omega(\log n) \checkmark$$

$$f(n) = \Omega(m^2) \times$$

we take lower  $\rightarrow$  best case  
but they are not same

Dated:

## Theta-notation

$$f(n) = \Theta(g(n))$$

$$c_1 \cdot g(n) \leq f(n) \leq c_2 \cdot g(n)$$

e.g 1:

$$f(n) = 2n + 3$$

$$\frac{1}{c_1} \cdot n \leq \frac{2n+3}{f(n)} \leq \frac{5}{c_2} \cdot n \quad \rightarrow \quad f(n) = \Theta(n)$$

Example 2 :

$$f(n) = 2n^2 + 3n + 4$$

$$1n^2 \leq 2n^2 + 3n + 4 \leq 2n^2 + 3n^2 + 4n^2$$

$$\Omega(n^2) \quad \frac{1}{c_1} \cdot n^2 \leq 2n^2 + 3n + 4 \leq \frac{9}{c_2} \cdot n^2$$

(lower bound)

$$\Theta(n^2)$$
  
$$O(n^2)$$
  
(upper bound).

Example 3 :

$$f(n) = n^2 \log n + n$$

$$\Omega(n^2 \log n) \quad 1n^2 \log n \leq n^2 \log n + n \leq 10n^2 \log n \quad O(n^2 \log n)$$

Example 4 :

$$\therefore f(n) = n! = (n \times (n-1) \times (n-2) \cdots \times 3 \times 2 \times 1)$$

$$= 1 \times 2 \times 3 \cdots \times n$$

$\rightarrow$  here comes the  
use of upper and

$$1 < 1 \times 2 \times 3 \times \cdots \times n < n^n \quad n \text{ lower bound}$$

$$\Omega(1) \quad 1 \leq n! \leq n^n$$

$$O(n^n)$$

here these two bound  
with help of  $\Theta()$  is  
not possible!

$\nwarrow$  Q is not possible since we cannot find  
upper bound as n can be smaller or  
it can be greater

Dated:

Example 5 :

$$f(n) = \log n!$$

$$\begin{aligned} \log(1 \times 1 \times \dots) &\leq \log(1 \times 2 \times 3 \times \dots \times n) \leq \log(n \times n \times \dots \times n) \\ 1 &\leq \log n! \leq \log n^n \\ 1 &\leq \log n! \leq n \log n \\ O(1) &\quad \quad \quad O(n \log n) \end{aligned}$$

$O()$  is not possible because of unpredictable value of  $n$ . Here it may be  $O(n^2)$

### 1.9 Properties Of Asymptotic Notations.

\* General Property:

if  $f(n)$  is  $O(g(n))$  then  $a * f(n)$  is  $O(g(n))$  → it is true for  $\Sigma$  and  $\Delta$  also.

e.g:

$$f(n) = 2n^2 + 5 \text{ is } O(n^2)$$

then

$$\begin{aligned} 7 \cdot f(n) &= 7 \cdot (2n^2 + 5) \\ &= 14n^2 + 35 \text{ is also } O(n^2) \end{aligned}$$

\* Reflexive Property: → function is an upper bound of itself

if  $f(n)$  is given then  $f(n)$  is  $O(f(n))$

e.g

$$f(n) = n^2 \rightarrow O(n^2)$$

\* Transitive Property:

if  $f(n)$  is  $O(g(n))$  and  $g(n)$  is  $O(h(n))$  then,  $f(n)$  is  $O(h(n))$

e.g

$$\begin{array}{lll} f(n) = n & g(n) = n^2 & h(n) = n^3 \\ n \text{ is } O(n^2) & n^2 \text{ is } O(n^3) & n \text{ is } O(n^3) \end{array}$$

Dated:

- \* Symmetric Property:  $\rightarrow$  it's only true for  $\Theta$  notation  
if  $f(n)$  is  $\Theta(g(n))$  then  $g(n)$  is  $\Theta(f(n))$

e.g.:

$f(n) = n^2$  and  $g(n) = n^2$

$$f(n) = \Theta(n^2)$$

$$g(n) = \Theta(n^2)$$

Transpose Symmetric  $\rightarrow$  true for  $\Omega$  and  $\Theta$ .

if  $f(n) = \Theta(g(n))$  then  $g(n)$  is  $\Theta(f(n))$

e.g.:

$$f(n) = n \quad g(n) = n^2$$

$$n \text{ is } \Theta(n^2)$$

$$n^2 \text{ is } \Omega(n)$$

Other properties:

\* if  $f(n) = \Theta(g(n))$   
and  $f(n) = \Omega(g(n))$

$$\Rightarrow g(n) \leq f(n) \leq g(n) \rightarrow \text{both } g(n) \text{ are same.}$$

here,  $\therefore [f(n) = \Theta(g(n))]$

\* if  $f(n) = \Theta(g(n))$

and  $d(n) = \Theta(e(n))$

then,  $f(n) + d(n) = \Theta(\max(g(n), e(n)))$

e.g.:  $f(n) = n^2 = \Theta(n^2)$

$d(n) = n = \Theta(n^2)$

then  $\Theta(n^2)$

Dated:

if  $f(n) = O(g(n))$   
and  $d(n) = O(e(n))$

then,

$$f(n) * d(n) = O(g(n) * e(n))$$

i.e.  $f(n) = n$        $d(n) = n^2$        $\{ \Rightarrow O(n^3)$

Note

- $a^{\log b} = b^{\log a}$
- $\log(ab) = \log(a) + \log(b)$
- $\log \frac{a}{b} = \log a - \log b$ .
- $\log a^b = b \log a$

### 1.10.1 Comparison of functions.

$\frac{n}{2}$	$\frac{n^2}{4}$	$\frac{n^3}{8}$
3	9	27
4	16	64

$$\begin{array}{ll} n^2 & n^3 \\ \log n^2 & \log n^3 \\ a \log n & 3 \log n \\ \therefore 2(\log n) < 3(\log n) \end{array}$$

Method 1 : check by putting values.

Method 2 : apply log on b.s

e.g.:

$$\begin{aligned}
 f(n) &= n^2 \log n & g(n) &= n(\log n)^{10} \\
 &= \log(n^2 \log n) & &= \log(n(\log n)^{10}) \\
 &= \log n^2 + \log(\log n) & &= \log n + \log(\log n)^{10} \\
 &= \log n^2 + \log(\log(n)) & &= \log n + 10 \log(\log n) \\
 &= \textcircled{2 \log n} + \textcircled{\log(\log(n))} & &= \textcircled{\log n} + \textcircled{10 \log(\log n)} \\
 && \text{very small} & \text{very small as } \log
 \end{aligned}$$

$$\text{so } f(n) > g(n)$$

already small and  
 $\log(\log n)$  became small

Dated:

e.g ② :

$$\begin{aligned}f(n) &= 3n\sqrt{n} \\&= (3n)\sqrt{n} \\&= 3n^{\frac{3}{2}}\end{aligned}$$

$$\begin{aligned}g(n) &= 2^{\sqrt{n} \log_2 n} \\&= 2^{\sqrt{n} \log_2 n} \\&= 2^{\log_2 n^{\sqrt{n}}} \\&= ((n^{\sqrt{n}})^{\log_2 2}) \\&= 3^{n^{\sqrt{n}}}\end{aligned}$$

$$\therefore f(n) > g(n)$$

but asymptotically, they are equal.

e.g ③

$$\begin{aligned}f(n) &= n^{\log n} \\&= \log n^{(\log n)} \\&= \log(n) \times \log(n) \\&= \log^2 n\end{aligned}$$

$$\begin{aligned}g(n) &= 2^{\sqrt{n}} \\&= \log 2^{\sqrt{n}} \\&= \sqrt{n} \log_2 2 \\&= \sqrt{n} \\&= \frac{1}{2} \log n.\end{aligned}$$

$$g(n) > f(n)$$

e.g ④

$$\begin{aligned}f(n) &= 2^{\log n} \\&= \log 2^{\log n} \\&= \log(n) (\log_2 2) \\&= \log n\end{aligned}$$

$$\begin{aligned}g(n) &= n^{\sqrt{n}} \\&= \sqrt{n} \log n.\end{aligned}$$

$$\text{so } g(n) > f(n).$$

Dated:

e.g. 5

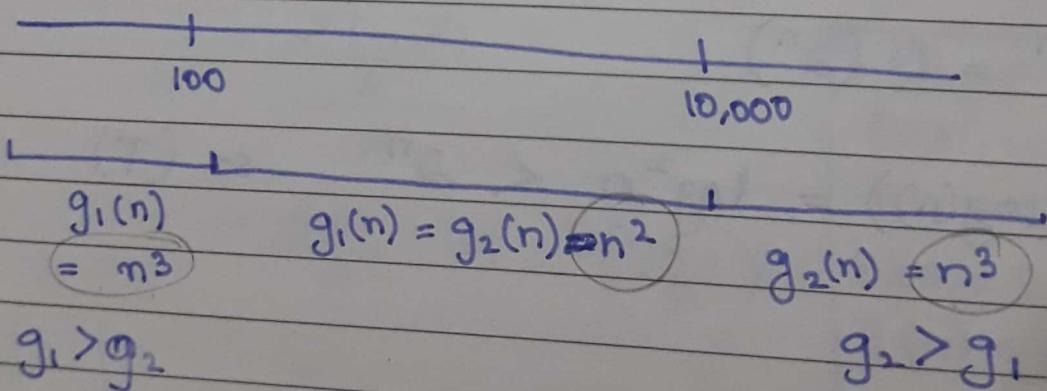
$$\begin{aligned}
 f(n) &= 2^n & g(n) &= 2^{2^n} \\
 &= \log 2^n & &= \log 2^{2^n} \\
 &= n \log_2 2 & &= 2^n \log_2 2 \\
 &= n & &= 2n.
 \end{aligned}$$

$g(n) > f(n)$  → but asymptotically they are equal.

eg ⑥

$$g_1(n) = \begin{cases} n^3 & n < 100 \\ n^2 & n \geq 100 \end{cases}$$

$$g_2(n) = \begin{cases} n^2 & n < 10,000 \\ n^3 & n \geq 10,000. \end{cases}$$



from 10,000 to  $\infty$   $g_2 > g_1$  so  $g_2$  is greater

Dated:

1.10.21 T/F

1-  $(n+k)^m = \Theta(n^m)$  (T)

$$\Theta = n^m + k^m + c \rightarrow \Theta(n^m)$$

$$\Theta = \Theta((1+k)n)^m$$

2-  $2^{n+1} = O(2^n)$  (T)

2.  $2^n \rightarrow$  greater.

3-  $2^{2n} = O(2^n)$

$$2^2 \cdot 2^n \times 4^n > 2^n$$

$\rightarrow 4^n$  must have an upper bound greater than  
 $4^n$  and here  $2^n > 4^n$   
(F)

4-  $\sqrt{\log(n)} = O(\log \frac{\log n}{n})$

$$\sqrt{n} = O(\log n)$$
  
so  $\sqrt{n} > \log n$ .

$$\log \log(n) > \sqrt{\log(n)}$$
 so (F)

5-  $n^{\log n} = O(2^n)$

$$\log n (\log(n)) = \log^2 n < 2^n \text{ so (T)}$$

Dated: 10

## 1.11 Best, worst and Average case Analysis.

### ① Linear Search.

A	8	6	12	5	9	7	4	3	16	18
	0	1	2	3	4	5	6	7	8	9

key = 7.  $\rightarrow$  Traverse till index 5

key = 20  $\rightarrow$  Traverse whole array  $\rightarrow O(n) = w(n)$

Best case  $\rightarrow$  key element is found at first index  $\Rightarrow O(1)$

$$B(n) = O(1)$$

Average case = all possible cases  $= \frac{1+2+3+\dots+n}{\text{no. of cases.}}$

$$= \frac{x_1(n+1)}{\frac{n}{2}}$$

$$= \frac{n+1}{2} \Rightarrow O\left(\frac{n+1}{2}\right)$$

Best case:

$$B(n) = 1$$

$$B(n) = O(1)$$

$$B(n) = \Omega(1)$$

$$B(n) = \Theta(1)$$

Worst case:

$$w(n) = n$$

$$w(n) = O(n)$$

$$w(n) = \Omega(n)$$

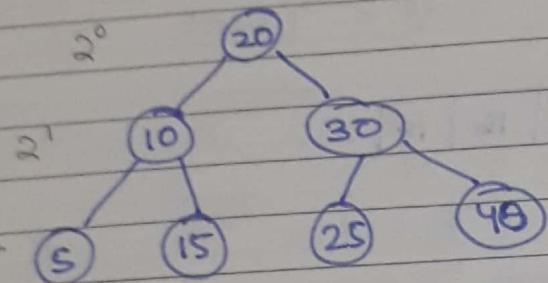
$$w(n) = \Theta(n)$$

Note :

The best, worst or average case can be represented in any kind of notation. Best case shouldn't be confused with  $\Omega()$  ~~only~~ and same goes for other notations.

Dated:  
Binary search Tree (BST)

Best case → search root element  
 $B(n) = 1 = O(1)$



nodes at height of tree =  $2^k$

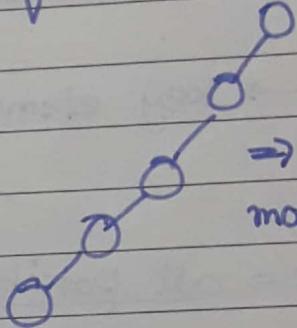
$$n = 2^k$$

$$k = \log_2 n = O(\log n)$$

height

Worst case → searching leaf element  
 $W(n) = \log n = O(\log n)$  or  
 $O(\text{height}) \min W(n)$

for  $\max W(n)$ :



⇒ max worst case  
 $\max W(n) = O(n)$

## 1.12 : Disjoint Sets

1- Disjoint set & operation.

2- Detecting a cycle.

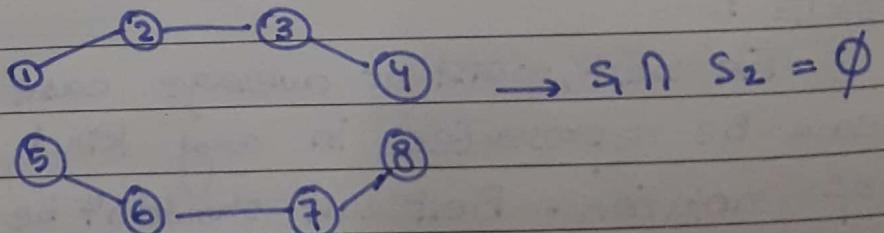
3- Graphical Representation

4- Array Representation.

5- Weighted Union and collapsing find.

$$S_1 = \{1, 2, 3, 4\}$$

$$S_2 = \{5, 6, 7, 8\}$$



Union :

→ when we perform union we add an edge (4,8).  
 so,  $S_1 \cup S_2 = \{1, 2, 3, 4, 5, 6, 7, 8\}$   
 they both are in diff sets so we perform union

Dated:

## Cycle detection using disjoint sets.

$$M = \{1, 2, 3, 4, 5, 6, 7, 8\}$$

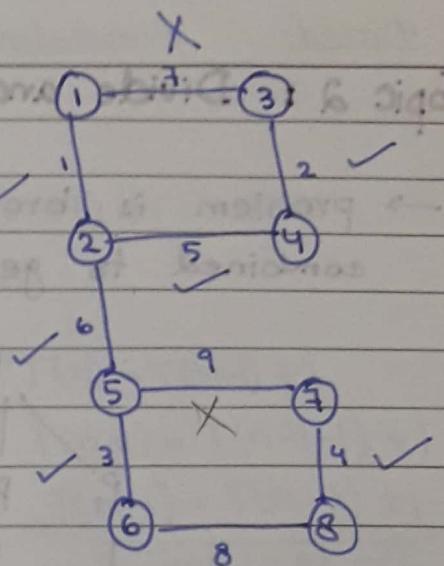
$$S_1 = \{1, 2\}$$

$$S_2 = \{3, 4\}$$

$$S_3 = \{5, 6\}$$

$$S_4 = \{7, 8\}$$

form sets and  
cancel them from  
universal set.



now remaining edges :

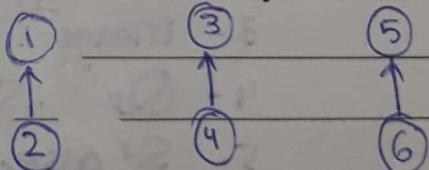
- \*  $(2, 4) \rightarrow$  in  $S_1$  and  $S_2$  so taking union of both.  
 $S_1 \cup S_2 = \{1, 2, 3, 4\} = S_5$

- \*  $(1, 3) \rightarrow$  both elements are in  $S_5$  so reject it as  
it's forming a cycle.

- \*  $(2, 5) \rightarrow S_5, S_3$

$$S_1 \cup S_3 = \{1, 2, 3, 4, 5, 6\} = S_6$$

$$S_1 = \{1, 2\} \quad S_2 = \{3, 4\} \quad S_3 = \{5, 6\}$$



- \*  $(6, 8) \rightarrow S_6, S_4$

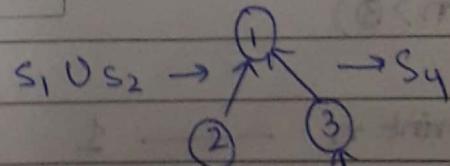
$$S_6 \cup S_4 = \{1, 2, 3, 4, 5, 6, 7, 8\} = S_7$$

both exist in same set, cancelled!

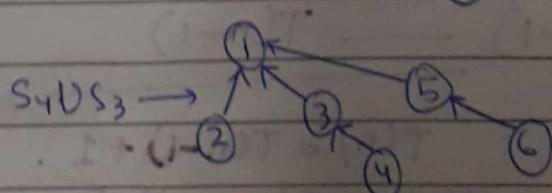
- \*  $(5, 7) \rightarrow S_7$ , rejected!



- \*  $(2, 4) \rightarrow S_1 \cup S_2 \rightarrow S_4$



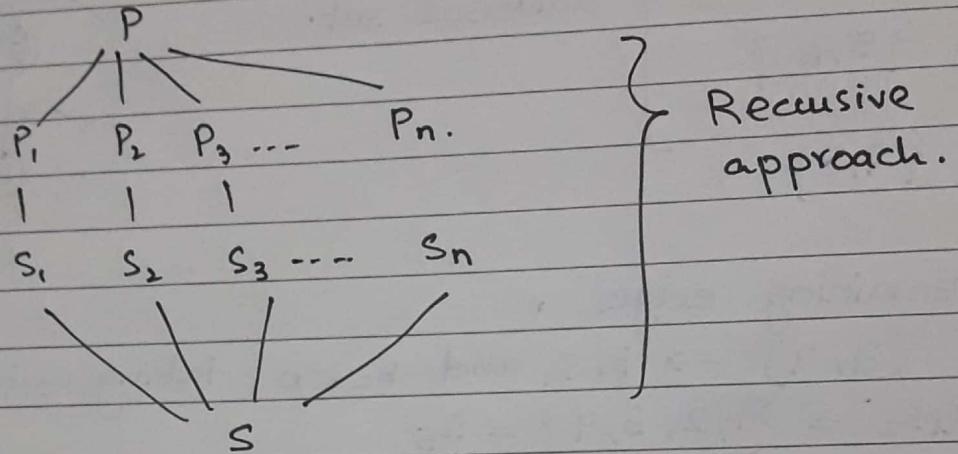
- \*  $(2, 5) \rightarrow S_4 \cup S_3 \rightarrow S_4$



Dated:

## Topic 2 : Divide and Conquer.

→ problem is broken into sub-problems and solutions are combined to get one solution.



Algorithms using divide and conquer techniques:

- 1- Binary Search.
- 2- Finding maximum & minimum.
- 3- Merge Sort.
- 4- Quick Sort.
- 5- Strassen's Matrix Multiplication.

### 2.1.1 Recurrence Relation

$$\text{Test}(n) \rightarrow T(n)$$

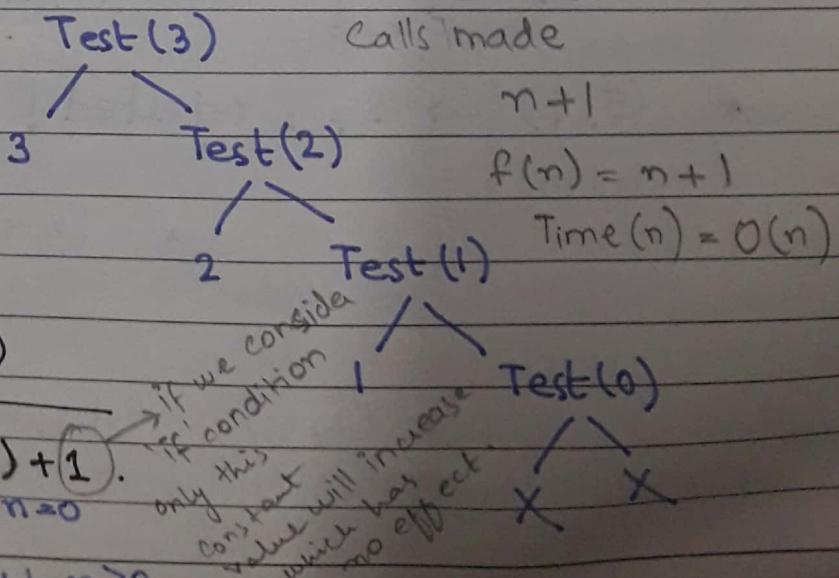
{  
if ( $n > 0$ )

$$\text{print } n \rightarrow 1$$

$$\{ \text{Test}(n-1) \rightarrow T(n-1)$$

}  
Recurrence :

$$T(n) = \begin{cases} 1 & n=0 \\ T(n-1) + 1 & n>0 \end{cases}$$



Dated: Finding out time through recurrence relation:

The recurrence relation we get is  $T(n)$   $\left\{ \begin{array}{ll} 1 & n=0 \\ T(n-1) + 1 & n>0 \end{array} \right.$

so when  $n>0$   $T(n) = T(n-1) + 1 \quad \text{--- } ①$

$$T(n) = \{T(n-2) + 1\} + 1 \quad \because T(n) = T(n-1) + 1$$

$$T(n) = T(n-2) + 2$$

$$T(n-1) = T(n-1-1) + 1$$

$$T(n) = T(n-3) + 3$$

$$T(n-1) = T(n-2) + 1$$

$$\vdots \quad \vdots \quad \vdots$$

putting in eq - ①

$$T(n) = T(n-k) + k \quad \text{--- continued up to } k \text{ times/steps}$$

when I reached  $n-k=0$ , then,  $n=k$ .

so,

$$\begin{aligned} T(n) &= T(n-n) + n \\ &= T(0) + n \end{aligned}$$

$$\begin{aligned} T(n) &= n+1 \\ \Rightarrow O(n) &\rightarrow \Theta(n). \end{aligned}$$

Dated: 2.1.2

~~Test (n)~~ —  $T(n)$

{  
if ( $n > 0$ ) — #1

{  
for (i=0; i<n; i++) —  $n+1$

{  
printf ("n") — n

?  
Test (n-1) —  $T(n-1)$

?  
 $T(n) = T(n-1) + 2n + 2$ . → it's linear so  
round it to  $n$ .

so,  $T(n) = T(n-1) + n$ .

now,

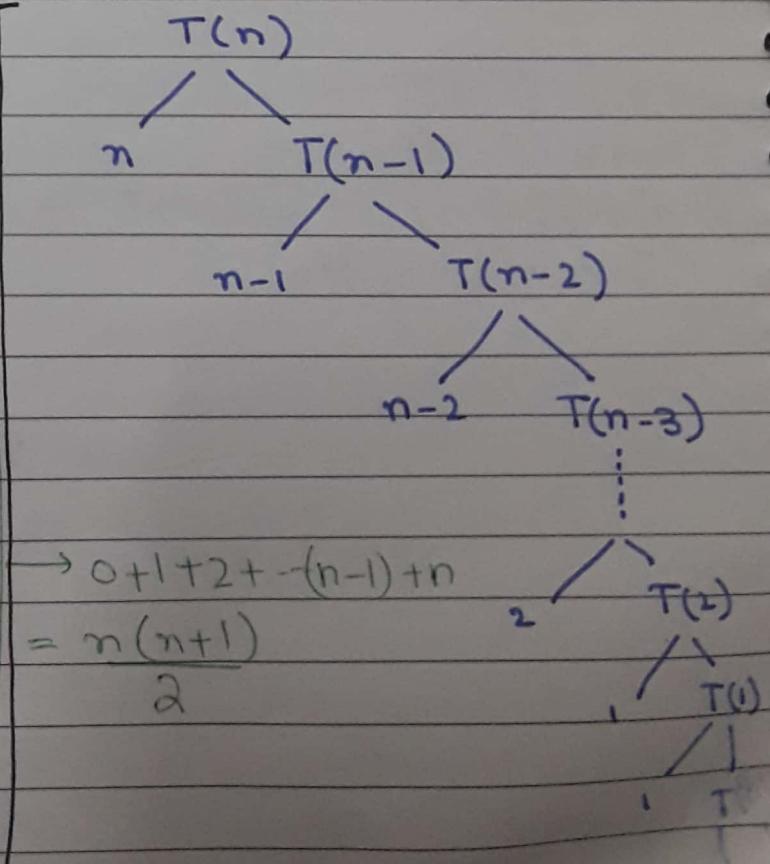
$$T(n) = \begin{cases} \#1 & n = 0 \\ T(n-1) + n & n > 0. \end{cases}$$

Tree Method of finding recurrence relation :

$$\therefore T(n) = n \frac{(n+1)}{2} \Leftarrow \begin{array}{c} n \\ | \\ n-1 \\ | \\ n-2 \\ \vdots \\ 2 \\ | \\ 1 \\ 0 \end{array}$$

$$T(n) = \frac{n^2+n}{2}$$

$$= \Theta(n^2)$$



Dated:

② Back substitution Method.

$$T(n) = \begin{cases} 1 & n=0 \\ T(n-1)+n & n>0 \end{cases}$$

$$T(n) = T(n-1) + n.$$

$$= T(n-2) + (n-1) + n$$

$$= T(n-3) + (n-2) + (n-1) + n$$

$$= T(n-4) + (n-3) + (n-2) + (n-1) + n.$$

$$= \underbrace{T(n-k)}_{\substack{| \\ | \\ |}} + \underbrace{(n-(k-1))}_{\substack{| \\ | \\ |}} + \underbrace{(n-(k-2))}_{\substack{| \\ | \\ |}} + \underbrace{(n-(k-3))}_{\substack{| \\ | \\ |}} + \dots + (n-1) + n.$$

$$= T(n-k) + (n-(k-1)) + (n-(k-2)) + (n-(k-3)) + \dots + (n-1) + n.$$

Assume  $n-k=0$ .

$$n=k.$$

$$\text{so, } T(n) = T(n-n) + (n-n+1) + \dots + (n-1) + n.$$

$$= \Theta(0) + 1 + 2 + \dots + (n-1) + n.$$

$$T(n) = \boxed{\frac{1 + n(n+1)}{2}} \quad \begin{array}{l} \text{These are} \\ \text{the total no. of} \\ \text{calls made.} \end{array}$$

$$T(n) = \frac{n^2 + n + 1}{2}$$

$$= \Theta(n^2).$$

$n!$  has an upper bound of  $n^n$ .

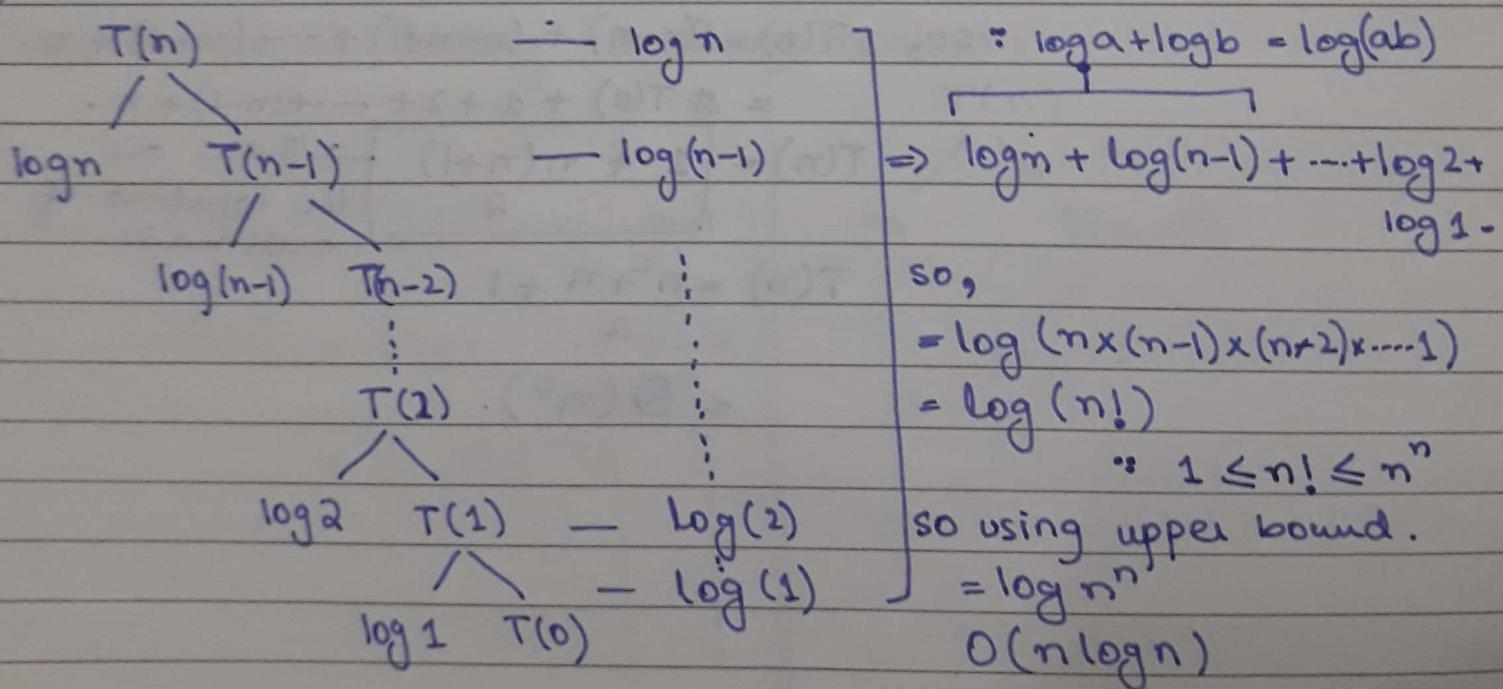
Dated 2.1.3

```

T(n) — void Test (n)
{
    if (n > 0)
        for (i = 1; i < n; i = i + 2)
            print i
}
T(n-1) — Test (n-1)
T(n) = T(n-1) + log n + 1
    }
```

$$T(n) = \begin{cases} 1 & n=0 \\ T(n-1) + \log n + 1 & n > 0 \end{cases}$$

i) Tree method.



Dated:

② Back Substitution.

$$T(n) = \begin{cases} 1 & n=0 \\ T(n-1) + \log n + 1 & n>0 \end{cases}$$

$$T(n) = T(n-1) + \log n + 1 \quad T(n-1) = T(n-2) + \log(n-1) + 1$$

$$= T(n-2) + \log(n-1) + \log n + 2$$

$$= T(n-3) + \log(n-2) + \log(n-1) + \log n + 3$$

$$= T(n-4) + \log(n-3) + \log(n-2) + \log(n-1) + \log n + 4.$$

$$= T(n-k) + \log(n-(k-1)) + \log(n-(k-2)) + \dots + \log(n-1) + \log n$$

Assume  $n-k=0$

$$n=k.$$

$$T(n) = T(0) + \log n!$$

$$\log n! = \log(1 \times 2 \times 3 \times \dots \times (n-1) \times n)$$

$$= 1 + \log n!$$

$$T(n) = O(n \log n).$$

Note :

$$\therefore T(n) = T(n-1) + 1 \rightarrow O(n)$$

$$T(n) = T(n-1) + n \rightarrow O(n^2)$$

$$T(n) = T(n-1) + \log n \rightarrow O(n \log n)$$

$$T(n) = T(n-1) + n^2 \rightarrow O(n^3)$$

$$T(n) = T(n-2) + 1 \rightarrow \frac{n}{2} \rightarrow O(n)$$

$$T(n) = T(n-100) + n \rightarrow \frac{n}{100} \rightarrow O(n^2)$$

∴

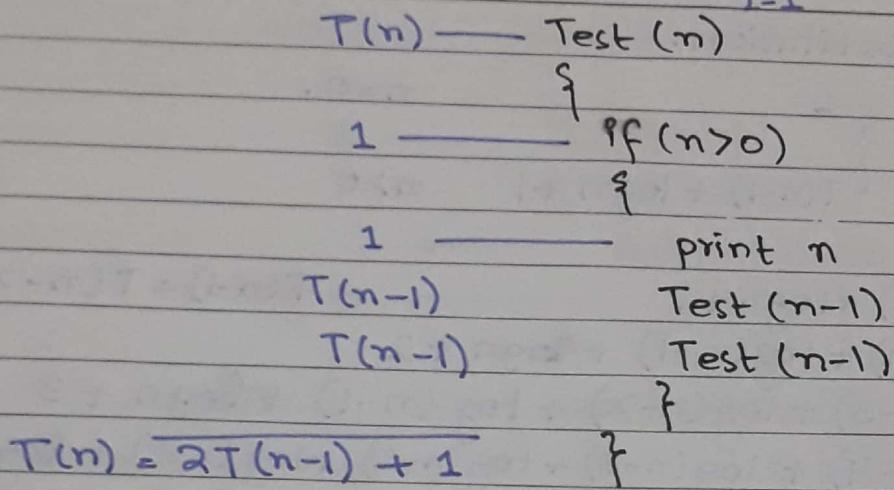
value of  $T(n) = 2T(n-1) + 1$   
will be different due to  
co-efficient.

$$\text{in G.P : } a + ar + ar^2 + ar^3 + \dots + ar^k = a \frac{(r^{k+1} - 1)}{r-1}$$

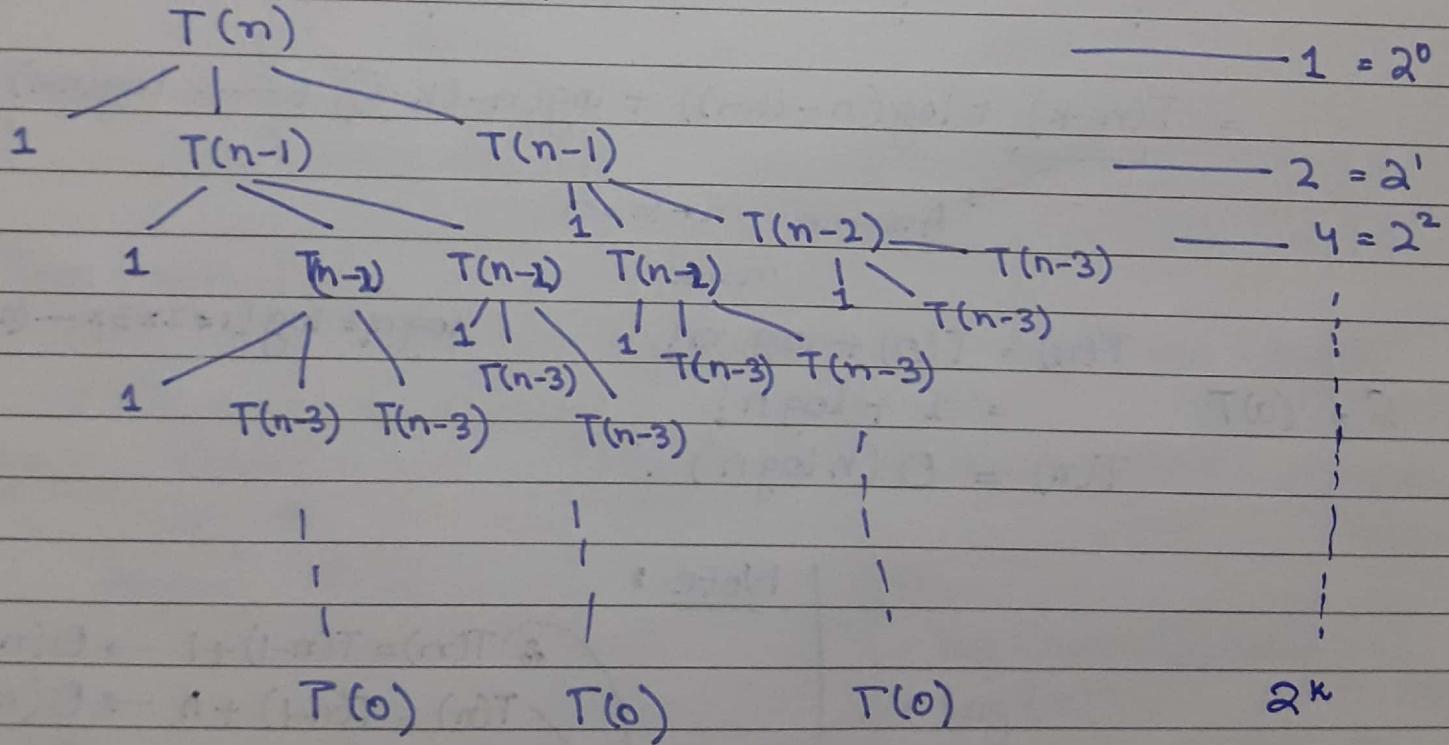
for  $a^0 + a^1 + a^2 + \dots + a^k$ ;  $a=1, r=2$

$$\Rightarrow \frac{1(2^{k+1} - 1)}{2-1} = (2^{k+1} - 1)$$

Dated: 2.1.4



### ① Recursion Tree Method :



$$\therefore 1 + 2 + 2^2 + 2^3 + \dots + 2^k = 2^{k+1} - 1 \quad \text{--- ①}$$

Assume  $n+k=0 \Rightarrow n=k$ .

$$\begin{aligned} \text{so eq-①} &\Rightarrow 2^{n+1} - 1 \\ &= 2^n \cdot 2 - 1 \\ &= O(2^n) \end{aligned}$$

Dated:

② Back Substitution Method :

$$T(n) = \begin{cases} 1 & n=0 \\ 2T(n-1) + 1 & n>0. \end{cases}$$

$$T(n) = 2T(n-1) + 1 \quad \text{--- ①}$$

$$= 2[2T(n-2) + 1] \quad \text{--- 1.}$$

$$T(n) = 2^2 T(n-2) + 2 + 1. \quad \text{--- ②}$$

$$= 2^3 T(n-3) + 2^2 + 2 + 1. \quad \text{--- ③}$$

:

:

:

$$2^k T(n-k) + [2^k + 2^{k-1} + \dots + 2^2 + 2^1 + 2^0] \quad \text{--- ④}$$

Assume  $n-k=0$ .

$n=k$ .

$$2^k + 2^{k+1} - 1$$

$$2^k (1 + 2^1 - 1)$$

$$\textcircled{A} \Rightarrow 2^n T(n-n) + 1 + 2 + \dots + 2^{k-1}$$

$$= 2^n T(0) + 2^k - 1$$

$$= 2^n + 2^n - 1 = 2 \cdot 2^n - 1$$

$$= O(2^n)$$

$$= \Theta(2^n).$$

Dated:

## 2.2 Master Theorem For Decreasing Function.

General form of recurrence relation:

$$T(n) = aT(n-b) + f(n)$$

Assume that

$a > 0, b > 0$  and  $f(n) = O(n^k)$  where  $k \geq 0$ .

when  $a=1$ , (case 1)  
we get:  $O(n^{k+1})$  i.e  $O(n)$  has  $k=0$ .  
 $O(n * f(n))$

when  $a < 1$ , (case 2)

we get:  $O(n^k)$   
 $O(f(n))$

when  $a > 1$  (case 3)

we get:  $O(n^k \cdot a^{n/b})$

$$T(n) = \underbrace{a}_{a} \underbrace{T(n-3)}_{b} + n \Rightarrow O(n \cdot 2^{n/3})$$

To Remember:

$$T(n) = T(n-1) + 1 \quad O(n)$$

$$T(n) = T(n-1) + n \quad O(n^2)$$

$$T(n) = T(n-1) + \log n \quad O(n \log n)$$

$$T(n) = 2T(n-1) + 1 \quad O(2^n)$$

$$T(n) = 3T(n-1) + 1 \quad O(3^n)$$

$$T(n) = 2T(n-1) + n \quad O(n2^n)$$

$$T(n) = 2T(n-2) + n \quad O(n \cdot 2^{n/2})$$

examples:

\*  $T(n) = T(n-1) + 1$

$$n \times 1 = n \Rightarrow O(n)$$

\*  $T(n) = T(n-1) + n$

$$\Rightarrow O(n^2)$$

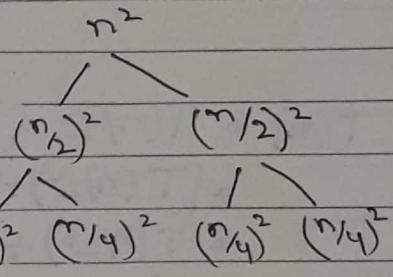
\*  $T(n) = T(n-1) + \log n$

$$\Rightarrow O(n \log n)$$

Dated: 2.3.1 Recurrence Relation Dividing.

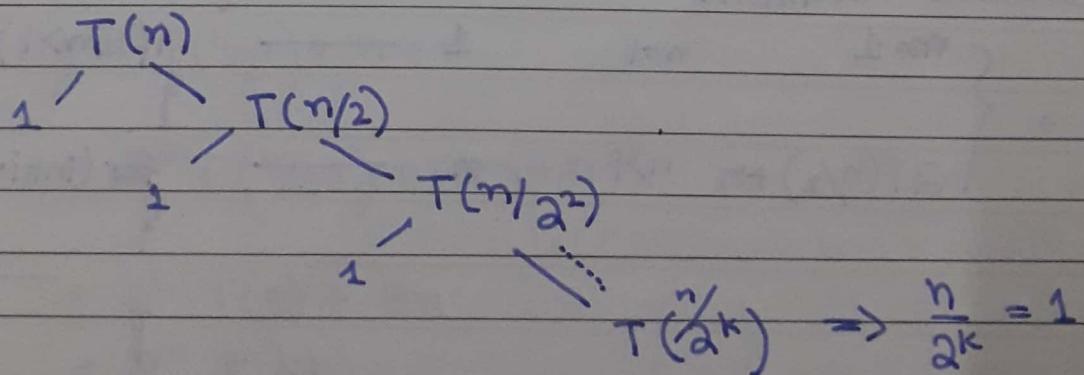
size at level  $i = n/2^i$

Cost of each node at level  $i = (n/2^i)^2$  — ①  
 # of nodes =  $2^i$  — ②



$$\begin{aligned} \text{Total cost} &= ① \times ② \\ &= \left(\frac{n}{2^i}\right)^2 \times 2^i \\ &= \frac{n^2}{2^i} \end{aligned}$$

Test (int n) —  $T(n)$   
 {  
 if ( $n > 1$ ) — 1  
 {  
 print (%d; n) — 1  
 }  
 } Test ( $n/2$ ) —  $T(n/2)$   
 }  $\sim T(n) = T(n/2) + 1$



$$2^k = n$$

$$k = \log_2 n$$

$$\therefore k = \log n$$

$\log n$

Dated:

$$T(n) = \begin{cases} 1 & n=1 \\ T(n/2) + n & n>1 \end{cases}$$

$$\begin{aligned} T(n) &= T(n/2) + n \\ &= [T(n/2^2) + n/2] + n \\ &= T(n/2^3) + n/2^2 + n/2 + n \\ &\vdots \quad \vdots \quad \vdots \\ &= T(n/2^k) + n/2^{k-1} + \dots + n/2 + n \end{aligned}$$

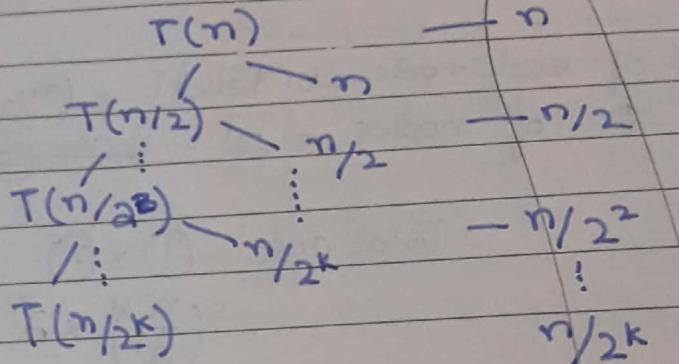
Assume that,

$$\frac{n}{2^k} = 1$$

$$n = 2^k$$

$$k = \log n$$

$$T(n) = T(1) + n$$



$$\begin{aligned} &n + n/2 + n/2^2 + \dots + n/2^k \\ \Rightarrow &n(1 + 1/2 + 1/2^2 + \dots + 1/2^k) \\ \Rightarrow &n \sum_{i=0}^k \frac{1}{2^i} \rightarrow \text{equal to } 1 \\ \Rightarrow &n \times 1 \Rightarrow O(n) \end{aligned}$$

### 2.3.3

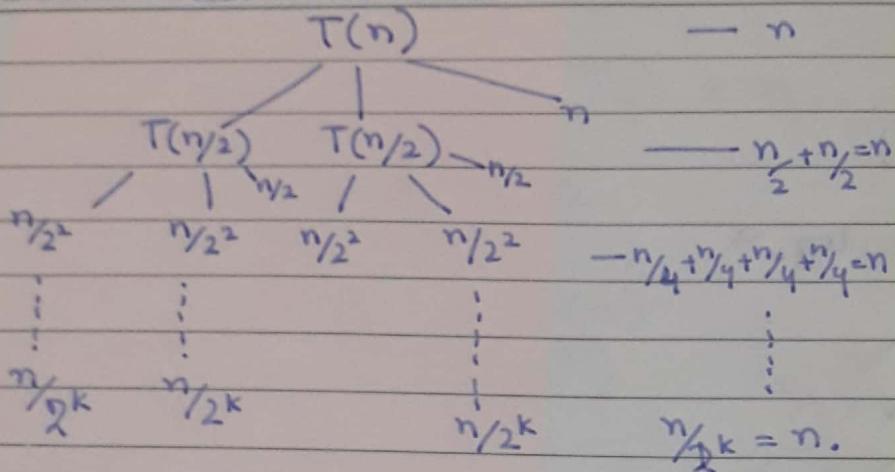
$$T(n) \longrightarrow \text{Test (int } n\text{)}$$

recurrence relation:

$$T(n) = \begin{cases} \text{if } n=1 & n=1 \\ 2T(n/2) + n & n>1 \end{cases}$$

1 — if ( $n>1$ )  
for (int i=0; i<n; i++)  
—  
 $T(n/2)$        $T(n/2)$   
 $T(n/2)$        $T(n/2)$   
 $T(n) = 2T(n/2) + n$        $T(n/2)$

Dated: Recurrence Tree



•  $k$  times  $n$

so  $n k$ . where for  $k$ ,  $n/2^k = 1$

$$k = \log n.$$

•  $n(\log n)$

$\Rightarrow O(n \log n)$ .

### Substitution method :

$$\begin{aligned} T(n) &= 2T(n/2) + n \\ &= 2T(n/2^2) + n \\ &= 2^2 T(n/2^2) + 2n/2 + n \\ &= 2^3 T(n/2^3) + n + n + n \\ &= 2^k T(1) + kn. \end{aligned}$$

$$= 2^k T(1) + kn.$$

$$= 2^{\log n} + kn.$$

$$= \log n + n(\log n)$$

$$O(n \log n).$$

Dated:

Master Theorem:

$$T(n) = aT\left(\frac{n}{b}\right) + f(n)$$

Case 1 : if  $f(n) > \log n^{\log_b a}$   
 $O(f(n))$

Case 2 : if  $f(n) < n^{\log_b a}$   
 $O(\log n^{\log_b a})$

Case 3 : if  $f(n) = n^{\log_b a}$   
 $O(f(n) \cdot \log n)$ .

Dated: MC,

$$m = \left( \frac{l+h}{2} \right) + 1 = \frac{9}{2} + 1 = 4 + 1 = 5$$

## ① Iterative :

Binary Search (A, n, key)

$$l = 0,$$

$h = n-1$ ,  $\text{mid} = \left(\frac{l+h}{2}\right) + 1$

while ( $l \leq h$ )

if (key == A[mid])

return mid

if (key < A[mid])

$$h = \text{mid} - 1$$

else

$$l = \text{mid} + 1$$

$$T(n) = \begin{cases} 1 & n=1 \\ T(n/2) + 1 & n>1 \end{cases}$$

$$T(n) = T(n/2) + 1$$

$$\rightarrow O(\log n)$$

## ② Reactive

BinarySearch (l, h, key)

$$F(\lambda = h)$$

$f(A[l]) == \text{key}$ )  
return  $l$ ;

else

$$\text{mid} = \left( \frac{l+h}{2} \right) + 1$$

if ( $\text{key} == \text{A}[\text{mid}]$ )

{ return mid }

if ( $\text{key} < \text{A}[\text{mid}]$ )

{ return BinarySearch(l, mid-1, key); }

else

-return BinarySearch(mid+1, h, key)

$$T(n/2)$$

Dated:

## Dynamic Programming

- 1. Greedy Method.
- 2. Dynamic Programming — They follow principle of optimality.

```
int fib(int n)
```

```
{  
    if (n <= 1)  
        return n  
    else  
        return fib(n-2) + fib(n-1)}
```

i.e.:  $n = 5$

$\text{fib}(5)$

$\text{fib}(3)$

$\text{fib}(4)$

$\text{fib}(1)$

$\text{fib}(2)$

$\text{fib}(1)$      $\text{fib}(0)$

$\text{fib}(3)$

$\text{fib}(2)$

$\text{fib}(2)$

$\text{fib}(1)$

$\text{fib}(0)$

$$T(n) = 2 T(n-1) + 1$$

$O(2^n)$ .

Memoization follows top down approach.

$nC_0$  is 1 and  $nC_n = 1$

```
int BinomialCoeff(int n, int r)
```

```
{  
    if (r == 0 || r == n)  
        return 1  
    else  
        return BinomialCoeff(n-1, k-1) + (n-1, k)}
```

$\frac{2^n}{n+1} C_n$  = no. of possible comparisons

$${}^5C_2 = \frac{5 \times 4}{2} = \frac{20}{2} = 10.$$

$$nC_r = n!$$

$$r!(n-r)!$$

$$\begin{array}{ccccccccc} 4 & & 1 & & 4 & & 2 & & 2 \\ & & & & & & & & \\ 3 & & 0 = 1 & & 3 & & 1 & & 2 \\ & & & & & & & & \\ & & & & 1 & & & & \\ & & & & & & & & \\ & & & & & & & & \end{array}$$